### **ORIGINAL ARTICLE**



# Both radiographical and pathological lymph node statuses are independent predictors for survival following neoadjuvant chemotherapy and radical cystectomy for cT3/4 or cN+ bladder cancer

Julia Wagner<sup>1</sup> · Ricarda Simon<sup>1</sup> · Jakob Wolf Büchler<sup>1</sup> · Florian Kirchhoff<sup>1</sup> · Viktoria Kehl<sup>2</sup> · Margitta Retz<sup>1</sup> · Juergen Erich Gschwend<sup>1</sup> · Andreas Sauter<sup>3</sup> · Thomas Horn<sup>1</sup>

Received: 2 August 2022 / Accepted: 30 September 2022 / Published online: 21 October 2022 © The Author(s) 2022

## Abstract

**Introduction** Urothelial bladder cancer (UBC) with clinical suspicion of locally advanced growth or pelvic lymphogenic spread has a high risk of progression and death.

**Patients and methods** Bladder cancer patients with locally advanced (cT3/4) tumor growth or suspected pelvic lymphogenic spread (cN+) were treated with preoperative cisplatin-containing chemotherapy and consolidative cystectomy with pelvic lymphadenectomy. We aimed to identify prognostic factors and describe the patients' oncological outcome.

**Results** A complete dataset including follow-up data was available for 96 patients. In a univariate analysis, we identified cN stage (cN+ vs cN-, HR 2.7, 95% CI 1.3–6.0), response to chemotherapy (HR 0.2, 95% CI 0.1–0.5), ypT stage (*ypT0/is/1 vs ypT2-4*, HR 3.1, 95% CI 1.4–6.8), ypN stage (ypN + vs ypN-, HR 7.9, 95% CI 3.7–17.0), resection status (HR 4.4, 95% CI HR 1.5–13.0) as significantly associated with cancer-specific survival. In a multivariate regression analysis, both cN and ypN statuses were validated as independent prognostic factors for cancer-specific survival (cN: HR 2.6, 95% CI 1.1–6.1; ypN: HR 5.5, 95% CI 2.0–15.1).

**Discussion** Lymph node status was identified as a prognostic marker in a high-risk cohort of UBC patients treated with inductive chemotherapy and cystectomy. Establishing cN status as a prognosticator underlines the necessity to aggressively treat these patients despite reported impreciseness of imaging procedures in UCB. Patients with histologically positive lymph nodes following preoperative chemotherapy have a very poor prognosis, and thus, the need for adjuvant systemic treatment is emphasized.

**Conclusion** Both clinically and pathologically affected lymph nodes convey a poor prognosis in bladder cancer and necessitate aggressive treatment.

Keywords Preoperative chemotherapy · Bladder cancer · Lymph node metastasis

Thomas Horn t.horn@tum.de

- <sup>1</sup> Department of Urology, School of Medicine, Klinikum Rechts der Isar, Technical University of Munich, Ismaningerstraße 22, 81675 Munich, Germany
- <sup>2</sup> Institute for AI and Informatics in Medicine, Technical University of Munich, Munich, Germany
- <sup>3</sup> Institute for Diagnostic and Interventional Radiology, Technical University of Munich, Munich, Germany

# Introduction

Neoadjuvant cisplatin-containing chemotherapy (NAC) is associated with an overall survival benefit of 5–8% [1, 2] in muscle-invasive urothelial bladder cancer (UBC) and according to international guidelines should be offered to all cisplatin-eligible patients prior to radical cystectomy [3]. Nevertheless, NAC is still not widely accepted for different reasons [4, 5]. One debate is the potential overtreatment of patients with pT2pN0 disease, as cystectomy series show a favorable prognosis for patients with pT2 tumors in comparison with patients with locally advanced pT3/T4 tumors [6]. However, in metastatic UBC cisplatin-based chemotherapy is a widely accepted standard [3, 7]. Clinically regional node-positive bladder cancer with lymphogenic spread within the pelvis is a poorly described entity representing a transition from localized to metastatic disease. It is related to a rather poor prognosis with a reported 5-year survival of 20–60% [6, 8]. Common guidelines do not specifically address the management of these patients, and they have been excluded from seminal clinical trials examining the role of NAC [3, 9].

