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# Evaluation of potential biomarkers for Ewing´s sarcoma as cargo of tumor derived exosomes

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# <span id="page-6-0"></span>**List of Abbreviations**



## List of Abbreviations



## <span id="page-8-0"></span>**Summary**

The Ewing´s sarcoma (ES) is a highly malignant primary bone tumor of children and adolescents, which is characterized by early metastasis and high rates of relapse. The discovery of minimal residual disease (MRD) in peripheral blood by specific RNA based biomarkers is challenging, since, due to RNase degradation, free RNA is unstable in the blood. However, exosomes, 30-100 nm sized extracellular vesicles (EVs) implicated in intercellular communication, do, if released by ES, potentially protect ES specific RNA transcripts as circulating shuttles.

In this research project, for biomarker discovery ES specific transcripts were identified and detected in the cargo of the ES cell line derived EVs, this study gave first evidence of. Therefor, microarray analyses were re-evaluated, suggesting 12 highly expressed potential marker transcripts, of which five (STEAP1, LIPI, NR0B1, NKX2.2, EWS-FLI1) proved their specificity being undetectable by qRT-PCR in the blood of 20 healthy donors. These five transcripts are steadily expressed in ES cell line derived EVs, which hold features of exosomes, since they show a characteristic size and morphology in electron microscopy and bear the exosome specific surface proteins CD63 and CD81 in flow cytometry. Furthermore, ES cell line derived exosomes display a typical RNA spectrum and effectively protect their RNA cargo from RNase digestion. In order to develop a clinical application, in a pre-clinical in vitro model ES cell line derived exosomes were serially diluted in healthy donor plasma. After re-isolation, the marker transcripts were detected by qRT-PCR down to a concentration in the scope of the estimated exosome concentration in the blood of tumor patients. According to the results of this model, peripheral blood samples of seven ES patients were acquired. However, in the blood of ES patients no characteristic marker pattern separating them from healthy subjects was identified.

ES cell line derived exosomes were further examined for their potential functions, since tumor derived exosomes have been shown to serve as key players in tumor progression and metastasis. Therefore, exosomes of three ES cell lines underwent microarray analyses. ES cell line derived exosomes share a common transcriptional signature, which is implicated in g-protein coupled signaling, neurotransmitter signaling and stemness. Additionally, several oncogenic miRNAs are enriched in exosomes compared to their cells of origin. Since ES cell line derived exosomes appear to be taken up by other cell types, as shown for HEK293 cells in this study, it remains a deeply interesting question for future research, how tumor derived exosomes are involved in ES tumorigenesis.

## <span id="page-9-1"></span><span id="page-9-0"></span>**1.1 Ewing´s sarcoma**

## <span id="page-9-2"></span>**1.1.1 The Ewing family of tumors**

The Ewing´s sarcoma (ES), a member of the Ewing family of tumors (ET), is the second most common primary bone cancer in children and adolescents after osteosarcoma. It arises with a peak incidence at the age of 15 [\(Riggi and Stamenkovic, 2007\)](#page-73-0), approximately 80% of the patients are younger than 20 years [\(Lahl et al., 2008\)](#page-69-0). Maintaining an incidence of 1-3 patients per million per year, ES is a rare, but, due to its high aggressiveness and early metastasis, fatal tumor. At diagnosis, 25% percent of ES have spread clinically detectable metastases [\(Bernstein et al., 2006;](#page-64-0) [Schleiermacher et al., 2003\)](#page-73-1) and it can be assumed, that an uncertainly higher number of patients already feature micrometastases. This contributes to the poor 5year disease-free survival rate of 65-76% for localized ES patients treated multimodally with surgery, radiation and multi-agent chemotherapy [\(Miser et al., 2004;](#page-71-0) [Burdach and Jürgens,](#page-65-0) [2002\)](#page-65-0). All the worse, in a primarily metastatic situation, the 5-year disease-free survival rate is remarkably reduced to 27 % [\(Bernstein et al., 2006;](#page-64-0) [Burdach et al., 1993,](#page-65-1) [2010\)](#page-65-2).

The highly malignant phenotype of ES is in 85% driven by the specific translocation  $t(11;22)(q24;q12)$ , which generates the aberrant transcription factor EWS-FLI1. EWS (Ewing sarcoma breakpoint region 1) is a strong transcription activator, while FLI1 (friend leukemia virus integration 1), as a member of the ETS (E-twenty six) family, provides a highly conserved DNA binding domain. 15% of the fusion products are combinations of EWS with alternative ETS family members, most commonly ERG (10%) [\(Delattre et al., 1992,](#page-67-0) [1994;](#page-67-1) [Sorensen et al., 1994\)](#page-74-0). This fusion oncoprotein induces the transcriptional dysregulation dictating the malignant behavior of ES. Thereby, thousands of genes are up- or downregulated by EWS-FLI1, some of which have been shown to be crucial for oncogenesis, such as NR0B1, NKX2.2, EZH2, PRKCB or STEAP1 [\(Toomey et al., 2010;](#page-76-0) [Surdez et al., 2012;](#page-75-0) [Grunewald](#page-68-0) [et al., 2012\)](#page-68-0).

Whereas the molecular origin of ES development is partly elucidated, the cellular origin remains unclear since the cell of origin could not be certainly determined yet. Firstly described as "diffuse endothelioma of the bone" by James Ewing in 1921, ES, which appears microscopically as a tumor of small blue round cells, has provoked many hypotheses about its histogenesis. Today, two of theses hypotheses dominate the ongoing debate: Whether the ES arises from a neural crest derived mesenchymal stem cell, according to the neural expres-

sion pattern in ES, or from a mesoderm-derived mesenchymal progenitor cell, which obtains a neural phenotype through EWS-FLI1 expression [\(Staege et al., 2004;](#page-75-1) [von Levetzow et al.,](#page-77-0) [2011;](#page-77-0) [Tirode et al., 2007;](#page-76-1) [Kauer et al., 2009\)](#page-69-1).

#### <span id="page-10-0"></span>**1.1.2 RNA based markers for subclinical disease and their limitations**

Caused by the late onset of specific symptoms, ES is mostly diagnosed in a progressive state. After multimodal therapy, 30-40% of ES patients incur a regression of the disease, despite intensive follow-up programs including MRI, FDG-PET/CT, technetium scintigraphy and bone marrow punctures [\(Stahl et al., 2011;](#page-75-2) [Gerth et al., 2007\)](#page-68-1). Due to the dismal outcome of relapsed disease (5-year overall survival after relapse is 13%), sensitive biomarkers, which provide an earlier detection of subclinical residual disease, are urgently required for a faster onset of rescue therapy [\(Stahl et al., 2011\)](#page-75-2).

There have been several attempts to identify reliable markers in bone marrow or peripheral blood over the last two decades. Mostly, the detection of EWS-ETS fusion transcripts or proteins as the ontologically most specific marker was focus of research. In 1995, Peter et al. discovered circulating tumor cells in bone marrow and blood by nested RT-PCR, detecting one tumor cell in the scope of  $10^6$  blood cells [\(Peter et al., 1995\)](#page-72-0). This observation was confirmed by other groups, which found in 20-30% of the patients with localized disease micrometastases in the bone marrow identifying EWS-ETS transcripts by RT-PCR. The record of these micrometastases is associated with an increased risk for recurrence and distant metastasis [\(Fagnou et al., 1998;](#page-67-2) [Schleiermacher et al., 2003;](#page-73-1) [Vermeulen et al., 2006\)](#page-77-1). In some studies, tumor cells circulating in peripheral blood were found as well in up to 26% of ES patients, a correlation with patient outcome could only be proved for patients with localized disease [\(Fagnou et al., 1998;](#page-67-2) [Schleiermacher et al., 2003\)](#page-73-1).

Beyond EWS-FLI1, its highly overexpressed downstream targets suit as biomarker candidates, too. They are abundantly higher expressed in the ES cell transcriptome than EWS-FLI1, and additionally, they can be tested independently of the knowledge on the translocation type. [Cheung et al.](#page-66-0) [\(2007\)](#page-66-0) identified transcripts, which are highly specific for ES by gene expression array analysis. The undetectability of the designed marker panel, consistent of STEAP1, CCND1 and NKX2.2, in bone marrow could be strongly correlated with patients<sup>'</sup> survival. Interestingly, the markers identified by [Cheung et al.](#page-66-0) [\(2007\)](#page-66-0) are included in the signature of 37 genes highly overexpressed in ES described in the study of [Staege et al.](#page-75-1) [\(2004\)](#page-75-1), which constituted the basis of this project.

Recapitulatory, sensitive detection of minimal residual disease (MRD) is possible, but limited in bone marrow and peripheral blood. Therefore, after-care is dominated by frequent imaging and bone marrow punctures. The identification of reliable markers in peripheral blood could partially replace radiation exposure and invasive procedures.

Adequate biomarkers, if RNA based, notably would have to fulfill two criteria: A high speci-

ficity for ES compared to all other human tissues and a conserved state in human peripheral blood.

## <span id="page-11-0"></span>**1.2 Exosomes**

Exosomes are small, 30-100 nm sized extracellular vesicles (EVs), which carry a functionally active cargo consistent of proteins, mRNAs and miRNAs [\(Bobrie et al., 2011;](#page-65-3) [Pant et al.,](#page-72-1) [2012\)](#page-72-1). Thus, exosomes enable their cell of origin to transport their specifically sorted content to any other cell. This exchange of molecular information was postulated to constitute a new way of intercellular communication [\(Valadi et al., 2007\)](#page-76-2).

#### <span id="page-11-1"></span>**1.2.1 Biogenesis and classification**

Exosomes are produced by, as far as investigated, every cell type and can be found in most body liquids, such as plasma, urine, saliva, amniotic fluid, broncheoalveolar lavage, ascites and cerebrospinal fluid [\(Pant et al., 2012;](#page-72-1) [Keller et al., 2011,](#page-69-2) [2009\)](#page-69-3).

The hallmark of exosomes - in contrast to other, larger types of EVs (see table [1.1\)](#page-13-1) - is their endosomal origin. In the cells´ late endosome, they are formed by inward budding of the endosomal membrane. During this process of invagination an endosomal sorting complex (either ESCRT- or ceramide-dependent) selectively loads the exosomal charge from the cytosol into the vesicles. At this point, the late endosome transforms into a multivesicular body, which will fuse later with the plasma membrane and release the exosomes into the extracellular space (figure [1.1\)](#page-12-1).

Exosomes consist of a double lipid layer rich of cholesterol, sphingomyelin and ceramide. They bear numerous surface proteins, such as tetraspanins, including CD63 and CD81, antigen presentation proteins as MHC I and II, lipid raft associated proteins as flotillin 1 and adhesion molecules. Due to the process of double inward budding the orientation of the exosomal membrane proteins is the same as the cells. In their cargo characteristic proteins give evidence of their endosomal origin, such as components of the endosomal sorting complex (ESCRT, Alix and TSG101), heat shock proteins (especially Hsp70) and proteins involved in membrane transport and fusion, such as Rab and annexins (figure [1.2\)](#page-13-0)[\(van der Pol et al., 2012\)](#page-77-2).

Featuring these specific components, exosomes can clearly be distinguished from other types of EVs. Additionally, they exhibit different properties in electron microscopy, such as a size of 30-100 nm and the specific "cup shaped" morphology after fixation. They can further be characterized by their density on a sucrose gradient in the scope of 1.13-1.19 g/ml. In the past, the nomenclature of different types of EVs was often used unprecisely in literature. In this thesis the term exosomes or microvesicles is exclusively used for vesicles fulfilling the criteria listed in table [1.1.](#page-13-1) For vesicles which cannot definitely be classified, the term extracellular vesicles (EVs) will be utilized.



<span id="page-12-1"></span>

Lately evidence rises, that there are not only different types of EVs, but also several subclasses of exosomes. Methodologically, these subclasses cannot be separated so far, but the differentiation of exosome subclasses with distinct features and functions will be an important future field of research casting light upon the biological relevance of exosomes in vivo.

## <span id="page-12-0"></span>**1.2.2 Communication pathways**

Exosomes have been compared to a message in a bottle [\(Kharaziha et al., 2012\)](#page-69-4), a trojan horse [\(Thébaud and Stewart, 2012\)](#page-76-3) or an intercellular postal service - since the ways their message is delivered to the recipient cell are differential (figure [1.3\)](#page-14-1).

Basically, exosomes use two distinct modalities of communication: surface receptor interaction and internalization.

As exosomes carry MHC receptors of both classes, they participate in antigen presentation and immunomodulation [\(Chaput and Thery, 2011\)](#page-66-1). Yet, they bear a multitude of other membrane receptors that can activate specific pathways. In this context, it was reported that exosomes carry Wnt-proteins on their surface and induce Wnt signaling in the targeted cells [\(Gross et al., 2012\)](#page-68-2).

The other mode of message delivery, internalization into the recipient cell, can occur through plasma membrane fusion or endozytosis of the exosome. In both cases a horizontal transfer of the exosomal content, which is functionally processed in the recipient cell, occurs. Intact RNA



<span id="page-13-0"></span>Figure 1.2: Characteristic exosomal molecules

<span id="page-13-1"></span>Table 1.1: Characteristics of the three main types of extracellular vesicles

Type	Origin	Diameter (nm)	Density $(g/ml)$	EM	Marker proteins
Exosomes	Endosome/ <b>MVBs</b>	$30-100$	1.13-1.19	cup shaped	CD63, CD81, Hsp70, Alix, TSG101
Microvesicles	membrane shedding	100-1000	Unknown	irregular	Integrins, selectins, CD40ligand
Apoptotic bodies	apoptotic blebbing	1000-5000	1.16-1.28	heterogenous	Histones, TSP, C3b

[\(van der Pol et al., 2012;](#page-77-2) [Akers et al., 2013;](#page-64-1) [Mathivanan et al., 2010;](#page-70-0) [Taylor and](#page-76-4) [Gercel-Taylor, 2013\)](#page-76-4)



<span id="page-14-1"></span>Figure 1.3: Exosomal communication pathways

Figure modified from [EL Andaloussi et al.](#page-67-3) [\(2013\)](#page-67-3)

species including mRNAs and microRNAs proved to be transferred between cells modulating gene expression [\(Valadi et al., 2007\)](#page-76-2). On protein level, it was shown that glioblastoma derived EVs deliver the oncogenic receptor EGVRvIII to glioblastoma cells lacking this mutation. In consequence they adapt the transformed phenotype [\(Al-Nedawi et al., 2008\)](#page-64-2). Further research is required on the mechanism of recognition between exosome and target cell, navigating the selective exchange between defined cell types. It was hypothesized that tetraspanins contribute to these specific docking sites [\(Rana and Zöller, 2011\)](#page-72-2).

