


BMJ Open Supplemental with *Bifidobacterium longum* subspecies *infantis* EVC001 for mitigation of type 1 diabetes autoimmunity: the GPPAD-SINT1A randomised controlled trial protocol

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ABSTRACT

Introduction The Global Platform for the Prevention of Autoimmune Diabetes-SINT1A Study is designed as a randomised, placebo-controlled, double-blind, multicentre, multinational, primary prevention study aiming to assess whether daily administration of *Bifidobacterium infantis* from age 7 days to 6 weeks until age 12 months to children with elevated genetic risk for type 1 diabetes reduces the cumulative incidence of beta-cell autoantibodies in childhood.

Methods and analysis Infants aged 7 days to 6 weeks from Germany, Poland, Belgium, UK and Sweden are eligible for study participation if they have a >10.0% expected risk for developing multiple beta-cell autoantibodies by age 6 years as determined by genetic risk score or family history and HLA genotype. Infants are randomised 1:1 to daily administration of *B. infantis* EVC001 or placebo until age 12 months and followed for a maximum of 5.5 years thereafter. The primary outcome is the development of persistent confirmed multiple beta-cell autoantibodies. Secondary outcomes are (1) Any persistent confirmed beta-cell autoantibody, defined as at least one confirmed autoantibody in two consecutive samples, including insulin autoantibodies, glutamic acid decarboxylase, islet tyrosine phosphatase 2 or zinc transporter 8, (2) Diabetes, (3) Transglutaminase autoantibodies associated with coeliac disease, (4) Respiratory infection rate in first year of life during supplementation and (5) Safety. Exploratory outcomes include allergy, antibody response to vaccines, alterations of the gut microbiome or blood metabolome, stool pH and calprotectin.

Ethics and dissemination The study was approved by the local ethical committees of the Technical University Munich, Medical Faculty, the Technische Universität Dresden, the Medizinische Hochschule Hannover, the Medical University of Warsaw, EC Research UZ Leuven and the Swedish ethical review authority. The results will be disseminated through peer-reviewed journals and

Strengths and limitations of this study

- This is the first adequately powered placebo controlled study to test the supplementation with *Bifidobacterium infantis* for mitigation of type 1 diabetes autoimmunity.
- Includes other health outcomes such as coeliac autoimmunity, respiratory infections, allergy, antibody response (IgG titres) to vaccines, alterations of the gut microbiome or blood metabolome, measurement of stool pH and calprotectin.
- The requirement to identify eligible at-risk infants by genetic screening does not allow to introduce *B. infantis* supplementation in the first days of life or during pregnancy, when it may be most beneficial.
- There could be advantages in using multiple probiotic strains with complementary metabolic capacities.
- It may have been advantageous to add a prebiotic to the *B. infantis* formulation to compensate for the possibility that some mothers stopped breast feeding early in the trial.

conference presentations and will be openly shared after completion of the study.

Trial registration number NCT04769037.

INTRODUCTION

Type 1 diabetes (T1D) results from an immune-mediated destruction of the pancreatic islet beta-cells resulting in insulin deficiency. This process is clinically silent and can be identified by circulating autoantibodies to beta-cell antigens (glutamic acid decarboxylase (GADA), islet tyrosine phosphatase 2 (IA-2A), insulin autoantibodies (IAA) and zinc transporter 8 (ZnT8A)).¹ Beta-cell

autoantibodies occur early in life with a peak incidence period between age 9 months and 3 years,²⁻⁴ and the risk to develop multiple beta-cell autoantibodies exponentially declines with age.^{5,6} On the basis of these findings, it is concluded that any interventional therapy given as a primary prevention strategy must be started early in life.

