

Graph-based version control for asynchronous BIM collaboration

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Abstract

Collaboration and communication are two essential aspects of Building Information Modeling (BIM). Current practice and international standards implement BIM collaboration on the basis of domain model federation where loosely coupled models are managed as separated files and coordinated in a mostly manual fashion. The concept has severe limitations regarding concurrency and version control, as the granularity of change tracking remains on the level of complete files and does not reach individual model objects. Due to this lack of change traceability, high manual effort for the subsequent coordination across the domains is generated. These limitations can be overcome by implementing modern approaches of digital collaboration based on object-level synchronization, widely denoted as BIM level 3. This paper presents a sound methodological basis for object-based version control by (1) representing the object networks of BIM models as formal property graph structures and (2) describing changes of the model by graph transformations. Consequently, modifications can be transmitted as graph transformation rules which are subsequently integrated on the receiving side, thus achieving object-level synchronization. The paper provides the underlying theory of describing model changes by means of graph transformations and demonstrates its benefits using the example of domain models implementing the Industry Foundation Classes (IFC) as their underlying data model.

Keywords: Asynchronous collaboration, object-based version control, BIM Level 3, Common Data Environment

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1. Introduction

The design, construction, and maintenance of buildings and civil infrastructure are among the most essential societal tasks. Particularly in large projects, such as the design of major transportation infrastructure or highly equipped buildings, a very large number of experts and domains is involved to generate cost-effective yet efficient solutions for challenging environments. To accomplish solutions for complex multi-disciplinary engineering problems with contradicting objectives such as cost reduction, environmental preservation, and responsible resource consumption, planning and design tasks often require several iterations to meet all requirements from various domains and parties. Through these iterations, the design of the built asset to be constructed is successively refined and elaborated. Iterative processes entail that information which is shared at one point in time might no longer be valid in the future. Hence, keeping an overview of an evolving information set becomes a cumbersome task. Therefore, powerful management tools are essential to coordinate all complex dependencies and relations among all involved parties.

To reliably represent and exchange design information, the methodology of Building Information Modeling (BIM) has been increasingly adopted by the Architecture, Engineering, and Construction (AEC) industry throughout the last years [1, 2, 3]. As the advantages of BIM over conventional drawing-based practices are overwhelming, a rising number of public authorities and contractors demand BIM-based collaboration. To streamline BIM information management, a number of standards have been defined. Among the most important is ISO 19650 [4] that specifies the principle of model federation and describes the *Common Data Environment* (CDE) as the technological solution for data management in BIM projects [5, 6, 7]. At its heart, the principle of model federation relies on discipline-specific partial models (such as Architecture, Structural, or HVAC models) that are created and maintained independently, but coordinated in well-defined intervals to achieve a coherent overall design solution. The main motivation for federated model approaches lies in the fact that legal responsibility for disciplinary design decisions must remain with the respective author. In addition, the practice has shown that asynchronous collaboration is much more appropriate for cross-enterprise BIM design projects than synchronous collaboration, as it allows for the desired independence of the involved partners in terms of time and resource planning.

38 According to ISO19650, the domains models and associated documents
39 are managed and exchanged by means of so-called *Information Containers*.
40 Implementing this approach, current best practise relies on transmitting en-
41 tire and complete domain models stored in files each time a model version is
42 shared. In consequence, the changes applied to the respective domain model
43 are neither explicitly propagated nor tracked or managed by the CDE. There-
44 fore, it remains the responsibility of the stakeholders to manually find the
45 applied changes and check for potential inconsistencies with their own domain
46 models. This severely limits the available technical support for model-based
47 collaboration and increases the risk for inconsistencies.

48 1.1. Problem statement

49 Even though a file-based data exchange suits the traditional fashion to
50 store information on a hard drive, there are significant limitations when it
51 comes to fulfilling the requirements of high-frequency model-based collabo-
52 ration taking into account the large size of model data and the various de-
53 pendencies across the design disciplines. Combining the situation of multiple
54 versions being created and deployed as files throughout design phases with
55 the large number of tasks that must be considered, the manual tracking of
56 changes can be overwhelming and results in repetitive, error-prone processes.
57 A much more effective approach can be achieved by exchanging only the dif-
58 ferences between the two model versions. Incremental updates enable precise
59 tracking of changes, allowing engineers to concentrate on these updates and
60 the required modifications of their own discipline models. In addition, it
61 opens ways for automated (yet controlled) updates across disciplines wher-
62 ever a formal description of dependencies is possible, without violating the
63 principle of discipline-oriented ownership and responsibility. As a side effect,
64 data traffic produced to deploy a new version is drastically reduced as the
65 ratio between modified components and the overall number of elements in a
66 model is typically quite low.

67 While there are established version control systems (VCS) such as Git
68 or Subversion, which implement the concept of incremental changes, they
69 are dominantly based on tracking and updating textual representations like
70 program code. Knowledge representations used in the AEC industry, how-
71 ever, are complex, highly connected object networks that are much better
72 represented by graph structures than by serialized textual representations.
73 Approaches that formally describe engineering knowledge by means of graphs
74 have been already investigated by a vast number of publications and provide

75 a promising basis to implement object-based update tracking for BIM-based
76 workflows.

77 *1.2. Contribution*

78 The work presented in this paper aims to overcome the limitations of file-
79 based collaboration by developing graph-based methods for update tracking
80 and federation in BIM models. A novel method for version control of BIM
81 models is proposed that operates on object level and extends existing version-
82 ing concepts by introducing graph-based mechanisms. In particular, object
83 models are proposed to be represented by directed, attributed graphs and
84 modifications are formally described by graph transformations.

85 In terms of the system architecture, we assume a centralized hub for data
86 management, which various clients can connect to and use to share their
87 domain-specific models. For synchronizing the local model version with the
88 central one, however, mere update patches are transferred instead of complete
89 model files. These update patches contain the corresponding graph transfor-
90 mations. The concept of federated models, asynchronous collaboration, and
91 eventual synchronization of all domain models into a centralized coordination
92 model is maintained and implemented in accordance with existing standards
93 and guidelines.

94 While the concepts for graph-based model versioning are generic, it is
95 not the aim of the paper to define a new all-embracing data model that
96 fits multiple domains. Rather, the developed method features existing and
97 well-developed data models targeting highly specialized branches of the AEC
98 industry and map their data sets onto a common graph structure.

99 In summary, the main contributions to be presented in this paper are:

- 100 • The concept of graph-based version control applied to BIM collabora-
101 tion.
- 102 • A generic representation of BIM instance models by means of attributed,
103 directed graphs.
- 104 • A graph-based algorithm that computes the difference between two
105 versions of a BIM model on the basis of their graph representations.
- 106 • A mechanism to exchange and integrate model updates by means of
107 formal graph transformations.

108 The paper is organized as follows: Section 2 discusses related work in
109 the field, section 3 describes the developed method from a theoretic point of
110 view. Section 4 presents a case study to provide a proof-of-concept, section
111 5 contains the discussion and section 6 closes the paper with a summary of
112 the main findings.

113 2. Background & related work

114 The ongoing development of digital technologies has equipped various
115 industry sectors with new opportunities to enhance data exchange and col-
116 laboration. In this regard, especially approaches to collaborate across do-
117 main borders expose a great potential. This section provides an overview of
118 existing versioning systems suitable for textual knowledge representations.
119 Furthermore, existing approaches for representing complex object networks
120 by means of graph theory and graph transformations are introduced and put
121 into the domain context of the AEC industry.

122 2.1. Representations of engineering knowledge

123 Domain experts involved in the design and engineering process of building
124 and civil infrastructure assets capture knowledge typically in the form of
125 discipline-specific data representations that are referred to as BIM models
126 [8]. Such instance models contain semantic and geometric information of the
127 designed asset from the viewpoint of the respective domain and are composed
128 of a set of model elements reflecting a physical, logical, or contextual item
129 and their mutual relationships. A BIM model instantiates a data model,
130 which defines available types, classes, and their relationships. Various data
131 models exist to ensure a common understanding of the knowledge shared
132 within an instance model. These specifications implement the **Meta Object**
133 **Facility** (MOF) definitions [9, 10]. The MOF specifications standardized by
134 the Object Management Group (OMG) distinguish between instance data
135 (M0), data model (M1), metamodel (M2), and metamodel (M3). In the
136 context of the paper at hand, the terms *instance model* and *BIM model* refer
137 to the concepts stated in M0. The underlying structure, which abstracts the
138 given real-world problem from a domain-specific perspective, is defined as
139 *data model*. The abstraction of a data model in its generic items such as
140 attribute types and relationships is defined in a meta model.

141 The exchange domain models is currently realized by uploading entire
142 files to so-called Common Data Environments (CDEs) [11, 12, 4]. Yet, in-
143 dividual objects inside a instance model are typically not accessible for the
144 CDE, not analyzed further, and hence not subject to versioning and concu-
145 rency control. In consequence, the granularity of versioning remains on a
146 rather coarse level of entire domain models. In order to facilitate an object-
147 based versioning of the populated instances, a general understanding of the
148 structures and common representation types is necessary.

149 In most cases, a textual representation of the instance model is used
150 to translate each object from the internal memory of the authoring soft-
151 ware into a textual representation, which is then transferred to the project's
152 CDE. Open, vendor-neutral instance models typically follow common en-
153 coding mechanisms (such as XML, JSON, STEP Physical File), whereas
154 proprietary file formats typically implement binary representations. While
155 proprietary file formats are still in wide use for various reasons, in the scope of
156 this paper, specific emphasis is put on vendor-neutral information exchange.
157 At a first glance, approaches for versioning textual representations appear
158 promising to identify, track and manage model modifications.

159 *2.2. Existing version control systems*

160 The versioning of structured information is a relevant issue in many in-
161 dustry branches. Specifically, in the field of software development, various
162 methods, protocols, and systems exist that enable distributed version con-
163 trol of text files [13]. Prominent examples of VCS are Apache Subversion¹,
164 Mercurial² and Git³ among others. In most approaches, a central database
165 stores the global history of change events, integrates incoming modifications,
166 and allows a user to clone the entire history with all incremental changes
167 to a local machine. If changes are ready to be shared with others, the user
168 commits the local state and pushes it to the central database again. The
169 chain of update messages forms the entire history of the project. Incoming
170 updates can be integrated automatically if they do not create any conflicts
171 with existing or concurrent local changes. Only in case of conflicts, the user
172 needs to resolve them and choose the desired content manually [14].

173 Even though such version control systems are well established, they show
174 significant limitations when it comes to the management of complex object
175 networks given in BIM models. Most of the time, serializing connected infor-
176 mation into textual representations requires the introduction of additional
177 identifiers to specify dependencies between objects. These identifiers are
178 not part of the actual domain to be described in the model and are sim-
179 ply due to the need to represent associations between instances in a textual
180 manner. Engineering knowledge can be serialized into instance models in a
181 completely different order depending on the serialization strategy, resulting

¹<https://subversion.apache.org/>

²<https://www.mercurial-scm.org/>

³<https://git-scm.com/>

182 in seemingly diverging files which in fact represent the same content. Thus,
183 pure text-based comparisons are not capable of reflecting the complex and
184 highly interconnected object networks.

