

1 Influence of distance to forest edges on natural regeneration of
2 abandoned pastures

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4 A case study in the tropical mountain rain forest of Southern Ecuador

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26 **Abstract**

27 In spite of its high diversity the forests in Southern Ecuador are highly endangered by
28 deforestation. One of the main reasons for the loss of forests is the conversion into pastures.
29 Due to their fast degradation, the pastures are abandoned after several years and form an
30 increasing area of unproductive land. The remoteness from existing forest edges is discussed
31 as one reason for the very slow natural reforestation of these areas. In this study we analyzed
32 the regeneration of a secondary forest after approx. 38 years of succession in relation to the
33 distance from the surrounding forest. We revealed that regeneration was rather slow.
34 Especially larger trees with dbh > 10 cm were very scarce. Only *Dioicodendron dioicum*,
35 *Graffenrieda emarginata* and *Clusia sp.* achieved larger diameters. The basal area of the
36 secondary forest is still far beyond the original level in the primary forest. The number of
37 species on plot level and the Shannon index were significantly lower in the secondary forest
38 compared to the primary forest. The total number of species decreased from 47 to 31 with
39 increasing distance from the forest edge and the similarity of species composition to the upper
40 story declined to a level of 56,4 (Sørensen). *Alzatea verticillata*, *Macrocarpea revoluta* and
41 *Palicourea andaluciana* had significantly higher abundances in the succession stages than in
42 the natural forest. The most abundant species in all regeneration plots, *Graffenrieda*
43 *emarginata* and *Purdiea nutans* seem to be generalists as they did not show preference either
44 to natural forest or successional stages.

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48 **Keywords**

49 Restoration, natural succession, abandoned pastures, diversity

50 **Introduction**

51 Fifty two percent of the world's forests are concentrated in the tropics, which suffer the
52 highest rate of deforestation and land conversion globally (Brown et al. 1996). In South
53 America, the country with the highest rate of deforestation (1,7 % area/yr) is Ecuador (FAO
54 2006). Conversion to pastures for cattle grazing is by far the most important land use that has
55 affected tropical forests (Hecht 1993, cit. Aide et al. 2000) and has been the main reason for
56 deforestation in Latin America (Amelung & Diehl 1992, cit. Holl et al. 2000).

57 Due to their fast degradation, the pastures are abandoned after several years and form an
58 increasing area of unproductive land. The few reforestation activities realized in Ecuador are
59 based on plantations, mainly with introduced species like *Pinus spp.* and *Eucalyptus spp.*
60 However, the montane forests of Latin America in general and especially in the region of
61 Southern Ecuador and Northern Peru are focal points world wide for very high plant diversity
62 (Barthlott et al. 1996, Henderson et al. 1991, Brummit & Lughadha 2003). Hence, plantations
63 of exotics, usually characterized by uniform stands with low biodiversity, cannot be
64 considered as a sustainable land use.

65 As the natural regeneration of abandoned pastures proceeds very slow, it is not really
66 considered as an alternative to plantation. One reason for the very slow natural re-colonization
67 is the remoteness from existing forest edges combined with reduced seed input caused by
68 increasing fragmentation (Cubiña & Aide 2001, Myster 2004). However, where the distance
69 to remaining forest edges is not too far natural regeneration could be considered at least as a
70 valuable option for the restoration of biodiversity.

71

72 In this study we analyzed the effects of increasing distance from forest edges on the tree
73 species diversity and the structural parameters of a secondary forest from natural regeneration.

74 As the disturbances regime is known to have strong impacts on the regeneration of forests we

75 will also discuss whether the regeneration patterns within the study area can be better
76 explained by the intermediate disturbance hypothesis (Connell 1978) or the gap partitioning
77 hypothesis (Hubbel 1999, Brokaw & Busing 2000).

78

79 The following hypotheses were tested:

80 H₁: Tree species and structural diversity decrease with increasing distance from the forest
81 edge.

82 H₂: All species near to the edges of the surrounding forest can regenerate on the abandoned
83 area.

84 H₃: Species composition of naturally regenerated areas is similar to that of the adjacent forest
85 remnants.

86 H₄: We suppose that the disturbance theory of “intermediate disturbance hypothesis” (Connell
87 1978, Li et al 2004, Potts 2003, Sheil & Burslem 2003,) rather than the “gap partitioning
88 hypothesis” (Hubbel 1999, Brokaw & Busing 2000) could explain the species composition
89 and biodiversity patterns (Fig. 1).

90

91 The results can help to understand restoration processes of highly diverse ecosystem on the
92 one hand and indicate whether the species composition, diversity and restoration velocity are
93 suitable for contributing reforestation measures on the other hand.

94

95 Fig. 1

96

97 **Methods**

98 The study is linked with an interdisciplinary research group about “Functionality in a Tropical
99 Mountain Rainforest: Diversity, Dynamic Processes and Utilization Potentials under

100 Ecosystem Perspectives". The study area is located at 3°58'S, 79° 04'W between the province
101 capitals Loja and Zamora in the forest of the Estacion Cientifica San Francisco (ECSF),
102 which represents a highly diverse ecosystem of various organism groups (Braun 2001, Brehm
103 2002, Bussmann 2001, Homeier 2004, Matt 2001). The mean annual precipitation at 1900 m
104 NN amounts 2200 mm but increases with the altitude. The mean temperature is 15 °C (Emck
105 2006). The dominant soil types of the study area are Dystrudepts, Humaquepts and
106 Petraquepts (Schrumpf 1999). The ECSF forest is divided by pronounced ridges into
107 watersheds of 4 to 15 hectares each. The vegetation units can be categorized into ridge-types
108 and valley-types (Günter & Mosandl 2003). The altitudinal gradient also has a strong impact
109 on the forest structure and species composition (Homeier et al. 2002). A detailed botanical
110 description of species composition and zonation is given in Bussmann (2001), Homeier et al.
111 (2002) and Paulsch (2002). The forest can be classified as a tropical montane rain forest in the
112 lower parts and cloud forests at higher altitudes. The study site is located at an unincisive
113 ridge at the transition zone of these main vegetation types. Mean declination is about 10-39 °.