This randomised, placebo-controlled study will evaluate whether supplementation with a daily dose of a probiotic in the first year of life can reduce the risk of developing beta-cell autoimmunity in children identified by the Global Platform for the Prevention of Autoimmune Diabetes (GPPAD) as being genetically at risk for developing T1D (previously described in detail in 7 and 8). The rationale for this study combines the most recent findings concerning the specific role that the commensal bacteria, microbiota and their genes, the microbiome, could play in the induction of peripheral tolerance to insulin,⁹⁻¹² and builds on knowledge of the reported health and clinical benefits of early probiotic supplementation in peanut allergy prevention¹³ and in lowering mortality owing to sepsis in children.¹⁴ Although it is widely accepted that the induction of the state of tolerance to beneficial bacteria during early life is critical for a newborn's survival, the clinical benefit for the prevention of immune-related diseases is only now being explored. It is believed that tolerance is achieved when the innate and adaptive immune cells promote tolerogenic immune responses to dietary and commensal antigens as well as reactions to a variety of beneficial metabolites produced by commensal microbes, in particular the short chain fatty acids (SCFAs).¹⁵⁻¹⁹ The SINT1A study follows the ongoing Primary Oral Insulin Trial (POInT),⁸ which administers insulin orally to train and sensitise the immune system at an early stage via gut exposure so that autoimmunity against insulin does not occur.

Evidence for dysbiosis in children prior to the development of T1D

Evidence that microbiome changes may alter the risk for T1D is presented by a number of prospective studies which have shown that changes in the microbiome precede the development of beta-cell autoimmunity and T1D. These include the BABYDIET study where alterations in microbial interaction networks were observed at age 0.5 and 2 years in children who developed beta-cell autoimmunity,⁹ and studies from Finland where higher abundances of *Bacteroides dorei*²⁰ and a decrease in microbial diversity were described in children with genetic predisposition to T1D.²¹ The TEDDY study confirmed these alterations and found that the microbiome of children who did not develop beta-cell autoimmunity contained more genes that were related to fermentation and the biosynthesis of SCFAs supporting the protective effects of SCFA in early-onset human T1D.¹⁰ Furthermore, the TEDDY study has reported that probiotic supplementation in the first 27 days of life, and only in this early period (documented by questionnaires and diary records) was associated with a decreased risk of beta-cell autoimmunity providing the first evidence that correcting

dysbiosis in infants may be beneficial for children at risk of T1D.¹¹ A recent finding links microbial metabolism to insulin-specific immune responses: the microbial enzymes belonging to the transketolase superfamily contain the primary insulin autoantigenic epitope (INS B:9-25). The microbial transketolase upregulation reflects the adaptation of the microbiome to digest sugar polymers during weaning and matches the time of autoantibody appearance against insulin. It has been suggested that an immune response to insulin mimotopes due to commensal dysbiosis is a possible primary cause of T1D.¹²

Altogether, these results suggest that correcting dysbiosis in early life could help to promote immune tolerance and thus inhibit the initiation of beta-cell autoimmunity.

Previous clinical studies using *Bifidobacterium infantis* in children

A substantial body of evidence has connected gut inflammation with improper immune programming and the subsequent development of autoimmune conditions including T1D, atopic dermatitis, food allergies and asthma.^{19,22} *Bifidobacteria* and in particular *Bifidobacterium longum* subspecies *infantis* (*Bifidobacterium infantis*) have positive properties that potentially counteract the development of gut inflammation in the first few months of life.^{23,24} Depleted numbers of *Bifidobacteria* are associated with immune-related diseases such as allergy.^{25,26} *Bacteroides* species, which are naturally outcompeted by *Bifidobacteria*, are present at higher numbers within the microbiota of children with high susceptibility to autoimmunity.¹⁹ There is additional evidence that *Bifidobacterium* abundance in early infancy increases protective efficacy of vaccines by enhancing immunological memory.²⁷ Lactic acid bacteria and bifidobacteria are increasingly administered to pregnant women and infants with the intention of improving health. A number of clinical studies have been conducted to document the safety and health benefits of dietary supplementation with bacterial strains (probiotics). Previous clinical studies using *B. infantis* are summarised in table 1.

These studies show first evidence that supplementation with *B. infantis* is safe.²⁸⁻³⁰ The IMPRINT (Infant Microbiota and Probiotic Intake) study demonstrates that supplementation with *B. infantis* ($1.8-2.8 \times 10^{10}$ colony forming units (CFU)) for 14 days (from day 7 to day 21) is well tolerated.²⁸ Stools are fewer and better formed in infants in the supplementation group compared with the non-supplemented group. A follow-up study indicates that supplementation results in significant changes of the faecal microbiome composition²⁹ including evidence of persistent colonisation of the probiotic organism. Infants colonised by Bifidobacteriaceae have fourfold-lower faecal endotoxin levels, consistent with observed lower levels of Gram-negative Proteobacteria and Bacteroidetes, lower stool pH and higher faecal concentrations of SCFAs.²⁹ In addition, proinflammatory cytokines are significantly lower in *B. infantis*-fed infants.³⁰ The mentioned results suggest that correcting dysbiosis in early life could help

Table 1 Clinical studies on *Bifidobacterium infantis*

Reference	No of participants*	Main results
28	80	Safe consumption and good tolerance of <i>B. infantis</i> ; stools significantly fewer and better formed.
29	66	Significant changes to faecal microbiome composition; colonisation with <i>B. infantis</i> ; higher abundance of faecal short chain fatty acids; lower stool pH.
30	40	Lower faecal calprotectin levels; lower enteric inflammation.