185 In the AEC industry, a number of researchers have already investigated
186 the representation and exchange of modifications on specific data sets. For
187 example, Koch and Firmenich [15] have presented a comprehensive versioning
188 approach for procedural building representations. Their approach is based
189 on representing both, design states and state transitions, thus enabling the
190 exchange of change-oriented information by means of design steps denoted as
191 modeling operations. Even though the general vision is highly related to the
192 approach, the paper at hand focuses on expressing modifications by graph
193 transformations applied on the model. On the contrary, the work of Koch and
194 Firmenich focuses on a procedural description of the model creation process
195 and the subsequent versioning of them. A similar approach is taken by
196 Vilgertshofer and Borrmann [16], which however focuses on the generation of
197 models. Both publications suggest a general validity of the approaches used,
198 but further consideration of the framework conditions is required to apply
199 them in the context of BIM models featuring domain-specific requirements.

200 Apart from research initiatives, multiple software vendors have identi-
201 fied cloud computing and collaboration systems as crucial to their product
202 portfolio. Prominent vendors like Autodesk, Trimble, Graphisoft, and others
203 provide cloud-based solutions to allow working on data sets in a collaborative
204 manner [17, 18, 19]. Their approaches, however, feature only vendor-specific
205 file formats and data models. A good example is Graphisoft’s BIM cloud
206 service, which was formally know as Graphisoft Delta Server, implementing
207 the idea of transmitting deltas (model differences) for synchronizing a dis-
208 tributed system [20]. To the best knowledge of the authors, these products
209 do not provide a generic approach that can be applied to a multitude of data
210 models used for interdisciplinary data exchange. Besides, the technological
211 foundations used in the collaboration systems are often not publicly docu-
212 mented, which makes it complex to argue about their applicability to data
213 structures other than their internal ones.

214 By contrast, the Speckle platform presented by Poinet et al. [21] aims to
215 provide an object-based collaboration system for BIM data by implement-
216 ing direct communication between senders and receivers. The overall data
217 exchange relies on a generic object definition called *SpeckleObject*, which car-
218 ries dynamically created attributes. Therefore, the approach correlates with
219 fundamental concepts of dynamically typed languages such as Python and

220 enables the user to create and modify the attributes of a certain object in
221 a flexible manner. Even though Poinet et al. [21] claim to support subsets
222 of vendor-neutral data models in their system, a common understanding of
223 Speckle’s object architecture is required at all sending and receiving systems.
224 Subsequently, the approach appears promising but also contains the risk to
225 create yet another data exchange standard in the industry connecting only
226 a selected set of systems.

227 To allow object-level versioning of complex object networks in a generic
228 manner (i.e., independent of a specific data model or vendor), novel methods
229 are necessary to detect modifications between two versions directly in the
230 object network instead of computations on their text-based serializations.
231 Therefore, the next paragraphs reports on existing approaches to reflect BIM
232 models in graph structures.

233 *2.3. Graph representations of object networks*

234 Capturing and representing engineering information by means of graph
235 structures has been of research interest in many industries and domains. A
236 major advantage of graphs is the ability to model dependencies and relation-
237 ships between individual objects in a generic manner [22]. Physical and log-
238 ical assets can be abstracted in a flexible manner without having to consider
239 application-specific conditions for each object or relationship. Therefore,
240 graph structures are often used to enrich information resources by adding
241 extended knowledge to them. Application scenarios can range from opti-
242 misation problems (e.g., agent routing through complex networks [23]) over
243 computational synthesis tasks [24] to data fusing applications that aim to
244 detect implicit dependencies in large data sets [25, 26, 27].

245 All graphs $G=(N, E)$ consist of a set of nodes N and edges E , with each
246 edge connecting a number of nodes (usually 2) in a directed or undirected
247 manner. Depending on the specific domain, nodes and edges may carry
248 additional information in the form of attributes and labels supporting efficient
249 querying, sorting, and other computational features. Subsets of graphs are
250 called sub-graphs or graphlets whereas the term *pattern* is used to specify a
251 graph or graphlet (e.g., to be used in a query statement).

252 Graph representations for structured data sets can be divided in two ma-
253 jor categories. Approaches related to Linked Data principles represent the
254 object networks using a *triple centered* approach where each triple is com-
255 posed of a subject, a predicate, and an object [28]. A triple can model an
256 attribute belonging to an object (e.g., ”the material of a wall is concrete”)

257 or describes a relationship between two objects (e.g., "the wall is contained
258 in a building"). In terms of the corresponding graph, each triple is rep-
259 resented by an edge. Each information item (objects but also attributes)
260 is represented by a node. Correspondingly, graph structures representing
261 complex models consist of a large number of nodes and edges. In contrast,
262 entity-centered approaches are more closely aligned with the principles of
263 object-oriented analysis and design (OOAD), where each object has a set of
264 attributes, which describes the current state of the instance. In the corre-
265 sponding graph structure, each object carries its attributes as weights and
266 edges are only used to model relationships with other objects.

267 A number of researchers have investigated the representation of building
268 information models as graphs. The approaches typically rely on (1) repre-
269 senting first-class object types such as walls, windows, doors as nodes and
270 (2) representing their topological or functional relationships with the help of
271 edges between the corresponding nodes. The presented approaches vary in
272 the choice of first-class objects and considered relationships, as they follow
273 different purposes or views on buildings [29, 30, 31, 32].

274 Various approaches exist to define, store, exchange, and deploy triple-
275 based data. One prominent specification is the *Resource Description Frame-*
276 *work* (RDF), which defines a common grammar or schema definition on how
277 triples are formulated. Like XML, the RDF standard is maintained by W3C
278 ⁴. The application of RDF knowledge representations in the AEC sector has
279 been addressed by numerous publications [33, 34]. Additionally, many re-
280 searchers have investigated query mechanisms of BIM models modeled in
281 RDF techniques [35, 36, 37]. Oraskari and Törmä [38] have applied diff com-
282 putation mechanisms on RDF graphs that were produced from IFC instance
283 models. Further, Rasmussen et al. [39] propose the definition of an ontology
284 to describe the evolution of RDF graphs in a formal and computer-readable
285 manner.

286 As RDF data sets often evolve dynamically, Roussakis et al. [40] have pre-
287 sented a method to identify and analyze by calculating the delta between two
288 given RDF graphs. As the definition of an alteration is highly dependent on
289 the specific knowledge reflected within an RDF graph, configurable *SPARQL*
290 queries are used to identify changes among two versions. Furthermore, Singh
291 et al. [28] use the expression *dataset dynamics* to describe constant changes

⁴<https://www.w3.org/RDF/>

292 applied to an information resource. Their DELTA-LD change model com-
293 bines resource and triple-centered views into a common change management
294 model for linked data sets. Bobed et al. [41] have investigated version con-
295 trol systems of RDF-based knowledge representations and have defined two
296 major states: An *update* captures a mutation applied to a small subset of
297 the RDF graph whereas a *snapshot* freezes and stores the current state of
298 the knowledge system.

299 As already addressed, a major disadvantage of storing BIM models in
300 triples is the large file size compared to entity-centered approaches. To over-
301 come these limitations, Zhao et al. [42] have presented an approach to merge
302 data sets facilitating the IFC data model based on graph structures. Even
303 though their approach appears promising for coordination tasks and decen-
304 tralized collaboration, their approach is dependent on the IFC data model
305 and cannot be transferred to other data representations used in the AEC
306 industry. Same applies for the research by Shi et al. [43], who have proposed
307 an approach that allows detecting differences between two BIM models based
308 on a similarity metric. Their system runs a normalization on all instances
309 stored in the model first and calculates a similarity score afterward using
310 a recursive depth-first search. Unfortunately, the resulting similarity rate is
311 presented as a mere scalar value, which does not provide access to the altered
312 objects within the model. Looking on graph similarity from a generic per-
313 spective, the concept of Maximum Common Subgraph (MCS) by Schultheiß
314 et al. [44] supports the identification of repetitive graph structures that ap-
315 pear in multiple graph structures. Further research tackling aspects of MCS
316 was conducted by Wang and Maple [45], Bunke et al. [46], Conte et al. [47].

317 2.4. Graph transformations

318 In addition to graph querying and filtering, graph transformations are
319 a very relevant field of research for the scope of this paper. The concept of
320 graph transformation (also denoted as graph rewriting) has its roots in formal
321 graph theory and its sound mathematical definitions. The general idea of
322 graph rewriting is the mutation of a given host graph H into a resulting graph
323 H' by applying a set of transformation rules r . The formulation of rules builds
324 upon mapping functions that express relationships between nodes and edges
325 of different (sub-)graphs [48]. The general principle of a graph transformation
326 is depicted in figure 1.

327 Each transformation rule consists of a pattern graph L and a rewrite graph
328 R . The pattern graph specifies the structure, which the rule should be applied

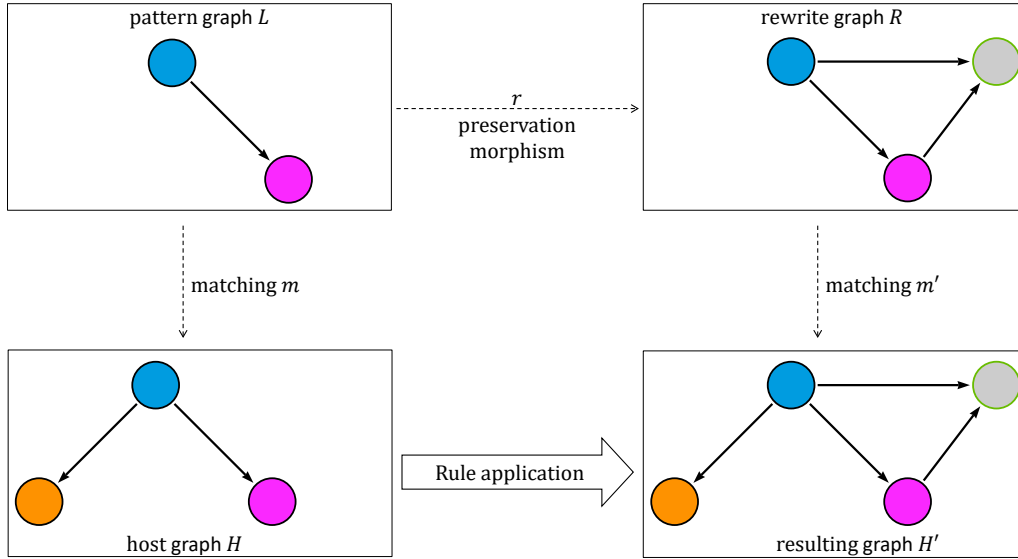


Figure 1: An SPO-based graph transformation rule inserting a new node connected by two edges. Each color indicates a unique node, which makes the specified patterns occur exactly once in the host and resulting graphs H and H' . (inspired by Blomer et al. [49] and Vilgertshofer and Borrmann [16])

329 to. Once the pattern graph is identified in the host graph (i.e., a subgraph
 330 exists in H that is homomorphic to the pattern graph L), the rule is applied
 331 by replacing the pattern L by the rewrite graph R . Well-established transfor-
 332 mation techniques are the Double-Push-Out (DPO) approach among other
 333 techniques such as Single Push Out, Sesqi Push Out, and others [50, 51, 52].
 334 Even though all methods have in common the goal of transforming a given
 335 host graph into a modified state, the Double-Push-Out approach consists of
 336 two separate pushout operations. This characteristic requires a more precise
 337 definition of how inclined edges to a inserted or removed node should be
 338 handled during the transformation. For detailed explanations and algebraic
 339 background information, the reader is advised to read the publications of
 340 Buchwald [53] and Blomer et al. [49].