Generally, exosomes can interact with cells in a juxtacrine - modulating the microenvironment - or a paracrine manner by release of exosomes into the circulation.

### <span id="page-14-0"></span>**1.2.3 Roles in tumorigenesis**

Exosomes fulfill pleiotropic physiological effects, as stem cell maintenance, tissue repair, immune surveillance and blood coagulation [\(EL Andaloussi et al., 2013\)](#page-67-3). But they also play key roles in pathologic processes. Especially in cancerogenesis, there is increasing evidence that tumor derived exosomes influence the tumors environment in favor of tumor growth and metastasis via multiple mechanisms.

#### <span id="page-15-0"></span>**1.2.3.1 Immunosuppression**

Tumor derived exosomes inherit immunosuppressive functions [\(Chaput and Thery, 2011\)](#page-66-1). Thus, they are able to interact directly with CD8 positive T-cells and induce apoptosis via the Fas ligand. This was shown for several tumor cell lines, but also for tumor derived EVs gained from patient plasma and ascites [\(Kim et al., 2005;](#page-69-5) [Andreola et al., 2002;](#page-64-3) [Huber et al.,](#page-68-3) [2005;](#page-68-3) [Wieckowski et al., 2009;](#page-77-3) [Peng et al., 2011\)](#page-72-3). Furthermore, tumor derived exosomes induce the proliferation of regulatory T-cells [\(Szajnik et al., 2010\)](#page-75-3), but inhibit the proliferation of Tcells dependent on interleukin 2 [\(Clayton et al., 2007\)](#page-66-2). They suppress the function of natural killer cells via TGFβ [\(Szczepanski et al., 2011\)](#page-75-4) and reduce their cytotoxicity by exposure of the NKG2D ligand on the exosomal surface [\(Ashiru et al., 2010;](#page-64-4) [Clayton et al., 2008;](#page-66-3) [Liu](#page-70-1) [et al., 2006\)](#page-70-1). EVs also affect dendritic cells by impairing their differentiation from myeloid precursors [\(Yu et al., 2007\)](#page-78-0), while they promote the proliferation of myeloid suppressor cells instead [\(Liu et al., 2010;](#page-70-2) [Chalmin et al., 2010\)](#page-65-4). These interactions only display a part of the complex communication between tumor derived exosomes and the immune system, but they clearly contribute to the tumor´s undermining of immune surveillance.

On the other side, tumor derived exosomes also hold functions, which can promote immune response. Presenting antigens to DCs, tumor derived exosomes can provoke T-cell activation [\(Chaput and Thery, 2011\)](#page-66-1).

#### <span id="page-15-1"></span>**1.2.3.2 Angiogenesis**

Tumor growth requires angiogenesis, which is partly mediated by tumor derived exosomes. For instance, glioblastoma derived EVs were shown to induce massive growth of HUVEC cells in vitro [\(Skog et al., 2008\)](#page-74-1). Some of the multiple underlying mechanisms of the activation of endothelial cells through tumor derived exosomes have been elucidated.

EGFR derived from cancer cells bound on the EV surface can activate endothelial cells via the autocrine VEGF/VEGFR-2 pathway [\(Al-Nedawi et al., 2009\)](#page-64-5). Moreover, the uptake of tumor derived exosomes bearing tetraspanin 8 induces the expression of angiogenic genes in endothelial cells, such as Willebrand factor, Tspan8, VEGF and its receptor VEGFR-2, resulting in increased proliferation of endothelial cells [\(Nazarenko et al., 2010\)](#page-71-1). Notch ligand Dll4 was shown to be delivered into endothelial cells by tumor exosomes, increasing the branching of vessels [\(Sheldon et al., 2010\)](#page-74-2). Furthermore, exosomes can promote epigenetic changes in endothelial cells, for example by the exosomal transfer of miR-9 from melanoma cells to endothelial cells, inducing their migration and promoting angiogenesis in vivo by the JAK-STAT pathway [\(Zhuang et al., 2012\)](#page-78-1).

#### <span id="page-15-2"></span>**1.2.3.3 Modulation of the microenvironment**

Tumor cells can manipulate their environment by means of exosomes. Not only stromal cells are affected by tumor derived exosomes - neighbored tumor cells communicate among

themselves via exosomes. As mentioned in [1.2.2,](#page-12-0) glioblastoma cells harboring the mutated EGFRvIII receptor transfer this receptor towards glioblastoma cells lacking it. Subsequently, these glioblastoma cells develop the same, more malignant EGFRvIII dependent phenotype including morphological transformation and anchorage-independent growth [\(Al-Nedawi et al.,](#page-64-2) [2008\)](#page-64-2). In a similar manner, colon cancer cells expressing a mutant KRAS protein release exosomes with a significantly changed proteome, containing tumor-promoting proteins such as EGFR, SRC family kinases, integrin and the mutant KRAS itself. These exosomes were shown to be taken up by wild-type KRAS colon cancer cells, which adapt the behavior of the mutant KRAS cells, as enhanced cell growth and tumorigenicity [\(Demory Beckler et al.,](#page-67-4) [2013\)](#page-67-4).

However, the modulation of stromal cells by tumor derived exosomes seems to pave the way for progressive tumor development. It was reported on exosomes derived from different cancer cell lines, such as mesothelioma [\(Webber et al., 2010\)](#page-77-4), gastric [\(Gu et al., 2012\)](#page-68-4), mammary [\(Cho et al., 2012\)](#page-66-4) and prostate carcinoma cells [\(Webber et al., 2014\)](#page-77-5), that they convert stromal cells into tumor associated myofibroblasts, which support tumor growth, vascularization, and metastasis. Thereby, TGFβ associated with transmembrane proteoglycan betaglycan plays a key role by activating Smad-dependent signaling [\(Webber et al., 2010;](#page-77-4) [Gu et al., 2012\)](#page-68-4).

#### <span id="page-16-0"></span>**1.2.3.4 Metastasis**

The transformation of stromal cells by exosomes, not in a juxtacrine, but in a paracrine manner, can furthermore prepare metastatic spread of the tumor.

Melanoma derived exosomes accumulate in sentinel lymph nodes and induce the recruitment of melanoma cells, angiogenesis and extracellular matrix remodeling in favor of metastasis [\(Hood et al., 2011\)](#page-68-5). [Peinado et al.](#page-72-4) [\(2012\)](#page-72-4) showed that exosomes derived from highly metastatic melanoma cells are able to reprogram bone marrow progenitor cells by transfer of the oncoprotein MET. The exosomes induced a pro-vasculogenic phenotype, preparing a premetastatic niche. Metastatic burden was increased threefold in mice injected with melanoma cells and bone marrow progenitor cells which were primarily treated with melanoma exosomes compared to controls with untreated bone marrow progenitor cells.

Interestingly, in reverse stromal cells influence the tumor´s behavior with behalf of exosomes. This was shown for tumor associated fibroblasts in breast cancer, which produce exosomes stimulating breast cancer cell motility and metastatic spread activating the autocrine Wnt-PCP pathway [\(Luga et al., 2012\)](#page-70-3). Contrarily, exosomes of bone marrow derived mesenchymal stem cells induce dormancy in breast cancer cells, reducing proliferation and chemosensitivity to docetaxel, probably to facilitate tumor cell survival in a metastatic niche [\(Ono et al., 2014\)](#page-71-2).

#### <span id="page-17-0"></span>**1.2.3.5 Drug resistance**

Historically exosomes were first described in their function as garbage shuttles, which transport cellular waste into the extracellular space. This function remains an important one, especially with regards to drug resistance mechanisms in tumor cells. Indeed, there is evidence that chemotherapy agents, such as cisplatin, are concentrated and removed from the cytosol by the release of exosomes [\(Safaei et al., 2005\)](#page-73-2).

Beyond, there are several other exosome mediated mechanisms inducing tumor cell drug resistance. For instance, in breast cancer, exosomes from HER2-overexpressing cell lines as well as exosomes derived from patient plasma, bind trastuzumab and thus reduce the amount of the active antibody in the circulation [\(Ciravolo et al., 2012\)](#page-66-5). The overexpression of drug transporters is crucial for the development of a tumor´s chemoresistance. Two studies revealed that exosomes enable tumor cells to exchange these drug transporters and hereby transfer their resistance [\(Gong et al., 2012;](#page-68-6) [Corcoran et al., 2012\)](#page-66-6). Prostate cancer cell lines, which are resistant towards docetaxel, release the responsible drug transporter MDR-, in their exosomes, which are taken up by sensitive cell lines resulting in chemoresistance [\(Corcoran](#page-66-6) [et al., 2012\)](#page-66-6). Similarly, adriamycin resistant breast cancer cells express high levels of TrpC5, which is required for adriamycin elimination via EV budding, and transfer TrpC5 mRNA to other cancer cells impairing their drug sensitivity [\(Ma et al., 2014\)](#page-70-4).

### <span id="page-17-1"></span>**1.2.4 Diagnostic implications as tumor markers**

Since it has been investigated that extracellular vesicles carry a fingerprint of their cell of origin, that they are produced abundantly by some cancer entities and that they are released into the circulation, EVs arise as new opportunity for biomarker discovery. The idea of a "fluidome" or a "liquid biopsy" prompted an abundance of studies on exosomal biomarkers in cancer [\(Pant et al., 2012\)](#page-72-1).

Conserving their nucleid acid cargo from degradation, EVs promised particularly to provide new potential in RNA diagnostics. In 2008, Skog et al. detected mRNA of the mutant EGFRvIII encapsulated in microvesicles in the plasma of glioblastoma patients [\(Skog et al.,](#page-74-1) [2008\)](#page-74-1). A year later, in exosome preparations of plasma of gastric cancer patients an abundantly higher amount of MAGE-1 and HER-2/neu transcripts than in healthy controls was found [\(Baran et al., 2010\)](#page-64-6). In prostate cancer, the fusion transcript TM-PRSS2:ERG was detected in the urine of all individuals with a gleason score higher 7, PCA-3 transcript was found in all diseased subjects [\(Nilsson et al., 2009\)](#page-71-3). Studies for specific transcripts, such as fusion proteins or their downstream targets, have in common that they share a high specificity, but a low sensitivity caused by the predominant background of EVs derived from normal tissues. To overcome this problem, new PCR techniques as BEAMing PCR and droplet PCR were applied. Hereby, the detection of tumor transcripts holding short mutations was considerably improved [\(Chen et al., 2013\)](#page-66-7). In a different approach, whole transcriptome expression patterns

of patient plasma derived exosomes were compared to healthy controls by microarray analysis showing a definable signature in glioblastoma patients compared to healthy controls [\(Noer](#page-71-4)[holm et al., 2012\)](#page-71-4). Beyond mRNA, an abundance of other small RNAs, coding or non-coding, is element of the exosomal cargo. Great diagnostic value is foremost ascribed to miRNAs. In many cancer entities, as breast [\(Corcoran et al., 2011\)](#page-66-8), lung [\(Rabinowits et al., 2009\)](#page-72-5), ovarian [\(Taylor and Gercel-Taylor, 2008\)](#page-75-5), prostate [\(Bryant et al., 2012\)](#page-65-5), colorectal [\(Ogata-Kawata](#page-71-5) [et al., 2014\)](#page-71-5) and esophageal carcinoma [\(Takeshita et al., 2013;](#page-75-6) [Tanaka et al., 2013\)](#page-75-7), specific miRNA patterns were found within patient plasma derived exosomes.

On the protein level, interesting studies can be found especially in melanoma and ovarian cancer, where tumor derived proteins could not only be detected in plasma, but also in ascites [\(Logozzi et al., 2009;](#page-70-5) [Peinado et al., 2012;](#page-72-4) [Li et al., 2009;](#page-70-6) [Keller et al., 2009\)](#page-69-3).

The source of exosomes derived from urine, as a body fluid with easy, uninvasive and unlimited access to samples, is even more favorable. Contrarily to exosomes enriched from peripheral blood, these exosomes additionally have direct contact to the fluid. Thus, research on exosome tumor markers has partly focused on prostate and bladder cancer.

For a more detailed description of all mentioned exosomal tumor markers and an overview on all relevant studies in this field see table [1.2.](#page-19-0)

Despite the plethora of promising approaches, broad studies on the diagnostic or predictive value of exosomal biomarkers in plasma are lacking. Eminent reason is the remaining variety in the method of exosome isolation, ranging from different ultracentrifugation protocols over ELISA techniques to magnetic ligation assays. A further step towards the simplification of exosome diagnostics is a new method called ExoScreen developed by [Yoshioka et al.](#page-77-6) [\(2014\)](#page-77-6) for colorectal cancer, which renders the lossy step of exosome purification. Instead, tumor derived exosomes were identified by antibody coupled photosensitizer-beads, reducing preparation time and probe volume, but not impairing test sensitivity [\(Yoshioka et al., 2014\)](#page-77-6).