Staging of bladder cancer patients has high inherent uncertainties with a sensitivity for the detection of lymph node metastases of only 40-60% for both CT and MRI [10-13]. Specificity is reported to be higher but is also

Table 1 Baseline characteristics

of the patients

compromised by potential inflammatory changes after transurethral resection. Regarding local tumor staging and the differentiation between T3/4 and T2 disease, an accuracy of 80% is reported [14].

Our institution has been using a stringent treatment protocol in the last decade recommending primary systemic treatment with gemcitabine and cisplatin to patients with muscle-invasive UBC and imaging signs for either clinically locally advanced tumor growth (cT3/4) or involvement of pelvic lymph nodes (cN+). As the treatment strategy in these high-risk patients may differ from the neoadjuvant treatment

Characteristic	Value at Baseline*
	(N=96)
	(N-90)
Age <i>(years)</i> Median	65
Range	35 – 89
Gender	C2 (C4 C9()
Male	62 (64.6%)
Female	34 (35.4%)
cN	52 (55 22()
0	53 (55.2%)
1	20 (20.8%)
2	15 (15.6%) - 43 (44.8%)
3	8 (8.3%)
pN	
0	73 (76.0%)
1	6 (6.3%)
2	8 (8.3%) 23 (24.0%)
3	9 (9.4%)
Resection margins	
RO	90 (93.8%)
R1	6 (6.3%)
Number of removed lymph nodes	
Median	24
Range	5 – 78
cT status	
2	7
3	82
4	7
ypT status	
0	30 (31.3%)
is	14 (14.6%) - 53 (54.6%)
1	9 (9.4%)
2	11 (11.5%)
3	23 (24.0%) - 43 (44.8%)
4	9 (9.4%)

\*Values are summarized with absolute and relative frequencies unless otherwise stated

population with cN0 disease, we prefer the term inductive chemotherapy for this patient group instead.

In this manuscript, we present the outcome of this highrisk UBC cohort and identify pelvic lymph node status as the most important prognostic factor.

# Patients and methods

Patients. Patients receiving inductive chemotherapy with gemcitabine and cisplatin and subsequent cystectomy for cT3/4 or cN+bladder cancer between 2010 and 2021 were retrospectively identified. All cystectomies were done with an open surgical approach. Extended pelvic lymphadenectomy was performed to minimize staging errors [15]. Patients with a primary progression during cisplatincontaining chemotherapy were excluded as well as patients who did not complete at least three cycles of chemotherapy. Imaging studies of all patients were reviewed by one experienced uro-radiologist (AS) regarding local tumor staging and lymph node status. Pelvic lymph nodes with a short-axis diameter of more than 8 mm were considered as metastases. Patients with suspicious retroperitoneal lymph nodes (cM1) were excluded from this analysis as well as patients with distant metastases. Tumoral response to chemotherapy was defined as < ypT2ypN0 in the final histology specimen. The study was approved by the local ethics committee (number 2012/5292). All patients signed written informed consent in compliance with the Declaration of Helsinki.

### Methods

Cancer-specific survival was used for all statistical analyses. Survival estimates were done using the Kaplan–Meier method, and subgroups were compared using the Log-rank test. Univariate and multivariate Cox proportional hazards models were used to investigate the influence of the 103

covariates on the time to cancer-specific death. All tests were performed two-sided with a significance level of 5% without adjusting for multiplicity.

## Results

In total, 96 patients with sufficient follow-up data were included. The 2-year cancer-specific survival estimate was 79.0% (95% CI 70.6-87.4%). The 5-year cancer-specific survival estimate was 64.9% (95% CI 52.7-77.1%). Thirtyone patients have died after a median of 12 months, and the median follow-up time of surviving patients was 40 months. Detailed patient characteristics are shown in Table 1. Regarding initial clinical staging, 43 patients (44.8%) had clinically positive pelvic lymph nodes (cN+), and 81 patients (84.4%) presented with locally advanced tumor growth (cT3/4)). Following inductive chemotherapy and radical cystectomy, a total of 29 patients (30.2%) showed a complete response (ypT0 ypN0) to chemotherapy, and 48 patients (50.0%) showed a response defined as < ypT2 ypN0 in the cystectomy specimen. The median number of removed lymph nodes was 24.

