Tropospheric NO_2 and O_3 response to COVID-19 lockdown restrictions at the national and urban scales in Germany

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Key Points:

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13	• During the COVID-19 lockdown period, NO ₂ concentrations decreased and O ₃ con-
14	centrations increased in eight German cities
15	• The degree of NO_X saturation of ozone production is weakening from winter to
16	summer
17	• Meteorological variability adjusted by GEOS-Chem model simulations driven by
18	the same emissions for 2020 and 2019

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19 Abstract

This study estimates the influence of anthropogenic emission reductions on nitrogen diox-20 ide (NO_2) and ozone (O_3) concentration changes in Germany during the COVID-19 pan-21 demic period using in-situ surface and Sentinel-5p (TROPOMI) satellite column mea-22 surements and GEOS-Chem model simulations. We show that reductions in anthropogenic 23 emissions in eight German metropolitan areas reduced mean in-situ (& column) NO_2 con-24 centrations by 23 % (& 16 %) between March 21 and June 30, 2020 after accounting for 25 meteorology, whereas the corresponding mean in-situ O₃ concentration increased by 4 26 % between March 21 and May 31, 2020, and decreased by 3 % in June 2020, compared 27 to 2019. In the winter and spring, the degree of NO_X saturation of ozone production is 28 stronger than in the summer. This implies that future reductions in NO_X emissions in 29 these metropolitan areas are likely to increase ozone pollution during winter and spring 30 if appropriate mitigation measures are not implemented. TROPOMI NO₂ concentrations 31 decreased nationwide during the stricter lockdown period after accounting for meteorol-32 ogy with the exception of North-West Germany which can be attributed to enhanced 33

 34 NO_X emissions from agricultural soils.

35 Plain Language Summary

Pollutant concentrations in the atmosphere are influenced not only by changes in 36 emissions, but also by meteorology and atmospheric chemical reactions. Because of this, 37 estimating the direct influence of anthropogenic emission reductions on nitrogen diox-38 ide (NO_2) and ozone (O_3) concentrations during the initial COVID-19 pandemic period 39 is complex. In our study, we used GEOS-Chem model simulations to account for me-40 teorology impacts. For Germany, compared to 2019 we see a decrease in NO₂ concen-41 trations during the 2020 lockdown period, an increase in O_3 concentrations during the 42 2020 spring lockdown, and a decrease in O_3 concentrations during the 2020 early sum-43 mer lockdown. The increased O_3 concentration in response to the decreased NO_2 con-44 centration implies that future reductions in NO_X emissions are likely to increase ozone 45 pollution in German metropolitan areas during winter and spring. Furthermore, there 46 was a nationwide decrease in NO₂ concentrations except for North-West Germany dur-47 ing the 2020 stricter lockdown period. We hypothesise that North-West Germany is a 48 hotspot of soil NO_X emissions in elevated-temperature environments due to intensive 49 agricultural practices. 50

51 **1** Introduction

The outbreak of the novel COVID-19 virus in late 2019 prompted governments to 52 take various measures to prevent the COVID-19 virus from spreading through society. 53 These actions include physical distancing, a ban on large group gatherings, home office 54 work, and international and domestic travel restrictions (DW COVID-19, 2020). These 55 measures resulted in a significant reduction in emissions following economic activity and 56 overall mobility (Evangeliou et al., 2021; Gensheimer et al., 2021; Guevara et al., 2021; 57 Le Quéré et al., 2020; Turner et al., 2020; Z. Liu, Ciais, et al., 2020; Z. Liu, Deng, et al., 58 2020). There has been a lot of interest in studying this time window and its impacts on 59 the Earth system. Numerous studies (Bauwens et al., 2020; Berman & Ebisu, 2020; Chauhan 60 & Singh, 2020; Collivignarelli et al., 2020; Dietrich et al., 2021; He et al., 2020; Keller 61 et al., 2021; R. Zhang et al., 2020) have reported a reduction in major air pollutant con-62 centrations during the COVID-19 lockdown period, including nitrogen dioxide (NO₂), 63 carbon monoxide (CO), sulphur dioxide (SO₂) and particulate matter (PM_{10} and $PM_{2.5}$), 64 which are primarily emitted by fossil fuel consumption. During the COVID-19 lockdown 65 period, air quality improved in most countries, particularly in urban areas (Bedi et al., 66 2020; Fu et al., 2020). Previous studies, such as Bauwens et al. (2020); Deroubaix et al. 67 (2021), compared lockdown period concentration with long-term mean to estimate lock-68

down effects by eliminating the average climatological seasonal cycle. However, a direct

⁷⁰ comparison of lockdown period pollutant concentrations with pre-lockdown period pol-

⁷¹ lutant concentrations includes both meteorological and COVID-19 emission reduction

⁷² influences.