*Participants in total, meaning the group of children *B. infantis* fed and the group placebo fed (for all: treatment from day 7 on, dose 1.8×10^{10} colony forming units), all participants were breastfed infants.

to promote immune tolerance and thus inhibit the initiation of beta-cell autoimmunity. In this context, a supplementation with *B. infantis* seems promising.

Primary objective

To determine whether daily administration of *B. infantis* EVC001 from age 7 days to 6 weeks until age 12 months to children with elevated genetic risk for T1D reduces the cumulative incidence of beta-cell autoantibodies in childhood.

METHODS

Outcome measures

Primary outcome

The primary outcome of SINT1A is the elapsed time from random treatment assignment to the development of persistent confirmed multiple beta-cell autoantibodies. For subjects who developed persistent confirmed multiple beta-cell autoantibodies, the elapsed time will be from the random treatment assignment to the first confirmed autoantibody positive sample used in defining the persistent confirmed multiple beta-cell autoantibody positive status. It is expected that beta-cell autoantibodies will be detected prior to T1D diagnosis; however, the presence of diabetes in the absence of multiple beta-cell autoantibodies is also considered as a primary outcome endpoint, and in this case, the date of diagnosis is the time of the end point.

The study primary outcome is realised with either persistent confirmed multiple beta-cell autoantibodies or Oral Glucose Tolerance Test (OGTT) criteria for diabetes or clinical criteria for diabetes.

Criteria for persistent confirmed beta-cell autoantibodies

Criteria are based on the measurement of beta-cell autoantibodies against insulin (IAA), GAD65 (GADA), IA-2 (IA-2A) and ZnT8 (ZnT8A) tested in the GPPAD central autoantibody laboratory and, if positive, confirmed in the GPPAD confirmatory laboratory.

Confirmed IAA is defined as sample positive for IAA in both the GPPAD central and confirmatory laboratories. Confirmed GADA is defined as sample positive for GADA in both the GPPAD central and confirmatory laboratories. Confirmed IA-2A is defined as sample positive for IA-2A in both the GPPAD central and confirmatory

laboratories. Confirmed ZnT8A is defined as sample positive for ZnT8RA or ZnT8WA in both the GPPAD central and confirmatory laboratories.

The status persistent confirmed beta-cell autoantibody-positive is defined as confirmed IAA, confirmed GADA, confirmed IA-2A or confirmed ZnT8A in two consecutive samples. Persistent confirmed multiple beta-cell autoantibodies (primary outcome) is defined as confirmed IAA, confirmed GADA, confirmed IA-2A or confirmed ZnT8A in two consecutive samples, AND at least one other confirmed antibody from these four antibodies in one sample. Persistent confirmed beta-cell autoantibodies that are considered maternally derived are NOT included as positive for the primary outcome.

Criteria for T1D diagnosis

Diabetes may be diagnosed in a small number of children before a persistent confirmed multiple islet autoantibody positive status is achieved as the multiple autoantibody outcome requires two consecutive positive samples. In these cases, the primary outcome status is assigned to the child.

Criteria for T1D diagnosis are, as defined by the American Diabetes Association, based on glucose testing, or the presence of unequivocal hyperglycaemia with acute metabolic decompensation (diabetic ketoacidosis). One of the following criteria must be met on two occasions as soon as possible but no less than 1 day apart for diabetes to be defined:

1. Symptoms of diabetes and a casual plasma glucose (PG) ≥ 200 mg/dL (11.1 mmol/L).

Casual is defined as any time of day without regard to time since last meal. The classic symptoms of diabetes include polyuria, polydipsia and unexplained weight loss.