Of 43 patients with clinically positive lymph nodes, 29 patients (67.4%) had a ypN0 status. Complete response rate (ypT0 ypN0) and response rate (< ypT2 ypN0) in the cN+ group were 10/43 (23.3%) and 17/43 (39.5%), respectively. Focusing on patients with clinically normal lymph node status, 9 of 53 patients (17.0%) had lymph node metastases (ypN+) in the final histologic analysis.

Patients with a ypN+ status had a very poor prognosis, and 17 of 23 ypN+ patients (74%) died after a median time of nine months. The estimated 2-year cancer-specific survival in this subgroup was 35.4% (95% CI 15.0–55.9%).

A statistically significant association with cancer-specific survival in univariate analyses was found for cN status, ypN status, ypT status, resection margins and response status, whereas age, gender and the number of removed lymph

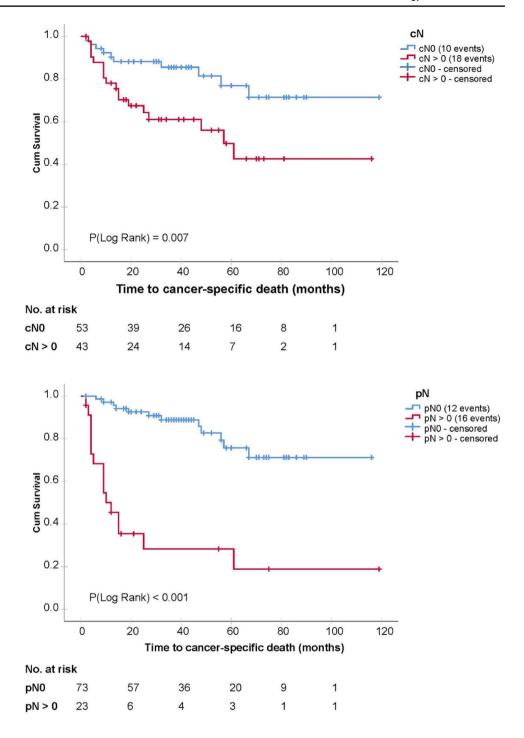
Table 2Results of theunivariate and multivariate Coxproportional hazards regressionanalysis

Variable	Univariate models			Multivariate model		
	p value	HR	(95% CI)	p value	HR	(95% CI)
cN positive	0.011	2.7	(1.3, 6.0)	0.029	2.6	(1.1, 6.1)
ypN positive	< 0.001	7.9	(3.7, 17.0)	0.001	5.5	(2.0, 15.1)
Positive response status	< 0.001	0.2	(0.1, 0.5)	0.720	0.7	(0.1, 3.9)
ypT (0–1 vs. 2,3,4)	0.005	3.1	(1.4, 6.8)	0.309	1.9	(0.6, 6.2)
Resection margins (R1 vs. R0)	0.007	4.4	(1.5, 13.0)	0.830	0.9	(0.3, 2.9)

Hazard ratios (HR) for cancer-specific death are shown with their 95% confidence intervals (CI) and corresponding p values of the Wald statistic from the models

p values < 0.05 were considered statistically significant

cNclinical lymph node status, pN pathological lymph node status, ypT pathological T stage after chemotherapy and cystectomy, Fig. 1 Kaplan–Meier curves depicting cancer-specific survival in dependency of pathological lymph node status after cystectomy (below) and clinical lymph node status before the initiation of chemotherapy (above)

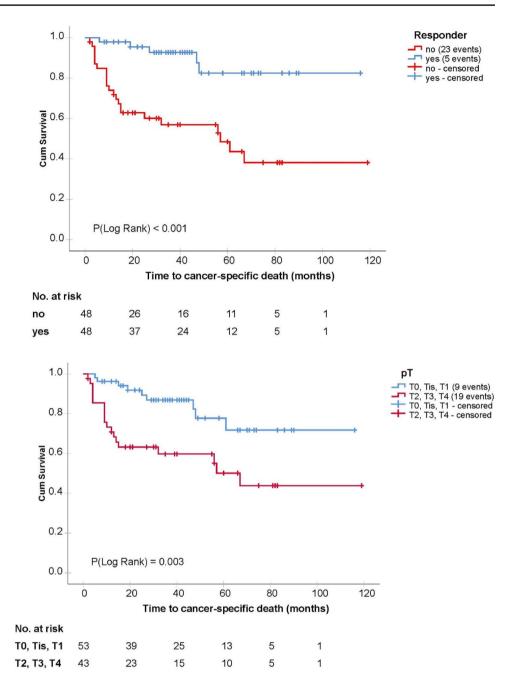


nodes were not significantly associated with cancer-specific survival. Details are shown in Table 2 and corresponding Kaplan–Meier curves in Fig. 1 (cN, ypN) and Fig. 2 (ypT stage, response status).