341 Starting with the pre-condition of a DPO transformation rule, the *Left*
 342 *Hand Side* (LHS) L specifies a pattern that the transformation system is
 343 searching for in a given host graph H . If an occurrence of the pattern L is
 344 detected in H (i.e., L is a homomorphic subgraph to H), all nodes and edges
 345 contained in L but not in the interface I are removed from H , which results

346 in the context graph D . The interface I is defined such that I is isomorphic
 347 to L , which can be seen as an intermediate state after applying the remove
 348 operations. Accordingly, the *Right Hand Side* (RHS) specifies all nodes and
 349 edges that are inserted to achieve the intended resulting graph denoted as
 350 H' . The interface graph I is homomorphic to a subgraph of R describing the
 351 Right Hand Side of the rule. All nodes and edges present in R but not in
 352 I will be inserted to achieve the resulting graph H' . Accordingly, the graph
 353 R is naturally homomorphic to the rewriting result H' . Figure 2 depicts the
 354 involved graphs and patterns including all morphism statements necessary
 355 for a DPO transformation rule. In this example, the first transformation l
 356 removes the edge labeled with 2 connecting the pink and the green node.
 357 The removal is achieved by the preservation morphism l between I and L .
 358 Accordingly, the edge labeled with 3 is inserted to connect the green and the
 359 blue node using the preservation morphism r between I and R .

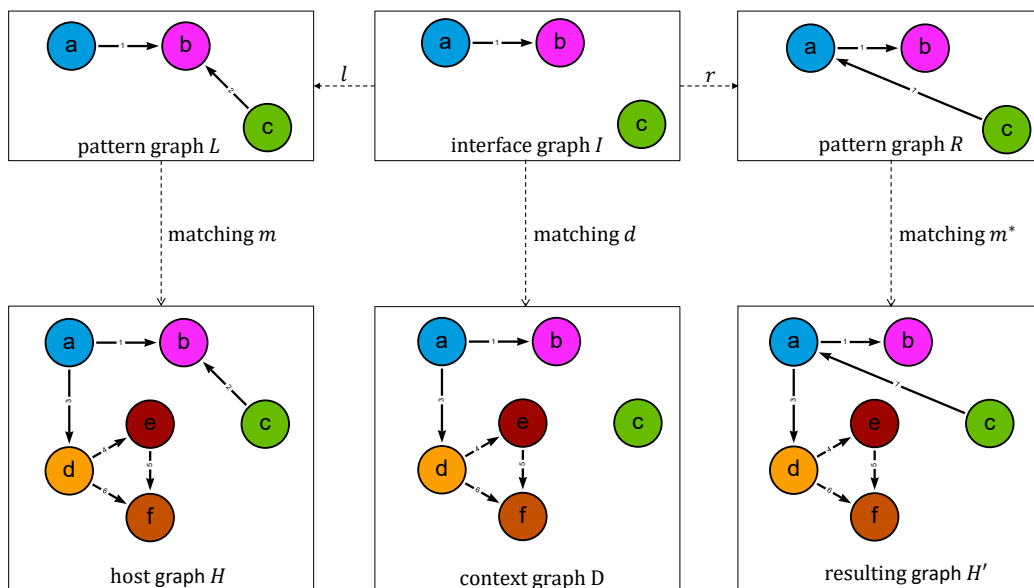


Figure 2: The DPO approach describing a graph transformation by a Left Hand Side L , an Interface pattern I , and the Right Hand Side pattern R . Each color indicates a unique node, which makes the specified patterns occur exactly once in the host and resulting graphs H and H' . (Figure inspired by Kniemeyer [54] and Javed [55])

360 Besides use cases like refactoring and optimization problems, various re-
 361 search activities have involved the application of graph rewriting in the scope
 362 of parametric geometry [16] or building models [56]. Helms and Shea [24] and

363 Königseder [57] have investigated the field of computational design synthesis
364 based on formal graph grammars. Even though their research grounds on
365 related concepts of set and graph theory, their approaches aim to generate
366 solution spaces containing a large set of options an engineer could consider
367 for his design task. The transfer of a modification applied to a BIM model,
368 however, should result in exactly one solution, namely the updated state the
369 model author has generated. Nevertheless, graph transformation is suitable
370 tool to express exactly this change. The exact formulation of a transforma-
371 tion rule depends on the graph meta model, which provides a suitable vocab-
372 ulary to express a specific modification. The meta model used to implement
373 the proposed version control systems for BIM models will be described in
374 section 3.2.

375 *2.5. Identified research gap*

376 In summary, the literature review proves an enormous interest in version-
377 ing control systems of structured data across various engineering disciplines.
378 However, none of the existing techniques can be used for the incremental
379 version control of BIM models based on their underlying object networks. A
380 large number of research items deals with the reflection of information pro-
381 duced during a design process in graph structures. Yet, only a few approaches
382 address issues related to evolving object structures in a domain-independent
383 manner or use flexible graph meta models that are capable of reflecting var-
384 ious data models.

385 The paper at hand investigates the combination of established principles
386 of common graph representations and how they can support incremental
387 versioning systems for data models used in the AEC industry. The under-
388 lying hypothesis is that graph theory is well suited to capture the complex
389 and highly interconnected object networks of a BIM model, and that graph
390 transformation is a suitable mechanism to describe modifications of these
391 structures. Contrary to many existing approaches, the applicability of the
392 developed system for a wide range of data models is a key objective. The
393 following section introduces a graph meta model, which reflects commonly
394 used data specifications generically and discusses the representation of mod-
395 ifications by graph transformation rules. Furthermore, it will provide an
396 illustrative example demonstrating the application of the methodology.

397 **3. The developed graph-based diff-and-patch method**

398 *3.1. Overview*

399 A novel approach that supports the formal identification of updates (*diff*)
400 in BIM models and their integration into federated copies of a model (*patch*)
401 is required to overcome the limitations of collaboration systems currently
402 used in the AEC sector. To ensure the successful integration of the pro-
403 posed approach in existing workflows, all concepts build upon the assumption
404 of asynchronous collaboration using loosely coupled discipline-specific BIM
405 models. Hence, each domain works in its specialized software environment
406 and provides discrete versions of the developed domain model to a central
407 project repository to share it with other collaborators. This involves a syn-
408 chronization step where all deployed copies (e.g., to project platform or other
409 designers) of the model are updated such that it mirrors the most recent state
410 of the model stored at the author.

411 In the developed method, each time a new version of a BIM model is
412 produced, the unchanged parts are identified that have already been made
413 available in the previous version. Subsequently, only the modification (i.e.,
414 the transformation rule) necessary to update outdated replicas of the previous
415 version is deployed to other project stakeholders. The proposed method is
416 generic in the sense that it is agnostic to specific data models, i.e., applicable
417 to a wide range of existing object-oriented data models. This is realized by
418 employing graph structures to represent the complex object networks of BIM
419 models. For representing the changes between two versions, the concepts of
420 maximum common subgraphs (MCS) and graph transformations are applied.

421 Figure 3 depicts the envisioned data flow between a sender and a receiver.
422 In most cases, the sending side is formed by a modeler’s workstation and the
423 receiving side is the central repository. However, de-centralized architectures
424 are also supported. Once a model author has developed a new shareable state
425 of the domain model, the *diff* between the latest deployed version and the new
426 model revision is computed by comparing the graph representations of both
427 versions. The comparison results in the definition of a graph transformation
428 rule p , which expresses the applied modification. Contrary to classical graph
429 transformation problems, the transformation rule (i.e., the pattern describing
430 L , I , and R) is not known a priori and is computed based on the initial and
431 the updated model graphs. On the receiver side, an interpreter applies the
432 transformation rule to the locally stored graph to obtain the same updated
433 state that the sender has created. The resulting graph can be translated

434 back into a file-based representation to ensure compatibility with existing
 435 software applications, which provide import modules for the particular data
 436 specification.

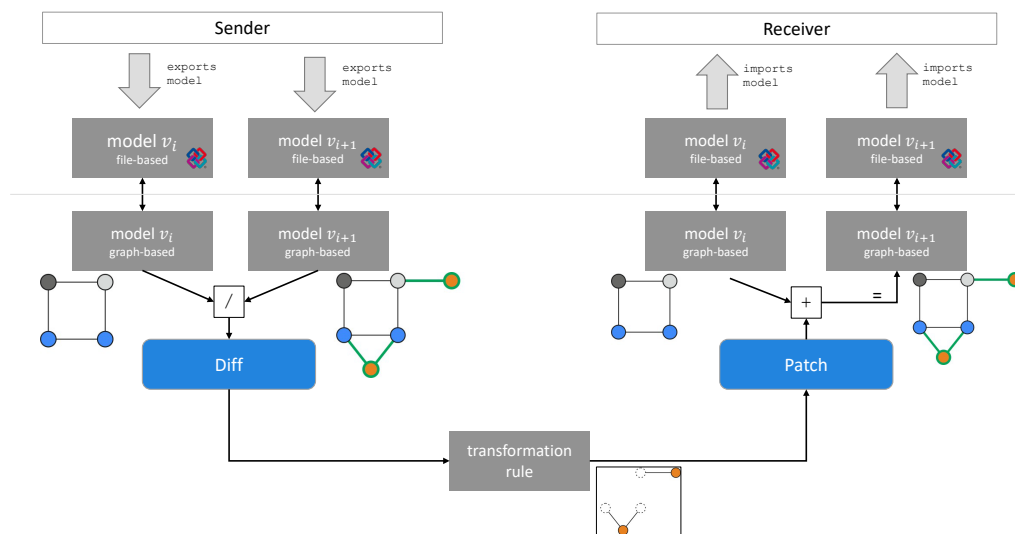


Figure 3: System architecture for object-based modification exchange between a sender and a receiver using graph transformation rules

437 3.2. Translation of object-oriented information into graph structures

438 As most information exchange scenarios employ well-defined data models
 439 that follow object-oriented paradigms, we adopt the approach of modeling
 440 object-oriented domain models as attributed, directed property graphs in-
 441 troduced by Hidders [58]. Like any other graph structure, property graphs
 442 consist of a set of nodes N and a set of edges E . The core concept of this ap-
 443 proach lies in representing each class instance by a single node of the graph.
 444 Accordingly, associations between two class instances are modeled as an at-
 445 tributed edge in the graph. In a property graph, to both nodes and edges
 446 properties can be assigned. Each property is represented as a key-value pair,
 447 such as $\{\text{weight: } 10\}$, $\{\text{color: 'red'}\}$, $\{\text{name: 'Alice'}\}$. Depending on the cho-
 448 sen graph system, property graphs might require the definition of a graph
 449 meta model describing available labels and attributes of nodes and edges.
 450 As stated earlier, the method presented here aims at providing a generic,

451 schema-independent approach. At the same time, a basic structure of the
452 underlying data model is assumed that helps to identify changes across ver-
453 sions. Hence, the graph meta model employed to represent BIM models as
454 graphs consists of three different node types and one edge type: **primary**
455 **nodes**, **secondary nodes**, and **connection nodes**.

456 By definition, instances of **primary nodes** have a unique identifier at-
457 tribute. This kind of uniquely identifiable instances exists in any known
458 data models in the BIM context. By contrast, **secondary nodes** reflect all
459 class instances that specify information without having a unique identifier.
460 The third type of nodes is denoted as **connection nodes**. These nodes
461 represent one-to-many or many-to-many relationships among instances. To
462 identify all nodes belonging to a specific version of a domain model, each of
463 these items gets an additional label attached indicating the timestamp of the
464 creation date (typically defining, when the domain model is exported from
465 the authoring tool). Therefore, the graph structure reflecting a particular
466 version of a domain model can be easily identified. All attributes of a class
467 instance are stored in the property set of the node representing this instance.
468 Additionally, the *EntityType* attribute reflects the name of the class as a
469 textual value. Associations between classes are realized by edges between
470 two nodes. To define the association properly, each edge carries an *relType*
471 attribute reflecting the association name. In case an association references a
472 set of instances, an additional counter attribute is attached to each edge to
473 preserve the order of the set.