Furthermore, the compound of the exosomal cargo underlies a fluctuation dependent on tumor state, therapy and unknown factors requiring a deeper understanding of these mechanisms for biomarker identification on the one side [\(Tickner et al., 2014\)](#page-76-5), but, if closely monitored, reflecting treatment response on the other side [\(Shao et al., 2012;](#page-74-3) [Ma et al., 2014\)](#page-70-4).

<span id="page-19-0"></span>











ExoTest In-house sandwich ELISA for CD63 and Rab-5b

ExoScreen Amplified luminescent proximity homogeneous assay

ExoQuick Commercial precipitation assay

## <span id="page-24-0"></span>**1.3 Research objectives**

## <span id="page-24-1"></span>**1.3.1 Aim of the project**

It has been the underlying aim of this MD thesis to investigate biomarkers for ES in the form of specific transcripts in peripheral blood. The project was based on the hypothesis that the ES produces exosomes containing and thus protecting these transcripts from degradation. Furthermore, the encapsulation of transcripts in exosomes offers an approach to enrich them in human plasma.

In the present study the author selected biomarker transcripts highly specific for ES, proved the existence of ES derived exosomes, described their characteristic features and detected the elected transcripts in their functionally active RNA cargo. Moreover, the author showed that these transcripts can be effectively enriched in vitro via exosome preparation and that they are protected from RNase degradation. In a pre-clinical plasma model exosomes diluted in healthy donor plasma could be re-detected to a high degree of sensitivity. Nevertheless, the transcripts could not be detected in the exosome concentrate of seven patient plasma samples tested.

Furthermore, the author explored some of the biological roles of exosomes in ES tumorigenesis by direct immunofluorescence and microarray analysis.

### <span id="page-24-2"></span>**1.3.2 Key questions**

- 1. Which transcripts could serve as potential biomarkers for ES in peripheral blood?
- 2. How can the sensitivity of biomarker detection be increased?
- 3. Does the ES produce exosomes?
- 4. Do these exosomes contain ES specific transcripts?
- 5. Does exosome enrichment indeed increase the test sensitivity?
- 6. Do exosomes serve as vehicles for potential biomarkers in plasma?
- 7. What can further be mentioned about the possible biological role of exosomes in ES tumorigenesis?

## <span id="page-25-1"></span><span id="page-25-0"></span>**2.1 Materials**

## <span id="page-25-2"></span>**2.1.1 List of Manufacturers**

<span id="page-25-3"></span>



## <span id="page-26-0"></span>**2.1.2 General materials**





## <span id="page-27-0"></span>**2.1.3 Instruments and equipment**





## <span id="page-28-0"></span>**2.1.4 Chemical and biological reagents**





All reagents were purchased from Sigma (Deisenhofen, Germany) if not otherwise specified.

## <span id="page-29-0"></span>**2.1.5 Commercial reagent kits**



## <span id="page-30-0"></span>**2.1.6 Media, buffers and solutions**

## <span id="page-30-1"></span>**2.1.6.1 Cell culture media and universal solutions**



## <span id="page-30-2"></span>**2.1.6.2 Buffer and gel for DNA/RNA electrophoresis**



## <span id="page-30-3"></span>**2.1.6.3 Buffers, solutions and antibodies for flow cytometry**



## <span id="page-30-4"></span>**2.1.6.4 Human cell lines**

All human cell lines were purchased from the German Collection of Microorganisms and Cell Cultures (DSMZ), except for the human A673 ET cell line, which was purchased from ATCC (LGC Standards). The human SB-KMS-KS1 ET cell line was established in the Laboratory of Functional Genomics of Department of Pediatrics (TU München).

The following human cancer cell lines were used:





## <span id="page-32-0"></span>**2.1.6.5 Primer assays used for quantitative Real-Time PCR (qRT-PCR)**

Primers were purchased as specific TaqMan Gene Expression Assays from Applied Biosystems:



For the detection of the EWS-FLI1 fusion transcript, the following primers were used: sense 5'-TAGTTACCCACCCCAAACTGGAT-3' antisense 5'-GGGCCGTTGCTCTGTATTCTTAC-3' probe 5'-FAM-CAGCTACGGGCAGCA-TAMRA-3'

## <span id="page-32-1"></span>**2.2 Methods**

## <span id="page-32-2"></span>**2.2.1 Cell culture conditions and cell cryoconservation**

All cell lines were cultured in RPMI 1640 medium (Invitrogen) containing 10% FBS (Biochrom),  $1\%$  L-glutamine and 100  $\mu$ g/ml gentamicin (Invitrogen). They were grown at 37°C in a humidified atmosphere containing  $5\%$  CO<sub>2</sub>. Approximately every 4 days, cells were split 1:4 into larger culture flasks and cultured in 30 ml fresh medium.

For cell cryoconservation,  $1.10^6$ cells per ml FBS/10% DMSO were frozen at -192°C in liquid nitrogen. Therefore, the harvested cell pellet was diluted in an adequate volume of cool FBS/10% DMSO. 1 ml aliquots of this suspension were transferred into cryovials, which were pre-cooled on ice. To avoid membrane rupture, for the first 24h the cryovials were stored in controlled freezing boxes at -80°C before they were placed in liquid nitrogen.

For re-culturing, the frozen cells were thawed at room temperature (RT) until the content was liquid enough to be quickly transferred into a tube containing 5 ml of standard media. Then, the cells were centrifuged 1,500 rpm for 5 min, resuspended in 1ml of media and transferred into T75 culture flasks containing 10 ml of pre-warmed standard medium.

The quantity of cells was determined using a Neubauer hemocytometer after the cells were stained with Trypan-Blue (Sigma) to check the cell viability.

Cell lines were routinely controlled for mycoplasma contamination using MycoAlertTM Mycoplasma Detection Kit according to the manufacturer's instructions (Lonza) and for purity (status of EWS-ETS fusion transcript in ES cell lines, checked by PCR).

Due to the fact that FBS contains bovine exosomes, for most of the experiments the media first had to be cleared from FBS-derived exosomes. Therefore, FBS was ultracentrifuged for 2 h at 100,000g to pellet and discharge the bovine serum exosomes, before it was collected and added to the RPMI 1640 media.

### <span id="page-33-0"></span>**2.2.2 Provenience of blood samples and plasma preparation**

Human blood samples were obtained with IRB approval from the Faculty of Medicine of the Technische Universität München (TUM) under the Neo-Ident study (approval no: 2562/09). All donors gave written informed consent. Peripheral blood samples were obtained from 23 healthy adult donors, whose health status was checked by a standardized questionnaire (see Appendix). Peripheral venous blood was drawn into 9 ml EDTA-coated collection tubes (Sarstedt) and centrifuged for 7 min at 2,200 rpm. Plasma was carefully transferred into a new tube, centrifuged for 15 min at 4,000 rpm to remove platelets. Then it was filtered through a 0.45 µm filter (Sartorius) and centrifuged again at 4,000 rpm for 3 min to clear it from debris. All centrifugation steps were performed at 4°C. Plasma samples were stored at -80°C if they were not used immediately for RNA extraction or exosome enrichment.

For negative controls (see section [3.1.3\)](#page-44-1) two 9 ml tubes were required from each donor, for dilution experiments (see section [2.2.3.4\)](#page-35-1) 15 9 ml tubes were taken and for exosome enrichment from patient or control plasma (see section [3.5.2\)](#page-50-2) three 9 ml tubes were necessary.

In order to facilitate the co-operation with the clinic, to check the tumor stage and pretreatment of the patient and for the sake of determining the dates of the blood withdrawal, a form to be filled in by the attending doctor was designed (see Appendix).

#### <span id="page-33-1"></span>**2.2.3 Exosome preparation**

Exosomes were isolated from the cell culture supernatant of A673, SK-N-MC, and SB-KMS-KS1 ES cell lines using the ultracentrifugation protocol previously described by [Thery et al.](#page-76-6) [\(2006\)](#page-76-6) (figure [2.1\)](#page-34-1).

#### <span id="page-33-2"></span>**2.2.3.1 Cell culture**

ES cells at 80% confluency were washed thrice with PBS and cultured in fresh RPMI 1640 media containing 10% exosome-depleted FBS (see [2.2.1\)](#page-32-2), 1% glutamine and 100  $\mu$ g/ml gentamycin (both Invitrogen). The supernatant of at least four T150 cell culture flasks (or circa 250·10<sup>6</sup> cells, respectively) was used for exosome production of one cell line.

<span id="page-34-1"></span>

Figure 2.1: Procedure of exosome preparation

#### <span id="page-34-0"></span>**2.2.3.2 Ultracentrifugation**

After 48 to 72 h the supernatant was collected and centrifuged in a Hereaus Multifuge 3 S-R at  $300q$  for 10 min and at  $2,000q$  for 10 min discarding the cell pellet. Then the supernatant was transferred into polypropylene supercentrifugation tubes (Nalgene) and centrifuged at  $10,000q$ for 30 min in a Sorvall RC 6 supercentrifuge with a SS-34 rotor to clear it from cellular debris. Subsequently, the supernatant was transferred into polyallomer ultracentrifugation tubes (Beckman Coulter) and the filling volume was adjusted (up to 0.01 g) using a precision balance (Kern) before ultracentrifugation at 100,000g for 70 min was started to collect the exosomes. Afterwards, the supernatant was discharged by tipping it out quickly (this proved to be more preserving than taking it off with a pipet boy) and carefully removing remaining drops of medium with a pipette. In a second step, the mostly invisible exosome pellets were pooled, resuspended in PBS and once more ultracentrifuged at 100,000g for 60 min using an Optima XL-90 ultracentrifuge with a 70.1 Ti rotor (both Beckman Coulter).

Exosomes from human plasma samples were isolated by ultracentrifugation at 110,000g for 120 min using a SW 41 Ti rotor (Beckman Coulter).

The correct number of revolutions per minute at  $100,000q$  or  $110,000q$  for the respective rotor was calculated by means of the formula

$$
rpm = \sqrt{\frac{g}{1.12 \times 10^{-6} \times r}}
$$

The final exosome pellet was resuspended in 10 to 100 ml PBS. All centrifugation steps were carried out at 4°C.

#### <span id="page-35-0"></span>**2.2.3.3 Quantification and storage**

The amount of harvested exosomes was estimated by measuring the protein content with a commercial Bradford assay (Bio-Rad). For this purpose, 5 µl of the resuspended exosome pellet were diluted with 5 µl of PBS. Then 1 ml of 1:5 diluted Bradford solution (Bio-Rad) was added. After 3 min, protein concentrations were measured photometrically at 595 nm and referenced to nine defined BSA standards  $(1.$  PBS,  $2.$  15.5  $\mu$ g/ml,  $3.$  31  $\mu$ g/ml,  $4.$  62 µg/ml, 5. 125 µg/ml, 6. 500 µg/ml, 7. 1,000 µg/ml, 8. 2,000 µg/ml, 9. 4,000 µg/ml). The average amount of exosomes harvested from supernatant of  $1.10^6$  A673 and SK-N-MC cells after 48 h incubation was 0.8 µg.



<span id="page-35-2"></span>

All exosome pellets were stored at -80°C if they were not used immediately for subsequent analyses.

#### <span id="page-35-1"></span>**2.2.3.4 Dilution experiments**

As a pre-clinical plasma model, ES cell line derived exosomes were diluted in healthy donor plasma. It was based on a calculation that involved data of the usual exosome yields (0.8 μg protein equivalent of  $1.10^6$  cells in 48 h) as well as estimates about the possible behavior of ES exosomes in vivo. Based on previously published data it can be assumed that exosomes could be stable in blood for 8 days [\(Thery et al., 2006\)](#page-76-6) and that more than 2% of the exosomes of a clinical ES would be released into the circulation. If a given ES comprised about  $1.10<sup>9</sup>$  cells, which corresponds to a tumor volume of  $1 \text{ cm}^3$  [\(James et al., 1999\)](#page-69-7), and the plasma volume was 2.7 l, we can calculate that the amount of exosomes in 10 ml plasma would account 0.24 μg.

$$
\frac{\frac{0.8 \text{µg}}{10^6} \times 10^9 \times \frac{2d}{8d} \times 0.02}{2.7l} = 24 \frac{\text{µg}}{l} = 0.24 \frac{\text{µg}}{10 \text{µl}}
$$

Accordingly, for the preclinical model, ES cell line-derived exosomes were serially diluted (range: 30 to 0.1 μg exosome equivalent) in 10 ml of plasma of a healthy donor and then isolated by ultracentrifugation as described in [2.2.3.2](#page-34-0) followed by RNA extraction, qRT-PCR and DNA gel electrophoresis.


Figure 2.3: Procedure of dilution experiments

### **2.2.4 RNA isolation**

There are several ways extracting RNA from exosomes. Because exosomes differ from their donor cells not only in protein and lipid composition, but also in their RNA content, different methods are eligible for RNA isolation from cells and exosomes [\(Eldh et al., 2012\)](#page-67-0). As there exists no specific exosomal RNA isolation kit up to date, properties of other methods or kits have to be utilized to receive optimal results. For example, exosomes have a more rigid membrane than cells have due to a decreased phosphatidylcholine content and enrichment in sphingomyelin and cholesterol [\(Laulagnier et al., 2004;](#page-69-0) [Trajkovic et al., 2008;](#page-76-0) [Mitchell](#page-71-0) [et al., 2009\)](#page-71-0) and therefor a stronger lysing buffer (as in the miRCURY RNA Isolation Kit) is conducive, whereas phenol based lyzation is less valuable. Besides, exosomes contain a high amount of small RNAs, which are marginally filtered by some of the column based methods as the RNeasy Mini Kit. Moreover, the selection of the extraction method depends on the liquid the exosomes are soluted in and its protein content (Plasma or PBS), on the exosome or RNA concentration (high sensitivity required, e.g. for transcript detection in peripheral blood) and on what the RNA is supposed to be used for (high quality required, e.g. for microarrays).

