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RNA interference as a tool for  
functional neurogenetics and the role  
of microRNAs in brain function

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# 1. Introduction: Elucidation and applications of RNA interference (RNAi)

## 1.1. RNA in the spotlight

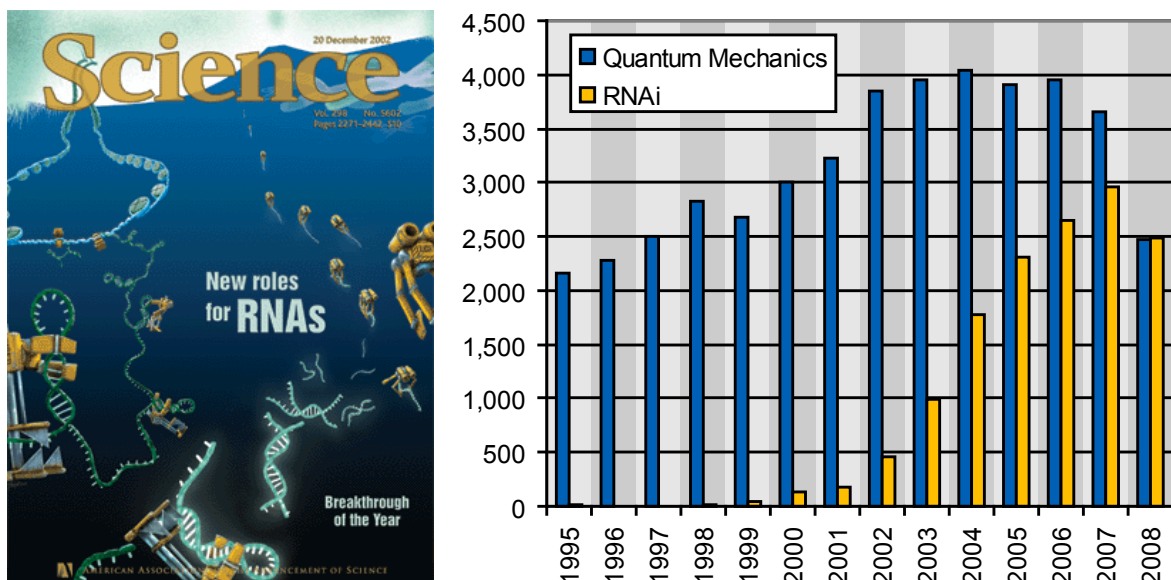
The importance of RNA molecules has been underestimated for decades as compared to their prominent sibling DNA. RNA has only been believed to be a transient messenger in transferring DNA's information into protein.

But during the last years RNA biology has been one of the most innovative fields in science since the discovery of small RNA species with important functions on regulation of gene expression, cell differentiation and stabilisation of the genome's integrity struck a new path in fundamental biology and added a new link to our understanding of life <sup>1-3</sup>.

The phenomenon of sequence specific gene silencing induced by double stranded RNA (dsRNA) is called RNA interference (RNAi). When dsRNA is introduced into cells, genes with sequence homology to this dsRNA are suppressed. This phenomenon was newly discovered when experiments with sense and antisense RNA-mediated gene inhibition were accidentally combined <sup>4</sup>.

The impact on the scientific community was tremendous and scientific publications on this topic have been arising since then (Figure 1).

The view on RNA has been revolutionized, similar to some other great discoveries in life sciences such as DNA as molecule of heredity, the immune system of mammals, and prions. Therefore the "Science" journal quoted RNAi as the most important scientific topic in 2002 <sup>5</sup> and in the



**Figure 1: RNAi as novel highly dynamic research field.** On the left side the cover of the last "Science" issue of the year 2002 is shown in which the editors termed small RNAs as the most important topic of that year <sup>5</sup>. On the right side a bar plot illustrates the number of publications listed in Web of Science® under the topic "RNA interference" or "RNAi" from the year 1995 to 2008 compared to the publication count with the topic "quantum mechanics" in the same time

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year 2006 Andrew Z. Fire and Craig C. Mello have been awarded with the Nobel Prize in Physiology or Medicine “for their discovery of RNA interference - gene silencing by double-stranded RNA”. Additionally Victor Ambros, David Baulcombe, and Gary Ruvkun won the Albert Lasker Basic Medical Research Award in 2008 “for discoveries that revealed an unanticipated world of tiny RNAs that regulate gene function in plants and animals”<sup>6</sup>.

Revealing the biology underlying RNAi in different species, the unexpected observation of gene silencing and other phenomena were integrated into a more comprehensive view on the role of RNA in the regulation of gene expression.

## 1.2. Historical perspective on RNAi

In the middle of the 1980s, a novel technique was established utilizing antisense RNA to inhibit gene function in cultured murine cells<sup>7</sup>. DNA expression constructs were generated by excising the protein coding sequence of a cloned gene and this sequence was reinserted in reverse orientation in relation to the promoter. These constructs showed inhibition either injected or transfected into cells.

Injection of *in vitro* transcribed antisense RNA into *Drosophila* embryos<sup>8</sup> resulted in specific down regulation of the targeted genes. This antisense RNA technique also worked in transgenic organisms<sup>9</sup> and in an inducible manner<sup>10</sup>. Thereby the antisense RNA was believed to hybridize to the mRNA by Watson Crick base pairing and thus prohibiting mRNA translation of this specific gene.

In the course of time, RNAi technology has been used widely for evaluating gene function in all genetic model organisms. The most prominent example for this application is the so called Flavr Savr<sup>®</sup> transgenic tomato (1988). This tomato was generated by the Calgene start-up company and became the first engineered food to gain FDA approval in 1994. In these transgenic tomato plants, the polygalacturonase gene expression was inhibited by antisense technology leading to a longer time in which the ripe fruits can be stored without getting soft. It was supposed that with this feature farmers would be able to ripen the tomatoes on the vine, with the benefit that already ripe tomatoes are cropped and transported to consumers. In doing so the tomatoes are thought to have more flavor than the ones that are ripened after transportation induced by ethylene. Viewed from our present knowledge of RNA function, this technique induces posttranscriptional gene silencing (PTGS) but not simply prevents mRNA translation via hybridization.

### 1.2.1. Posttranscriptional gene silencing (PTGS) in plants

The first evidence for induced gene silencing was given accidentally by an attempt to increase the petal color of petunia (Figure 2). Extra copies of pigment producing genes were introduced into transgenic plants. Surprisingly, the result was not an increase of flower pigmentation but a variegated or completely white color<sup>11,12</sup>. Therefore, this phenomenon was called cosuppression.



**Figure 2: PTGS in plants.** Attempting to increase flower color in transgenic petunia a variegated pigmentation occurred <sup>11</sup>.

Subsequently, cosuppression turned out to occur in animals and fungi as well.

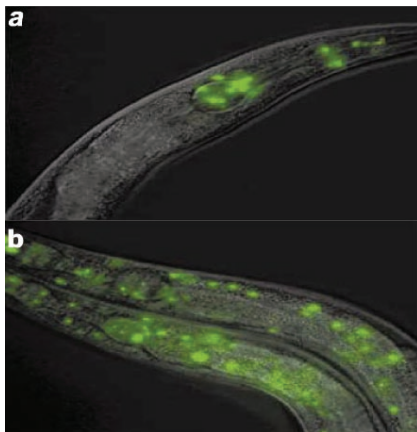
Two related transgenes can also induce silencing of each other, which shows that this process is not limited to affecting the endogenous genes.

On the one hand, cosuppression can occur on the level of transcription (transcriptional gene silencing, TGS), meaning that DNA methylation patterns are involved in this process. On the other hand, silencing shows posttranscriptionally effects (posttranscriptional gene silencing, PTGS), since it was shown that homologous transcripts are produced, but rapidly degraded in the cytoplasm <sup>13,14</sup>. An important investigation for PTGS was the finding of a correlation between PTGS and an unexpectedly short RNA species of around 25 nt, corresponding to both the sense and the antisense sequences of the targeted genes <sup>15</sup>. This feature has a striking similarity to RNAi which functions by related mechanisms.

It took more than a decade of research from the first surprising discoveries until a convincing explanation how the RNAi pathway may work.

### 1.2.2. The discovery of RNAi

The key experiments to discover the phenomenon of dsRNA-induced gene silencing were performed in the nematode worm *Caenorhabditis elegans*.



**Figure 3: RNAi in *C. elegans*.** a) GFP expression can be silenced, b) Negative control; only GFP expression without silencing <sup>4</sup>.

In 1995, it was found that sense RNA is as effective for suppressing gene expression as antisense RNA <sup>16</sup>. Following these results, Fire, Mello and colleagues designed an experimental setup in an approach using antisense RNA to inhibit gene expression <sup>4</sup> and to test the synergy effects of both sense and antisense RNA. Surprisingly they found, that the dsRNA mixture was at least ten times more potent as a trigger of gene silencing than each of the RNA strands alone.

They also suggested that the silencing effects in former sense RNA experiments are due to contaminations of the *in vitro* prepared RNA with dsRNA.

Subsequent experiments showed that RNAi inhibits specific gene expression posttranscriptionally and leads to genetic phenotypes either identical to null mutations or resembling allelic series of mutants <sup>4</sup>. It was noted that only a few molecules dsRNA per cell are sufficient to trigger gene silencing leading to the prediction of a catalytic or amplification component of RNAi in this system. Analogous to PTGS in plants, RNAi in *C. elegans* is associated with the formation of small RNAs of 20-25 nt (siRNA) <sup>17,18</sup>.

## 1.3. Elucidation of the molecular mechanism behind RNAi

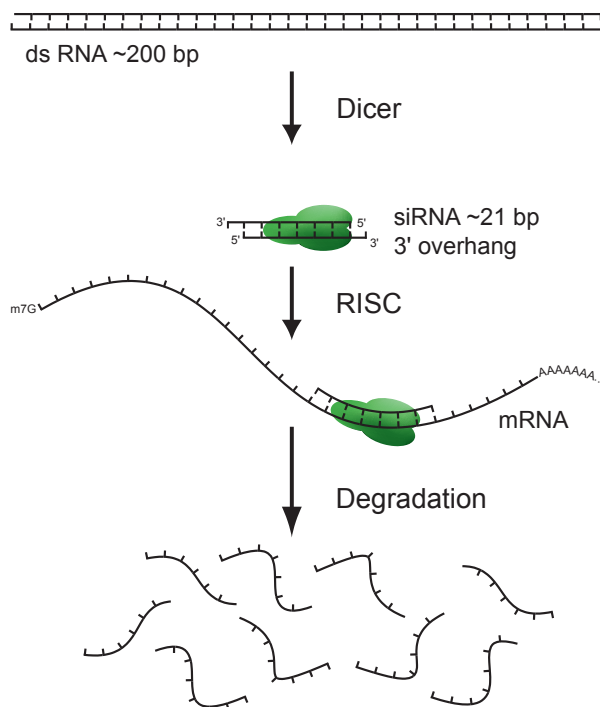
Insights into the generation and function of these siRNA molecules could be accomplished by a combination of biochemical approaches and classical genetic dissection.

### 1.3.1. Discovery of the RNAi pathway

In a cell free system derived from *Drosophila* embryos, the targeted mRNA degradation together with associated siRNA formation could be stimulated<sup>19</sup>. In this system, it was shown that substrate mRNAs are cleaved at regular intervals of 21-23 nt in the region covered by the introduced dsRNA. Transfection of dsRNA into cultured *Drosophila* S2 cells showed comparable results and a sequence specific nuclease activity could be partially copurified from these cells with small RNAs of about 25 nt in length<sup>20</sup>. This gave the hint that the siRNA serves as a template to target a nuclease to the specific mRNA to be degraded.

The final proof that siRNAs are real intermediates in this pathway mediating sequence specific mRNA degradation has been given by showing that chemically synthesized RNA duplexes similar to siRNAs can guide specific target cleavage *in vitro*<sup>21,22</sup> and *in vivo*<sup>23</sup>. This was an important finding in using RNAi in mammalian systems as well (more detailed in chapter 1.5.1).

Taken together these results established the model for a pathway through which RNAi works (Figure 4). In a two step process, the dsRNA of about 200bp in length homologous to an endogenous gene is diced by a dsRNA specific



**Figure 4: Basic RNAi pathway.** Long dsRNA is cleaved by Dicer into siRNAs, which are dsRNAs with 3' overhangs. Subsequently, siRNAs guide mRNA targeting and cleavage by RISC, which leads to the degradation of the mRNA.

nuclease into 21-23bp siRNAs, consisting of a double stranded RNA, each strand with a two nucleotide 3' overhang and a 5' phosphate terminus<sup>19,22</sup>.

This siRNA guides a nuclease-containing protein complex named RISC (for RNAi-induced silencing complex) to the substrate by base pairing of the antisense strand of the siRNAs to the mRNA. Using hydrolysis of ATP, RISC cuts with its endonuclease activity in the region homologous to the siRNA<sup>24</sup>. Subsequently this triggers the destruction of the specific mRNA.

It was soon suggested that RNase III proteins were involved in the production of siRNAs because of their discrete length.

And indeed, it turned out that in a screen for RNase III family members one protein could be immunoprecipitated with dsRNA dicing activity<sup>25</sup>. This enzyme was called Dicer<sup>25</sup> and its involvement in the RNAi machinery was shown by silencing Dicer with dsRNA targeted Dicer. As RNAi the Dicer enzyme is also evolutionary conserved and has homologs in fungi (*Neurospora crassa*, *Saccharomyces pombe*), plants (*Arabidopsis thaliana*), and animals including *C. elegans*, *D. melanogaster*, and mammals.

The human Dicer for example is able to cleave dsRNA to siRNAs<sup>25</sup>, and in *C. elegans*, mutants deficient for the Dicer ortholog (DCR-1) are resistant to RNAi induced by dsRNA<sup>26-28</sup>. This shows that probably all systems supporting dsRNA-induced silencing depend on a Dicer family member to cut dsRNA into siRNAs.

### 1.3.2. Genetic screens for mutants lacking RNA induced gene silencing

The similarity in induction, degradation and associated generation of short dsRNA species in RNAi, PTGS and quelling (the same phenomenon independently discovered in *Neurospora crassa* and therefore named differently) already indicated an underlying evolutionary conserved mechanism. Genetic screens for mutants defective in induced silencing, followed by positional cloning, substantiated the similarity of these effects at the biochemical level and revealed most of the molecular components that are required for RNA interference (for reviews see<sup>2,11</sup> and<sup>29</sup>).

Table 1 summarizes the most prominent mutants with phenotypes associated with RNA induced silencing. In summary the mutation analysis in various species clearly suggests a common set of genes involved in RNA induced silencing but also some species specific observations.

### 1.3.3. Characterization of Dicer and RISC

After the basic pathway in the RNA mediated gene silencing mechanism could be unraveled as a two step process which is divided into initiation stage and effector stage the molecular mechanisms and involved factors have been subject to intense studies. The main players of the RNAi machinery either belong to the RNase III enzyme family or to the PPD (PAZ Piwi domain) proteins. The best characterized member of the RNase III family is Dicer, which is the dsRNA-specific ribonuclease at the initiation step of the RNAi pathway<sup>25,30</sup>. There are three structural classes of RNase III enzymes: Class I e.g. *E. coli* RNase III contains one endonuclease domain (RIII) and a dsRNA-binding domain. These proteins are known to be involved in RNA processing of rRNAs, tRNAs and mRNAs<sup>31</sup>. The enzyme Drosha which is involved in miRNA maturation is grouped into class II which comprises of two RNase III domains, a dsRNA-binding domain and a proline enriched N terminus<sup>32</sup>. Drosha was found in two multiprotein complexes in which one is involved in pre-ribosomal RNA processing<sup>33</sup> and the other one named Microprocessor consists of an additional dsRNA binding protein<sup>34-36</sup>. The interaction of both proteins is necessary for efficient and specific primary miRNA processing<sup>36</sup>. Dicer belongs to the third

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**Table 1: Mutations with phenotypes involved in RNAi.**

Domain structure	Protein	Organism	Silencing	Mutant phenotype	Putative function
PAZ- and C-terminal PIWI domain	RDE-1	<i>Caenorhabditis elegans</i>	RNAi	RNAi resistant, not required	Initiation of RNAi, downstream of siRNA production;
	QDE-2	<i>Neurospora crassa</i>	Quelling	Quelling defective	Initiation of silencing
	AGO1	<i>Arabidopsis thaliana</i>	PTGS	PTGS deficient, developmental defects	Initiation of silencing
	Ago1	<i>Drosophila melanogaster</i>	RNAi	RNAi deficient	RNAi silencing downstream of siRNA production
	Ago2	<i>Drosophila melanogaster</i>	RNAi		Component of RISC
	Aubergine	<i>Drosophila melanogaster</i>	Stellate silencing	Failure to silence Stellate locus; developmental defects	Translational repressor
RNA dependent RNA polymerase	QDE-1	<i>Neurospora crassa</i>	Quelling	Quelling defective	Generation of dsRNA from aberrant RNAs
	EGO-1	<i>Caenorhabditis elegans</i>	Germline RNAi	RNAi defective for germline genes; germline developmental defects	Generation of dsRNA (germline specific)
	RRF-1	<i>Caenorhabditis elegans</i>	Somatic RNAi	RNAi defective for somatic genes	Generation of dsRNA, secondary siRNA production
	RRF-3	<i>Caenorhabditis elegans</i>	RNAi	Increased sensitivity to RNAi	Dominantly interfering with EGO-1/RRF-1
	SGS2/SDE1	<i>Arabidopsis thaliana</i>	PTGS	PTGS deficient, virus induced gene silencing (VIGS) proficient; abnormal leaf development	Generation of dsRNA
	RrpA	<i>Dictyostellium discitium</i>	RNAi	RNAi defective	Generation of dsRNA



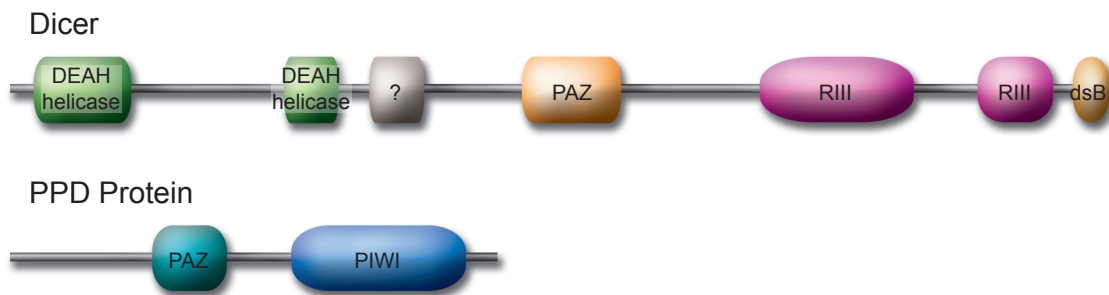
Table 1: Mutations with phenotypes involved in RNAi (continued).

Domain structure	Protein	Organism	Silencing	Mutant phenotype	Putative function
RNA helicase-, PAZ-, RNase III- and dsRNA-binding-domains	Dicer	<i>Drosophila melanogaster</i>	RNAi	RNAi defective	Dicing long dsRNA into siRNAs; miRNAs production
	Dicer	<i>Homo sapiens</i>	RNAi	RNAi defective	Generation of siRNAs and miRNAs
	DCR-1	<i>Caenorhabditis elegans</i>	RNAi	RNAi defective; developmental timing defects; sterile	Dicing long dsRNA into siRNAs; stRNAs and miRNAs production
dsRNA-binding	RDE-4	<i>Caenorhabditis elegans</i>	RNAi	RNAi defective	Initiation of RNAi; generation of siRNAs
Putative RNA-helicase domains (various types)	Mut6	<i>Chlamydomonas reinhardtii</i>		Deficient in transgene silencing; transposon activation; failure to degrade aberrant RNAs	RNA unwinding
	SDE3	<i>Arabidopsis thaliana</i>	PTGS	PTGS deficient; VIGS proficient	RNA unwinding
	SMG-2	<i>Caenorhabditis elegans</i>	RNAi	Failure to sustain RNAi after initiation	RNA unwinding
	MUT-14	<i>Caenorhabditis elegans</i>	RNAi	RNAi deficient for germline-expressed genes	RNA unwinding
	Spindle-E	<i>Drosophila melanogaster</i>	Stellate silencing	Failure to silence Stellate locus; developmental defects; activation of retrotransposons	RNA unwinding
RNase D domain	MUT-7	<i>Caenorhabditis elegans</i>	RNAi	RNAi deficient for germline-expressed genes, cosuppression defective	RNA-degradation
RecQ DNA helicase	QDE-3	<i>Neurospora Crassa</i>	Quelling	Quelling defective	Generation of aberrant RNAs
Chromatin remodelling	DDM1	<i>Arabidopsis thaliana</i>	PTGS	PTGS deficient	Chromatin remodelling

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Domain structure	Protein	Organism	Silencing	Mutant phenotype	Putative function
Methyl transferase	MET1	<i>Arabidopsis thaliana</i>	PTGS	PTGS deficient	Methyl transferase

**Table 1:** Mutations with phenotypes involved in RNAi. In genetic screens numerous mutants of the RNAi pathway have been characterised. This table summarises the most prominent mutant lines with their mutated protein, phenotype and the putative function of the affected gene <sup>1</sup>.



**Figure 5: Domain structure of DICER-1 like and PPD proteins.** Top: DCR1-like proteins consist of about 2000 amino acids; the two RNase III domains (RIII) of Dicer dimerize and form the catalytic center responsible for dsRNA cleavage. Further domains are dsB, dsRNA binding domain; DEAH helicase, a PAZ domain and one domain of unknown function. Bottom: PPD Proteins are defined by the presence of PAZ and PIWI domains. The PAZ domain binds to siRNAs and the PIWI domain serves as binding site for Dicer.

class and contains from the N- to the C-terminus terminus a DEAH-box RNA helicase/ATPase domain, two RNase III domains and a dsRNA binding domain (Figure 5).

In most but not all species there is additionally a PAZ domain between the helicase and nuclease domains. Although in invertebrates Dicer is activated by ATP in mammals this is not the case <sup>24,37</sup>. The complex combination of known structural features with biochemical data and mutational analysis in different species revealed a model of Dicer's molecular action <sup>38</sup>. Intramolecular dimerization of the two RNase III domains is assisted by the flanking domains and this creates a single processing center in which each RNaseIII domain cleaves one strand of the dsRNA. This model implicates that the dsRNA is subsequently chopped approximately 20 bp from its terminus. The PAZ domain recognizes the substrate terminus with the 3' overhang, and the longer RNase III domain, possibly in conjunction with PAZ, measures the distance to the cleavage site. The placement of the protein domains creates the asymmetry of the catalytic region, with RIIIa cleaving the 3'-hydroxyl- and RIIIb cleaving the 5'-phosphate-bearing RNA strand.

The number of dicer genes varies in various genomes from one to four but in *C. elegans* and vertebrates there is only one Dicer gene and this is essential for normal embryonic development <sup>26,39</sup>. In *D. melanogaster* there are two Dicer paralogues (DCR1, DCR2) with distinct functions <sup>40</sup>. Interestingly DCR2 was purified from *Drosophila* RISC <sup>41</sup>. In addition the action of Dicer is



dependent on many interacting factors such as PPD proteins e.g. RDE1<sup>42,43</sup> and dsRNA-binding proteins e.g. RDE-4<sup>44</sup>.

The initial RNAi defective mutation studies already suggested a role of previously poorly characterized PPD proteins in the RNAi machinery. They are highly conserved in diverse organisms and contain two signature domains, the central 100 amino-acid PAZ domain and the C-terminal Piwi domain (Figure 5). The PAZ domain binds to the 2nt 3' overhang of the siRNA duplex and might play a role in transfer of the siRNAs from Dicer to the effector complex RISC<sup>45</sup>. The Piwi domain mediates the already mentioned interaction of PPD proteins with Dicer<sup>42,43</sup> and shows similarity to endonucleases. This fits with the observation that PPD proteins are directly involved in cleavage of targeted mRNAs<sup>46-49</sup>. The function of the different isoforms is often specific and differs from incorporation of siRNAs into RISC (e.g. Argonaute2)<sup>50</sup>, mRNA target cleavage (e.g. Ago2, RDE1)<sup>51,52</sup>, miRNA maturation and translational inhibition (Argonautes1-4, ALG1-2)<sup>26,51</sup>.

#### 1.3.4. Action of RNA-dependent RNA polymerase (RdRP) in amplification and transition

It was soon suggested that there might be an amplification step in the process of RNAi, because only a few molecules dsRNA per cell are able to trigger silencing. Furthermore in plants and *C. elegans*, a systemic spreading of gene suppression was reported<sup>4,53</sup>. But in the meantime, siRNAs from outside of the targeted sequence were detected in addition to siRNAs attributed to dsRNA sequence<sup>54</sup>. Thus, a *de novo* RNA synthesis has to happen. These secondary products of mRNA degradation are called secondary siRNAs and are produced from the targeted mRNA transcript.

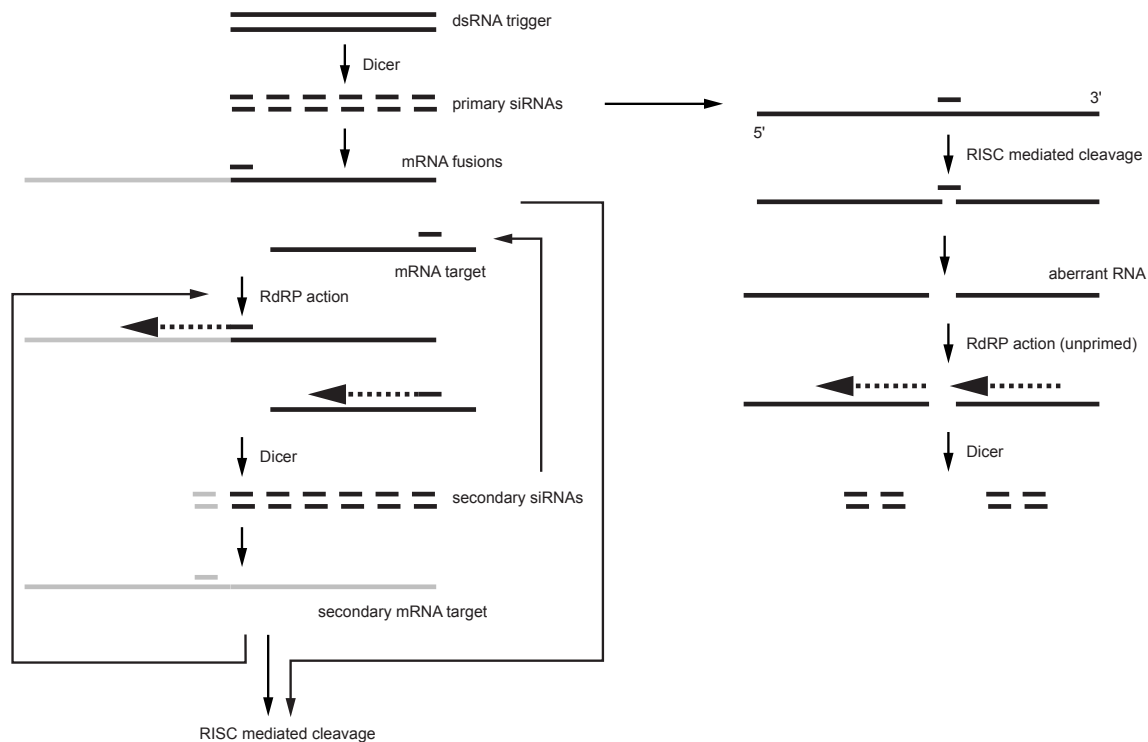
Knock-out studies have already revealed a function of a putative RNA-dependent RNA polymerase (RdRP) in the RNAi pathway (see Table 1). These proteins are homologous to a RdRP isolated from tomato<sup>55</sup>. Biochemical investigations showed that this protein can produce dsRNA by extending primers on RNA templates or by initiating RNA synthesis in the absence of a primer. When this dsRNA is cleaved by Dicer, so called secondary siRNAs are produced.

Experimental results support this model of RdRP action presented in<sup>1</sup>.

An endogenous gene could also be targeted with a dsRNA that has no homology to this gene, e.g. if a transgenic animal expresses an engineered transcript that contains sequences of the targeted gene fused downstream to sequences of the used dsRNA (see Figure 6, left side).

dsRNA is diced into siRNAs and anneals to RNA molecules containing complementary sequences. This complex is then used as a substrate for RNA synthesis by a RdRP. The result is a dsRNA extended on the 5' end to the sequences of the originally introduced dsRNA. The following action of Dicer leads then to secondary siRNAs. Part of them correspond to upstream regions. The secondary siRNAs can either anneal to novel targets that do not have sequence ho-

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**Figure 6: Model for the action of a RNA-dependent RNA polymerase (RdRP) in the RNAi mechanism.**

Left part: When siRNAs are used as primers for *de novo* RNA synthesis by an RdRP, secondary siRNAs can be produced containing 5' flanking sequences of the original targeting sequence (transient RNA). Thus, a signal amplification is achieved. Right part: An unprimed RdRP action would allow bidirectional transition. Figure modified from <sup>1</sup>.

mology to the initial dsRNA in further amplification rounds. On the other hand, the secondary siRNAs can again trigger RISC-mediated RNA degradation.

The above presented model (Figure 6) can also explain how transitive RNA, named after the observation that RNAi transits in one direction, leads to an amplification of RNAi in *C. elegans*.

In another experiment supporting this view, it was shown that short antisense RNA can also mediate RNA silencing even in regions, which are in the proximity of the sequence to which the dsRNA is homologous. The size requirement for the triggering RNA is in this case not as strict as for siRNAs, and this suggests that these molecules are not components of the RISC complex but serve as primers for the action of the RdRP <sup>1</sup>.

In *C. elegans*, RNAi transition occurs only in 3' to 5' direction <sup>54</sup>, but in plants transition works bidirectionally <sup>56</sup> and can therefore not be explained with a siRNA-primed dsRNA synthesis.

A model to explain this is shown in the right part of Figure 6. Aberrant RNA could be produced by RISC-mediated cleavage. RdRP could recognize these molecules and produce dsRNA without priming. The action of Dicer would then produce siRNAs that spread in both directions.

It is interesting that the models outlined in Figure 6 do not depend on the action of RISC in mRNA degradation. The presumed action of the RdRP on the mRNA target delivers substrates for Dicer, and this would lead to mRNA degradation by generating the amplification products. Nevertheless, this model does not exclude the function of RISC in the RNAi pathway. In parallel to this, in *Neurospora*, plants and *C. elegans*, there is no evidence for RISC activity so far, al-

though it is well established in *Drosophila* and mammalian cells. Viewing it from the other side, homologs of the RdRP have neither been found in the *Drosophila* nor in the human genome. Thus, the silencing mechanism could be more divergent in different species than initially anticipated. But nevertheless, experiments with *Drosophila* cell extracts suggest that there might be RdRP priming by siRNAs<sup>57</sup>. But the role of RdRP seems to be not obligatory at least in human cells. There is still RNA-induced silencing, although modified siRNAs in which the 3' terminus was blocked and therefore could not serve as primers have been used as triggers<sup>58</sup>.

So far, it is still not clear whether RNA silencing operates via an RdRP in some species and via RISC in others.

The production of aberrant RNA could as well be initiated by alterations in the chromatin structure, which is known to expand as well. Also the involvement of chromatin remodelling genes in RNAi was shown in genetic screens.

The model involving aberrant RNAs processed by RdRP could also explain another observation in *Drosophila*, where transcriptional and posttranscriptional silencing occurs in the absence of any homology in the transcribed RNA and therefore is different from transitive RNAi in *C. elegans*<sup>59</sup>.

There is another member of the RdRP family, RRF-3, which makes *C. elegans* supersensitive to RNAi<sup>54</sup>. This phenotype could be explained if RRF-3 interfered with the action of EGO-1 and RFF-3.

### 1.3.5. Systemic spreading of RNAi

Another interesting feature of RNAi and PTGS is the systemic spreading of specific silencing in plants and *C. elegans*.

In these species, RNA-mediated silencing is not restricted to individual cells or specific regions - it can spread from the initiation site to distant tissues.

In this context, two features have to be present: Beside the amplification of siRNAs that mediate silencing (see 1.3.4.) there is the interesting question of how and which signals are able to spread silencing effects from cell to cell (for short range transport) and throughout the whole organism (for long range transport). The most convincing data have been achieved with grafting experiments in plants<sup>53</sup>. They showed that sequence-specific silencing is unidirectionally transmitted from tissues of the root system (called stock) to upper vegetative tissues (called scions). Therefore, it was suggested that there must be a diffusible silencing agent. In these experiments, effects were only visible when the scions express the targeted gene in higher levels than in wild-type scions<sup>60</sup>. This suggests that only a limited number of triggers reach the distant effector sites and again some kind of signal amplification might be necessary.

In *C. elegans*, GFP expression can be silenced both in the germline and in most somatic tissues when dsRNAs against GFP are fed<sup>61,62</sup>. But food-induced silencing is not complete, neuronal

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cells seem to be less sensitive than the other tissues to silencing signals. However, if dsRNA is expressed in these cells, RNAi works.

Looking for mutants that are defective in systemic spreading of RNAi in *C. elegans* (but not only in the uptake of dsRNA) three complementation groups were identified: *sid-1*, *sid-2* and *sid-3*. Among these systemic RNAi deficient mutants, *sid-1* was cloned and encodes a transmembrane domain that the cell requires autonomously<sup>63</sup>. Perhaps this protein is necessary for the transport of the transmissible silencing signal. In *C. elegans*, the transmission of RNAi is not very effective, maybe due to limited intercellular transport.

The transmittable silencing signal has yet not been identified in any species, however, it is believed that dsRNAs, possibly generated by the action of RdRP or siRNAs, are the transferred molecules.

### 1.4. Biological functions of RNAi

The combination of mutation studies and biochemical analyses show that PTGS, RNAi and quelling are not only resulting from the progressive action of Dicer and RISC, but there are also other proteins involved bridging RNAi to processes such as translation, transposition, viral defence and interactions with epigenetic events.

#### 1.4.1. Alterations in chromatin structure

There is evidence for a connection between RNA silencing and epigenetic events, at least in plants. The definition of epigenetics has been altered in the course of research and might be shifted again when including RNA-induced phenomena. Nevertheless, today epigenetics describe changes in gene expression that may result in mutant phenotypes, without altering the DNA sequence, such as methylation of DNA. These changes can be reversed for example, by the loss of methylation and do not follow Mendelian rules of inheritance. Epigenetic effects can be inherited, but do not necessarily have to. (For review see<sup>64</sup>)

When histone H3, one of the chromatin's protein components, is deacetylated and methylated on lysine 9, the associated DNA is methylated. This locks the chromatin into a silencing state<sup>65</sup>. Although histone methylation and RNAi seemed to be separate mechanisms, recent studies show that both effects share a common pathway.

The first hints of a connection came from the plant mutants MET1 and DDM1, coding for a methyltransferase (MET1) and a chromatin remodelling complex (DDM1) respectively, were found<sup>66-68</sup>. When plants which are mutated at these loci, are crossed with transgenic plants carrying a PTGS- or TGS silenced reporter construct, the silencing effects are basically released in the progeny<sup>67</sup>. The exact analyses of the observed effects suggests, that *ddm1* might be necessary for the establishment of PTGS and *met1* for the maintenance of PTGS, which is also supported by another study<sup>66</sup>.

Genomic methylation can also be induced by dsRNA at sites of sequence homology<sup>69</sup>. Methylation in the coding sequence seems to have no effect on transcription of this locus, but silencing occurs at the posttranscriptional level.

On the other hand, if promoter sequences are methylated, transcriptional gene silencing (TGS) is induced<sup>70</sup>, which is stable and heritable, in contrast to PTGS<sup>66</sup>.

In further experiments, genes that are involved in gene silencing were found to be also involved in DNA methylation and alterations in chromosome structure (among these AGO1 and piwi a Argonaute-2 homolog)<sup>52,59</sup>. Which means that at least in some species there are RNA-induced transcriptional silencing effects that can explain gene silencing by increasing the density of DNA packaging. But only little is known how the genomic DNA is recognized, what is the trigger for silencing and how it is guided into the nucleus. It is possible that a variant of RISC, containing a chromatin remodelling complex instead of a ribonuclease exists and targets genomic DNA via the action of siRNAs. This model is supported by observations that relatives of Dicer and RISC are required for silencing the repeats in the centromere region of the chromosome in *Schizosaccharomyces pombe* (unpublished but noted in<sup>2</sup>).

A connection between epigenetic effects on identity and function of the centromere has also been noted before the discovery of RNAi involvement<sup>71</sup>. Very recent findings with fission yeast lacking RNAi machinery support this idea.

There might be also a biological role of RNAi in genome organisation by forming heterochromatic domains. It is well known that centromeric heterochromatin structures are necessary for chromosome segregation and sister chromatid cohesion during mitosis and meiosis (for review see ref.<sup>72</sup>). In this case, RNAi mutant cells were not able to properly form heterochromatin at their centromeres and subsequently correct segregation was abolished<sup>73</sup>. This study demonstrates that the fission yeast RNAi machinery is required for the proper regulation of chromosome architecture during mitosis and meiosis. Thus, a role of RNAi in cell cycle regulation and cancer can be implicated.

Beyond this, aberrant DNA methylation could lead to the silencing of tumor suppressor genes. Therefore, DNA hypermethylation is associated with many forms of cancer and even be used as marker for diagnosis<sup>74</sup>.

Related mechanisms of gene silencing may be responsible for X chromosomal inactivation in female mammalian cells, because histone methylation, eventually affecting the whole chromosome, is followed by DNA methylation<sup>75</sup>. Associated with the inactive X chromosome, polycomb group proteins have been found<sup>76</sup>, which are known to organize chromatin into open or close conformations. In doing so, chromatin domains are shielded from remodelling enzymes and, thus, heritable patterns of gene expression are created. In other experiments, it was found that polycomb proteins are also involved in RNA-induced silencing<sup>77</sup> and under particular conditions, polycomb proteins are even required for RNAi function<sup>78</sup>.

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In *Tetrahymena* it was shown that RNAi-related mechanisms are even involved in genome rearrangement<sup>79,80</sup>. In this organism the gene, *TWI1* is homologous to *piwi* and is required for elimination of DNA during chromosome rearrangement. Specific expression of small RNAs was associated in wild-type cells but not in *TWI1* knockout cells.

It can be proposed that these small RNAs function to specify sequences to be eliminated by a mechanism similar to RNA-mediated gene silencing.

### 1.4.2. Viral defence in plants

Other studies demonstrated the importance of RNA-mediated silencing in protective functions. In plants, PTGS is an important component in viral defence, because plant viruses can induce viral-induced gene silencing (VIGS), which is following the same pathway as PTGS but is triggered by viral infection (reviewed in ref.<sup>29,81</sup>). Plants exhibiting transgene induced PTGS of a virus sequence are immune to this virus<sup>82</sup>.

There are also reports in wild-type plants that recovery from viral infection is associated with specific viral RNA degradation, and these plants are subsequently resistant to infection with the same virus<sup>83</sup>.

The first observations of cross-protection in plants go back to the 1920s. It was observed that plants can be protected from a harmful virus by prior infection with a mild closely related virus strain. To date this phenomenon can be explained, although plants do not have an immune response similar to vertebrates at all.

A number of PTGS-deficient mutations in *Arabidopsis* (*sgs2/sde1*, *sgs3*, *sde3*, and *ago1*) produce plants that are hypersusceptible to viral infection by cytomegalovirus (CMV)<sup>84,85</sup>.

It is estimated that around 90% of plant viruses have a RNA genome and, therefore, they have to reverse transcribe their genome prior to replication. During this process, either RNA/DNA hybrids are produced and trigger VIGS or viral RNA is recognized as aberrant.

Other plant viruses have developed strategies to counteract or escape PTGS, because many viruses can inhibit PTGS completely (e.g. tobamovirus TVCV) or at least partially (CMV) in *Arabidopsis*<sup>84</sup>. Some of the inhibitory viral proteins have been identified and show inhibition when applied without infection. Unfortunately, there are no similarities among these different proteins, and it is mostly unknown how they work.

### 1.4.3. Transposon silencing

RNA viruses share reverse transcription during replication as well as other features with retrotransposons and transposons. Transposable elements have some common structural organisation and are able to be released from the DNA integrating at another site with the help of enzymes called transposases. RNAi can also be functionally linked to protecting genome's integrity from these endogenous parasitic nucleic acids. Some RNAi-deficient *C. elegans* strains show a



very high mutation rate due to increased mobility of transposable elements<sup>77,86</sup>, and similar observations were made in other species.

For example, in *Drosophila*, the loss of the RNA helicase spindle E, which is known to be involved in dsRNA induced silencing of the stellate genes and thereby relieves them from silencing. Furthermore the phenotype shows a derepression of retrotransposons in the germline<sup>87</sup>.

A further hint for a direct targeting of retrotransposon transcripts comes from the detection of siRNA derived from retrotransposons in *Trypanosoma brucei*<sup>88</sup>. It has been shown previously, that dsRNA-induced silencing exists in this organism<sup>89</sup>.

In plants, many studies show a connection between transposon inactivation and methylation<sup>90-93</sup> as well as the involvement of methylation in transposon inactivation<sup>94,95</sup>. The connection between methylation and RNAi- induced silencing has been discussed, but it is still not clear whether the methylation itself inactivates the transposon or whether changes in chromatin structure are secondary effects. During reverse transcription, retrotransposons produce DNA/RNA hybrids which are able to mediate PTGS, as was shown in VIGS. However, there are more modes of action possible how dsRNA or hairpin RNA (which triggers gene silencing just like dsRNA) can be produced by transposons. Transposons have so-called long terminal repeats (LTR) and terminal inverted repeats. Depending on the orientation of two integrated transposons or the orientation of these repeats within one transposon there are multiple possibilities to produce dsRNA or hairpins RNAs during transcription (ref. <sup>29</sup>).

### 1.4.4. RNAi in development

In the course of research for RNAi products a novel gene class so called microRNAs (miRNAs) have been discovered. miRNAs are generally encoded in the genome and biogenesis of the functional molecule is dependent on the RNAi pathway. Generally miRNAs down-regulate their target genes and among other functions are highly involved in regulation of developmental processes. This is described in detail in chapter 1.6.3.

## 1.5. RNAi as a tool

The discovery and elucidation of RNA interference and its mechanism as well as the exploration of micro RNAs as functional genetic elements have revolutionised the scientific view on RNA. But beyond the thrilling questions on the biological role of these newly discovered RNA species, this technology has just paved the way for a variety of reverse genetics applications.

It seems as if it was just in time, after the complete sequencing of several genomes and the development of DNA microarray technologies. Although they gave the proper basis for the development of modern functional genomics, there is more to study of how the genome works. RNA interference is a suitable method for selectively inducing and silencing the expression of each individual gene. Therefore, RNAi enables completely new possibilities to address the function of

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particular genes without altering the genome structure.

The potential of this technique leads even a paradoxical situation as it is used to reveal its own mechanism and identify genes required for RNA interference <sup>78</sup>.

In plants for example the conventional “knock-out” strategies use insertional mutagenesis with heterologous maize transposons <sup>96</sup>, *Agrobacterium*-mediated T-DNA insertions <sup>97,98</sup> or antisense RNA technology. These techniques are now complemented with the possibility to effectively generate specific and conditional knock-down phenotypes using hairpin RNAs <sup>99,100</sup>.

In *C. elegans*, high throughput studies have been performed to generate knock-down phenotypes on a genomewide scale <sup>101-103</sup>.

### 1.5.1. RNAi in mammalian cells

In the beginning of the discovery of RNA-induced gene silencing, only scientists working with plants or *C. elegans* were able to use RNAi for functional genetics in RNA-induced knock down studies. In the early RNAi experiments, dsRNA molecules of about 500 bp were used to trigger gene silencing <sup>4,104-106</sup>.

The obstacle in mammalian cells was that they activate antiviral defense mechanisms when dsRNAs longer than 30 bp were introduced into the cells <sup>107,108</sup>. Some established responses after this treatment are the activation of a dsRNA-responsive protein kinase (PKR) and the production of interferon, which results in an unspecific degradation of RNA transcripts and a general inhibition of protein synthesis. Exceptions were only shown in embryonic stem cells or embryonic teratocarcinoma cells in which long dsRNA were able to specifically trigger RNAi <sup>109,110</sup>.

But with the elucidation of the RNAi pathway and the discovery of siRNAs, all mammalian cells became amenable to RNAi. *In vitro* synthesized 21 nt siRNAs greatly bypassed general cellular responses and induced gene specific silencing in mammalian cells <sup>111,112</sup>.

Functional siRNAs can be produced in different ways: First, RNA oligonucleotides can be synthesized via a solid phase synthesis and annealed to functional siRNAs with 3' overhang. Secondly, they can be *in vitro* transcribed with T7 RNA polymerase, and finally, dsRNA can be digested *in vitro* by RNase III from *Escherichia coli* into siRNAs.

Chemically synthesised RNA is rather expensive but quickly accessible and has a good purity, whereas the *in vitro* transcription procedure provides a siRNA with far reduced costs. The *in vitro* digest of long dsRNA with RNase III produces a mixture of small RNA molecules. This has the advantage that it is not necessary to test for functional targeting sequences. But on the other hand, this may lead to unwanted silencing of related genes or of genes with short stretches of sequence homology.

The delivery of siRNAs is normally performed by lipofection procedures. This is a good approach for large scale screening.



### 1.5.2. Rules for the design of functional siRNAs

Since the discovery of siRNAs, mammalian systems have become accessible for RNA interference<sup>111</sup>. This has a great impact on large-scale screening of candidate genes in cell culture by transfection of synthetic siRNAs. On the one hand biochemical knowledge and on the other hand the combination of empirical approaches with statistical methods revealed some guidelines for effective siRNA design but yet some sequences occur to be not effective in gene silencing:

Like their natural archetypes functional siRNAs harbour two or three nucleotides of 3' overhang. Most often two 2'-deoxythymidines are used as overhang to protect the molecules from exonucleases. The 19 nt targeting sequence of the siRNA has to be fully homologous to the target gene and should not be located in introns, UTRs or within 75 bases from the terminus of the mRNA. Ideally nonspecific effects are minimised by choosing sequences in BLAST searches that show minimal homology to other transcripts.

Since only one strand the so called guide strand of the siRNA is loaded into the RISC complex<sup>113</sup> it was shown that the relative stabilities of the base pairs at the 5' ends of the two siRNA strands determine the degree to which each strand participates in the RNAi pathway<sup>114</sup>. It is believed that the single stranded siRNA is loaded from the 5' end into RISC and the strand with the less stable 5' end is preferentially incorporated. Thus siRNAs should be designed in a way that the 3' end of the double strand is destabilised by A/T residues or chemical modifications. Several studies tried to extract rules for efficient siRNAs running supervised clustering methods on huge systematic datasets of siRNA silencing<sup>115-117</sup>. For more in depth discussion on specific design rules see<sup>118</sup>.

But the siRNA-mediated gene silencing is of a transient nature and therefore, it does neither allow an inducible nor a heritable expression. As a consequence, it is not suitable for the generation of stable cell lines and transgenic animals.

To circumvent these problems, vectors expressing siRNAs or precursors, that are processed to functional siRNA are needed.

### 1.5.3. Vector systems for expression of shRNAs

Furthermore, vector-based systems for the delivery of siRNAs and stable gene suppression are available<sup>119</sup>, which additionally enables to use RNAi in viral vectors or transgenic animals.

The commonly used expression vectors to express proteins are based on RNA polymerase II (Pol II)-dependent promoter systems. The cell uses Pol II-derived transcription for the synthesis of all protein-encoding mRNAs. These primary transcripts are extensively modified by splicing, addition of a 5' cap nucleotide and long repetitions of adenine residues so called polyA tails. These modifications lead however, to a block of RNA-induced silencing and therefore make Pol II-based vectors inapplicable for the expression of siRNA.

But in eucaryotic cells, there are three RNA polymerases with different features serving for dif-

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**Table 2: Features of the different RNA polymerases.**

Features	Polymerase I	Polymerase II	Polymerase III
Type of RNAs synthesized	rRNA (excluding the 5S rRNA)	mRNAs and some small nuclear RNAs (snRNAs)	tRNAs, the 5S rRNA and some snRNAs
Post-transcriptional processing	Limited (only splicing)	Extensive (splicing, capping at the 5' end, polyadenylation at the 3' end)	Limited (only splicing)
Transcription initiation and termination	Clearly defined	Not clearly defined	Clearly defined
Inducible and tissue- or cell type specific promoters	Not available	Numerous systems available	Only few inducible available
Species specificity	High (even between closely related species)	No	No

**Table 2:** Since RNA polymerase I and III transcripts are only spliced and have no further posttranscriptional processing, they can be used in expression vectors to transcribe functional siRNAs or shRNAs. By doing so generation of stable gene suppression can be achieved via the RNAi mechanism. Beyond that transcription initiation and termination is well characterized in these two systems. A unique feature of the Pol I transcription is its species specificity.

ferent functions that might be suitable for RNAi mediating vectors (Table 2).

RNA polymerase III (Pol III) naturally transcribes tRNAs, the 5S rRNA and some snRNAs. On the other hand, the cellular function of RNA polymerase I (Pol I) is to express rRNA, except of the 5S rRNA. The transcripts of both enzymes are modified after synthesis only by splicing, and therefore, vectors containing promoters for each of these enzymes are potentially able to mediate RNAi. Nevertheless, to the present, experiments have only been performed utilizing Pol III.

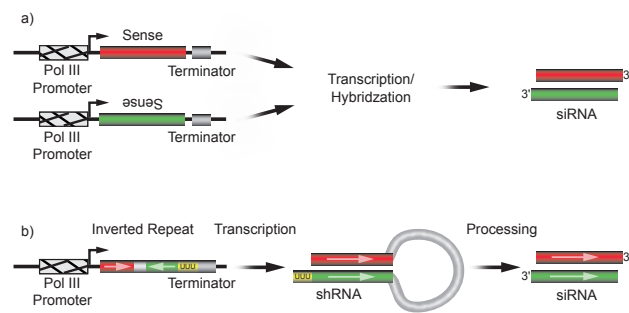
In early 2002, a milestone in developing vectors for RNAi in mammals was achieved.

Intracellular transcription of small RNA molecules were obtained by cloning siRNA templates into RNA polymerase III transcription units between a polymerase III promoter and a transcription termination site of 4-5 thymidine residues. These transcription units normally encode small nuclear RNA (snRNA) U6 or the human RNase P RNA H1, and thus, its promoter is called U6 promoter, or H1 promoter respectively.

Initially there were two different approaches: Either sense and antisense strand constituting the siRNAs are transcribed from individual promoters, (Figure 7a)<sup>120, 121</sup> or so called short hairpin transcripts are produced from a single promoter (Figure 7b)<sup>122-125</sup>.

