# Robotic Light Touch Assists Human Balance Control During Maximum Forward Reaching

Leif Johannsen<sup>®</sup>, RWTH Aachen University, Germany, and University of East Anglia, Norwich, United Kingdom, Karna Potwar, Technical University Munich, Germany, Matteo Saveriano, University of Innsbruck, Austria, Satoshi Endo, Technical University Munich, Germany, and Dongheui Lee, Technical University Munich, Germany, and German Aerospace Center (DLR), Weßling, Germany

**Objective:** We investigated how light interpersonal touch (IPT) provided by a robotic system supports human individuals performing a challenging balance task compared to IPT provided by a human partner.

**Background:** IPT augments the control of body balance in contact receivers without a provision of mechanical body weight support. The nature of the processes governing the social haptic interaction, whether they are predominantly reactive or predictive, is uncertain.

**Method:** Ten healthy adult individuals performed maximum forward reaching (MFR) without visual feedback while standing upright. We evaluated their control of reaching behavior and of body balance during IPT provided by either another human individual or by a robotic system in two alternative control modes (reactive vs. predictive).

**Results:** Reaching amplitude was not altered by any condition but all IPT conditions showed reduced body sway in the MFR end-state. Changes in reaching behavior under robotic IPT conditions, such as lower speed and straighter direction, were linked to reduced body sway. An Index of Performance expressed a potential trade-off between speed and accuracy with lower bitrate in the IPT conditions.

**Conclusion:** The robotic IPT system was as supportive as human IPT. Robotic IPT seemed to afford more specific adjustments in the human contact receiver, such as trading reduced speed for increased accuracy, to meet the intrinsic demands and constraints of the robotic system or the demands of the social context when in contact with a human contact provider.

**Keywords:** interpersonal light touch, robotic assistance, body balance, forward reaching

#### HUMAN FACTORS

Vol. 00, No. 0, Month XXXX, pp. 1-13 DOI:10.1177/0018720820950534 Article reuse guidelines: sagepub.com/journals-permissions Copyright © 2020, Human Factors and Ergonomics Society.

#### INTRODUCTION

If robotic systems are envisaged as the solution to future shortages in clinical staff and caregivers when aiming to augment patients' mobility by a provision of balance support, they must show responsiveness to the social constraints and demands, which govern any physical interaction between a patient and a human carer. Therefore, principles of human-human interactions during physical interactions need to be extracted and evaluated in terms of their transferability to human-robot interactions. When caregivers and therapists routinely provide physical assistance to balance-impaired individuals, they attempt to prevent long-term habitual dependency of a patient on external balance aids and other forms of support. Thus, a therapist aims to adopt an optimum level of postural assistance that maximizes a patient's movement autonomy ("assist-as-needed"). One possible approach is the provision of deliberately light interpersonal touch (IPT), which reduces body sway in quiet standing in neurological patients with impaired postural stability (Johannsen et al., 2017). In such an interpersonal postural context, the contact receiver (CR) experiences haptic contact passively with little or no possibility to influence the interaction due to their greater motion-task constraints compared to those of the contact provider (CP). Not only the movement degrees of freedom available to each individual during IPT, but also the relative postural stability of both partners determines the strength of the interpersonal postural coordination and the individual benefit of IPT, with more enhanced postural stability in the intrinsically less stable person (Johannsen et al., 2012).

Address correspondence to Leif Johannsen, Cognitive and Experimental Psychology, Institute of Psychology, RWTH Aachen University, Aachen, Germany; e-mail: Leif. Johannsen@psych.rwth-aachen.de

To explore the interdependencies between CR and CP during IPT in more detail, we evaluated performance in maximum forward reaching (MFR) with and without light IPT applied to the ulnar side of the wrist of blindfolded CR's extended arm intended to provide a social haptic cue and impose social coordinative constraints on both the CR and the CP (Steinl & Johannsen, 2017). Interestingly, IPT reduced sway more effectively when the CP had the eyes closed and their perception of CR's motion was based on haptic feedback alone. In contrast, IPT with open eyes did not result in reduced sway compared with a condition in which IPT was not provided (Steinl & Johannsen, 2017). Minimization of the interaction forces and their variability at the contact location during IPT might act as an implicit task constraint and shared goal between both partners (Knoblich & Jordan, 2003). This goal might afford predictive sway control in each individual and consequently led to in-phase interpersonal postural coordination with an average zero lag but also minimization of the variability of the interaction force (Johannsen et al., 2009, 2012).