### **2.2.4.1 RNA isolation from cells**

**RNeasy Mini Kit** RNA from cultured cells was extracted with the RNeasy Mini Kit according to the manufacturer's instructions (Qiagen Handbook 04/2006). This procedure does not provide an enrichment of RNAs smaller than 200 bases, because they are sequestered under given high-salt conditions. Up to 1·10<sup>7</sup> cells were lysed in an appropriate volume of RLT buffer (containing 10 µl ß-mercaptoethanol/ml RLT), mixed with an equal amount of  $70\%$ ethanol and vortexed. The lysate was transferred onto RNeasy spin columns and centrifuged for 1 min at 10,000 rpm. This step enabled binding of the RNA to the silica-gel membrane within the RNeasy spin column. The membranes were washed three times with wash buffers

with a final centrifugation step at 12,000 rpm for 2 min to dry the membranes. Elution of RNA was carried out with 30-40 µl RNAse-free water.

**TRI Reagent RNA Isolation Kit** This RNA isolation procedure was used to extract total RNA from cultured cells for microarray experiments, because RNA isolation by RNeasy Mini Kit is not sufficient for the isolation of RNA molecules smaller than 200 bases. RNA isolation was performed with the TRI Reagent RNA Isolation Kit according to manufacturer's instructions (Ambion Manual Version  $06/10$ ). Up to  $1.10<sup>7</sup>$ trypsinated cells were homogenized in 1 ml TRI Reagent and centrifuged at  $12,000q$  for 10 min. After addition of 200 µl BCP (1-bromo-3-chloropropane) per ml TRI Reagent, samples were vigorously vortexed for 20 sec and centrifuged at  $12,000g$  for 15 min at  $4^{\circ}$ C. The aqueous RNA phase was transferred into a new reaction tube and RNA was precipitated by adding 500 µl isopropanol per ml TRI Reagent. The sample was vortexed and centrifuged at 12,000g for 8 min at 4°C. Then the RNA pellet was washed with 500 µl 75% ethanol and centrifuged at 7,500 $q$  for 5 min at 4°C. After removal of ethanol the pellet was air-dried for 7 min and dissolved in 50-100 µl RNAse-free water.

### **2.2.4.2 RNA isolation from exosomes and plasma**

**QIAamp Ultra Sens Virus Kit** This kit uses a carrier RNA to detect very small amounts of RNA or RNA fragments in blood and other body fluids. RNA was isolated from plasma as well as exosomes diluted in and enriched from plasma using the QIAamp Ultra Sens Virus kit following the manufacturer's instructions (Qiagen Handbook 01/2003). To 1 ml of Plasma or exosome concentrate diluted in PBS up to a volume of 1 ml an adequate volume of Buffer AC and 5,6 µl carrier RNA were added. After 10 min incubation the mixture was centrifuged at  $660g$  for plasma and at  $830g$  for exosomes in order to receive optimal results. The precipitates were resuspended with proteinase K and buffer AR during shaking incubation at 40°C for 10 min. The lysate was mixed with binding buffer and transferred into the QIAamp spin columns, centrifuged at  $4300g$  and washed twice with two different washing buffers. The RNA was eluted with 40µl of buffer AVE and subsequent centrifugation at  $6000q$  for 1 min. To further increase the gain of RNA, the eluate was pipetted once more on the membrane of the column and centrifuged as described above. To avoid RNase contamination during the RNA isolation process, the sterile bench and all instruments were pre-cleaned with  $\text{RNaseZap}(\mathbb{R})$  (Invitrogen). All centrifugation steps were carried out at RT.

**miRCURY RNA Isolation Kit** To isolate RNA from exosomes for microarray analysis the miRCURY RNA isolation kit (Exiquon, Vedbaek, Denmark) was used according to the manufacturer's protocol and as described previously by [Eldh et al.](#page-67-0) [\(2012\)](#page-67-0). To 200 µg exosomes 350 µl lysis solution (containing 10 µl ß-mercaptoethanol/ml) were given. After vortexing, 200 µl of 99% ethanol were added. The vortexed lysate was transferred into spin columns, washed

thrice with wash solution (centrifugation at each washing step with  $14,000g$ , 1 min) before 50 µl elution buffer were pipetted carefully on the membrane to elute the RNA in a final centrifugation step at  $200g$  for 2 min and thereafter at  $14,000g$  for 1 min. All centrifugation steps were carried out at RT.

RNA concentration and quality were checked photometrically at 260 nm before RNA was stored at -80°C.

### <span id="page-38-0"></span>**2.2.5 RNase treatment**

To prove that the exosomal RNA is protected from RNases, RNase-treatment was carried out. Therefore, freshly prepared exosomes or supernatant were incubated with 0.1  $\mu$ g/ $\mu$ l RNase A (Fermentas) for 10 min at 37°C. As a control, exosomes were sonicated to disrupt their membrane before RNase-treatment as it was described previously [\(Keller et al., 2011\)](#page-69-1). The Sonication of exosomes was conducted three times successively for 10 sec at 80% amplitude with a digital sonicator (Branson).

### **2.2.6 Reverse transcription**

To examine gene expression by qRT-PCR, isolated RNA was reversely transcribed into complementary DNA (cDNA) using the High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems). According to the manufacturer's instructions (Applied Biosystems Insert  $P/N$ 4375222 REV A) 5.8µ l of reverse transcription master mix containing 0.8 µl dNTPs, 1 µl MultiScribe<sup>™</sup> Reverse Transcriptase, 2 µl reverse transcription random primers and 2 µl buffer were mixed with 14.2 µl RNA solution (containing 1 µg purified RNA). The cDNA was synthesized under the following thermal cycling conditions: 10 min at 25°C, 120 min at 37°C, 5 min at 85°C, and terminal hold at 4°C.

### **2.2.7 Quantitative Real-Time PCR (qRT-PCR)**

Quantification of synthesized cDNA by qRT-PCR allows examination of differential gene expression as the amount of cDNA corresponds to the amount of mRNA. Gene-specific primer assays were obtained from Applied Biosystems (see [2.1.6.5\)](#page-32-0), which consisted of a FAM<sup>™</sup> dyelabeled  $TaqMan(\mathbb{R})$  MGB probe and two unlabeled PCR primers. All analyses were carried out in 96-well format. 1 µl of specific primer assays and 1 µl of cellular, 2 µl of exosomal or 4 µl of plasma derived cDNA template were added to 10 µl TaqMan Universal PCR Master Mix (Applied Biosystems) and adjusted to a final volume of 20 µl with RNAse-free water. Fluorescence was measured with an AB 7300 Real-Time PCR System (Applied Biosystems). Gene expression values were normalized to those of the housekeeping genes *qlyceraldehyde* 3phosphate dehydrogenase (GAPDH) or beta-2-microglobulin (B2M) using the  $2^{-ddCt}$  method. Mean values and standard deviations of duplicate measurements were calculated using Microsoft Excel.

### **2.2.8 Detection of EWS/FLI1**

There are no inventoried TaqMan Gene Expression Assays for the detection of EWS/FLI1 type 1 mRNA levels available. Thus, primers detecting EWS (sense) and FLI1 (antisense) of the fusion transcript and a probe detecting type 1 translocation were designed. The master mix was prepared by adding 10 µl of TaqMan Universal PCR Master Mix, 0.6 µl of each primer and 0.4 µl of FAM probe and the required volume of cDNA to a final volume of 19.5 µl Master Mix per well. Fluorescence was measured with an AB 7300 Real-Time PCR System (Applied Biosystems). Gene expression values were normalized to those obtained for GAPDH or B2M and calculated using the  $2^{-ddCt}$  method.

### **2.2.9 Agarose gel electrophoresis**

DNA fragments were separated in a 2% agarose gel at 10 V/cm. Therefore, 4 g agarose were dissolved in 200 ml TAE buffer and boiled before 4 µl EtBr were added. After the gel was casted and cooled, a mix of 20 µl of cDNA and 2 µl of 6x Blue Juice Gel Loading Buffer (Invitrogen) were loaded in each lane. A 0.1 kb or 1 kb, respectively, DNA ladder (Invitrogen) was appended as a size standard. The gel ran for 1 h at 70 V. DNA amplicons were visualized with a Gene Genius Bio imaging system (Syngene).

### **2.2.10 Microarrays**

Experiments were done in cooperation with Olivia Prazeres da Costa, M.Sc. (Expression Core Facility at the Institute for Medical Microbiology, Immunology and Hygiene of the TU München). At least ten 150T cell culture flasks of A673, SK-N-MC, and SB-KMS-KS1 cells were cultivated for 48 h before exosome preparation as described in [2.2.3.](#page-33-0) Thereafter, RNA was extracted separately from the cells via TRI Reagent RNA Isolation Kit and from the respective exosomes via miRCURY RNA Isolation Kit. RNA quality was checked by using a Bioanalyzer (Agilent). Total RNA (200 ng) was amplified and labeled using Affymetrix GeneChip Whole Transcript Sense Target Labeling Kit. cRNA was hybridized to Affymetrix Human Gene 1.0 ST arrays. Arrays were RMA-normalized. Quality assessment consisted of RNA degradation plots, Affymetrix control metrics, sample cross-correlation, and probelevel visualizations. Normalization incorporated (separately for each RNA type data-set) background correction, quantile normalization, and probe-level summation by RMA.

The microarray data were analyzed with the GENE-E software package (http://www. broadinstitute.org/cancer/software/GENE-E/) and deposited at the Gene Expression Omnibus (GEO; GSE42282). Gene-set enrichment analysis (GSEA) was performed with the GSEA tool (http://www.broad.mit.edu/gsea) using a preranked list and 1,000 permutations.

For the interrogation of publicly available microarray data, data-sets were retrieved from the GEO and the Array Express platform of the EMBL-EBI (http://www.ebi.ac.uk/arrayexpress),

manually revised for their correct annotations, and then simultaneously RMA-normalized using brainarray custom CDF files (v15 ENTREZG). Individual data accession codes are given in the results section.

### **2.2.11 Flow cytometry**

Exosomes are too small to be analyzable events in flow cytometric assessment. To overcome this obstacle, exosomes were bound to 4 µm aldehyde/sulfate latex beads (Invitrogen). Therefore, 20-40 µl exosomes derived from A673 and SK-N-MC ES cells were incubated with 1.5 µl latex beads overnight on a rotator wheel at 4°C and blocked with 1M glycine and 0.5% BSA in PBS before they were transferred into a 96-well-plate. Then, continuously blocking with 0.5% BSA in PBS, the exosomes were incubated with 2 µl CD63 (sc-5275), CD81 (sc-7637) or corresponding isotype control antibodies (all Santa Cruz) for 30 min at 4°C. The same procedure was applied for testing ES cell line-derived exosomes for the markers Calnexin (sc-80645, Santa Cruz) and GM130 (ab76154, Abcam). Before as well as after incubation with 2 µl of the secondary antibody (goat anti mouse IgG1 FITC (sc-2078) and goat anti rabbit IgG1 FITC (sc-2012); both Santa Cruz) three washing/blocking steps with 0.5% BSA in PBS and centrifugation at 4,000 rpm were carried out. The incubation with the secondary antibody (30 min at 4°C), all following washing and centrifugation steps and the transfer into FACS tubes (Falcon) were carried out in darkness.

For positive control of the Calnexin and GM130 antibodies, intact A673 and SK-N-MC cells were fixed with 4% paraformaldehyde for 20 min and permeabilized by the addition of 100% ice-cold methanol to a final concentration of 90% methanol. After 30 min incubation and three washing steps with 1·PBS the cells were blocked for 1 h at RT with 0.5% BSA in PBS before they were incubated with 2 µl of the specific antibodies for 30 min at RT. Before the cells were incubated with 2 µl of the secondary antibody  $(30 \text{ min at RT})$ , three more washing steps were performed. Finally, the cells were washed three more times, resuspended in 1xPBS and transferred into flow cytometry tubes.

Samples were analyzed on a FACScalibur flow cytometer using Cellquest Pro software (both Becton Dickinson). At least 30,000 events/sample were recorded. Data were saved in \*.fcs format and analyzed with Cellquest software (Beckton Dickinson).

### **2.2.12 Fluorescence microscopy**

For fluorescence microscopy, first ES derived exosomes were stained with Calcein AM. Therefor, cell culture supernatant from A673 cells grown in exosome depleted medium was harvested and pre-centrifuged at 300g, 2,000g and 10,000g. Then 2  $\mu$ g/ml Calcein AM (Merck) were added and the supernatant was incubated 30 min at 37 °C. Subsequently, the supernatant was ultracentrifuged thrice at  $100,000q$  and resuspended in PBS each time in order to enrich

exosomes and wash out Calcein AM molecules, which did not get trapped into the exosomes. The isolated exosomes were resuspended in 2 ml PBS. For a negative control, 2 ml of the supernatant after the last ultracentrifugation step were taken to check that the the fluorescence effect was not caused by contamination. Calcein is a small molecule, obtaining a molecular mass of 0,99 kDa (http://www.anaspec.com/products/product.asp?id=29713). One single exosomal marker protein, in contrast, has a molecular mass of 50-100kDa. Exosomes were reported to have a molecular weight of more than 50,000 kDa [\(Taylor et al., 2011\)](#page-76-1). Hence, it is not expectable that Calcein AM is co-pelleted with the exosome fraction at 100,000g.

The stained exosome preparation, the supernatant, 2 ml PBS or 2 ml PBS containing 2  $\mu$ g/ml Calcein AM were given to  $4.10^6$  pre-cultured HEK293 cells. A fluorescence microscopy was carried out after 3 h and after 24 h. Images were recorded with a Zeiss AxioCam MRm camera attached on a Zeiss Axiovert 100 microscope and analyzed with NIH ImageJ software.