The transcript of shRNA-expressing vectors is terminated at position 1-4 of the termination site and folds into a hairpin structure with a 29 bp stem having perfect homology an 8 nt loop and at the 3' end <sub>1-4</sub>U-overhangs. The end of the loop of the shRNAs is processed *in vivo*, reminiscent to the processing of miRNAs, and this generates a 21 nt siRNA molecule, which in turn initiates RNAi (see also chapter 1.6.2 and Figure 9 for analogy to miRNA processing).

Gene silencing via both types of expression vectors is as efficient as directly transfected siRNAs. In case (b) the efficiency appears to be best probably because hairpin formation is more efficient



**Figure 7: Vector-based systems to induce RNAi based on Pol III transcription units.** (a) shows an approach to express two short RNA molecules from individual promoters. These RNA molecules are transcribed from an U6 promoter in sense and antisense orientation respectively. Thus, the transcripts can hybridize and form functional siRNAs. (b) an inverted repeat consisting of a target sequence in both sense and antisense orientation separated by a short (8 nt) spacer is transcribed from an U6 promoter. The RNA can form a fold back structure, which is processed into siRNAs in a similar manner as miRNAs.

when sense and antisense strand are connected which increases the probability of hybridization. Today the most used vectors for the stable expression of siRNAs transcribe shRNAs from Pol III promoters that are based on the U6 snRNA gene or the the human H1 RNA. Nevertheless, shortly after the development of U6 or H1 promoter based vectors, there was a report on the inhibition of gene expression based on miRNAs<sup>126</sup>. In this case, the mir-30 precursor was the template, of which the stem sequence was substituted with different targeting sequences. In deed, the authors showed that these constructs were processed similar to the natural mir-30 and were able to silence endogenous genes in human cells. Since miRNAs are transcribed from Pol II promoters, in this special case posttranscriptional modifications do not disturb the silencing potency of these artificial miRNAs. The vector development to stably express siRNAs enabled an additional option in functional genetics to establish transgenic RNAi.

The established vectors have been further developed into inducible or conditional systems in two basic approaches. Either irreversible induction of shRNA expression in transgenic animals is achieved by adapting the commonly used Cre/LoxP recombination system (for details see chapters 1.5.4. and 1.5.5). Or true reversible gene knock-down is achieved by using doxycycline dependent shRNA transcription systems. Thereby either steric hindrance, epigenetic repression or transactivation of Tet-on and Tet-off systems have been adapted to both Pol III promoters and miRNA-based Pol II promoters reviewed in<sup>127</sup>.

#### 1.5.4. *in vivo* RNAi in mice

RNA interference has been used not only in cell culture but also in adult mice. Initially animals were treated systemically with siRNAs by hydrodynamics-based transfection, i. e. injection of either siRNA or shRNA expression vectors into the tail vein<sup>128,129</sup>. In the following important improvements in increasing delivery or stability of synthetic siRNAs could be achieved by introduction of several chemical modifications<sup>130-132</sup>. In addition to direct modifying synthetic siRNAs alternative delivery strategies using carrier molecules have been developed since siRNAs as highly charged molecules do not cross cell membranes by free diffusion. Cationic polyethyl-animines (PEI) have been used for *in vivo* transfection<sup>133-135</sup>. Also a large number of liposome based carriers have been used successfully to mediate RNAi like lipid-polyethylene glycol (PEG)

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to encapsulate siRNAs<sup>136,137</sup>. This approach was used excessively in experiments to silence pathogenic viruses and was able to confer resistance to infection. Remarkably the observed silencing effects in liver sustained to more than a week. But to facilitate long term RNAi silencing vectors expressing shRNAs have been used in animals. This is mostly achieved by viral transduction based on gene therapy vectors. Depending on the cell type under investigation adenovirus (AV), retrovirus (RV), herpes simplex, adeno-associated virus (AAV) or lentiviruses (LV) are applied. Also stable expression of shRNAs in blastocytes or embryonic stem cells was shown either by direct injection<sup>138</sup> or viral transduction<sup>139</sup>. Transgenic animals can be derived from such treated stem-cells or blastocytes. RNAi mediated targeting of genes within embryonic stem cells recapitulate the phenotypes of traditional knock-out mice<sup>140-142</sup>.

Thus, using transgenic RNAi approaches it is possible to perform knock-down studies in animals without established embryonic stem cell technology like rat<sup>143</sup> and goat<sup>144</sup>.

An interesting feature of RNAi is that it is mediated by diffusible molecules (siRNAs) which works in *trans* also under hemizygous conditions and thus phenotypes can be directly assessed in embryos or adult animals generated from ES cells by tetraploid aggregation without further breeding<sup>142</sup>.

In transgenic animals either generated from virus transduced ES cells or by pronuclear injection a conditional RNAi approach can be achieved by using the available Cre-mediated activating or inactivating vectors<sup>145-148</sup>. In the activating configuration a stuffer sequence that is flanked by modified loxP sites is placed between a U6 promoter TATA box and the shRNA sequence. Upon Cre-mediated excision of the stuffer sequence the functionality of the U6 promoter is restored<sup>146,147</sup>. Another group inserted a loxP flanked stop cassette harbouring termination sequences into the loop region of a U6 driven shRNA vector<sup>148</sup>. Initially the construct only expresses the sense region of the hairpin which does not trigger RNAi. After recombination the transcriptional stop is removed and functional shRNAs lead to gene silencing. The inactivating approach removes the whole Pol III expression cassette via Cre recombination and thus stops shRNA production. Conventional transgenic approaches are already available to influence gene expression in a temporal and region specific manner utilizing the Cre/loxP system to induce conditional null mutations<sup>149,150</sup>. This system has refined the analysis of gene function, but the experimental set-up does not allow reversible changes. One of the advantages of RNAi mechanisms is that they do not influence DNA structure and are therefore in principle reversible as the transient effects of siRNAs directly introduced into mammalian cells show. Giving the possibility to suppress gene expression specifically without destroying or mutating the genome, RNAi is likely to be the best approach in medical treatment of viral infections by gene-based therapy. Indeed, several *in vivo studies* have been performed in order to target Hepatitis B<sup>137,151</sup><sup>152</sup> and HIV-1<sup>153,154</sup> with RNAi and were rather successful. In doing so not only new infections could be prevented but also existing infections could be dampened. This very promising approach could become as

important as the discovery of penicillin in the treatment of bacterial infections, especially if ways were found to achieve systemic spreading of RNAi in mammals as it was shown in *C. elegans*<sup>155</sup>. RNAi will be also very useful to understand viral pathology by knock-down viral genes.

In summary, this recent technique of RNA-induced gene silencing will have a great impact on the analysis of gene function and of yet unknown cellular processes. Future will also include gene-based therapy and anti-viral medication.

### 1.5.5. RNAi in the adult mouse brain

The basic application methods described in 1.5.4. have been also applied to the brain. Nevertheless, there are two major drawbacks: Firstly, since the blood brain barrier prevents the transition of most macromolecules from blood into brain tissue systemic approaches using intravenous injections have limited applications for RNAi to the brain. Although by using so called molecular Trojan horses such approaches have been successful<sup>156</sup> they do not allow to target distinct brain areas or cell types. Secondly for unknown reasons neurons are more difficult to transfect than many other cell types. Primary neurons or brain parenchyma are particularly challenging.

Applications of naked siRNA have only moderate effects on gene expression in primary neurons and although such molecules are taken up by endocytosis there are not sufficient cytoplasmic siRNA levels to trigger efficient RNAi<sup>157</sup>. Also direct injection of siRNAs into the rat striatum did not produce the expected silencing effect<sup>158</sup>. Later only few labs report robust silencing in the mammalian brain via naked siRNAs mostly applied chronically using intraventricular infusion aided by osmotic minipumps<sup>159,160</sup>. Nevertheless, this system is limited in preferential targeting cells adjacent to the ventricular zone.

Liposomic transfection agents are efficiently used to deliver siRNAs to cells<sup>161</sup> and also lead to silencing in primary neurons<sup>162</sup> but high cytotoxicity limits their use for primary neurons or in the brain<sup>163</sup>. Therefore so called artificial virus-like particles which are peptide-based carriers have been used in addition<sup>163</sup>. Another popular approach is local injections of formulations of siRNAs in polymer based chemical complexes (most often polyethylenimine (PEI)). This procedure was suitable to elicit site specific knock-down phenotypes in the hippocampus<sup>164</sup>, the ventral tegmental area (VTA)<sup>157</sup> and the hypothalamus<sup>165</sup>. Beyond that intracerebroventricular (ICV) injections of polymer complexes of siRNAs have also been successfully used to target siRNAs to adjacent brain regions<sup>157,166</sup>.

Although direct injections of siRNAs into the brain are fast approaches their disadvantage is the lack of long term silencing and the limited targeting possibilities of brain regions or cell types. To circumvent these obstacles vector based RNAi has also been used in brain research. Two approaches are mostly used either expression of shRNAs from viral vectors or in traditional transgenic approaches.

A particular important but challenging aim for neuroscientists is the development of animal

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models of neurological disorders like Parkinson's disease (PD). So far the published transgenic approaches have strong limitations since the widely used cre/loxP system is limited in spatial and temporal resolution thus vector based RNAi might be a promising technology for improvement. Due to the needs to treat nondividing cells and to mediate long-term suppression of gene expression most brain-based studies have focused on the use of shRNA expression vectors derived from AAVs<sup>167-169</sup> <sup>170</sup> or LVs<sup>171,172</sup>. Nevertheless, also the other available viral vectors (adenoviral systems<sup>173</sup>, herpes-simplex systems<sup>174</sup>), as well as synthetic siRNAs<sup>159,160</sup> have been used successfully. Examples of utilising RNAi to generate neurological or behavioral models include the introduction of an AAV shRNA against tyrosine hydroxylase into mid-brain dopaminergic neurons as a model for PD, as well as the AAV expression of an shRNA corresponding to the Leptin receptor as a model of feeding behavior<sup>167,168</sup>.

RNAi has also been explored for the potential treatment of neurodegenerative disease, particularly those associated with a dominant genetic inheritance. Many neurodegenerative disorders are associated with the expression of an aberrant protein that may be inappropriately aggregated, deposited, sequestered, or mislocalized. Numerous groups have hypothesized that the induction of RNAi directed against these proteins may modulate disease progression. Such an approach seems particularly appealing since RNAi might allow to target the heterozygote mutant variants in an allele specific fashion. Associated studies have included the use of shRNAs to target the Huntingtin protein of Huntington's disease in rodent models<sup>169,175,176</sup>. DYT1 silencing showed promising effects for the treatment of dystonia<sup>171</sup>, and the targeting of ataxin-1 to treat spinocerebellar ataxia<sup>170</sup>. In addition, studies targeting the superoxide dismutase gene have been conducted in models of amyotrophic lateral sclerosis<sup>172</sup>.

To avoid the serious obstacles of *in vivo* delivery of vectors into neural cells of the mouse brain conditional transgenic approaches have been deployed in addition.

Therefore, conditional vectors had to be developed from which transgenic shRNA expression can be restricted to brain tissues. As an irreversible alternative to chemical induction various vector designs for Cre/loxP regulated RNAi have been described which are analog to conditional knockout or knock in strategies (described in chapter 1.5.1). shRNA production can be prevented by a removable transcriptional stop element. Via Cre mediated recombination the transcriptional block is removed and shRNAs can be produced<sup>146,148,177,178</sup>. The advantage of such vectors is the compatibility with the large collection of available mouse strains that express Cre recombinase in specific cell types. Thus they can be used to activate or deactivate conditional shRNA vectors at different developmental stages and in selected cell types of the mouse brain<sup>179</sup>.

Generation of transgenic mice harbouring such RNAi constructs has been accomplished either by pronuclear injection<sup>138,140,180</sup>, by lentiviral infection<sup>147</sup> or by electroporation of ES cells<sup>142</sup>. Although successful the random integration of shRNA expressing cassettes in such approaches



requires time consuming screening of the offspring due to the unpredictable influence of the genomic environment of the integration site and the vector copy number on transgene expression<sup>142,180</sup>. Also multiple insertions might induce unwanted Cre mediated recombination events.

Hence, the latest improvement utilizes a single-copy approach by targeted integration into the well characterized Rosa26 locus<sup>148,181</sup>. This is accomplished by recombinase mediated cassette exchange (RMCE) in ES cells as fast and reproducible alternative to conventional homologous recombination.

Another specific advantage is the possibility for brain specific knock-down of multiple genes at the same time either by targeting homologous sequences in gene families or by expressing independent transcripts utilising a combination of shRNA expression cassettes<sup>182</sup>.

### 1.6. Micro RNAs (miRNAs) as novel functional genetic units

Recently the revolutionary discovery of tiny dsRNA molecules endogenously present in the organism have drawn a lot of attention as novel functional units expressed from the genome. The mature molecules are short 21-24 nucleotides noncoding RNAs referred to as microRNAs (miRNAs)<sup>183-185</sup>. Subsequently an intense search for this new gene class has begun, and hundreds of genes have been identified in various organisms like plants, worms, flies, humans and probably exist in all other species. Although they have been overlooked for a long time the number of miRNAs identified is more than 3% of the known protein coding genes and this portion is similar to large gene families like transcription factors.

#### 1.6.1. Identification and cloning of miRNAs

The founding members were the genes *lin-4* and *let-7* in *C. elegans*, which both control developmental timing as small non-coding RNA molecules<sup>183,186-188</sup>. These genes were identified because they produced mutant phenotypes in *C. elegans* showing retarded larval development and some cells failed to divide and differentiate at the correct developmental stage<sup>189-191</sup>. It was found that both of these genes act by basepairing with the 3' untranslated regions (UTR) of the RNA of the targeted gene<sup>192-194</sup> and thereby repress the targeted RNA at the translational level<sup>195,196</sup>. Homologs to *let-7* have been found in various animals including humans<sup>197</sup>.

The initial discovery of *lin-4* was more than 20 years ago but at that time the novel mechanism of gene regulation was believed to be an exotic finding, which is not of general interest. The discovery of small RNA species as effector molecules in the RNAi pathway put the focus back on small RNA molecules and unexpectedly the search for RNAi products, which have the same size as mature miRNAs<sup>198</sup> revealed that miRNAs are rather abundant molecules. But there are additional reasons why miRNAs have been overlooked for a long time: Biochemical approaches in gene finding are very difficult, due to the technical limitations when working with small

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RNA species. Using approaches for detection of RNA transcripts these small RNAs run out of the gels under standard conditions. The lack of structural signatures additionally complicated bioinformatic analyses.

Nevertheless, in the following years cloning of miRNAs was used very successful as an approach for miRNA identification. Transcripts were size fractionated at a size of about 22 nt. The isolated RNAs were then ligated to synthetic oligomers with T4 RNA ligase, concatemerized and subsequently reverse transcribed. The obtained DNA molecules are amplified via PCR, cloned into a vector and finally sequenced<sup>183,199</sup>. In the meantime, a uniform system for miRNA annotation has been established. The genes encoding miRNAs are named with a “mir” prefix and a unique identifying number (mir-1, mir-2...) <sup>200</sup>. Strict criteria have been established to distinguish miRNAs from other non-coding RNA species according to length, predicted secondary structure, phylogenetic sequence conservation and increased precursor accumulation when Dicer function is reduced. Shortly later the miRBase Sequence Database (former miRNA Registry), which is a searchable database of published miRNA sequences and annotation has been launched online<sup>201,202</sup>: (<http://microrna.sanger.ac.uk/>).

The combination of cloning approaches and bioinformatical methods identified hundreds of miRNA genes (see e.g. <sup>203,204</sup>). In mice 547 and in humans 706 miRNAs have currently been identified (mirBase 13). But still today many miRNAs might remain unidentified and estimations exceed the number of miRNAs in the human genome by far (tens of thousands) <sup>205</sup>. The future use of next generation sequencing technologies will be a very useful tool to identify novel miRNAs even expressed at low levels <sup>206-208</sup>. Database analyses revealed that most of the sequences derive from intronic or inter-genic regions of the genome. Some mir genes are organized in clusters in the genome and, therefore, are believed to be processed from a single polycistronic RNA <sup>209,210</sup>.

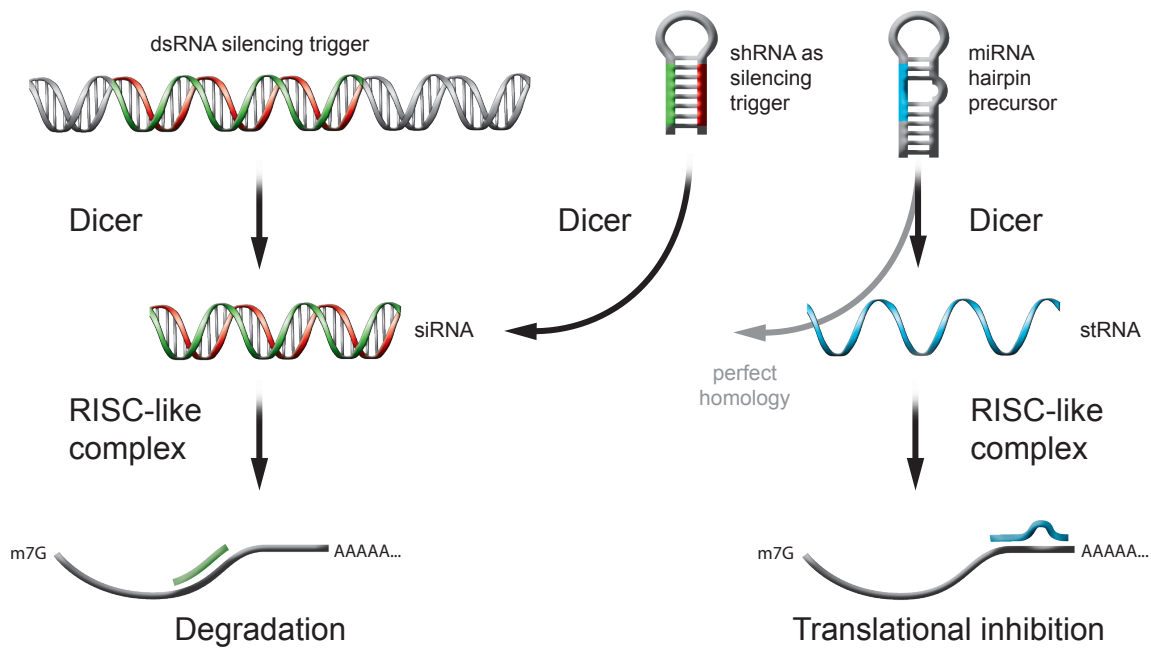
### 1.6.2. Biogenesis and mode of action

The biogenesis of mature miRNAs is well understood: RNA polymerase II generates the primary miRNA transcript (pri-miRNA) in the nucleus <sup>211,212</sup>, which is cut by an RNase III enzyme called Drosha in concert with Pasha a double stranded RNA binding protein <sup>213</sup>. This produces secondary precursors (pre-miRNA) of 70-100 nucleotides in length <sup>214</sup> that in turn is exported to the cytoplasm by exportin 5 <sup>215,216</sup>. These pre-miRNA precursors were the first discovered precursors and bioinformatic characterisation showed, similar to predictions from lin-4 and let-7 that they form hairpin shaped, incompletely double stranded structures <sup>188,217</sup> (Figure 8). The precursor molecules are processed rapidly, and cleavage by Dicer (another RNase III) together with Loquacious (another double stranded RNA binding protein) only releases the mature miRNA (formerly called small temporal RNA (stRNA) ) a ssRNA of about 22 nt, which is loaded into a RISC-like protein complex.





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**Figure 9: Intracellular processing of dsRNA.** Depending on the grade of homology dsRNA is differently processed in the cell. If there is no mismatch in the targeting sequence (colored in green), siRNAs are produced by either dsRNA or shRNA, which leads to mRNA degradation. If there is incomplete basepairing producing a bulged secondary structure (blue colored) stRNA (later termed miRNA) is produced and mediates translational inhibition.

predict putative miRNA targets but it can be assumed that 30-90% of all protein coding genes are regulated by miRNAs<sup>205,231-235</sup>. On the other hand a single miRNA can regulate 100 –200 target genes<sup>230,236,237</sup> and a target gene can have multiple target sites for miRNAs<sup>238</sup>. This raises the hypothesis that miRNAs form large regulatory networks to fine tune gene expression<sup>239</sup> but yet most predicted target gene interactions are not experimentally verified.

The finding of naturally occurring miRNAs had a great impact on the use of RNAi as a tool as well (discussed in greater detail in chapter 1.5.3). Mimicking the miRNA precursor structure, artificial systems for the delivery of siRNA have been established either so called shRNA vectors or expression vectors based on the sequences of the mir-30 precursor (chapter 1.5.3.).

### 1.6.3. Functions of microRNAs

The great number of miRNAs, the high degree of conservation among various animal species and their ubiquitous existence suggest fundamental biological roles<sup>240-242</sup>. Since their initial discovery as regulators of developmental timing, miRNAs have been implicated in many additional functions.

The known roles of miRNAs are widespread ranging from development, cell cycle regulation and lineage determination<sup>243,244</sup>. Among the most striking findings is the involvement of miRNAs in cancer. Aberrant expression profiles of various miRNAs in tumor samples point to an important and general role in cancer<sup>245-252</sup>. Most of the miRNAs showed a significant down-regulation in various tumors as compared to normal tissue. This is in line with findings that

DNA hypermethylation of miRNA loci occurs<sup>253</sup>. Nevertheless, there is also overexpression of miRNAs with antiapoptotic activity most prominently the entire mir-17 cluster<sup>254,255</sup>.

Recent findings link the biology of miRNAs also to viral biology. It is known that viruses express miRNAs<sup>256</sup> that interfere with cellular protein expression and are also able to manipulate the host cells' miRNA expression<sup>257-259</sup>.

Among the best characterized functions of miRNAs at the molecular level is its involvement in fine tuning of circadian rhythm<sup>260</sup> and cardiac development<sup>261,262</sup>. Also important findings point to the involvement of particular miRNAs to neuronal functions. Mir-124a is exclusively expressed in neurons<sup>263-266</sup> and ectopic expression in (non-neuronal) HeLa cells leads to a remarkable shift of the transcriptome towards neuronal expression profiles<sup>236</sup>. Thus mir-124a is believed to control cell identity in neurons and probably homeostasis of differentiated neurons. Another interesting finding is the involvement of mir-134 in synaptic functions<sup>267</sup>.

As already seen in the founding miRNAs lin-4 and let-7, miRNAs are important for animal development<sup>188,217</sup>. Important additional insight have been obtained from experiments using Dicer depleted animals which are unable to process mature miRNAs. Embryonic stem (ES) cells derived from such mice fail to differentiate<sup>268</sup> and Dicer knock-out in combination with depletion of maternal Dicer protein in zebrafish show mainly morphogenesis defects during gastrulation and brain development<sup>269</sup>.

Looking at the entire set of predicted miRNA:target interactions it is likely that all biological functions and processes are influenced by miRNA-mediated gene regulation. Half of the mammalian transcripts are under selective pressure to maintain pairing to miRNAs<sup>270</sup>. In bioinformatic predictions for conserved miRNA-targets an enrichment for certain cellular functions is hardly found.

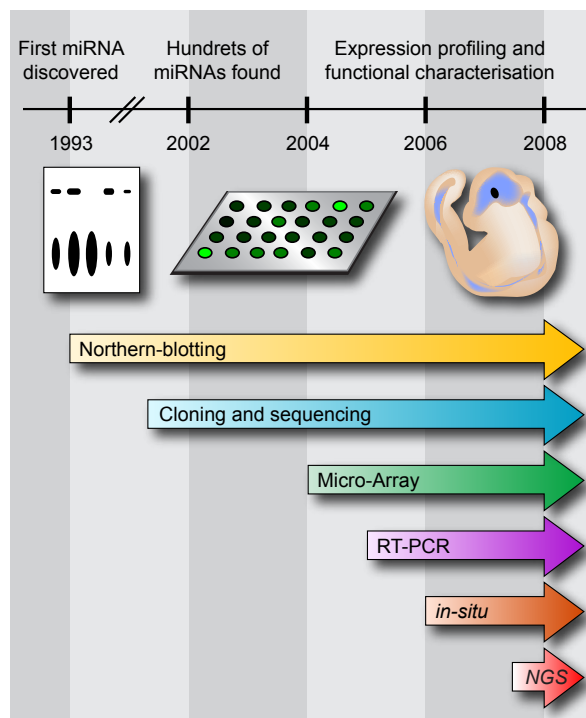
From the initial findings in which the miRNAs are believed to switch off their targets a "mutual exclusive" expression model had been established<sup>271</sup>. Nevertheless, more recent findings indicate that although mRNAs are repressed in tissues where the targeting miRNA is expressed they are still detectable<sup>272,273</sup>. Although there are examples in which miRNAs act as a switch or failsafe mechanism that completely shuts down gene activity, the majority of miRNA:target interactions have modest effects on target protein expression<sup>274</sup> and thus are presumed to be interactions for fine-tuning protein levels in complex networks.

### 1.6.4. Expression studies of miRNAs

The expression of miRNAs, e. g. of lin-4 and let-7 can be very strictly limited to distinct life periods and tissues, which discriminates miRNAs from other non-coding RNA species like tRNAs or rRNAs.

Initially to acknowledge miRNAs as functional units it was important to show that miRNAs are temporally and spatially regulated and in deed it was found that the spatial and temporal

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**Figure 10:** Time course of published technologies to study miRNA expression.

expression patterns of *lin-4* and *let-7* correlate with the repression of their targets<sup>191</sup>. The short size of mature miRNAs renders expression studies extremely difficult and thus specific techniques or adaptations of existing technology needed to be established. For an overview see Figure. 10.

The first indications of differential expression of miRNAs came from cloning studies. Many neuronal miRNAs have been cloned from brain, but not from other organs, which suggests a brain specific function<sup>263,264</sup>. To this end the established cloning procedures (see chapter 1.6.1) can be used as high-throughput semi-quantitative tool for expression analysis<sup>204,275</sup>. In such an analysis the relative cloning frequencies of small RNAs are suggested to represent their

relative concentration within a sample. These early exploratory studies confirmed dynamic expression patterns during animal development<sup>276-278</sup>. Recent advantages in deep sequencing approaches are very promising to identify novel miRNAs and could allow accurate quantification also of low expressed miRNAs without the necessity of cloning procedures<sup>279</sup>.

The most standardized and widely used method to detect miRNAs is Northern-blotting. In this method, the sample containing miRNA is run on a denaturing polyacrylamide electrophoresis gel which fractionates the short RNA species. Next, the miRNA is transferred to a nitrocellulose membrane, analog to protein Western-blot in wet or semi-dry transfer systems via an electric field. The membrane in turn is soaked in a solution containing a fluorescent or radiolabeled oligonucleotide probe which is complementary to the target miRNA<sup>183,184,187</sup>. The disadvantages of Northern-blot of miRNAs are the low sensitivity, which results in high amounts of required material. Additionally the technology is time consuming, which prevents using Northern-blot for high-throughput analysis. Nevertheless this, is the only method that directly allows to distinguish between precursors and mature miRNAs.

Although initially the establishment of microarray platforms for miRNAs was thought to be impossible some researchers succeeded and the technology greatly improved from the initial attempts<sup>280-284</sup>. In the meantime there are even commercial tools from all major array manufacturers available<sup>285-288</sup>. When performing miRNA microarray experiments, sample preparation, enrichment, labeling and analysis has to be performed with specific care (reviewed in<sup>289</sup> and

discussed in detail in chapter 4.3.).

For validation of microarrays or expression analysis of a limited number of genes quantitative RT-PCR (qRT-PCR) methods are the gold standard for mRNAs<sup>290,291</sup>. This method shows a much higher sensitivity than arrays allowing the analysis of small amounts of biological specimen like specific organs or cell types. In a first step qRT-PCR had been adapted for quantification of the longer miRNA precursors (pre-miRNAs)<sup>292</sup>. Nevertheless the expression of precursors do not necessarily have to correlate with the amount of functional mature miRNA molecules since there is additional processing involved which might be one level of regulation. Due to the short length of miRNAs major modifications to standard qRT-PCR are necessary and two different technologies have been developed. One approach uses polyadenylated total RNA including miRNAs which is in turn reverse transcribed with a poly(T)adapter primer. Amplification via real-time PCR was accomplished with a miRNA specific forward primer and a reverse primer designed to be complementary to the poly(T) adapter<sup>293</sup>. The second approach utilizes reverse transcription primers that partially hybridize to themselves to form a stem-loop. The overlapping single strand binds to the 3' portion of the miRNA and the resulting cDNA consists of the miRNA sequence elongated by the hairpin sequence. The cDNA can be used as template in a conventional TaqMan PCR with a miRNA specific forward primer, a loop sequence containing reverse primer and a TaqMan probe<sup>294</sup>. The use of a hairpin RT primer significantly increases specificity and sensitivity as compared to conventional linear ones likely due to the base stacking and spatial constraint of the stem-loop structure. The base stacking could improve the thermal stability and extend the effective footprint of RT primer/RNA duplex that may be required for effective RT from relatively shorter RT primers. The spatial constraint of the stem-loop structure may prevent it from binding double-stranded genomic DNA molecules and, therefore, eliminate the need of TaqMan miRNA assays for RNA sample preparation. Both qRT-PCR methods should additionally be suitable for multiplexing allowing high throughput approaches<sup>295</sup>.

Spatial resolution is rather limited to sample fractionation or tissue preparation in above reviewed methods. Therefore to elucidate detailed expression patterns or identify expression in individual cell types *in situ* technologies are required although such analysis is time consuming and can hardly be performed in high-throughput. Due to the lack of reliable *in situ* hybridization protocols in first indirect detection attempts transgenic sensor constructs were silenced<sup>296</sup>. In this system transgenic mice carried a construct in which a constitutively expressed lacZ expression cassette was fused to a miRNA binding site in its 3' untranslated region (UTR). At expression sites of the corresponding miRNA the construct with the modified 3'UTR was repressed as compared to animals carrying the construct without miRNA binding sites. Thus weak or absent X-gal staining displays the expression pattern of the corresponding miRNAs similar to a photographic negative.

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The low hybridization energy of the binding of mature miRNAs to *in situ* probes is due to the short length of the molecule and rendered the development of conventional *in situ* hybridization (ISH) procedures difficult. Although there are published approaches using either long RNA probes complementary to miRNA loci to detect miRNA precursor molecules<sup>266</sup> or short oligo probes to detect mature miRNAs<sup>297,298</sup> such approaches have limitations and are only able to reveal signals from highly expressed miRNAs. The key in establishment of reliable and sensitive miRNA *in situ* protocols was the use of chemically modified nucleotides so called locked nucleic acids (LNA)<sup>299</sup> in DNA oligonucleotide probes. This modification sterically increases the thermal stability of Watson-Crick basepairing (for details see chapter 3.4.2.). Therefore the first publication presenting whole mount ISH using such probes in zebrafish had great impact<sup>265</sup>. In this broad study, expression signals of 115 miRNAs in embryonic developmental stages were presented and compared with microarray results. Later on the whole mount ISH method was further optimized and adapted to mouse embryos<sup>300</sup>. In summary, both studies show that most analyzed miRNAs were expressed in a highly tissue-specific manner during segmentation and later stages, but not early in development, which suggests that their role is not in tissue fate establishment but in differentiation or maintenance of tissue identity. A diverse range of tissue specific expression patterns was found with emphasis on the muscular, circulatory, digestive, skeletal and nervous system, thereby more than half of the analyzed miRNAs were expressed in the central nervous system.

In vertebrates whole mount ISH is limited to early embryonic stages since larger size and dense cell structure of older animals prevent penetration and perfusion of the probe into the tissue. To circumvent this problem several labs established ISH on sections<sup>301</sup> or dissected tissues<sup>288,302,303</sup>. These analyses in mice revealed detailed expression patterns of miRNAs in cartilage (mir-140) the eye, the inner ear (mir-96, mir-182, mir-183) and the brain (mir-431) and additionally demonstrate that LNA-oligos can reveal miRNA expression patterns at cellular resolution.

More recently a catalog of miRNA expression patterns in the developing chicken has been published<sup>304-306</sup>. Most miRNAs showed tissue specific expression patterns that are evolutionary conserved between zebrafish, chicken and mice although in some cases also specific differences exist. Again only few miRNAs were detectable in early embryonic stages strengthening the idea that miRNAs do not control embryonic patterning but differentiation and post-differentiation processes like tissue identity and organogenesis.

### 1.6.5. Specific roles of miRNAs in the brain

Many miRNAs are developmentally regulated and show tissue-specific expression patterns in the CNS, including dozens that are exclusively expressed in neurons<sup>263-266</sup>. These miRNAs may play important roles in neuronal development, neuronal function, or both<sup>289,307-309</sup>.

Meaningful insight in the function of miRNAs in the CNS came from a well thought approach.



Knocking out Dicer depletes maturation of pre-miRNAs and thus no functional miRNAs are produced in the cell. Dicer knock-out in zebrafish leads to a general growth arrest at later embryogenesis and the animals die at young age<sup>39</sup>. Due to the specific embryonal development in zebrafish additional removal of maternal miRNA supply is required, which in contrast to the previous experiment also leads to strong early embryonic phenotypes. Although no major disruption in early patterning events could be observed these animals exhibited gross morphological defects particularly in the nervous system and the mid-hindbrain boundary which most interestingly could be rescued by injection of mir-430 alone<sup>269</sup>.

Loss of Dicer in mature neurons showed that miRNAs are essential for maintaining their structural integrity such as in *Drosophila* loss of Dicer-1 leads to an increased neurodegeneration<sup>310</sup>. Selective ablation of Dicer in a cell type specific way has also been performed in conditional mouse mutants and depletion in the purkinje cell layer of the cerebellum has been shown to lead to cerebellar degeneration and ataxia<sup>311</sup> and knock-out in post-mitotic midbrain dopaminergic neurons caused progressive cell loss in the respective brain region<sup>312</sup>.

These approaches are unable to reveal individual functions of miRNAs and beyond that Dicer might have functions others than in RNAi. Therefore, various additional techniques can be applied. Gain and loss of function approaches have been performed by using genetic knock-outs/overexpression or specific modified oligonucleotides (2'-O-methyl-antisense oligos, Antagomirs, miRCURY LNA microRNA knockdown probes). When introduced into cells these artificial molecules bind to miRNAs and render them ineffective<sup>313-316</sup>.

The numerous literature findings on the neuronal functions of individual miRNAs and their targets are summarized in table 3. Also these data point to the importance of neuronal miRNAs for neuronal differentiation, identity and survival. But beyond that also dendritic and so far unknown functions could be assigned to miRNAs in the brain.

miRNAs have also been directly implicated in the etiology of neuronal diseases. In a gene expression study with postmortem sporadic Alzheimer's disease (AD) brains differential miRNA expression was found as compared to controls<sup>333</sup>. AD is a neurodegenerative process, which is accompanied with the production of aberrant amyloid beta (A $\beta$ ) peptides in cells of the brain. miRNAs interact with the BACE-1/ $\beta$  secretase genes which catalyze a rate-limiting step for A $\beta$  production, and its increased expression has been reported among AD patients<sup>334</sup>. miR-29a, miR-29b-1 and miR-9 regulate BACE-1 expression *in vitro* and it was found that expression of the miR-29a/b-1 cluster is significantly decreased in AD patients<sup>335</sup>, which results in abnormal high accumulation of BACE-1 protein and A $\beta$  levels among AD patients.

Similar to the findings in AD two studies report altered expression profiles in schizophrenic patients as compared to controls. 16 miRNAs were differentially expressed in the prefrontal cortex<sup>336</sup> and miR-181b was upregulated in affected subjects in the temporal cortex<sup>337</sup>. Furthermore, genetic data identified miR-219 in a putative susceptibility locus for schizophrenia<sup>338</sup>.

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**Table 3: Neuronal functions of miRNAs.**

miRNAs	Species	Functions	Targets	Refs
Bantam	Drosophila	Prevents neurodegeneration in a Drosophila model of SCA3	Unknown	310
Isy-6	C. elegans	Required to specify ASEL sensory neuron identity	Cog-1	317,318
miR-7	Drosophila	Ensures complete depletion of Yan photoreceptor differentiation	Yan	319
miR-8	Drosophila	Required for neuronal survival	Atrophin	320
miR-9a	Drosophila	Ensures the precise specification of SOPs in Drosophila	Senseless	321
miR-9a	Rodent	Involved in neural lineage differentiation from embryonic stem cells	Unknown	322
miR-21	Human glioma	Antiapoptotic	Unknown	323
miR-124a	Vertebrates	Promotes neuronal differentiation (?)	Laminin $\gamma$ 1, integrin $\beta$ 1, SCP1, PTBP1	236,324-327
miR-125a, b	Human neuroblastoma	Controls cell proliferation	Tropomyosin-related kinase C	328
miR-132	Rodent	Regulates neuronal morphogenesis and circadian clock	P250 GAP, etc.	260,329
miR-133b	Rodent	Regulates the maturation/function of midbrain dopamine neurons	Pitx3	312
miR-134	Rodent	Modulates the size of dendritic spines in cultured neurons	LimK1	267
miR-196a	Rodent	Embryogenesis, neural patterning	HoxB8	296,330
miR-219	Rodent	Regulates circadian period length in mice	SCOP, etc.	260
miR-273	C. elegans	Expressed in ASER and suppresses ASEL identity	Die-1	318,331,332
miR-430	Zebrafish	Establishment of correct brain morphogenesis	Unknown	269

**Table 3:** Summary of selected literature findings of miRNAs involved in neuronal functions including miRNA, investigated species, brief description of observed phenotype, target gene and according references.

miR-219 expression is down-regulated by disruption of NMDA receptor signaling and targets itself calcium/calmodulin-dependent protein kinase II gamma subunit (CaMKIIgamma), also a component of the NMDA receptor signaling cascade<sup>339</sup>. NMDA dependent glutamate signaling is implicated in the pathophysiology of schizophrenia<sup>340</sup>.

Another neuropsychiatric disorder with putative involvement of miRNA action is Tourette's syndrome (TS) which is a genetically influenced disease and characterized by chronic vocal and motor tics<sup>341</sup>. A chromosomal inversion in a patient revealed the possible involvement of



a region on chromosome 13q31.1 with TS. This region is in proximity to Slit and Trk-like 1 (SLITRK1) as a candidate gene. Genetic and molecular characterisation of this candidate in a large cohort revealed that there is a single base pair mutation in the 3' UTR associated with the syndrom. This mutation is located inside a predicted binding site for mir-189 and was shown to be functionally relevant on expression levels of SLITRK1 and influences dendritic growth <sup>342</sup>. Another protein implicated in RNAi is the fragile X mental retardation protein 1 (FMRP) <sup>343</sup>. Loss of FMRP function causes fragile X syndrome, the most common form of inherited mental retardation in humans <sup>344</sup>. It is known that many mRNAs associate with FMRP-containing protein complexes <sup>345,346</sup>, but it is still unclear which RNA species directly bind to FMRP. Although the exact function of FMRP at the molecular level still remains unknown, it appears to be associated with the miRNA pathway. It was purified with RISC <sup>347</sup> and forms a complex with Argonaute 2 (Ago2) in *Drosophila* S2 cells <sup>348</sup> that also contains Dicer and miRNAs. Nevertheless, these studies could not attribute a critical role to FMRP in RISC. Mutant mice or flies lacking FMRP or dFMR1 are viable but show mild aberrations in the nervous system caused by various defects in spine and synapse formation <sup>349-352</sup>, axonal and dendritic growth and branching <sup>353-355</sup> and neurogenesis <sup>356</sup>. Although FMRP is a multifunctional protein involved in several different stages of RNA metabolism, its effects on neuronal development are probably mediated at least in part through the miRNA pathway. Further dissection of the exact role of dFMR1 and FMRP in RISC will help explain the link between the miRNA pathway and human mental disorders. In summary, there is increasing knowledge about the involvement of miRNAs in brain disease emerging but detailed elucidation of the molecular targets is largely missing. The detailed elucidation of the spatial and temporal patterns of miRNA expression in the developing mammalian central nervous system is a prerequisite to understand their detailed function and is a useful aid in approaches to identify potential target genes.

### 1.7. Aim of the thesis

The aimed work covers two related but distinct topics. The establishment of the RNAi technology for applications in functional neuroscience and the initial investigation of biological roles of miRNAs in the mouse brain. Since at the starting point of the work the field of small RNA biology was very young the tools to address basic biological questions in laboratory experiments were not yet fully developed. That's why it became apparent that great effort of the work would have to be put into technology and method development. Thus, it was not expected to accomplish a complete biological view in the frame of the presented work. Taken this into account the mentioned two part strategy was chosen. Although RNAi mediated silencing and elucidation of biological roles of miRNAs cover a broad thematic spectrum many of the methods that had to be developed would be applicable to both topics.

The first project line aimed at development and characterization of an expression vector to mediate stable RNAi. So far there was no real alternative to RNAi vectors based on Pol III transcription units and this project should for the first time use a Pol I promoter for the expression of shRNAs. The experiments were planned to be solely performed in cell culture models since the designated read out of the assays was the specific down regulation of genes targeted by the vector. The novel vector should be first tested for its silencing properties within reporter assays and later be used for additional knock-down of endogenous genes. That way the experiments could be performed efficiently and with fast output. For a complete characterization of the vector it would be additionally required to show that the observed effects were on the one hand mediated via the RNAi pathway and on the other hand depend on Pol I activity. In addition to that vector development project it was desired also to induce RNAi mediated gene knock-down in the living animal. *In vivo* RNAi seemed to be a very appealing alternative to the conventional time consuming production of transgenic knock-out animals. Thereby, the long term goal was to induce knock-down phenotypes of candidate genes that are supposedly involved in anxiety related behaviour or brain pathology. In a technology oriented approach the efficient delivery of molecules that trigger RNAi to cells in the brain should be accomplished. In addition this project was intended as a proof of concept for non-transgenic RNAi in the mammalian brain.

In the second line of research two more projects were planned to address the role of miRNAs in the mouse brain. Since at the time only very limited knowledge was available these initial projects should cover exploratory experiments. In an unbiased approach it was intended to elucidate whether miRNAs are regulated upon neuronal activity and thus, might be involved in synaptic plasticity. Therefore, differential expression analysis of hippocampal miRNAs from animals either treated with kainate or saline was aimed using array technology. A prerequisite for functional studies of novel genes possibly involved in neuronal function is their detailed expression analysis in the developing and adult nervous system. Although highly needed at that time no *in situ* hybridization technology on tissue sections was available for miRNAs due to specificity

problems inherent to the short length of the transcripts. Thus, the intention of a second project on miRNAs was to establish a specific and sensitive protocol for *in situ* hybridization and to determine the spatial and temporal expression patterns of selected candidate genes.

## 2. Materials and methods

### 2.1. Materials

#### 2.1.1. Chemicals

Chemical Substance	Source
Acrylamide:Bisacrylamide (29:1)	Sigma, Deisenhofen
Adenosintriphosphate	Sigma, Deisenhofen
Agar, Agarose	Invitrogen GmbH, Karlsruhe
Agarose (low melting temperature), SeaPlaque	Biozym, Oldendorf
Ammoniumpersulfat (APS)	Sigma, Deisenhofen
Ampicillin	Sigma, Deisenhofen
Ampuwa-Water	Fresenius AG, Bad Homburg
Beta(2)-Mercaptoethanol	Sigma, Deisenhofen
Boric Acid	Sigma, Deisenhofen
Bradford reagent	Bio-Rad Laboratories GmbH, München
Bromophenolblue	MERCK, Darmstadt
BSA (bovine serum albumin)	Sigma, Deisenhofen
Chloroform	MERCK, Darmstadt
Complete™ Mini, EDTA free, protease inhibitor cocktail tablets	Roche, Mannheim
Cresylviolett	Merck, Darmstadt
D(-)-Luciferin (from Photinus pyralis)	Roche, Mannheim
Dapi	Sigma, Deisenhofen
DEPC (Diethylpyrocarbonate)	Roth, Karlsruhe
Dextran sulfate	Sigma, Deisenhofen
Dimethylsulfoxid (DMSO)	Sigma, Deisenhofen
DTT (Dithiothreitol)	MERCK, Darmstadt
Dulbecco´s Modified Eagle Medium (DMEM)	Invitrogen GmbH, Karlsruhe
EBSS 10x w/o Calcium and Magnesium	Invitrogen GmbH, Karlsruhe
EDTA (Ethyldiamintetracetate)	Sigma, Deisenhofen
Ethanol	MERCK, Darmstadt
Ethidiumbromide	Sigma, Deisenhofen
Fetal calf serum (FCS)	Invitrogen GmbH, Karlsruhe
Ficoll 400	Sigma, Deisenhofen
Formamide	Roth, Karlsruhe

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Chemical Substance	Source
Gelatine	Sigma, Deisenhofen
Glutamine	Invitrogen GmbH, Karlsruhe
Glycerol	Sigma, Deisenhofen
Glycin	Sigma, Deisenhofen
H <sub>2</sub> O <sub>2</sub>	MERCK, Darmstadt
HCl	Roth, Karlsruhe
Hepes	Invitrogen GmbH, Karlsruhe
In vivo Jet PEI	PEQLAB Biotechnologie GmbH, Erlangen
Isofluran	Abbott, Chicago, IL, USA
Isopropanol	Roth, Karlsruhe
Kainic Acid (KA)	Sigma, Deisenhofen
Kanamycin	Sigma, Deisenhofen
Kodak GBX developer	Sigma, Deisenhofen
KODAK GBX Fixer	Sigma, Deisenhofen
Kodak NBT-2 photographic emulsion	Sigma, Deisenhofen
Leukaemia inhibiting factor	Millipore, Billerica, USA
Magnesiumchloride (MgCl <sub>2</sub> ) Hexahydrate	MERCK, Darmstadt
Metacam	Bayer
Non-fat dried milk powder	Nestlé, Vevey, Switzerland
Paraffin	MERCK, Darmstadt
Paraformaldehyde (PFA)	Sigma, Deisenhofen
PBS sterile 10X (pH 7,4)	Invitrogen GmbH, Karlsruhe
Penicillin-Streptomycin-Amphotericin	Invitrogen GmbH, Karlsruhe
Phenol	Roth, Karlsruhe
Polyvinylpyrrolidone	Sigma, Deisenhofen
Reporter Lysis Buffer (for Luciferase-Assay)	Promega, Mannheim
RNA later ICE	Ambion, Austin, TX, USA
Rotihistol	Roth, Karlsruhe, Germany
RPMI 1640 medium with 10% Newborn Serum	Invitrogen GmbH, Karlsruhe
Sarcosyl (N-lauroylsarcosine)	Sigma, Deisenhofen
SDS (Sodiumdecylsulfate)	Roth, Karlsruhe
Sodium hydroxide (NaOH)	Roth, Karlsruhe, Germany
Sodium pyruvate	Sigma, Deisenhofen
Sodiumacetate	MERCK, Darmstadt
Sodiumhydroxide	MERCK, Darmstadt
Szintillation fluid	Roth, Karlsruhe
Tetramethylethylendiamin (TEMED)	Bio-Rad Laboratories GmbH, München

## 2. Materials and methods

Chemical Substance	Source
Tris (Tris-(hydroxymethyl)-aminomethane)	Roth, Karlsruhe
Trizma Base	Sigma, Deisenhofen
Trypsin-EDTA 10X	Invitrogen GmbH, Karlsruhe
Tween-20	Bio-Rad Laboratories GmbH, München
Urea	Sigma, Deisenhofen
Vectashield Mounting Medium (Fluoreszenz)	Vector Laboratories inc., Burlingame, CA, USA
$\alpha$ -Amanitin	Sigma, Deisenhofen

### 2.1.2. Enzymes

Enzymes	Source
DNase I, RNase-free	Roche, Mannheim
Pfu Polymerase (cloned)	Stratagene, Heidelberg
Proteinase K	Sigma, Deisenhofen
Restriction enzymes with 10x Buffer	New England BioLabs, New England, USA
RNase A	Roche, Mannheim
RNasin	Promega, Mannheim
SAP (alkaline phosphatase from shrimp)	Roche, Mannheim
SP6-RNA polymerase	Roche, Mannheim
T4 DNA Ligase	Roche, Mannheim
T4 DNA Polymerase	New England BioLabs, New England, USA
T4 Polynucleotide Kinase (PNK)	Roche, Mannheim
T7-RNA polymerase	Roche, Mannheim
Taq DNA Polymerase	Roche, Mannheim
Terminal transferase (TdT)	Roche, Mannheim

### 2.1.3. Nucleotides und nucleic acids

Nucleotides/Nucleic Acids	Source
Desoxyribonucleotides (dATP, dCTP, dGTP, dTTP)	Roche, Mannheim
DNA-Molecular Weight Markers: 1kb Ladder Smart Ladder	Roche, Mannheim Eurogentec, Belgien
Locked Nucleic Acid (LNA)-modified oligonucleotides	Exiqon, Vedbaek, Denmark
Oligonucleotides ( PCR-Primers)	MWG-Biotech, Ebersberg
p(dN) <sub>6</sub>	Boehringer, Mannheim



Nucleotides/Nucleic Acids	Source
Plasmid vectors	
pRLSV40	Promega, Mannheim
pGL3control	Promega, Mannheim
pBluescript II KS (-)	Stratagene, Heidelberg
pU6-shluc (pSHAG-ff)	Prof. G. Hannon (Cold Spring Harbor, N.Y.)
pU6 (pSHAG)	Prof. G. Hannon (Cold Spring Harbor, N.Y.)
pcDNA3	Invitrogen, Karlsruhe
Ribonucleotides (ATP, CTP, GTP, UTP)	
$\alpha^{32}\text{P}$ -UTP	PerkinElmer, Waltham, USA
$\alpha$ -thio- $^{35}\text{S}$ -dATP	PerkinElmer, Waltham, USA
$^{35}\text{S}$ -thio-rUTP	PerkinElmer, Waltham, USA
$\gamma^{32}\text{P}$ -ATP	PerkinElmer, Waltham, USA

#### 2.1.4. Kits and other expendable items

Kits/expendable items	Source
Bacterial dishes	Greiner Labortechnik, Frickenhausen
BCA assay kit	Pierce, Rockford, IL, USA
Bio Rad Protein Assay	Bio-Rad Laboratories GmbH, München
BioMax MR from Kodak	Sigma, Deisenhofen
Cell culture dishes (gamma sterilised)	Peske, Aindling-Pichl; Nunc, Fisher Scientific GmbH, Schwerte
DIG Wash and Block Buffer Set	Roche, Mannheim
Dual Luciferase Reporter Assay System	Promega, Mannheim
ECL Plus	GE Healthcare, Buckinghamshire, UK
EffecteneTransfection Reagent	QIAGEN, Hilden
Falcon-Tubes	Becton Dickinson, Europe
Glass capillaries	Peske, Aindling-Pichl; Nunc, Fisher Scientific GmbH, Schwerte
Hamilton canula	Hamilton Company Europe, Bonaduz, GR, Switzerland
Hybond N <sup>+</sup> Nylon Membrane	Amersham
Immobilon polyvinylidene difluoride (PVDF) membrane	Millipore, Billerica, USA
<i>in vivo</i> jetPEI	Polyplus-transfection Inc., New York, USA
Lipofectamine 2000 Reagent	Invitrogen, Karlsruhe
M.O.M. kit	Vector Laboratories inc., Burlingame, CA, USA
Microtiterplates Microlite -1+ (Rundboden)	Peske, Aindling-Pichl
mini Quick Spin Oligo Columns	Roche, Mannheim, Germany
MirVana Probe and Marker Kit	Ambion, Austin, TX, USA
NBT/BCIP Stock Solution	Roche, Mannheim, Germany