In the present study, we intended to contrast the effects of human IPT (hIPT) on CR's postural performance against the effects of two different modes of robotic IPT (rIPT) and expected specific costs and benefits on body sway and postural performance due to the robotic response modes. Similar to hIPT, rIPT was applied in a "fingertip touch" fashion to CR's wrist without any mechanical coupling or weight support. The robotic system either followed a participant reactively or predicted a participant's movement trajectory. As the coupling between two humans with IPT in terms of the interaction forces is intrinsically more noisy due to each individual's motion dynamics and response delays, we expected that a predictive mode of the robotic system would result in a less noisy haptic coupling and therefore enhance performance in the MFR task, such as greater reaching distance with less body sway. In addition, the reactive mode of the robot was supposed to be advantageous over hIPT due to the fixed response delay, which would enable participants to extract own movement-related information from the interaction forces for balance control.

## METHODS

## Participants

We tested 10 healthy young adults (average age = 28.5, *SD* 3.35 years, 3 females and 7 males) as CR performing a MFR task. Participants were not affected by any neurological or orthopedic indications. Participants were recruited as an opportunity sample from students of the university. The study was approved by the ethical committee of the medical faculty of the TU Munich and all participants gave written informed consent.

## **Equipment and Experimental Procedure**

CRs stood blindfolded on a force plate (Bertec 4060, Columbus, OH, USA; 500 Hz) in normal bipedal stance (lateral distance between feet was 24 cm) performing the MFR task. CR's body sway was determined in terms of the anteroposterior (AP) and mediolateral (ML) components of the Center of Pressure (CoP), as derived from the six components of the ground reaction forces and moments. Before the start of a trial, CRs stood in a relaxed manner, the right arm extended at shoulder height to reach horizontally above a height-adjusted table. The table provided emergency mechanical support in case of a balance loss but, apart from that, touching the tabletop's surface was not allowed. It also served as a lower boundary constraint keeping participants' hand movements on the same height and preventing drastic changes in the postural strategy, such as increased knee bend, to better enable contact provision in the rIPT conditions. Any explicit instructions for a specific movement strategy were not provided, but CRs had to remain static for at least 5 s (baseline) until an auditory signal cued the start of an MFR trial and then to reach quickly but safely as far forward as possible by bending the torso (Figure 1a).

One healthy adult, male CP applied light IPT to the CR's ulnar side of the wrist with the right extended arm. During IPT, the CP stood in bipedal stance between the CR's force plate and the table, parallel to the reaching direction facing the CR orthogonally. The CP kept the eyes open to receive visual cues of the CR's motion as would the robotic systems by optical motion



*Figure 1.* Experimental setup. (a) Execution of the maximum forward reach task with human interpersonal touch (hIPT) support. (b) Robotic IPT without mechanical coupling in hybrid force-position control.

tracking and the CP did wear a thin rubber glove to provide a tactile sensation for the CR similar to rIPT where the end effector of the robot had a rubber surface.

In a pilot experiment, 12 participants were tested in a similar experimental setup but with a force-torque transducer (ATI Nano 17, Apex, NC, USA; 500 Hz) embedded in a wrist bracelet of the extended arm. It was used to acquire the forces and moment in three directions at the contact location during hIPT. Force recordings indicated an average absolute normal interaction force of .15 N (*SD* 0.14) between the CR and the CP, which is lower than the .3 N applied in the rIPT conditions. By the CP being required to grasp a rod mounted onto the force-torque transducer, the wrist bracelet created an unnatural interpersonal link so that it was not used in the hIPT condition of the present study.

During the robotic IPT conditions, a single KUKA LWR4 +manipulator (Augsburg, Germany) served as the CP. The CR's wrist was tracked by the end effector of the robotic system without any mechanical coupling keeping the relative orthogonal distance constant (Figure 1b). The robotic system provided contact via a hemispherical rubber pad attached to a force sensor (OptoForce 3D OMD, OnRobot. Odense, Denmark; 500 Hz) at the end of an "artificial finger." The CR's wrist position was tracked by an optoelectronic motion capture system (OptiTrack, NaturalPoint, Corvallis, OR, USA; 100 Hz) by placing three reflective markers on the CR's right hand (one on the caput ulnae/processus styloideus radii/basis, and two on the ossa metacarpi). The robotic control scheme required high control frequencies to avoid unstable behaviors (Siciliano et al., 2009) and therefore was also controlled at 500 Hz. Hence, motion-tracking data were upsampled in real time to match the robot control frequency.