OR

2. Fasting PG (FPG) ≥ 126 mg/dL (7 mmol/L). Fasting is defined as no caloric intake for at least 8 hours.

OR

3. Two-hour PG ≥ 200 mg/dL (11.1 mmol/L) during an OGTT. The test should be performed using a glucose load containing the equivalent of 1.75 g/kg body weight to a maximum of 75 g anhydrous glucose dissolved in water. It is preferred that at least one of the two testing occasions involve an OGTT.

Cases diagnosed with T1D will be adjudicated by the Endpoint Committee. Study participation will be terminated if T1D is reached.

Secondary outcomes

Secondary outcomes of the study are: (1) The development of any persistent confirmed beta-cell autoantibody, defined as at least one confirmed autoantibody in two consecutive samples, including IAA, GADA, IA-2A or ZnT8A, (2) Diabetes, (3) The development of persistent confirmed transglutaminase antibodies associated with coeliac disease, defined as confirmed autoantibody in two consecutive samples, (4) Respiratory infection rate in first year of life during supplementation and (5) Safety.

Exploratory outcomes

The following exploratory outcomes may be assessed or in part assessed on a portion of the participants. They may not necessarily be included in the primary outcome analysis and publication: (1) Allergy, (2) Antibody response (IgG titres) to vaccines, (3) Alterations of the stool microbiome or (4) Blood metabolome, (5) Stool pH and (6) Stool calprotectin concentration.

Study design and organisation

SINT1A will be conducted as an investigator-initiated, randomised, placebo-controlled, double-blind multi-centre intervention study through GPPAD, a network of collaborating clinical study centres from European countries with sites in Belgium (Leuven), Germany (Dresden, Hannover, Munich), Poland (Warsaw), Sweden (Malmö) and UK (Newcastle, Cambridge). The Trial Coordinating Centre (GPPAD CC) is located at the Institute of Diabetes Research, Helmholtz Zentrum München. It manages coordination and communication between the SINT1A clinical study sites, and oversees the collection, analysis and storage of clinical data; also the supervision of regulatory activities, clinical research organisation activities, the manufacturer of the active supplement and the central laboratories is provided by the CC.

GPPAD was founded in 2015 with the aim to provide an international infrastructure to enable T1D primary prevention trials, identify infants with an elevated genetic risk of developing T1D and offer participation in randomised controlled trials aiming to reduce the incidence of T1D in children.^{8 31} Until March 2021, more than 251 000 infants have been screened and had their genetic risk of developing T1D evaluated using a combination of family history and 47 single-nucleotide polymorphisms (SNPs).⁷ From these, over 2000 infants have been identified as having a 10% probability or greater of developing multiple beta-cell autoantibodies by 6 years of age, making them eligible for GPPAD primary prevention trials.⁷ The first GPPAD trial POInT has now (March/2021) completed enrolment (1050 participants).⁸ SINT1A will commence in April 2021 with the first patient first visit.

Study population

Infants are tested for genetic risk of T1D based on advanced risk scores derived from 51 SNPs that define HLA-DR3, HLA-DR4, and HLA-DQ8 alleles as well as SNPs from HLA class I, and non-HLA T1D susceptibility genes, and from HLA class II protective alleles, as previously described.^{7 32} Infants with a predicted risk of >10% to develop multiple beta-cell autoimmunity by age 6 years and who fulfil the inclusion criteria as stated below are eligible to participate in the GPPAD-SINT1A Study.

A total of 1144 infants will be enrolled and randomised 1:1 (*B. infantis* or placebo) in the SINT1A study (see figure 1). Children with T1D susceptible genotypes also have a marked risk of around 10% for autoimmunity found in coeliac disease as shown in the TEDDY study.³³

Inclusion and exclusion criteria

Participants must meet all entry criteria for the protocol as outlined below.