For statistical analyses, cN and ypN statuses were dichotomized in "positive" and "negative," as there was no hint for clinically meaningful differences between the subgroups of positive lymph node status cN1, cN2 and cN3 as well as ypN1, ypN2 and ypN3. Furthermore, pathological T stage after cystectomy was dichotomized in  $\langle$  ypT2 and  $\rangle$  = ypT2.

Due to the limited number of patients with a clinically localized stage cT2, we did not perform a formal comparison of these with cT3/4 patients.

Multivariate regression models were calculated and identified both clinical and pathological lymph node statuses as statistically independent prognostic variables for cancer-specific survival (cN status: HR 2.6, 95% CI (1.1, Fig. 2 Kaplan–Meier curves of cancer-specific survival in dependency of pathological T stage in the cystectomy specimen (below) and response to chemotherapy defined as a pathological T stage < ypT2ypN0 (above)



6.1), p = 0.029; ypN status: HR 5.5, 95% CI (2.0, 15.1), p = 0.001). Resection margins, response status and ypT stage were not significantly associated with cancer-specific survival in multivariate analyses.

# Discussion

Current guidelines recommend to offer neoadjuvant cisplatin-containing chemotherapy to all patients with muscle-invasive bladder cancer. Nevertheless, neoadjuvant chemotherapy is still not widely accepted due to the risk of potential overtreatment in a subgroup of patients [4, 5]. Selection of patients for neoadjuvant treatment is challenging. An approach to offer inductive chemotherapy to patients with cT3/4 or cN+ tumors and primary cystectomy to those with a cT2 cN0 stage may be a reasonable compromise, but there is sparse published data to support this strategy. Here, we report outcome data for a cohort of bladder cancer patients with either cT3/4 or cN+ stages, treated with preoperative chemotherapy followed by radical cystectomy. As recently published, there is no clinically relevant difference between a robotic surgical approach and open cystectomy [16]. All patients in this study received open cystectomy.

Pathological response to neoadjuvant chemotherapy has been described as a surrogate marker for survival [17, 18]. We observed a pathological complete response (pCR) of 30.2%. Recently, a randomized phase III trial reported a pCR of 36% after 4 cycles of neoadjuvant treatment with gemcitabine and cisplatin in a cohort of patients with muscleinvasive bladder cancer without lymph node metastases [19]. These similar numbers of complete responders underline the high efficacy of inductive chemotherapy. Furthermore, 67% of patients in our study staged cN+had no signs of pathological lymph node involvement after chemotherapy (ypN0). Focusing on cN+ patients, the largest published cohort with 304 patients displayed a pCR rate of 14.5% and a pN0 rate of 48%. Both rates are higher in our study (pCR 23.3%, pN0 after cN+: 67%) which may reflect improvements in staging modalities and improved patient selection.

We identified cN stage as significantly associated with cancer-specific survival. This underlines the importance of the results of pretreatment imaging studies as treatment decision tools although limitations of both CT and MRI in pelvic lymph node imaging, especially its low sensitivity, are well known [10–13]. Previously, our group reported the prognostic impact of clinical lymph node status prior to radical cystectomy without inductive chemotherapy [20]. The confirmation of these results in this study also for patients receiving inductive chemotherapy underlines the prognostic importance of pretreatment imaging studies.

On the other hand, we have been able to show in a prior study that TURBT prior to imaging studies rarely leads to overstaging [14], which further strengthens the role of initial CT imaging. In locally advanced (pT3/4) disease, oncologic outcomes are reported to be unsatisfying. Also, the risk of occult lymph node metastases in locally advanced disease is substantial in the light of the aforementioned low sensitivity of pelvic imaging for lymph node metastases. This is underlined in our study with 17% of cN0 patients showing ypN+ disease even after at least three cycles of cisplatinbased chemotherapy. This strengthens our hypothesis to advocate inductive chemotherapy also in cT3/4 cN0 patients.