Three modes of IPT provision were contrasted: hIPT, rIPT with reactively following the participant's movements (rIPTfollow), rIPT with anticipation of the participant's movements (rIPTanticip). The three IPT conditions were assessed in blocks of five trials. The order of the blocked conditions was fully randomized, and each single trial lasted 20 s. Out of a total of 200 trials, 13 trials failed to track the CR's hand and are excluded from the analysis.

## Data Reduction

All data post-processing was conducted in Matlab (2016b) (Mathworks, Natick, MA, USA). Kinematic and force-torque sensor data were spline-interpolated to 500 Hz and subsequently merged with the force plate recordings. The data were smoothed using a generic dual-pass, 4th order Butterworth low-pass filter with a cut-off frequency of 10 Hz. CoP and marker data were differentiated to yield velocity. Each trial was segmented into three phases of the MFR (baseline phase, reaching phase, and MFR end-state; Figure 2 ) based on the AP position of the CR's wrist marker. Reach onset



*Figure 2.* Typical profiles of kinematic and dynamic variables illustrated by data of a single trial for a single participant. Maximum forward reaching (MFR) of the hand marker divided into three phases and the corresponding Centre-of-Pressure (CoP) position, CoP velocity (dCoP), and horizontal shear force in the anteroposterior (AP) direction. Especially, dCoP and the shear force panels well demonstrate the balance challenge imposed by holding a static but unstable posture in the MFR end-state.

was determined as the first frame that exceeded four standard deviations of AP wrist position within the initial 3 s baseline. Stop of forward reaching was determined as the velocity zerocrossing closest to 95% of the absolute maximum reach distance. For the description of the motion dynamics in the MFR end-state, time series data until the end of a trial was used (>10 s).

Several performance measures were selected to characterize participants' movement patterns. Reaching performance was analyzed in the horizontal plane only with two parameters: amplitude and directional angle. Maximum amplitude was determined as the difference between the wrist's average position in the baseline phase and in the MFR end-state. As additional characteristics, curvature in terms of the normalized path length (path length/amplitude), the average and standard deviation of reaching velocity were extracted. In order to quantify the efficiency of balance control, we determined the horizontal CoP amplitude and variability in the MFR end-state as well as calculated the standard deviation of CoP velocity (SD dCoP) as a variability measure for both directions in each reaching phase, as velocity information is predominant for body sway control (Delignières et al., 2011, Jeka et al., 2004; Masani et al., 2003, 2014).

In order to evaluate a potential speedaccuracy tradeoff, we calculated an Index of Performance (IoP) for the control of CoP in the AP direction based on a modification of Fitts and Peterson's IoP (Bootsma et al., 2004; Fitts & Peterson, 1964). Duarte et al. (Danion et al., 1999; Duarte & Freitas, 2005) applied Fitts' law to the balance domain. The unit of the IoP is bit/s (bitrate) and expresses the informational "throughput" of a participant during the movement. An increased IoP resembles greater processing "bandwidth." The IoP was derived from the Index of Difficulty (IoD) over movement time (MT). The IoD is equal to the base 2 logarithm of double the CoP amplitude (ACoP) over the effective dispersion of CoP (WCoP) in the MFR end-state. The averaged standard deviation of CoP position in the AP direction was used as a measure of CoP dispersion. An increased IoD would indicate greater amplitude

for a given CoP variability or reduced CoP variability at a given amplitude.