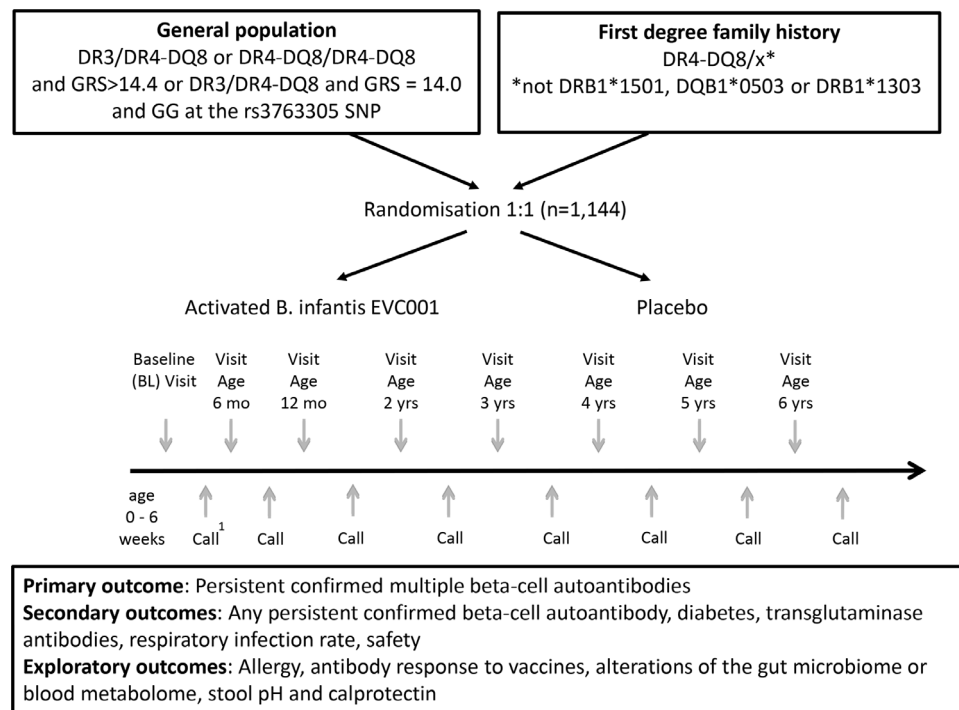
- ▶ Infants between the ages of 7 days and 6 weeks (+14 days in case of illness or COVID-19-related issues or unexpected delay in result reporting) at the time of randomisation.
- ▶ A 10% or higher genetic risk to develop multiple beta-cell autoantibodies by age 6 years
 - a. For infants without a first-degree family history of T1D, high genetic risk is defined as a DR3/DR4-DQ8 or DR4-DQ8/DR4-DQ8 genotype and a Genetic Risk Score (GRS) that is in the upper 25th centile (>14.4)³⁴ or a DR3/DR4-DQ8 genotype with a GRS between the upper 50th (14.0) and 25th centile and a GG genotype at the rs3763305 SNP. These represent around 1% of all newborns.
 - b. For infants with a first-degree family history of type 1 diabetes, high genetic risk is defined as having HLA DR4 and DQ8, and none of the following protective alleles: DRB1*1501, DQB1*0503, DRB1*1303. These represent around 30% of infants with a first-degree family history of T1D.
- ▶ Written informed consent signed by the custodial parent(s).

Participants may not enter the study if ANY of the following apply:

- ▶ Any medical condition, concomitant disease or treatment that may interfere with the assessments or may jeopardise the participant's safe participation in the study, as judged by the Investigators.
- ▶ Preterm delivery <36 weeks of gestation.
- ▶ Proven immunodeficiency.
- ▶ Any condition that could be associated with poor compliance.
- ▶ Diagnosis of diabetes at the time of recruitment.

Informed consent

The GPPAD-SINT1A Study will be described to the custodial parent(s) of potential participants by qualified GPPAD study personnel. The custodial parent(s) will have the opportunity to read the consent document and to discuss



¹ Interim telephone calls with families to assess AEs and support trial adherence

Figure 1 SINT1A study flow and time schedule for a participant with intervention until 12 months and maximum follow-up of 5.5 years. AEs, adverse events; GRS, Genetic Risk Score; SNPs, single-nucleotide polymorphisms.

any questions concerning the consent or study participation. The families will be given enough time to consider whether or not to participate. The custodial parent(s) will then be asked to sign and date an informed consent form prior to or at the baseline visit. Date and signature of the study Investigator (or other authorised study personnel, if applicable) will also be obtained on the consent form. A copy of the informed consent form will be handed out to the families. The custodial parent(s) of the prospective participant will be told that being in the study is voluntary and that the participant may withdraw from the study at any time, for any reason.

Patient and public involvement

Patients were not involved in the study design but in the prioritisation of the research question of T1D prevention. Patients support recruitment through dissemination, and participation in press conferences. Participating families will be informed about the outcome of the trial via webcast, letter and personal communication on the completion of the trial.