The very poor prognosis of ypN+ patients is a strong argument for aggressive further treatment of these patients. In metastatic bladder, cancer treatment with a PD-1/PD-L1 antibody is recommended for second-line treatment in patients with progression after platinum-based chemotherapy [21]. As ypN+ patients can be regarded as platinum-resistant, an early immunooncological treatment is most likely the best next step. Only recently, nivolumab has been approved for adjuvant treatment for ypT2 or ypN+ patients [22].

We want to emphasize that an extended pelvic lymphadenectomy as performed in this study is necessary to minimize staging errors with the potential consequence of precluding understaged patients from adjuvant treatment.

We were able to confirm a recent study that the response to inductive chemotherapy in bladder cancer is independent from age [23]. Discrepant from another trial, we found no association between the number of removed lymph nodes and cancer-specific survival [24].

The strengths of our study are the stringently used selection criteria for inductive chemotherapy and radiological review of all patients. Limitations are the nonrandomized design and monocentric approach.

Author contributions JW contributed to manuscript writing and data analysis, RS was involved in data generation, JB contributed to data generation and interpretation, FK was involved in data analysis and manuscript proofreading, VK contributed to statistical analysis, MR was involved in data generation, JG contributed to manuscript preparation and data interpretation, AS was involved in radiological review, and TH contributed to data generation, data analysis and manuscript writing.

**Funding** Open Access funding enabled and organized by Projekt DEAL. No funding.

**Data availability statement** The data that support the findings of this study are available from the corresponding author (TH) upon request.

### Declarations

**Conflict of interest** The authors declare that there is no potential conflict of interest.

**Statement of ethics** The local ethics committee approved all analyses (Ethikkommission der Technischen Universität München, number 2012/5292).

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

# References

- Yin M, Joshi M, Meijer RP, Glantz M, Holder S, Harvey HA et al (2016) Neoadjuvant chemotherapy for muscle-invasive bladder cancer: a systematic review and two-step meta-analysis. Oncologist 21(6):708–715
- Neoadjuvant chemotherapy in invasive bladder cancer: update of a systematic review and meta-analysis of individual patient data advanced bladder cancer (ABC) meta-analysis collaboration. European Urology. 2005;48(2):202–5.

- Witjes JA, Bruins HM, Cathomas R, Compérat EM, Cowan NC, Gakis G et al (2021) European Association of Urology Guidelines on muscle-invasive and metastatic bladder cancer: summary of the 2020 guidelines. Eur Urol 79(1):82–104
- Faraj KS, Navaratnam AK, Eversman S, Elias L, Syal A, Tyson MD et al (2021) Use of treatment pathway improves neoadjuvant chemotherapy use in muscle-invasive bladder cancer. Int Urol Nephrol 53(6):1111–1118
- Huo J, Ray-Zack MD, Shan Y, Chamie K, Boorjian SA, Kerr P et al (2019) Discerning patterns and quality of neoadjuvant chemotherapy use among patients with muscle-invasive bladder cancer. Eur Urol Oncol 2(5):497–504
- Stein JP, Lieskovsky G, Cote R, Groshen S, Feng AC, Boyd S et al (2001) Radical cystectomy in the treatment of invasive bladder cancer: long-term results in 1054 patients. J Clin Oncol 19(3):666–675
- von der Maase H, Sengelov L, Roberts JT, Ricci S, Dogliotti L, Oliver T et al (2005) Long-term survival results of a randomized trial comparing gemcitabine plus cisplatin, with methotrexate, vinblastine, doxorubicin, plus cisplatin in patients with bladder cancer. J Clin Oncol 23(21):4602–4608
- Abufaraj M, Dalbagni G, Daneshmand S, Horenblas S, Kamat AM, Kanzaki R et al (2018) The role of surgery in metastatic bladder cancer: a systematic review. Eur Urol 73(4):543–557
- 9. Reitblat C, Bellmunt J, Gershman B (2021) Management of clinically regional node-positive urothelial carcinoma of the bladder. Curr Oncol Rep 23(2):24
- Crozier J, Papa N, Perera M, Ngo B, Bolton D, Sengupta S et al (2019) Comparative sensitivity and specificity of imaging modalities in staging bladder cancer prior to radical cystectomy: a systematic review and meta-analysis. World J Urol 37(4):667–690
- Woo S, Suh CH, Kim SY, Cho JY, Kim SH (2018) The diagnostic performance of MRI for detection of lymph node metastasis in bladder and prostate cancer: an updated systematic review and diagnostic meta-analysis. AJR Am J Roentgenol 210(3):W95-w109
- McMahon CJ, Rofsky NM, Pedrosa I (2010) Lymphatic metastases from pelvic tumors: anatomic classification, characterization, and staging. Radiology 254(1):31–46
- Hedgire SS, Pargaonkar VK, Elmi A, Harisinghani AM, Harisinghani MG (2012) Pelvic nodal imaging. Radiol Clin N Am 50(6):1111–1125
- Horn T, Zahel T, Adt N, Schmid SC, Heck MM, Thalgott MK et al (2016) Evaluation of computed tomography for lymph node staging in bladder cancer prior to radical cystectomy. Urol Int 96(1):51–56
- 15. Simone G, Papalia R, Ferriero M, Guaglianone S, Naselli A, Collura D et al (2012) Development and external validation of lymph node density cut-off points in prospective series of