Meteorological effects must be considered to determine the actual impact of anthro-73 pogenic emission reductions on changes in pollutant concentrations during the COVID-74 19 lockdown period (Barré et al., 2020; Deroubaix et al., 2021; Gaubert et al., 2021; Gold-75 berg et al., 2020; Petetin et al., 2020; Sharma et al., 2020; Y. Liu et al., 2020), partic-76 77 ularly with regard to chemical processes (Kroll et al., 2020). An analysis of pollutant concentration changes over the European networks of surface air quality measurement sta-78 tions was performed to isolate the lockdown impacts based on a data-driven meteoro-79 logical adjustment (Ordóñez et al., 2020; Venter et al., 2020). Previous works (Gaubert 80 et al., 2021; Menut et al., 2020; Mertens et al., 2021; Potts et al., 2021; Weber et al., 2020) 81 have used different modelling approaches to investigate the impact of lockdown measures 82 on air quality over Europe. The 2020 emission reduction scenarios were set up using avail-83 able activity data from various sources (Doumbia et al., 2021; Forster et al., 2020; Guevara et al., 2021). As part of its modeling work, Gaubert et al. (2021) compared the 2020 85 lockdown period with climatological mean in order to separate the anomalies caused by 86 the weather conditions in 2020, and they have called for more meteorology adjusted stud-87 ies to avoid the flawed results. 88

We focus on nitrogen dioxide (NO_2) and ozone (O_3) concentration changes due to 89 2020 COVID-19 lockdown restrictions, from March 21 to June 30. We consider NO_2 and 90 O_3 together from the perspective of atmospheric chemistry, because NO₂ and O_3 con-91 centrations are functions of each other (Bozem et al., 2017). Nitrogen oxide ($NO_X = NO + NO_2$) 92 emissions have a pronounced seasonal cycle, with higher emissions in the winter than in 93 the summer (Beirle et al., 2019; Kuenen et al., 2014). Half of the NO_X in the troposphere 94 is from fossil fuel consumption in urban areas (e.g. figure S1). Tropospheric NO_2 con-95 centrations follow a similar annual cycle, with higher values in the winter than in the summer. This is due to the fact that in addition to the higher emissions mentioned above 97 also the lifetime of NO₂ is longer in the winter (≈ 21 hours) than in the summer (≈ 6 98 hours) (Shah et al., 2020). Peak NO_2 concentrations in the winter are also influenced 99 by atmospheric inversion conditions. NO_2 influences climate by acting as a precursor to 100 the formation of tropospheric O_3 (Crutzen, 1988; Jacob, 1999), and both NO_2 and O_3 101 have an impact on human health. Tropospheric ozone production is complex and depends 102 strongly and non-linearly on nitrogen oxides (NO_X) and volatile organic compound (VOC) 103 concentrations, despite the fact that photolysis of NO_2 is the only chemical source of tro-104 pospheric ozone (Council et al., 1992; Kleinman, 2005; Lin et al., 1988). Ozone decreases 105 as NO_X decreases in regions with low NO_X and high VOC concentrations, i.e., NO_X lim-106 ited regimes; however, in high NO_X regions, i.e., VOC limited regimes (or NO_X satu-107 rated regimes), a decrease in NO_X results in an increase in O_3 concentration (Kleinman 108 et al., 1997; Sillman, 1999; Sillman et al., 1990) (figure S2). 109

This study uses the TROPOspheric Monitoring Instrument (TROPOMI) on the 110 Sentinel-5 Precursor (Sentinel-5P) satellite and governmental in-situ NO₂ measurements 111 as a proxy for changes in NO_2 , and governmental in-situ O_3 measurements as a proxy 112 for changes in O_3 concentrations in Germany. To account for the impact of meteorol-113 ogy, we use the same anthropogenic emissions in 2020 and 2019 with 2019 open fire emis-114 sions and long-term (1995-2013) monthly lightning NO_X emission climatology for the 115 GEOS-Chem model. We are therefore able to present separate quantitative results for 116 changes in NO_2 and O_3 concentrations caused by meteorological changes and by reduc-117 tions in anthropogenic emissions resulting from COVID-19 lockdown measures. To the 118 best of our knowledge, no such study using GC modeling to account for meteorological 119 impacts has been conducted for Germany. 120

¹²¹ 2 Study Regions, Data Sets, Model and Method

Our study region covers a bounding box over the national area of Germany (5-15.5°E, 47-55.5°N), with a particular focus on eight urban areas spread across the country: Munich, Berlin, Cologne, Dresden, Frankfurt, Hamburg, Hanover, and Stuttgart (Supplement figure S3). This study mainly focused on the urban scale to examine the impact of reduced mobility on NO₂ and O₃ concentrations during the 2020 COVID-19 pandemic period. We also extended our study nationwide to investigate other significant NO_X sources in rural locations.