474 In all of the investigated data schemata (including the Industry Foun-
475 dation Classes (IFC), LandXML, CityGML, PlanPro, RailML and others),
476 class instances with unique identifiers establish a semantic skeleton, to which
477 several "resources" are bound that regularly do not possess unique identi-
478 fiers. These resources are defined according to domain-specific requirements
479 and may represent geometric shapes, material associations, costs etc.

480 Figure 4 depicts a fictitious example of a data model, an instantiation
481 and the resulting property graph structure reflecting the instances. The
482 data model is described with the modeling language EXPRESS. The schema
483 definition in the upper left corner defines entities (i.e., classes without meth-
484 ods).

485 The mapping of the given domain model onto the proposed graph struc-
486 ture follows the rules previously explained:

- 487 1. Each node reflects one entity instance.

- 488 2. All instance attributes are directly attached to the respective node
 489 reflecting the specific instance whereas associations are modeled as di-
 490 rected graph edges.
 491 3. Each edge carries the attribute name from the class, from where the
 492 association was initialized.
 493 4. Both instances of the **ShapeElement** entity are represented as a primary
 494 node depicted in blue color as they possess a unique identifier attribute.
 495 5. All remaining instances are reflected by secondary nodes illustrated in
 496 yellow.

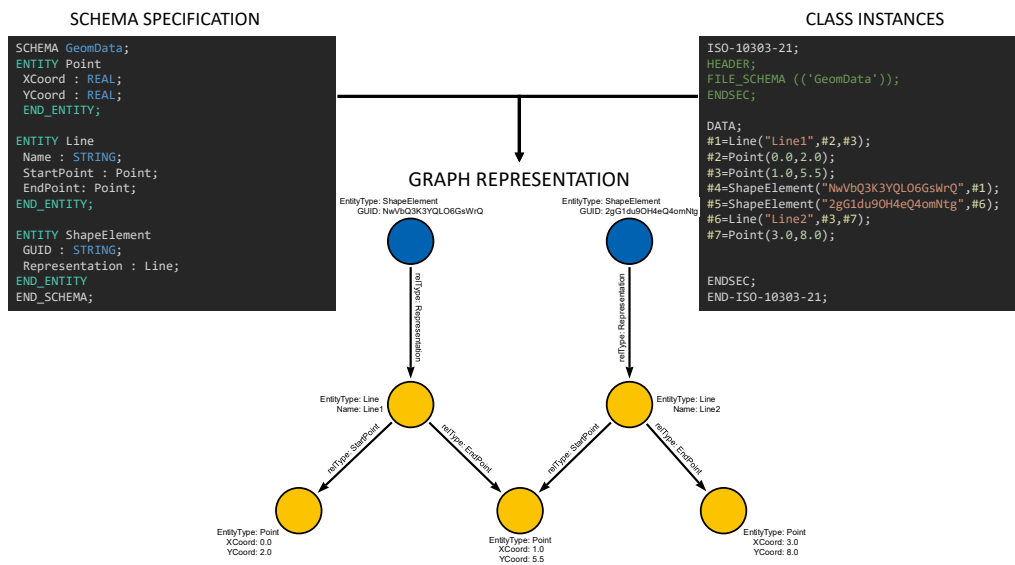


Figure 4: Correlation between a data schema in EXPRESS, instances serialized into a STEP Physical File (SPF) representation, and the resulting property graph

497 The next paragraphs describe how two versions of a model are compared
 498 and how the subsequent patch is created.

499 3.3. Diff Calculation

500 In principle, there are two options for identifying the changes between
 501 two versions of the BIM domain model to formulate the patch:

- 502 1. Tracking changes by listening at the BIM authoring application through
 503 API callbacks.

504 2. Comparing two versions of files exported from the BIM authoring ap-
505 plication into an open exchange format.

506 For this study, we decided for option 2 as it is more generic and allows for
507 integrating a large number of authoring tools without specific adaptations. As,
508 however, option 1 is more compelling from a conceptual point of view, we will
509 investigate it in future publications. Hence, the developed method covering
510 option 2 is based on determining the transformation rule by comparing the
511 initial and the updated graph representation of a given BIM model. Apply-
512 ing the concept of the DPO graph transformations depicted in figure 2 to
513 the outlined situation, the host graph H reflects the BIM model in its initial
514 state whereas the resulting graph H' stands for the updated version of the
515 BIM model. Accordingly, the context graph D reflects all instances and asso-
516 ciations that are present in the initial and the updated model version, thus,
517 reflecting all unchanged parts of the BIM model. Hence, the Diff computa-
518 tion aims to define a transformation rule based on the host and the desired
519 resulting graph, which is contrary to many classical graph transformation
520 problems where the host graph and the transformation rules are known.

521 For the comparison between two versions of the graph, the concept of
522 *Maximum Common Subgraphs (MCS)* assists in identifying all parts of the
523 graph that remained unchanged [44, 45, 46, 47]. The MCS algorithm tra-
524 verses through both graphs and applies a depth-first approach. For each pair
525 of matched nodes, the algorithm finds correspondences in the sets of their
526 direct children, and so on recursively. The recursion considers a node of the
527 initial version as potentially equivalent to a node of the updated domain
528 model if both nodes are associated with the previously matched node pair
529 using the same *relType* attribute. Figure 5 illustrates a recursion step and
530 the subsequent semantic comparison of two nodes.

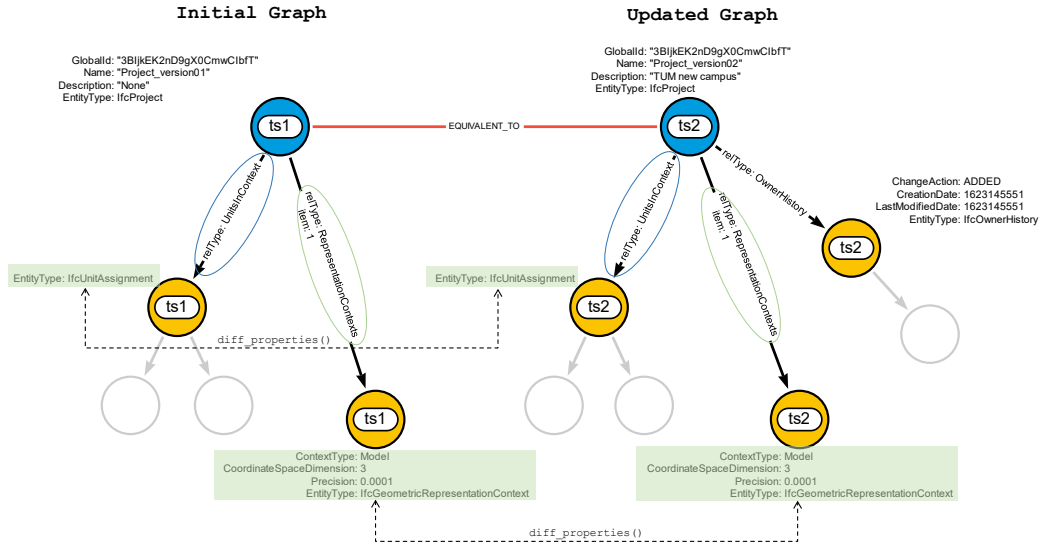


Figure 5: Recursion step starting from a pair of **PrimaryNodes**: Two nodes $n_{init}, n_{updated}$ are considered as equivalent if their inbound edges share the same edge attributes. The node labels $ts1$ and $ts2$ indicate the affiliations to a particular version of the BIM model. The identified equivalence between two nodes is reflected by an undirected edge labeled as *EQUIVALENT_TO*

531 To start the recursive traversal, the property of unique identifiers assigned
 532 to each primary node is utilized to find two nodes that represent the same
 533 object in the initial and the updated domain model. The algorithm stops the
 534 depth-first search if a leaf node is detected (no edges pointing outwards) or
 535 a node pair has been already marked as equivalent, respectively. Nodes that
 536 have been already considered as equivalent are connected by an additional
 537 undirected edge connecting them across the version-specific graphs. Doing so,
 538 it is straight-forward to identify all nodes from the graph system, which
 539 belong to the current version but have not been matched with a node of the
 540 previous version.

541 Hence, nodes reflecting instances in the initial graph but do not have
 542 a *EQUIVALENT_TO* edge indicate a remove operation whereas nodes in
 543 the updated graph representation lead to an insert operation being applied.
 544 These situations are denoted as *structural modifications* which require the
 545 formulation of full graph rewriting patterns. Apart from them, nodes might
 546 be detected that have diverging properties but have equivalent edges to all

547 neighboring nodes. These changes are treated as *property modifications*, are
 548 comparatively simpler, but will also be represented as graph transformation
 549 rules.

550 On this basis, further processing of structural changes is necessary to
 551 identify the entire graphlet removed or inserted that is referenced by the
 552 node found by the diff algorithm . Figure 6 schematically illustrates the
 553 general situation of an insertion.

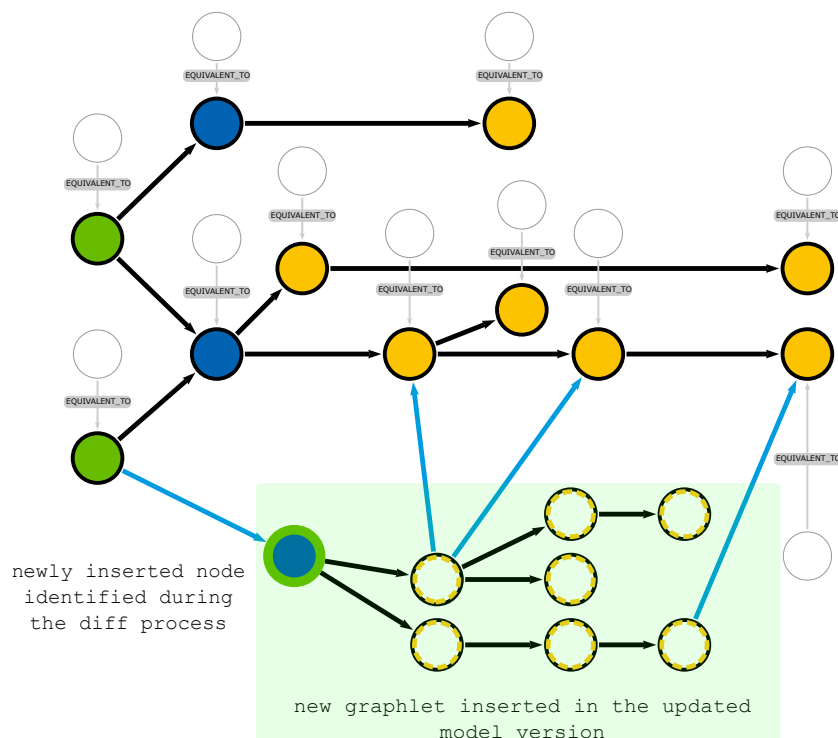


Figure 6: Schematic illustration of diff result and the patch formulation: Nodes with an equivalent counterpart in the compared model version have an *EQUIVALENT_TO* edge. The blue node with green margin has been identified as added, therefore, further processing is necessary to identify the graphlet inserted (depicted with dashed yellow margins) and the edges connecting the inserted graphlet to nodes that are present in both versions of the graph.

554 The node depicted with the green margin has been identified as inserted
 555 in the updated version of the graph. With this node being identified, the
 556 graphlet describing the inserted subgraph is identified from the graph. This
 557 graphlet contains all nodes and edges the resulting patch must insert into the

558 host graph when applying the transformation rule. Furthermore, some of the
559 newly inserted nodes have edges to nodes that have been previously existing,
560 i.e., have an *EQUIVALENT_TO* relationship indicating that the node exists
561 in both versions of the graph.