radical cystectomy and pelvic lymph node dissection. Int J Urol 19(12):1068–1074

- Mastroianni R, Ferriero M, Tuderti G, Anceschi U, Bove AM, Brassetti A et al (2022) Open radical cystectomy versus robotassisted radical cystectomy with intracorporeal urinary diversion: early outcomes of a single-center randomized controlled trial. J Urol 207(5):982–992
- Rosenblatt R, Sherif A, Rintala E, Wahlqvist R, Ullén A, Nilsson S et al (2012) Pathologic downstaging is a surrogate marker for efficacy and increased survival following neoadjuvant chemotherapy and radical cystectomy for muscle-invasive urothelial bladder cancer. Eur Urol 61(6):1229–1238
- Voskuilen CS, Oo HZ, Genitsch V, Smit LA, Vidal A, Meneses M et al (2019) Multicenter validation of histopathologic tumor regression grade after neoadjuvant chemotherapy in muscle-invasive bladder carcinoma. Am J Surg Pathol 43(12):1600–1610
- 19. Pfister C, Gravis G, Fléchon A, Soulié M, Guy L, Laguerre B et al (2021) Randomized phase III trial of dose-dense methotrexate, vinblastine, doxorubicin, and cisplatin, or gemcitabine and cisplatin as perioperative chemotherapy for patients with muscle-invasive bladder cancer. Analysis of the GETUG/AFU V05 VESPER trial secondary endpoints: chemotherapy toxicity and pathological responses. Eur Urol 79(2):214–221
- Schmid SC, Zahel T, Haller B, Horn T, Metzger I, Holzapfel K et al (2016) Prognostic value of computed tomography before radical cystectomy in patients with invasive bladder cancer: imaging predicts survival. World J Urol 34(4):569–576
- Bellmunt J, de Wit R, Vaughn DJ, Fradet Y, Lee JL, Fong L et al (2017) Pembrolizumab as second-line therapy for advanced urothelial carcinoma. N Engl J Med 376:1015–1026
- Bajorin DF, Witjes JA, Gschwend JE, Schenker M, Valderrama BP, Tomita Y et al (2021) Adjuvant nivolumab versus placebo in muscle-invasive urothelial carcinoma. N Engl J Med 384(22):2102–2114
- D'Andrea D, Black PC, Zargar H, Zargar-Shoshtari K, Soria F, Fairey AS et al (2021) Association of age with response to preoperative chemotherapy in patients with muscle-invasive bladder cancer. World J Urol 39(12):4345–4354
- Zargar-Shoshtari K, Zargar H, Lotan Y, Shah JB, van Rhijn BW, Daneshmand S et al (2016) A multi-institutional analysis of outcomes of patients with clinically node positive urothelial bladder cancer treated with induction chemotherapy and radical cystectomy. J Urol 195(1):53–59

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.