114

115 To evaluate the effects of forest edges onto rehabilitation of abandoned pastures we studied
116 ten transects within a patch of abandoned cattle pastures measuring 80 m x 240 m, presently
117 covered by secondary forest. Every transect consisted of 4 plots with 25 m² each, along a
118 gradient of increasing distance from the forest (-20 m, 0 m, 20 m and 40 m), with an
119 additional reference plot of 400 m² inside the surrounding primary forest (Fig. 2). In the 25 m²
120 plots all woody plants with dbh >2 cm were analysed, while in the 400 m² plot only those
121 with dbh >10 cm. Parameters analysed were dbh, species and crown coverage (with spherical
122 densiometer) in both plot sizes. Tree height was also measured in all plots except the
123 reference plot.

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Fig. 2

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Aerial photographs proved that the pasture at 2100 m NN was abandoned approximately 38 years ago and was always surrounded by primary mountain rain forest. Thus, the study site can also be described as large gap covered by secondary forest within a matrix of undisturbed primary forest. Our area represents the same plant communities as described by Galvez et al. (2001) for altitudes of 1900-2100 m NN and those of higher altitudes of the ECSF area: At altitudes between 1900 and 2100 m *Graffenrieda emarginata*, *Alzatea verticillata* and *Hedyosmum anisodorum* were the most abundant species, at higher elevations *Purdiaea nutans*, *Myrica pubescens* and *Clusia latipes*. This coincides with our results. The study site therefore can be considered as representative for the forest at this altitude in the San Francisco Valley.

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To compare the means of the structure and diversity parameters along the distance gradient from the undisturbed primary forest into the gap center, a One-Way Anova multiple comparison of means was carried out applying the Levene Test for studying homogeneity of variances. Thereafter, in order to calculate confidence intervals, the Bonferroni-Correction was used for homogene variances and Tamhane T2 in the case of inhomogeneity.

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Especially in the first years of the natural succession several ecological factors vary with increasing distance, for example: light, minimum and maximum temperatures, and rainfall increase, whereas nutrient input from litterfall, seed quantity and seed diversity decrease (Cubiña & Aide 2001). If only one of these factors is the limiting factor, we should either find a strictly ascending or a descending relationship between edge distance and structure or biodiversity parameters. If a combination of positively and negatively correlated factors

150 determines structure or diversity it is more difficult to predict the restoration processes.
151 According to the intermediate disturbance hypothesis highest diversity should be found at the
152 plots with medium distance to the edge, corresponding to intermediate disturbance intensity.

153

154 **Results**

155 On the reference plots in the primary forest abundance of trees and shrubs with dbh >10 cm
156 was 685 n/ha with a mean basal area of 13,3 m²/ha. In total 47 species were registered on the
157 total sampling area of 4000 m². The average number of species per plot was 13,1, the mean
158 Shannon Index 2,3. In table 1 the ten species and families with the highest Importance Value
159 Index (IVI, Lamprecht 1986) are shown. Accordingly, the most important species in the
160 primary forest at this altitude are *Graffenrieda emarginata*, *Clusia sp.*, *Alchornea pearcei*,
161 *Myrcia sp.* and *Purdiea nutans*. It is notable that *Podocarpus oleifolius* as a 'high value
162 timber species' is also among the most dominant species at this altitude.

163

164 **Table 1**

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166 Analysis of IVI in the 25 m² plots revealed that several species of the regeneration stratum
167 show a preference for primary forest conditions (*Elaeagia sp.*, *cf Vochysia sp.*, *Schefflera sp.*,
168 *Prunus huantensis*, *Ocotea sp.*, *Hyeronima sp.*, *Nectandra reticulata*, *Aniba sp.*, *Alchornea sp.*,
169 *Alchornea glandulosa*, *Siparuna sp.*, *Hyeronima alchornoides*, *Weinmannia sp.*, *Eschweilera*
170 *sp.*, *Persea subcordata*). In contrast *Palicourea andaluciana*, *Alzatea verticillata*,
171 *Dioicodendron dioicum*, *Myrsine sp.*, *Hyeronima moritziana*, *Bejaria aestuans*, *Ilex sp.*,
172 *Clethra revoluta* and *Macrocarpaea revoluta* showed strong preferences for the remote
173 positions from the primary forest edge. In the gap, covered by secondary forest, the
174 abundance of trees >10 cm dbh was very low. In total only 5 individuals with dbh >10 cm

175 were found (*Graffenrieda emarginata*, *Clusia sp.* and *Dioicodendron dioicum*). This
176 corresponds to abundances between 0 and 120 n/ha. However, number of trees <10 cm dbh
177 was very high in all regeneration plots. (table 2) The abundance of trees >2 cm dbh did not
178 significantly differ between gap (6560-8280 n/ha), edge (8200 n/ha) and forest plots (7300
179 n/ha). Also, abundances for trees >5 cm dbh were similar, though slightly lower outside the
180 primary forest. However, regarding the structural parameters basal area and height there are
181 significant differences between succession and forest plots which become more evident with
182 increasing dbh. The basal area in the secondary forest varied between only 48,2 % and 54,3%
183 of the forest level for trees with dbh >2 cm, and only 6,0 % and 7,8 % for trees with dbh >10
184 cm.