562 3.4. Representing patches as graph transformations

563 For structural modifications, it is essential that the transformation rule
564 does not only describe the actual graphlet to be inserted or removed, but also
565 its context or embedding into the object network that has been available al-
566 ready in the previous version. In the DPO concept, the insertion or removal
567 of graphlets is formally described through the preservation morphisms l be-
568 tween I and L , and r between I and R , respectively. As explained in Section
569 2.4, nodes and edges are kept unchanged if they are included in both graph
570 patterns of a transformation rule.

571 In the scope of BIM models, the preservation morphism can be under-
572 stood as the context, new information should be embedded into or removed
573 from. A practical example can be found in the insertion of a physical element
574 like a wall into a building storey, which provides a (local) coordinate system
575 the wall should be placed into. In this case, the coordinate system and the
576 nodes representing the storey are already contained in the host graph the
577 patch is applied to on the receiving side. Accordingly, the transformation
578 rule must provide this contextual information and insert appropriate edges
579 connecting existing nodes and the newly inserted graphlet. Similarly, the
580 removal of information must not affect any shared resources other parts of
581 the object network might still refer to.

582 Considering the above-mentioned aspects, the corresponding transforma-
583 tion rule is assembled by three essential parts:

- 584 1. the graphlet of nodes and edges that have to be inserted or removed
- 585 2. the context the graphlet is inserted in or removed from
- 586 3. the edges that glue the graphlet and the context

587 In case of an insertion, the Left Hand Side L and the Interface pattern
588 I contain all nodes and edges describing the context. As secondary nodes
589 lack a unique identifier, each secondary node involved in gluing edges must
590 be specified by a path that contains at least one primary node or connection
591 node. The existence of globally unique IDs attached to these nodes types
592 makes the specified pattern match exactly once in the host graph H . Sub-
593 sequently, the pattern describing the Right Hand Side R contains all nodes

594 and edges of L and I (to *preserve* their existence) and the graphlet to be
595 inserted. Similarly, removal operations follow similar principles as described
596 for an insertion but with slightly different patterns L and R . In this case, L
597 contains the graphlet to be removed along with the context whereas I and
598 R carry the nodes and edges reflecting the context of the removed graphlet.

599 Based on the generic concepts introduced before, any modification of a
600 BIM model can be described by a combination (series) of rules and can be
601 captured in DPO-based transformation rules. To apply the transformation on
602 the receiver's side to update the (host) graph, a set of fundamental operations
603 needs to be supported by the graph system. These operations include:

- 604 • Match a node or a graph pattern (e.g., to find the Left Hand Side L in
605 the host graph H).
- 606 • Insert a new node.
- 607 • Insert a new graphlet consisting of nodes and edges that connect these
608 nodes.
- 609 • Remove a node and remove the dangling edges that previously con-
610 nected it to nodes that must be preserved.
- 611 • Insert an edge.
- 612 • Remove an edge.

613 The specific operations and statements that need to be performed are
614 derived from the DPO rule.

615 3.5. *The application of CYPHER for encoding graph transformations*

616 For encoding graph transformations, multiple options exist, including the
617 use of bespoke languages of graph transformation systems such as Gr.Gen
618 [59]. In this study, we have chosen the graph manipulation language CYPHER
619 that is operating on property graphs. CYPHER was originally developed for
620 the graph database Neo4j, but is now maintained independently through the
621 openCypher project [60]. It is supposed to become the basis for the graph
622 query language (GQL) standard being developed by ISO. However, it is im-
623 portant to note that CYPHER does not only allow to query graphs but also
624 to modify them. As modifications are located through (host graph) patterns,

625 CYPHER becomes a suitable candidate for representing graph transforma-
626 tion rules.

627 A decent overview about the CYPHER syntax has been provided by
628 Francis et al. [61] including the set operations that are processed with each
629 keyword. CYPHER was developed to query and mutate property graphs and,
630 therefore, supports numerous concepts. As one of the most essential parts
631 of the syntax, it is possible to specify graph patterns. In a pattern state-
632 ment, round brackets specify nodes (e.g., `(n1:nodeLabel)`) whereas square
633 brackets declare edges (e.g., `-[e1:edgeLabel]->`). Both, nodes and edges,
634 carry a set of labels that are specified after the colon operator (`:`). Multi-
635 ple labels can be concatenated using the colon operator several times (e.g.,
636 `n1:nodeLabel1:nodeLabel2`). Nodes and edges may be specified using a
637 variable like `n1`, `e1`, `...`. This way, these items can be reused on multiple
638 positions inside a single query statement. To describe a topological structure
639 of a pattern, nodes and edges are assembled in a descriptive fashion. Addi-
640 tionally, nodes and edges may bear property sets, which are specified in curly
641 brackets (e.g. `(n1:nodeLabel {propertyName: "propertyValue"}`).

642 The transfer of the transformation rules between a sender and a re-
643 ceiver is ultimately implemented by means of the *Java Script Object Notation*
644 (*JSON*). However, other serialization techniques can also be applied. Listing
645 1 depicts the transformation rule representing the modification illustrated in
646 figure 2.

```
1 "structuralModification": {  
2 "L": "(n1:a)-[e1:1]->(n2:b)<-[e2:2]-(n3:c)",  
3 "I": "(n1:a)-[e1:1]->(n2:b), (n3:c)",  
4 "R": "(n1:a)-[e1:1]->(n2:b), (n1)<-[e3:3]-(n3:c)"  
5 }
```

Listing 1: Transfer of the structural modification using descriptive *CYPHER* statements for the Left Hand Side *L*, the interface *I*, and the Right Hand Side *R* following the Double-Push-Out-Approach. The variables *n1*, *n2*, and *n3* specify labeled nodes (a, b, c). The variables *e1*, *e2*, and *e3* define the edges between the nodes.

647 To apply the transformation rule on a host graph, listing 2 shows how
648 the descriptive statements specified in listing 1 are transformed into a series
649 of CYPHER statements to be performed on the host graph stored at the
650 receiver's machine. Lines starting with `///
651 and are not executed by the statement interpreter.`

```

1 // find left hand side and declare query variables for further use
2 MATCH (n1:a)-[e1:e1]->(n2:b)<-[e2:e2]-(n3:c)
3 // remove edge e2 because it was included in L but not in I
4 DELETE e2
5 // insert new edge labeled as e3 because it was included in R but
  not in I
6 MERGE (n1)<-[e3:e3]-(n3)

```

Listing 2: Application of DPO rule given in listing 1

652 3.6. Optional back-translation into file-based representations

653 In order to seamlessly integrate the resulting graph in existing BIM work-
654 flows again, it might be necessary to translate the altered graph back into
655 a file-based representation. Depending on the underlying MOF M2 model,
656 the translation of an edge into an association between two entity instances
657 may require the instantiation of identifiers specific to the file (e.g., the en-
658 tity numbering in STEP files). In the example illustrated in figure 4, the
659 identifier #3 was assigned to a `Point` instance, which in turn got associated
660 with both `Line` instances identified by #1 and #6. These identifiers are only
661 valid locally within the file and can thus change with each serialization pro-
662 cess depending on the order the graph nodes are translated into instances.
663 Therefore, the application of the transformation is considered successful if
664 the following criteria are met:

- 665 • The resulting graph G_{res} produced by applying the transformation rule
666 p on the outdated graph representation G_{init} is homomorphic to the
667 graph reflecting the updated version $G_{updated}$.
- 668 • Commutativity must be given such that the execution of the diff algo-
669 rithm between G_{init} and $G_{updated}$ results in the same transformation as
670 $diff(G_{init}, G_{res})$.
- 671 • According to Kniemeyer [54], DPO-based graph transformations are
672 associative. Hence, the reverse application of the transformation rule
673 p (denoted as p^{-1}) must result in the graph G_A reflecting the state of
674 the initial model version.

675 3.7. Illustrative example

676 Figure 7 depicts a situation where a new building element colored in light
677 green has been inserted into a BIM model, which had already contained

678 one building element named *Cuboid1* along with various default information
679 (e.g., unit settings). The new component named *Cuboid2* is represented with
680 a extruded geometry in the three-dimensional design space and and is placed
681 relatively to a coordinate system already specified by the construction site
682 node.

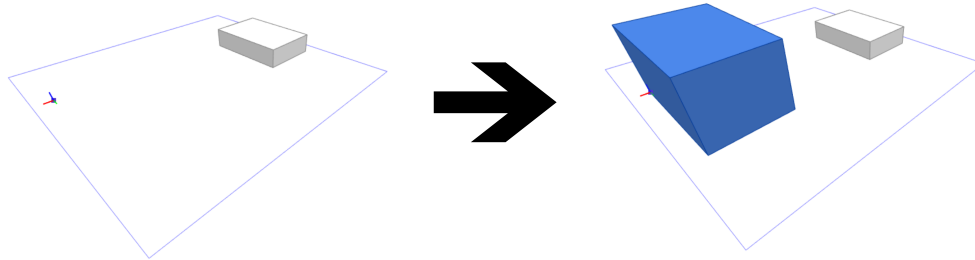


Figure 7: Left: the initial BIM model. Right: the updated version of the BIM model including a newly inserted building component depicted in blue

683 Following the outlined method, the transformation rule consists of the
684 graphlet to be inserted into the host graph, the context, and the gluing
685 edges that connect the newly inserted graphlet with existing nodes of the
686 host graph. Figure 9 illustrates the nodes to be inserted. Besides, figure
687 8 shows the nodes and edges forming the context, which the gluing edges
688 connect the push-out graphlet to the existing host graph (depicted in figure
689 10).

690 Ultimately, the three parts computed are assembled constituting the Left
691 Hand Side L , the interface I , and the Right Hand Side R . As the modification
692 was an insertion of a new component, the patterns describing L and I consist
693 of the context pattern depicted in figure 8 only. Accordingly, the pattern
694 reflecting R contain the Push Out pattern, the context, and the gluing edges.
695 The entire Right Hand Side pattern is illustrated in figure 11.