### **2.2.13 Electron microscopy**

Electron microscopy studies were performed in cooperation with Graça Raposo, PhD (Institut Curie, Centre de Recherche and Structure and Membrane Compartments CNRS, UMR144, Paris, France) as previously described [\(Raposo et al., 1996\)](#page-72-0). Exosomes resuspended in PBS were deposited for 20 min at RT on formvar-carbon coated electron microscopy grids. The samples were fixed for 20 min in PBS-2% PFA (Electron Microscopy Sciences), and quenched in PBS 50 mM glycine. After fixation in glutaraldehyde 1% (Electron Microscopy Sciences) grids were rinsed in water and contrast and embedding was performed with an ice-cold mixture of methylcellulose and uranyl acetate (both Electron Microscopy Sciences) for 10 min. Grids were air-dried before observation. Samples were observed at 80 kV with a CM120 Twin FEIelectron microscope (FEI Company).

### **2.2.14 Statistical analyses**

Differences in proportions between groups were evaluated by two-tailed chi-square test, unpaired t-test with Welch's correction, or unpaired two-tailed student's t-test. Statistical significance level was set at  $p < 0.05$ .

# <span id="page-42-0"></span>**3.1 Selection of ES specific transcripts as potential biomarkers in plasma**

### **3.1.1 Evaluation of microarray data**

In order to select highly specific transcripts for biomarker discovery, microarray data of us and others was re-evaluated. The aim was to find marker candidate transcripts, which are highly expressed in ES and show concomitantly a very low expression in normal tissue. Therefor, genes fulfilling these criteria were identified in a two-step process using microarray data obtained from Affymetrix HGU133A chips as a discovery cohort (n=63 ES and n=36 normal tissues; GSE1825 [\(Staege et al., 2004\)](#page-75-0), GSE15757 [\(Burdach et al., 2009\)](#page-65-0), GSE7007 [\(Tirode](#page-76-2) [et al., 2007\)](#page-76-2), E-MEXP-1142 [\(Schaefer et al., 2008\)](#page-73-0) and GSE2361 [\(Ge et al., 2005\)](#page-68-0)) and published microarray data derived from Affymetrix HG-U133plus2.0 chips as a validation cohort  $(n=161 \text{ ES}, n=353 \text{ normal tissues};$  GSE34620 [\(Postel-Vinay et al., 2012\)](#page-72-1), GSE12102 [\(Scot](#page-74-0)[landi et al., 2009\)](#page-74-0), GSE17679 [\(Savola et al., 2011\)](#page-73-1), and GSE3526 [\(Roth et al., 2006\)](#page-73-2)).

First, in the discovery cohort, genes were ranked in order of their linear fold change (FC) of the median expression levels in primary ES compared to normal tissue. The median was chosen as statistical method because it is more robust to outliers than the mean. The 30 genes with the highest FC in median gene expression (corresponding to the top 0.25% of probe-sets) in the discovery cohort were then reassessed in the validation cohort using the same method (figure [3.1](#page-43-0) A). A few marker candidates, which appeared very promising due to their high FC in the discovery cohort, as KIAA0090 and CSPG5, could not be confirmed in the validation cohort. As final criteria for the marker selection, a mean FC higher than 10 and a previous implication in ES pathology were determined. 10 transcripts complying these conditions were finally selected as potential biomarkers (table [3.1\)](#page-43-1).

In addition to those 10 genes, the analysis of the validation cohort also qualified LIPI with an FC of 44.4 as a promising candidate since the HG-U133plus2.0 microarrays contain probes for this gene, whereas the HG-U133A microarrays do not. Beyond that, EWS-FLI1 as the constitutionally most specific marker for ES was examined in all continuative experiments. Thus, 12 transcripts were selected for further analysis of their suitability as potential biomarkers.

			FCs ES versus normal tissue			
Entrez ID	Gene symbol	Description	Discovery	Validation	Mean FC	
4886	NPY1R	64.8 105.6 Neuropeptide Y receptor Y1		85.2		
10149	GPR64	G protein-coupled receptor 35.9 89.6			62.8	
27123	DKK2	dickkopf 2 homolog	20.5	49.7		
4821	NKX2.2	NK <sub>2</sub> homeobox 2	62.0 29.5			
149998	<b>LIPI</b>	Lipase, member 1	NA. 44.4		44.4	
2146	EZH <sub>2</sub>	Enhancer of zeste homolog 2	17.8 59.9		38.9	
26872	STEAP1	Six transmembrane epithelial	17.6 51.6		34.6	
		antigen of the prostate 1				
190	NR0B1	Nuclear receptor subfamily	16.8	30	23.4	
		$0,$ group B, member 1				
595	CCND <sub>1</sub>	Cyclin D1	10.7	19.5	15.1	
5579	PRKCB	Protein kinase C, beta	6.7 19.7		13.2	
9452	ITM2A	Integral membrane protein	13.1	8.5	10.8	
		2Α				

<span id="page-43-1"></span>Table 3.1: Overview on the selected genes based on their median fold changes (FC) in the discovery and validation cohort

<span id="page-43-0"></span>Figure 3.1: Evaluation of the potential marker transcripts



(A) Microarray analysis of the expression of candidate marker transcripts in 353 normal tissues (N) compared to 161 primary ES (E). (B) Evaluation of the specific marker transcripts. qRT-PCR of the 12 candidate transcripts in 7 ES, 2 neuroblastoma and 2 leukemia cell lines. Mean ± SEM of 2 experiments (duplicates/group). (C) Heatmap of marker transcript expression as measured by qRT-PCR normalized to B2M in 20 healthy plasma samples. Blue color represents delta-Ct values equal or greater than 0, whereas black colour represents no detection of the corresponding transcript in 50 PCR cycles (triplicate measurements). The houskeeping genes ACTB and GAPDH were used as positive controls. Asterisks mark transcripts that were undetectable in all plasma samples.

### **3.1.2 Validation of high expression**

Accordingly the high expression of the marker candidate transcripts had to be validated. Therefor the expression of the transcripts in seven ES cell lines was compared to two neuroblastoma and two leukemia cell lines as negative controls by qRT-PCR. qRT-PCR confirmed a high expression level of all marker candidates (figure [3.1](#page-43-0) B). Additionally, they are rather specific for ES (compared to leukemia and neruroblastoma), apart from the three transcripts EZH2, CCND1 and DKK2. EZH2 [\(Chang and Hung, 2012\)](#page-65-1) and CCND1 [\(Diehl, 2002\)](#page-67-1) are known to be expressed in many cancer entities, whereas DKK2 was described to be upregulated in neuroblastoma [\(Revet et al., 2010\)](#page-73-3).

### <span id="page-44-0"></span>**3.1.3 Limitation of transcript specificity**

To further assess the specificity of these marker transcripts, their presence was tested in plasma samples from healthy donors. The analysis of 20 plasma samples revealed that most of the transcripts are detectable in plasma of healthy individuals due to their low but universal expression in normal tissue. Only five markers, including EWS-FLI1 as it was anticipated, were negative in all plasma samples and thus eligible for further investigation (figure [3.1](#page-43-0) C), namely STEAP1, NR0B1, NKX2.2 and LIPI.

Having identified marker transcripts holding a specificity of 100% so far, the next step was the development of a method which allows the most sensitive detection of these marker transcripts. Because mRNAs are promptly degraded in plasma, where RNases are present ubiquitously, compartments were focused in which transcripts could potentially be protected. Since tumor cell derived exosomes have this property, their enrichment from patient plasma could be a source for marker transcript detection - provided that Ewing´s sarcomas, as it was shown for many other cancer entities, but not yet for sarcomas, produce exosomes.

## **3.2 Identification and description of ES derived exosomes**

### **3.2.1 Elevated expression of exosome related genes in ES**

The first indication that ES potentially releases exosomes was given by re-analysis of published microarray data. In the data of 353 normal tissues and 161 primary ES (GSE3526 [\(Roth](#page-73-2) [et al., 2006\)](#page-73-2), GSE34620 [\(Postel-Vinay et al., 2012\)](#page-72-1), GSE12102 [\(Scotlandi et al., 2009\)](#page-74-0) and GSE17679 [\(Savola et al., 2011\)](#page-73-1)) a high expression of CD63 and CD81, the genes coding for the most specific exosomal surface marker proteins [\(Thery et al., 2006\)](#page-76-3), was observed (figure [3.2](#page-45-0) A). Coincidentally, several genes necessary for exosome production and release (which are exosomal markers as well) show an elevated expression in ES compared to normal tissue. Especially genes belonging to the Syntenin/ALIX pathway, which have been recently reported to participate in exosome biogenesis and secretion [\(Baietti et al., 2012\)](#page-64-0), proved to be highly



<span id="page-45-0"></span>Figure 3.2: Identification of ES derived exosomes

(A) ES highly express the canonical exosome markers CD63 and CD81. Microarray data of 161 ES were retrieved from GEO and derive from publicly available microarray data (GSE34620, GSE12102, GSE17679). Microarrays were simultaneously RMA-normalized using brainarray custom CDF files (v15 ENTREZG). (B) ES cell lines highly express enzymes necessary for exosome generation such as ALIX, CHMP4A, Syntenin, and TSG101 (Baietti et al., 2012). Microarray data of 353 normal tissues and 161 primary ES are represented as box-plots. Whiskers indicate the 10th and 90th percentiles. Outliers are displayed as dots. Unpaired t-test with Welch's correction. (C) Electron microscopy shows vesicles of 30-100 nm diameter corresponding to exosomes (arrows). (D) Flow cytometric analysis of the exosomal surface markers CD63 and CD81, as well as the endoplasmic reticulum marker Calnexin and the Golgi marker GM130. Exosomes were bound on 4 um latex beads before incubation with isotype control (gray color) or specific antibodies (black color), respectively. As a control, intracellular staining and flow-cytometric assessment of Calnexin and GM130 of ES cells was carried out. At least 30,000 events per group were recorded; 2 experiments/cell line.

expressed (figure [3.2](#page-45-0) B). These observations raise the prospect that there is active exosome production in ES.

### **3.2.2 Electron microscopy shows exosome-sized vesicles**

To evidence this hypothesis, EVs were isolated from the cell culture supernatant of the ES cell lines A673, SK-N-MC and SK-KMS-KS1 as described in [2.2.3.](#page-33-0) The enriched EVs appeared in electron microscopy as 30-100 nm sized, concavely round-shaped, membrane limited vesicles (figure [3.2](#page-45-0) C). Hence, the isolated vesicles fulfilled the established electron microscopy criteria for exosomes in size and morphology (characteristic concave "cup-shape") [\(Raposo et al., 1996;](#page-72-0) [Thery et al., 2006\)](#page-76-3).

## **3.2.3 Flow cytometric assessment reveals exosome characteristic surface proteins**

In order to validate this investigation, flow cytometric assessment of the exosomal surface proteins CD63 and CD81 was performed. It revealed a strong expression of these two tetraspanins on the surface of the isolated ES derived EVs compared to the isotype. Cellular components, in contrast, as the endoplasmic reticulum marker Calnexin [\(Williams, 2006\)](#page-77-0) and the Golgi matrix protein GM130 [\(Nakamura, 2010\)](#page-71-1) could not be detected on the EVs, but, as a positive control, in their cells of origin (figure [3.2](#page-45-0) D). This further confirms the endosomal (and not endoplasmic or Golgi) origin of the isolated vesicles and thus their identification as exosomes.

### **3.3 Detection of ES specific transcripts in the exosomal cargo**

### **3.3.1 ES derived exosomes contain a characteristic spectrum of RNAs**

Having explored the configuration of the exosomal surface, next, the content of the ES derived exosomes was studied. As a Bioanalyzer profile of the product of a RNA isolation won from exosome concentrate showed, ES derived exosomes contain an abundance of RNA (figure [3.3](#page-47-0) A). Whereas total cellular RNA contains high amounts of ribosomal RNAs, which are displayed by the two characteristic peaks of 18s and 28s in the RNA profile, ribosomal RNA can only be found marginally in exosomes. Another difference between the cellular and the exosomal RNA profile is the size distribution of RNAs. Exosomes carry a broad spectrum of RNA, but especially small RNAs. The parental cells, in contrast, show an equal distribution of RNAs with different lengths (apart from the rRNA peaks).

Of note, the observed RNA profile appears very similar to previously described exosomal RNA bioanalyzer profiles, as that from murine mast cells described by [Valadi et al.](#page-76-4) [\(2007\)](#page-76-4).



<span id="page-47-0"></span>Figure 3.3: Detection of functional mRNA in ES derived exosomes

(A) Representative images of Bioanalyzer profiles of exosomal and cellular RNA from A673 cells. The spectrum of exosomal RNA contains a high amount of small RNAs, but few ribosomal RNAs. (B) Representative qRT-PCR results of five potential marker transcripts and the housekeeping gene B2M showing their stable expression in ES cell line-derived exosomes. Data are mean ± SEM of three experiments (duplicates/group).

## **3.3.2 Potential marker transcripts can be reliably found in ES derived exosomes**

In the next step, it was surveyed whether the isolated exosomal RNA contains the marker transcripts selected in [3.1.3](#page-44-0) by qRT-PCR. Strikingly, all five top marker transcripts could be found in the exosomal RNA preparation and the detection proved to be remarkably stable and reliable (figure [3.3](#page-47-0) B). Furthermore the qRT-PCR shows, that ES derived exosomes contain functionally intact mRNAs, which could presumably be translated into proteins.

## **3.4 Increase of transcript yield by exosome enrichment**

### **3.4.1 Gain of transcripts through exosome enrichment**

Having demonstrated that the ES cell culture derived exosomes apparently contain the selected marker transcripts, the author investigated subsequently, whether the yield of transcripts can be increased by exosome enrichment. In order to evaluate the use of this methodology to increase the sensitivity for biomarker detection, the amount of transcripts in exosome preparations was compared to the latter cell culture supernatant. More precisely, it was tested, by which factor the gain of exosomal transcripts would rise, if RNA was isolated from an equal volume of exosomes enriched from cell culture supernatant or the untreated supernatant they

derived from. Thereby a median 2.5-fold increase (range: 1.9 to 3.8) of detectable transcripts at a relation of supernatant volume to exosomal concentration volume of 30 to 1 (figure [3.4](#page-49-0) A, upper panel) was observed.