## 2. Materials and methods

Kits/expendable items	Source
Parafilm M	American National Can™ Chicago, USA
PCR-Reaction Tubes	Peske, Aindling-Pichl
peqGold RNAPure	Peqlab, Erlangen
Pipette Tips	Sarstedt, Nürnberg
QIAprep Plasmid Purification Kit (Midi and Maxi)	QIAGEN, Hilden
QIAquick Gel Extraction Kit	QIAGEN, Hilden
QIAquick PCR Purification Kit	QIAGEN, Hilden
Reaction Tubes (1.5 / 2.0 ml)	Eppendorf, Hamburg
Serological Pipettes (gamma sterilised)	Peske, Aindling-Pichl
Slides (for Histology)	Fisher Scientific
Super Frost slides	Menzel-Gläser, Braunschweig, Germany
TSA signal amplification kit	Perkin Elmer
Vacuum filters (gamma sterilised)	Peske, Aindling-Pichl
X-ray film (Western)	Fuji Photo Film, Tokyo, Japan

### 2.1.5. Devices and equipment

Device	Source
Autoclave Type 24	Melag, Berlin
Autoklav Typ 24 Melag	Avanti
AxioCam MRc5 color ccd camera	Zeiss, Göttingen Germany
AxioCam MRm greyscale ccd camera	Zeiss, Göttingen Germany
Axioplan 2 microscope	Zeiss, Göttingen Germany)
Biofuge Pico Heraeus Instruments	Biofuge Pico Heraeus Instruments
Chambers for DNA-Electrophoresis	MWG-Biotech, Ebersberg
Cooled Centrifuge J2-MC (Rotors: JLA-16.250/JA-20)	Beckman Instruments, USA
Counting Chamber (for cells) Neubauer improved with cover slide	Peske, Aindling-Pichl
Developing automate (XP 2000) 3M	Kodak
Evaporator Halothan Vapor 19	Drägerwerk AG & Co. KGaA, Lübeck
EXFO X-Cite 120 fluorescence illuminator	EXFO Photonic Solutions Inc., Mississauga, Canada
Freezers (-20°C)	Liebherr, Ochsenbach
Freezers (-80°C)	Heraeus, Hanau
Gel Documentation Gel Print 2000i	MWG-Biotech, Ebersberg
Glass Ware	Schott, Mainz
Heater, Agitator	IKA Labortechnik, Staufen
Heating Block Thermomixer 5436	Eppendorf,
Heating Blocks Thermomixer 5436	Eppendorf, Hamburg

Device	Source
Incubator for Cell Culture (5% CO <sub>2</sub> -ambiance)	Heraeus, Hanau
Kryostat 2800 Frigocut	Reichert-Jung
Kryostat HM 560 M	Microm
LaminAir Flow Cabinet HB2472	Kendro Laboratory Products, Hanau
Leica MZ APO stereomicroscope	Leica, Wetzlar, Germany
Microlitre Centrifuge Biofuge Pico	Heraeus, Hanau
Multilabel Counter Wallac 1420 Victor Oy	Perkin Elmer,
OP Microscope	Zeiss,
Orbital Mixer Unimax 2010	Heidolph, Nürnberg
PCR-Machines Robo Cycler Gradient 96	Stratagene, Heidelberg
pH-Meter pH 538	WTW, Weilheim
Pipets	Gilson, USA
Pipetting Device pipetus-akku	Roth, Karlsruhe
Power Supply for Electrophoresis	Pharmacia Biotech, Freiburg
Refridgerator (4°C)	Liebherr, Ochsenbach
Seral Full desalination plant Seralpur PRO 90 CN	Seral, Ransbach-Baumbach
Single Pan Balances 40SM-200A/LP 4200 S	Precisa, Dietikon, Schweiz/ Sartorius, Göttingen
Spectrophotometer DU640	Beckman Instruments, USA
Stereotactic device	(David Kopf, Tujunga, CA, USA)
Trefflab Pellet Mixer for 1,5ml Tube	Peske, Aindling-Pichl
UV-Stratalinker 2400	Stratagene
UV-Transilluminator, Faust 366nm	Konrad Benda, Wiesloch
Vortex MS1 Minishaker	IKA Labortechnik, Staufen
Waterbath	GFL, Burgwedel

## 2.2. Media and basic buffers

Medium/Buffer	Contents
1x PBS/sterile	50 ml 10xPBS 450 ml ddH <sub>2</sub> O (autoclaved) sterile filtered (0,2 µm)
Ampicillin/Kanamycin	100 mg/ml of the salt in 75% Ethanol (Storage at -20°C)
BES-buffered saline 2X	50mM BES 280 mM NaCl 1.5 mM Na <sub>2</sub> HPO <sub>4</sub>
Denhardt's solution 50X	10g Ficoll 400 10g bovine serum albumin 10g polyvinylpyrrolidone to 1l DEPC H <sub>2</sub> O (stored in 50ml aliquots at -20°C)

## 2. Materials and methods

Medium/Buffer	Contents
DEPC-H <sub>2</sub> O	2 ml DEPC in 2 l ddH <sub>2</sub> O were mixed vigorously, left at RT for at least 4 h and autoclaved afterwards 2x.
DMEM complete	500 ml DMEM 50 ml FCS (heat inactivated at 56°C for 30 min) 5 ml 100x glutamine + antibiotics
dNTP-Mix	10 mM of each Desoxynucleotidetriphosphate (dATP, dCTP, dGTP und dTTP)
heat inactivated RNase A	40 mg RNase A in 4 ml 1xTE heat for 10 minutes to 95°C, let cool, store aliquots at -20°C
LB (Luria-Bertani)-Medium	1,0% Trypton 0,5% Yeast Extract 1,0% NaCl, autoclaved
Loading Buffer for DNA-Gels 5x	20% Ficoll 0,05% Bromphenolblue 0,05% Xylencyanol 40 mM EDTA
Maleat Buffer	150 mM NaCl 100 mM Maleic Acid adjust to pH 7.5
Northern blot hybridization solution	6X SSC 5X Denhardt's solution 0.2% SDS
Northern blot prehybridization solution	6X SSC 10X Denhardt's solution 0.2% SDS
Northern blot prehybridization solution (for DNA probes)	6X SSC 10X Denhardt's solution 0.2% SDS
Northern blot washing solution	6X SSC 0.2% SDS
NTE	2,5M NaCl 50mM Tris 8.0 25mM EDTA 8.0
PBS-Buffer 10X	120 mM NaCl 2,7 mM KCl 7 mM Na <sub>2</sub> HPO <sub>4</sub> pH 7,4 ; autoclaved
PBT	1 x PBS 0.05 % Tween 20 (for 1 l + 5 ml 10 % Tween)
SSC 20X	175.3g NaCl 88.2g sodium citrate to 1l DEPC H <sub>2</sub> O
TAE Buffer 50X	2 M Tris-Base 1 M Sodium acetate 50 mM EDTA (pH=8,0), autoclaved

Medium/Buffer	Contents
TBE 10X	0.9M Tris base 0.9M Boric acid 20mM EDTA
TBS	8g NaCl 0.2g KCl 25mM 1 M Tris 7.5 to 100ml with water
TBST	20 mM Tris base 150 mM NaCl 0.1% Tween 20
TE-Buffer 0,1X plus RNase A	1 mM Tris-HCl (pH 7,2) 1 mM EDTA 100 µg/ml RNase A (heat inactivated)
TMN (also called MTN)	0,1 M Tris 0,1 M NaCl 0,05 M MgCl <sub>2</sub> -6H <sub>2</sub> O adjust to pH 9.5
TN 10X	1M Tris 1,5M NaCl adjust to pH 7.5
TNB	0,1 M Tris 0,15 M NaCl 0,5 % (= 2,5 g) blocking reagent (NEN) dissolve in 60°C for 1h; store aliquots at -20°C
TNT	1 x TN 0,05 % Tween-20
Trypsin-EDTA	80 ml H <sub>2</sub> O 10 ml EBSS 10 ml 10x Trypsin-EDTA sterile filtered(0,2 µm)
Western transfer buffer:	25 mM Tris base 192mM Glycin 10% Metanol 0.5g SDS per liter

## 2.3. Oligonucleotides

### 2.3.1. DNA oligonucleotides

All DNA oligonucleotides have been ordered at MWG Biotech.

Name	Sequence	Used for
5S rRNA	5'-TTA GCT TCC GAG ATC A-3'	Control oligo probe for Northern blot
con.as	5'-GGG AAT GTA CAT GTG TAA TAG CTC CTC TCT TGA AGG AGC TAT TAC ACA TGT AC-3'	Construction and cloning of a control silencing construct
con.s	5'-AGG TGT ACA TGT GTA ATA GCT CCT TCA AGA GAG GAG CTA TTA CAC ATG TAC AT-3'	Construction and cloning of a control silencing construct

## 2. Materials and methods

Name	Sequence	Used for
Luc 1st	5'-ATC AGG TGG CTC CCG CTG AAT TGG AAT CC-3'	Creation of a probe for Northern blot
Luc 2nd	5'-AAT GGA TTC CAA CTC AGC GAG AGC CAC CCG AT-3'	Creation of a probe for Northern blot
M13 for	5'-TGT AAA ACG ACG GCC AGT-3'	Screening of constructed plasmids via PCR; Sequencing
M13 rev	5'-AGC GGA TAA CAA TTT CAC AC-3'	Screening of constructed plasmids via PCR; Sequencing
MCS.as	5'-GGG ATA GTC TTC CCA TGG TAC CAA TTG ATA TCT AGA GCT CGA GAT CTT CGA AGA CGT AC-3'	Construction and cloning of a multiple cloning site into a Pol I driven Vector; antisense strand
MCS.s	5'-GTC TTC GAA GAT CTC GAG CTC TAG ATA TCA ATT GGT ACC ATG GGA AGA CTA-3'	Construction and cloning of a multiple cloning site into a Pol I driven Vector; sense strand
P1	5'-CAA TTT CAC ACA GGA AAC AGC TAT GAC C-3'	Construction and cloning of backbone for Pol I based RNAi vectors pw-bc and pE3sp-bc
P2	5'-ATG TCT TCG AAG TCC ATG GTA CCT ATC TCC AGG TC-3'	Construction and cloning of backbone for Pol I based RNAi vectors pw-bc and pE3sp-bc
P3	5'-ACC ATG GAC TTC GAA GAC TAT CCC CCC CAA CTT CG-3'	Construction and cloning of backbone for Pol I based RNAi vectors pw-bc and pE3sp-bc
P4	5'-GTC ACG ACG TTG TAA AAC GAC GGC CAG T-3'	Construction and cloning of backbone for Pol I based RNAi vectors pw-bc and pE3sp-bc
shErk2.as	5'-GGG AAT GGA AGA TCT GAA TTG TAT AAT AAC AAG CTT CTT ATT ATA CAA TTC AGA TCT TCC-3'	Construction and cloning of the silencing hairpin construct against MAP kinase p42 (ERK2)
shERK2.s	5'-AGG TGG AAG ATC TGA ATT GTA TAA TAA GAA GCT TGT TAT TAT ACA ATT CAG ATC TTC CAT-3'	Construction and cloning of the silencing hairpin construct against MAP kinase p42 (ERK2)
shluc.as	5'-GGG AAT GGA TTC CAA CTC AGC GAG AGC CAC CCG ATC AAG CTT CAT CAG GTC GTG GCT CCC GCT GAA TTG GAA TCC-3'	Construction and cloning of the silencing hairpin construct against Firefly Luciferase
shluc.s	5'-AGG TGG ATT CCA ATT CAG CGG GAG CCA CCT GAT GAA GCT TGA TCG GGT GGCTCT CGC TGA GTT GGA ATC CAT-3'	Construction and cloning of the silencing hairpin construct against Firefly Luciferase

### 2.3.2. RNA oligonucleotides

All RNA oligonucleotides for constitution of siRNAs have been ordered at Dharmacon/Invitrogen. Mir-16 oligo was used as Northern oligo probe and taken from mirVana kit (Ambion).

Name	Sequence
Dicer human.as	5'-CAT CCA GCA GTG GCT GGT TGA-3'
Dicer human.s	5'-TCT CAA CCA GCC ACT GCT GGA-3'
Dicer mouse.as	5'-UUC CAG CAG CUC AAC CUG GUA-3'
Dicer mouse.s	5'-AAA AUA CCA GGU UGA GCU GCU-3'
Luc.as	5'-AAA GCU UCA UGA GUC GCA UUC-3'
Luc.s	5'-UCG AAG UAC UCA GCG UAA GUG-3'



Name	Sequence
Mir-16	5'-UAG CAG CAC GUA AAU AUU GGC G-3'
Stealth-CBP1.as	5'-AUG AAG CAG UAG AAC CAG CUG CUG C-3'
Stealth-CBP1.s	5'-GCA GCA GCU GGU UCU ACU GCU UCA U-3'
Stealth-CBP2.as	5'-UAU UCU GAU AGC UGU AGU AGG CUG C-3'
Stealth-CBP2.s	5'-GCA GCC UAC UAC AGC UAU CAG AAU A-3'

### 2.3.3. LNA modified oligonucleotides

All LNA modified oligonucleotides have been ordered at Exiqon and were used as probes for *in situ* hybridization.

Name	Sequence
mmu-mir-1	5'-TAC ATA CTT CTT TAC ATT CCA-3'
mmu-mir-124a	5'-GGC ATT CAC CGC GTG CCT TA-3'
mmu-mir-125b	5'-TCA CAA GTT AGG GTC TCA GGG A-3'
mmu-mir-132	5'-CGA CCA TGG CTG TAG ACT GTT A-3'
mmu-mir-134	5'-CCC CTC TGG TCA ACC AGT CAC A-3'
mmu-mir-206	5'-CCA CAC ACT TCC TTA CAT TCC A-3'
mmu-mir-219	5'-AGA ATT GCG TTT GGA CAA TCA-3'
mmu-mir-9	5'-CAT ACA GCT AGA TAA CCA AAG A-3'
shRNA	5'-GCA AAC GTC CTG GAG TAT ATA CTG A-3'

## 2.4 Vectors:

### 2.4.1. Plasmids

Vector	Length	Description	Basic Features	Reference
pBluescript II KS (-)	3.0 kb	Cloning Vector	F1 (-) Ori, $\beta$ -Galactosidase, MCS, lac promoter, Amp <sup>r</sup>	Stratagene, Heidelberg
PE3MCS	3.439 kb	RNAi cloning vector	Mouse Pol I-Promoter, Enhancer, Terminator, Amp <sup>r</sup> , MCS	generated
pE3sp-bc	3.382 kb	Ribosomal Minigene	Mouse Pol I-Promoter, Enhancer, Terminator, Amp <sup>r</sup>	Maria Brenz Verca, MPI for Psychiatry, Munich
pE3sp-sh-Con	3.454 kb	shRNA expression vector	Expresses control sh-RNA; inserted into PE3MCS	generated
pE3sp-sh-Erk2	3.454 kb	shRNA expression vector	Expresses sh-ERK2; inserted into PE3MCS	generated
pE3sp-sh-Luc	3.454 kb	shRNA expression vector	Expresses sh-luc; inserted into PE3MCS	generated

## 2. Materials and methods

Vector	Length	Description	Basic Features	Reference
pGL3control	5.256 kb	Mammalian Expression Vector for Firefly Luciferase	SV 40, Amp <sup>r</sup> , f1 ori, poly A, firefly luciferase <sup>+</sup>	Promega, Mannheim
pRLSV40	3.7 kb	Mammalian Expression Vector for Renilla Luciferase	SV 40 Enhancer/Promoter/ori/poly A, renilla luciferase <sup>+</sup> , Amp <sup>r</sup>	Promega, Mannheim
pSHAG	~3 kb	Empty backbone for shRNA expression cassette	U6 snRNA transcription unit for expression of shRNAs, pENTR/D-TOPO backbone, Kanamycin <sup>r</sup>	Greg Hannon, Cold Spring Harbor Laboratory
pSHAG-FF	~3 kb	shRNA expressing Vector	U6 snRNA transcription unit, inverted repeat targeting firefly luciferase, pENTR/D-TOPO backbone, Kanamycin <sup>r</sup>	Greg Hannon, Cold Spring Harbor Laboratory
pW-bc	~3.3 kb	Ribosomal Minigene	Mouse Pol I-Promoter, Terminator, Amp <sup>r</sup>	Masha Brenz Verca, MPI for Psychiatry, Munich

### 2.4.2. Viral vectors

Vector	Sero-type	Description	Basic Features	Reference
AAV ½ CMV-EGFP	AAV1/2	Reporter	Expresses GFP from hCMV promoter	Sebastian Kügler, Göttingen
AAV ½ sh-GFP	AAV1/2	RNAi Vector	Expresses shRNA targeting eGFP from h1 promoter and dsRed from synapsin promoter	Sebastian Kügler, Göttingen
AAV ½ sh-Luc	AAV1/2	RNAi Vector	Expresses shRNA targeting firefly luciferase from h1 promoter and dsRed from synapsin promoter	Sebastian Kügler, Göttingen
AAV 1 CMV-EGFP	AAV1	Reporter	Expresses GFP from hCMV promoter	Sebastian Kügler, Göttingen
AAV 2 sh-GFP	AAV2	RNAi Vector	Expresses shRNA targeting eGFP from h1 promoter and dsRed from synapsin promoter	Sebastian Kügler, Göttingen
AAV 2 sh-Luc	AAV2	RNAi Vector	Expresses shRNA targeting firefly luciferase from h1 promoter and dsRed from synapsin promoter	Sebastian Kügler, Göttingen

### 2.4.3. Riboprobes for *in situ* hybridization

Probe target	Size	Target accession	Position in transcript
GFP	724 bp	U55762	676-1400
CRHR1	696 bp	NM_007762	1732-2428

## 2.5. Antibodies

Antibody	Description	Reference
Anti CBP(C-1)	mouse monoclonal IgG1, epitope mapping at the C-terminus of CBP of human origin; validated for mouse CBP	Santa Cruz, Santa Cruz, CA, USA
Anti ERK 1/2 as epitop (p44/42 MAP kinase, Thr202/Tyr204)	Rabbit; epitope mapping to the C-terminus of rat p44 MAP kinase;	Cell Signaling Technology, Beverly, MA, USA
Anti-mouse antibody horseradish peroxidase conjugate	Rabbit, anti mouse immunoglobulins, horse raddish peroxidase conjugate	DAKO Diagnostika GmbH, Hamburg
Anti-rabbit antibody horseradish peroxidase-conjugate	Donkey, anti-rabbit IgG ECL Antibody, Fab fragment HRP Conjugated	GE Healthcare, Buckinghamshire, UK

## 2.6. Organisms

### 2.6.1. Bacterial strains

Strain:	Genotype	Reference
E. coli DH5 $\alpha$	endA1, hsdR17(r <sub>k</sub> <sup>-</sup> m <sub>k</sub> <sup>+</sup> ), supE44, thi1, recA1, gyrA (Nal <sup>r</sup> ), relA12	Hanahan, 1983

### 2.6.2. Eucaryotic cells

Cell line:	Derived from:
EMFI (Feeder-Zellen)	Mouse, embryonic fibroblast
FM3A	Mouse, mammary carcinoma
HEK 293	human, embryonic kidney cells
HeLa	Human, cervical cancer cells
HN9	Mouse, hippocampal neurons
HT 22	mouse, hippocampal cells
IDG3.2 ES cells	Mouse, embryonic stem cells
Neuro-2a	Mouse, neuroblastoma
NIH 3T3	mouse, fibroblasts

### 2.6.3. Animals

Mouse Strain:	Derived from:	Features
CD-1	Charles River; bred in animal facility at Helmholtz Center Munich	WT; used for expression study of miRNAs by in situ hybridization
C57BL/6N	Charles River	WT, male; used for kainate injection and miRNAs arrays;
Transgenic mouse line	Ralf Kühn, Sabit Delic, Helmholtz Center Munich; <sup>357</sup>	Transgenic mice expressing synthetic shRNAs; used as control for miRNA <i>in situ</i> hybridization

## 2. Materials and methods

Mouse Strain:	Derived from:	Features
Transgenic mouse line	Claudia Kühne, Jan Deussing; in the group; unpublished	Transgenic, female; knock-in mice expressing CRHR1 fused with GFP instead of WT CRHR1; used for virally mediated RNAi study

All animal experiments were conducted in accordance with the guide for the care and use of laboratory animals of the government of Bavaria, Germany. Timed matings were conducted with CD-1 mice for expression analysis by *in situ* hybridization. For the kainate injections and array analysis male C57BL/6N mice were purchased from Charles River Germany (Dutch breeding stock)

## 2.7. Molecular biology methods

### 2.7.1. Bacterial culture

DNA in plasmids were augmented by growing them in bacterial culture. They replicate extra-chromosomal DNA in form of plasmids independently from the chromosome.

Bacteria are rugged, easy to handle and with a cell division cycle of about 20 minutes they grow very fast. Using antibiotic resistance selection pressure for maintenance of plasmids can be applied.

To work under sterile conditions all the following procedures were performed next to a bunsen burner flame and only sterile dishes and pipette tips were used.

#### 2.7.1.1. Production of competent bacteria

1 ml of a fresh over night culture of *E. coli* DH5 $\alpha$ -bacteria was inoculated into 100 ml LB-Medium and shaken at 37°C with 175 rpm. When the culture has reached a density of OD<sub>600nm</sub> 0.3-0.5 the cells were placed on ice, followed by a centrifugation at 4°C for 10 min with 3000 rpm. Resuspension of the pellet in ice cold 50 ml 0,1 M CaCl<sub>2</sub> / 15% glycerine. The suspension is chilled on ice for 30 min. The centrifugation was repeated one time with resuspension in 10 $\mu$ l volume.

The competent cells were stored as 250  $\mu$ l-aliquots in 1.5 ml Eppendorf Tubes at -80°C. Quick-freezing was performed in a mixture of ethanol with dry ice.

#### 2.7.1.2. Growing of bacteria

Bacteria can be grown as suspension in liquid culture or if it is needed to separate single clones, colonies can be produced, growing bacteria on solid plates.

- **Liquid culture:** 50 ml LB-medium is put in an Erlenmeyer flask and supplemented with an antibiotic for selection. For ampicillin and kanamycin, final concentrations of 50 – 100  $\mu$ g/ml

respectively were used. Then the bacteria were either inoculated with an inoculation loop (for colonies or glycerol stocks) or an over night grown starter culture (3ml) was diluted 1:1000 with the prepared LB-medium. Cells were grown at 37°C on an orbital shaker not longer than 14 hours.

- **Bacterial culture on plates:** 6 g “Select Agar” was mixed into 250 ml H<sub>2</sub>O dest. and autoclaved immediately which constitutes 2x Agar and can be stored at 4°C. To cast plates 2x LB-medium was warmed in a 50°C waterbath while the 2x Agar was melted in a microwave. Mixing both liquids dilutes the LB-Agar to working concentration and antibiotics (that are heat instable) were added after chilling down to <60°C in a final concentration of 50 – 100 µg/ml. Finally bacterial dishes were filled with the warm LB-Agar and stored at 4°C no longer than four weeks. Plates were inoculated with a small amount (~300 µl) of liquid bacterial culture, pipetted onto the plates and dispensed with a Drygalski-spatula. Then the plates were incubated at 37°C for about 12 hours or until colonies were visible.

### *2.7.1.3. Transformation of bacterial cells*

Artificial incorporation of DNA into bacterial cells is called transformation and was used to amplify plasmid DNA. In addition after a DNA ligation reaction a transformation leads to growing of the products as a single type of plasmid in bacterial clones. Therefore, competent bacteria were transferred from -80°C and thawed on ice. After resuspension by pipeting up and down 200 µl of the cell suspension was distributed into precooled eppendorf tubes and kept on ice. After adding 10 µl of the corresponding plasmid DNA (approx. 1 ng or one third of the complete ligation reaction) the solution was mixed well with the pipet and incubated for additional 5 min on ice. If a ligation product was transformed without gel extraction the ligation mix was preheated at 65 °C first. In the meantime bacterial dishes were distributed open on the desk to allow them to evaporate condensation water, derived from the storage at 4 °C and a LB culture flask was put into a 50°C water bath in order to prewarm it. After the 5 min. incubation, the first temperature shock was applied by putting the tubes at 37°C for 3 min, followed by an incubation on ice for further 5 min. For the second temperature shock 1 ml of the prewarmed LB (50°C waterbath), was pipetted to the bacteria after allowing to cool down in the pipet to 40°C, mixed well by inverting the tube and incubated at 37°C for at least 5 min. During this time the bacteria can recover and start expressing resistance genes. The bacteria were then harvested in a microcentrifuge for 30 sec at full speed. The supernatant can then be decanted and the bacteria in the pellet are resuspended in the remaining liquid (approx. 100µl) which was finally plated onto agar plates with appropriate antibiotic supplement. The dishes were incubated overnight at 37°C with the lid faced to the ground. After 14-16 h grown colonies should be clearly visible. If the density of the colonies is too high, it is recommended to plate the transformed cells at some variety in density.

### 2.7.2 DNA techniques

#### 2.7.2.1. DNA manipulation and general cloning techniques

- **Digest with Restriction enzymes:** Restriction enzymes (restriction endonucleases) are proteins, that have the ability to cut DNA in a sequence specific manner and therefore were used to linearize vectors and to generate DNA-fragments that are supposed to be constructed within a ligation reaction. During the work presented here type II and type III restriction endonucleases, that mostly recognize tetra-, penta- or hexanucleotide sequences with palindromic structure, were used. Type II enzymes cut DNA within the palindromic recognition sequence whereas type III restriction endonucleases cut outside the recognition site. In doing so they generate blunt-, or when they cut with offset, sticky ends. The reactions were performed in the manufacturer's recommended incubation buffers for 2-12 hours at the particular enzyme's temperature optimum. 1-30 µg DNA were digested with 5-10 units of enzyme in a volume of 10-200µl. Attention should be paid, that the enzyme volume is not more than 10% of the final reaction volume, because otherwise some enzymes, that are stored 50% (v/v) glycerol at -20°C, might show so called star activity, when they work in more than 5% (v/v) glycerol. This means, that enzyme's substrate specificity is decreased and DNA sequences lacking the specific cutting site are digested. The digestion reaction (namely it's products) was controlled by gel electrophoresis.
- **Isolation of DNA Fragments:** For cloning purposes it is important to isolate distinct DNA fragments, that have been created with restriction digests. Therefore, DNA fragments are separated by gel electrophoresis and the detected bands with desired sizes are cut out from the gel with a scalpell. To minimize UV induced mutations - so called photoknicks in the DNA the gel for preparative purposes was only illuminated by a low intensity of UV light and with a greater wavelength than for analytical gels. The cut gel pieces were transferred into ice cold 1.5 ml eppendorf tubes and stored at -20°C.
- **Joining DNA Fragments with T4-DNA-Ligase:** A DNA ligase is an enzyme which catalyzes the connection of DNA fragments by generating phosphodiester bonds between 5' and 3' ends of DNA fragments. This reaction is called a ligation. After separation of DNA fragments in a preparative (low melting) agarose gel (see 2.7.2.1) the excised bands were used without further purification e.g. DNA gel extraction. Based on the fact that low melting agarose can be melted at 65°C and will stay in the liquid state at 37°C, which allows quicker work than in conventional methods but comprises a higher risk for mutations due to the heating steps in presence of ethidium bromide during the following transformation. About 2.5 µg of total DNA has been loaded per lane onto a preparative DNA gel. The bands of the designated DNA fragments were cut accurately during visualizing them on a transilluminator (isolation of DNA fragments). Next the excised bands were melted in a heating block at 65°C



mixed well with a vortexer and quick spun. The volume of an excised band is approximately 100  $\mu\text{l}$  and was adjusted to approx. 200  $\mu\text{l}$  with water and then kept in the heating block. To keep the DNA in solution when ligating melted agarose fragments all tubes, pipette tips and solution have to be prewarmed in a heating block, waterbath or for tips by direct contact with sterile preheated (65°C) water. 5  $\mu\text{l}$  of the 10x Ligation Buffer have been added together with water. The amount of water was calculated in order to give a final reaction volume of 50  $\mu\text{l}$  (together with the volumes for the DNA fragments and the enzyme). Equimolar amounts (or various ratios) of each DNA fragment was then added to the reaction mixture. Nevertheless, it is important to use a concentration of less than 0.5 fmol/ $\mu\text{l}$  for each DNA fragment. This means that when you use 2.5  $\mu\text{g}$  of a fragment of 3kb in an excised band of 200  $\mu\text{l}$  volume the contribution of 4  $\mu\text{l}$  of melted agarose of this fragment into 50  $\mu\text{l}$  end ligation volume leads to a final concentration of 0.5 fmol/ $\mu\text{l}$ , so as much as required. Higher concentrations lead to the formation of multimers, too low concentrations preferentially lead to intramolecular reactions like vector closing himself. Finally 0.2 – 1U of the ligase enzyme were added to the reaction mixture and incubated over night at various temperature conditions depending on the type of ligation. Ligations with overhangs were incubated at 0-4 °C for better hybridization whereas ligations with only blunt ends are not dependent on temperature because there is no kind of hybridization. Ligations with mixed ends can be incubated with a temperature gradient from 0°C to room temperature on melting ice in a beaker at room temperature.

### *2.7.2.2. Preparation of Plasmid DNA*

DNA in plasmids were augmented by growing them in bacterial culture. Afterwards the plasmids have to be isolated and purified from the other cellular contents.

- **Plasmid purification for analytical purpose:** To analyze constructed plasmid DNA small amounts of DNA (2-10 mg) were purified by the use of Quiaprep Spin Miniprep Kits (Qiagen, Hilden) following the manufacturer's protocol.
- **Plasmid purification for preparative purpose:** For transfection experiments or sequencing larger amounts and a higher grade of DNA's purity are necessary. Therefore QIAprep Plasmid Purification Kit (Midi and Maxi) Kits were used with the manufacturer's protocol.

### *2.7.2.3. Polymerase Chain Reaction (PCR)*

The polymerase chain reaction is an enzymatic approach to amplify specific DNA-sequences. Therefore, thermo-stable DNA polymerases are used (e.g. Taq polymerase). DNA polymerases can not generate a DNA molecule de novo but add nucleotides to an existing 3' hydroxyl group by catalyzing a phosphodiester bond in a template depending manner. Therefore oligonucleotides that serve as primers are required and define the position of the amplified DNA (amplicon) on the template. This method allows to increase very few copies of a DNA sequence to visible bands

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on an agarose gel. The conditions for this reaction have to be optimized for each pair of primers on the selected matrix. In doing so the variable parameters are the concentrations of the primers ( $C_{\text{primer}}$ ), the  $Mg^{2+}$ -concentration ( $C_{Mg^{2+}}$ ) and the annealing temperature ( $T_{\text{Annealing}}$ ) of the primers, which is depending on the length and the GC content. For all of the PCR-reactions, performed within the scope of this work the following conditions were used:

$C_{\text{primer}}$ :	10 $\mu\text{M}$
$C_{Mg^{2+}}$ :	1.5 mM $MgCl_2$
$T_{\text{Annealing}}$ :	55°C

The reaction cycles therefore were:

1 cycle	5 min	95°C
35 cycles	30 sec.	95°C
	1 min	54°C
	1 min	72°C
1 cycle	5 min	72°C
1 cycle	holding	4°C

For a final reaction volume of 25  $\mu\text{l}$  the reactions were pipeted after the following scheme into a special PCR reaction tube:

ddH <sub>2</sub> O	12 $\mu\text{l}$
dNTP-Mix, 5mM	1 $\mu\text{l}$
10x Taq-Polymerase Buffer (Roche)	2.5 $\mu\text{l}$
5'-Primer	2,5 $\mu\text{l}$
3'-Primer	2.5 $\mu\text{l}$
DNA-Template (50-100 ng)	5 $\mu\text{l}$
Taq-Polymerase (1 U/ $\mu\text{l}$ )	0.5 $\mu\text{l}$

To generate cloning fragments novel restriction sites have been introduced into the fragment via the sequence of mismatch primers. During the replication process the PCR product will be prolonged by this mismatching sequence. There were at least six nucleotides upstream of the novel restriction site in the mismatch primer, because otherwise restriction endonucleases are not able to recognize this target sequence and to cut the fragment.

The reaction mix for the introduction of restriction sites via PCR is the same as above, but may be upscaled. The PCR conditions on the other hand have to be altered.

In the first cycles a lower annealing temperature was used, because the primers can not hybridise

at full length and have some bases of 5' prime overhang.

After the first cycles the annealing temperature was rised, because then the full length primer can bind to the newly synthesized template.

Therefore the reaction conditions were as follow:

1 cycle	10 min	95°C
5 cycles	1 min	95°C
	2 min	50°C
	1 min	72°C
20 cycles	1 min.	95°C
	2 min	60°C
	1 min	72°C
1 cycle	10 min	72°C
1 cycle	holding	4°C

#### 2.7.2.4. DNA agarose gel electrophoresis

To separate DNA-fragments or to determine their length, agarose gel electrophoresis is used. Because of its negatively charged phosphate backbone, DNA migrates in the gel matrix from the negative to the positive pole, when an electrical field is applied. In doing so its motility within a gel is indirectly proportional to its length but also depends on secondary structure and -especially in circular DNA fragments- on higher order organization of the topology e.g. supercoiling. The length yield to separate DNA fragments in an agarose gel is for double stranded DNA about 100bp – 14 kb. To adjust the gel's resolution to the fragment lengths, concentrations from 0.8% to 2% of agarose were used in TAE buffer to prepare the gel. For a fragment length of about 100 bp a 2% agarose gel was used, and for fragments over 2kb an agarose gel of 0.8 % is suitable. To visualize DNA the dye ethidium bromide (EtBr) at a concentration of 0.5 µg/ ml was used in the gel. To make these gels, agarose was weighed and solved in TAE-buffer by heating the mixture in a microwave. For loading of DNA probes onto the gel DNA was mixed with 1/10 vol. of 5x loading buffer. Beside the probes so called DNA ladders were used to estimate the fragment lengths and DNA amount of the probe since the DNA ladder contains defined amounts and sizes of DNA molecules. The migration and separation of DNA fragments was achieved by applying a constant electrical field of 2.5 V/cm for 1-2 h to the gel whereby TAE-Buffer is used as running buffer and serves as electrolytic solution. To examine the seperated DNA fragments they can be visualised by UV-illumination, because of the added EtBr. For documentation a photo was made with a ccd-camera and printed. If the DNA fragments were supposed to be used for

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cloning, a preparative agarose gel was run in addition.

Therefore the protocol is just the same except the use of a special low melting agarose to prepare the gels and no photo was taken (for further procedure see isolation of DNA fragments).

### 2.7.2.5. *Gel extraction*

Although ligations can be performed with the cut out low melting agarose bands directly, some approaches make it necessary to purify the DNA from an excised gel band e. g. when you wish to control the purity of the DNA. In this case the excised band was treated with the QIAquick Gel Extraction Kit following the manufacturer's protocol.

### 2.7.2.6. *Spectrophotometric determination of DNA/RNA concentration*

For many applications it is necessary to use defined amounts of DNA and therefore the yield of DNA or RNA is subsequently measured after DNA or RNA isolation. To determine the concentration and yield of DNA a spectrophotometer is used. Nucleic acids have a maximum of light absorption at 260nm. Following the law of Lambert-Beer the concentration of nucleic acids can be determined by the light absorption at this wavelength, since the absorption  $A$  is a linear function of its concentration (at least for diluted solutions):

$$A = \epsilon cd$$

$c$ =concentration;  $d$ =thickness of the cuvette;  $\epsilon$ =extinction coefficient

In practical use, the optical density of a 1:100 dilution of the DNA/RNA sample was measured and the concentration was calculated after the following formula.

DNA:  $OD_{260} \times 50$  (factor for extinction coefficient)  $\times 100$  (dilution factor) = concentration in  $\mu\text{g/ml}$

RNA:  $OD_{260} \times 40$  (factor for extinction coefficient)  $\times 100$  (dilution factor) = concentration in  $\mu\text{g/ml}$

An absorption value  $OD_{260} > 0.5-0.6$  was avoided by further dilutions, because in this range the law of Lambert-Beer is not obeyed any more. To control for contaminations with proteins an additional measurement of the absorption at 280 nm was performed, because this is the absorption maximum for proteins. A quotient of  $OD_{260}/OD_{280}$  around 1.8 for DNA or 2.0 for RNA samples is desired.

### 2.7.3. RNA techniques

#### 2.7.3.1. RNA-extraction

One way to challenge gene expression, is to analyze transcription. The RNA extraction with peqGOLD RNA Pure (Peqlab) is based on cell lysis and separation of RNA in the aqueous phase of a phenol, guanidinisothiocyanate and chloroform mixture. The procedure has been performed as described in the manufacturer's protocol.

#### 2.7.3.2. Northern blot for short RNAs

- **RNA extraction:** In the peqGold RNA isolation procedure miRNAs are retained and thus standard isolation was also performed for short RNA species. Nevertheless, column based RNA purification procedures should be avoided since this approaches loose at least partially miRNAs.
- **Quality control of RNA:** The integrity of the isolated RNA was checked by non-denaturing agarose gel electrophoresis. 1µg of total RNA was mixed with Northern loading buffer of the mirVana Probe and Marker Kit (Ambion) and separated on a 0.8% gel. The quality criterion was the presence of two strong ribosomal bands (18S and 28S) and ideally the quantitative ratio of 28S/18S is thereby two.
- **Gel electrophoresis:** Short RNA species lower than 100 bp can not be separated on a standard agarose gel. Therefore analog to protein gels denaturing 15% acryamide gels were used:

7.2g	Urea,
1.5ml	10X TBE,
5.6ml	40% acrylamide,
to 15ml	Nuclease free water
Stir to mix, then add	
75µl	10% ammonium persulfate
15µl	TEMED
Mix briefly and pour gel immediately.	

30 µg of total RNA was loaded onto the gel in Gel loading buffer II (mirVana Kit, Ambion) and the gel was run at 100-130V in 1X TBE as running buffer until the bromophenol blue dye of the loading buffer had been migrated to the end of the gel. No RNA ladder was used since that would require a radioactive ladder but RNA fragment size was determined by the migration of loading buffer dyes (bromophenol blue ~10nt; xylene cyanol ~30nt).

- **Northern transfer onto membranes:** The separated short RNA fragments have been transferred from the gel to Hybond N+ nylon membranes (Amersham) in a semidry Western blotting apparatus (BioRad). The membrane was soaked in 0.25 TBE and a stack of three sheets of blotting paper (Whatman) soaked in 0.25X TBE was placed above and below the sandwich

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gel/membrane. The transfer was performed at 120mA constant current for 2h. Afterwards the RNA was UV cross-linked to the membrane in a crosslinker (UV-Stratalinker 2400, Stratagene) by radiation of a 120mJ burst over 30 sec.

- **Check transfer:** The transfer of short RNAs was controlled by staining of the dry membrane with Methylene blue: 15 min. 5% acetic acid; 10 min staining (0.5M Sodium acetate pH 5.2; 0.04% methylene blue; 10 min. washing with water. The membrane was destained by washing in 5% acetic acid.
- **Probe labelling:** DNA or RNA oligonucleotides were 5' end labelled with T4 polynucleotide kinase (PNK).

2 $\mu$ l	DNA/RNA oligo [0.5M]
4pmol (4 $\mu$ l)	$\gamma^{32}$ PATP (4000-7000Ci/mmol; 10-150mCi/ml)
1 $\mu$ l	10X PNK buffer
1 $\mu$ l	T4 PNK (10U/ $\mu$ l)

to 10  $\mu$ l with water; incubation for 1h at 37°C

- **Probe purification:** 5' end labelled oligonucleotides were purified from unincorporated nucleotides by column purification with the mirVana Probe and Marker Kit (Ambion) according to the manufacturer's protocol. All labelled probe has been used for subsequent hybridization on membranes.
- **Hybridization of DNA oligo-probes:** The blotted membranes were prehybridized in prehybridization solution (6X SSC; 10X Denhardt's solution; 0.2% SDS) for >1h at 65°C. After discarding of the buffer the radiolabelled probe was added to the hybridization buffer (6X SSC; 5X Denhardt's solution; 0.2% SDS) and incubated with the membrane over night at room temperature. Unbound probes were washed three times with Northern blot wash solution (6X SSC; 0.2% SDS) twice at 37°C and once at 42°C.
- **Exposure of the membrane:** After the final wash the blot was sealed in a plastic bag and exposed to X-ray film (Kodak Biomax MR) for 6-12h.

### 2.7.3.3. *In vitro* transcription assay

*In vitro* transcription assays were performed in a collaboration with Ingrid Grummt (DKFZ, Heidelberg). Nuclear extracts were prepared from exponentially growing FM3A cells. To assay Pol I-specific transcription, 25 ml assays contained 50 ng of template DNA (pE3SP-shLuc), 30 mg of nuclear extract proteins, 12 mM Tris-HCl, [pH 7.9], 0.1 mM EDTA, 5 mM MgCl<sub>2</sub>, 80 mM KCl, 10 mM creatine phosphate, 12% (v/v) glycerol, 0.66 mM each of ATP, GTP and CTP, 0.012 mM UTP and 0.1 mCi [ $\alpha$ -<sup>32</sup>P]UTP (5,000 Ci/mmol, Perkin Elmer). For Pol III-specific transcription, assays contained 500 ng of template DNA (pU6-shLuc), 30 mg of nuclear extract proteins, 12 mM Hepes-KOH, [pH 7.9], 0.14 mM EDTA, 5 mM MgCl<sub>2</sub>, 60 mM KCl,



1 mM creatine phosphate, 3 mM DTT, 12% (v/v) glycerol, 0.4 mM each of ATP, GTP and CTP, 0.004 mM UTP and 0.1 mCi [ $\alpha$ - $^{32}$ P]UTP (5,000 Ci/mmol, Perkin Elmer). Transcription reactions were carried out in the absence or presence of  $\alpha$ -amanitin (200 mg/ml, Sigma). After incubation for 60 min at 30°C, RNA was extracted and analyzed on non-denaturing 6% polyacrylamide gels.

#### 2.7.3.4. Array hybridization of miRNAs

Preparation and hybridization of miRNA arrays were performed in a collaboration with Anna Krichevsky (Harvard Medical School, Boston) as published <sup>280</sup>.

#### 2.7.3.5. Radioactive *in situ* hybridization (ISH) with riboprobes

- **Template amplification:** All necessary *in situ* probes had been cloned into the pCRII-TOPO or pBluescript II KS vector. After heat shock transformation single bacteria clones were inoculated in LB medium and plasmid DNA was prepared using QIAprep Spin Miniprep Kit (Quiagen). Using T3, Sp6 and/or T7 primers a PCR was performed:

PCR reaction

(50  $\mu$ l):

0.5 $\mu$ l	plasmid template
1 $\mu$ l	Primer 1 (10 pmol, MWG)
1 $\mu$ l	Primer 2 (10 pmol, MWG)
1 $\mu$ l	dNTPs (10 mM each, Roche)
5 $\mu$ l	10 $\times$ Reaction Buffer IV (ABgene)
3 $\mu$ l	MgCl <sub>2</sub> Solution (25 mM, ABgene)
0.5 $\mu$ l	Thermoprime Plus DNA Polymerase (5 U/ $\mu$ l, ABgene)
38 $\mu$ l	H <sub>2</sub> O (Ampuwa)

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Used PCR program

Denaturation	1 cycle	95 °C	5 min
Denaturation		95 °C	45 sec
Annealing	35 cycles	55 °C	30 sec
Elongation		72 °C	1 min/1kb
Termination	1 cycle	72 °C	15 min

- **Determination of template concentration:** To check PCR reaction and for quantification, 5 µl of the PCR sample were analyzed on an 1% agarose gel, containing 0.5 µg ethidiumbromide per ml. Concentration of the template was estimated using 5 µl of Smart ladder (EURO-GENTEC) as a standard with the 1000 bp band representing 100 ng.

- **Labeling of riboprobes:**

*In vitro* transcription for <sup>35</sup>S-labelled riboprobes

30 µl transcription reaction:

2 µl	(2 µg) PCR fragment
3 µl	10 × transcription buffer (Roche)
3 µl	NTP-mix (rATP/rCTP/rGTP 10 mM each, Roche applied science)
1 µl	0.5 M DTT (Roche)
1 µl	Rnasin (= RNase inhibitor 40 U/µl; Promega)
6 µl	<sup>35</sup> S-thio-rUTP from Perkin Elmer (1250 Ci/mmol; 12.5 mCi/mM)
1 µl	T7, T3 or Sp6 RNA polymerase (20 U/µl Roche Diagnostics)
13 µl	Ampuwa-H <sub>2</sub> O (Fresenius)

Reactions were mixed gently by tapping the Eppendorf-tubes, followed by a quick spin and an incubation of 3 h in total at 37 °C. After 1 h another 0.5 µl RNA polymerase were added. To destroy DNA template, reactions were incubated with 2 µl RNase-free DNase I (Roche) for 15 min at 37 °C. For purification of riboprobes the RNeasy Mini Kit (Qiagen) was used according to the manufacturer's protocol. 1 µl of the <sup>35</sup>S-labelled probe was measured in 2 ml of scintillation solution (Zinsser Analytic, Frankfurt, Germany) in a beta counter (LS 6000 IC, Beckmann Coulter). 5 to 7 Mio cpm of radiolabelled probe were further used per slide.

- **Pretreatment of slides:** Slides were warmed up in a storage box for ~15-30 min at RT, pre-treated and finally hybridised. Pretreatment was performed applying the following protocol, whereas solutions were exchanged after no more than three staining racks:

Step	Time	Solution	Temp.
1. Fixation	10 - 15 min	4% PFA/PBS/DEPC-H <sub>2</sub> O	ice-cold (4 °C)
2. Wash	3 × 5 min	1 × PBS/DEPC-H <sub>2</sub> O	RT
7. Acetylate	10 min	0.1 M Triethanolamine-HCl (TEA), pH 8.0; add 600 µl acetic anhydride / rack (200 ml TEA) with rapidly rotating stirring bar	RT
8. Wash	2 × 5 min	2 × SSC/DEPC	RT
10. Dehydrate	1 min	60% ethanol/DEPC	RT
11. Dehydrate	1 min	75% ethanol/DEPC	RT
12. Dehydrate	1 min	95% ethanol/DEPC	RT
13. Dehydrate	1 min	100% ethanol/DEPC	RT
14. Defat	1 min	CHCl <sub>3</sub>	RT
15. Dehydrate	1 min	100% ethanol/DEPC	RT
16. Air-dry	~ 1 h	air dry slides in dustfree area	RT

- **Hybridization:** For hybridization an appropriate amount of hybridization mix (hybmix) with the riboprobe, containing 5 to 7 million counts per slide was prepared. A total volume of 95 to 100 µl hybmix per slide was needed. The hybmix containing the probe was heated to 90 °C for 2 min, then chilled shortly on ice and finally put on RT. The solution was dropped onto the slides, which were then carefully coverslipped (Marienfeld, Lauda-Königshofen, Germany) avoiding air bubbles. Slides were placed into a hybridization chamber containing hybridization chamber fluid to avoid drying out of the hybmix. Chamber was thoroughly sealed by adhesive tape and placed in a oven (Memmert, Schwabach, Germany) at 56 to 58 °C for incubation over night (up to 20 h).
- **Washing:** Coverslips were carefully removed from the slides avoiding making scratches on the slices. Subsequently following washing steps were applied:

Step	Time	Solution	Temp.
1.	4 × 5 min	4 × SSC	RT
2.	25 min	1 × NTE (add 20 µg/ml RnaseA per rack)	37 °C
3.	2 × 5 min	2 × SSC/1 mM DTT (50 µl of 5 M DTT/250 ml SSC)	RT
4.	10 min	1 × SSC/1 mM DTT (50 µl of 5 M DTT/250 ml SSC)	RT
5.	10 min	0.5 × SSC/1 mM DTT (50 µl of 5 M DTT/250 ml SSC)	RT
6.	2 × 30 min	0.1 × SSC/1 mM DTT (50 µl of 5 M DTT/250 ml SSC)	64 °C

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Step	Time	Solution	Temp.
7.	2 × 10 min	0.1 × SSC	RT
8.	1 min	30% Ethanol/300 mM NH <sub>4</sub> OAc	RT
9.	1 min	50% Ethanol/300 mM NH <sub>4</sub> OAc	RT
10.	1 min	70% Ethanol/300 mM NH <sub>4</sub> OAc	RT
11.	1 min	95% Ethanol	RT
12.	1 min	100% Ethanol	RT

Slides were then air dried in a dust free area for at least 1 h. Solutions had to be changed when using more than three staining racks.

- **Autoradiography:** For autoradiography dried slides were exposed to special high performance X-ray films (BioMax MR film from Kodak) for different time intervals (1-5 days).
- **Dipping and Development:** The slides were dipped in a prewarmed photographic emulsion (KODAK NTB-2 emulsion diluted 1:2 with Ampuwa water) for about 5 sec and dried o/n at room temperature. The next day, slides were stored in with tape sealed light-tight black boxes containing silica gel capsules (Roth), as desiccant at 4 °C for different exposure times varying between 1-8 weeks depending on the signal intensity of the X-ray films. For development boxes were equilibrated to room temperature for 2 h, before slides were developed in KODAK D 19 developer (Sigma-Aldrich) for 3-4 minutes. Then a rinsing step in tap water for 30 seconds followed. Slides were dipped in KODAK fixer (Sigma-Aldrich) for 5-7 minutes and finally rinsed in tap water again for 25 min. To scrap emulsion off the back side of the slides a strong razor blade was utilized. After that, slides were air dried.
- **Nissl staining:** The end of the whole slide treatment represented the counterstaining with the cresylviolet dye, with which RNA of the rough endoplasmatic reticulum – the Nissl substance – in the neuronal cytoplasma can be stained.

Step	Time	Solution	Temperature
1.	15 min	0.5% Cresyl Violet Acetate	RT
2.	1 min	water	RT
3.	2 × 1 min	70% EtOH	RT
4.	5 sec	96% EtOH + 1 ml acetic acid	RT
5.	2 × 1 min	96% EtOH	RT
6.	2 × 2 min	100% EtOH	RT
7.	2 × 5 min	Xylol (Roth)	RT

After staining, the brain sections were embedded with DPX mounting medium (Fluka Chemie AG, Buchs, Switzerland) and coverslips were carefully put onto the slides.