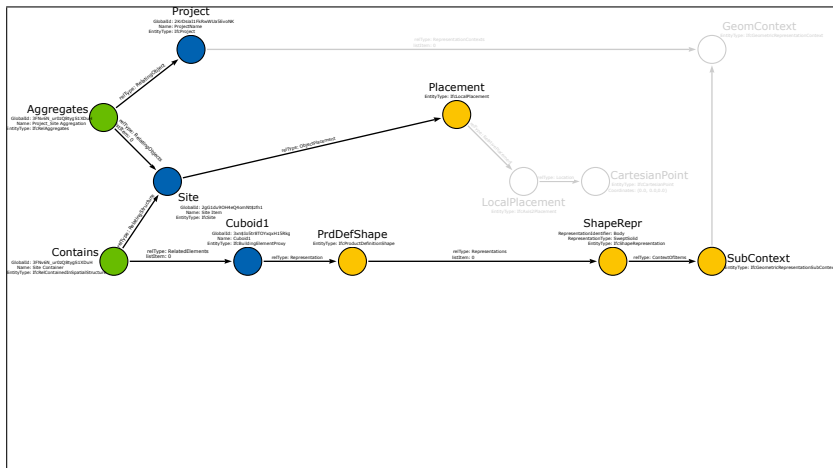


Figure 8: Context pattern

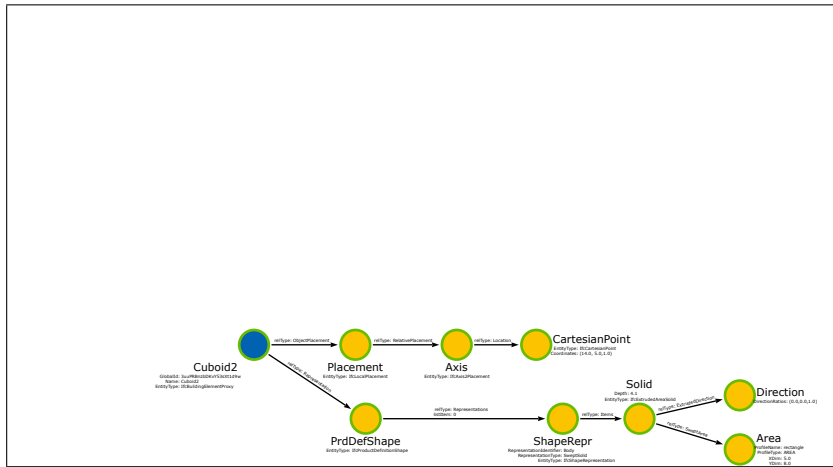


Figure 9: Push Out pattern

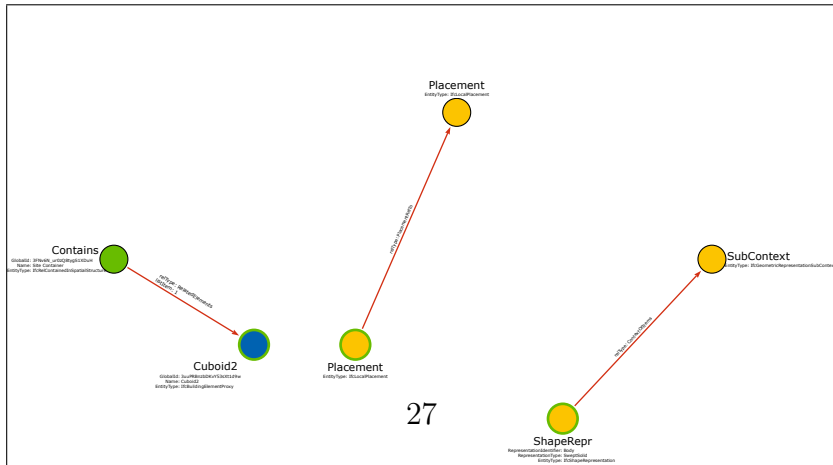


Figure 10: Gluing edges

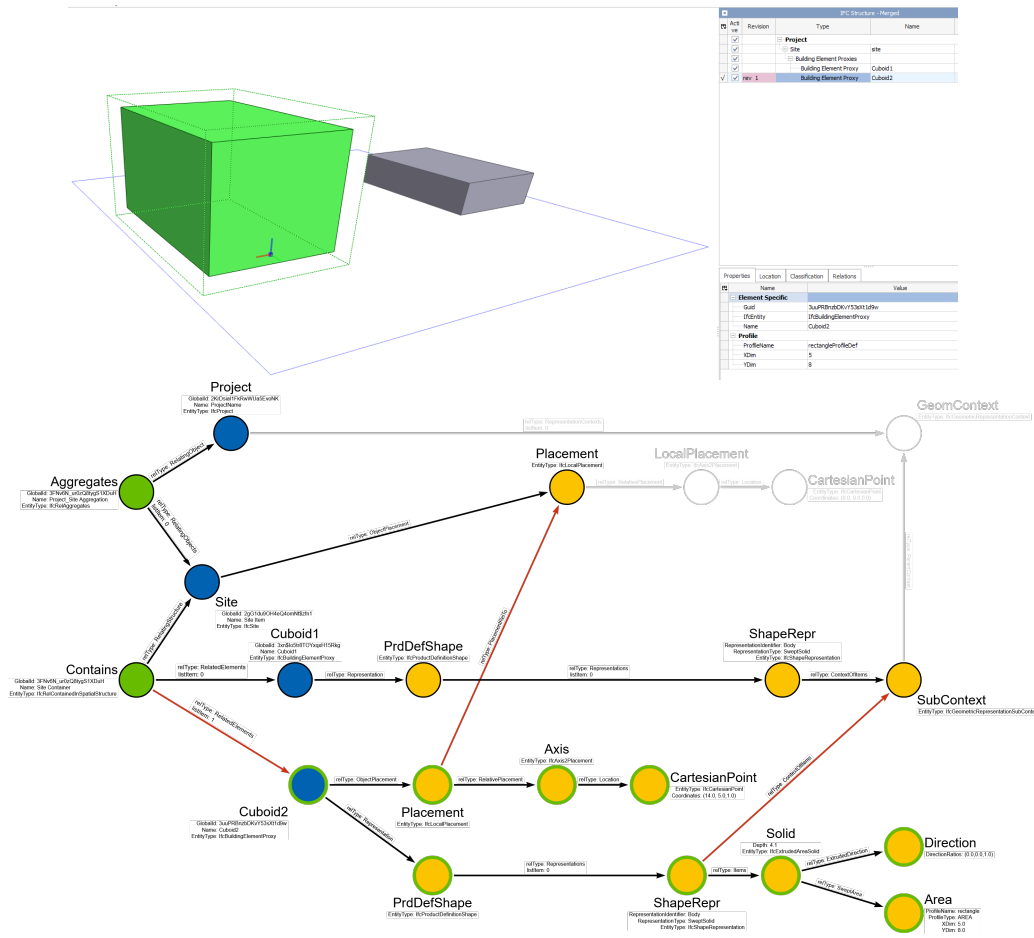


Figure 11: The resulting Right Hand Side pattern R containing all three parts: the push out part, the context pattern and the gluing edges.

696 4. Case study

697 The applicability of the proposed method has been tested with several
 698 BIM models targeting various use cases. As the underlying data model for
 699 validation, the Industry Foundation Classes (IFC) data model developed and
 700 maintained by the buildingSMART organization has been chosen. IFC was
 701 selected over other established data specifications capturing domain-specific
 702 engineering knowledge because of its openness, clear documentation, and
 703 broad adoption in the AEC industry and its advanced support in various
 704 software applications. In addition to IFC, the data model of LandXML [62]

705 and CityGML [63] were implemented to verify the applicability of the chosen
 706 graph meta model independent of the applied data model.

707 4.1. Experiment setup

708 The graph database system neo4j by neo technologies [64] was chosen
 709 to store and interact with all graph representations produced in the exper-
 710 iment. This graph database is broadly used in industry and academia and
 711 supports attributed, multi-labeled graphs with directions. Additionally, var-
 712 ious packages for a large set of programming languages and an Application
 713 Programming Interface (API) with direct access to the storage system assists
 714 in extending core functionalities for specific problems. As neo4j focuses on
 715 the storage of graph structures, its support of formal graph transformations
 716 implementing Double-Push-Out or Single-Push-Out algorithms is limited.
 717 Therefore, a parser was developed that (1) applies the transformation rules
 718 to a given graph in the database and (2) translates instance models into
 719 their respective graph representations. To test the implemented procedures,
 720 comparisons with Gr.Gen has been carried out as well.

721 Figure 12 visualizes the system setup for the experiment.

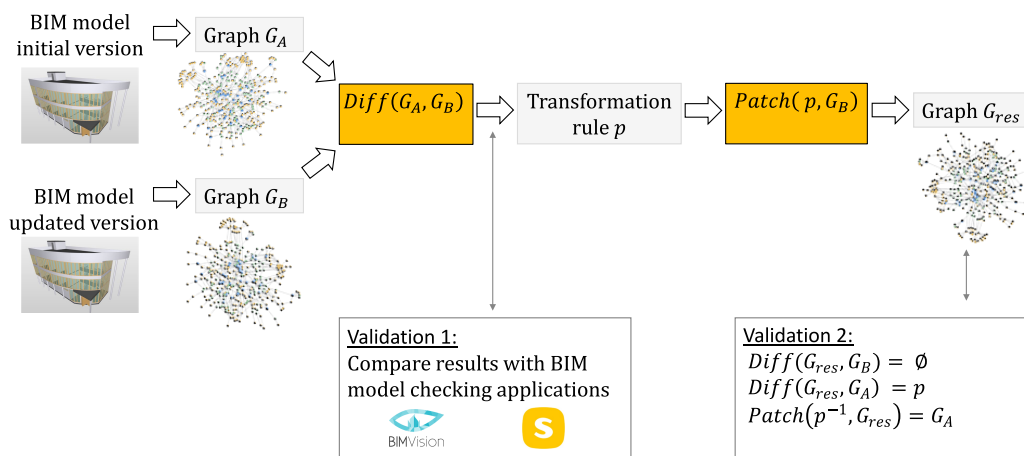


Figure 12: Experiment setup of the case study. All graph representations are stored and managed by a neo4j database. The BIM models implement the IFC data model.

722 4.2. Result validation

723 To check and validate the resulting graph structures after applying the
 724 transformation rules, two major validation steps have been conducted during

725 the process. Validation 1 compares the results of the *diff* algorithm against
726 the results of BIM model checking tools that provide comparison methods.
727 Here, the well-established software packages Solibri Office [65] and BIM Vi-
728 sion [66] have been used. Additionally, validation 2 aims to proof the correct
729 application of the update patch on a receiving machine according to the
730 criteria specified in 3.4.

731 4.3. Translation of IFC instance data into the proposed graph structure

732 For each data model that is supposed to be reflected in the graph struc-
733 ture, a mapping between the corresponding data model and the introduced
734 node types is necessary. For IFC, the publicly available specification defines
735 all IFC classes and is consequently used to map each class to a suitable node
736 type defined in the graph meta model [67]. In general, IFC defines multiple
737 layers in its documentation containing various concept and class definitions.
738 Most important for the mapping are the classes derived from `IfcRoot` and
739 all definitions contained in the resource layer.

740 The abstract base class `IfcRoot` introduces an *GlobalId* attribute, which
741 serves as a unique identifier for the instances of all sub-classes of this class.
742 From `IfcRoot`, the classes `IfcObjectDefinition`, `IfcRelationship` and
743 `IfcPropertyDefinition` are derived. All instances, which inherit from `IfcObjectDefinition`
744 and `IfcPropertyDefinition`, are handled as **primary nodes** in the graph
745 structure. Entities derived from `IfcRelationship` express one-to-many or
746 many-to-many relationships among instances and are subsequently reflected
747 by the node type `connection node`. Contrary to these uniquely addressable
748 class instances, all classes contained in the resource layer are reflected as
749 **secondary nodes** as these instances are not derived from `IfcRoot`, hence do
750 not carry a unique identifier and might be associated with multiple instances.
751 Figure 13 depicts the mapping of the IFC classes onto the graph meta model.

752 4.4. Proof of concept

753 The proof of concept aims to demonstrate the entire process of comparing
754 two model versions to calculate the Delta, formulating the graph transforma-
755 tion rules, and applying them on a receiver’s updated graph representation.
756 After multiple tests with IFC-based BIM models, the authors consider the
757 model illustrated in Figure 14 to be representative for typical modifications
758 in AEC design revision processes.