### <span id="page-48-0"></span>**3.4.2 Efficacy of exosome enrichment procedure**

To further examine how large the fraction of exosomes and thus transcripts, that can be harvested through the exosome enrichment process, is (and hence, how effective the exosome enrichment method works), the amount of transcripts isolated from exosome concentrate was compared to the supernatant that remained after ultracentrifugation. The yield of RNA in exosome preparations compared to the supernatant after ultracentrifugation was here considerably higher at the same relation of supernatant to exosome concentrate volume as described above (median: 17.8-fold; range: 9.4 to 40,835.6). This result confirms that the applied exosome enrichment process is efficient and that the majority of the RNA containing exosomes is pelleted during ultracentrifugation (figure [3.4](#page-49-0) A, lower panel). However, it is questionable, why the amount of transcripts is so drastically diminished in supernatant after ultracentrifugation, since in untouched cell culture supernatant the harvest of transcripts is 7-fold higher, but only 2.5-fold increased when exosomes are isolated. The discrepancy of these two differential results could be caused by free or protein-bound RNA in cell culture supernatant, which might be degraded in the possibly RNase-contaminated setting of ultracentrifugation (figure [3.4](#page-49-0) B). But considering that a certain amount of RNA is stable despite the assumed contamination could provide an indication that the exosomal RNA is protected from RNases by the exosome membrane.

### **3.4.3 Protection of the exosomal cargo from RNase degradation**

To give evidence to this hypothesis, freshly prepared exosomes were treated with 0.1  $\mu$ g/ $\mu$ l RNase A (see [2.2.5\)](#page-38-0). As expected, exosomal RNA was protected from RNase-mediated degradation in intact exosomes (figure [3.4](#page-49-0) C). In contrast, the RNA of exosomes, whose membranes were disrupted by sonication prior to RNase-treatment, was completely degraded. This experiment suggests that a considerable amount of RNA harvested from the supernatant of ES cells by ultracentrifugation is packed within exosomes and thus conserved and stabilized.

Supplementary, exosomes were enriched from cell culture supernatant in the presence of RNase (figure [3.4](#page-49-0) D). Despite the continuous presence of RNAse, the specific transcripts could still be enriched by a remarkable factor, further confirming that these transcripts are indeed exosomal cargo. Interestingly, the obtained result is similar to the experiment described previously in [3.4.2,](#page-48-0) providing another indication that cell culture supernatant contains free, vulnerable to RNase and protected, exosome-loaded RNA.

Considering that human plasma contains active RNase [\(Reddi and Holland, 1976;](#page-72-2) [Tsui](#page-76-5) [et al., 2002\)](#page-76-5), the substantial gain of transcripts by exosome enrichment and their protection

<span id="page-49-0"></span>



(A) Upper panel: Gain of transcripts through exosome enrichment compared with an equal volume of supernatant measured by qRT-PCR. Lower panel: Yield of exosomal transcripts through exosome enrichment compared to an equal volume of supernatant after ultracentrifugation measured by qRT-PCR. Both experiments: mean ± SEM of three experiments (duplicates/group). (B) Illustration of potential degradation of free mRNA during ultracentrifugation. (C) RNase-treatment revealed no degradation of mRNA inside intact exosomes, but within exosomes which were sonicated to disrupt their membranes prior to RNase-treatment. As an additional control, isolated cellular RNA was treated with RNase to demonstrate full RNase activity. Representative DNA gel image of B2M, EWS-FLI1 and STEAP after 50 cycles of PCR. (D) Enrichment of ES cell-line derived exosomes from supernatant in the presence of RNase. qRT-PCR of marker transcripts and the housekeeping gene B2M gained through exosome enrichment from cell culture supernatant compared to an equal volume of supernatant without exosome enrichment, both in the presence of 0.1 µg/µl RNase A. All experiments shown in this figure were performed with A673-derived exosomes.

from RNase within exosomes suggest that this method could be a valuable approach to use ES specific transcripts as stable biomarkers in peripheral blood.

# **3.5 Exosomes as vehicles for potential biomarkers in human plasma**

### **3.5.1 Development of a pre-clinical plasma model**

Since exosomes abound in human body fluids such as blood plasma, the enrichment protocol was applied on plasma of healthy subjects in a first step. Hereby, it was shown that the housekeeping gene B2M is increased 22-fold after exosome isolation compared to the analysis of untreated plasma, supporting the presumption that exosome enrichment enhances the possibility of ES transcript detection (figure [3.5](#page-51-0) A).

In a second step, in order to assess the sensitivity of the elected five markers in a pre-clinical setting, a plasma model based on serial dilution of ES cell line derived exosomes in healthy donor plasma was designed. According to preliminary considerations (see section [2.2.3.4\)](#page-35-0), exosomes were diluted in 10 ml healthy donor plasma in range from 30µg to 0.1 µg protein equivalent.

Strikingly, all marker transcripts could be detected by qRT-PCR down to a concentration of 0.3  $\mu$ g/10 ml plasma at least once in two experiments (figure [3.5](#page-51-0) B) and to some extent even down to  $0.1 \mu g / 10 \text{ ml}$  (not shown).

### **3.5.2 Clinical testing of exosome enrichment in patient plasma**

First, the minimal plasma volume required for marker detection was determined for the sake of avoiding to induce or aggravate iatrogenic anemia. With regards to the calculation described in [2.2.3.4,](#page-35-0) it can be estimated that at least 0.24 µg of tumor derived exosomes are present in the patients´ plasma. Since 0.3 µg of ES cell line derived exosomes were still steadily detectable in the pre-clinical plasma model, 10 ml were considered to be enough plasma to isolate tumor derived exosomes.

Before patient plasma samples were tested, eight plasma samples of healthy donors were analyzed after exosome enrichment to further confirm the specificity of the five marker transcripts. Therefore, 10 ml of healthy donor plasma were ultracentrifuged as described in section [2.2.3.2,](#page-34-0) RNA was extracted and qRT-PCR on the five markers was performed. Consistently, the markers were negative in all healthy samples, except STEAP1, which was positive in the samples of two subjects (one probe of duplicates) and LIPI, which was positive in one probe of one individual.

Nevertheless, in the plasma of seven ES patients, no evidence for a positive marker pattern could be provided (see figure [3.6\)](#page-52-0). However, one positive STEAP1 sample out of duplicates appeared positive. Interestingly, this patient was one out of two, who did not receive



<span id="page-51-0"></span>Figure 3.5: Specific transcripts in ES derived exosomes are detectable in human plasma *in vitro*

(A) Gain of B2M transcripts achieved by exosome enrichment from healthy donor patients. Duplicates of seven subjects were measured by qRT-PCR ± SEM. (B) A673 and SK-N-MC cell line derived exosomes were progressively diluted in healthy donor plasma. Representative DNA gel images of qRT-PCR products of B2M, EWS-FLI1, STEAP1 and NR0B1 with or without exosome enrichment via ultracentrifugation. Similar results were obtained for LIPI and NKX2.2 (not shown).

chemotherapy or any other therapeutic regime at time of probe assessment. All other samples of ES patient plasma were negative for all the potential marker transcripts.

# **3.6 Further investigations on the possible biological role of exosomes in ES tumorigenesis**

Even though ES derived exosomes could not be detected in patient plasma via isolation of ES specific mRNA, the results of this study still provide evidence that the ES releases exosomes containing a functionally active cargo. To gain a tentative insight into their behavior, cargo and therefor their potential implications in ES tumorigenesis, some continuative experiments were carried out.

### **3.6.1 Uptake of ES derived exosomes by other cell types**

First it was investigated, if exosomes derived from ES cell culture are functional and hence able to mediate their uptake by other cell types to deliver their cargo. For this purpose, exosomes were stained with Calcein AM, a fluorescent dye containing a acetomethoxy group that is transported through membranes. After the uptake of the labeled exosomes into the cell



<span id="page-52-0"></span>Figure 3.6: Comparative heat maps of healthy donor and ES patient plasma

(A) Heat map of exosome preparations of 8 healthy donor plasma samples. 50 cycles of qRT-PCR were carried out. Positive signals were detected for two STEAP1 probes and one LIPI (each one of duplicates). (B) Heat map of ES patient plasma derived exosomes. All markers were negative except one positive STEAP1 sample of duplicates. 50 cycles of qRT-PCR.

and the decomposition of the exosomal membrane, intracellular unspecific esterases remove the Calcein's acetomethoxy group, which covered the calcium binding site of Calcein. Thus, Calcein chelates calcium ions and gives out strong green fluorescence [\(Gatti et al., 1998;](#page-67-2) [Uggeri](#page-76-6) [et al., 2004\)](#page-76-6).

The Calcein labeled exosomes were then given to cultured cells of a different cell types, human embryonic kidney cells HEK293. To provide controls, cells were directly stained with an equal volume of Calcein AM (positive control), incubated with pure PBS (negative control) or supernatant of the stained exosomes added after washing them thrice with PBS by ultracentrifugation (negative control).

Fluorescent exosomes caused unlike an equal volume of washing supernatant a strong fluorescence of HEK 293 cells after 3h. This effect was visible for 24h at most. The induction of intense fluorescence only by pre-stained exosomes, but not by the negative controls, strongly suggests that the ES cell line derived exosomes are indeed taken up by different cell types (figure [3.7\)](#page-53-0).

# **3.6.2 Microarrays show a common transcriptional signature of ES derived exosomes towards G-protein-coupled signaling, neurotransmitter signaling and stemness**

To further specify what cargo ES derived exosomes could transfer into other cells and to gain insight into their the potential (patho-)biological function, RNA isolated from exosomes and their parental ES cell lines (A673, SK-N-MC, and SB-KMS-KS1) was subjected to microarray analysis (Affymetrix Human Gene ST 1.0). First the microarray data was filtered for probe-sets annotating known genes that are at least minimally expressed (minimal average



#### <span id="page-53-0"></span>Figure 3.7: Uptake of ES derived exosomes by HEK293 cells

A673 derived exosomes are taken up by other cell types as HEK293. Cells were incubated with A673 exosomes, which were primarily labeled with the fluorescent dye Calcein AM, and examined after 3h and 24h. As a negative control, cells were incubated with an equal volume of washing supernatant of Calcein labeled exosomes, resulting in a significantly decreased fluorescence intensity.

expression intensity  $\geq$ 10 in natural scale across all 6 samples) yielding a total of 13,610 different probe-sets. This list of probe-sets was then filtered for those probe-sets which show an at least log2-fold differential regulation between exosomes and their corresponding parental cell line. As seen in the Venn diagram in [3.8](#page-54-0) A, exosomes from all three cell lines display a significant degree of overlap of in total 1,382 strongly regulated probe-sets (10.15% of all probe-sets) corresponding to 1,288 individual genes. Unsupervised hierarchical clustering of the samples and probe-sets further confirmed a strong match of the exosomal and the cellular RNA samples, respectively [\(3.8](#page-54-0) B). We then performed a gene-set enrichment analysis (GSEA) with these commonly differentially regulated 1,382 probe-sets and the remaining non-regulated probe-sets. GSEA demonstrated a significant enrichment of transcripts in exosomes that are involved in G-protein-coupled signaling, neurotransmitter signaling, and stemness [\(3.8](#page-54-0) C). For instance, the "MIKKELSEN\_MEF\_ICP\_WITH\_H3K27ME3" gene-set [\(Mikkelsen](#page-71-2) [et al., 2008\)](#page-71-2) describes a set of transcripts differentially expressed in murine embryonic fibroblasts with induced pluripotent stem cell characteristics that is associated with histone 3 lysine 27 tri-methylation, which has been previously reported to be implicated in the maintenance of a more immature phenotype of ES [\(Richter et al., 2009\)](#page-73-4). In synopsis, these results indicate that ES cell line-derived exosomes are significantly enriched for a common set of transcripts involved in signal transduction and stemness.

Furthermore, a plethora of miRNAs was detected in the exosomal cargo (see Appendix V). Notably, 98% of the miRNAs are enriched in exosomes compared to the cellular expression level, 28% are more than 2-fold higher (figure [3.9\)](#page-55-0).



<span id="page-54-0"></span>Figure 3.8: ES cell line-derived exosomes share a common transcriptional signature.

(A) Venn diagram of gene annotating probe-sets, which are differentially regulated between exosomes and their corresponding parental cell line (min. log2 FC  $\geq$  2), showing a significant degree of overlap (two-tailed chi-square test). (B) Unsupervised hierarchical clustering (average linkage) of the individual samples and the commonly differentially regulated 1,382 probe-sets. Data were log2-transformed and median-centered for depiction in a heatmap. (C) Gene-set enrichment analysis (GSEA) of the 1,382 commonly differentially regulated and non-regulated probe-sets. NES: Normalized Enrichment Score; NOM: nominal p value; FDR: False Discovery Rate.



<span id="page-55-0"></span>Figure 3.9: miRNAs in ES cell line derived exosomes

Fold change of miRNAs in ES cell line derived exosomes compared to their parental cells investigated by microarrays of three ES cell lines and corresponding exosomes. Nearly all miRNAs (except 4 of 205) showed a higher expression in the exosomes than in the cell they derived of. 58 miRNAs exhibited a fold change higher 2.

It has been the aim of this study to assess if tumor specific transcripts can serve as biomarkers for ES in peripheral blood. Therefore, highly expressed ES transcripts were filtered on their expression in healthy human tissue, to evaluate the 12 most specific biomarker candidates. Based on the hypothesis that transcripts in human peripheral blood are only stable if they are associated with RNA binding proteins or extracellular vesicles [\(Redzic et al., 2014\)](#page-72-3), it was investigated whether the ES releases vesicles containing these transcripts. In a third step, a model for patient plasma was designed, to assess the sensitivity of the enrichment method and to determine the conditions for testing ES patient blood plasma.