### 2.7.3.5. Radioactive *in situ* hybridization with LNA modified oligoprobes

- **In situ probes:** LNA modified oligonucleotides (Exiqon, Vedbaek, Denmark) were used as probes with the following sequences: shRNA: 5'-gcaaacgtcctggagtataactga-3'; mmu-mir-1: 5'-tacatactctttacattcca-3'; mmu-mir-9: 5'-catacagctagataaccaaaga-3'; mmu-mir-124a: 5'-ggcattcaccgcgtgcctta-3'; mmu-mir-125b: 5'-tcacaagttagggtctcagggga-3'; mmu-mir-132: 5'-cgaccatggctgtagactgtta-3'; mmu-mir-134: 5'-cccctctggtaaccagtcaca-3'; mmu-mir-206: 5'-ccacacacttccttacattcca-3'; mmu-mir-219: 5'-agaattgcgtttggacaatca-3';
- **LNA probe labeling and purification:** LNA-oligonucleotides were labeled with 800 units recombinant terminal transferase (Roche, Mannheim, Germany), 1x reaction buffer, 15mM CoCl<sub>2</sub> and 6 µl [ $\alpha$ -thio-<sup>35</sup>S]-ATP (10 mCi/ml; > 1000 Ci/mmol; Amersham) using 3 pmol of LNA oligo (Exiqon) in a 20µl reaction. Probe purification has been performed with mini Quick Spin Oligo Columns (Roche, Mannheim, Germany) following the manufacturer's instructions. Labelling efficiency has been controlled by scintillation counting and probes with typically ~300000 counts/µl were used.
- **Pretreatment:** Pretreatment was performed analog to ISH with riboprobes. Shortly, slides with tissue sections were deparaffinised for 2x 15 min. in Rotihistol (Roth, Karlsruhe, Germany) and rehydrated (2x 5 min. 100% ethanol; 5 min. 70% ethanol; 3 min. H<sub>2</sub>O; 3 min. PBS). Fixation was performed for 10 min. in ice-cold 4% paraformaldehyde (PFA) in PBS. After washing (3x 5 min. PBS) acetylation was done for 10 min. with 0.3% acetic anhydride in 0.1 M triethanolamine-HCl buffer (TEA; pH 8.0). Afterwards 2x washing with 0.2 M standard saline citrate (DEPC-SSC) for 5 minutes and dehydration through an ascending ethanol series (60, 75, 95, 100%). Sections were finally defatted with chloroform, immersed in 100% ethanol for 1 minute and air dried.
- **Hybridization:** Slides were prehybridised with hybridization solution (50% deionized formamide, 4x SSC, 0.5x Denhardt's solution, 1% Sarcosyl (N-lauroylsarcosine), 20mM Naphosphate buffer, 10% Dextran sulfate, 1 mM DTT freshly added before use) for 1h at 45°C. Approx. 1 million counts of labelled probe have been applied onto each slide in 100 µl hybridization solution and covered with a coverslip. Hybridization was performed over night at 41°C for the probe detecting mir-1 and at 45°C for all other probes in a humidified chamber.
- **Washing:** Unbound probe has been removed by sequential washing steps: 4x 15 min. (1x SSC, 0.05% Tween, 0.5µM DTT at 55°C), 2x 15 min. (0.2x SSC, 0.05% Tween, 0.5µM DTT at 55°C), 30 min (0.2x SSC, 0.05% Tween, 0.5µM DTT at 55°C, cooling to room temperature), 2x 5min 0.1 x SSC and 30 sec. H<sub>2</sub>O at room temperature. Afterwards slides have been dehydrated in an ascending ethanol series (2min each) in 70, 95 and 100% ethanol and finally air dried.
- **Autoradiography and microphotography:** After exposure to high performance X-ray films (BioMax MR from Kodak) for 1 day sections have been exposed to NBT-2 photographic

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emulsion (Kodak) 1:1 in water for 2-3 weeks, developed in Kodak D19 developer and fixed with Kodak fixer. Histological counterstaining was performed with cresylviolet.

### 2.7.3.6. DIG labelled *in situ* hybridization with LNA modified oligoprobes on robot

- ***In situ* probes:** LNA modified oligonucleotides (Exiqon, Vedbaek, Denmark) were used as probes with the following sequences: mmu-mir-1: 5'-tacatacttctttacattcca-3'; mmu-mir-9: 5'-catacagctagataaccaaga-3'; mmu-mir-124a: 5'-ggcattaccgcgtgcctta-3'; mmu-mir-206: 5'-cca-cacacttccttacattcca-3'
- **LNA probe labeling and purification:** LNA-oligonucleotides were labeled analog to radioactive labelling of LNA oligo probes but incorporating digoxigenin (DIG) dATP with 800 units recombinant terminal transferase (Roche, Mannheim, Germany), 1x reaction buffer, 15mM CoCl<sub>2</sub> and using 3 pmol of LNA oligo (Exiqon) in a 20µl reaction. Probe purification has been performed with mini Quick Spin Oligo Columns (Roche, Mannheim, Germany) following the manufacturer's instructions. Labelling efficiency has been controlled by dot blots compared to references.
- **Robotprogram:**

DAY 1				
cycles	volume	time	reagent	temp
3 + 2	350 µl	5 min	0,6 % H <sub>2</sub> O <sub>2</sub> /MeOH no detergent!	24°
7	350 µl	5 min	PBS	
2	350 µl	5 min	0,2 N HCl	
4	350 µl	5 min	PBS	
1	400 µl	5 min	Proteinasebuffer	
2	350 µl	10 min	Proteinase K 2 ug/ml/Cryo/14.5d	
7	350 µl	5 min	PBS	
2	350 µl	10 min	4 % PFA	
7	350 µl	5 min	PBS	
1	350 µl	15 min	Hyb. Mix + DTT 1,5 mg/ml	24°
1	350 µl	30 min	Hyb. Mix (heat)	→64°
1	350 µl	6 h	Dig-Probes (150-) 300 ng/ml	64°

2,5 h then again probe added, incubation continued for 3 h

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DAY 2				
cycles	volume	time	reagent	temp
5	350 µl	5 min	5 x SSC preheated (90 min in advance) to 62°	64°
5	350 µl	10 min	Formamide I (2 x SSC in 50 % Form)	
5	350 µl	12 min	Formamide II (1 x SSC in 50 % Form)	
3 + 1	350 µl	8 min	0,1 x SSC	→25°
4	350 µl	5 min	NTE pH 7,6	
4 (6)	350 µl	7 (5) min	Jodacetamide 20 mM	
4	350 µl	5 min	NTE	
2	350 µl	5 min	TNT p H 7,6	
3 (6)	350 µl	10 (5) min	4 % Sheep Serum (filter 0,45 µm)	
4	350 µl	5 min	TNT	
2	250 µl	10 min	TNB blocking	
2	350 µl	5 min	TNT	
2	350 µl	5 min	Maleat Wasch	
2	350 µl	10 min	Maleat blocking	
2	350 µl	5 min	Maleat Wash	
2	350 µl	5 min	TNT	
3	350 µl	5 min	TMN (no Levamisol )	
4	350 µl	5 min	TNT	
4	350 µl	10 min	TNB blocking	
2	350 µl	30 min	Anti DIG-POD (1:600 o. 0,2925 u/µl)	
6	300 µl	5 min	TNT	
1	300 µl	30(20) min	Tyramid-Biotin 1: 50 in TSA (prewarmed) to 37°C	
6	350 µl	5 min	Maleat Wasch	
2	350 µl	30 min	Neutravidin 1:750/2,85 µg/ml in MWB	
6	350 µl	5 min	Maleat Wash	
4	350 µl	5 min	TNT	
2	350 µl	5 min	TMN	
2 (3)	350 µl	15(10) min	NBT-BCIP in TMN + (+ 0,5 mg/ml Levamisol, BCIP 0,15µg/ml, NBT 0,4 µg/ml)	
4	400 µl	5 min	Water I + 0,05 % Tween	
1	300 µl	5 min	NTE	
1	200 µl	20 min	4%PFA	
4	400 µl	5 min	Water II	

Optimizations included among other factors: Pretreatment without PK and without acetylation; hybridization: first 15 min at 24°C then fresh hybmix and heat to 50°C, 30 min; Ambion HybMix & Roche DIG Hyb granules used; washing conditions: 1. 5 x SSC + 0,1 % Tween; 2.



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x SSC + 50 % Formamid; 3.2 x SSC (to cool) 4. 0.2 x SSC + 0,1 % Tween

- **Detection:** Detection was performed with Anti DIG antibody horseradish peroxidase conjugated and the TSA signal amplification kit (perkin elmer) using NBT-BCIP (Roche) as substrate according to the manufacturer's protocols.

### 2.7.4. Protein techniques

#### 2.7.4.1. Protein-Extraction:

Genetic studies on protein level are inevitable for characterizing gene function and interaction. Cell lysis of eucaryotic cells was achieved by various methods and buffers, which have to be adjusted according to cell type and downstream applications

- **For Western Blotting:** HN9 cells grown in 6-well plates were washed once with cold PBS collected in 500 ml PBS using a cell scraper and transferred into an Eppendorf tube. Following centrifugation cells were resuspended in Ampuwa water with protease inhibitors (Roche Mini). Cells from 3 wells of a 6-well plate were pooled in a total volume of 60 ml and sonicated. The lysates were cleared by centrifugation (5 min at 13000 rpm at 4°C) and the protein content was quantified by Bradford reagent (Bio-Rad). IDG3.2 ES cells from each 10-cm plate were lysed at 48 hours after transfection in 500 ml lysis buffer (2% SDS, 50 mM Tris pH 6.8, 50 mM DTT, 10% C, protease inhibitors (Roche Mini), 0.01% bromophenol blue). Protein concentration was determined in lysis buffer without dye using the BCA assay kit (Pierce).
- **For Dual Luciferase Assay:** Preparation of cell lysates for the dual luciferase assay was performed from HEK293, HT22, HN9, Neuro-2a and HeLa cells by using the 5x Passive Lysis Buffer (PLB), which is purchased with the dual luciferase kit (Promega). The 1X working concentration of the lysis buffer was achieved by dilution with distilled water. Cells were first washed with PBS and after removal 100 µl (for a 24 well plate) 1 x PLB was dispensed into each culture well. Subsequently the culture plates were incubated for 15 min at room temperature, shaking on an orbital mixer. After that the lysates were finally transferred into eppendorf tubes. For dual luciferase assays the lysate does not have to be cleared and half of the protein lysate was used in subsequent assay. Within the PLB the luciferase proteins are stable for at least 6 hours at room temperature and up to 16 hours on ice. Freezing at -20°C is suitable for short-term storage up to 1 month. For longer storage times storage at -70 °C is recommended.

### *2.7.4.2. Protein quantification:*

The protein determination referring to Bradford is a colorimetric method to determine yields of protein in which a differential color change of a dye binding to protein occurs in response to various concentration of protein. The Coomassie Brilliant Blue G-250 dye (Bio-Rad Protein Assay) binds mainly to basic and aromatic amino acids in a protein and thereby shifts its light absorbance maximum from 465nm to 595nm. This reaction can be assayed by photometric measurements. Finally the protein concentration can be determined with the law of Lambert Beer and a standard curve. First the protein samples were diluted 1:100 with deionised water to a final volume of 500 µl and mixed well. Then defined standards of protein concentrations were made with 5 µg/ml, 10 µg/ml, 15 µg/ml, 20 µg/ml and 25 µg/ml by diluting a BSA protein standard of 1.4 mg/ml with water. It is especially important to mix each dilution well with a vortexer and to work quickly, so that the proteins do not sink in the tube.

100 µl of each sample and standards were pipeted in doublets into a transparent 96-well-plate. Then 50 µl of staining solution was added into each well and the light absorption of the filled wells was measured at 595nm with a photometer.

To finally determine the protein concentration, the mean value of the two identical samples is calculated. Then the values of the standards are transformed into a calibration curve via linear regression analysis and using this calibrator the unknown concentrations were determined.

### *2.7.4.3. Dual Luciferase Assay:*

In dual reporter assays two individual reporter genes were transfected into the same cell and later the activity of both of them was measured sequentially. The so called “experimental” reporter is conditionally expressed, depending on the experimental setup, while the activity of the cotransfected “control” reporter provides an internal control, which can be used as baseline and normalization reference. In doing so the experimental accuracy is improved, since e. g. fluctuations in transfection efficiency are calculated out.

In the RNAi vector silencing experiments, firefly and renilla luciferase activities were measured using the Dual Luciferase Assay Kit (Promega). Thereby the firefly luciferase was the experimental reporter and the independent renilla luciferase served as the control reporter. Both luciferases which catalyse a chemiluminescent reaction with different substrates are widely used as reporters. On the one hand the assay is very sensitive, because the light production has a high quantum efficiency and shows on the other hand very low background luminescence in host cells. Additionally the enzyme kinetics allows yields of linear results over at least eight orders of magnitude.

50 µl of the cell lysates were used to assess luciferase activities according to the manufacturer's protocol with following exceptions. The reconstituted 50x Stop&Glo Substrate was diluted 1:100 with Stop&Glo Buffer. 50 µl of LARII were pipeted into 50 µl of the cell extracts that have already been dispensed in Microlite microtiter plates. Finally 50 µl Stop&Glo reagent

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(1:100) were applied to the protein extracts. The luminescence produced in the assays were measured in a luminometer (Storm, Perkin Elmer).

### 2.7.4.4. SDS-polyacrylamide gel electrophoresis (PAGE):

- **Preparation of the gel:** SDS polyacrylamide gel electrophoresis allows the separation of protein-mixtures according to their molecular weight. The detergent SDS (sodiumdodecylsulfate) complexes proteins, masks the natural charge and destroys their secondary structure, which means that for the electrophoresis conformational and sequence-specific effects are mainly removed out. This makes the proteins migrate in the electrical field, only according to their molecular weight. In addition mercaptoethanol, which is contained in the sample buffer reduces intra- and intermolecular disulfid bonds and therefore denatures tertiary and quartary structures. For SDS PAGE a vertical Bio Rad gel apparatus was used. Depending on the molecular weight of the proteins, gels containing 7-15% acrylamide can be poured, but in the presented work 10% gels was used. Upon this resolving gel, a 4% stacking gel was poured. After the apparatus is prepared, you pipet the buffers for the gels without APS and TEMED. Since these two substances will start the radical polymerisation they were added just prior to pouring the gel:

Resolving gel per gel

(7.5%, 6ml):

2.41 ml H<sub>2</sub>O

1.5 ml Lower Tris Buffer (3M Tris-HCl; 0.8%SDS; pH 8.8)

2 ml Acrylamide:Bisacrylamide (29:1)

30 µl SDS (20%)

60 µl APS (10%)

2.4 µl TEMED

Stacking gel per gel

(5%, 2ml):

1.37 ml H<sub>2</sub>O

0.25 ml Upper Tris Buffer (0.3M Tris-HCl; 0.2%SDS; pH6.8)

340 µl Acrylamide:Bisacrylamide (29:1)

10 µl SDS (20%)

20 µl APS (10%)

2µl TEMED

To avoid air bubbles the surface of the poured resolving gel was covered with about 0.5 ml of isopropanol. After full polymerisation the isopropanol was discarded and the stacking gel was poured on top analogous to the resolving gel. The gel volume was filled completely and the the comp was put into the liquid, avoiding bubbles as much as possible.

- **Preparation of the samples:** Before loading onto the gel 20 µg of the samples were dena-

tured 1:1 in 2X loading buffer (125mM Tris HCl, pH 6.8; 4% SDS, 0.004% bromphenolblue, 20% glycerol) for 5 min at 65 °C quickly centrifuged and then loaded onto the gel.

- **Loading of the gel:** After polymerisation, the gels were mounted in the electrophoresis apparatus which was flooded with electrophoresis buffer (25mM Tris HCl, pH 8.3; 250mM Glycin, 0.1%SDS). The maximum sample volume, that can be loaded is approx. 30 µl per slot and to allow slow sample flow the samples were applied with a 20 µl pipet, otherwise the sample might not sediment to the slot but spill over into another lane. Similar to agarose gels a protein marker (a mixture of proteins with distinct sizes) for size determination was additionally used.

Finally an electric field of 100-150V was applied to the gel for 1-2h to make the samples migrate.

### *2.7.4.5. Western blot analysis:*

Western blot analysis is the most accurate way to detect specific protein expression since the size of the detected protein is additionally determined. First a SDS PAGE is performed with the protein samples to separate the fractions according to their size. For Western blotting a stained protein marker is used, that can later be seen on the blotting membrane. Then the proteins are transferred from a SDS PAGE onto a nitrocellulose membrane in an electrical field and immunoprecipitated there. Finally these immunoprecipitates are detected.

- **Protein transfer:** The transfer was performed with Bio Rad's Criterion Blotter - a tank transfer system - and an Immobilon P Transfer membrane. The apparatus assembly was performed as described in the users manual. To avoid artefact signals handling of the membrane was performed with tweezers. A foam pad was placed on one side of the cassette holder and overlaid with one sheet of filter paper. To ensure an even transfer, air bubbles were removed by carefully rolling a pipette over the surface of each layer in the stack. Then the gel was placed on the filter paper and on top one sheet of the Immobilon-P membrane cut to the gel dimensions is placed. Stacking of the blot was completed by one sheet of filter paper and the second foam pad. It is important to soak all components of the blotting setup with transfer buffer, which is also used to fill the blotting tank.

Transfer Buffer:	25 mM Tris base
	192 mM Glycin
	10% Metanol
	0.5g SDS per liter

Finally the blot was carried out over night at 4 °C and 20 V. Then the blotting setup was disassembled and the membrane was incubated with 100% methanol for 10 sec. to drive out

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the water. Followed by 10 min drying on a Whatman filter, which improves protein binding and decreases background in immunodetection. Optionally this procedure was repeated with methanol and TBS/T incubation

- **Immunoprecipitation:** To recognize specific proteins on the membrane, specific antibodies so called “primary antibodies” against the desired protein are used. In order to give a detectable signal there is in addition a “secondary antibody” which on the one hand recognizes parts of the primary antibody as epitop and is on the other hand conjugated with an enzyme or an fluorescent chromophor. Membranes were blocked with 5% non-fat dried milk powder (Nestlé, Vevey, Switzerland) in TBS/T (0.05% Tween-20; BioRad) for 2 hr at room temperature. The primary antibody recognising ERK 1/2 as epitop (p44/42 MAP kinase, Thr202/Tyr204, Cell Signaling Technology, Beverly, MA) was diluted 1:1000 in TBS/T with 5% non-fat dried milk and incubated for 2 hr at room temperature. Afterwards, the blots were washed two times for 10 min each with washing buffer (TBS/T) and then incubated with horseradish peroxidase-conjugated anti-rabbit antibody (GE Healthcare, Buckinghamshire, UK). The secondary antibody was diluted 1:4000 in TBS/T 5% non-fat dried milk, incubated for 2 hr at room temperature and unbound antibody was removed by washing as above.
- **Peroxidase detection:** To detect the desired protein via the secondary antibody, a chemical reaction is performed, using the conjugated horseradish peroxidase. With a chemiluminescent detection reaction a highly sensitive method exhibiting only low background levels was chosen. Therefore, the formed immune complex was detected by ECL Plus (GE Healthcare, Buckinghamshire, UK) according to the manufacturer’s protocol and the chemiluminescent signal was exposed to X-ray film (Fuji Photo Film, Tokyo, Japan). A standard curve was performed using defined steps of increased luminescence activity to assess the linear range of the used films. For further analysis, only films with optical densities in the estimated linear range of the film were further processed and scanned with a CanoScan 9900F. The band intensities were finally quantified using TINA Software (Raytest),

### *2.7.4.6. Immunohistochemistry:*

Immunohistochemistry has been performed by Wolfgang Kelsch on 8 mm thick paraffin sections of adult mouse brains. First the sections were deparaffinized 2x in xylene for 10 min each (in cuvettes) and rehydrated with a descending ethanol series (2X 2 min. 100%, 2min. 95%, 5 min. 70%, 2X 2min water). After that the slides were incubated for 15 min in 0.3% H<sub>2</sub>O<sub>2</sub> in H<sub>2</sub>O at room temperature (freshly prepared, 1.5 ml 30% H<sub>2</sub>O<sub>2</sub> in 150 ml H<sub>2</sub>O). After that 3X washing in PBS for 2 min at room temperature and 30 min incubation in 5 mg/ml saponin (150 ml of 5 mg/ml stock in 150 ml H<sub>2</sub>O, stock stored at -20°C) at room temperature. Again 3x washing in PBS for 2 min at room temperature. Then the slides were removed from the cuvette, drained quickly, and tissue sections were encircled with Pap Pen. For all subsequent steps drying out of

the tissue sections was avoided.

Blocking was performed for 20 min in 1.5% serum/PBS (15 ml of serum in 1 ml PBS) thereby the slides were placed horizontally in a humidified chamber. After one more wash with 1x PBS the immunoprecipitation can be performed.

Incubation with primary antibody that was diluted in 1% BSA/PBS was done overnight at 4°C or for 60 min at room temperature. Per slide 150µl were used and a negative control without primary antibody was included in the humidified chamber.

After incubation the slides were rinsed quickly with PBS by using a Pasteure pipette and washed 3x in PBS in a cuvette.

A biotinylated secondary antibody was added and incubated for 30 min at room temperature in humidified chamber, slides placed horizontally (anti-mouse or anti-rabbit IgG) In the meanwhile, the streptavidin-peroxidase complexes needed to incubate for at least 30 min on ice that were prepared previously according to the manufacturer's protocol (Vectastain elite ABC kit).

After washing 3x 5 min with PBS the streptavidin-peroxidase complex was incubated for 30 min at RT. Again wash was done 3x 5 min with PBS and the tissue was rinsed 30 seconds with TBS (10 mM Tris-HCl pH 7.8, 150 mM NaCl) containing 0.5% Tween 20.

To stain with diaminobenzidine (DAB) two DAB tablets and two H<sub>2</sub>O<sub>2</sub> tablets were dissolved (Sigma fast DAB tablets, D-4168) in 5 ml TBS, and vortexed vigorously for 1 min. DAB solution was added to sections immediately. Staining time was 5-15 min. under visual inspection through a binocular. To stop the reaction DAB solution was removed and slides were transferred into cuvettes with water. Finally slide were drained and dehydrate in an ethanol series (70%, 95%, 100%, in cuvette) for 30 seconds for each step. After washing 1x in xylene for 2 min and draining the slides are ready for mounting.

### 2.7.5. Cell culture techniques

#### 2.7.5.1. *Basic methods for mammalian cell culture*

Secondary cells are long term stable cultured cells, which have been derived from various carcinoma cells or immortalised cells and therefore divide potentially indefinitely. The used lines were adherent cells, which attach to the surface of the culture vessel via membrane bound proteins and glycoproteins. This makes it necessary to use special cell culture dishes for cultivation. All mammalian cell cultures have been grown in a Haereus Incubator for Cell Culture at 37°C in 5% CO<sub>2</sub> and water vapor saturated ambience. Thereby the cell culture work has been performed aseptically in a LaminAir Flow Cabinet HB2472. The cells have been microscopically observed every day and regularly provided with fresh medium by passage. The assignment of the total cell number was done via the Counting Chamber (for cells) Neubauer improved.

- **Splitting of cells** To keep the cell culture running regular splitting of the cells is essential.



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Splitting means that a portion of cells is separated from the running culture and put into fresh plates and medium. Since maintenance of the cultures was performed in 10 cm dishes, the following protocol is for this type of vessel. The cells were grown to a confluency of about 90% which means that 90% of the vessel's surface is covered with cells. When you observe this confluency state in the phase contrast microscope, the cells have to be splitted. Another indicator for a dense cell culture is, when the medium color changes from red to yellow. A rising pH value of the culture changes the color of the supplemented phenolphthalein and shows, that the nutrient content is exhausted. First the growth medium is discarded into a wash bottle with a pasteur pipette connected to a vacuum. Then the cells were washed with 5 ml PBS which was again discarded. On each dish 1 ml Trypsin-EDTA was applied, gently swiveled and incubated at 37°C for 2-3 min. In this time the trypsin (a protease) will lysate the proteins, which are necessary for the cells to build connections with the ground. This leads to a detaching and separation of the cells. After that the cells were flushed away from the vessel surface by pipetting 5ml of culture medium up and down. The cell suspension is then transferred into a falcon tube and centrifuged for 4 min at 1200 rpm. The supernatant was removed and the pellet is resuspended in an adequate volume of medium. For example if the cells were resuspended in 5 ml medium and 0.5 ml of this cell suspension is put on the plate the resulting dilution is 1:10. This 0.5 ml of cell suspension was pipeted into a fresh cell culture plate with in this case 9.5 ml previously applied fresh growth medium, thus leading to a final volume of 10 ml in the vessel. The cells were dispensed on the whole plate surface by shaking and put the back into the incubator. Due to the different growing speed of the used cell lines, they usually have been splitted in different dilutions between 1:3 and 1:15 depending on the temporal confluency on the day of splitting.

- **Freezing of cells:** Performing studies in cell culture one should always preserve some cells in case contaminations or other problems with media supplements. But beyond that one should try to conserve cells, when they are in a state, that the experiments work because in the course of long term cultivation the cells might start growing weakly or being not transfectable anymore. First the cells are grown until they form a confluent monolayer. Then they are washed and trypsinized in the same way as for splitting. The cells are centrifuged for 4 min. at 1200 rpm and resuspended in 1 ml freezing medium (90% FCS and 10% DMSO). Since the freezing medium has a high content of DMSO, they should be transferred quickly into a cryotube and put into the precooled freezing container. This container is then stored at -80°C for 24 h and the cryotubes can then be stored in liquid nitrogen for a long time.
- **Counting of cells:** In some cell culture purposes like transfections it is necessary to use defined cell numbers. The counting was performed in parallel to splitting using the excess of cell suspension. A 1: 10 dilution of the cell suspension was prepared (20 µl cell suspension : 80 µl medium) and filled into the counting chamber (about 20 µl). The chamber has a defined



volume and the cell number can therefore be calculated from the counted number of cells in the engraved grid. For this the following formula is used:

$$N \text{ (number of counted cells)} / 4 \text{ (number of counted squares)} \times 10 \text{ (dilution factor)} \times 10^4 \text{ (chamber volume)} = C \text{ (concentration in cells / ml)}$$

### 2.7.5.2. *Transfection of plasmids and siRNAs:*

The approach to introduce DNA into eucaryotic cells is called transfection. The cell membrane represents a general barrier for the introduction of macromolecules, but there are several strategies to overcome this barrier in different transfection approaches.

A high transfection efficiency and reproducibility can be achieved when the DNA or RNA molecules are entrapped into cationic liposomes and delivered into the cytoplasm via membrane fusion. These liposomes can form slightly positively charged complexes with the cationic DNA and therefore these complexes can interact with the negatively charged cell surface. In any case the performance of the various commercially available cationic liposome reagents are highly dependent on the cell type and therefore have to be tested. Transfection of reporter plasmids or fluorescently labelled siRNAs have been done to control transfection efficiencies. For the reporter assays different human- (HEK 293, HeLa) and mouse cell lines (HT22, HN9, Neuro-2a) were transfected. Cells were plated onto 24-well plates at a density of  $2 \times 10^5$  cells/well (HEK293 cells) or  $8 \times 10^4$  cells/well (HT22 cells). HN9, Neuro-2a and HeLa cells were plated at different cell numbers. They were grown until they reached a confluency of 90-95% and then transfected. Each well contained 500  $\mu$ l of the respective culture medium but without antibiotics/antimycotics. Cells were transfected either with Effectene (Qiagen) or Lipofectamine 2000 (Invitrogen) according to the manufacturer's protocol.

For analysis in Western blots HN9 cells were cultured and transfected in the same way as above but in 6-well format using 2 ml of medium per well. The total amount of plasmid DNA per well was kept equal and adjusted either with pBluescript (Stratagene) or pcDNA3 (Invitrogen) if needed. All transfections were done at least in duplicate.

### 2.7.5.3. *ES cell culture:*

Pluripotent embryonic stem (ES) cells represent the inner cell mass of blastocysts and are able to differentiate into divergent cell types *in vivo* as well as *in vitro*. Nevertheless it is possible to cultivate ES cells and keep them undifferentiated<sup>358,359</sup>. Therefore, they are usually cultivated under special conditions grown on feeder cells and supplemented with leukemia inhibiting factor (LIF). The ES cell culture in this work was performed in a collaboration with Dr. Ralf Kühn (HelmholtzCenterMunich, Neuherberg).

The mouse ES cell line IDG3.2, used in this work, originates from the F1 generation of the mouse strains C57Bl/6J and 129SvEv/Tac. For short term cultivation for protein assays they

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were grown on gelatine-coated tissue culture plates in ES cell medium (DMEM, 15% FCS, 2 mM L-glutamine, 20 mM Hepes, 1 mM sodium pyruvate, non-essential amino acids, 0.1 mM  $\beta$ -mercaptoethanol and 1500 U/ml leukaemia inhibiting factor (Chemicon) in at 37°C and 5% CO<sub>2</sub>.

### *2.7.5.4. Electroporation of ES cells:*

A highly effective physical method to introduce foreign DNA into ES cells is electroporation, in which the cell membranes are permeabilized transiently with short electrical currents. In doing so circular plasmid DNA stays transiently in the cells and expression cassettes on the plasmid become active. Similar to transfections also here cotransfection of several plasmids is possible. IDG3.2 ES cells were harvested from their culture dish by trypsination, centrifuged at 1200 rpm for 5 min, washed with PBS, centrifuged again and resuspended in 800  $\mu$ l PBS containing the DNA to be electroporated. To achieve a high transfection efficiency 50  $\mu$ g of each of the plasmids were used for  $2 \times 10^6$  cells in a volume of 800  $\mu$ l PBS by applying an electrical field of 330 volts for 3 ms to 4 mm cuvettes (BioRad). Afterwards cells were replated into one 10 cm culture dish and the medium was replaced 24 hours later.

### *2.7.5.5. Splitting of ES cells:*

For expansion, cells were splitted every two days taking care that they do not grow to confluency. The medium was removed, and the cells were washed with PBS and trypsinized for 5 min at 37°C until cells detached from the surface. The trypsin action was blocked by adding an equal amount of medium to the cells and the suspension was resuspended by pipetting. Depending on the desired amount the cells were splitted onto several culture dishes. For determination of cell number, 10  $\mu$ l of cell suspension were pipetted in a Neubauer counting chamber and ES cells were counted analog to standard cell culture. Thereby the cell number of one quadrant multiplied by 10,000 corresponded to the number of cells in one ml cell suspension.

### *2.7.5.6. Freezing and thawing of ES cells:*

ES cells were stored -80°C for short term storage (up to 2-3 months) or in liquid nitrogen for long term storage. Cells were trypsinized as described above, centrifuged, resuspended in ice cold 1x freezing medium, and pipetted into a 2 ml cryovial. Vials were frozen in a freezing container at -80°C. Due to the isopropanol in the freezing container the temperature is lowered very slowly inside until it reaches the final temperature of the freezer after several hours. For long term storage, the vials were then transferred into liquid nitrogen. For freezing of cells on multi well plates, cells were trypsinized, resuspended with a small amount of medium, and ice cold 2x freezing medium was added in a ratio of 1:1, so that the final concentration of freezing medium in each well was 1x. Plates were wrapped in cellulose and frozen at -80°C. The cellulose prevents

the fast freezing of the cells. Cells were thawed in the water bath at 37°C, diluted with medium, centrifuged, resuspended in fresh medium, and plated on dishes with or without feeder cells. For small volumes of frozen cells, cells were diluted in a larger volume of medium and plated directly. For this procedure, medium was changed the next day as soon as possible to get rid of the DMSO from the freezing medium.

## 2.8. Animal experiments

All animal experiments were conducted in accordance with the guide for the care and use of laboratory animals of the government of Bavaria, Germany.

### 2.8.1. Mouse housing and breeding

- **Mice for kainic acid injection:** A total of 25 male C57BL/6N mice were purchased from Charles River Germany (Dutch breeding stock) at an age of 82-86 days. After their arrival, animals were kept singly in standard Macrolon type II cages with sawdust bedding (Altromin Faser Einstreu, Altromin GmbH), tap water, and food ad libitum, at 22 ± 2°C room temperature and 55% ± 5% humidity, under an inverse 12 h:12 h light-dark cycle (lights off: 08:00 a.m.). Animals were transferred from the vivarium to the laboratory 1–2 d before the experiment during the light phase of their cycle. During experiments, animals were kept in the same behavioral laboratory in which they were injected.
- **Mice for miRNA *in situ* hybridization:** Timed matings were conducted with CD-1 mice in standard Macrolon type II cages with sawdust bedding (Altromin Faser Einstreu, Altromin GmbH), tap water, and food ad libitum. Females with vaginal plugs were considered to be at day 0.5 of gestation. Pregnant females were sacrificed at different time points of gestation and embryos were dissected free of maternal tissues. Whole embryos (E10, E14) or brains of E18 embryos were removed quickly and fixed in 4% paraformaldehyde (PFA) in PBS at 4°C overnight. Adult mice were perfused for approx. 7 min. with 4% PFA in PBS before isolation of whole brains. Tissues were embedded in paraffin and serial sections at 8 µm thickness covering entire mouse embryos (E10, E14) were cut in sagittal orientation and brains (E18, adult) were cut in coronal, sagittal and transversal orientation, mounted on Super Frost slides (Menzel-Gläser, Braunschweig, Germany) and stored at 4°C until used.
- **Mice for viral injections:** Mice were group housed (if not mentioned else) with five mice per cage at maximum in open cages (standard Macrolon type II cages) with sawdust bedding (Altromin Faser Einstreu, Altromin GmbH) and maintained on a 12 hours light/dark cycle with food and water ad libitum. The temperature was 22 ± 2°C and relative humidity 55 ± 5%. For breeding single or double matings were set up and pups were weaned at an age of three weeks. At weaning mice got earmarks for identification. Transgenic animals were bred in a heterozygous setting (het/het) and genotyping was performed from cut tailtips by PCR.

### 2.8.2. Stereotactic surgery

To allow injections into defined target regions of the brain stereotactic surgery was performed. Thereby, a defined three dimensional coordinates system guides the injections and confluences of bone sutures in the skull were used as landmarks and reference to the coordinates system.

The stereotactic surgery has been performed in collaboration with the RG Wotjak in the institute in particular by Wolfgang Kelsch, Anja Mederer and Kornelia Kamprath. Mice were anaesthetised in an excicator with Isofluran (Forene, Abbott, Wiesbaden, Germany). After the animal got unconscious it was moved to the stereotactic device (David Kopf, Tujunga, CA, USA) with adapted components and further supplied with 0.8-1% isofluran in oxygen by an evaporator (Halothan Vapor 19, Drägerwerk AG & Co. KGaA, Lübeck) via an inhalation mask. After deep anaesthesia was established and no reflexes were detectable the head of the mice were fixed with metal bars placed caudally to the ears. To protect the eyes during anaesthesia they were covered with ointment (Bepanthen, Bayer). Body temperature was controlled by a rectally placed electronic thermometer which controls an electric heating pad that the mouse was laying on. Then hair on the head was removed with an electric shaver and the scalp was locally anaesthetised with lidocaine and cut. After that 30% H<sub>2</sub>O<sub>2</sub> was applied to the cranium to visualise the brain sutures. Bregma was the overall zero for the stereotactic coordinates. Then the planned coordinates were used to mill a circular area of the skull with a dental drill. The following coordinates in relation to bregma have been used. Dorsal hippocampus: anterior/posterior 1.6 mm; lateral 1.0 mm and ventral 1.8 mm; cortex: anterior/posterior 0.5 mm; lateral 2 mm and ventral 0.6 mm. The drilled bone segment was removed with a scalpell and the injection was performed.

After the injection the skull was sutured with surgical fiber, isofluran supply was stopped and the animal was removed from the stereotact. The wake up period was controlled and the animal was orally given 1 µl of metacam (Boehringer Ingelheim) as postoperative pain and inflammation reliever. The animals were single housed under standard conditions and inspected twice per day. For the first day during inspections another dose of metacam was applied.

### 2.8.3 Injection of siRNAs

- **Naked stealth siRNAs:** 1 µl of a 20 µM solution of fluorescently (FITC) labeled control siRNAs (BLOCK-iT Fluorescent Oligo, Invitrogen, Paisley, UK) or stealth siRNAs (Invitrogen, Paisley, UK) was injected using a hamilton syringe (Hamilton). Animals were killed 48h after injection to assess knock-down effects.
- ***In vivo* jetPEI** A broken sharp electrode glass capillary with a tip diameter of 7 µm is used to deliver 1 µl of solution in 25 min with continuous pressure derived from a 100ml syringe in a custom casting system. Therefore CBP and FITC siRNA oligos were mixed in a ratio 80%/20% and the mix was used for *in vivo* jetPEI transfection according to the manufacturer's protocol (Polyplus-transfection Inc., New York, USA). The formulation was done with a ratio N/P=6. Thereby the N/P ratio is a measure of the ionic balance of the complexes and refers

to the number of nitrogen residues of jetPEI per DNA phosphate. To keep the balance at a cationic side a N/P ratio of 2-3 is required since not every nitrogen atom of PEI is a cation. Animals were killed 48h after injection to assess knock-down effects.

### 2.8.4. Viral injection

The viral injections have been performed with glass capillary analog to injection of *in vivo* jetPEI formulations. A broken sharp electrode glass capillary with a tip diameter of 7  $\mu\text{m}$  was used to deliver 1  $\mu\text{l}$  of solution in 10-25 min with continuous air pressure derived from a 100ml syringe in a custom casting system. The injection speed was visually estimated during the injection and the air pressure was adjusted accordingly by hand.  $\sim 10^8$  transducing units (TU) were injected in a total volume of 1-2 $\mu\text{l}$ . Thereby, for loading of virus suspension a small piece of parafilm was placed on the mouse brain and the viral suspension was applied as a drop onto the film. This suspension was sucked into the glass capillary already mounted onto the stereotact and injected. Animals were killed 3-4 weeks after injection to assess virus expression or knock-down effects.

### 2.8.5. Perfusion

Mice were anaesthetized and killed with isofluran and the thoracic cavity was opened to dissect the heart. Then a blunt perfusion canula was inserted through the left ventricle into the ascending aorta and the right atrium was resected. Using a pump vessels were rinsed with PBS until the liver became pale and then perfusion was carried out with 4% paraformaldehyde/ PBS for approximately 5 min. After perfusion was complete the mouse was decapitated and the brain was dissected removing bones and meninges. For postfixation the brain was kept in 4% paraformaldehyde/PBS for 1 hour at RT to overnight at 4°C, depending on the subsequent procedure.

### 2.8.6. Clearing of brain tissue

Clearing of whole brains removed from virus injected young adult mice has been described in detail by the collaborators <sup>360</sup>.

Briefly:

Day 1:	Perfusion 4% PFA Postfix >1h 4% PFA at room temperature 100% EtOH/DMSO (Sigma) (4:1) over night at 4°C
Day 2:	100% EtOH/DMSO/H <sub>2</sub> O <sub>2</sub> 30% (4:1:1) over night at RT
Day 3:	70% EtOH 30 min. at room temperature, shaking 80% EtOH 30 min. at room temperature, shaking 96% EtOH 30 min. at room temperature, shaking 100% EtOH 30 min. at room temperature, shaking 100% EtOH over night at 4°C, shaking

## 2. Materials and methods

Day 4: Benzyl alcohol/ Diphenylmethane (1:2);  
brain is kept in this solution

### 2.8.7. Paraffin embedding of brains

After perfusion brains were postfixed for 1-2 hours at RT, dehydrated in an ascending ethanol scale, and equilibrated and embedded in paraffin. Using an automated embedding machine, the program is as follows:

step	reagent	temp (°C)	time (min.)	remarks
dehydration	30% EtOH	RT	90	
dehydration	50% EtOH	RT	90	
dehydration	75% EtOH	RT	90	
dehydration	85% EtOH	RT	90	
dehydration	95% EtOH	RT	90	
dehydration	100%EtOH	RT	90	vacuum
dehydration	100%EtOH	RT	60	vacuum
clarification	RotiHistol	RT	60	vacuum
clarification	RotiHistol	RT	60	vacuum
paraffination	50% RotiHistol/ 50% paraffin	65	60	vacuum
paraffination	paraffin	65	60	vacuum
paraffination	paraffin	65	480	vacuum
embedding	paraffin	65 to RT		

### 2.8.8. Sectioning of brains

- **Cryosections:** Mice were killed with isofluran, decapitated immediately and the dissected brain was quick frozen on dry ice and stored at  $-80^{\circ}\text{C}$ . Frozen brains were mounted on poly-freeze tissue tec freezing medium (Polyscience Inc., Eppelheim, Germany) and coronally cut in a cryostat (HM560, Microm, Walldorf, Germany) in  $20\ \mu\text{m}$  thick consecutive sections. Brain sections were mounted on super frost plus microscope slides (Menzel, Braunschweig, Germany), dried on a  $37^{\circ}\text{C}$  warming plate (ElectKarl Rothermal, Rochford, UK) and stored at  $-20^{\circ}\text{C}$ .
- **Paraffin sections:** Paraffin embedded brain tissue was first mounted on a tissue cassette with paraffin and fixed on the microtome.  $8\ \mu\text{m}$  thick sections were cut and put into a water bath ( $37-42^{\circ}\text{C}$ ) for flattening. Sections were mounted on slides and dried on a heating plate and/or in an incubator at  $37^{\circ}\text{C}$ . Slides with slices were stored at  $4^{\circ}\text{C}$  or directly used.



### 2.8.9. Generation of transgenic mouse lines

- **Generation of mice expressing shRNAs:** The mouse has been generated by Sabit Delic at the HelmholtzCenterMunich, Neuherberg). A detailed description of these animals is published <sup>361</sup>. Briefly, the pSHAG plasmid containing a human U6 promoter expression cassette for shRNAs <sup>357</sup> was opened with BseRI/BamHI and ligated with a specific shRNA oligonucleotide pair (5`-caa acg tcc tgg agt ata tac tga gaa gct tgt cag tat ata ctc cag gac gtt tgc ttt ttt gga aa-3` and 5`-gat ctt tcc aaa aaa gca aac gtc ctg gag tat ata ctg aca agc ttc tca gta tat act cca gga cgt ttg cg-3). A single copy of the obtained vector was inserted into the genomic Rosa26 locus of ES cells (IDG26.10-3) by recombinase mediated cassette exchange (RMCE). From one transgenic ES cell clone ES cell tetraploid mice were obtained and used for further breeding. The shRNA vector was efficiently transmitted through the germline and the transgenic mice were healthy, fertile and exhibited the same body weight as non-transgenic littermate controls.
- **Generation of GFP-CRHR1 mice:** The mouse line has been created within the group by Claudia Kühne and Jan Deussing. Briefly, the targeted introduction of EGFP into the Crhr1 gene was performed by homologous recombination in embryonic stem cells. The targeting vector with 9.1 kb homology to the Crhr1 gene locus consisted of an EGFP, which was inserted in exon 2 of the CRHR1 as well as a potentially self-excising selection marker, which is flanked by frt sites. Moreover, the exon 2 is flanked by loxP sites, which allows for conditional inactivation of CRHR1. Homologous recombination in ES cells resulted in a modified CRHR1 allele in which EGFP was introduced into exon 2 adjacent to the signal peptide cleavage site. This insertion is in frame and will result in a fusion of EGFP C-terminally to the CRHR1 signal peptide. Removal of the selection marker via FLP-mediated recombination resulted in the expression of a EGFP-CRHR1 fusion protein (EGFP-CRHR1 mouse line). EGFP-CRHR1 was shown to be clearly recruited to the cell membrane in cell culture experiments.

## 2.9 Microscopy and image acquisition

### 2.9.1. Brightfield, darkfield, and fluorescence microscopy

Bright- and darkfield images have been captured with a color CCD camera (AxioCam MRc5, Zeiss, Göttingen Germany) mounted onto a Leica MZ APO stereomicroscope (Leica, Wetzlar, Germany) using AxioVision Rel.4.5 (Zeiss, Göttingen, Germany). Exposure time was individually adjusted for each image unless otherwise stated.

Fluorescence images were acquired using a Axioplan 2 microscope (Zeiss, Göttingen Germany) with an adapted greyscale ccd camera AxioCam MRm (Zeiss, Göttingen Germany) and an EXFO X-Cite 120 fluorescence illuminator (EXFO Photonic Solutions Inc., Mississauga, Canada).



### 2.9.2. Ultramicroscopy

Ultramicroscopy of whole brains removed from virus injected young adult mice has been performed in a collaboration with Christoph P. Mauch (RG Wotjak, MPI of Psychiatry); method described in detail in <sup>360,362</sup>.

### 2.9.3. Image processing

- **Microphotographs:** Image levels have been adjusted in Adobe Photoshop CS2, Version 9 and microscopically verified artefacts were digitally removed from the background. Descriptions and arrangements of images in panels have been done in Adobe Illustrator CS2, Version 9. Calibrated scalebars for each magnification were saved to additional images in AxioVision Rel.4.5 and redrawn in Illustrator. False color fluorescent overlays have been achieved by copying grayscale images of fluorescence signals into the red, green and blue channels of RGB images in Photoshop. Image levels have been adjusted for both channels individually according to an even overlay effect. When indicated composite images (adjusted assembly of multiple images taken) have been aligned in Photoshop using layer masks to smooth edges resulting from adjacent images.
- **3D reconstruction of optical slices in ultramicroscopy:** 3D image reconstruction was carried out with commercial 3D reconstruction software (Amira 4.1, Mercury Computer Systems, Germany) from optical z-stacks. Details are published in <sup>360,362</sup>

## 2.10. Statistics, bioinformatics and computational analysis

In the figures only significant statistical tests are indicated with stars \*\*\*  $p < 0.001$ , \*\*  $p < 0.01$ , \*  $p < 0.05$ .

### 2.10.1. Statistics for pairwise group comparisons

For pairwise group comparisons two tailed student's t-tests were performed in Microsoft Excel 97-2000 and SPSS 10. In *in vitro* silencing experiments all error bars show standard deviation and only significant contrasts are marked.

### 2.10.2. Statistics and analysis of miRNA arrays

The data processing and statistics was performed in collaboration with Benno Pütz in the institute. The analysis was performed in R version 2.8.1 (2008-12-22) <sup>363</sup>, making use of several packages available either via CRAN (<http://cran.r-project.org>); xtable for table output; BioConductor (<http://www.bioconductor.org>); beadarray providing routines to handle Illumina R BeadStudio adapted data <sup>364</sup>; limma for statistical routines <sup>365</sup>.

Normalisation of the background subtracted data was performed using quantile and vsn functions from R.

Cluster analysis provides an easy way to visualise similarities between the expression profiles of the samples, yielding the dendrograms. Therefore the function `hclust()` is implemented in the used R packages.

For differential expression analysis, functions from the `limma` package<sup>365</sup> can be used on the normalized log<sub>2</sub>- transformed expression values. Significantly regulated genes are ranked using an empirical Bayes method by using the implementation `ebayes()` from the R package `limma`<sup>365-367</sup>. The algorithm uses information from the ensemble of all samples to estimate the sample variance for each gene, taking into account the correlation structure within the samples<sup>368</sup>. This approach aims at stabilizing the statistical analysis, especially for small array numbers. Genes that show no expression signals in any sample are considered irrelevant and excluded from the subsequent analysis in order not to underestimate the variances in the Bayesian estimation.

Multiple testing is corrected for using the false discovery rate (FDR) approach<sup>366</sup> which leads to the values in the `adj.P.Val` column which is not to be interpreted as a significance measure. A value of e.g. 10% in the `adj.P.Val` column indicates, that 10% of the probes which are in the table top of this probe are expected to be falsely detected and, therefore, about 90% of the probes can be considered significant. This does not imply any judgment about which of the probes these are.

### 2.10.3. DNA alignment, BLAST and digital vector construction

To compare DNA sequences, they have been aligned using the algorithm published by Florence Corpet<sup>369</sup>. For this purpose the sequences were pasted into the web interface at <http://prodes.toulouse.inra.fr/multalin/multalin.html> with default parameters.

For sequence analysis of DNA BLAST (Basic local alignment search tool) searches have been performed in the databases of NCBI and Celera Genomics.

All DNA constructs and DNA sequence manipulations were generated in parallel to the cloning procedure in the Vector NTI Suite 6 (InforMax).

## 3. Results

### 3.1. Establishment of a novel RNAi expression vector

#### 3.1.1. Introduction: RNA polymerase I (Pol I)

Since its discovery, RNAi has rapidly evolved into a powerful technique to knock-down gene expression and gained ground as an indispensable tool to study gene function both *in vitro* and *in vivo* (chapter 1.5.). In plants and animals such as *C. elegans* and *D. melanogaster*, RNAi can be activated by long dsRNAs, which are processed by an intracellular machinery to short interfering RNAs (siRNAs) (chapter 1.3.1).

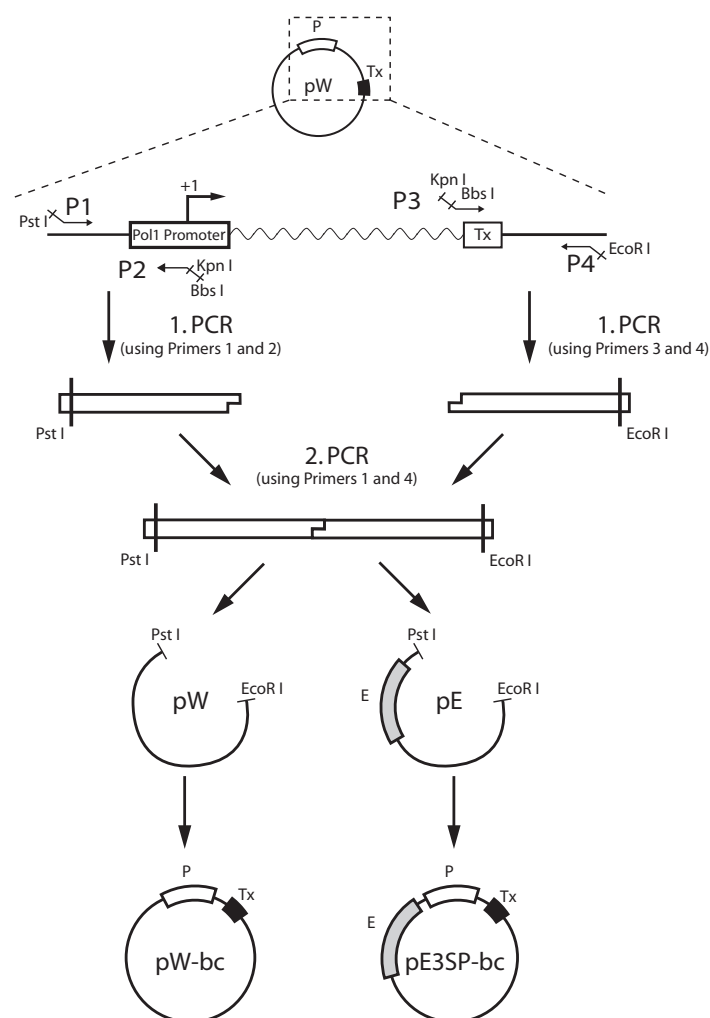
However, in mammalian cells long dsRNAs cause general translational inhibition and unspecific RNA degradation (chapter 1.5.1). These effects can be avoided by introducing *in vitro*-synthesized siRNAs into the cells<sup>111,112</sup>. The resulting silencing is very pronounced, in particular due to the high siRNAs transfection efficiency, greatly exceeding the one for DNA vectors. Therefore, this technique has been widely adopted in experimental paradigms such as pathway profiling and drug screening. Its major drawback, however, resides in the transient nature of silencing. Studies on gene function often require the establishment of cell lines or transgenic organisms, in which siRNAs should be expressed from stably integrated cassettes.