We used tropospheric NO₂ column data from the TROPOspheric Monitoring In-129 strument (TROPOMI) aboard the Sentinel-5 Precursor satellite (*Copernicus*, 2020). The 130 satellite is in a sun synchronous orbit with an equatorial crossing time of 13:30 (local so-131 lar time). TROPOMI NO₂ data has a spatial resolution of $7^*3.5$ km (increased to $5.5^*3.5$ 132 km after 6 August, 2019) and it covers the globe daily due to its wide swath (Van Gef-133 fen et al., 2020). TROPOMI NO₂ precision (error estimate originating from the spec-134 tral fit and other retrieval aspects) for each pixel is within the range of $3.6^{*10^{14}}$ to $3.7^{*10^{16}}$ 135 molec. cm⁻² (about 21% to 75 % of tropospheric NO₂ column). The TROPOMI NO₂ 136 measurements for winter are highly uncertain (Figure S4). The main source of uncer-137 tainty is the calculation of the air mass factor, which is estimated to be on the order of 138 \pm 30 % (Lorente et al., 2017). Since our study is mainly focusing on the relative differ-139 ence in NO₂ between 2020 and 2019, the systematic errors associated with TROPOMI 140 retrievals (e.g., spectroscopic errors and instrument bias) should cancel out, while ran-141 dom error component is persistent. However, when we apply temporal and spatial av-142 eraging, random errors are reduced. We followed S5P NO2 Readme (2020) for the qual-143 ity filter criteria, which removes cloud-covered scenes in order to avoid high error prop-144 agation through retrievals. We averaged the TROPOMI values within a radius of 0.5 de-145 gree from the urban center to create time series (& daily observations) at the urban scale. 146 For comparisons between 2020 and 2019 at the national scale, TROPOMI tropospheric 147 NO_2 column densities were gridded in 0.25*0.25-degree bins. 148

We investigate agricultural activities in Germany using ammonia (NH_3) data (Kuttippurath 149 et al., 2020). The "Standard monthly IASI/Metop-B ammonia (NH₃) data set" was down-150 loaded from IASI NH3 (2020). This data set contains monthly averaged NH_3 measure-151 ments (total column), from the Infrared Atmospheric Sounding Interferometer (IASI), 152 onboard the Metop satellites, at 1*1 degree resolution. We also used the "Near-real time 153 daily IASI/Metop-B ammonia (NH3) total column dataset (ANNI-NH3-v3)" product 154 to investigate the inter-annual short-term (less than a month) variability in NH_3 over 155 Germany (IASI NH3, 2020). 156

In-situ surface NO_2 and O_3 concentrations were obtained as hourly averaged measurements from the UBA's (German Environment Agency) database (*Umweltbundesamt*, 2020). We collected data from 38 stations in eight German cities, including both urban and rural measurement sites, for 2020 and 2019. In this study, we averaged all 24-hour measurements from stations located within each city.

The ERA5 dataset (*Copernicus Climate Change Service (C3S)*, 2017) is used as a reference data set to discuss meteorological conditions over study areas. We used the "ERA5 hourly data on pressure levels" product for wind speed and direction and temperature. Further, we used the "ERA5 hourly data on single levels" product for boundary layer height. We averaged these values within a radius of 0.5 degree from the urban center to create a time-series (& daily observations) at the urban scale. The sunshine duration (hours per day) data was obtained from Deutscher Wetterdienst (*DWD*, 2020).

The GEOS-Chem (GC) chemical transport model (GEOS-Chem, 2020) is used to estimate the concentration differences in NO₂ and O₃ between 2020 and 2019 caused by meteorological changes. The GEOS-Chem model is driven by MERRA-2 assimilated me-

teorological data (MERRA-2, 2020). We conduct nested simulations over Germany (4-172 $17^{\circ}\text{E}, 45-57^{\circ}\text{N}$) at a horizontal resolution of $0.5^{\circ}*0.625^{\circ}$ with dynamic boundary con-173 ditions generated from a global simulation by $4^{\circ*5^{\circ}}$ resolution. GEOS-Chem assumes 174 the same anthropogenic emissions in 2020 and 2019. We used anthropogenic emissions 175 in 2014 from the Community Emissions Data System (CEDS) inventory (Hoesly et al., 176 2018) and 2019 open fire emissions from GFED4 (Werf et al., 2017) for both 2019 and 177 2020 simulations. The spatial and monthly climatology of lightning NO_X emissions is 178 constrained by LIS/OTD satellite observations averaged over 1995-2013. We used an im-179 proved parameterization approach implemented in the GEOS-Chem model to calculate 180 soil NO_X emissions (Hudman et al., 2012). In all comparisons of the GC model to TROPOMI, 181 GC NO₂ vertical profile simulations (at 47 vertical layer) are converted to NO₂ column 182 densities for TROPOMI footprints by interpolating into TROPOMI measurements pres-183 sure levels and applying TROPOMI's averaging kernals. Similar to above, GC column 184 densities were gridded in 0.25*0.25-degree bins at the national scale. 185