### **Challenge of biomarker identification**

Indeed, the author could describe ES cell line derived extracellular vesicles holding the characteristics of exosomes, a subclass of EVs, for which pleiotropic roles in tumorigenesis were shown. These ES derived exosomes proved to carry the transcripts, which were priorly determined as highly ES specific, in their molecular cargo.

These selected transcripts are interesting biomarker candidates, not only for sensitive disease detection, but also for outcome prediction. Strikingly, the author's selection of ES specific mRNAs is almost congruent to the set of biomarkers suggested by [Cheung et al.](#page-66-0) [\(2007\)](#page-66-0) for the detection of subclinical disease in bone marrow. By exosome enrichment, the amount of these transcripts could be increased effectively and steadily compared to the amount of transcripts found in cell culture supernatant and human plasma. Underpinned by the observation that ES derived exosomes save these transcripts from RNase digestion, exosome enrichment from peripheral blood of ES patients is a promising tool for biomarker discovery. Given the high sensitivity of this method shown in the patient plasma model, it remains unclear for which reasons the transcripts could not be detected in exosomes won from ES patient blood samples. The only positive sample, STEAP1, could, as well as the positive probes within the healthy donor samples, be caused by contamination, since for the probe only one sample of duplicates is positive. Alternatively, this result can be ranged as unspecific, since STEAP1 transcript was shown to be a marker for different classes of carcinomas as well as occasionally positive in the plasma of subjects lacking a malignant disease [\(Valenti et al., 2009\)](#page-77-1).

The potential underlying mechanisms of the fail of significant transcript detection could, in spite of methodic accuracy and the proven high sensitivity of the detection method, root in different steps of the methodology used, as it will be pointed out closer in [5.2.](#page-61-0) In this chapter,

	SK-N-MC		SB-KMS-KS1		A673		Mean	
Gene symbol	Exo	Cell	Exo	Cell	Exo	Cell	Exo	Cell
NPY1R	4.26	17.11	5.18	9.03	9.62	201.14	6.35	75.76
GPR <sub>64</sub>	4.82	4.03	4.58	11.87	24.20	357.26	11.20	124.39
DKK2	5.31	6.02	4.88	31.89	17.71	203.60	9.30	80.50
NKX2.2	7.14	22.71	8.51	48.92	25.59	154.51	13.75	75.38
LIPI	3.27	5.08	4.85	7.75	8.45	203.88	5.52	72.24
EZH <sub>2</sub>	10.27	103.81	70.60	335.60	9.97	242.59	30.28	227.34
STEAP1	10.98	32.74	15.26	77.62	16.50	221.01	14.25	110.46
NR0B1	5.02	9.58	10.95	51.78	13.26	102.57	9.74	54.64
CCND <sub>1</sub>	34.65	177.18	49.91	160.12	123.61	1508.92	69.39	615.41
<b>PRKCB</b>	13.26	108.66	53.65	224.98	28.29	371.16	31.73	234.93
ITM2A	8.70	77.82	27.03	112.10	17.75	292.29	17.82	160.74
DUX4	192.24	22.95	65.62	19.84	486.19	45.58	248.02	29.46

<span id="page-57-0"></span>Table 4.1: Expression of biomarker transcripts in exosomes compared to cells of origin

I would like to critically examine the basic approach of this thesis.

As described in [3.1,](#page-42-0) the first step was the election of potential marker transcripts, not from exosome preparations, but from ES cell lines and primary tumors. At this point, it was unclear, whether the ES indeed secretes exosomes serving as biomarker vehicles or not. When ES derived exosomes could be identified and furthermore contained the preliminary selected marker transcripts, these transcripts where adopted due to their proven stability in ES cell line derived exosomes and high specificity for ES. It was not considered that transcripts highly expressed in the parental cells are potentially not as highly expressed in the derived exosomes due to the cell´s selective sorting machinery. Finally, at the last stage of this project, the microarray analysis comparing the exosomal RNA content to the one of the cells, shed light on the actual amount of the marker transcripts in exosomes. It showed that all marker transcripts are highly expressed in the cell lines, but surprisingly comparatively lowly expressed in the derived exosomes (see table [4.1\)](#page-57-0).

### **Implications of exosomal cargo RNA in ES tumorigenesis**

Contrarily, having achieved the microarrays, transcripts highly enriched in ES derived exosomes could be identified (see Appendix VI and VII). The abundance of these RNAs in ES derived exosomes is not only notable due to their use as potential future biomarkers, but also for the functions they might inherit being transferred into other cells. One of the highly enriched candidates is, interestingly, DUX4. DUX4 is a transcription factor usually expressed in pluripotent germ lineage cells, whereas it underlies epigenetic silencing in somatically differentiated cells [\(Young et al., 2013\)](#page-77-2). CIC-DUX4 fusion protein driven soft tissue sarcomas, which were primarily discussed as a EWS-ETS negative ES family member due

to their similar immunophenotype, do not generally share a common transcription signature with ES, but overexpress three ETS transcription factors (ETV4, ETV1, and ETV5) [\(Specht](#page-75-1) [et al., 2014;](#page-75-1) [Mariño Enríquez and Fletcher, 2014\)](#page-70-0). A potential role of the unfused DUX4 in ES pathogenesis was not surveyed to present. Recently it was reported that DUX4 induces a neuroectodermal expression pattern in embryonic stem cells [\(Dandapat et al., 2013\)](#page-66-1). Considering that ES display neuroectodermal features and a neuroectodermal transcriptional signature [\(von Levetzow et al., 2011;](#page-77-3) [Staege et al., 2004\)](#page-75-0), DUX4 transport via exosomes might contribute to a neuroectodermal differentiation within the tumor cell complex.

Additionally, a remarkably high amount of miRNAs was discovered in the cargo of ES cell line exosomes. 98% of the detected miRNAs were enriched in the exosomes compared to the cellular expression level, 28% holding a FC higher than 2. Interestingly, members of the miRNA cluster 106a~363, which obtains oncogenic functions in ES, are enriched in ES derived exosomes (see table in Appendix) [\(Dylla and Jedlicka, 2013\)](#page-67-3). Since it was shown that tumor derived exosomes deliver functionally active oncogenic miRNAs [\(Zomer et al., 2010;](#page-78-0) [Umezu](#page-76-7) [et al., 2012\)](#page-76-7) and miRNAs promote tumorigenesis in ES, this is another field of research further studies should focus on.

Nevertheless, even if not in a quantity as large as expected, it remains mentionable, that the originally selected marker transcripts, encoding proteins which are known for holding key functions in ES oncogenesis, are present in ES derived exosomes and perhaps delivered between tumor cells.

For instance, EZH2 acts as an inhibitor of tumor cell differentiation and drives metastasis [\(Richter et al., 2009\)](#page-73-4). NR0B1 and NKX2.2 are EWS-FLI1 mediated key transcription factors increasing the proliferative capacity of ES [\(Kinsey et al., 2006;](#page-69-2) [Garcia-Aragoncillo et al., 2008;](#page-67-4) [Smith et al., 2006\)](#page-74-1). Furthermore, EWS-FLI1 itself as master transcription factor and inductor of endothelial gene expression in ES cells is contained in ES derived exosomes [\(Staege et al.,](#page-75-0) [2004;](#page-75-0) [Tirode et al., 2007\)](#page-76-2). DKK2 is critical for osteolysis and bone infiltration [\(Hauer et al.,](#page-68-1) [2013\)](#page-68-1), whereas GPR64 promotes tumor invasion and metastasis via PGF and MMP1 [\(Richter](#page-73-5) [et al., 2013\)](#page-73-5). STEAP1 induces an oxidative stress phenotype in ES increasing invasiveness [\(Grunewald et al., 2012\)](#page-68-2). Interestingly, STEAP3, another member of the STEAP family, is involved in exosome secretion [\(Lespagnol et al., 2008\)](#page-70-1).

All these effects could be transferred between tumor cells either to keep a metabolic and transcriptional balance within the tumor or to distribute distinct features to tumor cell subclasses. As [Tsugita et al.](#page-76-8) [\(2013\)](#page-76-8) recently showed, ES cell line derived EVs containing EWS-FLI1 are present in the plasma of a xenograft model and EWS-FLI1 is transferred to other ES cells in vitro. Thus, it can be speculated if ES derived exosomes are a means of communication within the tumor network sending genetic information to push tumor subpopulations towards a specific differentiation. Interestingly, gene-set enrichment analysis revealed an implication of the exosomal RNA in G-protein-coupled signaling, neurotransmitter signaling and stemness, supporting the thesis of the maintenance of tumor promoting cellular features through

exosomal RNA transfer within the tumor network, but rather suggesting the upkeep of an undifferentiated phenotype. Taken together, exosomal genetic communication could serve to balance the degree of differentiation and the maintenance of stemness properties in different tumor subpopulations to sustain the tumors inner heterogenicity.

Beyond an autocrine tumor self-promoting effect, ES derived exosomes could as well communicate in a paracrine or endocrine manner with their micro- and macroenvironment.

Interestingly, the study of [Tsugita et al.](#page-76-8) [\(2013\)](#page-76-8) revealed that ES cell line derived EVs deliver EWS-FLI1 to other ES cells, but not to HOS cells. Contrarily, in this study the internalization of A673 derived exosomes HEK293 was observed, suggesting that ES derived exosomes are taken up by different cell types, too. Horizontal transfer of genetic information, epigenetic regulators and functional proteins opens an unforeseen spectrum of potential communication pathways and modulation between the ES and its stroma, as it was shown for many other cancer entities (see Introduction). Since EWS-FLI1, which proved to induce an endothelial gene expression not only in ES, but also in mesenchymal stem cells [\(Staege et al., 2004;](#page-75-0) [Tirode](#page-76-2) [et al., 2007\)](#page-76-2), it could be conjectured whether ES exosomes co-induce angiogenesis. Beyond neo-vascularisation, ES derived exosomes could take part in stromal reprogramming. Recent studies showed that exosomes are key players in the preparation of premetastatic niches and the initiation of metastasis [\(Peinado et al., 2012;](#page-72-4) [Hood et al., 2011;](#page-68-3) [Luga et al., 2012\)](#page-70-2). Since ES is an early metastasizing tumor, it is tempting to speculate whether exosomes possess a role in metastatic spread. If so, a new therapeutic strategy for ES treatment was to interrupt this process by blocking exosome production and uptake pathways, furthermore disturbing the other potential tumor promoting effects of ES derived exosomes discussed here.

### **ES derived exosomes as therapeutic vehicles**

Though the crucial influence and biological relevance of exosomes in tumor progression was shown for several cancer entities, the evaluation of tumor treatment by exosome inhibition requires future studies [\(Bobrie et al., 2012b;](#page-65-2) [Vader et al., 2014\)](#page-76-9). But beyond, tumor derived exosomes inherit potential themselves as therapeutic vehicles. Since it was shown that exosomes are transporters of functional siRNA and miRNA, which can be internalized into exosomes by electroporation and unroll their function intracellularily, they could be used for efficient silencing of tumor promoting genes in cancer treatment [\(Kosaka et al., 2013\)](#page-69-3). [Ohno](#page-71-3) [et al.](#page-71-3) [\(2013\)](#page-71-3) succeeded to address exosomes selectively to EGFR expressing breast cancer cells and deliver the tumorsuppressive miRNA let7-a into the cell. In ES, let7-a was shown to be directly repressed by EWS-FLI, promoting tumor progression, while treatment of tumor bearing mice with synthetically produced let-7a decelerated tumor growth [\(De Vito et al.,](#page-67-5) [2011\)](#page-67-5). Using let-7a loaded exosomes, which are selectively internalized by ES cells, might distinctly intensify the anti tumor effect of let-7a treatment.

As another clinical application for ES, it could be considered to transfer short interfering RNAs directed against EWS-FLI1 via exosomes inwards ES cells. Considering the importance

of selective internalization of EWS-FLI1 siRNA into the tumor cell for treatment response, as it was shown by [Hu-Lieskovan et al.](#page-68-4) [\(2005\)](#page-68-4), who designed EWS-FLI1 siRNA containing microparticles bearing transferrin which proved to be taken up specifically by tumor cells, "re-programmed" exosomes could serve as a reliable carrier for EWS-FLI1 siRNA.

Furthermore, as immunogenic microparticles, exosomes can be used as primers for immunotherapy. Interacting with to T-cells and DCs, antigens primary coupled to an exosomal surface protein can induce an anti tumor response by CD8+-cells, decreasing tumor growth in vivo [\(Zeelenberg et al., 2008\)](#page-78-1). Accordingly, customized exosomes bearing antigens typical for ES, such as EZH2, could be used to stimulate T-cells for immunotherapy or anti tumor vaccination.

# **5 Conclusions, limitations and perspectives**

# **5.1 Conclusions**

This study revealed first evidence of exosomes derived from ES. Even though the author could not identify ES derived exosomes and their encapsulated ES specific transcripts in the peripheral blood of ES patients, this investigation offers other approaches for the detection of ES specific biomarkers, e.g. based on proteomics, miRNA or microarray signatures. Furthermore, the evidence of ES derived exosomes suggests that the ES uses exosomes to communicate with its environment and manipulate stromal cells. Thus, exosomes derived from ES might contribute to tumor growth, angiogenesis and metastasis.

Altogether, the identification of ES derived exosomes may have future relevance for the research on biomarkers and new therapeutic strategies on ES. The results of this MD thesis were published in an international peer-reviewed journal.

## <span id="page-61-0"></span>**5.2 Limitations and perspectives**

This M.D. thesis focused on the detection of specific mRNA biomarkers for ES in peripheral blood. During the course of this project, the author observed, that ES cell lines synthesize exosomes, which carry the transcripts pre-selected as potential biomarkers. This investigation led to further analyses on the characterization and potential role of ES derived exosomes. Nevertheless, in this field remain unresolved questions, which are beyond the scope of this thesis and require future studies to define the actual implications and biological relevance of tumor derived exosomes in ES tumorigenesis.