In expression vectors, siRNAs are often processed from a short hairpin RNA (shRNA) of approximately 70 nucleotides in length, structurally similar to naturally occurring microRNA (miRNA) precursors. This sequence needs to fulfill strict length requirements to result in an effective siRNA. Transcripts generated from conventional polymerase II (Pol II) promoter-based vectors are therefore not suitable for such a purpose, as they undergo extensive post-transcriptional modifications such as capping and polyA tailing<sup>111 370</sup>. To circumvent this problem, Pol III promoter-driven cassettes were developed<sup>120-123</sup>. More recently, the use of a CMV promoter to generate siRNAs was made possible by insertion of a human miRNA miR-30 precursor and neighbouring genomic sequences into the expression vector<sup>371</sup>. Here, an alternative method for expression of siRNAs is presented, using ribosomal transcription units driven by Pol I promoters. Similarly to Pol III-driven transcription, Pol I-mediated transcription is very robust, ubiquitous, devoid of extensive RNA modifications and is characterized by clearly defined transcription start and termination sites<sup>372</sup>. In addition, it has no limits for transcript size, and even more importantly, possesses a high species specificity<sup>373</sup>. This latter feature, which is unique among all RNA polymerases, provides an interesting potential regarding biosafety of experiments.

### 3.1.2. RNAi vector construction

A new vector system supposedly suitable for expression of shRNAs has been generated in my Diploma thesis prior to the work presented here<sup>374</sup>. In the following experiments this vector has been further used and carefully characterized.

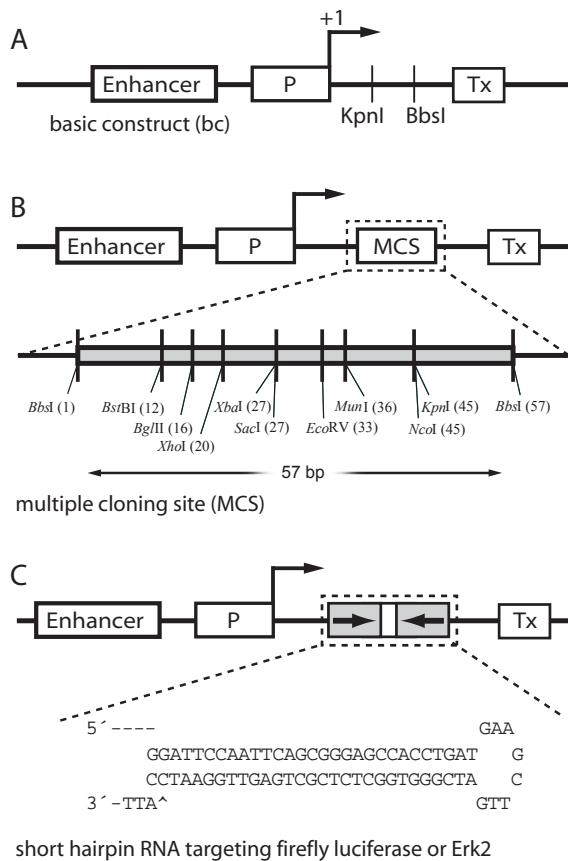
In general shRNAs are processed by Dicer to siRNAs, which serve as effector molecules in gene silencing<sup>25</sup>. In order to be successfully recognized and processed by Dicer, shRNAs need to satisfy strict sequence and structure requirements. In particular, it is very important to fix precisely the transcription initiation and termination points, so that no nucleotide overhangs are present at either end of the resulting hairpin. The rules governing Pol I-dependent transcription have been extensively studied, making the design of such an exact transcript possible<sup>372</sup>. Mouse Pol



**Figure 11: Construction of the vectors pW-bc and pE3SP-bc.** Part of the pW ribosomal minigene was PCR amplified in two steps. Firstly, two fragments were generated using primers P1 and P2, or P3 and P4, respectively. Secondly, both fragments were joined by PCR with primers P1 and P4. The restriction sites PstI and EcoRI, introduced through PCR primers P1 and P4, were used to insert the resulting fragment into the pW and pE3SP (pE) vector backbones. P, mouse Pol I promoter; Tx, transcription termination signal; bc, basic construct; E, enhancer

I promoter encompasses sequences downstream of the transcription start, which are, however, not required for efficient transcription. Only the presence of a purine nucleotide at position +1 appears to be indispensable<sup>375</sup>. The transcription termination point is also precisely determined, being 15 bp upstream from the so called Sal box terminator<sup>375</sup>. The Pol I minigene on pW contains a complete mouse Pol I promoter and several additional sequences between promoter and transcription termination point. The cloning strategy followed in my diploma thesis<sup>374</sup> aimed at removing these additional sequences (Figure 11). After a two-step PCR procedure, the Pol I promoter with termination sequences (Tx) was subcloned into either pW or pE3SP backbone. The latter contains an enhancer sequence, which increases the efficiency of Pol-I dependent transcription<sup>376</sup>. These vectors have been termed

### 3. Results



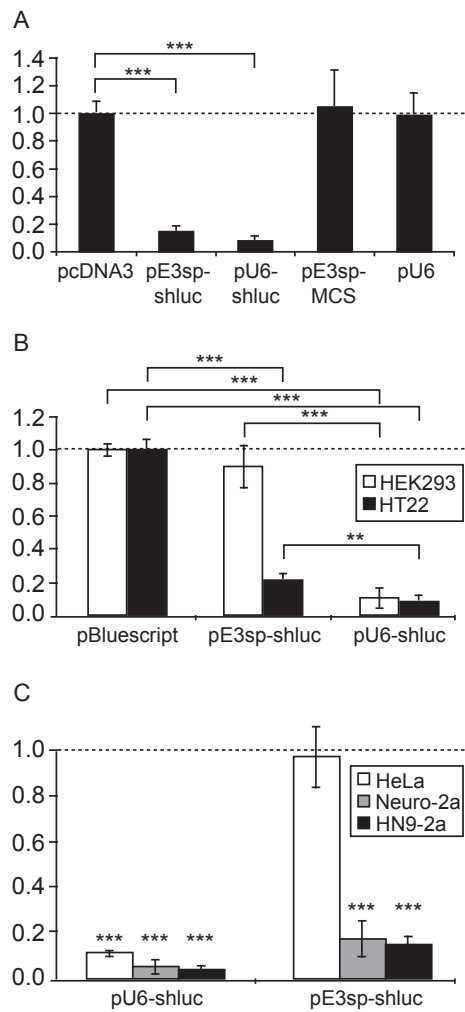
**Figure 12: Schematic representation of vectors used in the present study.** (A) pE3SP-bc, basic construct vector, containing the enhancer for Pol I-dependent transcription, the sequence of mouse Pol I promoter (P) upstream of transcription start, a transcription termination signal (Tx), and the KpnI and BbsI sites for cloning purposes. (B) pE3SP-MCS vector contains a multiple cloning site for additional cloning versatility. (C) pE3SP-shLuc and pE3SP-Erk2 express short hairpin RNAs targeting firefly luciferase and Erk2, respectively.

pW-bc and pE3SP-bc, respectively (Figure 12A). Between the promoter and the termination sequence Tx, these vectors contain KpnI and BbsI sites, which were used to insert a multiple cloning site (MCS), resulting in plasmids pW-MCS and pE3SP-MCS, respectively (Figure 12B). The two BbsI sites of the pE3SP-MCS were used for cloning of the short hairpin sequence targeting firefly luciferase (Figure 12C). The sequence is identical to the one published by Paddison et al.<sup>357</sup>. The construct was termed pE3SP-shLuc. Plasmid pE3SP-MCS was used as control in experiments with silencing firefly luciferase and Erk2 (Figures 13, 14 and 16; see below). The BbsI restriction enzyme offers the advantage that the cleavage site is positioned outside the recognition sequence of the nuclease; consequently, no strict sequence requirements are needed for the exact cleavage at the desired position. Moreover, BbsI cleavage generates non-palindromic cohesive ends, thus allowing directional cloning.

#### 3.1.3. Functional characterisation of the novel vector: silencing of reporter constructs

##### 3.1.3.1. Pol I mediates efficient RNAi silencing

Then, functional efficiency of pE3SP-shLuc in mediating silencing was investigated. Preliminary results in dual reporter assays already were encouraging that the novel vector system was able to mediate RNAi<sup>374</sup>. pE3SP-shLuc was co-transfected into the mouse hippocampal cell line HT22 together with the vectors pGL3-Con expressing firefly luciferase (the target of shLuc) and pRL-SV40 expressing *Renilla* luciferase, which serves as an internal reference to normalize transfection efficiency in dual reporter assays. The pE3SP-shLuc construct was able to specifically suppress the activity of firefly luciferase by 86 % (Figure 13A). This efficiency of suppression was comparable to the Pol III-driven vector pU6-shLuc in own data and published results<sup>357</sup>. The



**Figure 13: Pol I-dependent shRNA induces species-specific gene silencing.** (A) Twenty four-well plates of HT22 mouse hippocampal cells were transfected with 80 ng/well of plasmid that directs the expression of firefly (pGL3-Con, Promega), 8 ng/well of plasmid expressing Renilla (pRL-SV40, Promega) luciferase and 800 ng/well of the indicated DNA. Luciferase activities were assayed 48 h after transfection using the Dual Luciferase Assay. Ratios of firefly to Renilla luciferase activities were normalized to a control transfected with vector pcDNA3, and the control ratio was set to 1. The average of two independent experiments is shown, and each experiment was performed in duplicate; error bars indicate standard deviation. pE3SP-MCS and pU6 are empty vectors for corresponding shLuc-expressing plasmids pE3SP-shLuc and pU6-shLuc, respectively. \*\*\*  $p < 0.001$ . Statistical significance was evaluated using the Student's T-test. (B) Species specificity of Pol I-dependent gene silencing. Twenty four-well plates of HT22 mouse hippocampal cells or HEK293 human embryonic kidney cells were transfected and the luciferase expression was measured as in (A). Ratios of firefly to Renilla luciferase activities were normalized to a control transfected with pBluescript vector. The average of two independent experiments is shown, and each experiment was performed in duplicate; error bars indicate standard deviations. \*\*\*  $p < 0.001$ , \*\*  $p < 0.005$ . (C) Species specificity of Pol I-dependent gene silencing. Twenty four-well plates of HeLa human epithelial cells, Neuro-2a mouse neuroblastoma cells or HN9 mouse embryonic hippocampal cells were transfected and the luciferase expression was measured as in (A). Ratios of firefly to Renilla luciferase activities are expressed as relative values to the control sample that was transfected with the accordant empty expression vector (pU6 (for pU6-shLuc) and/or pE3SP-MCS (for pE3SP-shLuc) vectors. The control ratio was set to 1. The average of two independent experiments is shown, and each experiment was performed in triplicate; error bars indicate standard deviations. Statistical analysis was done using the Student's T-test and asterisks indicate significant difference from the empty vector control. \*\*\*  $p < 0.001$ .

effect was dose-dependent for both silencing constructs and reached its optimal efficiency at a silencer to reporter ratio of 10:1 (data not shown).

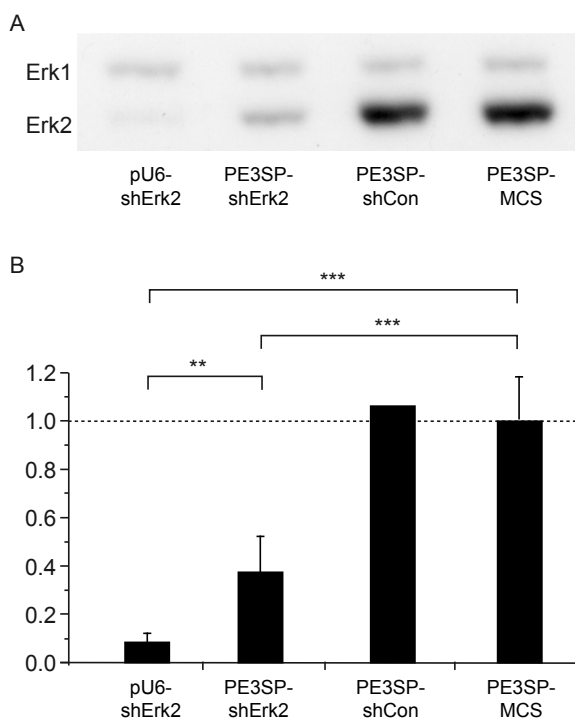
### 3.1.3.2. Pol I mediated RNAi is species specific

Unlike Pol II and Pol III, Pol I transcription exhibits a remarkable species specificity, even between closely related species like mouse and human<sup>373</sup>. To test whether this species specificity is maintained in the Pol I-dependent silencing constructs, silencing efficiencies of pE3SP-shLuc and pU6-shLuc were compared in mouse HT22 and human HEK293 cells. Whereas human Pol III promoter-driven silencer pU6-shLuc was efficient in cell lines of both species, pE3SP-shLuc was only active in mouse, but completely silent in human cells (Figure 13B). In order to distinguish the cell line-specific effect from the species-specific one, this experiment was repeated in two additional mouse (HN9 and Neuro-2a), and one human (HeLa) cell lines. Again, the silencing was only efficient in mouse cells, and absent in the human cell line (Figure 13C). This observation is a strong indication that transcription relies indeed on Pol I-mediated mechanisms.

### 3. Results

#### 3.1.3.3. Functional characterization of the novel vector: silencing of an endogenous gene

Finally, the new expression cassette was tested for the capacity to silence an endogenous gene. As a target, Erk2 a p42 MAP kinase was chosen. The hairpin sequence was already tested for the silencing activity in the Pol III promoter-driven vector<sup>148</sup>. The validated shRNA, targeting Erk2, was cloned into the pE3SP-MCS vector backbone analog to generation of pE3SP-shLuc, and tested for silencing activity in the IDG3.2 mouse ES cells and the HN9 mouse cell line (Figure 14). pE3SP-shErk2 could significantly down-regulate the expression of Erk2, although the efficiency of silencing was lower as compared to Pol III-based U6-shErk2. Silencing was also highly specific, as two hairpins targeting unrelated sequences were unable to produce any effect on Erk2 expression (only pE3SP-shCon shown). These findings could be additionally reproduced in independent experiments with transfection of silencers in the HN9 mouse cell line, where both Pol III and Pol I shRNAs showed similar effects but more modest effects in Erk2 down-regulation (data not shown).



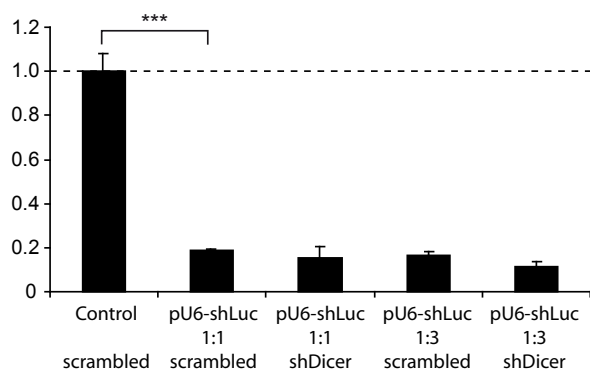
**Figure 14: Pol I -dependent shRNA induces silencing of endogenous gene expression.** (A) Western blot using 20  $\mu$ g of protein extracts from electroporated IDG3.2 ES cells. Cells were transiently transfected by electroporation with 50  $\mu$ g of each of the plasmids containing pU6-shErk2, pE3SP-shErk2, pE3SP-shCon and pE3SP-MCS. The Western blot shows the expected double band for Erk1 (upper band) and Erk2 (lower band). Erk2 is specifically down-regulated in the samples from the transfected Pol III-driven (first lane) and Pol I-driven (second lane) RNAi expression vectors. Erk1 expression remains unaffected by the introduced RNAi- and control vectors (lanes 3 and 4). (B) Quantification of band intensities in Western blot shown in (A). The numerical values from the band intensities of the Erk2 signals optical density values were normalized to those of Erk1 (mean  $\pm$  standard deviation; Student's T-test: \*\*\*  $p < 0.001$ , \*\*  $p < 0.01$ ) There is a significant and strong downregulation of Erk2 by shRNAs either derived from Pol III (first column) or Pol I promoters (second column). Unrelated shRNAs (third column) and empty expression vectors (fourth column) show no statistical effect on the expression of Erk2.

#### 3.1.4. Molecular characterization of the novel vector

##### 3.1.4.1. Dicer dependency of the RNAi effect

The next approach aimed at showing that the observed gene silencing effects of the novel vector system directly depends on the cellular RNAi machinery. In anticipation of vanishing vector mediated silencing the dual reporter assays were expanded with siRNAs targeting Dicer.





**Figure 15: Effect of Dicer knock-down by siRNAs on silencing of RNAi vectors.** Twenty four-well plates of HEK293 cells were transfected with 100 ng/well of plasmid that directs the expression of firefly (pGL3-Con, Promega), 100 ng/well of plasmid expressing Renilla (pRL-SV40, Promega) luciferase and the indicated DNA plasmid at concentrations of 100ng (1:1) or 300ng (1:3). Plasmids pE3SP-shLuc and pU6-shLuc, respectively express an shRNA targeting firefly luciferase. The day before plasmid transfection either siRNAs targeting human Dicer or scrambled siRNAs as control have been transfected. Luciferase activities were assayed 48 h after first transfection using the Dual Luciferase Assay. Ratios of firefly to Renilla luciferase activities were normalized to a control transfected with vector pBluescript, and the control ratio was set to 1. The published siRNA against human Dicer does not show an effect on function of the RNAi vector. The average of four replicates is shown; error bars indicate standard deviation. \*\*\*  $p < 0.001$  Statistical significance was evaluated using the Student's T-test.

If it is a true RNAi effect the vectors should not produce luciferase silencing when Dicer is knocked-down since shRNA need to be processed by Dicer to produce functional siRNAs in the cell. This experiment has been performed several times in variations. First Pol I and Pol III vectors were tested for silencing properties when novel designed siRNAs targeting murine Dicer were cotransfected in HT22 cells. Thereby two different sequences have been used. The reproducible and pronounced result was specific silencing with or without siRNAs against Dicer (data not shown). Thus reproduction of the results of Paddison et al.<sup>357</sup> should be the starting point in which pU6-shLuc did not produce any silencing when additionally siRNAs targeting Dicer were used (Figure 15). The original experiment was performed in Hek293 cells so testing the mouse specific Pol I vector in Hek293 cells would not have made sense. That's why in Figure 15 only the positive pU6-shLuc is shown with control.

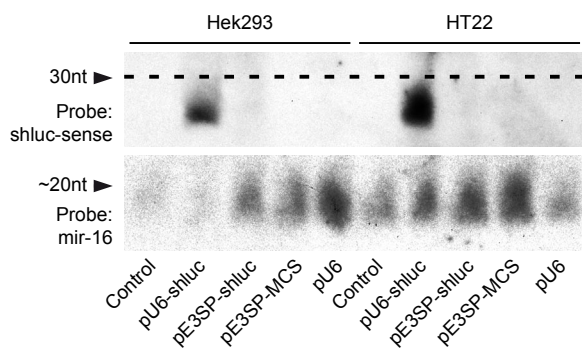
Although there is a solid silencing from 80-90% between control and the silencing vector the transfection of Dicer siRNAs did not show any effect and a t-test detects no significant changes of firefly luciferase expression to the siRNA group. In the mentioned literature the same siRNA sequence abolished silencing completely and the relative luciferase intensity went back to the control levels. This finding was reproduced three independent times. Request to the original author did not reveal any major difference in the experimental setting that could explain the difference. The only suggestion might be a mixture of tubes that in reality the published siRNA sequence was not an effective one and that the published results may have been obtained with another sequence.

3.1.4.2. *Detection of the RNAi mediating transcripts*

Thus the strategy was adapted and the idea was now to have a more direct assay to prove that the cloned Pol I vector behaves in the predicted way in mediating RNAi. The detection of the shRNA transcribed from the RNAi vectors would be a good supporting evidence to the species specific observations that the observed silencing effects are not produced by general effects. For

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this purpose a Northern blot detection for short RNAs had to be established. Standard agarose gels are unable to separate nucleic acids smaller in size than ~50nt. Thus to separate short RNA species like miRNAs more dense gels like polyacryl amide gels have to be used. When using these gels also the following transfer onto a membrane has to be changed since the standard transfer via capillary forces is not effective with such high density gels. Similar to proteins in Western blots the separated RNA needs to be transferred in an electrical field. In addition, RNA purification with columns needs to be avoided for sample preparation since these column based fractionations discard most or all small RNA fragments. Another important difference to standard Northern blots is that as probes either RNA or DNA oligos are used which can be end labeled with T4 polynucleotide kinase using  $^{32}\text{P}$  ATP. To establish this specialized method a stepwise procedure has been conducted. The first optimisations have been performed on detecting synthetic siRNAs (siRNAs targeting firefly luciferase) that have been loaded in various amounts onto the gel. With this approach sample separation on gel, transfer of small RNAs onto the membrane, probe labeling and detection could be checked individually. This artificial setting has the additional advantage of avoiding background signals due to interference with other cellular transcripts and giving the opportunity to detect precise and variable concentrations of short RNAs that are readily available from other experiments. After optimizations for sample separation on denaturing gels and probe labeling strong signals could be obtained with the antisense oligo to the firefly siRNA (data not shown). The next step was to use RNA extracts from untransfected cells and detecting the 5s ribosomal RNA (rRNA) species which is 112 nt in length and expressed at very high

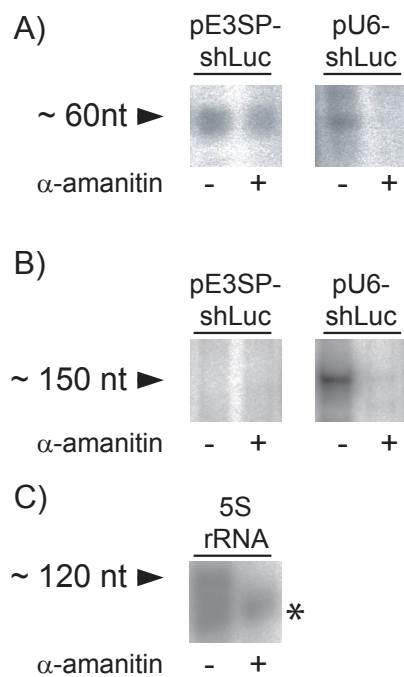


**Figure 16: Northern blot detecting shRNAs.** (sh-luc) from pE3SP-shLuc and pU6-shLuc in RNA extracts from Hek293 and HT22 cells. Twenty four-well plates of cells were transfected with 80 ng/well of plasmid that directs the expression of firefly (pGL3-Con, Promega), 8 ng/well of plasmid expressing Renilla (pRL-SV40, Promega) luciferase and 800ng of the indicated DNA plasmid. Plasmids pE3SP-shLuc and pU6-shLuc, express an shRNA targeting firefly luciferase and pE3SP-MCS and pU6 are empty vectors for corresponding controls. 48 hours after transfection RNA extraction has been performed and 30 $\mu\text{g}$  of total RNA was used for Northern Blot. (A) Detection of the RNAi transcript shLuc-sense shows only a transcript of about 29 nt length from pU6-shLuc vector. (B) As loading control a probe for mir-16 detects bands in all lanes.

levels in the cells. After strong signals could be obtained for endogenous 5S rRNA the same transfection setup has been used as before in the reporter assays using the dual reporters (firefly and renilla luciferase) either pU6-shLuc, pE3sp-shLuc or according backbone vectors. Effective silencing of the particular transfection samples was demonstrated in parallel by reporter assays (data not shown). With the remaining cells RNA extraction and Northern blot procedure has been performed according to the optimized protocol using a probe against the sense strand of the expressed hairpin of the RNAi vectors (sh-Luc) (Figure 16).

Interestingly in both cell lines Hek293 and HT22 no specific transcript could be de-

tected from the vector pE3SP-shluc (Fig 16 upper part). But strong signals appear from a band of about 29nt in the extracts from pU6-shluc transfected cells. This size fits to the product that is produced from the hairpin sequence sh-luc since the stem sequence is 29 nt in length. As expected the control samples do not give any signal showing that the obtained bands are a specific hybridization event. As loading control and quality check for the Northern procedure itself the membrane was hybridized with a probe detecting mir-16 after stripping off the sh-luc signal (Fig 16 lower part). In all lanes bands at the expected length of around 21nt is observed although there is a variation in signal intensity. Nevertheless, in the HT22 cell extracts the lane where pE3sp-shluc is shown, there is an intense mir-16 signal that shows that the sample has been loaded onto the gel and transferred to the membrane in sufficient amounts to produce signals. For comparison pU6-shluc left to this sample shows slightly lower intensity for the mir-16 probe but delivers a strong band at 29 nt. Thus with this experiment an expression product could only be detected from pU6-shluc but not from pE3SP-shLuc. This finding was independently reproduced several times.



**Figure 17: *In vitro* transcription assay using plasmids pE3SP-shLuc and pU6-shLuc in nuclear extracts.** Assays were done in absence or presence of  $\alpha$ -amanitin, a specific inhibitor of Pol II- and Pol III-dependent transcription. (A) The expected band of 60 nt, corresponding to the expressed shRNA. (B) Additional fragment of 150 nt in length, resulting from pU6-shLuc (Pol III-dependent) transcription. (C) 5S rRNA transcript (Pol III-dependent), used as a positive control for assay, and inhibition by  $\alpha$ -amanitin. Asterisk indicates an unspecific signal, inherent to the transcription from nuclear extract of FM3A cells.

So in the following another method to investigate which transcripts are produced from the two RNAi vectors was chosen by using an *in vitro* transcription assay. The expression of the shLuc hairpin has been analyzed in whole nuclear extracts in which pE3SP-shLuc was used as a template, and  $^{32}$ P-labelled UTP was incorporated into the primary transcript. As expected, a fragment of 60 nt was detected, corresponding to the unprocessed hairpin precursor shLuc (Figure 17A), but not the mature siRNAs. Same results were obtained in an *in vitro* transcription assay using nuclear extracts, partially purified by DEAE ion exchange chromatography (data not shown). The lack of the mature siRNA in the nucleus is consistent with the notion that final processing to siRNA by Dicer takes place in the cytoplasm. Another consideration for the use of a cell free system to detect transcripts was that such an assay might better tolerate poisoning by  $\alpha$ -amanitin.  $\alpha$ -amanitin is a potent toxin that is found in several members of the Amanita genus of mushrooms e.g. the Death cap. It is a cyclic peptide of 8 amino acids and is an inhibitor of RNA polymerases II and III. The affinity to Pol II is much higher than to Pol III thus

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depending on the used concentration one can selectively inhibit Pol II or Pol II and III. RNA polymerase I dependent transcription is at any concentration insensitive to  $\alpha$ -amanitin. By using this compound on the nuclear extracts for *in vitro* transcription one can determine which RNA polymerase transcribes a specific product.

Since the observed 60nt product from pE3SP-shLuc is insensitive to  $\alpha$ -amanitin one can suggest that transcription was indeed mediated by Pol I activity. In contrast, when the Pol III promoter-containing pU6-shLuc (Figure 17A,B) and 5S rRNA (Figure 17C) were used as templates, the transcription was completely inhibited by  $\alpha$ -amanitin. Notably, two  $\alpha$ -amanitin-sensitive signals were observed, when using pU6-shLuc as a template. The 60 nt transcript corresponded to the expected shLuc transcript, while the transcript of 150 nt indicates that initiation or/and termination of transcription have occurred at yet unidentified sites.

In summary an alternative vector for siRNA/shRNA expression has been developed and characterized using a mouse RNA polymerase I promoter. This system might be useful for multiple applications and is complement to the existing Pol III based vector systems. This work was successfully published<sup>377</sup> and already got recognized by the research community since it has been cited several times and the presented vectors have been shipped upon request to various laboratories.

### 3.2. *In vivo* RNAi in mouse brain

In the post-genomic area functional genetics is strongly depending on reverse genetics approaches to generate gain and loss of function models in transgenic animals. Despite the high value of conventional models in recent years genetic tools that influence the expression of candidate genes in a conditional manner have become increasingly important. This is particularly true for brain research since the brain is an extremely heterogenous tissue and studying gene function in the context of behavior requires specific influence on gene function in distinct brain structures. The use of the established transgenic mouse lines which express cre recombinase under conditional promoters have addressed this issue and resulted in important new insights. Nevertheless, only a limited number of brain specific cre mouse lines are available and none of them are restricted to a specific brain structure which might be helpful to elucidate the etiology of brain diseases.

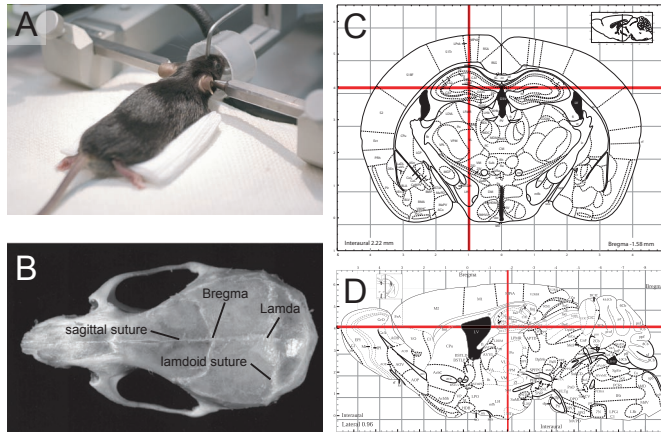
Therefore, the emerging RNAi technology seems to be also a powerful tool for molecular neurogenetics. RNAi is believed to enable rapid and reversible production of altered gene expression in the brain but also offers a high flexibility and specificity to target brain structures.

In mammalian cells RNAi can be induced by short hairpin RNAs (shRNAs), which are processed intracellularly to short interfering RNAs (siRNAs). Alternatively, *in vitro* synthesized siRNAs can be applied directly. siRNAs easily enter cultured cells and the resulting silencing is very efficient. Therefore, this technique has widely been adopted in experimental paradigms of pathway profiling and drug screening. However, the *in vivo* application of RNAi remains a challenging task, which is particularly true for the central nervous system. The delivery of nucleic acids into

the brain is barely effective, both for DNA oligonucleotides and vectors. Therefore, the evaluation of techniques for delivery of siRNAs into the brain and to mediate local gene knock-down is of high relevance.

### 3.2.1. Stereotactic injections into the mouse brain

To trigger RNAi in the murine brain either siRNAs or vectors expressing shRNAs need to be



**Figure 18: Stereotactic injections into the mouse brain.** (A) The animal is anaesthetized and the head is fixed with metal bars. In the front the mask for inhalation anaesthesia is shown. In (B) reference points for the coordinates system are shown which are based on bone sutures. For the following injections into the hippocampus bregma referenced coordinates are shown in (C) and (D) in the respective panel of the brain atlas.

injected into the target region which is achieved by stereotaxy (Figure 18).

The anaesthetized mouse is fixed with head-holding clamps and bars in a mechanical device called stereotactic apparatus (Figure 18A). This device allows to manipulate an injection syringe in a defined three dimensional coordinates system thereby guiding injections into a distinct location of the brain. Confluences of bone sutures in the skull like “bregma” are commonly used as landmarks and reference to the coordinates system (Figure 18B). For

the injections studying delivery of RNAi molecules to the dorsal hippocampus the experimentally verified coordinates anterior/posterior 1.6 mm; lateral 1.0 mm and ventral 1.8 mm in relation to bregma were used (Figure 18C, D).

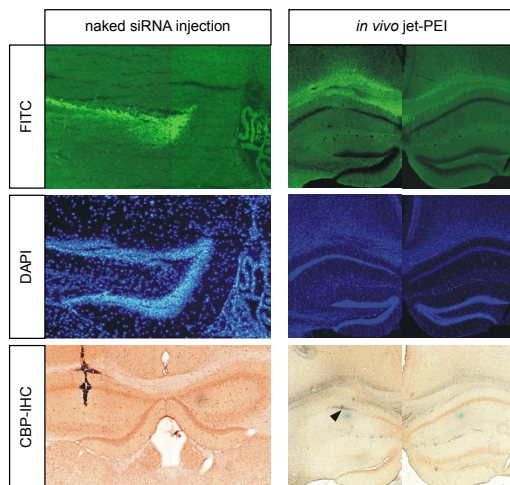
### 3.2.2. Non-viral delivery of siRNAs

The most straight forward approach to trigger RNAi in the hippocampus is direct injection of siRNAs into that region. This groundwork for the *in vivo* RNAi project has been mainly conducted in collaboration with Wolfgang Kelsch and Maria Brenz-Verca in the group. Therefore two different protocols either “naked” siRNAs, or in complex with a linear cationic polymer called PEI (polyethylenimine) were used. PEI increases cellular delivery of nucleic acids *in vivo* by condensing siRNAs into positively charged particles capable of interacting with anionic proteoglycans at the cell surface<sup>378</sup>. In turn the complexes enter cells by endocytosis<sup>379</sup>. PEI further induces endosomal release of the siRNAs as it acts as a “proton sponge” and buffers the endosomal pH protecting the DNA from degradation. Continuous proton influx leads to osmotic swelling and rupture of the endosome thereby providing an escape mechanism for the complexes into the cytoplasm<sup>378</sup>.

The results of injection of naked stealth siRNAs and in formulations with PEI are shown in



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**Figure 19: Non viral RNAi in the hippocampus.** Top row shows fluorescent signal from FITC labeled siRNAs that have been unilaterally coinjected with stealth siRNAs targeting CBP into the left hippocampus. In the middle row a counterstaining of cell nuclei is shown with DAPI fluorescence. In both rows the same section is shown. For the naked siRNA injection on the left side high magnification of the dentate gyrus of the hippocampus is shown and on the right column for the polyethylene imine formulations the hippocampal slide shows additionally the contralateral hippocampus in which no injection has been performed. The bottom row is a immunohistological staining against CBP on the left column there is a large lesion visible that has been caused by the unilateral injection with a hamilton syringe. In the *in vivo* jet-PEI experiment the injection protocol has been improved to an atraumatic procedure using a glass capillary. Arrow head shows a decrease in CBP immune reactivity.

Figure 19. The stealth modification stabilizes the siRNAs from degradation and avoids unspecific interferon response. For both approaches FITC labeled siRNAs for monitoring of cellular delivery and siRNAs targeting CREB-binding protein (CBP) were injected into the brain. 48 h after unilateral injection of FITC labeled siRNAs with a hamilton syringe the siRNAs could be detected mainly in cells of the hilus of the dentate gyrus (left column). These are mostly glial cells and it is notable that the siRNAs did hardly enter neuronal cells. On the immunostaining against CREB binding protein (CBP) a large lesion is visible near the injection site. Nevertheless, no reduction in CBP levels is visible in this area.

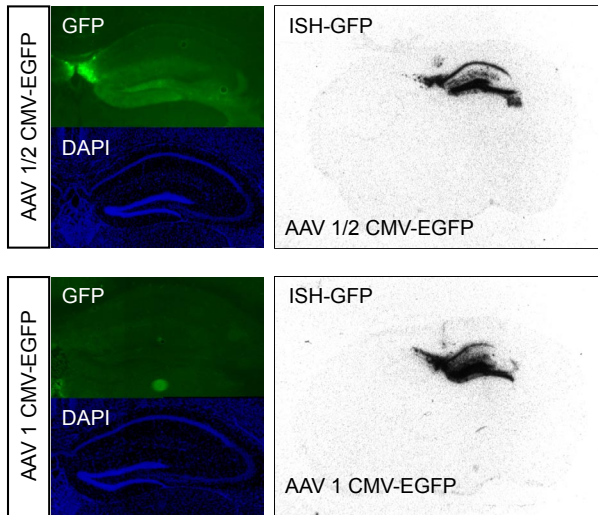
The delivery of siRNAs to cells could be improved by the use of PEI. Also neuronal cell populations like the CA1 region of the hippocampus were targeted (right column). The immunostaining might indicate a down regulation of the CBP protein (see arrow head). But this effect was highly variable and inconsistent. In this case the injection procedure had been optimized and the use of a glass capillary with low pressure for the injection did not cause any detectable distortions of the tissue.

Anyway the penetration of tissue with siRNAs was limited, though somehow better for PEI-complexes. None of the used methods mediated robust downregulation of the targeted protein. Thus, in further experiments adeno-associated virus (AAV)-based vectors were characterized for RNAi expression in the mouse brain.

#### 3.2.2. Viral vectors for RNAi delivery

##### 3.2.2.1. Analysis of viral tropism in the adult hippocampus with various vectors

To test for different transduction properties of the various AAV serotypes (AAV1 and AAV 1/2) we used EGFP expressing vectors driven by the hCMV promoter. Three weeks after injection the hybrid AAV1/2 showed a weak fluorescent signal in the entire hippocampus whereas AAV 1 mediated no GFP fluorescence (Fig. 20). Surprisingly, *in situ* hybridizations detected strong sig-



**Figure 20: Comparison of the transduction properties and GFP expression of two AAV serotypes.**  $\sim 10^8$  TU of a recombinant AAV1/2 (upper panel) or AAV1 serotype (bottom panel) have been unilaterally injected into the right hippocampus of adult mice. Both vectors have an identical GFP expression cassette driven by a CMV promoter. After incubation for three weeks brains have been removed and coronally cryo sectioned. The pictures show viral GFP expression in the right hippocampus by fluorescence microscopy (upper left) and by autoradiography of *in situ* hybridizations with a radioactive probe against GFP (upper right). As histological control a DAPI staining of cell nuclei is shown (lower left). AAV 1/2 shows focal transduction in the dorsal hippocampus and produces strong signals for GFP fluorescence as well as in the *in situ* hybridization. AAV1 instead produces no GFP fluorescence but gives only strong signals in the *in situ* hybridization.

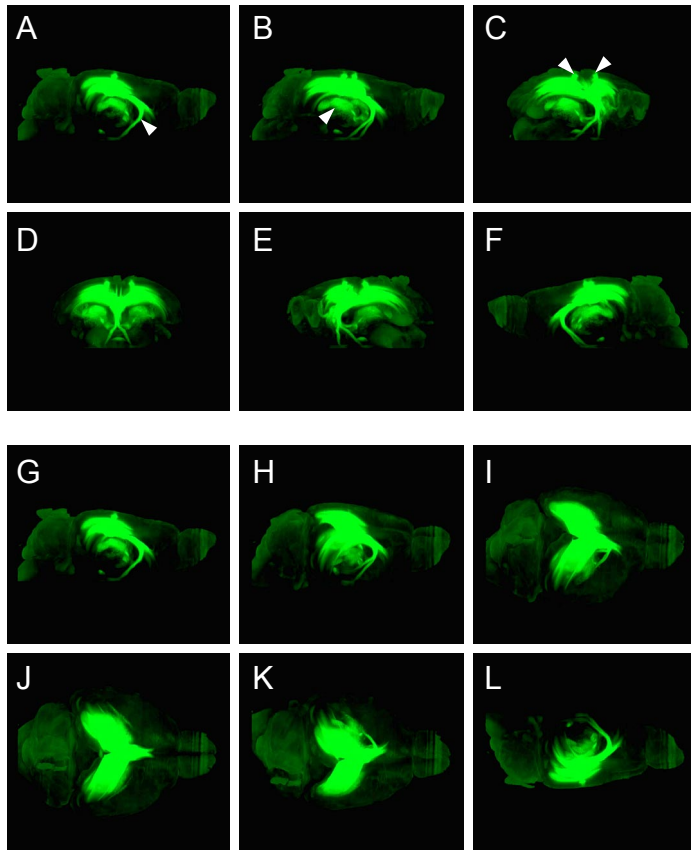
nals for GFP in both cases. The hCMV is probably down-regulated especially in neurons after some time and the *in situ* probe binds to the unpacked dsDNA genome of the virus in the cell. A sense probe (not shown) gave similar signals which supports this hypothesis. A DNase digest did not show a decrease in signal intensity. From these data one can conclude that AAV 1/2 is a well suited vector for gene transfer into the hippocampus in mice.

### 3.2.2.1. Ultramicroscopy of viral expression in the hippocampus

To get detailed information about the spatial spreading of viral particles and transduction properties in all dimensions in the mouse brain a newly developed ultramicroscopy method was utilized<sup>360</sup>. This technology which is able to observe macroscopic specimens in 3D reconstructions from confocal images combines two old methods: ultramicroscopy<sup>380</sup> and clearance of biological specimens<sup>381</sup>. Therefore, a microscope is used with so called light sheet illumination which means the object is illuminated from the side with a thin sheet of light. This results in the selective illumination of a single optical plane (generated by two aligned illumination sources at opposite sides) and parts of the specimen above or below that plane are in the dark producing no interfering out-of-focus signal. Optical sections (z-stacks) are generated by stepwise moving the illumination pane and scanning through the entire height of the specimen. For each optical pane an image of the emitted fluorescence signal is acquired by a ccd camera which is mounted on a microscope. The individual images can finally be processed by 3D reconstruction software to generate three dimensional images of the specimen at micrometer resolution. This method has been initially developed for optically transparent objects and is not directly applicable for mouse brains. For that purpose the brain tissue needs to be cleared by immersion of the specimen in a medium with the same refraction index as protein. This equalizes the refraction index of intra- or extracellular compartments and enables light to be transmitted through the brain without being scattered. As a result the brain appears to be transparent when no light absorption occurs.



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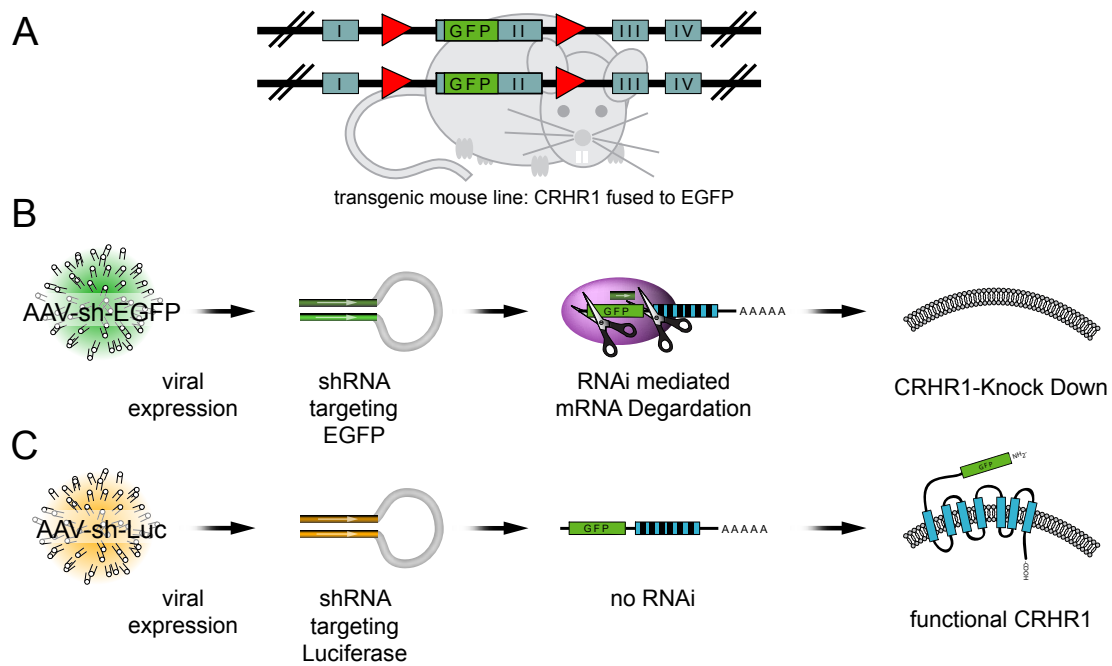
**Figure 21: Characterization of viral spreading of AAV1/2 vectors injected into the mouse hippocampus.**  $\sim 10^8$  transducing units of a AAV 1/2 expressing GFP from a synapsin promoter have been injected bilaterally into the dorsal hippocampus. After four weeks incubation the formalin perfused brain has been removed and cleared. The images show projections of the GFP fluorescence in a three dimensional reconstruction of the adult mouse brain from optical slices. (A-F) show a 180° rotation of the reconstructed brain around the dorsal ventral axis. (G-L) show a 180° rotation of the reconstructed brain around the rostral caudal axis. Arrowheads mark different anatomical structures in (A) fimbria-fornix, in (B) thalamus, in (C) bilateral injection site.

Before applying the described method AAV1/2- GFP has been injected bilaterally into the dorsal hippocampus of adult mouse brains. After 3 weeks incubation brains have been removed, cleared and analysed. Figure 21 shows the obtained 3D images and demonstrates the spreading of the GFP fluorescence throughout the entire dorsal hippocampus. Since the shown images represent projections through the mouse brain and not sections the architecture of hippocampal fields do not appear individually. Nevertheless, all cell types of the hippocampus are transduced (Fig. 21A-L) and in the cranial part the fimbria-fornix of the hippocampus (Fig. 21A arrowhead) marks a distinct structure with strong viral expression. Also at the two injection sites traces of signal can be seen throughout the images (marked with arrowhead in C). Interestingly the GFP expression transmits ventrally via the fornix into thalamic nuclei

(marked with arrowhead in B). The GFP signal appears to be highly symmetric (Fig 21D and J) pointing out the high reproducibility of the established injection procedure and the constant transduction behavior of the viral particles for both hippocampal injections.

#### *3.2.2.2. Proof of concept for virally mediated gene silencing in the adult mouse brain*

After the optimization of viral delivery and characterization of a suitable vector the next step was to mediate RNAi from such a viral vector. The strategy for this approach is depicted in Figure 22. To avoid uncertainties in silencing efficiency of the RNAi vector a published viral expression cassette that has been shown to mediate RNAi against GFP was used<sup>382</sup>. A collaboration with Sebastian Kügler (Viral Vector Laboratory, Department of Neurology, University of Göttingen)

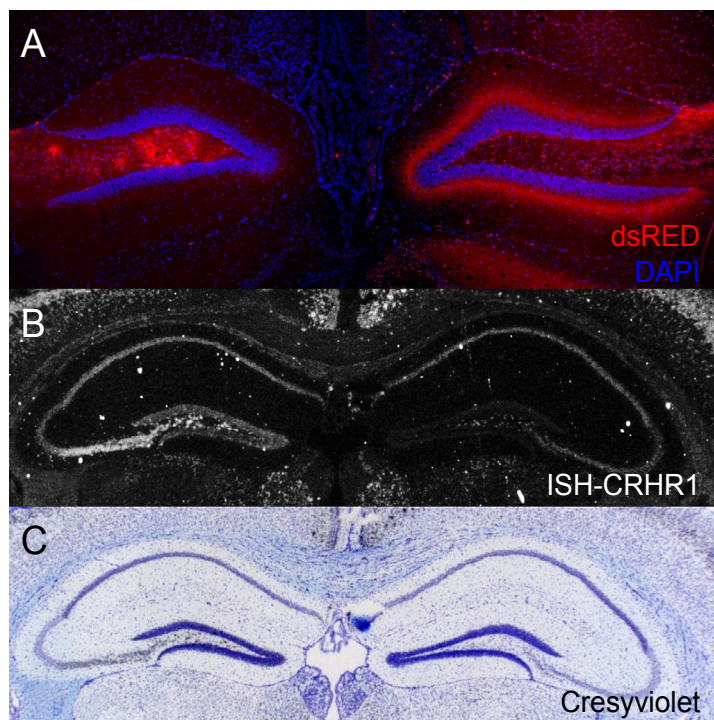


**Figure 22: Strategy for the *in vivo* RNAi experiment.** The silencing strategy is based on a transgenic mouse line in which the endogenous CRHR1 locus has been replaced with a fusion construct with GFP (A). Thereby EGFP is inserted directly downstream of the leader peptide in exon two of the CRHR1 gene. The processed receptor is N-terminally fused to GFP. The vector AAV-shEGFP expresses shRNAs with a target sequence for GFP. Since this target sequence is present in the transcript of the fusion construct with CRHR1 this mRNA is degraded leading to knock-down of the transgenic receptor (B). As a control for unspecific effects AAV-sh-Luc is used in addition. This vector expresses an shRNA targeting luciferase, which is not encoded in the mouse genome. Thus the fusion construct is not silenced and the CRHR1 will be functional.

provided access to the required viral vectors and due to the results from the previous transduction assays the published RNAi cassette was expressed from a AAV1/2 backbone in the following experiments. This vector was injected into a transgenic mouse line that had been generated in our group (unpublished data). In the transgene the wildtype CRH receptor 1 (CRHR1) has been replaced by a fusion construct with EGFP. An additional feature of this mouse line is that exon two of CRHR1 is flanked by loxP sites (Fig. 22A). By injecting recombinant AAV vectors into the hippocampus of this line most cells are expected to be transduced. In the infected cells shRNAs are in turn transcribed from the vector and further processed to functional siRNAs. For AAV-sh-EGFP the produced shRNA targets a GFP sequence which is present in the fusion construct for the CRHR1 of the transgene (Fig. 22B). Thus by targeting GFP in this transgenic system the CRHR1 can be knocked-down. To control for possible unspecific effects AAV-sh-Luc is used as negative control vector. It expresses shRNAs with a targeting sequence for luciferase and thus, should not interfere with any transcript present in mouse cells (Fig. 22C). In addition to the RNAi expression the used vectors express dsRED from an independent synapsin promoter to check for the transduction behavior of the viral particles.