185

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Table 2

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188 It is notable that the structural parameters do not show a consistent gradient from the forest
189 edge to the gap center. However, there are significant structural differences between forest
190 plots on the one hand and gap or edge plots on the other hand. The number of species in the
191 regeneration plots (dbh >2cm) was exactly the same as that of the species with dbh >10 cm in
192 the primary forest: 47 species. However, there was a slight decline in species number from
193 inside the forest (47) to the forest edge (39), 20 m distance (34) and 40 m distance (31), even
194 though the Shannon Index does not show significant differences between the regeneration
195 plots at 20 m or 40 m distance and the forest edge.(fig. 4). Similar patterns were detected for
196 exclusive species¹: 15 exclusive species were found in the forest, four species at the edge, six
197 at 20 m and four species at 40 m from the edge. 85 % of all species in the upper story of the
198 primary forest (dbh >10 cm) were also found in the regeneration plots of the primary forest.

¹ Species that only occur at a single position along the gradient from the forest to the gap center, for example only inside the forest but nowhere else

199 Only *Macrocarpea revoluta*, *Hyeronima moritziana*, *Clethra revoluta*, *Tecoma stans*, *Myrcia*
200 *sp.* and two *Clusia* species did not regenerate in the primary forest. The proportion of upper
201 story species declined with increasing distance from the edge (63 % at the edge, 53% at 20 m
202 and 47 % at 40 m from the edge).

203

204

Table 3

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206 The distribution of the Sørensen indices confirms the observed patterns (table 3). The most
207 similar plant communities are the upper story trees in the forest and the regeneration in the
208 forest (Sørensen of 85,1). High similarity can be observed, but to a lesser extent between the
209 communities at 20 and 40 m from the forest edge (Sørensen of 73,8). The lowest congruence
210 could be found for the successional plant communities in the secondary forest and those
211 inside the natural forest.

212

213 Two tailed T-test for paired samples (n=10) showed that several species and families of the
214 regeneration stratum had significant higher abundances in the primary than in the secondary
215 forest: *Clusia sp.* 2² (p <0,01), *Myrcia sp.* 3 (p <0,05), *cf Vochysia sp.* (p <0,05). *Alchornea*
216 *sp.*, *Hyeronima sp.*, *Miconia sp.* 3, *Nectandra reticulata*, and *Prunus huantensis* were
217 significant at a lower level (p<0,1). The species from the botanical family *Clusiaceae* (p
218 <0,01) had significant higher abundances in the forest compared to the gap plots, and at a
219 lower significance level (p<0,1). This was also noticeable for *Araliaceae*, *Lauraceae*,
220 *Rosaceae* and *Vochysiaceae*. The families *Alzateaceae*, *Cloranthaceae* and *Gentianaceae* (all
221 p <0,05) significantly favored positions at 20 to 40 m from the forest edge. The species with
222 significantly higher abundances (p < 0,05) in successional stages than in the old growth forest
223 were *Alzatea verticillata*, *Macrocarpea revoluta* and *Palicourea andaluciana*. The

² Different numbers indicate different morphospecies

224 abundances of *Clethra revoluta*, *Dioicodenron dioicum*, *Hedyosmum anisodorum*, *Miconia*
225 *sp. 1*, *Myrcia sp. 2* and *Palicourea sp.* were also higher but at a lower confidence level ($p <$
226 $0,1$).

227

228 Fig. 3

229

230 Relative and absolute abundances were calculated for all families and species according to the
231 habitats forest, forest edge and gap (all positions 20 m and 40 m from forest edge). Fig. 3
232 shows all families in the descending order of their abundance in the gap. Accordingly, three
233 different groups can be distinguished. Group one is represented by six families with relative
234 abundances at 20 to 40 m in the gap higher than 66,6 %: *Asteraceae*, *Aquifoliaceae*,
235 *Gentianaceae*, *Myrsinaceae*, *Alzateaceae* and *Clethraceae*. The 2nd group contains eight
236 families with abundances lower than 33,3% in these positions: *Monimiaceae*, *Cunnoniaceae*,
237 *Araliaceae*, *Lauraceae*, *Piperaceae*, *Podocarpaceae*, *Rosaceae* and *Clusiaceae*. The families
238 of the third group did show neither preference for secondary forest nor for the primary forest
239 and therefore can be considered as transition families from forest to earlier successional
240 stages. It is notable that those transition families generally had higher abundances (mean 348
241 n/ha) than secondary forest families (191 n/ha) and especially than primary forest families
242 (102 n/ha).