- This study shows that ES cell line derived exosomes are taken up by cells of another species, HEK293. A more in-depth question was whether ES derived exosomes are taken up by MSCs as the notional cell of origin as well as neighbored stromal cell in the bone marrow. Moreover, beyond the physical uptake, the procession of the exosomal cargo and its impact on the cells gene expression require further analysis.
- EVs were concentrated by serial ultracentrifugation and characterized on behalf of their size, morphology and surface proteins. An additional experiment to confirm their affiliation to the group of exosomes is density measurement assessed by a sucrose gradient. The latter was not performed because it requires overnight centrifugation, which was

### 5 Conclusions, limitations and perspectives

not possible to arrange due to technical security issues. Thus, it remains unclear, if the detected EVs possibly descend from different subclasses, which cannot be separated by ultracentrifugation. The identification of diverse subclasses within the group of exosomes, holding different functions, and the methodic insufficiency to separate them is matter of discussion in current exosome research [\(Bobrie et al., 2012a\)](#page-64-1).

• In the present study, ES cell line derived exosomes were exclusively examined for their mRNA and partly for their miRNA, but not for their protein content. The crucial question, if EWS-FLI1 protein or other key players in oncogenic transformation are transferred by ES derived exosomes and enroll their functional activity in other cells, is addressed to future research.

Despite excessive preparatory work and methodical optimization, the author could not detect ES derived exosomes and transcripts in patient plasma. Beyond the re-evaluation of the basic approach, which was discussed above, additional experiments as well as potentially remedial changes in the methodology can be proposed:

- First of all, the plasma of only seven patients was tested, a relatively small number. Moreover, except two, all of the patients were already after or under treatment (surgery, chemotherapy or radiotherapy) when the plasma samples were achieved. It is unclear, how chemo- or radiotherapy influences exosome production in vivo, since the behavior of tumor derived exosomes in vivo is generally unpredictable. Multiple interactions are described, such as uptake by the reticuloendothelial system [\(Ohno et al., 2013\)](#page-71-3). A higher volume of plasma would have increased the chance of a marker detection, but considering that the patients are mostly children, impaired by advanced cancer, multimodal treatment and invasive diagnostics, this appeared ethically unjustifiable.
- In this study, for transcript detection conventional qRT-PCR was used. In the intention to reach an even more sensitive scope, nested PCR can be tried. However, the latter goes along with an increased risk for erroneous results caused by minimal contamination. To avoid a distortion of the results by contamination or unspecific reactions, more replicates, at least triplicates, of each sample would be necessary. Due to the limited plasma volume, in this study only duplicates were carried out for marker detection in patient and healthy subject plasma. Hence, it remains unclear, if the detected STEAP1 and LIPI transcripts have any informative value or not.
- Even though RNase should not have any effect on exosomal RNA, a distinct decrease of detectable transcripts was seen after RNase treatment. This could be caused by degradation of additional free RNA released from apoptotic tumor cells or ruptured exosomes. Though all steps were performed at 4°C and the environment was tried to be kept RNase free, the loss of RNA should be further minimized, for instance by adding RNase inhibitors at all steps.

### 5 Conclusions, limitations and perspectives

- This study was exclusively focused on marker positivity, but not on negativity as positive outcome predictor.
- As discussed above, the marker transcripts were elected as a result of of their expression in the cell of origin, not of their abundance in the derived exosomes. For further studies on RNA based exosomal biomarker detection for ES, I would suggest to further evaluate the microarray data of ES cell line derived exosomes provided by this study. Now that this study has given the evidence, that the exosomal RNA content is disproportioned to the cells RNA spectrum, potential new marker transcripts should be elected by the criteria of ES specificity and high expression in ES derived exosomes.
- In the present study, the exosomes analyzed were all derived from ES cell lines in vitro, not from primary tissues. As the different genetic profiles and conditions might impact on exosome production and packing, the RNA signature of native ES derived exosomes might diverge from cell line derived exosomes. Currently, the only option to acquire native tumor derived exosomes is isolation from patients blood. According to [Noerholm et al.](#page-71-4) [\(2012\)](#page-71-4), comparative microarrays of patient derived exosomes and healthy donor derived exosomes isolated from plasma could provide insight into the actual RNA composition of ES derived exosomes.
- Furthermore, the analysis of the protein and miRNA spectrum in the exosomal cargo could provide new biomarker candidates, since these molecules meanwhile play the leading role in exosomal biomarker research. Especially the detection of ews-fli1 protein in ES derived exosomes would be of great value as a unique biomarker.

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## **I Form sheet**



#### **II Questionnaire**



**Klinik und Poliklinik für Kinder- und Jugendmedizin Klinikum Rechts der Isar der Technischen Universität München** Anstalt des öffentlichen Rechts



#### **Direktor: Prof. Dr. Stefan Burdach**

**Gesundheitsfragebogen für volljährige einwilligungsfähige Patientinnen und Patienten**

Betrifft: Blut- und Gewebeentnahme für die **NEO-IDENT**-Studie bei Patienten *ohne Krebserkrankung und ohne immunsuppressive Therapie*

Name: Geburtsdatum: Geburtsdatum: Geschlecht: m/w Sind Sie zur Zeit völlig gesund? \_ Litten Sie innerhalb der letzten 4 Wochen an einer infektiösen Erkrankung (z.B. Erkältung)?  $\_$  . The contribution of  $\mathcal{L}_\text{max}$ Nehmen Sie regelmäßig Medikamente ein (auch Kontrazeptiva)? Wenn ja, welche?  $\_$  , and the set of th Wurde bei Ihnen jemals eine onkologische Erkrankung (gutartig/bösartig) diagnostiziert oder behandelt? Wenn ja, welche? \_ Haben Sie eine chronische Erkrankung, wegen welcher Sie sich in Behandlung befinden (z.B. Bluthochdruck, Diabetes mellitus, chronisch entzündliche Darmerkrankungen, Asthma, Schilddrüsenerkrankungen)?  $\_$  , and the set of th Leiden Sie unter Gehirn- oder Nervenerkrankungen/Anfallsleiden?  $\_$  , and the set of th

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 $\_$  . The contribution of  $\mathcal{L}_\text{max}$ 

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Bestehen bei Ihnen angeborene Erkrankungen?

Haben Sie Allergien? Wenn ja, welche?

Sind Sie schwanger?

Rauchen Sie? Wenn ja, wie viel?

Befinden Sie sich zur Zeit in ärztlicher Behandlung? Wenn ja, aus welchem Grund?

**Hiermit versichere ich, dass ich alle Fragen wahrheitsgemäß beantwortet und nichts verschwiegen habe, was zur Beurteilung meines Gesundheitszustandes von Bedeutung ist.**

Ort, Datum Unterschrift

#### **III Volunteer data**



## **IV Patient data**





# **V List of miRNAs identified by microarray analyses**













## **VI List of the 120 highest expressed transcripts in ES cell line derived exosomes**









## **VII List of upregulated transcripts in exosomes compared to their parental cells**



Appendix

Probeset	Gene Symbol	SK-N-MC		SB-KMS-KS1		A673		mean
ID		Exo	Cell	Exo	Cell	Exo	Cell	$log2$ FC
8094130	USP17	106.89	11.94	99.91	15.63	657.59	$23.49\,$	4.08
8015230	KRTAP4-12	386.64	19.97	203.55	12.91	606.59	$\rm 49.47$	3.86
7898353	LOC388692	49.02	$3.2\,$	22.08	4.78	147.78	7.86	3.79
7981722	IGHA1	$521.23\,$	47.64	530.38	44.09	1271.26	$77.13\,$	3.78
8015218	KRTAP4-7	380.41	24.01	210.53	13.59	688.49	57.35	3.75
8167573	GAGE12B	306.54	54.04	241.68	14.83	1171.3	60.96	3.73
8073309	LOC100288034	119.04	9.08	59.13	9.27	697.94	51.09	$3.66\,$
8071272	GP1BB	223.62	19.63	77.33	14.58	539.09	33.13	3.64
8144420	LOC349196	274.61	22.5	136.53	28.2	537.41	27.56	$3.6\,$
8015221	KRTAP4-11	343.16	22.49	188.82	13.69	661.53	63.09	3.59
7960896	OR7E87P	219.16	21.93	209.36	24.17	730.43	50.73	$3.58\,$
8149208	OR7E125P	88.62	8.47	52.59	7.69	283.43	21.32	$3.5\,$
7981730	IGLJ3	201.02	24.22	195.49	18.62	422.16	29.9	3.49
7912802	LOC100132147	60.33	6.61	54.75	4.67	140.65	12.44	3.43
8144422	OR7E154P	$89.84\,$	9.44	$52.78\,$	7.77	291.71	$23.86\,$	3.4
8007115	KRTAP4-9	315.94	20.54	178.59	14.79	579.89	66.33	3.4
8144440	<b>FAM90A18</b>	80.49	7.8	37.34	8.7	156.19	9.8	3.38
8144424	FAM90A13	80.49	7.8	37.34	8.7	156.19	9.8	3.38
8144448	FAM90A10	85.98	7.94	34.8	8.43	133.14	7.98	3.38
8064382	SRXN1	35.54	3.77	21.73	3.23	124.81	11.51	$3.3\,$
7904429	HSD3BP4	48.05	$5.15\,$	15.42	3.69	127.32	11.02	3.26
8167577	GAGE12G	49.28	6.58	40.92	5.76	165.43	14.29	3.26
7956876	<b>LLPH</b>	27.74	4.04	16.43	3.07	114.24	9.65	$3.24\,$
8167482	GAGE12I	47.31	6.53	40.45	5.61	159.69	14.37	$3.22\,$
8123760	$LY86-AS$	72.99	8.63	39.23	7.42	264.97	24.39	3.22
7925743	OR2T12	32.84	5.54	25.92	3.94	149.82	13.06	3.21
7942267	KRTAP5-10	105.17	19.45	85.94	11.35	510.12	47.27	3.17
7950490	MIR326	251.3	37.27	323.97	34.91	676.05	70.64	$3.13\,$
7945645	KRTAP5-1	219.47	36.97	116.44	22.95	827.71	77.6	3.08
7986741	GOLGA6L1	29.49	4.52	20.24	3.39	106.27	10.7	3.07
8133582	SPDYE8P	31.34	5.12	38.96	6.37	126.89	12.49	3.04
8070789	KRTAP12-4	43.03	9.6	33.86	5.74	223.54	21.59	3.02
8043438	IGKV1-5	147.33	25.16	166.61	30.71	717.88	71.56	$3.02\,$
7945652	KRTAP5-3	203.14	34.94	149.27	18.95	664.53	74.07	2.99
8007130	KRTAP9-8	20.32	4.99	19.03	3.89	125.21	12.95	2.92
7924682	LEFTY2	110.08	14.61	58.95	13.42	360.83	42.99	2.9

Appendix

Probeset	Gene Symbol	SK-N-MC		SB-KMS-KS1		A673		mean
ID		Exo	Cell	Exo	Cell	Exo	Cell	$log2$ FC
7906017	POU5F1P4	45.68	7.25	30.2	4.2	88.76	11.7	2.83
8155521	FAM27A	121.68	15.24	113.74	16.42	299.75	49.17	2.73
7982284	LOC653075	80.27	15.44	90.81	18.52	274.54	33.55	2.72
8070777	KRTAP10-2	75.85	9.53	48.58	8.92	162.44	25.95	2.69
7981718	IGHM	65.67	11.49	55.86	9.04	133.48	21.79	2.59
7950078	KRTAP5-11	53.47	10.49	36.5	8.18	214.05	32.69	2.57
8069156	KRTAP10-11	21.61	5.39	18.47	4.07	130.21	19.97	2.53
8163729	<b>MIR147</b>	18.38	3.91	20.07	4.28	86.47	13.89	2.5
8149705	MIR320A	51.53	11.5	47.95	9.31	163.54	25.92	2.49
7911241	OR <sub>2</sub> L <sub>8</sub>	19.73	4.71	22.66	3.25	48.43	8.59	2.46
8020354	OR4K15	11.73	2.64	17.27	3.03	44.49	7.8	2.45
7915841	<b>KNCN</b>	43.6	7.99	26.38	6.34	156.87	28.16	2.42
7897991	PRAMEF7	36.14	4.92	22.4	4.52	56.99	12.43	2.4
8137330	ABCB8	64.95	15.29	78.13	12.58	349.37	70.83	2.32
7948113	OR7E5P	211.72	41.45	184.35	37.84	484.04	98.79	2.31

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# **Publications**

#### **Original articles (peer-reviewed)**

Miller IV, Raposo G, Welsch U, Prazeres da Costa O, Thiel U, Lebar M, Maurer M, Bender HU, Richter GH, Burdach S, Grunewald TG "First identification of Ewing's sarcoma-derived extracellular vesicles and exploration of their biological and potential diagnostic implications." Biology of the Cell 2013;105:289–303.

Miller IV, Grunewald TG "Tumour-derived exosomes: Tiny envelopes for big stories." Biology of the Cell 2015;107(9):287-305.

#### **Contributions to congresses (poster presentation)**

2012 May Miller IV, Thiel U, Maurer M, von Luettichau I, Welsch U, Richter GH, Burdach S, Grunewald TG "First identification of Ewing's sarcoma derived exosomes and their diagnostic implications" Molecular Diagnostics Europe, London/UK

2013 Jun Miller IV, Raposo G, Welsch U, Prazeres da Costa O, Thiel U, Lebar M, Maurer M, Bender HU, Richter GH, Burdach S, Grunewald TG "First identification of Ewing's sarcoma derived exosomes and their diagnostic implications" Paediatric Cancer Research at the Interface, Vienna/Austria