Our methodology to obtain NO_2 and O_3 concentration changes between 2020 and 186 2019 (2020-2019) for which meteorological impacts have been accounted for is as follows. 187 Previous studies (Fiore et al., 2003; R. F. Silvern et al., 2019; Tai et al., 2012) have shown 188 that GC can reproduce the temporal variability of NO_2 , O_3 and particulate matter, im-189 plying that GC accounts for meteorological impacts. We conduct GC simulations for 2020 190 and 2019 with identical emissions but with the respective meteorology from MERRA-191 2 reanalysis. Since we use the same anthropogenic emission in 2020 and 2019, the GC 192 differences in NO_2 and O_3 between 2020 and 2019 are solely due to meteorological in-193 fluences, e.g., differences in wind speed, boundary layer height, photo-chemistry etc.: 194

$$\Delta NO_{2(GC)} = NO_{2(GC,2020)} - NO_{2(GC,2019)} \tag{1}$$

$$\Delta O_{3(GC)} = O_{3(GC,2020)} - O_{3(GC,2019)} \tag{2}$$

The difference between the 2020 and 2019 NO_2 and O_3 observations for specific time pe-196 riods include influence from both meteorological and emissions changes: 197

$$\Delta NO_{2(obs)} = NO_{2(obs,2020)} - NO_{2(obs,2019)}$$
(3)

$$\Delta O_{3(obs)} = O_{3(obs,2020)} - O_{3(obs,2019)} \tag{4}$$

In order to account for the differences resulting from meteorology and isolate the impact 199 resulting from emission changes we subtract the difference in the simulations from the 200 2

$$difference in the observations as follow (Qu et al., 2021)$$

$$\Delta NO_{2(acc)} = \Delta NO_{2(obs)} - \Delta NO_{2(GC)} \tag{5}$$

and similarly for ozone: 202

$$\Delta O_{3(acc)} = \Delta O_{3(obs)} - \Delta O_{3(GC)} \tag{6}$$

Where, "acc" refers to meteorology accounted for, "obs" refers to in-situ or TROPOMI 203 measured concentrations, and "GC" refers to GEOS-Chem model simulated concentra-204 tions. This approach results in values that have accounted for meteorological influence 205 to estimate the concentration changes resulting only from COVID-19 emission reductions. 206

Tropospheric NO_2 and O_3 : impact of meteorological conditions 3 207

Like previous studies (Çelik & İbrahim, 2007; Deroubaix et al., 2021; Ordóñez et 208 al., 2020; Voiculescu et al., 2020), we investigated correlations between satellite and in-209 situ NO_2 and O_3 concentrations and local meteorological parameters to find the depen-210 dency of NO_2 and O_3 concentrations on meteorology. The correlation matrix is shown 211 in Figure 1 for the Munich metropolitan area. We find similar correlation behaviour be-212 tween variables for 2019 (no lockdown) and 2020 (lockdown). Generally, satellite and in-213 situ NO_2 concentrations have a negative correlation with wind speed, temperature and 214



Figure 1. Correlation matrix (R-correlation coefficient) between meteorological parameters and NO_2 and O_3 concentrations (January to June in 2020 and 2019) in Munich.

boundary layer height, e.g., as pollutants disperse more at high wind speeds than at low 215 wind speeds. As temperature and sunlight increases, the rate of NO_2 photochemical loss 216 accelerates, and the planetary boundary layer expands resulting in higher dilution. O_3 217 concentrations have a generally negative correlation with NO₂ concentrations and pos-218 itive correlation with sunshine duration and temperature. This results from the fact that 219 NO_2 and high solar radiation play an important role in regulating O_3 . Temperature has 220 been shown to have a significant influence on ozone production over Europe under var-221 ious NO_X conditions (Coates et al., 2016; Melkonyan & Wagner, 2013). In addition, Curci 222 et al. (2009) show that increasing temperature causes an increase in biogenic VOC emis-223 sions, which can raise the ozone level, especially in the summer. Future climate condi-224 tions in Europe (as a result of global warming) will almost certainly have an impact on 225 ozone pollution (Engardt et al., 2009; Forkel & Knoche, 2006; Meleux et al., 2007; Vau-226 tard et al., 2007). Europe may experience more intense and frequent heatwaves and droughts 227 in the future, which will increase wildfire events and, as a result, background ozone lev-228 els will increase (De Sario et al., 2013; Meehl & Tebaldi, 2004). Furthermore, temper-229 ature, boundary layer height and solar radiation, which are considered to be the most 230 related meteorological factors influencing NO_2 and O_3 concentrations, are interdepen-231 dent. 232