Randomisation

Subjects will be centrally randomised in a 1:1 ratio to one of the two intervention arms via Interactive Voice Response System/Interactive Web Response System (IVRS/IWRS) at the baseline visit. The participant and the treating physician and the central research team will be blinded. The study product packages will not indicate whether the content is *B. infantis* or placebo, but kit numbers. The IVRS/IWRS will assign the appropriate kit numbers for each participant following a randomisation

list. Emergency unblinding will be available through the IVRS/helpdesk. Siblings within one household will be randomised to the same intervention arm to avoid mix-up of supplementation. Randomisation will be stratified for whether the child is still breastfed at the date of randomisation and study centre.

Intervention

Participants are randomised in a 1:1 ratio to receive either *B. infantis* or placebo. Each dose of the active supplement is provided as one sachet with *B. infantis* EVC001 at a minimum concentration of 8×10^9 CFU in lactose. The dose was selected according to the previous IMPRINT study.²⁸ The actual concentration as per batch certificate of analysis ranged from 13.8×10^9 to 15.8×10^9 CFU per sachet; the shelf-life is 15 months. The placebo consists of lactose, identical in appearance and taste to the active supplement. *B. infantis*/placebo will be administered orally, once a day, using single-dose sachets. It is recommended to administer the product in the morning (7:00–10:00 hours), preferably together with some breast milk. The content (powder) of the sachets is poured into a small bowl and mixed with 3–5 mL of breast milk, infant formula or water. The solution will be administered using a feeding syringe, preferably in the morning. Parent(s) will be instructed in the administration and storage of the sachets (should be kept frozen until use) at or prior to their baseline visit. The genome of *B. infantis* is available in the NCBI accession number NZ_LR655210 under the strain name USA001_1.³⁵ Active and placebo products are provided by Evolve Biosystems, USA. Blinding, packing

and distribution to clinical study sites is performed by the pharmacy, University of Heidelberg, Germany.

Study timeline

The study is expected to take 6.5 years to complete. This includes an intervention phase of 12 months, and minimum 2.5 (last participant enrolled) to maximum 5.5 years of follow-up (first participant enrolled) after intervention (figure 1). The enrolment period is projected to be 3.0 years.

Study assessment

The baseline visit includes the collection of information on medical history, C-section, breast feeding, proton pump inhibitor therapy, infections, probiotic use and antibiotic treatment prior to enrolment and the collection of a stool sample. Families will be instructed in the administration and storage of the blinded food product (*B. infantis* or placebo). Mothers will be encouraged to make their best effort to maintain breast feeding for at least the first 3–4 months, and they will be encouraged to continue breast feeding for as long as possible during the first year of the infant's life. Two more intervention visits (visit 2 and 3) are planned after 6 months and 12 months (± 14 days). At these visits venous blood and a stool sample is collected, electronic questionnaires are discussed. The assessment of weight and height is performed at all visits. After the intervention period, study visit 4 will be conducted at age 2 years (± 30 days), subsequent follow-up study visits will be conducted every year (± 30 days) until the end of the study. A detailed table explaining study visits, and data and sample collection is shown in online supplemental file 1. All study relevant subject data and laboratory results are documented in corresponding electronic case report forms (eCRFs).

E-diaries and allergy questionnaires

Throughout the intervention period, parents will be asked to complete e-diaries fortnightly to collect information on breast feeding, respiratory infections, antibiotic treatment and treatment with proton pump inhibitors. Additional questionnaires to obtain information about allergies will be collected every 12 months starting at age 12 months ± 14 days until the end of the study. The information given by the parents will be captured in a central database and reviewed and discussed during the study visits and phone calls between the visits.

For participants who develop positive beta-cell or transglutaminase autoantibodies

Participants who have confirmed positive beta-cell or transglutaminase autoantibodies during the study are asked to donate a confirmation sample within 4–12 weeks. If the participant has persistent confirmed beta-cell autoantibodies, the custodial parent(s) are informed and asked to participate in an educational programme informing about the diagnosis of beta-cell autoantibody positivity and possible symptoms of hyperglycaemic and metabolic decompensation. The child remains in the study and

continues to be treated or followed as planned until the child has developed T1D or end of study. Home monitoring of blood glucose will be recommended 2-monthly if a child is considered at risk for a rapid progression to diabetes (eg, IA-2A positive, very high titres of antibodies or impaired blood glucose values). In case of persistent confirmed positivity for transglutaminase autoantibodies, an intestinal biopsy maybe recommended to clarify the diagnosis of coeliac disease. These children will continue to receive *B. infantis*/placebo and will be followed in the study for continued monitoring of diabetes development and safety assessments.