243

244 Fig. 4

245

246 The analysis at species level (mean abundances >50 n/ha) revealed *Clethra revoluta*,
247 *Macrocarpea revoluta*, *Miconia sp.*, *Alzatea verticillata*, *Palicourea sp.* and *Roupala sp.* as
248 species with high relative abundances in the secondary forest, whereas three *Clusia* species,

249 three *Myrcia* species, *Hyeronima sp.*, *Schefflera sp.*, *Piper sp.*, *Alchornea sp.*, *Podocarpus*
250 *oleifolius* and *Prunus huantensis* had the lowest relative abundances. The number of species
251 was lowest for intermediate relative abundances in the gaps (n = 13), whereas species number
252 for relative abundances >66 % in gaps (n =20) and especially those with abundances lower
253 than 33% (n = 35), were very high. Two species can be considered as generalists with very
254 high absolute and relative abundances in the natural forest as well as at the forest edge or in
255 the secondary forest plots: *Graffenrieda emarginata* and *Purdiaea nutans*.

256

257 **Discussion**

258 Seed recruitment

259 The two most important barriers to the restoration of tropical montane forest on abandoned
260 pastures are the lack of dispersal of forest seeds and seedling competition with pasture grasses
261 (Holl et al. 2000). This could be the reason for relatively uniform diversity and structure
262 parameters on the regeneration plots of the study area. Several authors confirm the strong
263 impact of distance from forest edges on seed rain, which could have caused the observed
264 patterns in our study, too (Cubiña & Aide 2001, Holl et al. 2000). Zimmermann et al. (2000)
265 also confirm the pattern observed in our investigation with similar abundances at different
266 distances from the forest edge but with a reduced number of species and changes in species
267 composition. One of the major reasons for the low rates of seed arrival in pastures is that most
268 tropical shrubs and trees are animal dispersed, and many frugivorous birds and bats avoid
269 large open areas that could expose them to predators, particularly if there are no perches or
270 fruits (Engel & Parrotta 2001, Howe & Smallwood 1982, cit. Cubiña & Aide 2001,
271 Zimmermann et al. 2001). Nangendo et al. (2002) attributed high biodiversity in regeneration
272 to the proximity of forest edges and single tree remnants in the grassland that contributed to

273 seed dispersal. Consequently, establishment of seed trees or bird perches could be a valuable
274 option to increase diversity in reforestations (see section management).

275

276 Species composition

277 In many tropical forests, the upper story species do not regenerate in the same locations in
278 which the adults occur (Swaine & Hall 1988). From this point of view it is surprising that
279 almost 85 % of the upper story species at the study site could regenerate in the forest. Many
280 species can be maintained when a forest contains a variety of successional stages and species
281 are able to migrate among patches (Connell 1979, cit. Sheil & Burslem 2003). From that it
282 could be concluded that in the past large and small scale disturbances took place at the study
283 area, leading to the coexistence of successional species (*Alzatea verticillata*, *Clethra* sp.),
284 forest species (*Podocarpus oleifolius*), and generalists (*Graffenrieda emarginata*, *Purdiaea*
285 *nutans*) as dominant members of the upper story.

286 Zimmermann et al. (1995) for example found an effect of a temporal gradient on species
287 composition but not on woody plant diversity. These findings correspond to our results of
288 similar diversity indices but decreasing similarity in species composition along a *spatial*
289 gradient (increasing distance from the forest edge). Surprisingly, the IVI-values of primary
290 forest species are relatively high also in the secondary forest plots (table 1). However, at
291 larger distances from the edge (>40 m), the proportion may decrease in favour to pioneer
292 species. This is confirmed by Finegan & Delgado (2000) who found that species composition
293 of the dominating trees in a 30 year old secondary forest in Costa Rica consisted of a mixture
294 of species from the old-growth and adventives colonizing from agricultural land.

295

296 Diversity

297 We observed an abrupt decline of diversity and structural parameters at the forest edge
298 compared to the primary forest. However, from the forest edge to the gap center, there was
299 only a slight change in diversity and composition. According to the 'intermediate disturbance
300 hypothesis' (IDH) (Connell 1978, cit. Sheil & Burslem 2003) we should not expect a soft
301 decline from the edge to the center, but an increase and only after a certain distance a
302 decrease.

303 According to IDH the highest diversity of tropical rain forest trees should occur at the
304 intermediate stage in succession either after a large disturbance or with smaller disturbances
305 that occur not extremely frequent or infrequent; both situations represent an open non-
306 equilibrium. Consequently, following this hypothesis highest diversity would be expected on
307 the center plots. Hubbel et al. (1999) present contrasting conclusions to IDH. They formulated
308 the 'gap-partitioning hypothesis' (GPH), which differentiates among gap sizes, location
309 within a gap, gap shape, orientation, type and time of creation. All these factors are
310 considered to have an impact on regeneration processes and species composition. A
311 modified GPH theory has been presented by Poorter & Arets (2003). They found evidence
312 for the so called 'light partitioning hypothesis' (LPH) in moist forests of Bolivia. Grau (2002)
313 contradicts the IDH, too. Some authors recommend modifications of IDH. Sheil & Burslem
314 (2003) stress that one difficulty with applying the IDH to forests is the relationship between
315 internal tree-fall dynamics and external drivers of disturbance (eg. storms, fire and drought).
316 Our results rather support the GPH than the IDH (Fig. 5): Schwilk et al. 1997 confirm that
317 intermediate disturbance hypothesis may not hold true where fire is the disturbance, which
318 also was part of the landuse history of our study site.