4 Changes in NO₂ and O₃ concentrations in Germany due to COVID 19 lockdown restrictions

In this study we compare January through June of 2020 and 2019. This time pe-235 riod is divided into five sections: 1) No lockdown restrictions from January 1 to January 236 31, 2020. 2) No lockdown restrictions with anomalous weather conditions from Febru-237 ary 1 to March 20, 2020. 3) Strict lockdown restrictions from March 21 to April 30, 2020 238 (spring). 4) Loose measures from May 1 to May 31, 2020 (late spring). 5) Loose mea-239 sures from June 1 to June 30, 2020 (early summer). The mean TROPOMI and in-situ 240 NO_2 in January of 2020 was slightly higher than in 2019 (Figure 2 (c) and 3(a)). How-241 ever, between February 1 and March 20, 2020, prior to the lockdown, mean observed TROPOMI 242 and in-situ NO_2 was already significantly lower than in 2019 at both the national (Fig-243 ure 2 (f)) and urban scales (Figure 3(c) and S5). This can be attributed to unusually 244 high wind speeds caused by storms in February 2020 (DLR COVID-19, 2020). The first 245 governmental COVID-19 lockdown restrictions went into effect on March 21, 2020. In 246 the period following the lockdown implementation, lower NO_2 values are observed com-247



Figure 2. Mean TROPOMI tropospheric NO₂ column densities for 2019 (first column) and 2020 (second column). The absolute differences in TROPOMI tropospheric NO₂ column densities between 2020 and 2019 (third column).

pared to 2019. In-situ measurements show lower mean O₃ concentrations in January and
June 2020, and higher mean O₃ concentrations from February 1 through May 31, 2020,
compared to 2019 (Figure 3 and S5).

GEOS-Chem model simulations are used to estimate the difference in NO_2 and O_3 251 concentrations between 2020 and 2019 caused by meteorology. Studies (Fiore et al., 2003; 252 R. F. Silvern et al., 2019; Tai et al., 2012) have demonstrated that GC can reproduce 253 the observed temporal variability of NO_2 , O_3 and particulate matter, implying that GC 254 accounts for impacts of meteorology when using precise meteorological data and emis-255 sion inventories. In our study, we also compare the GC and observed concentrations from 256 2019 to verify that the GC can reproduce the temporal variability of observed concen-257 tration changes. The 2019 (January to June) period is used to validate the GC model 258 simulations as unlike 2020 emissions are not affected by changes resulting from COVID 259 measures. To validate the GC model, we compared GC surface concentrations with in-260 situ surface concentrations, and GC column densities with TROPOMI column densities 261 (Figure S6, for cologne metropolitan area). We find good agreement between GC sur-262 face NO₂ concentrations and in-situ surface NO₂ concentrations for eight metropolitan areas (R, pearson correlation coefficient, > 0.65, with high R (0.75) for Cologne). Sim-264 ilar results were obtained for GC surface O_3 concentrations, (R > 0.65, with a high R 265 (0.74) for Dresden). GC underestimates NO₂ surface concentrations, except for Ham-266 burg. The mean bias (GC - in-situ) ranges from +2.9 to -23 %. Except for Hamburg and 267 Hanover, GC overestimates surface O_3 concentrations, with mean bias ranges from +24268 to -10.3 %. When comparing 2019 GC and TROPOMI NO₂ column densities, relatively 269 low correlation (R, between 0.24 and 0.55) was found, and the NO₂ column densities in 270 metropolitan areas were underestimated by GC (mean bias ranges from -4 to -28 %). How-271 ever, the GC model is capable of modeling the spatial variability of NO_2 column densities at the national scale, emphasizing GC's ability to represent the distribution of emis-273 sion source locations (Figure S7). The over/under estimation of NO_2 and O_3 concen-274 trations are caused by the emission inventory (over/under estimation of emission) used 275 in GC simulation. The low bias in NO_2 and high bias in O_3 could be consistent with NO_X 276 saturated conditions. Because we use the difference in GC concentrations between 2020 277 and 2019 ($\Delta \operatorname{NO}_{2(GC)}$ and $\Delta \operatorname{O}_{3(GC)}$), general biases are cancelled out. 278

