# **Broadcast Packet Erasure Channels with Feedback and Memory**

## Motivation

- Two-User broadcast packet erasure channels (BPECs)
- Low cost (Ack/Nack) feedback
- Bursty nature of erasures in satellite communications



# System Model



- $W_1$  message of rate  $R_1$ ,  $W_2$  message of rate  $R_2$
- Received signals:  $Y_{1,t}, Y_{2,t}$ .  $Y_{i,t}$  is either  $X_t$ , or completely erased
- Ack/Nack feedback after each transmission.
- $S_t$  evolves according to a finite state machine with states  $s \in \mathcal{S}$  (memory)
- Channel state is known causally at the encoder (visible state).
- 2 Channel state is NOT known at the encoder (hidden state).

# Memoryless BPEC with Feedback [4]

Tx



• A max-flow (equiv. min-cut) analysis

Michael Heindlmaier and Shirin Saeedi Bidokhti Technical University of Munich, Stanford University

# Capacity Region: Visible State [1][2]

The capacity region  $\mathcal{C}_{\text{fb+s}}^{\text{mem}}$  of the two-user BPEC with feedback and visible state is the closure of rate pairs  $(R_1, R_2)$  for which there exist  $x_s, y_s, s \in \mathcal{S}$  s.t.

$$0 \le x_s \le 1 \quad \forall s \in \mathcal{S}$$
  

$$0 \le y_s \le 1 \quad \forall s \in \mathcal{S}$$
  

$$R_1 \le \sum_{s \in \mathcal{S}} \pi_s (1 - \epsilon_1(s)) x_s$$
  

$$R_1 \le \sum_{s \in \mathcal{S}} \pi_s (1 - \epsilon_{12}(s)) (1 - y_s)$$
  

$$R_2 \le \sum_{s \in \mathcal{S}} \pi_s (1 - \epsilon_2(s)) y_s$$
  

$$R_2 \le \sum_{s \in \mathcal{S}} \pi_s (1 - \epsilon_{12}(s)) (1 - x_s).$$

where  $\epsilon_1(s)$ ,  $\epsilon_2(s)$ , and  $\epsilon_{12}(s)$  are computed via distribution  $P_{Z_t|S_{t-1}}$ 

• For a four-state channel example:



# **Proactive Coding** [2]

Tx $p_1 + p_2$ • poison  $p_1 + p_2$  is sent. • remedy  $p_1 \to Q_3^{(1)}, Q_3^{(2)}$ . • Remedy packets are useful to •  $Rx_2$ both receivers.  $p_1 + p_2$ 

# An Optimal Probabilistic Scheme





### Converse

• In previous works on BPEC with feedback:

- Physically degrade the channels using a genie.
- Feedback does not increase the capacity over physically degraded BCs [5].
- The capacity region is known for degraded BPECs without feedback [6], [7].
- This technique is not directly applicable here.

### **Converse Proof from scratch:**

$$nR_{1} \leq I(W_{1}; Y_{1}^{n}) \leq \sum_{s} \pi_{s}(1 - \epsilon_{1}(s)) \underbrace{I(U_{1,T}; X_{T} | T, S_{T-1} = s)}_{\mathbf{x}_{s}}$$

$$nR_{2} \leq I(W_{2}; Y_{1}^{n} Y_{2}^{n} | W_{1}) \leq \sum_{s} \pi_{s}(1 - \epsilon_{12}(s)) I(U_{2,T}; X_{T} | U_{1,T} V_{T} T, S_{T-1} = s)$$
where  $U_{1,t} = (W_{1}Y_{1}^{t-1}S^{t-1}), U_{2,t} = (W_{2}Y_{2}^{t-1}S^{t-1}), \text{ and } V_{t} = (Y_{1}^{t-1}Y_{2}^{t-1}S^{t-1}).$ 

# Capacity Region: Hidden State [1]

The capacity region  $\mathcal{C}_{\text{fb}}^{\text{mem}}$  of the two-user BPEC with feedback and hidden state is approximated by the closure of rate pairs  $(R_1, R_2)$  for which there exist variables  $x(\underline{z}^L), y(\underline{z}^L), \underline{z}^L \in \mathcal{Z}^L$  s.t.

$$0 \le x(\underline{z}^{L}), y(\underline{z}^{L}) \le 1, \quad \forall \, \underline{z}^{L} \in \mathcal{Z}^{L}$$
$$R_{1} \le \sum_{z^{L} \in \mathcal{Z}^{L}} P_{\underline{Z}^{L}}(\underline{z}^{L})(1 - \epsilon_{1}(\underline{z}^{L}))x(\underline{z}^{L}) + C_{L}$$

$$R_1 \leq \sum_{\underline{z}^L \in \mathcal{Z}^L} P_{\underline{Z}^L}(\underline{z}^L)(1 - \epsilon_{12}(\underline{z}^L))(1 - y(\underline{z}^L)) + C_L$$

$$R_2 \leq \sum_{z^L \in \mathcal{Z}^L} P_{\underline{Z}^L}(\underline{z}^L)(1 - \epsilon_2(\underline{z}^L))y(\underline{z}^L) + C_L$$

$$R_2 \leq \sum_{\underline{z}^L \in \mathcal{Z}^L} P_{\underline{Z}^L}(\underline{z}^L)(1 - \epsilon_{12}(\underline{z}^L))(1 - x(\underline{z}^L)) + C_L,$$

where  $-2|\mathcal{S}|(1-\sigma)^L \leq C_L \leq 2|\mathcal{S}|(1-\sigma)^L$  and  $\epsilon_j(\underline{z}^L), \epsilon_{12}(\underline{z}^L)$  are computed via  $P_{Z_1|Z_1^{t-1}}$ .

- 2009.

• Scheme is shown to strongly stabilize all rates inside the capacity region (Lyapunov stability).



# In a Picture...

[1] M. Heindlmaier and S. Saeedi Bidokhti, "Capacity regions of two-receiver broadcast packet erasure channels with feedback and hidden memory," in Int. Symp. Inf. Theory, June 2015.

[2] M. Heindlmaier, N. Reyhanian, and S. Saeedi Bidokhti, "On capacity regions" of two-receiver broadcast packet erasure channels with feedback and memory," in Allerton Conf. Commun., Control, and Computing, Oct. 2014.

[3] W.-C. Kuo and C.-C. Wang, "Robust and optimal opportunistic scheduling" for downlink 2-flow inter-session network coding with varying channel quality, "in IEEE INFOCOM, Apr. 2014.

[4] L. Georgiadis and L. Tassiulas, "Broadcast erasure channel with feedback-capacity and algorithms", in IEEE Int. Symp. Network Coding, June

[5] A. El Gamal, "The feedback capacity of degraded broadcast channels," IEEE Trans. Inf. Theory, vol. 24, no. 3, pp. 379ÃćÂĂ-381, 1978.

[6] P. Bergmans, "Random coding theorem for broadcast channels with degraded components," IEEE Trans. Inf. Theory, vol. 19, no. 2, pp. 197-207, 1973.

[7] A. Dana and B. Hassibi, "The capacity region of multiple input erasure broadcast channels," in IEEE Int. Symp. Inf. Theory, 2005.