319

320

(Fig. 5)

321

322 Also we found evidence of differentiated adaptation of species and families to certain positions
323 in the patch of abandoned pasture, which would support the GPH (Fig. 3). In contradiction to
324 both the GPH and the LPH we could not find significant differences of species richness along
325 the gradient of increasing distance from the forest edge for sample sizes of 25 m², but
326 differences in species number were notable if all plots of one distance category are combined
327 (total sample area 250 m²). Molino & Sabatier (2001) revealed that species richness corrected
328 for stem density was similar to that of surrounding forest and varied little with gap size.
329 Considering the height of the upper story at the study site of approximately 10 to 15 m
330 (Homeier et al. 2002), we can expect reduced light incidence at the forest edge but not at
331 distances of 20 and 40 m from the edge. According to Muuß 1997 and Günter 2001 the
332 impact of shading in those distances from the edge should be very low. It is more likely that
333 other factors, e.g. seed recruitment could have caused the decline in species number and
334 species composition in comparison to the natural forest.

335

336 Management

337 As our results show 38 years of natural development were not enough to regain the structure
338 of the primary forest with regard to species composition. In contrast to our study site,
339 structural parameters of a secondary forest in Puerto Rico and that of the old growth forest
340 sites were similar after approximately 40 years (Aide et al. 2000). However, our study site is
341 located on a ridge, so one of the reasons for slow development may be soil conditions which
342 did not allow seedling establishment for all species. Homeier (pers. comm.) identified very
343 low increment rates for the stands at ridges in the ECSF area. Against this background, it
344 seems doubtful if natural succession is suitable to meet economic objectives, at least for
345 similar soil conditions.

346

347 If the seed flux into abandoned areas is not sufficient, planting of animal dispersed species
348 like *Piper spp. or Myrica pubescens* could attract bats or birds (Almeida et al. 2004) and thus
349 enhance the restoration processes. Enrichment plantings with valuable timber species like
350 *Podocarpaceae* could contribute to a certain extent to additional income for small scale
351 farmers. Some authors report from successful enrichment planting trials (Pedraza &
352 Williams–Linera 2003) and confirm that enrichment planting can also accelerate natural
353 succession (Aide et al. 2000, Zimmermann et al. 2000), especially when animal-dispersed
354 species are used (Garzia-Martinez & Howe 2003). Holl et al. 2000 stress on the other hand
355 that bird perching structures alone are not an effective strategy, because they only serve to
356 enhance seed dispersal but not to reduce grass cover, which is a major barrier to forest
357 restoration. First results of a reforestation experiment in different successional stages of our
358 study area show that native species develop rather well, when weeds are cleared chemically
359 but not manually (Eckert 2006). But when the goal is to restore biodiversity, weeding could
360 be counterproductive because it may remove new species that invade the stand during
361 succession (Zimmermann et al. 2000).

362 Fragmentation of resources and habitats act differently on species diversity across spatial
363 scales: ranging from positive effects on local species coexistence, to negative effects on
364 intermediate scales, and to again positive effects on large spatial and temporal scales (Olf &
365 Ritchie 2002). According to their studies large forest fragments contain the greatest numbers
366 of tree species and the highest proportions of rare tree species. This coincides with our results
367 of highest diversity and highest number of exclusive species in the forest. Hence using forest
368 edges and forest fragments as tools for natural restoration of abandoned pastures, one must
369 pay attention to a systematic distribution of forest fragments as well as conservation of
370 appropriate fragment sizes.

371

372 **Conclusions**

373 According to the results we have to refuse all four postulated hypothesis.

374 H₁: Primary forest and abandoned pastures had pronounced differences in regeneration
375 structure and diversity but there was no impact of distance from the forest edge on the
376 structure and diversity at a per plot basis. However, there was a decline of total number of
377 species, although not statistically significant.

378 H₂: Only a limited number of surrounding forest species could regenerate on the abandoned
379 area. The number of species that exclusively regenerated inside the forest was higher than the
380 number of species that exclusively regenerated in the disturbed areas.

381 H₃: The similarity of the species composition of natural regeneration and the surrounding
382 mature stand declined with increasing distance between disturbed area and forest edge.

383 H₄: Hence our results rather support the gap partitioning hypothesis than the intermediate
384 disturbance hypothesis.

385

386 The succession lead to a secondary forest with a high biodiversity, much higher than any
387 anthropogenic established plantation. Thus, natural succession enhanced by forest edges can
388 contribute to forest restoration, at least if the distance is not too much (in our case <40m).

389 However, with increasing distance from the forest edge, the species composition changed
390 from its original status in the primary forest. Especially scarce forest species disappeared and
391 were replaced by more common species. Therefore, the conservation of perches and remnants
392 of natural forests inside abandoned pastures is of high importance for the future restoration of
393 biodiversity. Enrichment planting could be an additional measure to increase the number of
394 forest species.

395

396 From a user's point of view, the speed of natural restoration was not satisfying. Height and
397 diameter growth were very low at the study site. Possibly the growth of the natural succession
398 had already been influenced by soil degradation processes but it may also be possible that on
399 the ridges at the ESCF area, soil conditions in general were poor for tree growth. Further
400 studies on the impact of soil conditions on forest growth are important for developing
401 strategies for forest restoration. Another priority would be the selection of fast growing tree
402 species on different soil types. Our results suggest, that *Graffenrieda emarginata*,
403 *Dioicodendron dioicum* and one species of *Clusia sp.* could possibly be candidates for
404 reforestation of ridges in the montane region of Southern Ecuador. Unfortunately the
405 knowledge of the propagation of the South Ecuadorian montane forest species in general is
406 very poor. Studies in germination protocols are needed and it is necessary to develop
407 techniques for propagation of plants on a larger scale. This is not only a challenge for science
408 but also for applied development projects.