Due to the passage of two strong storm systems February 2020 experienced high 279 winds. We consider the period from February 1 to March 20, 2020 (prior to the imple-280 mentation of lockdown restrictions) to determine the extent to which meteorology is re-281 sponsible for variations in pollutant concentrations. Before accounting for meteorology, 282 the difference in mean in-situ NO_2 concentration between 2020 and 2019 is -28 % for the 283 period February 1 and March 20. After accounting for meteorology, the difference is re-284 duced to -6 % (consistent with meteorology accounted changes for the period between 285 January 1 and January 31, 2020 compared to 2019, figure 1 (a,b,c,d)). This emphasizes 286 the significance of employing our method to account for meteorological impacts. The im-287 pacts of meteorology on in-situ and TROPOMI NO₂ concentrations are relatively small 288 (+0.4% and -0.6%, respectively) for the period between March 21 and June 30, 2020 289 (the period after the implementation of lockdown restrictions). After accounting for me-290 teorology, the mean in-situ and TROPOMI NO₂ values between March 21 and June 30, 291 2020 were significantly lower (by 23 % and 16 %, respectively) than the same period in 292 2019 (Figure 3, (f, h, j)). Other studies (Barré et al., 2020; Grange et al., 2020; Solberg 293 et al., 2021) that used a machine learning and statistical approach to account for me-294 teorological impacts also found that the impact of the COVID-19 pandemic on NO_X emis-295 sions lasted at least until June 2020. After accounting for meteorology, we observed a 296 slight increase in mean in-situ O_3 concentration between March 21 and May 31, 2020 297 (consistent with Deroubaix et al. (2021); Ordóñez et al. (2020)), and a slight decrease 298 in mean in-situ O_3 concentration in June 2020 compared to 2019. In our study areas (metropoli-299 tan areas), the impact of meteorological conditions on in-situ O_3 concentrations are clearly 300 noticeable in all periods. Meteorological conditions were favorable for high O_3 concen-301



Figure 3. Mean relative changes in meteorological impacts unaccounted (left column) and accounted (right column) NO₂ and O₃ concentrations in eight metropolitan cities between 2020 and 2019. Error bars represent the 1 σ (standard deviation) of mean of eight metropolitan cities.

trations between February 1 and May 31, 2020 (consistent with Gaubert et al. (2021)). 302 while meteorological conditions were favorable for low O_3 concentrations in January and 303 June 2020. For instance, before accounting for meteorology, mean O_3 concentration in 304 June 2020 is 16.5 % lower than in 2019, which could be attributed to the low temper-305 ature (less ozone production) in June 2020 (Figure S8 (j)). After accounting for mete-306 orology, the difference between mean O_3 concentrations in June 2020 and the same pe-307 riod in 2019 is reduced to -3 %. Meteorology had a different impact on NO₂ and O₃ lev-308 els and this impact also varied for different time periods. This demonstrates the com-309 plex relationship between O_3 , NO_2 , and meteorological conditions. 310

We found large discrepancies between in-situ and TROPOMI NO_2 changes for the 311 study period. It is important to note that the number of TROPOMI cloud-free measure-312 ments between 2020 and 2019 may have an impact on results (for Munich, TROPOMI 313 measurements are available for 269 days out of 363 days). In addition, the TROPOMI 314 overpass occurs at 13.30 local time, which may make it less sensitive to traffic-related 315 emissions (peak in the morning from 7-9 am and evening from 4-7 pm). We conducted 316 two comparisons between 2019 in-situ NO₂ and TROPOMI NO₂ measurements to de-317 termine whether the TROPOMI measurements (overpass occurs around 13.30) could rep-318 resent traffic-related emissions. First, we compare the mean 24 hour in-situ NO_2 to the 319 TROPOMI NO₂ observation. Second, we compare the in-situ NO₂ at the time of TROPOMI 320 overpass with the TROPOMI NO_2 , which should have better agreement. We use the em-321 pirical relationship (Lorente et al., 2019) that includes boundary layer information to con-322 vert the surface concentration to column density. The TROPOMI observations agree well 323 with the in-situ observations at the TROPOMI overpass time (mean bias (TROPOMI 324 - in-situ) is about -13 %), whereas TROPOMI underestimates NO₂ compared to the 24-325 hr mean in-situ value (mean bias is about -41.5 %) (Figure S9, for Munich). This indi-326 cates that TROPOMI is not suitable to directly represent the 24-hr mean (daily concen-327 tration), which could lead to errors in estimating lockdown effects, because the lockdown 328 primarily reduced traffic-related emissions. Furthermore, the observed satellite column 329 concentration is certainly influenced by the background concentration. The free tropo-330 spheric background contributes 70-80 % of the total column observed via satellite (R. Sil-331 vern et al., 2018; Travis et al., 2016). R. F. Silvern et al. (2019) and Qu et al. (2021) demon-332 strate the importance of accounting for the influence of free tropospheric NO_2 background 333 on satellite column measurements to infer the changes in surface NO_X emission. The pri-334 mary sources of background NO_2 are lightning, soil, wildfires and long-range transport 335 of pollution (L. Zhang et al., 2012), which are unaffected by lockdown restrictions. The 336 contribution from soil has been shown to increase up to 27 % of total NO_X emissions 337 at elevated temperatures (Butterbach-Bahl et al., 2001) (discussed below). In addition, 338 subtracting the contribution of the NO_2 background from satellite column observation 339 is complex, because of its non-uniformity (Marais et al., 2018, 2021), thus, using column 340 measurements is challenging for estimates of local changes in NO_2 emissions. In contrast 341 to satellite column measurements, background NO_2 has little influence (5-10 %) on in-342 situ surface NO₂ concentrations (R. F. Silvern et al., 2019). The discrepancies between 343 in-situ and TROPOMI changes primarily results from unaccounted background NO_2 in-344 fluence on the satellite observation and that TROPOMI's overpass time makes it less sen-345 sitive to overall diurnal emissions. These discrepancies limit the use of satellite column 346 measurements to infer the surface NO_X emission changes. 347