759 Both versions of the model were created using the authoring software
760 Graphisoft ArchiCAD and exported into the IFC format, version 2x3. The

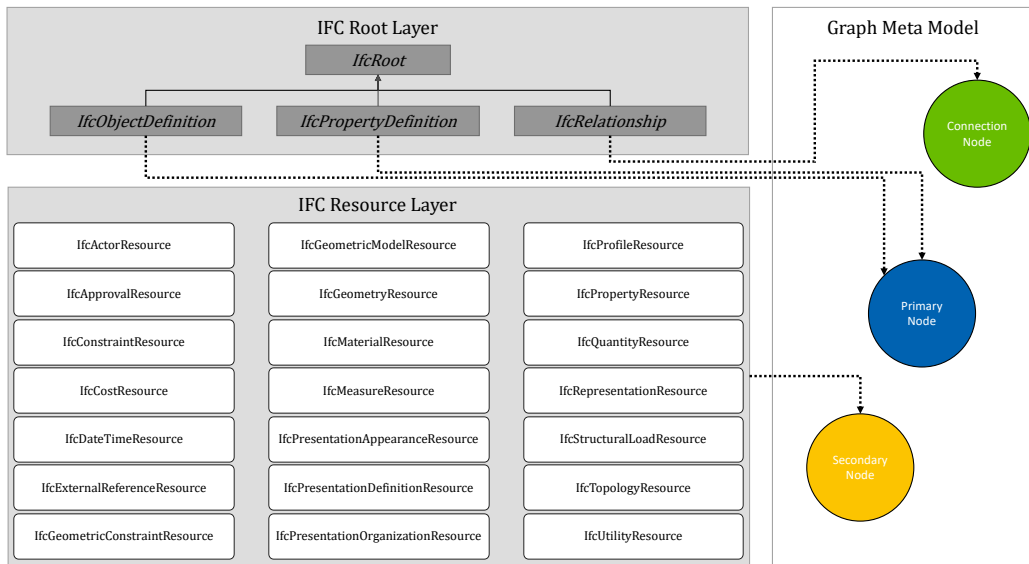


Figure 13: The mapping between the IFC data model and the presented graph meta model illustrated by dashed arrows. Contrary to the classes depicted in the IFC Root Layer, the resource layer subsumes multiple classes in each resource, which are not visualized in this figure.

761 right rendering in figure 14 depicts the changes applied to the original model.
 762 The modifications comprise removing and inserting elements, as well as cor-
 763 responding changes in the relationship structure and changes in the values of
 764 attributes, including the location of elements.

765 4.4.1. Diff calculation

766 To detect the modifications between the two versions, the *diff* algorithm
 767 presented in section 3.3 was applied. Three model components were detected
 768 as *removed*: A wall element in the roof level, a window on the first floor, and
 769 an instance of `IfcOpeningElement` entity. Five elements (a door, a wall, and
 770 a new ceiling element together with a new space definition and an opening
 771 element) were identified as *inserted* in the updated model. Besides removed
 772 and inserted components, 27 elements were identified as altered, which has
 773 caused 61 node properties to be adjusted. These property modifications reach
 774 from updates applied to `PrimaryNodes` (e.g., the modification of the *name*
 775 attribute) to changes in the properties of `SecondaryNodes`. All detected
 776 changes in the diff computation comply with the modifications identified in

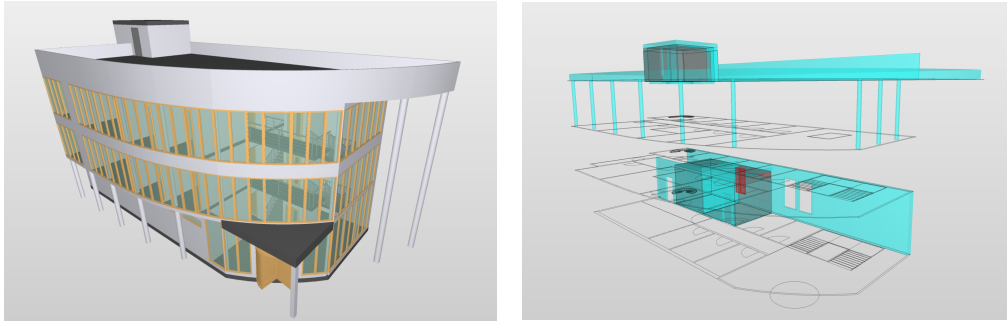


Figure 14: The entire model (left) was tested in the scope of the case study together with a visualization of the applied modifications (right). The visualizations were created using Solibri Office.

777 the software tools introduced in the system setup.

778 4.4.2. Formalization of applied modifications in graph transformation rules

779 As discussed in paragraph 3.4, structural and property modifications are
 780 expressed by means of graph transformation rules. As *property modifications*
 781 capture attribute updates on nodes that exist in the initial and the updated
 782 model, the graphlets defining the Left Hand Side, the interface, and Right
 783 Hand Side share the same topological structure and only alter the properties
 784 of one node. A typical example of such a property modification is the change
 785 of an object placement by updating the Cartesian point defining the location.
 786 In the scenario represented in figure 15, the modeler moved an existing wall
 787 by a given value in the x-direction. By adding the primary node (the wall)
 788 carrying the *GlobalId* property to the patterns, exactly one graphlet of the
 789 host graph (i.e., the graph reflecting the initial model version) matches the
 790 specified pattern in the Left Hand Side L . Thus, the illustrated patterns
 791 replace the *Coordinates* property in node n_4 with the updated value specified
 792 in the Right Hand Side R .

793 Structural modifications that alter the structure of the graph by inserting
 794 or removing nodes and edges require more comprehensive graph transforma-
 795 tion rules. In these cases, the transformation rule comprises the graphlet
 796 to be removed or inserted and its embedding in the existing graph structure. In
 797 the case of inserting a new building component, the graphlet to be inserted
 798 may reflect its geometry, the position in the design space, and dependencies
 799 to additional semantic information like materials and cost items. Especially,
 800 the placement of elements within a logical unit such as a building or a space

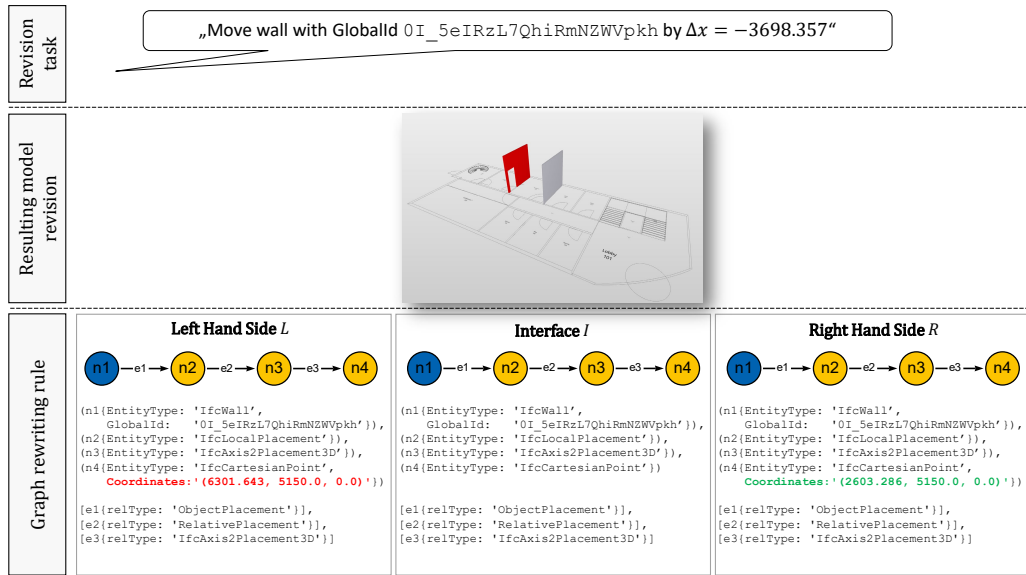


Figure 15: Correlation between a modeling operation and the corresponding graph transformation rule consisting of the Left Hand Side *L*, Interface *I*, and the Right Hand Side *R*. The property sets attached to each node and edge is depicted along with the graph pattern. The specified pattern should be detected exactly once in the host graph because of the given specified *GlobalId* property attached to node *n1*.

801 is often expressed relative to existing elements. Hence, the inserted graphlet
 802 must establish additional edges in the resulting graph to reflect such associ-
 803 ations properly. The same principle applies for detected remove operations
 804 accordingly. The pattern to be removed from the initial graph must be lim-
 805 ited to the nodes that model the actual building component or logical unit
 806 whereas its embedding is specified by nodes and edges that are given in the
 807 interface pattern *I* and the Left Hand Side of the rule *L*.

808 In addition to removing or inserting operations of entire building compo-
 809 nents, design refinements to applied to existing components can also occur.
 810 These cases appear for example if the geometric representation of a compo-
 811 nent has been modified to a higher detailing level or expressed by another
 812 shape. In these cases, the Left Hand Side specifies the geometric shape to be
 813 replaced. The interface pattern *I* in turn represents the intermediate state,
 814 in which the component only exists in its semantic skeleton without any ge-
 815 ometry. Finally, the Right Hand Side *R* describes the new geometric shape
 816 to be inserted and leads to the updated version.

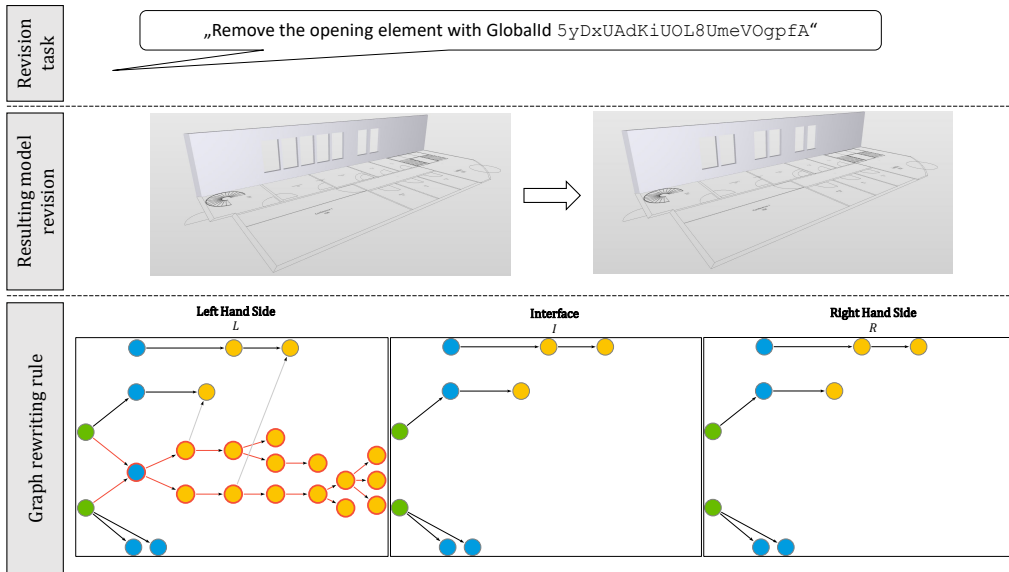


Figure 16: Correlation between applied modification, resulting BIM model, and the formulation of the corresponding graph rewriting rule following the DPO approach.

817 Figure 16 illustrates the correlation between the editing task of removing
818 an opening element, the BIM model transformation, and the graph-based
819 rewriting rule. Nodes depicted with red bounds represent the opening ele-
820 ment and are identified as nodes to be removed (i.e., the push out part of the
821 transformation rule). Edges depicted in gray represent gluing edges between
822 the removed graphlet and parts of the graph that must remain unchanged
823 during the transformation. Hence, only the edge itself, but not the target
824 node is removed from the graph. Because **secondary nodes** lack a unique
825 property, the associated resources must be specified by a graph pattern that
826 includes a **primary node** as "anchor", which provides the context of the
827 transformation rule. By utilizing the feature of globally unique identifiers
828 assigned to each primary node, each secondary node that has an inbound
829 gluing edge is uniquely specified, which ensures the correct application of the
830 transformation rule on the receiver's system.