Safety

As the study intervention is not considered a medicinal product, safety reporting obligations as for IMP clinical trials do not apply. However, AEs and s (SAEs) up to 30 days after the last administration of the food product are assessed and captured in the eCRF. AEs will be graded as mild, moderate, severe, life-threatening or death according to the National Cancer Institute's Common Terminology Criteria for Adverse Events V.5.0.

Physical examinations, including measurement of height and weight, are performed at all visits.

ANALYSIS

All efficacy analyses will be conducted under the intention-to-treat principle whereby all effectiveness outcome data in all randomised subjects who have received at least one dose of *B. infantis* supplement or placebo will be included in all analyses as appropriate. Subjects who drop-out of the study will not be replaced. All data acquired prior to termination will be included in the primary analysis unless a participant withdraws consent.

Primary outcome and analysis

The cumulative incidence of multiple beta-cell autoantibodies over time since randomisation within each treatment group will be estimated from a Kaplan-Meier estimate of the 'beta-cell autoantibody-free' survival function. The difference between groups in the cumulative incidence functions, and the associated hazard functions, will be tested at the 0.05 level, two sided, using Cox regression including site as covariate. With respect to the primary outcome, the HR of *B. infantis* to placebo will be given together with its 95% CI. The final alpha is 0.05 (two sided). In case the assumptions of the sample size estimation hold, it will be possible to reject the null hypothesis of equal hazard rates with the power of 80%, if 914 children will be uniformly randomised over 3 years and afterwards, all 914 children will be followed for another 3.5 years (6.5 years total duration after first enrollment). We have assumed a drop-out rate of 20%, and therefore we need to randomise 1144 children to support an 80% power by a complete follow-up of 914 children ranging from 3.5 to 6.5 years.

Subgroup analyses of primary outcome

It is reasonable to consider that *B. infantis* colonisation, breastfeeding status and secretor (FUT2 gene) status may impact the outcome.^{36 37} *B. infantis* colonisation will be measured in stool samples collected at visit age 3 months. A multiple Cox regression analysis will be performed on the primary outcome including treatment group and colonisation as a continuous variable. This will also be performed with a treatment group-colonisation interaction term and with colonisation as a categorical variable. Three categories representing no colonisation, low and high colonisation based on the distribution of values in the children will be used. Breast feeding will be encouraged. Nevertheless, a portion of the children will not be receiving breast milk when they start. Breast feeding will, therefore, be included as a categorical variable together with treatment in a Cox regression. An interaction analysis between colonisation and breastfeeding status will be performed, and a subgroup analysis for the primary outcome will be performed in children categorised by their FUT2 gene genotype as secretors and non-secretors. Additional variables that will be analysed by multivariable Cox regression include: (1) Children categorised as having a mother with diabetes versus the remainder; (2) Children who have HLA DR3/4-DQ8 versus the remainder; (3) Children who have the T1D susceptible INS AA genotype versus the remainder; (4) Sex; (5) Caesarean section versus vaginal birth; (6) body mass index at age 1 year as tertiles and (7) Genetic risk score tertiles.

Secondary and exploratory outcomes and analyses

For the secondary outcomes, the treatment arms will be compared on the corresponding incidence rates of each secondary outcome using the log rank statistic. Other secondary outcomes will be analysed by comparison between the *B. infantis* supplementation and placebo supplementation groups using appropriate statistics in dependence on the outcome of interest. Subgroup analyses analogous to those described for the beta-cell autoantibodies endpoint will be conducted on the secondary outcome endpoints. Exploratory analyses will examine the associations between *B. infantis* supplementation and allergy, mouth and stool organisms (microbiome), and blood markers such as the metabolome, lipidome, or inflammatory proteins and ancillary study measurements that specific sites may undertake.