The NO_2 column densities in rural locations were also investigated. During the 2020 348 stricter lockdown period, after accounting for meteorology, slightly increased NO_2 ver-349 tical column densities over North-West Germany are observed compared to 2019 (fig-350 ure 4 (c)). We hypothesise that this is due to enhanced soil NO_X emissions over North-351 West Germany in the 2020 stricter lockdown period (associated with increased temper-352 ature over North-West Germany (Figure S8 (f)); soil NO_X emissions typically increase 353 with temperature (Oikawa et al., 2015). Soil NO_X emissions are expected to be high in 354 the early spring (stricter lockdown period), even though the average temperature in May 355



Figure 4. The absolute difference in TROPOMI (a) and GEOS-Chem (b) NO₂ column densities between 2020 and 2019 (stricter lockdown period: March 21 to April 30). The absolute difference between first two columns is shown in panel (c).

and June is higher than in the stricter lockdown period, because agricultural practices 356 such as fertilizer application begin and end in the early spring (Ramanantenasoa et al., 357 2018; Viatte et al., 2020). Fertilized soils have high potential for NO_X emissions (Almaraz 358 et al., 2018; S. Liu et al., 2017; Skiba et al., 2021). Figure S11 shows the monthly mean 359 NH_3 total column densities over Germany. High NH_3 total column densities were ob-360 served in April as agricultural practices (fertilizer application) began in the early spring. 361 Notably, strong enhancements were observed over North-West Germany. The total col-362 umn of NH_3 over North-West Germany in 2020 (strict lockdown period) is higher than 363 in 2019 (Figure S12). This supports our hypothesis that North-West Germany, which 364 is dominated by Grass and Crop land (ESA CCI, 2020), is an agricultural region, with 365 fertilized soil producing NO_X in elevated-temperature environments. 366

$_{367}$ 5 Ozone sensitivity to NO_X changes

Like previous studies that reported the urban NO_2 weekly cycle (Beirle et al., 2003; 368 Ialongo et al., 2020), we also investigate this at the national (Germany) and urban scale 369 (Figure S13 & S14). Both TROPOMI and in-situ NO₂ measurements show that week-370 end NO_2 concentrations are lower than weekday NO_2 concentrations, because primary 371 emission activities such as transportation typically decrease on weekends. Studies (Sicard 372 et al., 2020; Wang et al., 2014) have demonstrated that analysing the difference in week-373 day vs weekend O_3 concentrations helps identify the ozone production regime. As NO_X 374 emissions decrease on weekends, the response of ozone will demonstrate whether ozone 375 production is NO_X limited or saturated. Hammer et al. (2002); Gaubert et al. (2021) 376 used the H_2O_2/HNO_3 ratio and Sillman et al. (2003) used the O_3/NO_u ratio as a way 377 to identify the ozone production regime over Europe urban regions. Previous studies (Beekmann 378 & Vautard, 2010; Derwent et al., 2003; Gabusi & Volta, 2005; Gaubert et al., 2021; Mar-379 tin et al., 2004) have demonstrated that European urban regions are characterized as 380 NO_X saturated ozone production regime. The influence of biogenic VOC emissions on 381 ozone is relatively low in Europe (Curci et al., 2009; Simpson, 1995). There also is a shift 382 between NO_X saturated and NO_X limited regimes during the year; in the winter, ozone 383 production is usually NO_X saturated, whereas it is often NO_X limited in the summer 384 (Jin et al., 2017). The winter and spring O_3 weekend effect is much stronger than the 385 summer O_3 weekend effect (Figure 5, for Munich metropolitan area); reduced NO_X emis-386 sion on weekends increase O_3 concentrations, i.e., NO_X saturated conditions prevail, con-387 sistent with above mentioned previous studies, which shows that NO_X saturated con-388 ditions persist to the current time period. Therefore, German metropolitan areas are ex-389 pected to be in a NO_X saturated ozone production regime also during the initial 2020 390 COVID-19 pandemic period. Notably, we found an increase (4 %) in meteorology ac-391