The results of the hippocampal injection of both RNAi mediating viruses are shown in Figure 23. The right hippocampus was injected with AAV-sh-EGFP and the left hippocampus served as control by injection of AAV-sh-Luc. Fluorescence microscopy of the dsRed reporter shows

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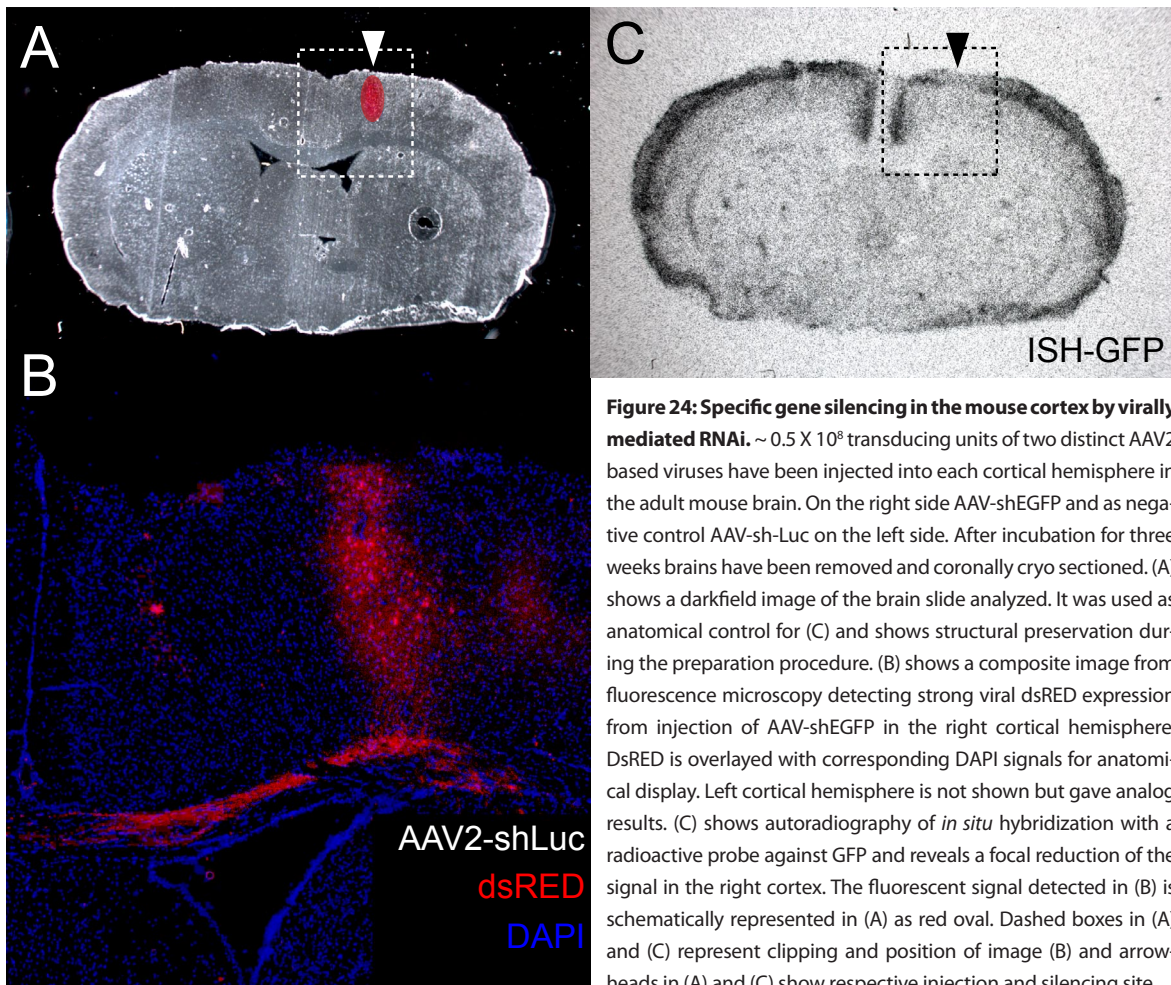


**Figure 23: Specific gene silencing in the mouse hippocampus by virally mediated RNAi.**  $\sim 10^8$  transducing units of two AAV1/2 based viruses have been injected into each side of the hippocampus. On the right side AAV-shEGFP and as negative control AAV-sh-Luc on the left side. After incubation for three weeks brains have been removed and coronally cryo sectioned. (A) shows a composite image from fluorescence microscopy detecting strong viral dsRED expression from both injections in the dentate gyrus. DsRED is overlaid with corresponding DAPI signals for anatomical display. (B) shows autoradiography of *in situ* hybridization with a radioactive probe against CRHR1. In the right dentate gyrus of the hippocampus the signal is strongly reduced. As histological control for the *in situ* hybridization slide (C) shows cresylviolet staining of the same detail as in (B).

pronounced expression from both viruses which indicates successful injection and transduction for both hippocampal sides (Fig. 23A). *In situ* hybridisation for CRHR1 shows a specific and local downregulation of the transgenic CRH-receptor 1 in the dentate gyrus of the right hippocampus, which is the site where the GFP silencing vector was injected (Fig. 23B). Opposed to that the control vector shows no effect on CRHR1 expression. To indicate that no tissue damage has been caused by the procedure a histological staining is shown in (Fig. 23C).

To investigate whether this viral RNAi system can be expanded to other brain regions the above described approach has been repeated for the cortex. The right cortical hemisphere was injected with AAV2-sh-EGFP. Thus the GFP fusion transcript of the transgenic mouse line is targeted and AAV2-sh-luc is injected into the collateral hemisphere as negative control. The results were comparable to the findings in the hippocampus. Again the structural integrity of the tissue is shown (Fig. 24A). The dsRed fluorescence reports viral expression indicating effective and wide spread transduction in the cortex and expanding into the corpus callosum (Fig. 24B). Only the fluorescence in the right hemisphere is shown but analog expression was verified microscopically in the left hemisphere. For better orientation Fig. 24A shows in red a schematic representation of the dsRed fluorescent signal. Expression analysis via *in situ* hybridization shows that the GFP targeting vector induces a specific downregulation of the CRHR1-GFP transcript Fig. 24C. As opposed to the collateral side where no gene silencing is obvious. In Fig. 24A and C the dashed rectangle indicates the detail shown in B.





**Figure 24: Specific gene silencing in the mouse cortex by virally mediated RNAi.**  $\sim 0.5 \times 10^8$  transducing units of two distinct AAV2 based viruses have been injected into each cortical hemisphere in the adult mouse brain. On the right side AAV-shEGFP and as negative control AAV-sh-Luc on the left side. After incubation for three weeks brains have been removed and coronally cryo sectioned. (A) shows a darkfield image of the brain slide analyzed. It was used as anatomical control for (C) and shows structural preservation during the preparation procedure. (B) shows a composite image from fluorescence microscopy detecting strong viral dsRED expression from injection of AAV-shEGFP in the right cortical hemisphere. DsRED is overlaid with corresponding DAPI signals for anatomical display. Left cortical hemisphere is not shown but gave analog results. (C) shows autoradiography of *in situ* hybridization with a radioactive probe against GFP and reveals a focal reduction of the signal in the right cortex. The fluorescent signal detected in (B) is schematically represented in (A) as red oval. Dashed boxes in (A) and (C) represent clipping and position of image (B) and arrowheads in (A) and (C) show respective injection and silencing site.

### 3.3. Regulation of miRNAs by neuronal activity

#### 3.3.1. Hypothesis: Involvement of miRNAs in dendritic regulation of protein translation upon neuronal activity

The scope of the following experiment was to investigate a possible role of miRNAs in animal behavior such as learning and memory. Beside the promising results on neuronal expression of miRNAs this hypothesis was put forward based on the following observations:

Recent findings suggest a function of miRNAs in neuronal development<sup>280</sup>. As the molecular mechanisms underlying synaptic plasticity often rehearsal developmental processes<sup>383,384</sup>, it is conceivable to propose a role of miRNAs in synaptic plasticity. Beyond that localized protein synthesis is a prerequisite for synaptic plasticity and longterm storage of memory. Thereby, mRNA is translocated to dendrites in a translation incompetent state. Translation occurs in a stimulation-dependent manner near the synapse leading to neuronal plasticity<sup>385,386</sup>. Since miRNAs are known to suppress gene expression at the translational level they might also control activity-dependent translation in dendrites. Supporting this idea is the finding that the fragile X

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syndrome which manifests as severe cognitive impairment is often associated with the absence of the FMR1 gene product, which was shown to suppress translation in dendrites in the absence of proper synaptic input<sup>387-389</sup>.

It could be shown that FMR1 protein is probably a component of RISC, which itself is associated with miRNA function (summarized in<sup>390</sup>).

#### **3.3.2. Induction of strong neuronal activity in mouse brains by treatment with kainic acid**

The establishment of a pharmacological *in vivo* model of neuronal activity which induces synaptic plasticity was the prerequisite for further analysis of the involvement of miRNAs in such biological processes. Therefore, a well characterized and effective compound, kainic acid was chosen in the scope of the presented work.

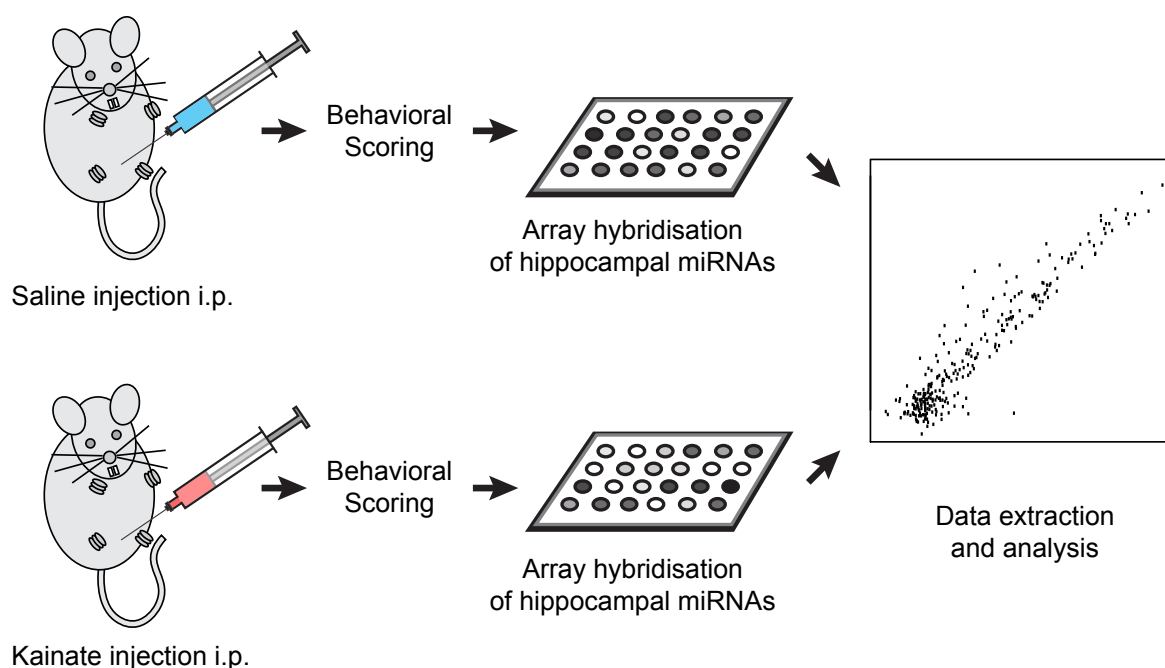
Kainic acid is a molecule isolated from seaweed and is widely used as a strong agonist of a subset of glutamate receptors, the ionotropic GluR5, GluR6 and GluR7 receptors, which function as gated  $Ca^{2+}$  channels. Kainic acid induces a massive influx of  $Ca^{2+}$  and, thus, depolarizes the neuron, leading to changes in intracellular signalling pathways and gene expression. Other studies showed that kainic acid-induced cellular changes considerably overlap with those observed to be involved in learning and memory as well as synaptic plasticity<sup>391</sup>. Kainate given at higher doses induces (epileptic) seizures and is widely used as animal model for excitotoxicity, neurodegeneration, synaptic plasticity and epilepsy.

Since an involvement of miRNAs in synaptic plasticity has been proposed as a hypothetical idea in the literature there was not enough knowledge available for undertaking a candidate gene approach. Fortunately unbiased technologies had been developed at that time and a novel array technology for miRNAs had recently been developed and successfully used by Dr. Anna Krichevsky in Prof. Kosik's laboratory (Harvard Medical School, Boston, USA)<sup>280</sup>. A cooperation with this group gave us access to generate expression data with this novel and powerful method.

#### **3.3.3. Analysis of differential miRNA expression by macro arrays**

Using intraperitoneal injection of kainate into mice, the aim was to explore possible changes of miRNAs levels induced by neuronal depolarization.

In essence the approach was to compare mice either injected with kainate or saline as control (Fig.25). After behavioral scoring to monitor the pharmacological effect of kainate treatment animals were killed and miRNAs isolated from the hippocampi of both animal groups were hybridised onto spotted macro arrays. Comparison of the expression levels of hippocampal miRNAs from treated and untreated animals may lead to the identification of candidate miRNAs that have possible functional roles in the brain.



**Fig. 25: Workflow for the analysis of differential miRNA expression upon neuronal stimulation.** Adult male mice were intraperitoneally (i.p.) injected either with saline or kainate. Since kainate induces neuronal activity by strong depolarization the animals reacted to the drug with behavioral symptoms that were analyzed for at least 1 hour. After incubation times of 1h, 4h, 24h and 72h hippocampi were removed from the sacrificed animals. The miRNA fraction of the isolated total RNA was then enriched and hybridized onto spotted arrays. After image acquisition and intensity extraction the data could be analyzed for differential gene expression.

### 3.3.3.1. Behavioral analysis of kainate treated animals

In total 25 male C57BL/6N mice at an age of 82-86 days were used for the experiment after individual housing for 24 h. All animals were injected i.p. with 20mg/kg of kainate or the respective volume of saline. Thereby, five animals were used for each time point and the control group. There was only one control group since the expectation was that the injection procedure itself does not produce to much variation over time within the saline control group. Animals were treated and their behavior was monitored for at least one hour. The behavioral scores were defined based on standards in the field as follows: 1) Immobility and staring; 2) Forelimb and/or tail extension; rigid posture; 3) Repetitive movements, head bobbing; 4) Rearing and falling; 5) Continuous rearing and falling; 6) Severe tonic-clonic seizures.

Table 4 shows the results of the behavioral scoring after kainate injection. As expected the behavioral scores determined in 12 min. bins increase up to 60 min. after drug administration and slowly decline towards longer time periods. Due to the rather low dose scores higher than 3 were not observed and there was an obvious behavioral difference to saline treated animals.

### 3.3.3.2. Differential expression analysis of miRNAs

After the intended incubation time the animals were killed at 4 different timepoints (1h, 4h, 24, 72h) after kainic acid treatment or 4h after saline injection. Bilateral hippocampi were dissected, immediately frozen on dry ice and stored in 300ml RNA later ICE (Ambion). The fol-

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**Table 4: Scoring of kainate induced behavior.**

Time point	4h-1 saline	4h-2 saline	4h-3 saline	4h-4 saline	4h-5 saline
12 min	0	0	0	0	0
24 min	0	0	0	0	0
36 min	0	0	0	0	0
48 min	0	0	0	0	0
60 min	N/A	N/A	N/A	N/A	N/A
72 min	N/A	N/A	N/A	N/A	N/A
84 min	N/A	N/A	N/A	N/A	N/A
96 min	N/A	N/A	N/A	N/A	N/A
108 min	N/A	N/A	N/A	N/A	N/A
Time point	1h-1 kainate	1h-2 kainate	1h-3 kainate	1h-4 kainate	1h-5 kainate
12 min	1	1	1	1	1
24 min	1	3	1	2	1
36 min	3	2	1	2	0
48 min	3	3	3	2	0
60 min	2	3	3	3	0
72 min	N/A	N/A	N/A	N/A	N/A
84 min	N/A	N/A	N/A	N/A	N/A
96 min	N/A	N/A	N/A	N/A	N/A
108 min	N/A	N/A	N/A	N/A	N/A
Time point	4h-1 kainate	4h-2 kainate	4h-3 kainate	4h-4 kainate	4h-5 kainate
12 min	1	1	1	1	1
24 min	2	3	3	3	2
36 min	2	3	3	3	1
48 min	3	2	2	1	2
60 min	3	3	1	3	N/A
72 min	3	1	0	N/A	N/A
84 min	2	2	N/A	N/A	N/A
96 min	0	N/A	N/A	N/A	N/A
108 min	N/A	N/A	N/A	N/A	N/A
Time point	24h-1 kainate	24h-2 kainate	24h-3 kainate	24h-4 kainate	24h-5 kainate
12 min	1	2	3	1	3
24 min	3	2	2	3	2
36 min	2	2	2	2	3



**Table 3: Scoring of kainate induced behavior (continued).**

48 min	2	2	0	2	2
60 min	2	3	2	3	1
72 min	3	3	3	0	N/A
84 min	3	1	3	N/A	N/A
96 min	0	3	N/A	N/A	N/A
108 min	0	N/A	N/A	N/A	N/A
Time point	72h-1 kainate	72h-2 kainate	72h-3 kainate	72h-4 kainate	72h-5 kainate
12 min	1	1	1	0	1
24 min	2	3	2	0	3
36 min	3	2	0	0	3
48 min	3	3	3	0	2
60 min	2	3	1	0	N/A
72 min	0	0	0	N/A	N/A
84 min	1	1	N/A	N/A	N/A
96 min	1	N/A	N/A	N/A	N/A
108 min	N/A	N/A	N/A	N/A	N/A

**Table 4:** 20 mice have been injected with 20mg/kg kainate and 5 control animals with saline. All mice in the kainate group show pronounced behavioral effects of the treatment whereas saline controls behave normally. The kainate effects exert a maximum around 36/48 min. after injection and almost return to basal levels within one hour of observation. Definition of behavioral scores: 1) Immobility and staring; 2) Forelimb and/or tail extension; rigid posture; 3) Repetitive movements, head bobbing; 4) Rearing and falling; 5) Continuous rearing and falling; 6) Severe tonic-clonic seizures.

lowing samples were finally chosen for hybridization onto arrays based on strongest behavioral phenotype: 4h-1 saline; 4h-2 saline; 4h-3 saline; 1h-1 kainate; 1h-2 kainate; 1h-4 kainate; 4h-1 kainate; 4h-2 kainate; 4h-3 kainate; 24h-1 kainate; 24h-2 kainate; 24h-5 kainate; 72h-1 kainate; 72h-2 kainate; 72h-5 kainate.

A collaboration with Dr. Anna Krichevsky in Prof. Kosik's laboratory (Harvard Medical School, Boston, USA) enabled us to use the first established array technology for miRNA analysis<sup>280</sup>. The arrays consisted of spotted antisense concatemers (Tri-mer oligonucleotides) of all miRNAs known at that time onto nitrocellulose filters. In total there were 275 features on the array including mismatch probes, hybridization controls and negative controls.

For sample preparation prior to the hybridization procedure RNA was isolated from the frozen hippocampal samples and size fractionated for a molecular weight lower than 60nt to enrich miRNAs. Radioactively labeled samples were finally hybridized in triplicates onto the arrays. After scanning of the exposed phosphorimager screens signal intensities were extracted, background signals were subtracted from the raw intensities and further analysed with the R packages limma, and beadarray.

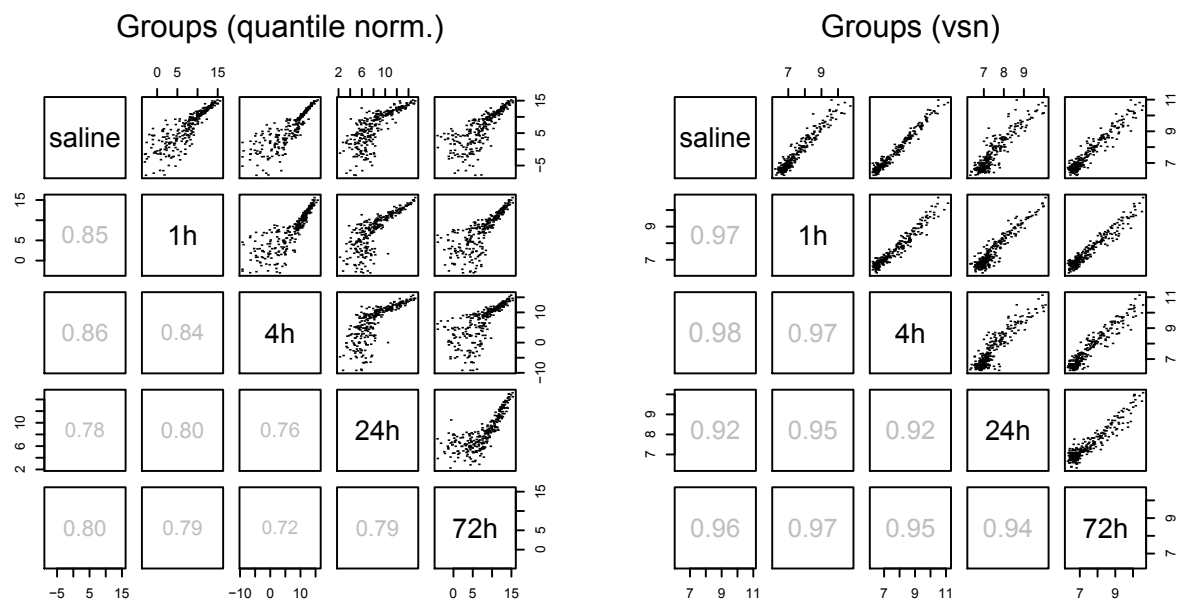
#### 3.3.3.3. *microRNA array data processing and analysis*

Despite the fact that microarray technology has developed into a standard method for molecular biology during the last decade no gold-standard for the analysis of such data emerged. This is in particular true for the use of array technology for miRNAs since this is a rather new approach. The first step in data processing of microarray data is the normalization (standardization). Analogous to other quantitative techniques for comparison of different datasets it is necessary to adjust the signal intensities. In microarray analysis, normalization aims at removing systematic effects (hybridization variance etc.), and at adjusting the data from different microarrays onto a common scale. Major sources of technical variation can be assumed due to the complex fabrication and hybridization chemistry of microarrays and thus the ideal normalization procedure would remove such technical variation from the dataset but retain the biological variance of the samples. Various mathematical strategies are used for this purpose with the following assumptions: Most genes are believed not to be differentially regulated and a linear relationship for the majority of genes is assumed. Two algorithms have been tested -one classical approach termed quantile normalization and a modern transformation called variance stabilization normalization (vsn). Quantile normalization is a non-parametric procedure that assumes that the distribution of expression values is nearly the same in all samples. Then to normalize each array for each value, the quantile of that value in the distribution of probe intensities (gene expression level) is computed and the original value is transformed to that quantile's value on a reference array (averaged over all arrays).

The result is that the empirical distribution of values on all arrays is equal and only the ranks of the probes within this given set of values are changed. That means the highest expressed gene on array A will have the same expression value as on all other arrays (B, C, D, ...) but the gene can change position from highest to lower places. Analog the values for all other genes are calculated. Vsn aims at stabilizing variance over the whole intensity range and is based on a mathematical function ( $\text{arsinh}$ )<sup>392</sup>. The rationale of this method is based on the observation that the variance of microarray data typically increase with their mean. For large intensities the function used for vsn is similar to the logarithmic function and for small intensities it is more similar to a linear function. This transformation finally results in a dataset in which the variance is homogeneously distributed over the whole intensity range and thus the dependency of variance and mean is removed. This is important to meet the assumptions in further statistical tests and makes results better comparable across intensities. Data normalization directly shapes and modifies the measured values and thus this procedure has a great impact on the resulting differential gene expression analysis.

As described quantile normalisation produces equal values for all normalized arrays whereas vsn shows a more mild influence on the data leaving some variation in the distribution. Of note is that vsn does not produce median centered data.

A basic challenge inherent to the amount of data generated by array methods is that such data can not be inspected individually. Thus data visualization techniques like scatter plots and statistical approaches like correlation analysis are of great help for quality control. In the following scatter plots each point represents the measured intensity of a miRNA probe in two conditions (either two experimental groups or the same biological sample measured on two arrays). One is assigned to the x-axis and the other to the y-axis. Genes with equal expression in both conditions line up around the diagonal whereas differentially expressed genes will be plotted distant from the diagonal. Also non-linear effects can be identified if the plotted points align to a curved shape. In figure 26 both linearity of the overall shape and fitting to the diagonal are factors to be considered to estimate which normalization procedure leads to higher data quality. Another parameter calculated and shown in Fig 26 is the Pearson correlation coefficient which indicates the strength of a linear relationship between two variables. Again the two variables here are expression level either on two different arrays or within two different groups of samples. Mathematical correlation coefficients range from  $-1$  to  $+1$  but for array analysis only positive correlations are found. Here higher correlation coefficients are interpreted as better data quality. To estimate the quality of the different normalization approaches on our data scatter plots and correlation coefficients of grouped datasets have been analyzed using both quantile and vsn normalization procedures. Scatter plots and correlation coefficients compare two values in Fig. 26 the intensity of all genes in two experimental groups. Since the experimental design includes 5 groups (saline, 1h, 4h, 24, 72h) the pairwise results are shown in a matrix.

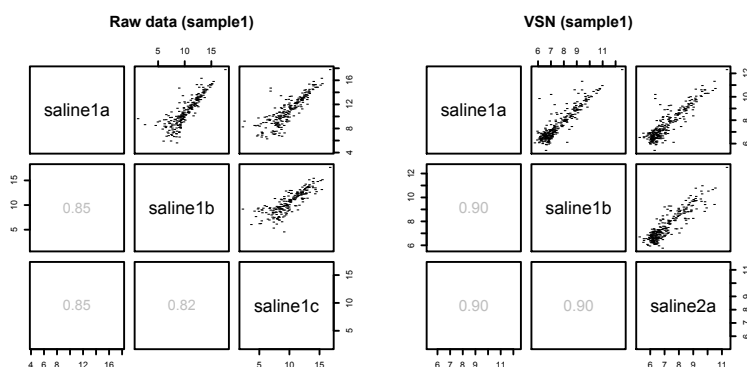


**Figure 26: Effect of different normalization procedures on grouped expression data.** To evaluate the optimal normalization procedure for the given dataset two normalization procedures are compared. Pairwise scatterplots (upper right) and correlation coefficients (lower left) in each panel are shown for both normalization methods (quantile normalization on the left and vsn on the right). The diagonal indicates the according group comparisons. On the upper right scatter plots and on the lower left the correlation coefficients are shown.

### 3. Results

From the group comparison the vsn method appears to be superior to the quantile normalization. In general the correlation coefficients are higher for vsn  $0.96 \pm 0.02$  as compared to quantile normalization  $0.80 \pm 0.04$ . Additionally the shape of the scatter plots fit better to the diagonal. Especially the plotted points of all four scatterplots including the 24h group of the quantile normalized data show a curved shape indicating non-linear effects. Due to this result the following analyses are shown only for the vsn data although everything was calculated in parallel also with quantile normalized data.

The true biological variation due to the kainate treatment is not known a priori and therefore it is difficult to judge what normalized data better represent true expression levels. Scatter plots and correlations of technical replicates are easier to interpret since it is known that the expression levels are equal for all genes in both samples. Nevertheless, these are only a subset of all measured samples and normalization has to be performed over all arrays. Figure 27 shows representative scatter plots for technical replicates. Three replicates of sample 1 of the saline group before and after normalization are again compared pairwise. Minor deviations of the angle from the diagonal seen in raw data for example when comparing saline 1b with saline 1c are adjusted after normalisation. Additionally the mean correlation coefficient across all samples was  $0,79 \pm 0,13$  for the raw data and  $0,86 \pm 0,13$  for vsn normalized data. Thus also for technical replicates vsn normalization is increasing data accuracy.

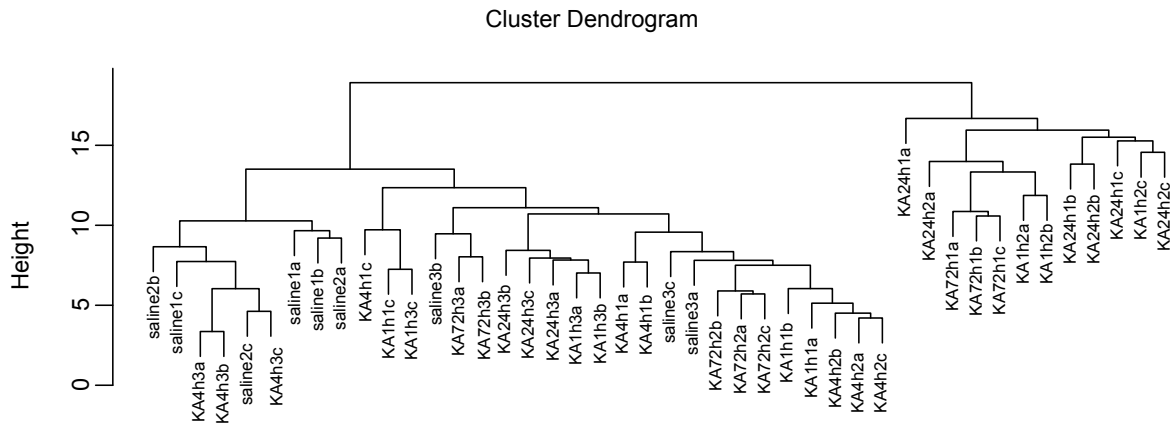


**Figure 27: Effect of different normalization procedures on technical replicates.**

The technical replicates of sample 1 of the saline treated animals are compared representatively. Pairwise scatterplots (upper right) and correlation coefficients (lower left) in each panel are shown before (left) and after vsn normalization (right). The diagonal indicates sample comparisons. On the upper right scatter plots and on the lower left the correlation coefficients are shown.

To check if there is a technical problem with some individual samples that might be rejected as outliers from further analysis one can use hierarchical clustering methods which is a mathematical procedure calculating a distance matrix across the gene expression profiles of all samples. This produces a measure for dissimilarity of expression profiles and clusters the samples accordingly. Initially, each object is assigned to its own cluster and then the algorithm proceeds iteratively, at each stage joining the two most similar clusters, continuing until there is just a single cluster. The output can be visualized in dendrograms as shown in figure 28.

For vsn data the samples are distributed into two main clusters that are further divided into a dense tree of subclusters showing high similarity of the measured array samples. In the cluster



**Figure 28: Dendrogram showing the results of hierarchical clustering for all measured samples.** The clustering of samples shows similarities of gene expression profiles. The closer two samples are clustered the more similar expression profiles they have and the height on y-axis is a numerical measure for similarity. The samples fall into two main clusters with dense trees of subclusters. There is no obvious pattern seen in the clusters according to technical replicates or treatment groups.

analysis no clear pattern can be recognized since the samples belonging to the same experimental groups do not always cluster together. Also technical replicates that in theory should have the most similar expression profiles are not always closest neighbors although there might be a trend that these samples are more similar than the others. Nevertheless, the cluster analysis gives no indication that there are particular samples seen as clear outliers and thus all samples are fed into further statistical analysis.

Thus all samples are used for an ANOVA based statistical test that produces F-values giving the probability that one or more of the experimental groups differ from the others. The test statistics is calculated over all miRNA probes in all samples. Due to high number of tests when analyzing array data a lot of positive findings can be expected by chance. To compensate for that effect the p-values need to be corrected for multiple testing. In this case a false discovery rate (FDR) approach has been used. A false discovery rate of 10% should be interpreted that by chance 10% of the findings are false positives. In general the threshold for genes considered to be differentially regulated is at the 1% level. Table 5 shows the top 50 regulated probes ordered by p-value.

The first seven probes give significant test statistic after correction for multiple testing at a 1% false discovery rate level. Surprisingly one background and two control probes (x) are estimated to be significantly regulated.

Beyond that the top regulated candidate is a tRNA showing a 1.7 fold down regulation as strongest regulation 24h after kainate treatment. This leaves only 3 miRNA probes mir 214, mir 327, mir 321 putatively regulated in hippocampal neurons after kainate stimulation using the described analysis. Mir 214 is constantly down regulated throughout all timepoints showing no clear time course, mir 327 increases expression over time from negative to positive regulation over time and mir 321 also negatively regulated showing monotonic time curve.

### 3. Results

Table 5: Top 50 probes on miRNA array.

ID ELPS-	Name	T01	T04	T24	T72	AveExpr	F	P.Value	adj.P.Val
27	Thr tRNA	-0.27	-0.32	-1.71	-0.37	13.30	9.143	0.000019	0.005359
187	mir214B	-0.93	-0.57	-1.75	-0.79	11.98	8.404	0.000042	0.005796
150	x	-0.52	0.04	-1.11	-0.82	11.59	7.886	0.000074	0.006746
78	mir327	-0.07	-0.27	0.25	0.69	12.58	7.375	0.000129	0.008131
200	mir-321	-1.63	-0.39	-1.86	-1.06	13.02	7.254	0.000148	0.008131
271	background	0.10	0.03	0.81	0.13	10.78	6.804	0.000246	0.009903
168	x	-0.42	-0.08	-0.98	-0.60	11.43	6.783	0.000252	0.009903
188	mir217B	-0.52	-0.17	-0.98	-0.35	11.82	6.602	0.000310	0.010673
214	mir-107	-0.51	0.30	-0.70	-0.59	11.69	5.780	0.000818	0.024993
48	mir131	0.73	0.15	0.75	0.27	13.26	5.630	0.000979	0.026934
152	x	-1.25	-0.37	-2.36	-0.85	14.17	5.321	0.001428	0.035708
157	x	0.00	-0.47	0.02	0.91	14.55	5.042	0.002015	0.046176
176	x	-0.41	-0.09	-0.82	-0.31	11.93	4.948	0.002267	0.047954
236	mir-290	0.42	-0.06	0.97	1.61	13.17	4.395	0.004564	0.089652
117	mir142-sT	0.03	-0.19	-0.04	0.74	11.63	4.151	0.006241	0.114423
135	x	0.01	-0.24	-0.09	0.62	12.94	4.025	0.007355	0.126421
59	rG1-as	0.75	-0.09	0.83	0.42	14.59	3.863	0.009082	0.139454
72	mir30dLonger	-0.57	0.06	-0.48	-0.22	14.04	3.859	0.009128	0.139454
139	x	-0.73	-0.43	-0.55	-0.42	11.25	3.795	0.009935	0.143801
129	miR198T	-0.15	-0.25	-0.55	0.22	12.63	3.669	0.011718	0.158924
61	let-7bR	-0.34	0.49	-0.25	-0.15	14.84	3.608	0.012705	0.158924
34	mir128	0.76	0.23	0.64	0.53	13.46	3.608	0.012714	0.158924
28	Ile tRNA	-0.71	-0.73	-1.70	-0.43	13.75	3.558	0.013573	0.162285
239	miR-292-5p	0.38	0.03	0.41	1.15	11.92	3.489	0.014877	0.169135
55	mir124a-mis1	0.41	0.04	0.54	1.05	14.08	3.464	0.015381	0.169135
62	let-7dR	0.08	0.65	-0.20	0.04	15.23	3.422	0.016260	0.169135
46	mir125b	0.73	-0.04	0.34	-0.01	13.81	3.406	0.016606	0.169135
189	mir222B	-0.09	0.13	-0.24	0.55	12.14	3.316	0.018745	0.184103
197		0.06	-0.05	0.44	0.14	10.70	3.225	0.021158	0.200477
70	mir29aR	0.69	0.37	0.75	0.49	14.64	3.200	0.021870	0.200477
140	x	0.25	-0.13	0.33	0.71	13.97	3.170	0.022785	0.202129
270	background	0.14	-0.32	0.95	-0.06	10.98	3.089	0.025393	0.211865
77	mir325	0.23	0.17	-0.08	-0.07	10.90	3.067	0.026153	0.211865
268	background	0.18	-0.16	0.96	0.24	11.02	3.066	0.026194	0.211865
63	let-7iR	0.40	0.76	-0.02	-0.05	12.36	3.031	0.027459	0.215753
18	partOfMir351	0.11	0.07	0.09	0.42	11.00	2.935	0.031248	0.233300

Table5: Top 50 probes on miRNA array (continued).

ID ELPS-	Name	T01	T04	T24	T72	AveExpr	F	P.Value	adj.P.Val
94	mir352	-0.04	0.49	-0.26	-0.28	12.29	2.925	0.031707	0.233300
167	sim to mir106b	0.01	0.02	0.49	0.19	10.70	2.912	0.032238	0.233300
29	x	-0.23	-0.07	-0.91	-0.28	11.91	2.888	0.033305	0.234842
207	miR-28	-0.07	0.23	-0.05	0.00	10.82	2.836	0.035730	0.245643
57	mir129-2*-mis2	0.48	0.00	0.81	0.43	12.12	2.805	0.037277	0.248687
181	mir34a	0.31	-0.16	-0.21	0.95	13.19	2.791	0.037981	0.248687
144	x	0.00	0.01	0.26	0.11	10.61	2.761	0.039581	0.253131
95	let-7gL	-0.08	0.35	-0.04	-0.35	13.35	2.679	0.044212	0.268581
87	mir341	0.43	-0.19	0.24	0.17	12.22	2.678	0.044314	0.268581
3	mir98	0.22	0.49	-0.05	-0.21	12.79	2.667	0.044926	0.268581
203	mir-7	-0.48	0.18	-0.50	-0.58	11.45	2.582	0.050445	0.290427
266	background	0.36	-0.07	1.39	0.63	11.14	2.579	0.050693	0.290427
241	miR-293	0.03	0.02	0.50	0.01	10.69	2.537	0.053682	0.295021
133	mir106b	-0.38	0.19	-0.34	-0.27	13.30	2.527	0.054382	0.295021

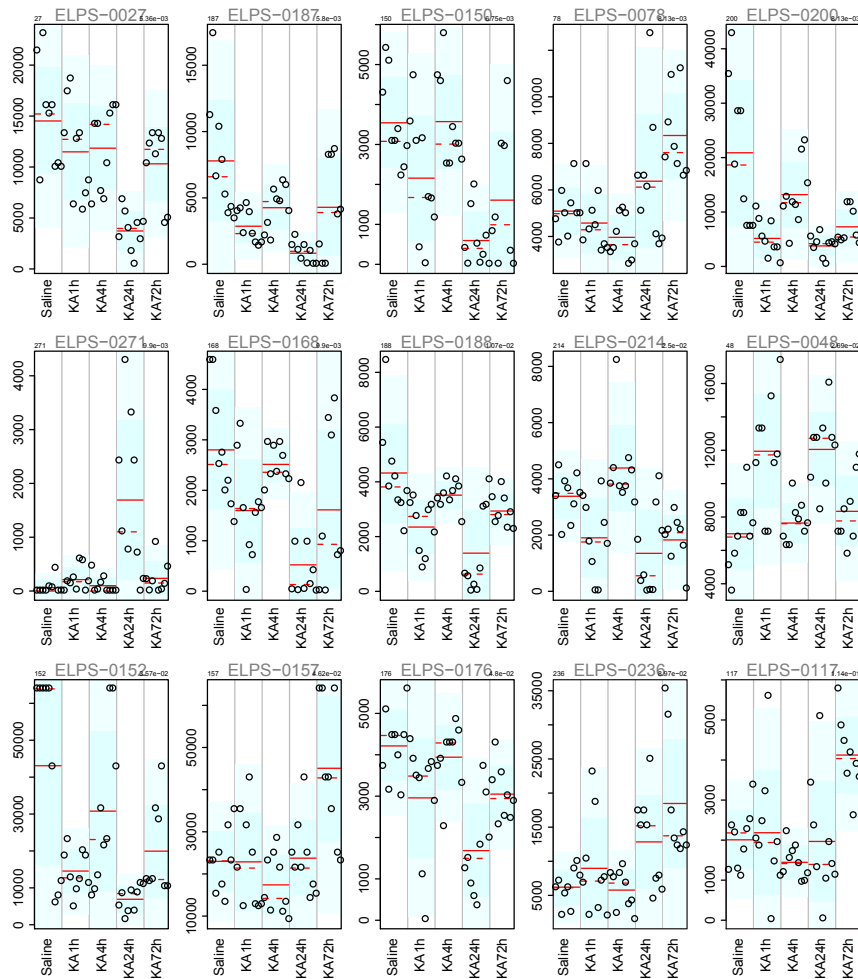
**Table 5:** Out of 275 measured probes only 7 are under a FDR of 1% (14 under 10%) and marked with red cell border lines for being differentially expressed by kainate treatment.

To have a more detailed view at the individual expression levels for each sample figure 29 shows the expression plots of the top 15 candidate genes. Inspection of the expression plots strengthens the first impression from the result tables. In general the variation of the individual measurements is rather high which might be the reason for only few probes passing statistical significance criteria. Additionally it seems not be the case that statistics might be interfered by single outlier probes that should be excluded for that reason. The statistical calculations have been performed additionally for quantile normalized data (not shown). This alternative normalization reduces the significantly regulated probes to that of the tRNA. The expression plots differ in detail to that of vsn but show remarkable similarities. Summarizing the miRNA array analysis one can say that none of the shown miRNAs shows a strong and robust effect of kainate treatment over all samples in the experimental groups.

Nevertheless some of the candidate miRNAs have been subjected to validation by Northern blot at the Harvard Medical School. This independent method (data not shown) was also unable to reveal differential expression of miRNAs in the hippocampus of kainate treated mice.



### 3. Results



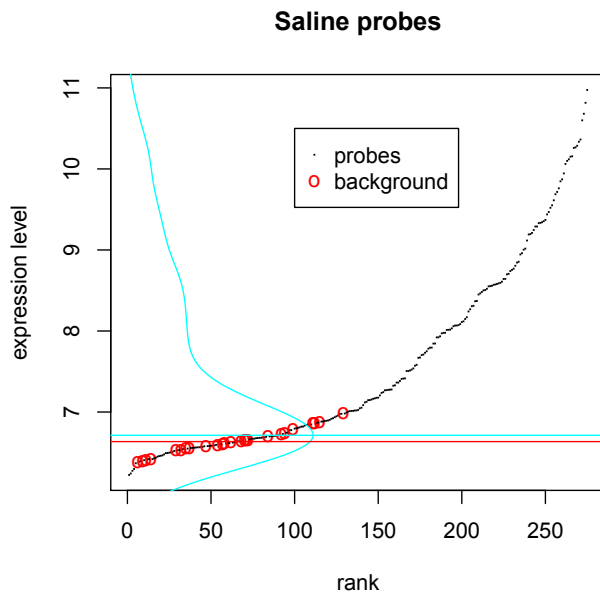
**Figure 29: Expression plots of the top 15 regulated probes on the array after kainate treatment.** Every graph on the panel represents one feature (gene) on the array (labeled with its identifier code). The order and identifier code corresponds to the result table (Table 4). All measured samples are shown individually and the treatment groups are separated by gray lines. The groups are ordered from left to right: saline, 1h, 4h, 24, 72h after kainate treatment. Every circle indicates the vsn normalized intensity of one probe. For the experimental groups every graph includes basic descriptive statistical measures: Red lines indicate mean value and red dashed lines median; the light blue shading represents the standard deviation of each group. Scaling on y-axis is linear.

## 3.4. Expression studies of miRNAs

### 3.4.1. Catalog of miRNAs expressed in mouse hippocampus

Array technologies can not only be used to identify differences in gene expression among samples but also produce quantitative data of the transcriptome so called expression profiles. Thus, the data from saline treated animals were reanalyzed to establish the expression profile of miRNAs in the adult mouse hippocampus. Figure 30 shows that the distribution of miRNA expression in the hippocampus is similar to that observed from well known mRNA expression profiles. The values follow a monotonic function with increasing slopes towards higher expression.

Two independent approaches to separate expressed miRNAs from background noise have been



**Figure 30: Distribution of miRNA expression values in the mouse hippocampus.** All 275 probes on the array are shown, probes for miRNAs or hybridization controls are shown as black dots, background probes are shown in red circles. The data is very similarly distributed as seen for standard mRNA expression profiles. Red line shows mean background probe intensity. The blue vertical curve shows the frequency distribution over the values and the maximum value is shown as blue horizontal line which represents another measure for background level.

ing all miRNAs expressed at higher level than 7 on logarithmic scale are believed to be expressed in the hippocampus and shown in table 6.

**Table6: miRNAs expressed in hippocampus.**

	ID	Name	Expression
1	ELPS-0062	let-7d	10.816
2	ELPS-0061	let-7b	10.681
3	ELPS-0008	mir124ac	10.600
4	ELPS-0028	Ile tRNA	10.363
5	ELPS-0102	mir30a	10.267
6	ELPS-0070	mir29a	10.151
7	ELPS-0044	mir9	10.119
8	ELPS-0200	mir-321	10.099
9	ELPS-0069	mir22	10.061
10	ELPS-0027	Thr tRNA	9.945
11	ELPS-0046	mir125b	9.761
12	ELPS-0099	mir26a	9.716
13	ELPS-0133	mir106b	9.688
14	ELPS-0095	let-7g	9.613
15	ELPS-0120	mir153	9.521
16	ELPS-0098	mir24	9.469
17	ELPS-0017	mir130b	9.439
18	ELPS-0034	mir128	9.371

applied. Since there are mismatch probes on the array that are not detecting any known miRNAs these values can be used for the estimation of signal intensity derived from unspecific binding. Such annotated background probes are highlighted in figure 30 with red circles. The mean value of these probes is indicated by the red horizontal line at 6.64. Background probes show moderate intensities and the ratio average probe intensity to background intensity is factor 2 which is similar to mRNA microarrays. Another approach for background determination looks for the distribution of all values and identifies the maximum of the distribution curve shown as vertical blue curve. The expression intensity of the maximum is highlighted by a blue horizontal line. Interestingly both methods lead to very similar results for background levels. In the follow-

	ID	Name	Expression
19	ELPS-0181	mir34a	9.356
20	ELPS-0161	mir30d	9.342
21	ELPS-0106	mir125a	9.335
22	ELPS-0071	mir30c	9.331
23	ELPS-0088	mir343	9.289
24	ELPS-0048	mir131	9.246
25	ELPS-0129	miR198	9.210
26	ELPS-0187	mir214	9.196
27	ELPS-0101	mir29b	9.191
28	ELPS-0003	mir98	9.117
29	ELPS-0236	mir-290	9.017
30	ELPS-0113	mir137	9.004
31	ELPS-0032	mir138	8.960
32	ELPS-0078	mir327	8.945
33	ELPS-0246	mir-298	8.855
34	ELPS-0121	mir154	8.845
35	ELPS-0094	mir352	8.805
36	ELPS-0052	mir103	8.754

### 3. Results

**Table 6: miRNAs expressed in hippocampus (continued).**

	ID	Name	Expression		ID	Name	Expression
37	ELPS-0188	mir217	8.739	76	ELPS-0090	mir256	7.709
38	ELPS-0023	mir103	8.643	77	ELPS-0041	mir328	7.703
39	ELPS-0119	mir143	8.642	78	ELPS-0222	mir-188	7.672
40	ELPS-0087	mir341	8.609	79	ELPS-0019	mir344	7.669
41	ELPS-0189	mir222	8.588	80	ELPS-0125	miR185	7.582
42	ELPS-0011	mir30b	8.574	81	ELPS-0226	mir-195	7.538
43	ELPS-0026	mir218	8.570	82	ELPS-0132	mir92	7.513
44	ELPS-0100	mir27	8.558	83	ELPS-0049	mir132	7.506
45	ELPS-0110	mir134	8.554	84	ELPS-0131	miR204	7.506
46	ELPS-0036	mir347	8.551	85	ELPS-0009	mir191	7.416
47	ELPS-0214	mir-107	8.521	86	ELPS-0124	miR184	7.413
48	ELPS-0063	let-7i	8.505	87	ELPS-0230	mir-207	7.409
49	ELPS-0250	mir-320	8.502	88	ELPS-0164	mir199a*	7.364
50	ELPS-0104	mir101	8.464	89	ELPS-0103	mir30a*	7.363
51	ELPS-0007	mir181a	8.450	90	ELPS-0229	mir-202	7.329
52	ELPS-0097	mir23b	8.371	91	ELPS-0043	mir351	7.324
53	ELPS-0203	mir-7	8.241	92	ELPS-0016	mir342	7.278
54	ELPS-0068	mir21	8.148	93	ELPS-0105	mir124b	7.275
55	ELPS-0031	mir19b	8.111	94	ELPS-0040	mir345	7.274
56	ELPS-0042	mir221	8.085	95	ELPS-0186	mir210	7.270
57	ELPS-0232	mir-212	8.072	96	ELPS-0047	mir127	7.236
58	ELPS-0064	mir15a	8.068	97	ELPS-0083	mir336	7.205
59	ELPS-0050	mir103	8.067	98	ELPS-0025	mir349	7.177
60	ELPS-0001	mir16	8.059	99	ELPS-0127	miR196	7.163
61	ELPS-0117	mir142-s	8.033	100	ELPS-0005	mir129-2*	7.155
62	ELPS-0107	mir126	8.017	101	ELPS-0024	SimToMir324-3p	7.148
63	ELPS-0239	miR-292-5p	8.015	102	ELPS-0089	mir346	7.146
64	ELPS-0114	mir139T	8.013	103	ELPS-0156	sim to 17-5p. mir106a	7.126
65	ELPS-0234	mir-219	7.978	104	ELPS-0211	miR-34b	7.085
66	ELPS-0080	mir332	7.977	105	ELPS-0077	mir325	7.053
67	ELPS-0128	miR197	7.971	106	ELPS-0035	mir329	7.046
68	ELPS-0065	mir15b	7.935	107	ELPS-0030	mir338	7.025
69	ELPS-0216	mir-138	7.922	108	ELPS-0202	mir-206	7.019
70	ELPS-0112	mir136	7.921	109	ELPS-0111	mir135	7.019
71	ELPS-0082	mir334	7.846	110	ELPS-0248	mir-300	7.014
72	ELPS-0086	mir340	7.795	111	ELPS-0033	let-7d*	7.013
73	ELPS-0006	mir323	7.794	112	ELPS-0237	miR-291-5p	7.004
74	ELPS-0244	mir-296	7.781				
75	ELPS-0109	mir133	7.750				

**Table 6:** Probes with array signals above background levels are ordered by signal intensity. Expression levels are shown on log<sub>2</sub>-scale and the first column indicates the rank within this list.

In the mouse hippocampus 112 miRNAs out of 275 measured probes are called expressed over background on the arrays presented here. In general tRNAs are among the highest expressed probes which serves as control. Among the highest expressed miRNAs are let7, mir 124, mir 125 and mir 9. Interestingly the mir 206 probe gives signals above background levels although

this miRNA is believed to be muscle specific in animal embryos<sup>265,300,304</sup>. The array is able to distinguish single mismatches of probe mir 124a since the signal drops from 1552 for a perfect matching probe to 921 and 308 respectively for probes with one or two mismatches to mir 124a. Nevertheless, all three probes give signals far above background level probably due to the high abundance of mir 124a in the hippocampal samples.

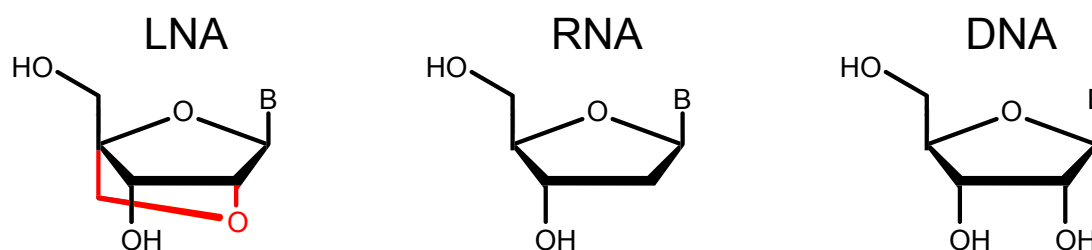
### 3.4.2. Development of an *in situ* hybridization technology for miRNAs

From the literature and array data it was apparent that miRNAs are abundantly expressed in the mammalian brain having putatively important roles. When dealing with unknown genes or as in this case an unknown gene class, detailed expression analysis is the prerequisite for candidate selection and functional studies. For that *in situ* hybridization is the most informative approach and thus a **specific and sensitive *in situ* hybridization protocol** for the detection of miRNAs on sections of mouse embryos and adult mouse brain was required but not yet available or reported. At first it seemed impossible to generate conditions in tissue in which a probe would specifically bind to mature miRNAs since they are only 21 nt in length and this results in a very low hybridization energy. A **discrimination of one or two mismatches is required** since for *in situ* detection mRNA species can not be removed from the tissue which greatly improved array approaches. Nevertheless, a publication showed for Northern blots the use of modified nucleotides that greatly enhances hybridization<sup>393</sup>.