409

410 **Acknowledgements**

411 We wish to express our gratitude to the German Research Foundation DFG and the
412 Foundation "Nature and Culture International" for financial assistance. To Alfredo Martinez
413 and Jürgen Homeier our thanks for providing support with botanical identification. We also
414 like to thank the Ministerio de Ambiente del Ecuador for the research permit. Finally we
415 thank Bernd Stimm for literature and Edith Lubitz for the revision of the manuscript. Last not
416 least we thank the team of the Scientific Station San Francisco for their help and the fun we
417 had researching together.

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419

420

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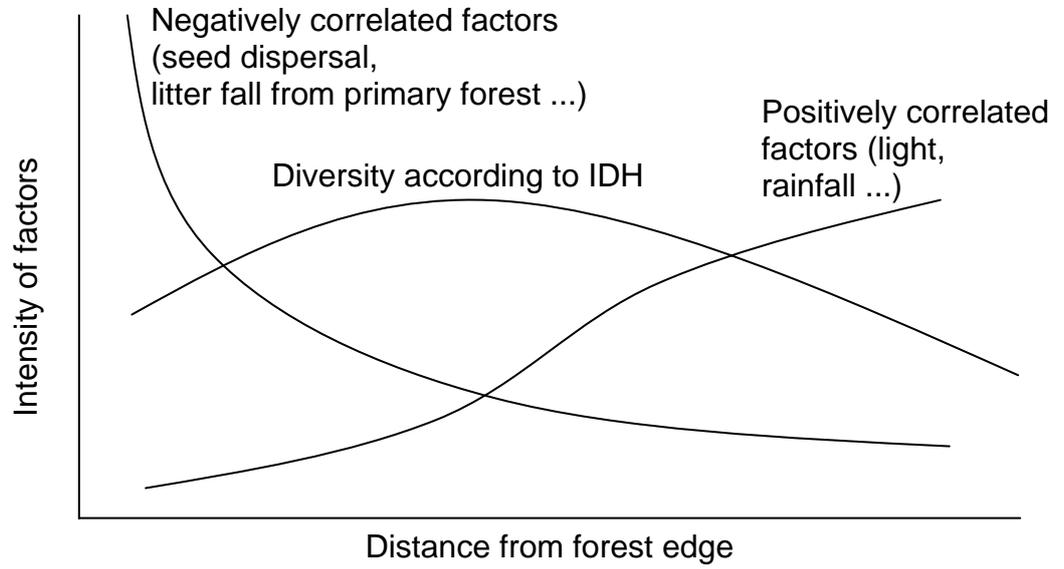
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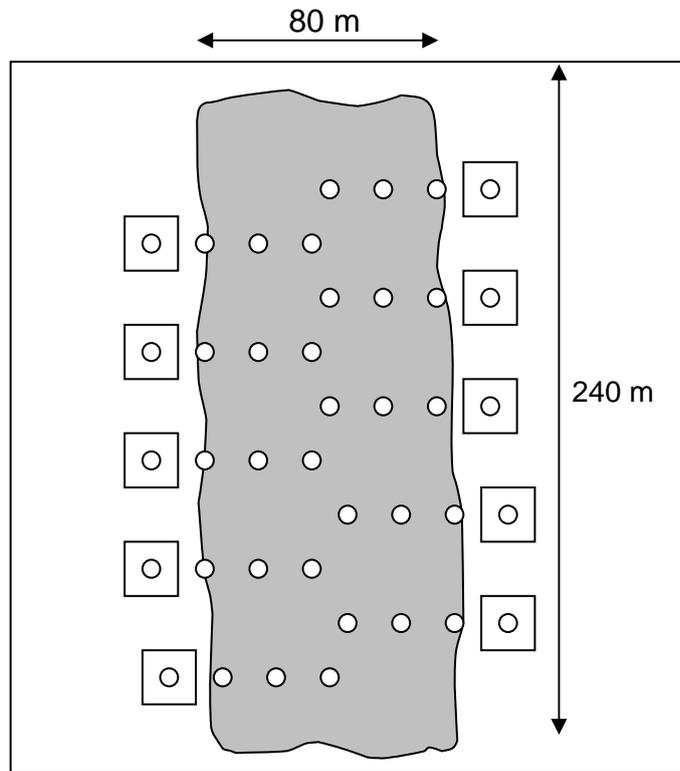
548 Fig. 1: Model for explaining highest diversity at intermediate distances from the forest edge
549 according to IDH (intermediate disturbance hypothesis)



550

551 Fig. 2: Draft of the study area. The patch of abandoned pastures is surrounded by natural
552 forest. Ten transects with 4 plots of 25 m² each were installed in the 38 year old secondary
553 forest and one additional reference plot of 400 m² inside the natural forest.

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555



556

557 Table 1: Importance Value Index (IVI) of the most important species inside the primary forest
 558 (PF) and on the regeneration plots in the secondary forest (SF) at 20 and 40 m from the
 559 primary forest edge.