831 Tables 1 and 2 provide a quantitative insight into the number of nodes
832 included in each rewriting rule reflecting the removal or insertion of a model
833 component.

834 In addition to structural modifications, the system reports on 60 modi-
835 fied node attributes affecting 29 model components. The average path length

Removed components	removed nodes	context	
		secondary	primary
Opening element	18	6	5
Window	374	10	109
Wall	24	10	49

Table 1: Quantitative assessment of removed components in the BIM model

Inserted components	inserted nodes	context	
		secondary	primary
Door	71	6	5
Wall	377	10	107
Covering	53	13	142
Space	48	9	93
DoorType	48	9	60

Table 2: Quantitative assessment of inserted components in the BIM model

836 between the modified node and its parent primary node is 4.9 where the min-
837 imum distance is 0 (e.g., the modification of the *name* attribute attached to a
838 primary node) and the maximum distance is 8. Together with the necessary
839 patterns for inserting and removing model components and their associated
840 resources, the graph transformation rules are composed of 3,828 nodes in total.
841 Each model version has resulted in around 186,700 nodes reflecting one
842 model version. Comparing the results of the presented experiment with the
843 total number of nodes per model, our patch-based mechanism demands only
844 2% of the nodes reflecting an entire model to properly describe the applied
845 modification.

846 The transfer of the formulated transformation rules was realized using the
847 data structure outlined in 3.4. According to the quantitative assessments in
848 table 1 and 2, the patterns used in these transformation rules are much larger
849 than the example illustrated in 3.7 and therefore difficult to visualize in their
850 full extend.

851 4.4.3. Results

852 The proposed version control system detected all expected differences be-
853 tween the tested model versions, which provided all necessary information to
854 formulate suitable graph transformation rules. Furthermore, the system was
855 capable of describing all detected modifications in suitable transformation

856 rules that have been ultimately applied to the initial version. The compari-
857 son of the transformed graph with the graph produced by the updated model
858 file has resulted in no differences, which has proven the successful transfer
859 and application of the transformation rules.

860 5. Discussion

861 The approach discussed in this paper builds upon an entity-centered ap-
862 proach to reflect the object network of a BIM model in an attributed, di-
863 rected graph and transfers updates using formal graph transformation rules.
864 The proposed method lays the foundation for tracking updates applied to a
865 BIM model on object basis instead of deploying entire files containing the
866 updated design information. Incremental updates support the precise track-
867 ing of changes and help engineers to focus on the impact modifications in
868 "foreign" models may have on their own discipline models. Additionally, the
869 proposed method creates new possibilities for automated (yet controlled) up-
870 dates across disciplines wherever a formal description of dependencies across
871 domains is possible. Nevertheless, the principle of discipline-oriented owner-
872 ship and responsibility remains fully respected.

873 The method is generic in the sense that it is independent of the underlying
874 data model. The prerequisites are limited to the existence of a well-defined
875 data model that employs the basic principles of object-oriented data model-
876 ing. Furthermore, the proposed *diff* computation is based on the existence of
877 identifiers, which are considered as consistent across all versions. The formu-
878 lation of patches utilizes well-defined concepts of graph transformations and
879 is backed up by numerous research contributions and algebraic proofs. A new
880 contribution in this regard is the reverse construction of the transformation
881 rule by comparing the initial and the final state of a model and the integra-
882 tion of common characteristics of the AEC industry. Especially the abstract
883 concept of preservation morphisms have been set into a practical context and
884 will provide the basis for future research and developments. The system fits
885 perfectly into existing applications and BIM workflows, which is assured by
886 providing a one-to-one bidirectional translation between the instances popu-
887 lated in a BIM model and its corresponding graph representation.

888 5.1. Algorithmic limitations

889 Despite the successful test of the developed version management system
890 in the case study, some challenges remain unsolved and require further in-
891 vestigation. One major limitation lies in the traversing nature of the *diff*
892 computation. By storing node pairs that have been already identified as
893 equivalent, the algorithm traverses each pair only once. At the same time,
894 each node is visited at least once. Hence, the computation cannot be executed
895 in concurrent threads, which would reduce the computational time needed

896 to traverse the entire graphs of both versions compared. A possible improve-
897 ment can be seen by shrinking the graph to nodes that reflect only semantic
898 information of a model and to outsource geometric representations into ex-
899 ternal storage types. Such modification in the translation of models into their
900 graph representation, however, breaks the concept of reflecting each entity
901 instance in the BIM model by exactly one node in its graph. This condition
902 greatly assists in developing new interfaces for additional data models as no
903 special treatment for specific domain representations is required. Rather, it
904 just employs the object-oriented principles of classes and associations.

905 In addition to the limitations caused by the traversing strategy, future
906 improvements are necessary to detect advanced modifications such as the
907 re-assembly of components within the logical structure of a model or refine-
908 ment actions. As outlined in the motivation, BIM models typically evolve
909 over time, which often leads to scenarios where a single component is replaced
910 by an assembly of elements with higher detailing. The current method identi-
911 fies such modifications as a combination of removals and insertions and
912 will deploy both transformations accordingly. Of course, the object-based
913 synchronization of all replicas can be achieved successfully. From a user per-
914 spective, however, the information about the shift of an existing component
915 would be much more valuable to attach discipline-specific routines.

916 5.2. Domain-specific limitations

917 In addition to limitations caused by the chosen comparison and trans-
918 formation strategies, the method relies on the existence of stable identifier
919 attributes such as the *GlobalId* attribute. Even though the definition implies
920 the intention to *identify* an object across several occurrences, current IFC
921 export interfaces of renowned software providers prove that consistent ob-
922 ject IDs are not self-evident. Hence, additional investigations are required to
923 identify components among different versions that still reflect the same ob-
924 ject but might have an altered identifier. Apart from the *GlobalId* attribute,
925 characteristics like the position of a component of the spatial containment
926 may assist here. Such variations are difficult to solve on a generic level, be-
927 cause knowledge about the specific application field and the reflected data
928 set is required.

929 Even though the general approach has been tested successfully on BIM
930 models implementing the IFC data standard, some peculiarities specific to
931 data models in the AEC sector hamper a performance-efficient application
932 of the proposed method so far. Especially explicit boundary representations

933 defining the shape of a component require an extensive amount of nodes and
 934 edges to reflect all instances contained in the BIM model. Figure 17 depicts
 935 a quantitative analysis of the IFC entities mostly instantiated in the models
 936 presented in the case study. Instances of the `IfcCartesianPoint` entity
 937 cause around one-third of all instances included in the BIM model. Some of
 938 them specify the placement of components within the model. However, the
 939 dominant majority of these nodes are instantiated to model explicit boundary
 940 representations of components.

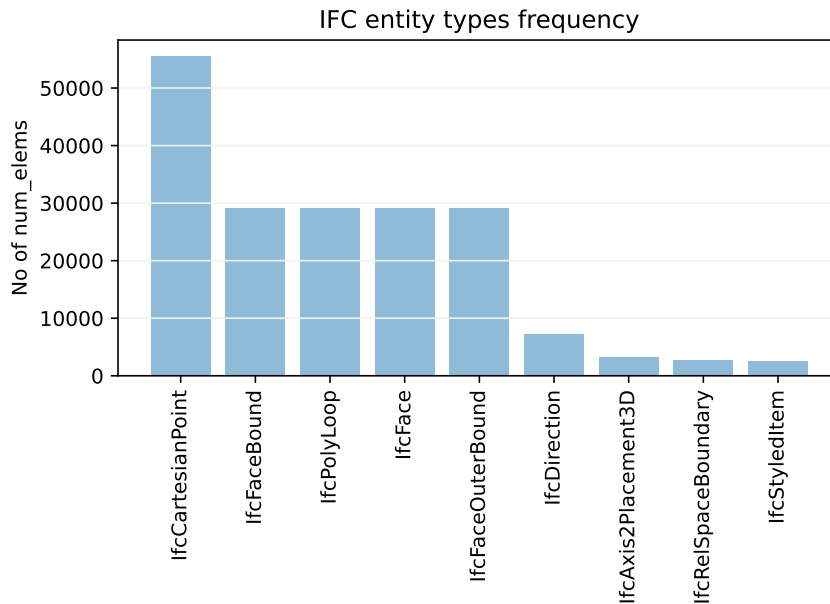


Figure 17: Frequency plot of the entities instantiated in the building model presented in the experiment. The initial and the revised version of the BIM model result in a very similar frequency plot. Therefore, only the quantitative analysis of the initial version is depicted in this figure.

941 The current system does not provide any schema preservation mecha-
 942 nisms so far. It is theoretically possible to alter graph representations in a
 943 way that they do not comply with the restrictions defined in the underlying
 944 data model. Similar to the issue of modified identifier attributes, this aspect
 945 can only be circumvented by working in controlled environments, which pre-
 946 vent the user from modifications that are not schema-compliant. A suitable

947 approach may be given by incorporating preliminary checks of the generated
948 graph transformation rules against compliance rules. Conformance checking
949 of data sets opens another large field with a vast number of existing tech-
950 niques, definitions, and experiments that will support future improvements
951 in patch-based update strategies.

952 6. Summary and Outlook

953 The current practise of BIM-based collaboration is mainly based on the
954 transfer of entire discipline-specific instance models. As changes are tracked
955 on the granularity of entire BIM models stored in files, object-based change
956 tracking and version control are not yet provided in today's CDE systems.
957 This lack requires the manual identification of changes applied to new model
958 versions.

959 To overcome the addressed limitations, the paper at hand contributes a
960 generic approach that is applicable to instances of any object-oriented data
961 model. The core of the approach lies in representing BIM models as graphs
962 and employing the concept of graph transformations to describe the mod-
963 ifications applied to the BIM model. Hence, only these modifications are
964 transferred by sending the graph transformations to the receiver. Modifica-
965 tions are formally described by means of transformation rules implementing
966 the well-established concept of Double-Push-Out rewriting. The concept can
967 be applied in different distributed system setups, including client-server sys-
968 tems with a central repository as well as dispersed peer-to-peer networks.

969 Regarding the collaborative process, the stakeholders of any discipline
970 continue to work in an asynchronous collaboration mode, using the design
971 environment of their choice. They keep the responsibility over their authored
972 discipline models as demanded by ISO 19650. In addition, each designer has
973 full control over when a model should be made available to other project
974 participants. Once a new version of a BIM model is authored and ready
975 to be shared with other project partners, the version management system
976 identifies the applied modification between the initial and the updated state
977 of the BIM model. Thereafter, only this modification is transmitted by means
978 of graph transformations. On the receiver's machine, the application of the
979 incoming patch modifies the graph reflecting the outdated model version to
980 the most recent state, which leads to a consistent up-to-date representation
981 of the BIM model at all involved parties.

982 The proposed system becomes particularly useful for any design project
983 that involves a multitude of disciplines, experts, and subsequently large
984 model files. Especially in complex design tasks that require the expertise
985 of many experts, the patch-based exchange of new model versions can signif-
986 icantly enhance the overall collaboration workflow by providing quick access
987 to new versions and the ability to perform subsequent processing of incoming
988 modifications. This way, engineers and designers can directly evaluate the

989 impact of modifications in foreign discipline models on their specific design
990 tasks and may alter their own models accordingly.

991 In the future, the management of large geometric representations requires
992 dedicated emphasis and should aim to overcome the limitations addressed.
993 Nonetheless, the proposed method reveals great potential by combining es-
994 tablished principles of BIM-based collaboration with formal graph theory
995 and object-based model synchronization.

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