Locked nucleic acid (LNA modified) nucleotides in the oligo probes increase the thermal stability of Watson-Crick basepairing and the reported data seemed promising for adapting that technology.

LNA nucleosides are a class of nucleic acid analogues in which the ribose ring is “locked” by a methylene bridge connecting the 2'-O atom and the 4'-C atom (Figure 31)<sup>299</sup>. This increases melting temperature due to conformational changes of the LNA/ DNA or LNA/RNA hybrid. Because of the high analogy to normal nucleotides this modification can be used in enzymatic reactions and is water soluble.

In first whole mount *in situ* hybridization protocols for miRNAs the successful use of DIG-labeled oligoprobes in zebrafish and mouse embryos could be shown<sup>300,301</sup>. Thus this chemical

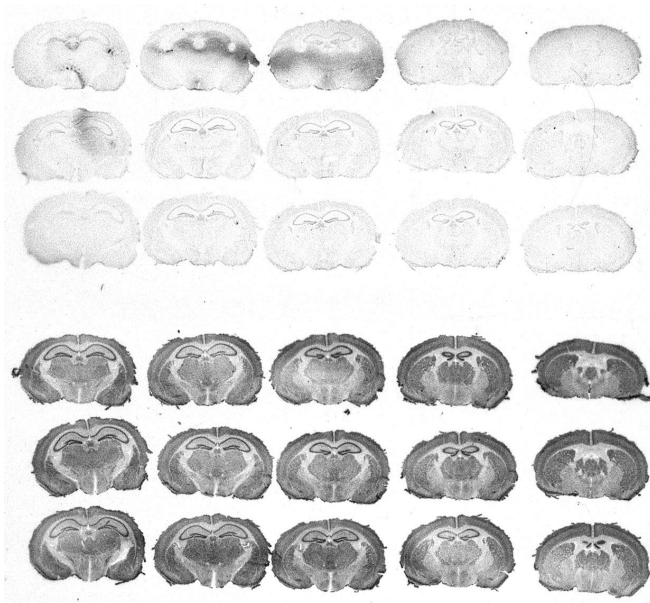


**Figure 31: Structure and features of locked nucleic acids (LNA).** The structure of LNA modified nucleotides (left) is shown in comparison to RNA (middle) and DNA (right). The conformational alteration increases thermal stability when hybridized to DNA or RNA.

### 3. Results

modification was adapted in oligo probes for radioactive *in situ* hybridization. To establish the protocol mir-124 was chosen to be detected on cryo sections of adult mouse brains since this was one of the highest expressed miRNAs in the array experiment and from the literature. After optimization of the radioactive end labeling procedure by terminal transferase first signals were obtained. As expected from published zebrafish whole mount *in situs* a strong signal from mir-124 was obtained (figure 32 bottom) as compared to no signal from a mir 206 specific probe (figure 32 top). Mir 206 was at that time believed to be muscle specific and was chosen as negative control. With these probes the hybridization conditions were stepwise further optimized and expanded to cryo and parafine sections of mouse embryos. After these encouraging results the strategy was chosen to establish non-radioactive miRNA oligo *in situ* hybridizations in a high throughput manner using a tecan robot. This seemed very appealing since oligo probes do not require time consuming cloning procedures. Unfortunately despite optimisation and signal amplification the signal to noise ratio although increasing was not sufficient for reliable and sensitive miRNA detection. As compared to the very strong signals for miRNA-124 obtained with manually processed radioactive signals the robot protocol did produce weak signals although the expression pattern was specific (Figure 33).

Thus the planned expression analysis was performed with the radioactive protocol and the manual procedure since the signal to background ratio is not sufficient for the analysis of low expressed genes on the robot.



**Figure 32: Establishment of an *in situ* hybridization protocol for miRNA analysis.** Initial setting experiments for the stepwise optimization of probe labelling and hybridization parameters on cryo sections of adult mouse brain. LNA modified oligo probes detecting mir-124a as positive control and a probe detecting mir-206 as negative control have been used. The results from autoradiography show quantitatively much higher signals for mir-124 (bottom) as for mir-206 (top).



**Figure 33: Automation of miRNA *in situ* hybridizations.** Adaptation of the manual oligo *in situ* protocol to the tecan *in situ* robot by detection of mir-124 on slices of E14 mouse embryos. The best obtained result shows the characteristic expression pattern. Nevertheless, the signal to background ratio is not sufficient for a detailed analysis.

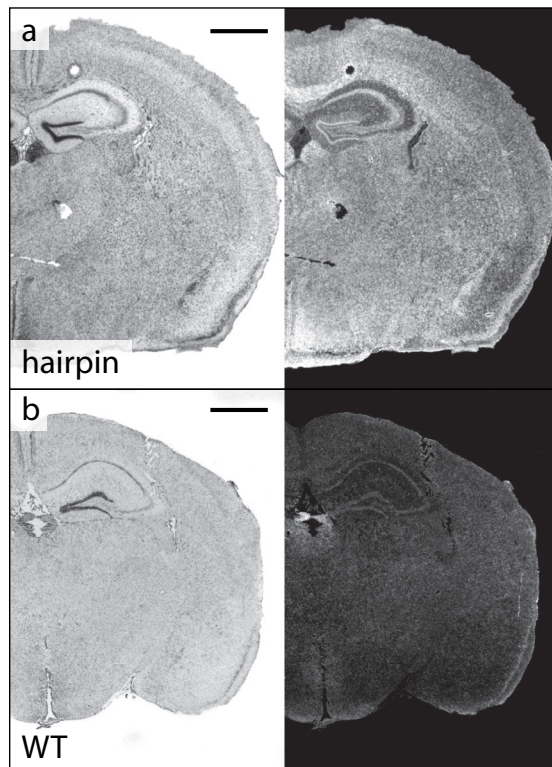


### 3.4.3. Expression analysis of candidate miRNAs with putative relevance to brain development or function

In the following experiments the expression patterns of eight miRNAs mir-1, mir-9, mir-124a, mir-125b, mir-132, mir-134, mir-206 and mir-219 was analyzed. Most of these genes had been connected with developmental roles or functions in the central nervous system. To get a detailed view on the dynamics of the expression in the developing mouse embryo paraffin-embedded tissue slices of embryonic stages E10 and E14 were prepared as well as brain slices of mouse embryos (E18) and adult mice. During optimization experiments a higher detection sensitivity was noticed on paraffin slides as compared to cryo sections.

#### 3.4.3.1. Establishment of a control for *in situ* hybridization protocol

To prove the quality of the newly established procedure on tissue sections a transgenic mouse line which had been established in the group was utilised<sup>361</sup>. This line expresses a short hairpin



**Figure 34: Detection of artificial miRNAs in transgenic mice by *in situ* hybridization.** Coronal sections of adult mouse brains have been hybridized with a LNA-modified oligo probe against an artificial hairpin transcript. Left side shows bright-field images to display anatomical structures and right side shows darkfield images of the hybridization signal. a) Transgenic animals expressing the artificial miRNA give strong and ubiquitous hybridization signals. b) WT littermates used as negative control show low background signals. For quantitative comparison both darkfield images have been acquired and processed identically. Scalebars resemble 1mm.

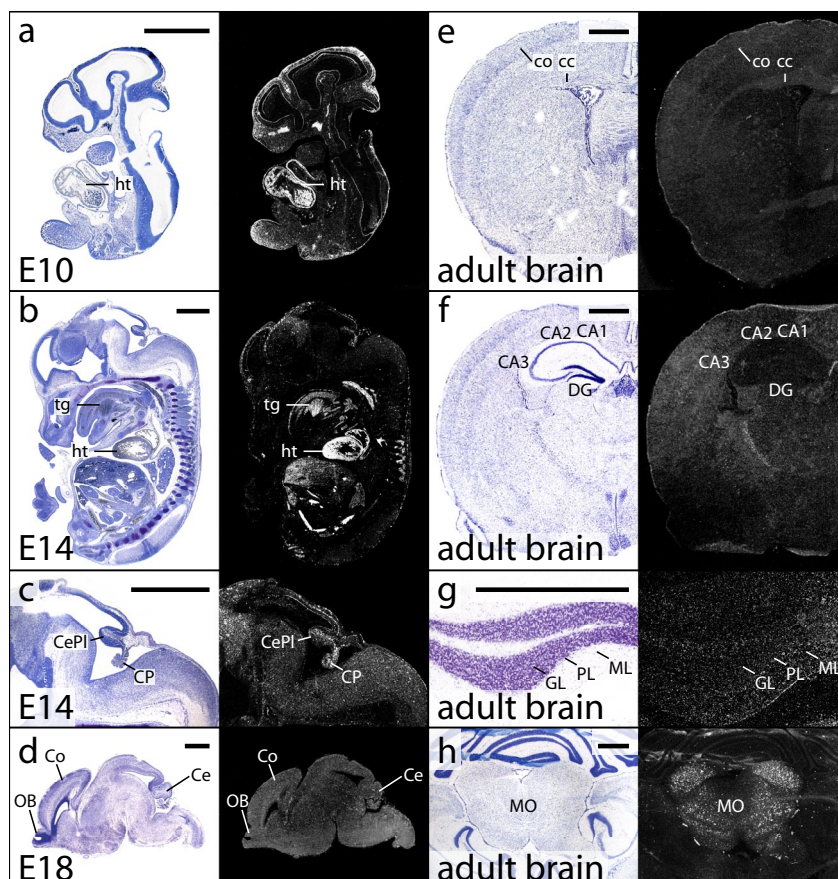
RNA (shRNA) under the control of the ubiquitously active U6 promoter.

The expressed shRNA is structurally related to miRNA precursors by mimicking the structure of a pre-miRNA transcript and is processed by Dicer analog to miRNAs<sup>394</sup>. An LNA-modified oligo probe was in turn designed against the mature transcript and hybridized onto brain slices of transgenic mice as positive control versus wildtype littermates as negative control. In animals that carry the transgene strong and ubiquitous expression signals were obtained throughout the whole brain (Fig.34a). On brain slices of wildtype animals the signal was at very low levels proving that the used experimental conditions lead to reliable results (Fig.34b) because the artificial hairpins are not encoded in the wildtype mouse genome. This experiment including an additional hairpin transgene (data not shown) served as reference to estimate the expected background noise in future experiments and the probes against artificial-hairpin derived short RNAs were used in subsequent experiments as detector for unspecific binding.

### 3. Results

#### 3.4.3.2. Expression analysis of *mir-1*

**mir-1:** The precursor of mmu-mir-1 is located at two genomic loci in the mouse genome on chromosomes 33 and 18. The mature miRNA from both loci is identical to which the used probe was complementary to. Mir-1 is already expressed in the young embryo at day 10 post fertilisation (Fig. 35a) and shows the strongest expression in the developing heart. Nevertheless, there is also weaker mir-1 expression in mesenchymal (35a) tissue throughout the whole embryo. This expression pattern is maintained at E14 with strongest signals of mir-1 expression in the heart and lower expression in muscle tissue e.g. the tongue (Fig. 35b). In addition one can also find expression in the central nervous system in which the choroid plexus and the cerebellar plate show significantly high expression (Fig. 35c). Later in brain development at E18 no specific expression of mir-1 is found (Fig. 35d). However, in the dorsal midbrain of the adult mouse although at low levels a novel expression site was indentified the medulla oblongata (Fig. 35h). In other brain regions we could not determine clear hybridization signals above background (Fig. 35e-g).

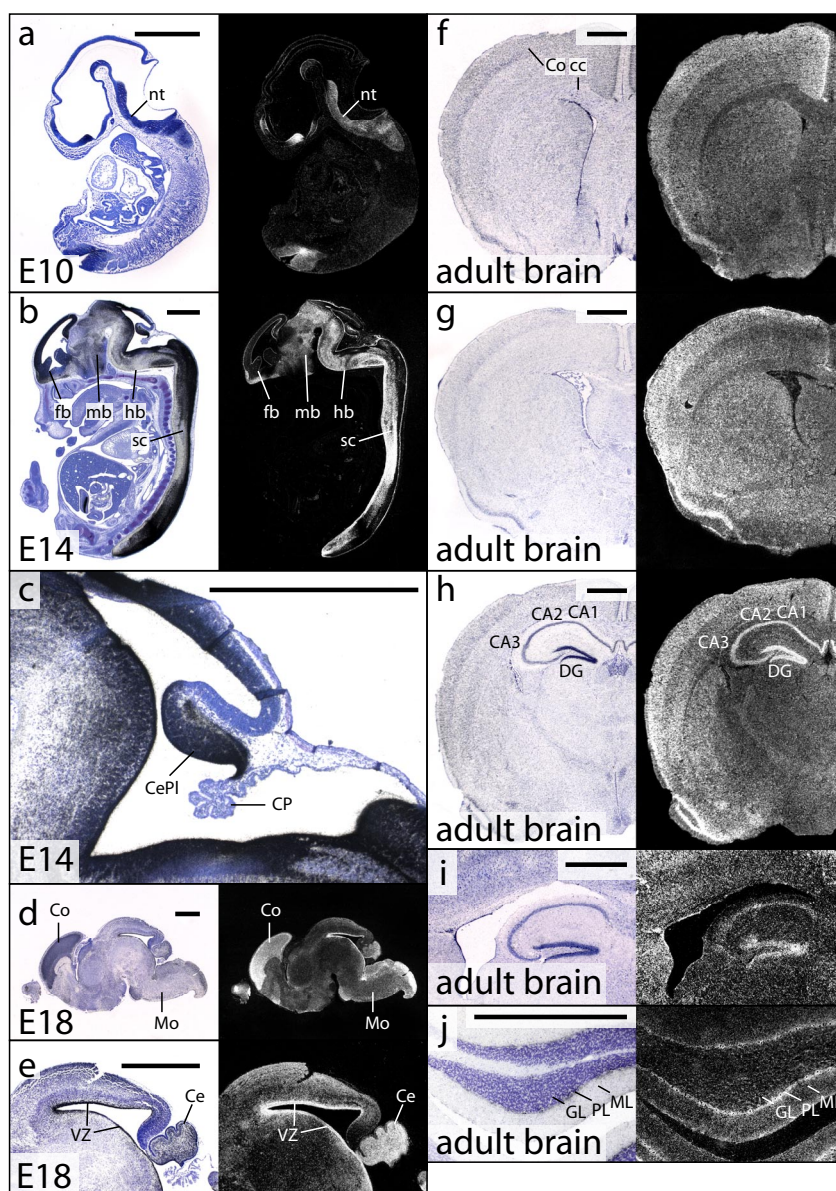


**Figure 35: Expression of mmu-mir-1 in the mouse.** *In situ* hybridizations of whole embryos E10 (a), E14 (b, c) and brains of E18 embryos (d) and adult mice (e-h) are shown. a, b, c, d, sagittal sections; e, f coronal sections; h transversal section. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal. ht = heart; tg = tongue; CP = choroid plexus; CePI = Cerebellar plate; OB = Olfactory bulb; Co = Cortex; Ce = Cerebellum; cc = corpus callosum; CA1 = CA1 field of the hippocampus; CA2 = CA2 field of the hippocampus; CA3 = CA3 field of the hippocampus; DG = Dentate gyrus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum; MO = Medulla oblongata. All scale bars resemble 1mm



### 3.4.3.3. Expression analysis of *mir-9*

**mir-9:** There are three *mmu-mir-9* precursors predicted in the mouse genome. Two copies of *mmu-mir-9-1* on a duplicated region on chromosome 3, *mmu-mir-9-2* on chromosome 13 and *mmu-mir-9-3* on chromosome 7. For *mir-9* there is also a transcript of the opposite arm *mir-9\** from all three precursors which is believed to be coexpressed with *mir-9*. Thus a probe against the mature *mir-9* sequence was used and found *mir-9* to be specifically expressed in the neural tube of mouse embryo E10 (Fig. 36a). Also during later embryogenesis at day 14 post fertilisation expression of *mir-9* is strictly restricted to the brain and the spinal cord (Fig. 36b). In these tissues of the central nervous system *mir-9* is highly abundant leading to very strong signals. In the brain it is striking that *mir-9* is mostly expressed in places where cell proliferation occurs like the ventricular zone (Fig. 36c). No signals were detected in brain regions of cell differentiation and the choroid plexus (Fig. 36c) as well as the inferior colliculus or the prominent brain



**Figure 36: Expression of *mmu-mir-9* in the mouse:**

*In situ* hybridizations of whole embryos E10 (a), E14 (b, c) and brains of E18 embryos (d,e) and adult mice (f-j) are shown. a-e, i, j sagittal sections; f-h coronal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal.

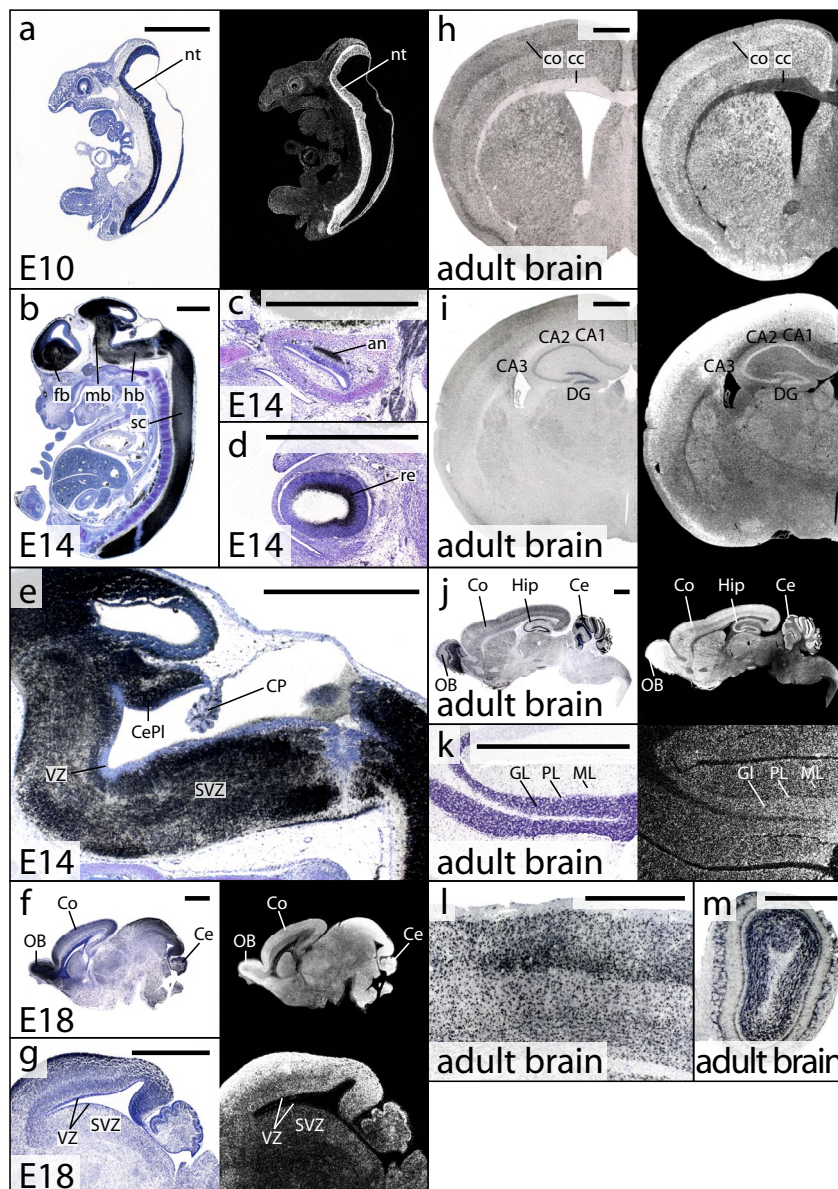
nt = neural tube; fb = forebrain; mb = midbrain; hb = hindbrain; sc = spinal cord; CP= choroid plexus; CePI = Cerebellar plate; Co = Cortex; Ce = Cerebellum; MO = Medulla oblongata; VZ = Ventricular zone; SVZ = Subventricular zone; cc = corpus callosum; CA1 = CA1 field of the hippocampus; CA2 = CA2 field of the hippocampus; CA3 = CA3 field of the hippocampus; DG = Dentate gyrus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.

### 3. Results

nerves (not shown). Interestingly the ventral part of the cerebellar plate also displays high mir-9 expression. In the later brain (E18) mir-9 expression remains strong and is still most prominent in the ventricular zone (Fig. 36e). Lower expression levels were additionally found in cortex and cerebellum. Notably, the ventricular zone is not anymore the most prominent expression site for mir-9 expression in the adult brain (Fig. 36g + i). At this stage there is expression throughout the whole brain, slightly increased in cortex and hippocampus (Fig. 36f-h) and a very specific signal was obtained in the purkinje cell layer of the adult cerebellum (Fig. 36j).

#### 3.4.3.4. Expression analysis of mir-124a

**mir-124a:** mmu-mir-124a is transcribed from three predicted precursor loci in the mouse genome, mir-124-1 on chromosome 14, mir-124-2 on chromosome 3, and mir-124 on chromosome 2. There is also a reported mature mir-124b sequence with a G insertion on position 12.



**Figure 37: Expression of mmu-mir-124a in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b-e) and brains of E18 embryos (f,g) and adult mice (h-m) are shown. a-g and j,k sagittal sections; h,i and l,m coronal sections. Figs c and d show details of E14 embryo: c cochlear tract with acoustic nerve and d developing eye with retina. For images a, f, g-k. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal

nt = neural tube; fb = forebrain; mb = midbrain; hb = hindbrain; sc = spinal cord; an = acoustic nerve; re = retina; CP= choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; OB = Olfactory bulb; Co = Cortex; Ce = Cerebellum; cc = corpus callosum; CA1 = CA1 field of the hippocampus; CA2 = CA2 field of the hippocampus; CA3 = CA3 field of the hippocampus; DG = Dentate gyrus; Hip = Hippocampus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.



The used probe was chosen to be specific for mmu-mir-124a since there are no indications for a mmu-mir124b variant in the mouse genome assembly. The expression starts before E 10 since high expression signals appear in the neural tube of the developing embryo (Fig. 37a). As soon as the central nervous system establishes mir-124a expression is strictly restricted to cells of neuronal origin with very high expression levels in the developing brain and spinal cord at E14 (Fig. 37b). Also efferent and afferent neurons give strong expression signals like the acoustic nerve (Fig. 37c), the retina (Fig. 37d), the Nervus trigeminus and the dorsal root ganglia (not shown). In contrast to mir-9 mir-124a is expressed in cell populations of the brain that are subjected to differentiation processes (Fig. 37e). Strong signals were detected in the subventricular zone and the corpus callosum whereas in the ventricular zone there is no indication for mir-124a expression. This specific absence of expression in ventricles is maintained through E18 (Fig. 37f-g) to the adult brain (Fig. 37h). Although there is very high abundance of mir-124a in probably all differentiated neurons the highest signals could be detected in the cerebellum, the hippocampus and the olfactory bulb of both E18 and adult brain (Figs 37f, j). There is a distinct expression pattern in the cortical layers of the adult brain which was not obvious at E18 (Figs. 37h, i, j, l). In the adult mouse brain the strongest expression is found in the granule cell layer of the olfactory bulb (Fig. 37m). The expression in the adult cerebellum is strong showing no differentiation of the cellular layers (Fig. 37k).

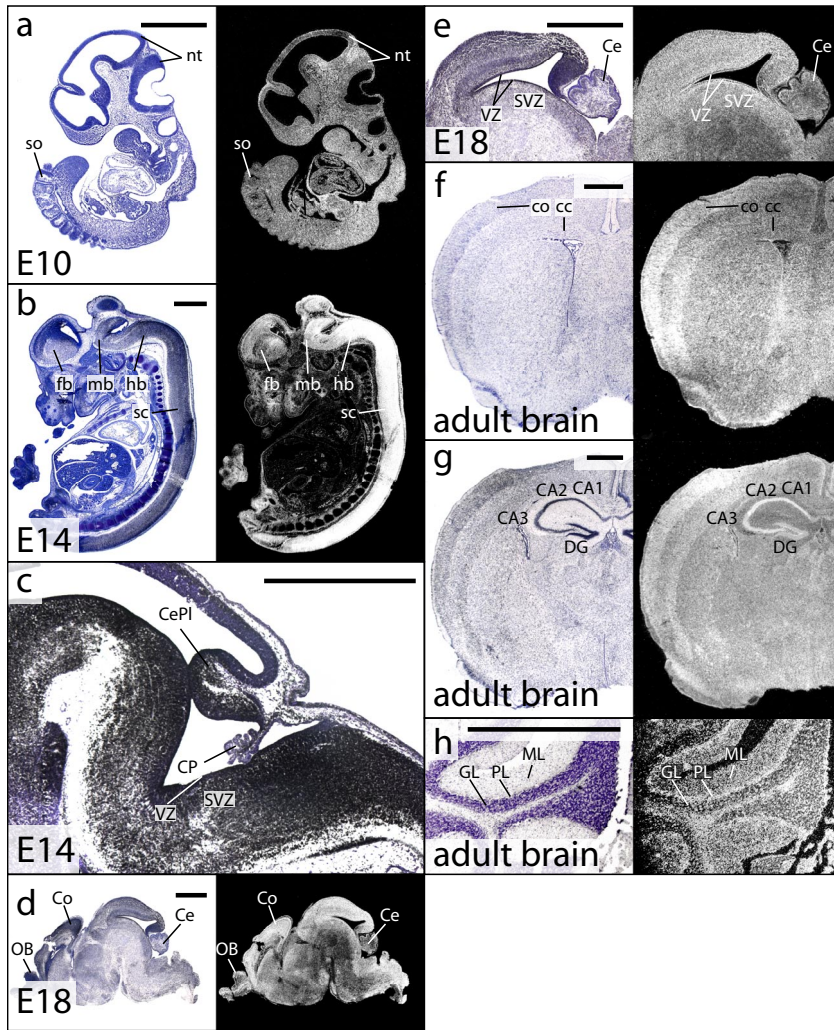
#### 3.4.3.5. Expression analysis of mir-125b

**mir-125b:** The precursor of mmu-mir-125b is located on chromosome 9 in the mouse genome and mature miRNAs are processed from both arms. In this work the better characterized mmu-miR-125b-5p was examined showing strong signals already at day 10 post fertilisation. The expression was ubiquitous and no distinct patterns were visible (Fig. 38a). This expression has changed in the E14 embryo. At this stage mir-125b expression is highest in the central nervous system but not as reported before<sup>300</sup> exclusive to the mid-hindbrain boundary (Fig. 38b). Nevertheless, a remaining expression in mesenchymal tissue was detectable throughout the embryo. Mir-125b also shows a strong expression throughout brain development where no cells were observed without positive *in situ* signal at stages E14 or E18 (Fig. 38c-e). This also holds true for the adult brain but in addition a novel pattern appeared in the cerebellum where the purkinje cells showed the most pronounced expression (Fig. 38h) although the expression remains strong throughout the entire brain (Fig. 38f-g).

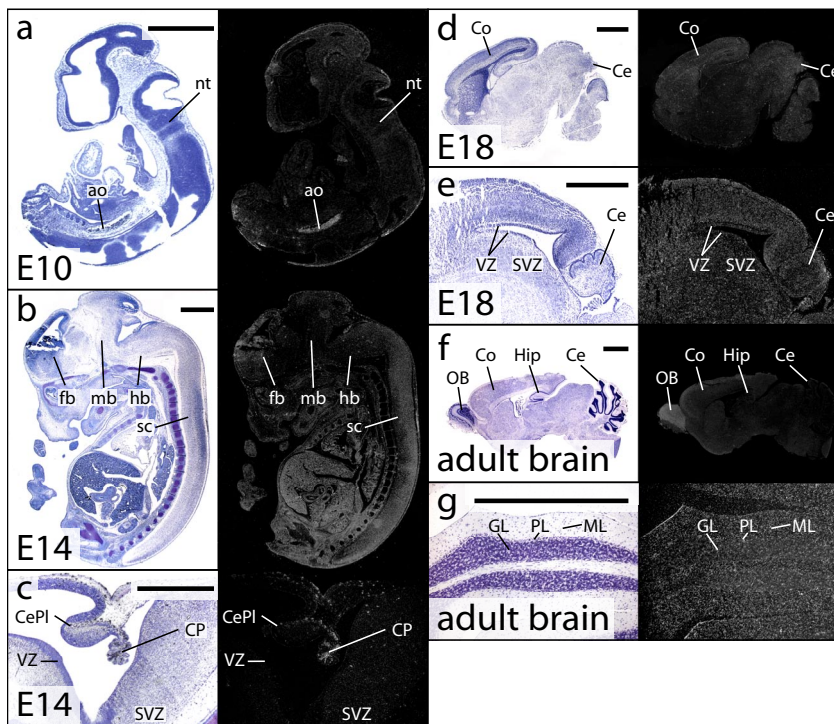
#### 3.4.3.6. Expression analysis of mir-132

**mir-132:** The precursor for mmu-mir-132 has been located to a single site on mouse chromosome 11 and so far only one mature form has been detected. Throughout the analysis in mouse embryos E10 and E14 mir-132 was not found expressed above background signal

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**Figure 38: Expression of mmu-mir-125b in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b,c) and brains of E18 embryos (d,e) and adult mice (f-h) are shown. a-e and h sagittal sections; f,g coronal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal, except for c which shows a brightfield image. nt = neural tube; fb = forebrain; mb = midbrain; hb = hindbrain; sc = spinal cord; so = somites; an = acoustic nerve; re = retina; CP= choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; OB = Olfactory bulb; Co = Cortex; Ce = Cerebellum; cc = corpus callosum; CA1 = CA1 field of the hippocampus; CA2 = CA2 field of the hippocampus; CA3 = CA3 field of the hippocampus; DG = Dentate gyrus; Hip = Hippocampus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.



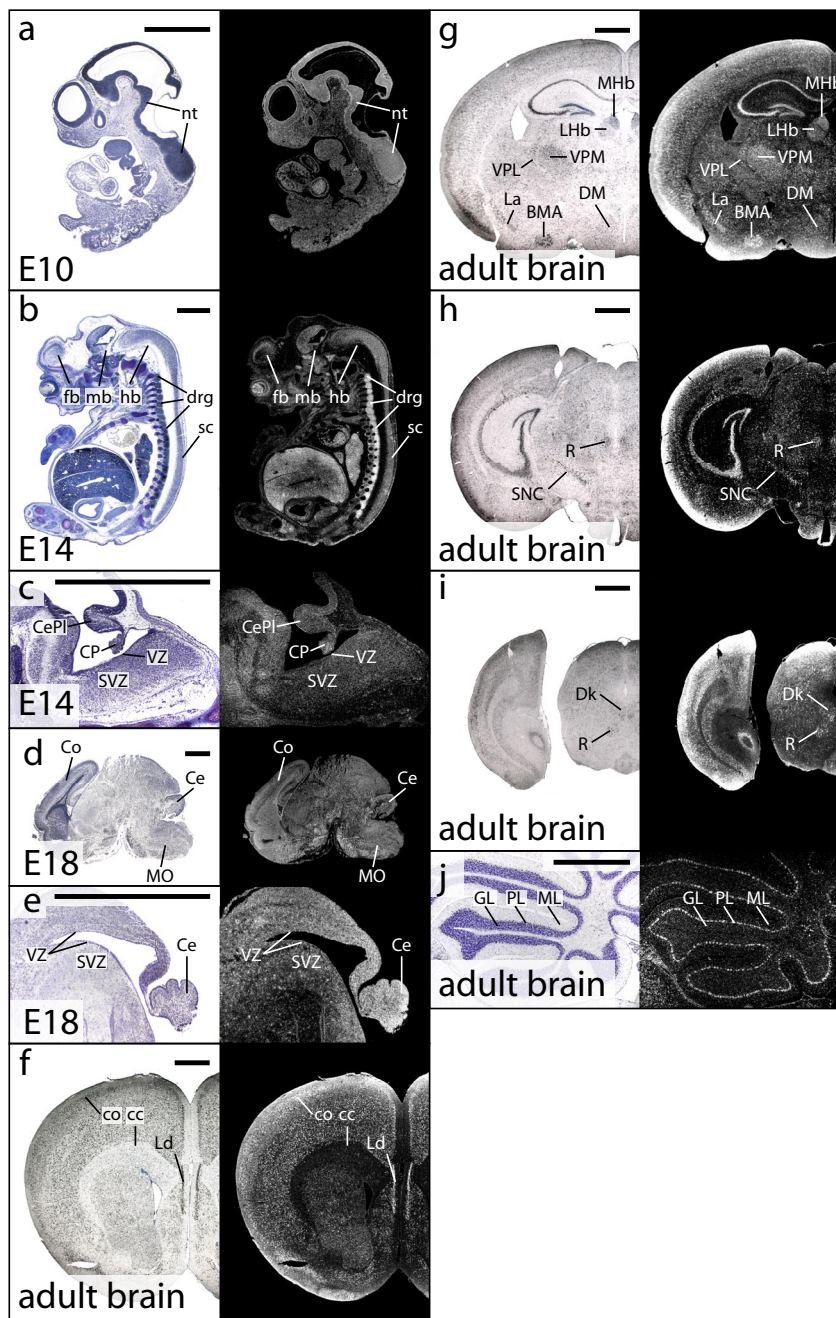
**Figure 39: Expression of mmu-mir-132 in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b,c) and brains of E18 embryos (d,e) and adult mice (f,g) are shown. a-g sagittal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal. nt = neural tube; ao = aorta; fb = forebrain; mb = midbrain; hb = hindbrain; sc = spinal cord; CP= choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; OB = Olfactory bulb; Co = Cortex; Ce = Cerebellum; DG = Dentate gyrus; Hip = Hippocampus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.



(Fig. 39a, b). The detected signal was not clearly different to the used negative control (probe against artificial hairpin not expressed in wild type animals). The choroid plexus gave the strongest signals in E14 embryos. This signal is still very weak but at levels that might be over background (Fig. 39c). In the developing brain of day 18 embryos and brains of adult mice there might be expression in the cortex and olfactory bulb, which was difficult to distinguish clearly from background signals (Fig. 39d-g).

### 3.4.3.7. Expression analysis of *mir-134*

**mir134:** The predicted precursor of mmu-mir134 is located in an intergenic region on mouse



**Figure 40: Expression of mmu-mir-134 in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b,c) and brains of E18 embryos (d,e) and adult mice (f-j) are shown. a-e-j sagittal sections; f-i coronal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal.

nt = neural tube; drg = dorsal root ganglia; sc = spinal cord; CP= choroid plexus; fb = forebrain; mb = midbrain; hb = hindbrain; sc = spinal cord; CP= choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; OB = Olfactory bulb; Co = Cortex; CC = corpus callosum; Ce = Cerebellum Ld = lambdoid septal zone; MHb; LHb = medial and lateral habenular nucleus; VPL, VPM = ventral posteromedial and ventral posteromedial thalamic nuclei; DM = dorsomedial hypothalamic nucleus; BMA = anterior part of the basomedial amygdaloid nucleus; La = lateral amygdaloid nucleus; SNC = substantia nigra compacta; R = Red nucleus; Dk = nucleus of Darkschewitsch; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.

### 3. Results

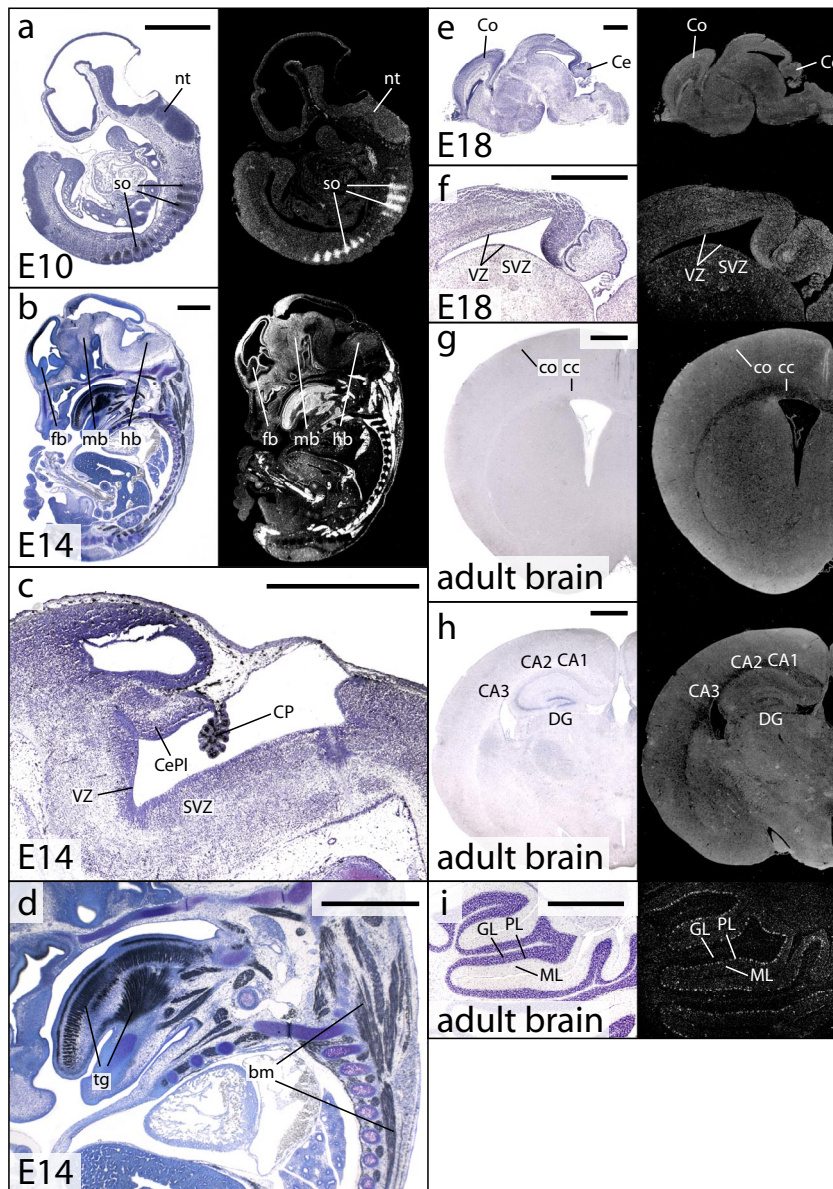
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chromosome 12. There is only one gene annotated from which one mature transcript is transcribed and the used *in situ* probe is complementary to the mature mir-134. In the young mouse embryo (E10) no clear differential expression pattern could be observed (Fig 40a). Expression is above the levels of the negative control but at modest levels. Nevertheless, there might be a slightly increased expression in the neural tube (Fig 40a). In the E14 embryo mir-134 is still expressed at low levels but present in the developing brain, spinal cord and pronounced in the dorsal root ganglia (Fig 40b, c). In the brains of E18 embryos (Fig 40d, e) and adult mice (Fig 40f-j) expression is increasing and a specific expression pattern is established. Notably a scattered expression in adult mouse brains was found indicating that not every cell is expressing mir-134. The expression was pronounced in cortical layers and the entire hippocampus. The strongest and most specific signals were found in specific cells of the lamdoid septal zone Ld (Fig 40f), the medial and lateral habenular nucleus Mhb, Lhb (Fig. 40g) and in most thalamic nuclei. The ventral posteromedial and ventral posteromedial thalamic nuclei VPL VPM, the dorsomedial hypothalamic nucleus (DM) and the anterior part of the basomedial amygdaloid nucleus (BMA) as well as the lateral amygdaloid nucleus (La) (Fig. 40g) gave expression signals. More rostral (Fig. 40h, i) we found most pronounced expression in the substantia nigra compact (SNC), in the Red nucleus R and in the nucleus of Darkschewitsch Dk. In addition strong expression could be detected in the purkinje cells of the cerebellum (Fig. 40h) but also in distinct cells of the medial cerebellar nucleus (not shown).

#### *3.4.3.8. Expression analysis of mir-206*

**mir-206:** Is located in a cluster with mir-133b on chromosome 1 and there is only one mature transcript processed to which the used probe is complementary. Expression starts in the early mouse embryo before E10 mostly in the somites (Fig 41a). In later development it shows a muscle specific expression in E14 embryos (Fig 41b). This results in a fiber shaped expression signal in all muscles e.g. in the tongue and the back muscles (Fig. 41d). Additionally a pronounced and specific expression is apparent in the choroid plexus (Fig. 41c). In the brains of E18 embryos (Fig 41e, f) and in adult mice (Fig 41g, h) mostly ubiquitous expression can be detected that is only slightly above background levels with pronounced expression in the hippocampus (not shown). In the cerebellum we obtained a clear pattern showing strong and specific mir-206 expression in the purkinje cell (Fig 41i).





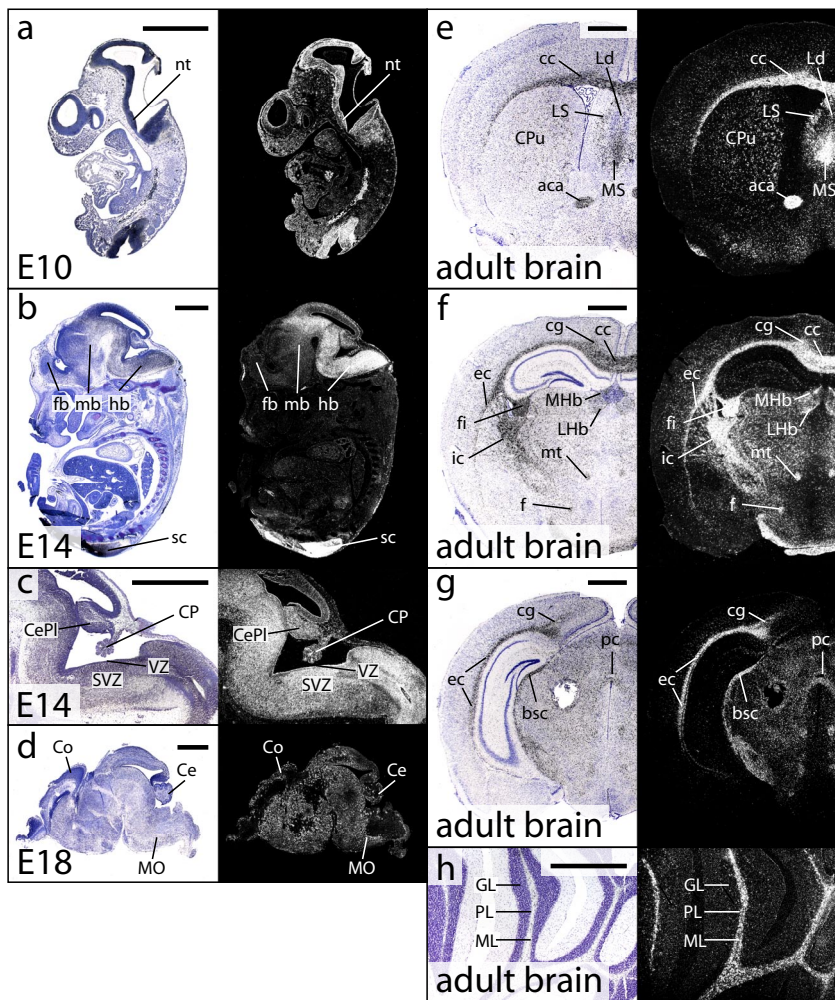
**Figure 41: Expression of mmu-mir-206 in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b-d) and brains of E18 embryos (e,f) and adult mice (g-i) are shown. a-f,i sagittal sections; g,h coronal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal.

nt = neural tube; so = somites; fb = forebrain; mb = midbrain; hb = hindbrain; CP= choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; tg = tongue; bm = back muscles; Co = Cortex; Ce = Cerebellum; cc = corpus callosum; CA1 = CA1 field of the hippocampus; CA2 = CA2 field of the hippocampus; CA3 = CA3 field of the hippocampus; DG = Dentate gyrus; Hip = Hippocampus; GL = Granular layer of the cerebellum; PL = Purkinje layer of the cerebellum; ML = Molecular layer of the cerebellum. All scale bars resemble 1mm.

#### 3.4.3.9. Expression analysis of mir-219

**mir-219:** Two hairpin structured precursors are predicted on mouse chromosomes 17 (mmu-mir-219-1 and chromosome 2 (mmu-mir-219-2). The processed transcript is believed to be identical from both loci to which the used probe is complementary. The mir-219 is highly expressed in the neural tube of E10 mouse embryos. But there is also sign of expression at lower levels throughout the whole embryo (Fig 42a). At stage E14 the expression is even more restricted to the central nervous system building up a gradient in the developing brain. The *in situ* hybridization shows highest signal levels for mir-219 in the hindbrain that are decreasing towards the mid-hindbrain boundary (Fig 42b). The choroid plexus and cerebellar plate show high expression (Fig 42c). There is also high expression in the spinal cord at comparable levels to that obtained from the hindbrain (not shown). In the brains of E18 mouse embryos ubiquitous expression. This changes in the adult brain where mir-219 is expressed in specific filamentous

### 3. Results



**Figure 42: Expression of mmu-mir-219 in the mouse:** *In situ* hybridizations of whole embryos E10 (a), E14 (b,c) and brains of E18 embryos (d) and adult mice (e-h) are shown. a-d,h sagittal sections; e-g coronal sections. Left side shows brightfield images of cresyl violet staining to display anatomical structures and right side shows darkfield images of the hybridization signal.

nt = neural tube; fb = forebrain; mb = midbrain; hb = hindbrain; CP = choroid plexus; CePl = Cerebellar plate; VZ = Ventricular zone; SVZ = Subventricular zone; MO = Medulla oblongata; Co = Cortex; Ce = Cerebellum cc = corpus callosum; LS, MS = lateral and medial septal nucleus; LD = lamdoid septal zone; aca = in the anterior part of the anterior commissure; Cpu = caudate putamen; cg = cingulum; ic, ec = internal and external capsule; mt = mammillothalamic tract; fi = fimbria; f = fornix; MHb, LHb = medial and lateral habenular nucleus; bsc = brachium superior colliculus; pc = posterior commissure. All scale bars resemble 1mm.

structures. Highest expression levels are in the corpus callosum cc, lateral and medial septal nucleus LS, MS, (but not in the lamdoid septal zone LD), in the anterior part of the anterior commissure aca and at lower levels throughout the caudate putamen (Cpu) (Fig. 42e). Moving caudal Fig 42f shows sites of highest mir-219 expression again in the corpus callosum (cc), cingulum (cg), the external capsule (ec) the fimbria hippocampus (fi) and the internal capsule (ic) but not in the medial globus pallidus. Prominent expression domains in the medial brain are the mammillothalamic tract (mt), and the fornix (f). Also high signals are detectable in the medial and lateral habenular nucleus (MHB, LHB). Furthermore, a different section (Fig. 42g) identifies the external capsule (ec), the brachium superior colliculus (bsc) and the posterior commissure (pc) as regions where mir-219 is highly expressed. Of note is also that we find distinct cells expressing mir-219 at more moderate levels in the cortex and mostly in thalamic structures. Again very high expression is shown in the white matter of the cerebellum (Fig. 42h) and in the medulla (not shown).

Since the dynamic range of printed microphotographs is limited the quantitative results are additionally summarised in a tabular format by comparing expression differences of the various miRNAs within the analyzed developmental stages. Tables 7 and 8 show various body structures

of E10 resp. E14 mouse embryos focussing on the central nervous system. Tables 9 and 10 summarize the data obtained in brains of E18 embryos and adult mice. Across all tables quantitative expression is classified in 6 bins: – no expression; ± signal in the range of background and negative controls; + expressed above background; ++ moderate expression; +++ strong expression; ++++ expression peaks of the probe.

**Table7: Quantitative comparison of the expression levels in E10 mouse embryos.**

E10										
			mir-1	mir-9	mir-124a	mir-125b	mir-132	mir-134	mir-206	mir-219
forebrain	neural tube	prosencephalon	+	+++	++++	+++	+-	++	+	++
midbrain		mesencephalon	+	+++	++++	+++	+-	++	+	+++
hindbrain		rhombencephalon	+	+++	++++	+++	+-	++	+	+++
	heart		++++	-	-	+++	+-	+	+	++
	aorta		++	-	-	+++	+	+	++	++
	mesenchym		++	-	-	+++	+-	+	+	+
	somites		++	-	-	+++	+-	+	++++	+

**Table 7:** Comparison of the expression levels of mmu-mir-1, mmu-mir-9, mmu-mir-124a, mmu-mir-125b, mmu-mir-132, mmu-mir-134, mmu-mir-206, mmu-mir-219 in E10 mouse embryos; – no expression; +- signal in the range of background and negative controls; + expressed above background; ++ moderate expression; +++ strong expression; ++++ expression peaks of the probe.

**Table 8: Quantitative comparison of the expression levels in E14 mouse embryos.**

E14									
	mir-1	mir-9	mir-124a	mir-125b	mir-132	mir-134	mir-206	mir-219	
forebrain	+-	+++	++++	++++	+-	+	+-	+	
midbrain	+-	+++	++++	++++	+-	++	+-	++	
hindbrain	+	+++	++++	++++	+-	++	+-	+++	
choroid plexus	++	-	++	++++	+-	++	++	+	
cerebellar plate	+	++	+++	++++	+-	+	+-	+	
ventricular zone	+-	++++	+-	++++	+-	+	+-	++	
subventricular zone	+	+++	++++	++++	+-	+	+-	++	
sensory nerves	-	-	+++	+-	+-	+	+-	+-	
spinal cord	+-	+++	++++	+++	+-	++	+-	+++	
basal ganglia	+-	+-	+-	+-	+-	+++	+-	+++	
heart	+++	-	-	+-	+-	+	+-	-	
muscle	++	-	-	+-	+-	+	++++	-	

**Table 8:** Comparison of the expression levels of mmu-mir-1, mmu-mir-9, mmu-mir-124a, mmu-mir-125b, mmu-mir-132, mmu-mir-134, mmu-mir-206, mmu-mir-219 in E14 mouse embryos; – no expression; +- signal in the range of background and negative controls; + expressed above background; ++ moderate expression; +++ strong expression; ++++ expression peaks of the probe.

### 3. Results

**Table 9: Quantitative comparison of the expression levels in E18 mouse embryos.**

E18 brain		mir-1	mir-9	mir-124a	mir-125b	mir-132	mir-134	mir-206	mir-219
forebrain	olfactory bulb	-	+++	++++	+++	+-	++	+	+-
	cortex	-	+++	++++	++++	+-	++	+	+-
	corpus callosum	-	+-	+++	+++	+-	+-	+-	+
	hippocampus	-	++	+++	+++	+-	+	+	+-
mid-brain	ventricular zone	-	++++	++++	++++	+-	+	+	+
	subventricular zone	-	++	+++	+++	+-	+	+	+
hindbrain	cerebellum	-	+++	+++	+++	+-	+	+	+

**Table 9:** Comparison of the expression levels of mmu-mir-1, mmu-mir-9, mmu-mir-124a, mmu-mir-125b, mmu-mir-132, mmu-mir-134, mmu-mir-206, mmu-mir-219 in E18 mouse embryos; - no expression; +- signal in the range of background and negative controls; + expressed above background; ++ moderate expression; +++ strong expression; ++++ expression peaks of the probe.