Species	Family	IVI		
		PF	SF 20m	SF 40m
<i>Graffenrieda emarginata</i>	<i>Melastomataceae</i>	48,52	55,9	39,4
<i>Clusia sp.</i>	<i>Clusiaceae</i>	31,82	20,4	10,0
<i>Alchornea pearcei</i>	<i>Euphorbiaceae</i>	29,34	20,6	21,3
<i>Myrcia sp.</i>	<i>Myrtaceae</i>	18,27	9,3	5,5
<i>Purdiea nutans</i>	<i>Cyrillaceae</i>	16,96	21,0	22,2
<i>Alchornea sp.</i>	<i>Euphorbiaceae</i>	16,89	0,0	0,0
<i>Palicourea sp.</i>	<i>Rubiaceae</i>	14,82	4,1	20,5
<i>Clethra sp.</i>	<i>Clethraceae</i>	13,37	10,6	12,5
<i>Podocarpus oleifolius</i>	<i>Podocarpaceae</i>	10,28	0,0	0,0
<i>Alzatea verticillata</i>	<i>Alzateaceae</i>	9,03	18,5	8,8
All other 37 species together		90,7	139,6	140,2
Total		300	300	300

560

561 Table 2: Impact of the distance from primary forest edge on structural parameters of trees and
 562 shrubs on the 25 m² plots.

Parameter	dbh-class	Distance from primary forest edge (m)			
		-20	0	20	40
Abundance (n/ha)	>2 cm	7320	8200	8280	6560
	>5 cm	2800	2200	2040	2240
	>10 cm	800	120	0	80
Basal Area (m ² /ha)	>2 cm	24,7 a*	12,2 b*	11,9 b*	12,4 b*
	>5 cm	21,2 a*	8,1 b*	7,0 b*	7,9 b*
	>10 cm	13,3	1,04	-	0,8
Mean Height (m)	>2 cm	5,3 a*	4,3	3,8 b*	4,4
	>5 cm	6,5 a**	5,3	4,7 b**	5,1 b*
	>10 cm	10,1	7,3	-	6,3
Max. Height (m)	>2 cm	10,5 a*	6,1 b*	5,7 b*	5,8 b*
	>5 cm	10,3a***	6,0 b***	5,4 b***	5,8 b***
	>10 cm	13,0	8,0	-	6,5
Species (n/plot) ¹	>2 cm	12,2 a**	9,2 b**	9,8	8,6 b**
	>5 cm	5,1	3,3	3,2	4,0
Shannon Index ¹	>2 cm	2,35a**	1,95 b**	2,05 b*	1,96 b**
	>5 cm	1,40	1,02	1,00	1,16
Crown Cover (%)		85,6	77,8	81,6	78,4

563 *different letters mean statistical differences at the level * p < 0,05, **p<0,01, ***p<0,001

564 ¹ No species number per plot and Shannon index were calculated for dbh > 10 cm due to very low abundance per

565 plot.

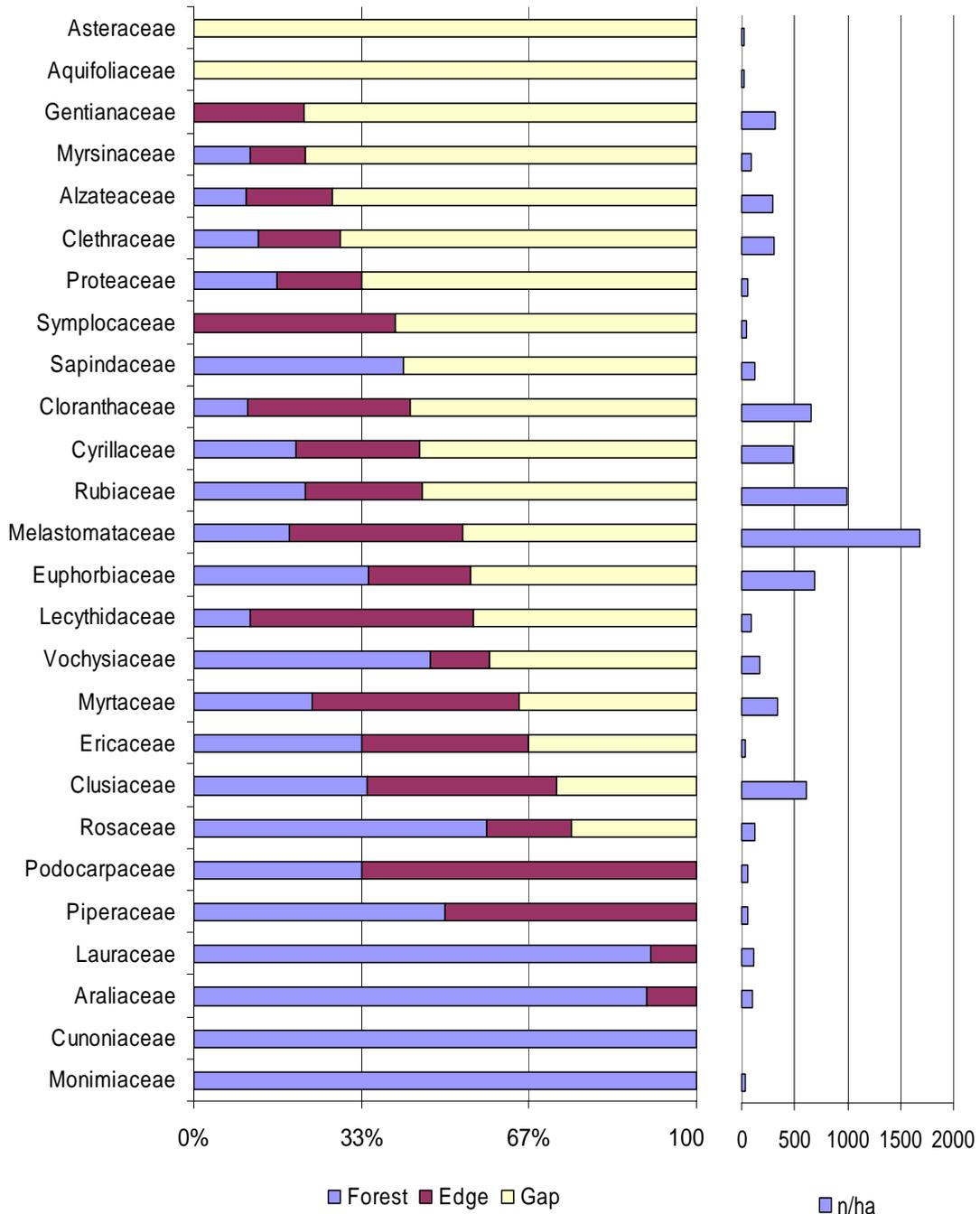
566 Table 3 : Sørensen indices of similarity between vegetation communities along a gradient of
 567 increasing distance from the primary forest edge