Relative change between Weekend and Weekday

Figure 5. Mean relative change in in-situ NO₂ and O₃ concentrations in Munich between weekends and weekdays. Error bars represent statistical uncertainty (1σ) in the calculation of relative change between weekend and weekday means.

counted for mean in-situ O_3 concentrations in spring (March 21 and May 31, 2020) and 392 a slight decrease (3 %) in meteorology accounted for mean in-situ O₃ concentrations in 393 early summer (June, 2020) compared to the same period in 2019. This implies that the 394 degree of NO_X saturation of ozone production is weakening from winter to summer (con-395 sistent with weekend effects and Jin et al. (2017); Kang et al. (2021)). During the lock-396 down period, the daily maximum 8-hour mean O_3 concentration in metropolitan areas 397 also exceeded the EU target value $(120 \ \mu g/m^3)$ (2 days in Munich, Berlin, Cologne, Stuttgart 398 metropolitan areas). These exceedances are more attributable to NO_X saturated con-399 ditions than to meteorology. 400

401 6 Conclusions

A year-to-year comparison of atmospheric pollutant concentrations is widely used 402 to estimate the influence of reductions in anthropogenic emissions on atmospheric pol-403 lutant concentration changes during the COVID-19 pandemic period. However, these 404 findings could be misleading if meteorological impacts are not taken into account. We 405 used identical anthropogenic emissions in 2020 and 2019 in GEOS-Chem model simu-406 lations, allowing us to separate the changes in NO_2 and O_3 attributed to meteorolog-407 ical impacts from the observed changes. Finally, we show that, due to reductions in an-408 thropogenic emissions during the COVID-19 pandemic period, meteorology accounted 409 for mean in-situ & TROPOMI NO₂ concentrations decreased by 23 % & 16 %, respec-410 tively, compared to 2019, in eight German metropolitan cities between March 21 and June 411 30. After accounting for meteorology, we find a nationwide decrease in TROPOMI NO_2 412 concentrations except for North-West Germany, which can be attributed to enhanced 413

⁴¹⁴ NO_X emissions from agricultural soils during the 2020 stricter lockdown period. We hy-⁴¹⁵ pothesise that North-West Germany is a hot spot of soil NO_X emissions in elevated-temperature ⁴¹⁶ environments due to intensive agricultural practices (fertilizer applications) during the ⁴¹⁷ early spring. The IASI NH₃ satellite data also supports our statement that North-West ⁴¹⁸ Germany is an intensive agricultural region during the early spring.

After accounting for meteorology, the concentration of O_3 increased slightly (4 %) 419 during the 2020 spring lockdown while it decreased slightly (3%) during the 2020 early 420 summer lockdown, in response to decreased NO_2 in both time periods, compared to 2019. 421 422 This implies that the degree of NO_X saturation of ozone production is weakening from winter to summer. These findings are also supported by the response of O_3 to changes 423 in precursor emissions using weekend vs. weekday differences. Therefore, reducing NO_X 424 emissions would benefit summer ozone reduction, whereas reducing NO_X emissions would 425 increase ozone levels during winter and spring. Appropriate NO_X and VOCs emission 426 control strategies are required to mitigate ozone pollution in German metropolitan ar-427 eas during winter and spring; otherwise, it may lead to incorrect environmental regula-428 tion policies that are closely linked to public health. Despite a sharp decrease in emis-429 sions from the transportation sector, emissions from natural sources (dust storms, wild-430 fires) and agriculture sectors were unaffected by 2020 COVID-19 lockdown restrictions. 431 Changes in other pollutants such as PM₁₀, SO₂, CO and anthropogenic VOCs (primary 432 pollutant) and $PM_{2.5}$ (secondary pollutant) may provide further insight on air quality 433 during the COVID-19 pandemic period. Extensive studies on air quality during the lock-434 down period could pave the way for an improved understanding of pollution formation. 435 Those findings will be useful in understanding how reductions in primary emissions af-436 fect secondary pollutant formation. 437

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- from UBA's website (https://www.umweltbundesamt.de/en/data). Hourly ERA5 me-
- teorological data are freely available at https://cds.climate.copernicus.eu/

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