**Table 10: Quantitative comparison of the expression levels in the adult mouse brain.**

adult brain		mir-1	mir-9	mir-124a	mir-125b	mir-132	mir-134	mir-206	mir-219	
forebrain	olfactory bulb	+-	++++	++++	+++	+-	++++	+	+	
	cortex	+-	++++	++++	+++	+-	+++	+	+	
	corpus callosum	+-	++	+	+	+-	+-	+-	++++	
	hippocampus	CA1	-	++++	++++	++++	+-	+++	++	-
		CA2	-	++++	+++	++++	+-	+++	++	-
		CA3	-	+++	+++	++++	+-	++++	++	-
		DG	-	++++	+++	++++	+-	+++	++	+-
mid-brain	ventricular zone	+-	++	++	+++	+-	++	+	-	
	subventricular zone	+-	++	++	+++	+-	++	+	-	
hindbrain	cerebellum	granular layer	+-	++	++++	+++	+-	+-	+	+-
		purkinje layer	+-	+++	++++	+++	+-	+++	+++	+-
		molecular layer	+-	++	+++	+++	+-	+-	+	+-
		white matter	+-	++	+	+++	+-	+-	+	++++

**Table 10:** Comparison of the expression levels of mmu-mir-1, mmu-mir-9, mmu-mir-124a, mmu-mir-125b, mmu-mir-132, mmu-mir-134, mmu-mir-206, mmu-mir-219 in the adult mouse brain; - no expression; +- signal in the range of background and negative controls; + expressed above background; ++ moderate expression; +++ strong expression; ++++ expression peaks of the probe.



## 4. Discussion

### 4.1. Generation of a novel Pol-I based RNAi vector

A ribosomal minigene-based vector for expression of shRNA under the control of a mouse Pol I promoter termed pE3SP-shLuc has been created and functionally characterized in this work. The presence of the primary transcript of correct length is shown to be expressed by *in vitro* transcription assays from this new vector. Thereby formation of the primary transcript is not sensitive to inhibition by the Pol II- and Pol III-specific toxin  $\alpha$ -amanitin. This proves that the expression is initiated by a Pol I-specific promoter, and not by cryptic promoters for class II and class III RNA polymerases, whose activity might interfere with Pol I activity, as suggested previously<sup>395,396</sup>. Nevertheless, Northern blots were unable to detect the processed hairpin in the cell but this might be due to rapid incorporation into protein complexes or further processing steps. Interestingly, both the expected and longer primary transcripts were found, when a Pol III-dependent vector was used as a template in an *in vitro* transcription assay. This fact might suggest a read-through from the plasmid, probably due to leaky transcription termination in this vector. It was noted that the termination of transcription of Pol III relies strongly on the exact sequence of the termination signal and the proximity of the promoter<sup>397,398</sup>. As the shRNA insert is very short, it might lead to interference between the enzymatic machineries responsible for transcription initiation and termination. It would be interesting to investigate whether this longer transcript is exported to the cytoplasm and can serve as a template for further processing by Dicer, resulting in the generation of functional siRNAs. This might at least be one attractive explanation for the observed signal in Northern blots from a Pol III based vector pU6sh-luc which is not seen with the Pol I expression cassette. This aberrant transcript might be more stable or present at higher concentrations than the Pol I derived shRNA. Of course Northern blots are not suitable to define if this transcript is a functional substrate for RISC or not.

The novel system shows efficient silencing of firefly luciferase gene in mouse but not in human cells by using the pE3SP-shLuc. Finally also the gene Erk2 was targeted and silencing could be proven by Western blots. This additionally demonstrates the efficacy and specificity of the expression vector in silencing endogenous expression.

The species specificity of Pol I-dependent transcription provides an important advantage in terms of experimental biosafety, as compared to other types of vectors. RNAi-mediated down-regulation is frequently used to systematically reveal the function of unknown genes, often using viral vectors, such as lentivirus<sup>399-402</sup>. Lentiviral vectors currently used are designed to inactivate themselves once integrated into the genome. However, they still preserve the capacity to infect cells, including those of the human experimenter. The use of the mouse-specific Pol I promoter, which is silent in human cells, represents a considerable advantage. The next logical step in the

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development of Pol I-based expression vectors will be the generation of the Tet-inducible cassette to allow a regulated knockdown.

Pol III-based vectors are now extensively used to express shRNAs in numerous systems<sup>403</sup>. However, an alternative method to express short RNA molecules may be required for particular experiments. A need to screen or modify Pol III promoters in order to obtain a strong and reliable inhibition in a particular cell type or organ has been reported<sup>404,405</sup>. Occasionally, irreversible silencing of Pol III-dependent transcription was observed in stable expression systems. Thus, it would be desirable to systematically compare different Pol III- with Pol I-dependent vectors regarding efficiency, stability and toxicity issues.

To further broaden the scope of Pol I-driven expression vectors, other minimal ribosomal minigene vectors containing a multiple cloning site were constructed and might be of use for different applications. Potentially, they can be used to express other types of functional RNA molecules, such as snoRNAs or viral RNAs<sup>375</sup>. Finally, it would be desirable to generate a reporter vector, expressing for example luciferase downstream of an IRES signal for cap-independent translation. Up to now, the attempts to create such a reporter largely failed because of above-mentioned reservations concerning the presence of cryptic Pol II recognition sites in ribosomal minigenes. Only one publication reported a construction of such a vector, based on a human Pol I promoter<sup>406</sup>. However, the presented results strongly point to the specificity of Pol I-dependent transcription from these Pol I vectors.

In conclusion, the Pol I-driven expression vectors might be a useful addition to the palette of RNAi-based tools for silencing gene function.

### 4.2. *In vivo* RNAi

The application of *in vivo* RNAi in the adult mouse brain is a very challenging approach specifically when aiming at behavioral phenotyping. One important factor is the establishment of atraumatic and highly reproducible stereotactic injection conditions. That has been done for coordinates targeting the injection to the dorsal hippocampus. The use of a thin glass capillary has been shown to be highly beneficial for such an approach as compared to a large hamilton syringe. An additional important factor for successful injections was the application of a very slow injection speed like 1µl/15min. An injection automate and a liquid flooded system is not absolutely necessary for such a purpose. In the presented work air pressure was manually adapted to the system by a simple locking device and a standard syringe. This compresses the air in the tubing system and delivers a constant pressure to the liquid in the injection capillary which was shown to be sufficient for successful injection when done by a well trained person.

The direct injection of synthetic siRNAs is certainly the most appealing approach. But nevertheless the cellular delivery of the molecules into neurons in the hippocampus is at low levels and occurred mainly in glial cell populations of the dentate gyrus. This method was unable to



mediate detectable silencing of the targeted CBP. It was shown in a few cases that injections of naked siRNAs into the ventricle is able to mediate RNAi. Thereby very high doses of siRNAs are injected by osmotic minipumps over a period of time<sup>159,160</sup>. This is hardly practical since due to high siRNA consumption it is an extremely expensive approach. Beyond that, this injection has been shown to silence cell populations proximal to the ventricle other cell types or brain formations like the hippocampus are presumably not targetable by this method. Nevertheless, confirming the presented data the reluctance of neurons to incorporate siRNAs and problems to elicit gene silencing by direct injection of siRNAs into the brain has been reported<sup>157,158</sup>.

Thus the next step was to increase cellular delivery of the siRNAs. A cationic polymere PEI has been shown to be successful in mediating hippocampal RNAi by siRNAs<sup>164</sup> and is presumably superior to alternative liposomal transfection methods since such approaches elicit high toxicity which might interfere with the observable phenotypes<sup>163</sup>. Indeed the use of PEI resulted in an increased number of neuronal cells that showed fluorescent signals for the labeled siRNAs. With such formulations at least in some cases also a downregulation of the targeted CBP could be observed but this effect was highly variable.

From these results it appeared that direct injections of siRNAs might be not the optimal method to set up functional large-scale studies of genes with putative behavioral phenotypes.

Thus the use of viral vectors that express shRNAs seemed to be the most promising strategy.

Currently three viral systems are mainly used *in vivo* for transduction of nervous system tissue - adenovirus (Ad), lentivirus (LV) and adeno-associated virus (AAV)<sup>407</sup>. Adenoviral vectors are able to infect both dividing and non-dividing cells and can accommodate up to 8 kb of DNA. The DNA is not integrated into the host genome but viral proteins might elicit an inflammatory immune reaction<sup>408</sup> although deletion of all wild-type genes in new vectors may overcome this problem<sup>409</sup>. Lentiviruses are another possible option for gene delivery into the brain. They belong to the retrovirus class, integrate into the host genome and are related to HIV. The genomic integration raises safety concerns and working with these vectors can not be performed in standard biosafety laboratories. They have been shown to be especially suitable for the transduction of non-dividing cells, such as neurons and allow a large packaging capacity of around ~9 kb. This would enable to express genes of interest in addition to shRNAs<sup>410,411</sup>. Adeno-associated viral (AAV) vectors instead do not integrate into the host genome and do not have any known pathogenicity. A disadvantage is the rather small packaging capacity (~5 kb). Modern recombinant viruses with almost all viral genes removed are believed to be relatively safe systems<sup>412</sup>.

In the scope of this work vectors based on AAV have been chosen for triggering RNAi since they demonstrate good infection capacity, low immunogenicity and the ability to generate long lasting viral gene expression<sup>412,413</sup>. The disadvantages compared to other systems are not of high relevance for the intended use. Since shRNA expression cassettes are small the limited packaging capacity does not cause any limitations for RNAi applications. The used vectors even were

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designed in a way that two monocistronic expression cassettes have been placed in the vector. In addition to the shRNA expression cassette a dsRed reporter is expressed from an independent synapsin promoter. As opposed to wild type AAV the rAAV vectors do not integrate into the host genome but form episomal concatamers in the host cell nucleus. Although this greatly increases biosafety and allows the use of rAAV vectors under S1 conditions vector DNA is lost through cell division since episomal DNA is not replicated along with the host cell DNA. But for the adult brain that consists (with few exceptions) of post-mitotic cells these concatamers remain intact for the life of the host cell and allow long term gene expression.

An essential component of AAV for cell binding, internalization and intracellular trafficking of viral particles is the surface of the capsid. Thus the capsid surface is crucial for initial infection steps and the AAV serotype has to be carefully considered for gene transfer applications since each capsid exerts a unique tissue tropism and transduction efficiency<sup>414</sup>. Different serotypes of AAV utilize unique cellular receptors for internalisation thereby the primary receptor for AAV2 is heparin sulfate proteoglycan (HSPG). After initial cell recognition secondary receptors like  $\alpha$ V $\beta$ 5 integrin, human fibroblast growth factor-1 (FGFR-1), or hepatocyte growth factor (c-met) mediate cellular entry<sup>415-418</sup>. In total there are 11 known serotypes with different cellular targets and antigenic properties. About 100 genomic variants have been described, which may provide expanded tropism and cell delivery<sup>419-421</sup>. The various serotypes also raise the possibility to tune transduction behavior by mixing or creating chimeric capsids and also the ratio in which two capsid genes are mixed may exhibit altered tropism<sup>422 423</sup>.

First a suitable AAV serotype for efficient transduction of the hippocampus was defined. Therefore an AAV1 and an AAV1/2 vector that both express GFP from a strong CMV promoter have been compared. Studies in other tissues than the brain suggest that in AAV1/2 the best transduction characteristics of both parent serotypes are combined<sup>424</sup>. This finding was not directly transferrable to the hippocampus since the *in situ* signals of GFP show a very similar transduction pattern in both vectors. Nevertheless, strong fluorescence could only be seen from the chimeric AAV1/2. The reason might be that the CMV promoter is known to be shut-down in the hippocampus when used in AAV vectors<sup>425</sup>. This effect also depends on the vector backbone and the presented data suggest that in AAV1/2 the CMV shutdown is delayed or decreased as compared to AAV1. The *in situ* hybridization against GFP might not only show GFP expression but might also detect the viral genome and thus this method does not show any differences. Another possibility is that the *in situ* hybridization is more sensitive than the fluorescence detection and thus strong expression can be seen from both vectors. Since AAV1/2 could be shown as well suited to transduce the hippocampus and meets all requirements no further experiments have been conducted to proof the raised hypothesis. In the following the tropism of AAV1/2 in the mouse hippocampus has been characterized for the first time. Therefore, a novel ultramicroscopy technology has been utilized called "glass brain". This technology is able to image optical

sections of the virally expressed GFP fluorescence in whole mouse brain. The obtained confocal images can be reconstructed to three dimensional views revealing the exact spatial spreading of viral transduction. This method shows that AAV1/2 is highly efficient in transduction of the entire dorsal hippocampus in mice. In addition the expression signals extend to thalamic regions via the fimbria-fornix of the hippocampus. The fimbria is a major route for afferent and efferent fibers of the hippocampal formation<sup>426</sup>. The fornix is the continuation of this bundle of hippocampal output fibers it splits around the anterior commissure into the rostrally directed precommissural fornix which innervates the septal nuclei and the nucleus accumbens and the postcommissural fornix which is directed caudally and extends to the diencephalon. Two smaller bundles split off the postcommissural fornix of which one of them the subiculothalamic tract carries fibers to the anterior thalamic nuclei<sup>427,428</sup>. The thalamic signals probably derive from anterograde transport along the postcommissural fornix route since such an behavior has been described in the literature. Retrograde transport is a rare event for AAV although from the shown data this option can not be excluded<sup>429</sup>. The obtained results can be additionally seen as proof of concept that the glass brain method might become a valuable tool for tracing experiments to elucidate neural projections and reveal interconnectivity of different brain regions. Although anterograde and retrograde labelling techniques are well developed systematic studies of brain projections often suffer from limited imaging capacities. Techniques that image a large volume like the entire murine brain as one entity with high resolution would in principle allow to study all areal projections that exist in an individual brain. The elucidation of alterations in projections or connectivity of circuits in neural networks might give important novel insights in the architecture of information processing, learning, and emotions. More global approaches to elucidate neuronal networks certainly require the use of different vectors as used in the work presented here. Transneuronal tracing by viral infection seems to be particularly interesting when combined with the powerful ultramicroscopy technology. Controlled infections with neurotropic viruses that spread sequentially within synaptically linked neuronal chains can be achieved with modestly virulent self-replicating viral vectors that cause only mild inflammation<sup>430</sup>. The H129 strain of the Herpes simplex virus (HSV) has been used successfully as a highly specific anterograde transneuronal marker in primates<sup>431</sup> and the Bartha strain of pseudorabies virus (PRV) is a promising vector for mainly retrograde transneuronal tracing in rodents<sup>432</sup>.

After defining a suitable vector and the injection parameters for efficient and reproducible viral transduction of the mouse hippocampus the next step was to proof that such an approach is able to mediate RNAi. Therefore a transgenic mouse line was utilized in which the endogenous CRHR1 has been replaced with a fusion construct of the wild-type receptor with GFP. By targeting the GFP sequence embedded in the fusion transcript, the CRHR1 will be knocked down. It has been shown in various similar applications that fusion transcripts are sensitive to RNAi when an exogenous part is targeted by well characterized siRNAs<sup>54,296,433</sup>. The great advantage of this

strategy is the possibility to use a validated viral RNAi expression cassette that expresses siRNAs targeting GFP<sup>382</sup>. To detect specific RNAi mediated silencing effects it is necessary to control for off-target effects by using an unrelated siRNA sequence as negative control. Therefore a validated shRNA targeting firefly luciferase has been used in the same backbone as for GFP silencing. Both viral RNAi constructs express in addition to the shRNA, dsRed from a neuron specific synapsin promoter for monitoring of viral transduction. The presented data show specific focal gene silencing of the targeted transgenic GFP-CRHR1 construct in the hippocampus and the cortex of the adult mouse brain. Despite the use of the novel AAV1/2 vector in the hippocampus, for the cortical transduction the well established AAV2 vector system was chosen. The aim of this experiment was to generalize the viral RNAi approach and show silencing in a second brain region but the main scope of the project was hippocampal RNAi. By using the AAV2 serotype excessive testing of neural transduction in the cortex could be avoided since this vector has been routinely used for the rodent brain even if other less well characterized serotypes (like AAV5 or AAV1/2) might be more efficient<sup>434</sup>. Although the presented silencing effects in both brain regions are very pronounced and robust throughout the presented experiments these results should be seen as preliminary. Further validation is required especially on protein level and with quantitative assessment. Unfortunately there is no antibody available that produces specific CRHR1 labeling and immunohistochemistry of the fusion protein with GFP antibodies does not produce usable signal intensities due to the moderate endogenous expression levels.

In summary, the data show that the use of AAV for *in vivo* RNAi is a highly promising approach for the adult mouse brain. This is in particular true for genes supposedly involved in behavioral phenotypes. The stable expression of shRNAs over months allows the animals to recover from brain surgery before behavioral testing. This is impossible when injecting siRNAs directly since this approach shows only transient silencing over a few days. A systematic bias introduced by the application procedure can be compensated by using proper controls but subtle phenotypes are likely to be lost if the animals are still influenced by post operative trauma or inflammation.

An additional advantage of stable siRNA expression is that most diseases affecting the brain progress over long periods of time and thus potentially involved proteins might need to be knocked-out stably for months.

### 4.3. Regulation of miRNAs by neuronal activity

To get an impression about the functional relevance of miRNAs in synaptic plasticity an unbiased approach was chosen by using the first available array technology for miRNA expression profiling. Thereby, the effect of kainate on hippocampal miRNA expression was analysed, which induces strong neuronal activity by depolarisation. Due to the short length of mature miRNAs a specific array hybridization is very challenging however it was shown that the used technology is able to produce meaningful data by combining miRNA enrichment in sample preparation

with hybridizations to concatemer probes<sup>280</sup>. In the kainate experiment the concept of stabilizing variance with a combination of technical replicates and data normalization was followed. When using any array technology for expression profiling it is important to assess the reliability of the obtained data. This is not a trivial task for array experiments since there are no references or calibrators available and, thus, the quality estimations are generally based on analysis of the data itself. Scatter plots, correlation analysis and cluster analysis have been performed and determined that vsn normalization is superior to quantile normalization for the obtained dataset since the correlation between the experimental groups increased. It was additionally shown, using technical replicates, that vsn normalization increases data quality and performs better than no normalization. From these results one might conclude that vsn is generally applicable not only for mRNA but also for miRNA expression data which is in agreement with the literature<sup>435</sup>. In contrast to the data presented here Praderwand et al. find quantile normalization performs better than vsn on agilent miRNA arrays and this is also suggested by others<sup>436</sup>. This obvious discrepancy might be due to platform specific differences of the data or due to influences of the specific samples or biological effects in each experiment. Careful validation of bioinformatic tools for miRNA expression arrays is urgently needed<sup>437</sup>, which underlines the relevance of the presented data. Technology development on such detailed levels is often neglected since such studies are not considered high-yield in terms of career development (discussed in<sup>437</sup>).

The obtained correlation coefficient of technical replicates of 0.86 is similar to that of spotted cDNA arrays for mRNA detection. Nevertheless, spotted mRNA arrays are usually used in two channel settings (two colors), which circumvents the introduction of inter-spot variation into the data. This is not possible with the radioactive signal detection used here. Beyond that, modern oligo arrays show even higher reproducibility and correlations of technical replicates are in general higher than 0.99. The same reproducibility is provided by more recently developed industry standard applications for miRNA expression profiling. An additional advance of some of these assays is that the amount of input material could be reduced by a factor of more than 100. Cluster analysis did not reveal any grouping of samples according to biological or technical categories although two clusters were separated. This observation might be interpreted that the variation of samples (noise) is in a similar range as the putative biological effects.

Despite the careful data optimisation some of the probes identified to be most likely differentially regulated were tRNAs or other controls. The observed regulation of tRNA species 24 h after kainate treatment might reflect general biological effects caused by neurotoxicity. In conclusion a robust effect of kainate treatment on the expression of miRNAs in the hippocampus could not be found on the array and this negative finding was confirmed by validation. One possibility is that miRNAs are not differentially regulated upon neuronal stimulation. This would be supported by the notion that also an *in vitro* approach failed to identify miRNA expression changes when neurons were stimulated with  $\text{Ca}^{2+}$  (A. Krichevsky, personal communication). There are

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only few cases shown in which miRNA expression reacts on external stimuli, which might be due to indirect effects. Maybe except for developmental changes miRNA networks have more stabilising functions in order to maintain cell identity or state instead of conferring dynamic behavior.

According to the model that miRNAs might inhibit local protein synthesis alterations in abundance is not mandatory. miRNAs could just locally detach from their targets and proteins that are involved in the RNAi machinery could possibly mediate signals by external stimuli. This is supported by a study that demonstrates the mir-134 mediated repression of *Limk1* mRNA in dendrites<sup>267</sup>. Upon BDNF treatment *Limk1* gets derepressed by an unknown mechanism and the spine size increases. Also similar findings come from studies in *Drosophila* where genes with important functions in synaptic protein synthesis (like CaMKII) are believed to be translational silenced<sup>438</sup>. In this case localized proteasome-mediated degradation of a RISC component might release the translational block

In addition, a putative mechanism could regulate the intracellular distribution of miRNAs, which could only be determined by analysis at subcellular resolution. Since it was shown that RNAi is functional and potent in axons and is able to regulate mRNA transcripts at subcellular levels<sup>439</sup> it might be promising to repeat the experiment with synaptosomal preparations of hippocampal neurons. Nevertheless, due to the large amount of total RNA required for the used macro arrays (20-50mg) this was not feasible in the scope of this work but in the meantime superior methods have been developed that would allow such an approach. This might also circumvent the putative problem of diluting local expression changes at the synapse when analysing whole cells.

Nevertheless, there is also supporting evidence that miRNAs might be transcriptionally regulated by kainate. The cAMP response element-binding (CREB) protein is known to be an important transcription factor for neuronal plasticity and is activated by neuronal activity. On the search for CREB protein targets mir-132 was identified and found to repress the expression of MeCP2<sup>329</sup>. After blocking of mir-132 function not only its target levels increased but also the expression of brain-derived neurotrophic factor (BDNF). Since BDNF is both a known target of MeCP2 and an activator of CREB the hypothesis was formulated that miR-132 is part of a homeostatic feedback loop to stabilize MeCP2 expression via BDNF-activated CREB. In turn mir-132 was shown to be upregulated in cell culture by forskolin stimulation<sup>440</sup> and *in vivo* by neuronal activity<sup>441</sup>. Also another recent study identified changed expression levels of most hippocampal miRNAs by LTP or LTD<sup>442</sup>. Almost 90% of the analyzed miRNAs changed their expression for more than two fold and almost all miRNA genes showed upregulation. Interestingly in this study tRNA control probes were used as positive controls for data normalization. Our data instead suggest that tRNAs might be down regulated upon neuronal stimulation. If this is true the published effects might be due to normalization artefacts. On the other hand if



in reality all miRNAs increased their expression in a similar manner the established global normalization procedures might adjust these differences leading to no detectable differential gene expression. In particular the alternatively used quantile normalization in the presented work might give misleading results since it uses a single standard for all chips and assumes that no serious change in distribution occurs. This appears to be a rather strong assumption about gene distributions but in practice however genes move up and down roughly equally. It would need a great proportion of genes to be changed greatly and in one direction, to drive quantile normalization in error by more than 20%. This may well be true in studies that interfere with basal transcriptional effects. Opposed to the results presented here there is a great discrepancy of the qRT-PCR validation and the array results shown in Park et al. Thus, some doubts remain that there is strong global miRNA upregulation induced by neuronal stimulation. It seems not intuitive that general miRNA mediated gene silencing is a biological useful mechanism upon LTP or LTD. Another study deals with the regulation of microRNAs miR-124, let-7d and miR-181a by cocaine induced plasticity in the mesolimbic dopaminergic system<sup>443</sup>. In this study a solid up (miR-181a) and downregulation (miR-124, let-7d) was found in different brain regions.

#### 4.4. Expression studies of miRNAs

By array hybridization a catalog of hippocampal miRNA expression could be established. Thereby the found expression profile is in good accordance to published results and similar approaches described in the literature. For more detailed comparison a recent published LNA oligo array was chosen since reliable data for hippocampus are present<sup>444</sup>. The expression profiles show considerable overlap although the probe content of both arrays differs the common set of miRNAs can be compared. The genes of the let 7 family, mir-124a, mir-125b and mir-9 show highest expression on both arrays and is according to the data here in the range of the expression levels of tRNAs. Due to the high demand of the cell the copy number of tRNAs is together with rRNA extremely high. Only few discrepancies are obvious e.g. mir 34a which is low expressed in the published data is with a log<sub>2</sub> value of 9.36 among the higher expressed miRNAs in the present data. Notably another probe detecting the same miRNA is below background levels. Mir127 in contrast shows relative high levels in Bak et al. but is only slightly above background (7.24) in the data set obtained here. A general notion is that the published array seems to have slightly higher sensitivity probably due to the use of LNA oligo probes.

The analysis of the mir124a probes with no, one or two mismatches indicates that despite the use of standard oligos the performed array hybridization is able to discriminate single nucleotide mismatches nevertheless the specificity might be subject to improvement since especially high abundant transcripts might cause cross-hybridization which decreases data quality.

After the initial determination of the miRNA expression profile in the adult hippocampus the results were encouraging to go into more detail. Although at that time there was no *in situ* hy-

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bridization protocol reported, the establishment of such a procedure was initiated. Due to the complex architecture of the mammalian brain it is of particular importance to resolve temporal and spatial expression patterns. No other tissue of the body is characterized by such an amount of functionally distinct cell groups and relevant cell interactions, thus for brain research *in situ* hybridization of miRNAs is a necessary experimental complement to tissue level expression profiling<sup>445</sup>.

There have been numerous studies in vertebrates analysing miRNA expression profiles using cloning techniques, microarrays, Northern blot and qPCR. These methods have the advantages of high-throughput and being able to identify novel miRNAs (cloning). Nevertheless, they suffer from the limited spatial resolution. So far detailed expression analysis of mammalian miRNAs *in situ* is largely missing. This is certainly due to difficulties to obtain specific hybridization signals to the short mature forms of miRNAs but these obstacles can be overcome by using a chemical modification called locked nucleic acid (LNA)<sup>300,301,393,446</sup>. By using such modified nucleotides a protocol for radioactive oligo *in situ* hybridization on mouse tissue sections was successfully established.

In the scope of this project a novel positive and negative control system was developed using transgenic mice that express artificial miRNAs. In doing so the ubiquitous expression of shRNAs transcribed from a U6 promoter was shown for the first time in mouse brains via *in situ* hybridization. The detected signals obtained with probes that are not homologous to any genomic sequence in transgenic mice and wild-type littermates gave important insights in the reliability and specificity of the established protocol. So far only scrambled or mismatch-probes could be used as negative controls in wild type animals. This implies difficulties to judge if obtained signals that differ from the negative oligos reflect the true expression in cells. For oligo probes the design of negative controls is anyway challenging. There is a high chance that a 21nt oligo that has a similar sequence to the probe that it controls for shows high homology (with up to 3 mismatches) to other transcripts that are encoded in the genome. Beyond that the melting temperature of oligo sequences change greatly not only by G/C content but also by sequence effects (nearest-neighbor effect) and other factors, which greatly complicates the design of proper mismatch control oligos. Thus in the presented approach the specific hybridization behavior of a probe that served later on as validated negative control could be determined.

For miRNA detection mostly non radioactive *in situ* hybridization methods have been established but using a detection system different from the common digoxigenin labelled probes will enable double *in situ* hybridizations of two miRNAs at the same time. This might lead to interesting insights e.g. addressing the question if clustered miRNAs are coexpressed. Additionally autoradiography might reveal quantitative signal increments over a larger dynamic range. Probably the combination of high sensitive radiolabelled probes and good hybridization conditions resulted in the high performance shown. Thereby, the hybridization buffers and procedures are

based on long experience in *in situ* hybridization methodology and differs greatly from the later established miRNA *in situ* protocols by other groups<sup>301,447</sup>.

The partially known expression patterns of few miRNAs could largely be confirmed but the refined analysis here reveals important differences and novel expression sites. mir-1 has been reported as being heart and muscle specific in whole mount *in situs* of zebrafish, chicken and mouse embryos<sup>300,304,448</sup>. Consistently it appears to have crucial roles related to proper heart development and function<sup>449,450</sup>. Additionally expression throughout embryonic mesenchym and in the central nervous system could be demonstrated as well as specific expression in the adult mouse brain. This finding is in agreement with a study using a sensitive RT-PCR based method. mir-1 expression turned out to be 100-1000 times lower in the adult mouse CNS than that of mir124<sup>451</sup>. This emphasises the high sensitivity and dynamic range of the newly developed method. A microarray based approach supports this novel finding and also rates mir-1 among those miRNAs that are expressed in a region specific manner in the medulla oblongata of the adult brain<sup>444</sup>.

mir-9 plays an important role in neuronal development of *Drosophila*<sup>321</sup>. In addition specific expression in the central nervous system of zebrafish and mice has been shown<sup>265,297,300</sup>, which is supported by the results of this work. The expression in E10 embryos is restricted to the neural tube and until the stage E14 becomes more restricted to generate a specific expression pattern in the developing brain. In agreement with the literature<sup>297,304</sup> the strongest expression of mir-9 is found in ventricular cells in the brains of mouse embryos. But in adult mice no pronounced signals in ventricular cells can be observed. These findings support the hypothesis that mir-9 is involved in neurogenesis. Notably in zebrafish mir-9 is expressed in both proliferative and differentiating cells<sup>452</sup> but it has been shown that despite the high phylogenetic conservation of miRNAs there are remarkable differences in expression patterns among vertebrates which points out the relevance to analyze miRNA expression in all commonly used animal models<sup>453</sup>.

There is also a very high expression of mir-9 throughout the entire adult brain. The expression in the hippocampus is also very high, which is in agreement with the obtained array results. The specific adult role in the brain remains to be elucidated so far only the involvement in alcohol tolerance and Huntington's disease has been implicated<sup>454,455</sup>.

The novel finding of pronounced mir-9 expression in the purkinje cell layer of the adult cerebellum suggests additional functions of mir-9 that might be specific for the adult mammalian brain.

The obtained expression patterns of mir-124a are in some ways complementary to that of mir-9, which again is supported by other studies<sup>297</sup>. Although similar to mir-9, mir-124a is specifically expressed in the central nervous system in the analyzed embryonic stages the expression in E14 embryos is more restricted to the subventricular zone, the choroid plexus and brain nerves. These are sites where mir-9 is not expressed and therefore mir124a is believed to be responsi-

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ble for neuronal differentiation and neuronal cell identity<sup>297</sup>. A number of functional studies support this concept showing that mir-124 promotes neuronal cell identity or differentiation towards neuronal fate by repression of non-neuronal genes<sup>236,322,325-327</sup>. One of the targets for mir-124a is PTBP1 (polypyridine-tract-binding protein) which represses the correct splicing of neuronal specific isoforms. The expression patterns of PTBP1 and 2 in the Allen brain atlas match to our observed expression pattern of mir-124 (<http://brain-map.org>). On the other hand mir-124 is regulated by the transcriptional repressor REST, which is mostly expressed in non-neuronal cells. To enter neuronal cell fate REST needs to be downregulated<sup>324</sup>. But since we and others find specific patterns in the adult brain<sup>297</sup> with enhanced expression in the hippocampus, cerebellum and cortex creating a differential expression pattern in the cortical layers there might be roles of mir-124 beyond determination of neuronal cell fate. The finding of very high hippocampal expression is further supported by the presented array results. Thus, mir-124a mediated gene regulation might also be involved in signaling processes and facilitation of higher brain functions.

A more detailed view on mir-125b expression again reveals nuances that have not been reported in previous studies. In general the described expression pattern in mouse embryos is observed with the highest expression in the central nervous system<sup>300</sup>. But additionally lower expression in mesenchymal cells can be found demonstrating that mir-125b is not exclusively expressed in neuronal tissues. This finding is consistent with a putative function of mir-125 in tumor biology of tissues other than the brain<sup>456-458</sup>. In whole mount *in situ* hybridization the most pronounced signal appeared in the mid-hindbrain boundary<sup>300</sup>. The obtained results do not support such an exclusive signal on slices of E14 embryos but strong detection of mir-125b is found throughout the spinal cord and brain with lower expression in the forebrain. It might be that the three-dimensional structure is responsible for the observed difference or that the slightly different developmental stage shows a different expression pattern. It has been reported that the expression of mir-125b as a putative orthologue to the heterochronic lin-4 in *C. elegans* is also regulated over time in mammalian development<sup>459</sup>. The cited study assessed mir-125b expression with Northern blots in RNA samples from whole embryo. Expression started around embryonic day 10, reached a peak at E12.5 and declined again to low levels until birth. Although *in situ* hybridization should be seen as a semi-quantitative method such a temporal pattern is not seen at the expressed sites and the presented data suggest an increase in expression in neuronal tissue which persists from the embryonic to the adult brain. This is in agreement with Northern analysis of pure neuronal RNA preparations in which an increase in expression has been shown during embryogenesis with a slight decrease after birth<sup>460</sup>. This study also shows a spatial decrease of mir-125 in the adult cerebellum as compared to the rest of the brain. The detailed expression pattern presented suggests that the differential expression in the purkinje cell layer in which the highest expression levels are found might be one of the contributing factors. Since this cell population

is only a small fraction as compared to the total number of cells in the cerebellum a global view on cerebellar expression might produce misleading results. Mir-125b was found to be among the highest expressed miRNAs in the adult hippocampus on the elucidated array data which is in agreement to the *in situ* hybridization results.

The embryonic function of mir-125b among others might be the organisation of the mid-hind-brain boundary but in the adult brain the highest found expression was in the purkinje cells again suggesting novel functions of mir-125 in the adult brain.

Although mir-132 has been cloned from brain tissue, shown to be expressed in neurons and being involved in a cAMP-response element binding protein (CREB) regulated neurite outgrowth<sup>329</sup> in the presented work it was not possible to determine its expression pattern. The obtained signals were ubiquitously and in the range of unspecific background except for the corpus callosum of E14 embryos. An estimation of the background signals can be done with the used *in situ* technology since additionally probes detecting artificial hairpin structured molecules that are not encoded in the mouse genome have been hybridized. Thus, we can rule out that there is a strong locally restricted expression of mir-132 in the adult mouse brain. Also other studies using cloning techniques observed difficulties to detect the low levels of mir-132 expression in mouse embryos<sup>275</sup> and the adult brain<sup>204,461</sup>. On the presented array mir-132 was detected at low levels slightly above background supporting these findings. Mir-132 has been shown to be light induced in the suprachiasmatic nuclei and the piriform cortex<sup>260</sup>. It might be that mir-132 requires extracellular stimuli to drive its expression to levels detectable by *in situ* hybridization in the adult brain. Along these lines it was recently shown that mir-132 is upregulated by neuronal activity<sup>441</sup>.

Another miRNA that has been implied in neuronal morphogenesis is mir-134<sup>267</sup>. It is localised to the synapto-dendritic compartment of neurons and negatively regulates dendritic spines via targeting the mRNA of the protein kinase Limk1. Expression analysis via Northern blot revealed a brain specific expression in adult rats and was shown to reach into dendrites in cultured hippocampal neurons<sup>267</sup>. This work presents for the first time a detailed expression analysis for this gene and finds it additionally expressed in all analysed embryonic stages. In the mouse embryo we find expression not restricted to the brain but slightly enriched in neuronal tissue with highest signals in the dorsal root ganglia. This suggests unknown embryonic functions for mir-134. So far a developmental role has only been attributed in stem cells in which miR-134 alone can enhance the differentiation to ectodermal lineages<sup>462</sup>.

In the adult brain a distinct expression can be determined not only in the cortex and hippocampus as expected from cultured neurons but throughout many brain nuclei and in purkinje cells of the cerebellum. In addition the performed array finds medium levels of mir-134 in the adult hippocampus. Of interest is also the observation that signals for mir-134 are found in a scattered fashion and thus we believe that expression is limited to a subset of cells possibly neurons.

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Further studies are necessary to verify this hypothesis and to determine which cells in the adult brain exclusively express mir-134. Comparing the shown expression patterns of mir-134 with that of its known target *Limk1* in the Allen brain atlas (<http://brain-map.org>) coexpression can be found in the cortex and the hippocampus, exactly those brain regions where an interaction had been demonstrated <sup>267</sup>. In other brain regions no obvious coexpression can be seen which might fit into the mutually exclusive expression model for miRNAs and their targets <sup>271</sup>.

The expression pattern of mir-206 has been well studied in embryos of zebrafish, chicken and mice <sup>265,300,304</sup> and has been found to be specific for somites and muscles in animal embryos. One of the known targets of mir-206 is connexin 43 and promotes muscle development <sup>463</sup>.

These findings are in general confirmed on tissue sections in the current work but in addition local mir-206 expression sites could be found in the developing and adult mouse brain. Thus mir-206 is not exclusively expressed in muscle tissue and its biological role will have to be reassessed in the brain. Interestingly, an association study supports this hypothesis and detects a correlation of mir-206 polymorphisms with schizophrenia <sup>464</sup>. Also additional evidence for this issue arises from the hippocampal gene expression profile that detects mir-206 as expressed. The array signal is modest and only slightly above background, nevertheless also the *in situ* hybridizations show weak expression signals in the adult brain with slight increase in the hippocampus.

The most dynamic expression during development was observed for mir-219. It is ubiquitously expressed in young embryos and then increases specifically in the central nervous system where at E14 a gradient is formed from hind to midbrain. Thus during embryogenesis mir-219 might be involved in the organisation of the mid-hindbrain boundary. This observed gradient disappears during later embryonic stages and results in a distinct expression pattern in which fibers are highly pronounced in the adult brain. The expression implies different brain-specific roles for mir-219 in the developing and adult brain. Similar functional shifts in time or organ are well established for protein coding genes. mir-219 has been shown to be involved in circadian rhythm by targeting the gene *CLOCK* in the suprachiasmatic nucleus <sup>260</sup>. Also in the expression analysis mir-219 is found to be expressed in this nucleus at basal levels. The main expression sites are, however in the corpus callosum and the white matter of the cerebellum. Thus it is likely that mir-219 is additionally involved in fiber formation or maintenance. Again the expression of *CLOCK* in the Allen brain atlas is largely complementary to the obtained expression pattern of mir-219. Specific expression patterns of mir-219 are also suggested by Bak and colleagues <sup>444</sup> but their microarray approach is not able to unravel the cell specific expression pattern presented here. Notably two independent studies found mir-219 among the genes most significantly down regulated in synaptosomes or dendrites <sup>465,466</sup> which supports the raised issue of differential expression in non-neuronal cell populations. A recent publication proposes mir-219 to be functionally involved in fast NMDA receptor neurotransmission and implies a link to schizophrenia. An integral role for mir-219 in the expression of behavioral aberrations associated with NMDA



receptor hypofunction was shown<sup>339</sup>. Consistent with that role calcium/calmodulin-dependent protein kinase II gamma subunit (CaMKIIgamma) was determined as target gene. Interestingly Kocerha et al. detect mir-219 down-regulation in frontal cortex and hippocampus upon their interventions. The presented array approach suggests that mir-219 might be expressed in adult hippocampus but these data are at low levels around background intensities. Instead the presented *in situ* quantification hardly detects mir-219 in the hippocampus and the main expression sites are neither in cortical nor hippocampal structures. Following the study of Kocerha et al. some inconsistencies of the published data have been discussed in a commentary<sup>467</sup>. Thus the published results may have to be reinterpreted after further experiments and including the expression study shown here. Integrating more functional and expression data might put the findings into a broader scope than currently anticipated.

To summarize the conducted expression study can confirm some basic expression patterns that have been obtained by whole mount *in situ* hybridization or high-throughput technologies. Nevertheless, the presented results imply the necessity to analyze miRNA expression in great detail since local expression might be overseen by such methods. Comparing detailed expression patterns of miRNAs and their putative targets is a promising approach for future functional studies.

# 5. Summary

RNA interference (RNAi) has been discovered as a post-transcriptional gene-silencing phenomenon, in which a specific degradation of mRNA is induced by homologous double stranded RNAs (dsRNAs) of different origin. During the last few years, RNAi has emerged as a powerful tool in functional genomics and can be induced *in vitro* either by application of synthetic short interfering RNAs (siRNAs), or by intracellular expression of siRNAs or short hairpin RNAs (shRNAs) from transfected vectors. The most widely used promoters for siRNA/shRNA expression vectors are based on polymerase III (Pol III)-dependent transcription. In the presented work an alternative vector for siRNA/shRNA expression, using a ribosomal minigene-based vector for expression of shRNA under the control of a mouse RNA polymerase I (Pol I) promoter was established and characterized on the functional and molecular level. Robust and efficient silencing of a reporter system as well as of an endogenous gene could be demonstrated in a variety of cultured cell lines and embryonic stem cells. As expected from the known high species-specificity of Pol I transcription the induced RNAi silencing was also shown to be species specific for mouse cells. Thus the established Pol I-based RNAi expression system provides an important biosafety advantage for the human experimenter with respect to silencing of genes with unknown functions in mice. Furthermore, using *in vitro* transcription assays the vector was characterized on the molecular level and exhibited the transcription of the expected shRNA precursors that are generated by Pol I. The novel Pol I-driven expression vectors will be a useful addition to the palette of RNAi-based tools for silencing gene function.

Plasmids or RNA molecules that trigger RNAi easily enter cultured cells and the resulting silencing is very efficient. However, the *in vivo* application of RNAi remains a challenging task, and it is particularly true for the central nervous system. Therefore, the delivery of siRNAs into the adult mouse brain was evaluated by injecting fluorescently labelled siRNAs into the hippocampus. Two different protocols were used either injection of “naked” siRNAs, or in complex with polyethylenimine (PEI). In both cases the penetration of tissue was limited, though somewhat better for PEI-complexes. Injections of CREB-binding protein (CBP)-directed siRNAs into the brain only showed an inconsistent down-regulation of target protein with the PEI protocol. These results indicate that direct injections of siRNAs might be not the optimal method to set up functional large-scale studies of genes with putative behavioral phenotypes.

To overcome these obstacles adeno-associated virus (AAV)-based vectors were further used for RNAi expression. These viral vector systems demonstrate good infection capacity and low immunogenicity. In first setting experiments suitable AAV vector serotypes for hippocampal transduction were identified and the tissue tropism of a novel recombinant AAV1/2 serotype was analyzed in detail with *in situ* hybridization, standard fluorescence microscopy and ultramicroscopy. The 3D images obtained with the latter method show that neuronal tracing experiments to unravel brain circuitry might be feasible with a modified experimental setup. In the following

a proof of concept study showed that the evaluated AAV-vectors are efficient in triggering RNAi when injected into the adult mouse hippocampus and cortex.

A second project line dealt with micro RNAs (miRNAs) which represent a novel gene class initially found in experiments to explore RNAi products. They were found to be small non-coding RNAs that act as repressors of gene activity by interfering with target mRNA translation or integrity. During the last decade, miRNA research was among the most innovative fields in biology and numerous studies point towards the importance of miRNA dependent regulation in development and in the central nervous system. Thus the involvement of miRNAs in neuronal plasticity was examined. Kainate a strong inducer of neuronal activity was injected into mice and differential miRNA expression to control animals was examined with array hybridizations. The obtained data did not reveal any regulation of miRNA expression upon neuronal activation in the adult mouse hippocampus. Nevertheless, the control data were used to characterize the gene expression profile of miRNAs in the adult hippocampus. Detailed expression analysis of miRNAs is an important prerequisite for following functional studies and thus a novel sensitive and specific radioactive LNA oligo *in situ* hybridization protocol was established for tissue sections. Using this method the temporal and spatial expression patterns of eight miRNAs during late mouse development (E10, E14, E18) and in the adult brain were elucidated. Thereby the focus was on miRNAs with expected neuronal functions (mir-1, mir-9, mir-124a, mir-125b, mir-132, mir-134, mir-206 and mir-219). The presented work is the first systematic and detailed expression study of mammalian miRNAs in the developing and adult central nervous system that will guide further functional experiments and will be a useful aid for approaches to identify potential target genes.

## 6. Abbreviations

Common Abbreviations	
5-HT	5-hydroxytryptamine, serotonin
A	purine base adenine
A	ampere
AAV	Adeno associated virus
Ac	acetate
ACTH	adrenocorticotrophic hormone
AD	Alzheimer's disease
as	antisense orientation
ATP	adenosine triphosphate
AV	Adenovirus
bp	basepair(s)
BSA	bovine serum albumin
c	centi (10 <sup>-2</sup> )
C	pyrimidine base cytosine
°C	degree Celsius
C.elegans	Caenorhabditis elegans
cDNA	copy DNA
Ci	Curie; 1 Ci = 3.7 X 10 <sup>10</sup> Bq
CNS	central nervous system
cpm	counts per minute
CREB	cAMP responsive element binding protein
CRHR	corticotropin releasing hormone (receptor)
c-terminus	carboxy terminus
CTP	cytosine triphosphate
3D	3 dimensional
Da	Dalton
DAB	3,3'-diaminobenzidine
DAPI	4',6-diamidino-2-phenylindole
DEPC	diethylpyrocarbonate
DIG	digoxygenin
DMSO	dimethylsulfoxide
DNA	desoxyribonucleic acid

Common Abbreviations	
dNTP	desoxyribonucleotide triphosphate
DsRED	red fluorescent protein
dsRNA	double stranded RNA
DTT	1,4-dithiothreitol
E	enhancer
E(number)	embryonic developmental days; post fertilisation
E.coli	Escherichia coli
e.g.	exempli gratia, for example
EDTA	ethylenediaminetetraacetate
EGTA	ethyleneglycol-bis-(b-aminoethylether)-N,N,N',N'-tetraacetate
ES cells	embryonic stem cells
EtBr	ethydiumbromide
EtOH	ethanol
FCS	fetal calf serum
FF	firefly
Fig.	figure
FITC	fluorescein isothiocyanate
g	acceleration of gravity (9.81 m/s <sup>2</sup> )
g	gramme
G	purinbase guanine
GABA	g-aminobutyric acid
GFP	green fluorescent protein
h	hour(s)
IHC	immunohistochemistry
ISH	in situ hybridization
J	Joule(s)
k	kilo (10 <sup>3</sup> )
k.o.	knock-out; (disrupted gene function by genetic engineering)
kb	kilobasepairs
kD	kilodalton(s)
l	liter

Common Abbreviations	
lacZ	$\beta$ -Galactosidase
LB	Luria Broth
LIF	leukemia inhibiting factor
LNA	locked nucleic acid
Luc	luciferase
Luc	firefly-luciferase
LV	lentivirus
M	Mega (10 <sup>6</sup> )
m	meter
$\mu$	micro-(10 <sup>-6</sup> )
m	milli (10 <sup>-3</sup> )
M	molar (mol/l)
MCS	multiple cloning site
min	minute(s)
miRNA	micro RNA
mRNA	messenger ribonucleic acid
n	nano
neo	neomycin
NMDA	N-methyl-D-aspartate
nt	nucleotides
n-terminus	amino terminus
o/n	over night
OD	optical density
p	pico (10 <sup>-12</sup> )
p	p-value for statistical analysis
PAGE	polyacrylamide gel electrophoresis
PBS	phosphate buffered saline
PCR	polymerase chain reaction
PD	Parkinson's disease
PFA	paraformaldehyde
pH	negative decade logarithm of the H <sup>+</sup> concentration
Pol I	RNA polymerase I
Pol III	RNA polymerase III
PTGS	post-transcriptional gene silencing
RdRP	RNA dependent RNA polymerase

Common Abbreviations	
Ren	renilla
resp.	respectively
RISC	RNA induced silencing complex
RMCE	recombinase mediated cassette exchange
RNA	ribonucleic acid
RNAi	RNA interference
RNase	ribonuclease
RNasin	ribonuclease-inhibitor
rpm	rounds per minute
rRNA	ribosomal RNA
RT	room temperature
RT-PCR	reverse transcription PCR
S	svedberg, sedimentation coefficient
s	sense orientation
SDS	sodium dodecyl sulfate
sec. or s	second(s)
sh	short hairpin
shRNA	short hairpin RNA
siRNA	short interfering RNA
SNP	single-nucleotide polymorphism
snRNA	small nuclear RNA
SSC	sodium saline citrate
stRNA	small temporal RNA
T	pyrimidine base thymine
Tab.	table
TAE	tris acetate with EDTA
TB	tris buffer
TBE	tris borate with EDTA
TBS	tris buffered saline
TBS(T)	tris buffered saline (with Tween)
TE	tris-EDTA
temp.	temperature
Tris	trishydroxymethyl-aminoethane

## 6. Abbreviations

Common Abbreviations	
tRNA	transfer ribonucleic acid
Tx	terminator sequence
u	unit(s)
UTP	uracil triphosphate
UV	ultraviolet
V	volt(s)
Vol	volume
vsn	variance stabilizing normalization
wt	wildtype
μ	micro (10 <sup>-6</sup> )

Anatomical Abbreviations	
aca	anterior part of the anterior commissure
an	acoustic nerve
ao	aorta
BMA	anterior part of the basomedial amygdaloid nucleus
bsc	brachium superior colliculus
CA	field of Ammon's horn, hippocampus
CA1	CA1 field of the hippocampus
CA2	CA2 field of the hippocampus
CA3	CA3 field of the hippocampus
cc	corpus callosum
Ce	Cerebellum
CePl	Cerebellar plate
cg	cingulum
Co	Cortex
CP	choroid plexus
Cpu	caudate putamen
DG	Dentate gyrus
Dk	nucleus of Darkschewitsch
DM	dorsomedial hypothalamic nucleus
f	fornix

Anatomical Abbreviations	
fb	forebrain
G	Dentate gyrus
GL	Granular layer of the cerebellum
hb	hindbrain
Hip	Hippocampus
ht	heart
ic, ec	internal and external capsule
L	Granular layer of the cerebellum
La	lateral amygdaloid nucleus
Ld	lamdoid septal zone
LD	lamdoid septal zone
LHB	lateral habenular nucleus
LS	lateral septal nucleus
mb	midbrain
MHB	medial habenular nucleus
ML	Molecular layer of the cerebellum
MO	Medulla oblongata
MS	medial septal nucleus
mt	mammillothalamic tract
nt	neural tube
OB	Olfactory bulb
pc	posterior commissure
PL	Purkinje layer of the cerebellum
R	Red nucleus
re	retina
sc	spinal cord
SNC	substantia nigra compacta
so	somites
SVZ	Subventricular zone
tg	tongue
VPL	ventral posterolateral
VPM	ventral posteromedial thalamic nuclei
VZ	Ventricular zone



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