Distance	dbh	Distance from forest edge (m)			
		-20	0	20	40
		Trees with dbh >2 cm			
-20	>10 cm	85,1	69,7	61,7	56,4
-20	>2 cm		67,4	51,8	53,8
0	>2 cm			71,2	65,7
20	>2 cm				73,8

568

569 Fig. 3: Mean relative abundances (left) of the families at all regeneration plots and their
 570 distribution in forest, edge and gap (right: absolute abundances)

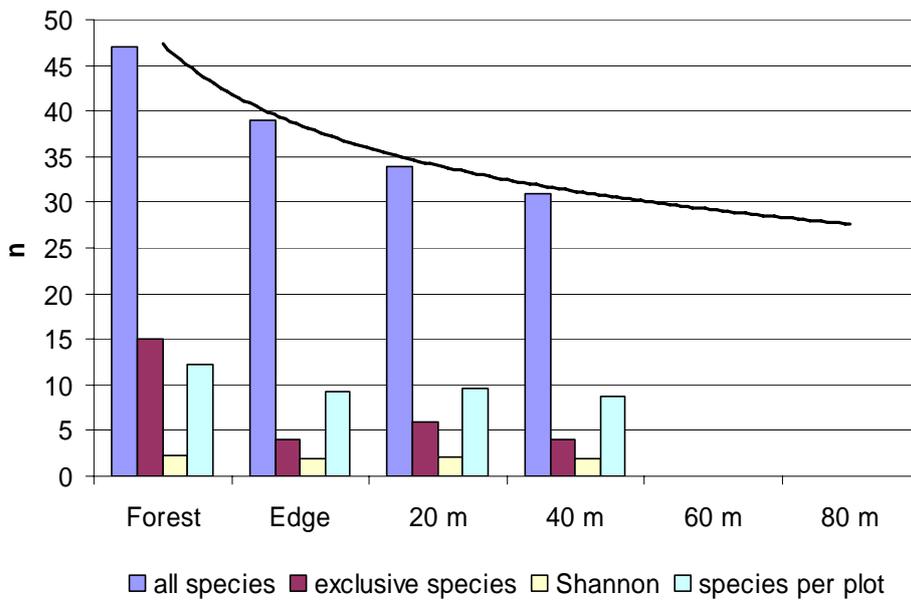
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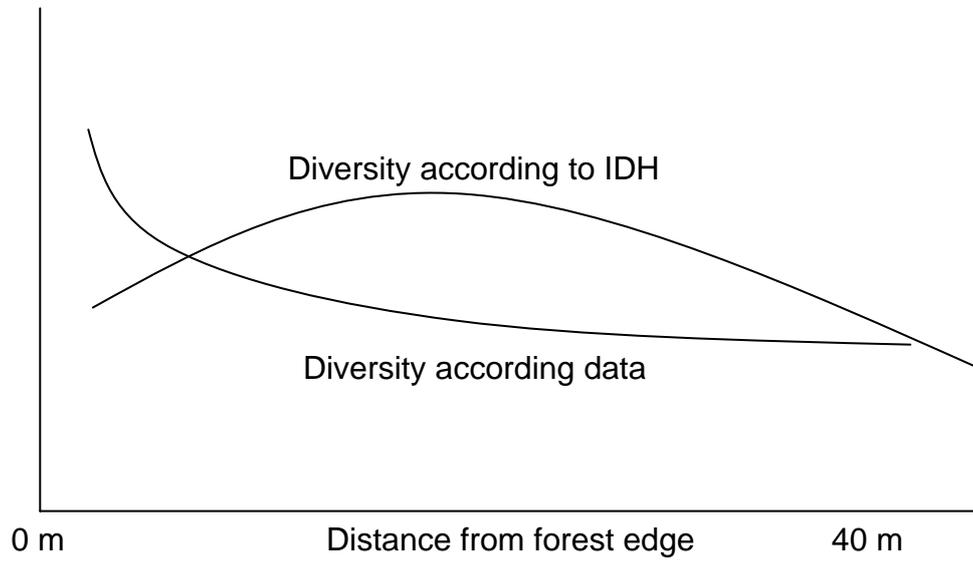
573 Fig. 4: Impact of distance from forest edge on diversity indices. Species numbers were
 574 calculated for sample sizes of 250 m² and on plot basis 25 m². Equation curve for all species:
 575 $y = 47,336x - 0,3005$, $r^2 = 0,9969$.

576



577

578 Fig. 5: Application of diversity predicted by intermediate disturbance hypothesis (IDH) and
579 diversity patterns with increasing distance from forest edge deriving from own data



580