Study power and accrual target

For the sample size estimation, the following scenario was chosen:

- ▶ Overall alpha level=0.05 (two sided).
- ▶ Overall beta level=0.2, that is, power=0.8.
- ▶ In the placebo group, at 3.5 years (approximate age of participants, 4 years), an event probability of 7.5% was assumed. Based on the exponential distribution, this leads to a hazard of 0.02227.
- ▶ For the active treatment, it is assumed that the hazard rate will be halved.

- ▶ Accrual time is 3 years.
- ▶ Follow-up time is 3.5 years.
- ▶ A drop-out rate of 20% was taken into account.

The study has been designed to provide 80% power to detect a 50% risk reduction in the hazard rate of the event of confirmed persistent beta-cell autoantibodies using a two-sided test at the significance level 0.05 after 6.5 years of study duration. Decisive test will be the Wald test for the HR between the two groups within a Cox PH model. It is expected that the hazard is halved by active treatment. According to the assumptions described in above scenario, n=914 patients should be randomised between the two groups. With an assumed drop-out rate of 20%, n=1144 children will need to be randomised.

Benefits and risks

Benefits

The potential benefit for a participating child is the prevention (or delay in onset) of beta-cell autoantibodies and diabetes, coeliac disease, childhood infections and allergy. For all participating children, including children who receive placebo, testing blood samples will allow early recognition of presymptomatic T1D and coeliac disease before the child shows the typical symptoms, and an appropriate therapy could be started immediately, potentially reducing complications later in life. Furthermore, information about other ongoing prevention trials or available treatments and intervention studies can be given to families.

Risks

So far, there have been no reports of risks and side effects associated with the use of *B. infantis*. Several studies show that various strains of *B. infantis* have been administered to numerous newborns and especially to premature babies without complications. In breastfed babies, *B. infantis* is one of the most common bacteria found in the intestine. Typical risks or complaints associated with taking a blood sample cannot be excluded. The volume of blood drawn for the trial endpoints is <1% of the total blood volume, within the suggested limits from the European guidelines for a paediatric population.³⁸

A data safety monitoring board (DSMB) is established. A DSMB is an independent group of experts responsible to safeguard the well-being and safety of the study participants. The DSMB will meet 6 monthly during the intervention phase and 12-monthly during the follow-up phase. Before each DSMB meeting, the DSMB will receive a report with all relevant information on recruitment rate, data completeness and safety data, including beta-cell and transglutaminase autoantibody and diabetes outcomes.

Retention strategies

A special family friendly retention concept has been developed to make families feel as part of the research team. Special care and support is offered for families who participate in the study and small gifts for the children are given out during the visits. Families are reminded of the

advantages of study participation such as free monitoring of the children's health status and potential benefit from the intervention. Strategies for retention also include newsletters and reports on islet and coeliac autoantibody testing, and activities on community building (Homepage, Facebook groups).

Further information can be found on the GPPAD homepage: <https://www.gppad.org/de-en/prevention-sint1a/>

ETHICS AND DISSEMINATION

Ethics

The study was approved by the local ethical committees and regulatory authorities of the Technische Universität München, Medical Faculty (715/20 S), the Technische Universität Dresden (SR+BO-44012021), the Medizinische Hochschule Hannover (9589_BO_S_2021), the Medical University of Warsaw (KB/5/2021), EC Research UZ Leuven (322) and the Swedish ethical review authority (dnr 2021-01210).

Dissemination

GPPAD is committed to sharing of data in compliance with all applicable European and GPPAD Consortium Member State, Data Protection and Privacy Protection laws, rules and regulations.

Pseudonymised data of the SINT1A Study (GPPAD-04) will be available to the scientific community after the publication of the trial analysis, which is anticipated in 2028. The SINT1A data will be available on request.

Update on the current status

The first participant was enrolled in April 2021. By October 2021, six study sites in Germany, Poland, Belgium and Sweden had been initiated stepwise and 155 participants have been enrolled.

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Contributors A-GZ, MLP and JAT conceived the study, and led the protocol team. All authors (A-GZ, SA, AK, PA, RB, EB, KC, HEL, MG, JH, OK, ML, MO, MLP, MP, MDS, AS and JAT) contributed to protocol development. EB, JH and MP developed the statistical design for the study and wrote the statistical section of the protocol. EB and PA developed the outcome definition of the trial and wrote the autoantibody and outcome section of the protocol. A-GZ, SA, AK and MG drafted the manuscript. All authors reviewed the protocol as well as this manuscript.

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