Index of Difficulty (IoD) = log 2 
$$\left(\frac{2*ACoP}{WCoP}\right)$$
  
Index of Performance (IoP) =  $\frac{IoD}{MT}$ 

## **Statistical Analysis**

The statistical analysis was conducted in R 3.6.1 (RStudio v1.1.456). All performance parameters were log-linearized before statistical analysis to approximate normal distribution. A linear mixed model with IPT condition as four-leveled within-subject factor including participant as random effect was applied using maximum likelihood estimation (lmer function of the lme4 package v1.1-21). For each performance parameter, an  $\alpha$  level of .05 was used to test for statistical significance of the main effect of IPT condition. In case that error probability fell below the alpha level, three additional post-hoc comparisons were computed (Helmert contrasts): (1) contrasting the two robotic IPT conditions (rIPTanticip vs. rIPTfollow), (2) contrasting human against robotic IPT (hIPT vs. both rIPT combined), (3) and all IPT combined against No IPT. We applied a corrected α level of .017 to evaluate the statistical significance of the individual contrasts. Effect sizes (d) for mixed-effects models were calculated for the pairwise comparisons (Brysbaert & Stevens, 2018; Westfall et al., 2014).

## **Robotic Control**

Both the robot end-effector position and the interaction force were actively controlled using a hybrid force-position controller based on the prediction of the CR's wrist motion. A Linear Kalman Filter (LKF; Kalman, 1960) with a constant velocity model was exploited to generate a reference for the participant's wrist trajectory. A constant velocity LKF assumes that the motion is generated by the discrete linear system

$$s(t+1) = \begin{bmatrix} p_{KF}(t+1) \\ v_{KF}(t+1) \end{bmatrix} = \begin{bmatrix} I & \Delta t I \\ 0 & I \end{bmatrix} \begin{bmatrix} p_{KF}(t) \\ v_{KF}(t) \end{bmatrix} + \eta$$
$$= Fs(t) + \eta,$$



*Figure 3.* Kalman filtered hand position during maximum forward reaching (MFR). (a) Predicted and measured hand position during MFR for anticipatory robotic interpersonal touch (rIPT) in the anteroposterior (AP) direction. (b) Estimated and measured hand position during MFR for rIPT in follower mode in the AP direction.

where the state vector s(t) contains the Kalman-estimated wrist position  $p_{KF}(t)$  and velocity  $v_{KF}(t)$ , I is an identity matrix,  $\Delta t$  is the sampling time, and  $\eta$  is an additive Gaussian noise. The LKF predicts the next state  $s_{KF}(t) = [p_{KF}(t)^{T} v_{KF}(t)^{T}]^{T} = Fs(t-1) + y(t)$ , where the correction term y(t) is computed as in Kalman (1960) and it depends on the measured wrist position. In our setup, the correction term was set to y(t) = 0 until a new measure of the wrist position was available. In this way, the predicted position  $p_{KF}(t)$  controlled the robotic system and realized the two different robotic modes. To implement the rIPT follow mode, the position  $p_{KF}(t)$  (position error: AP - .010218 m, ML - .004994 m; Figure 3b) predicted by the LFK at the actual time instant t was used to generate the control command described above. In this way, the robotic system followed the wrist position with one sample delay (10 ms). To generate the rIPTanticip mode, the LKF was exploited to make a one step prediction of the wrist position. In particular, the predicted future position  $p_{KF}(t+1) = F P_{KF}(t)$ (position error: AP – 0.012256, ML – .007164 m; Figure 3a) was used to generate the control command. In this way, the robot was anticipating the human motion by one sample (10 ms), thereby leading the movement execution.

The robotic system was controlled to exert a maximum of 1 N force along the ML and vertical directions (force-controlled directions),

while tracking the hand motion along the AP axis (position-controlled direction). The force  $f_m = [f_{m,y} f_{m,y} f_{m,z}]^T$  measured at the contact point and the CR's Kalman-estimated wrist position  $p_{KF} = [p_{KF,x} p_{KF,y} p_{KF,z}]^{T}$  were used to define the desired position of the robot endeffector as  $p_x = p_{KF,x} + k_f (f_{m,x} - f_{des})$  and  $p_z = p_{KF,z} + k_f (f_{m,z} - f_{des})$ . The desired contact force  $f_{des}$  was set to .3 N and the gain  $k_f$  was set to .00004 m/N, thus regulating the robot motion at the speed of 2.5 mm/s for  $f_{m,i} - f_{des} = 1N$ at the update cycle. For the AP direction, the desired robot position was  $p_v = p_{KF,v}$ . Roughly speaking, the presented controller was adding a delta of position  $k_f(f_m - f_{des})$  to ML and vertical directions if the measured force was different than  $f_{des} = .3$  N. If the measured force was larger than .3 N, the delta of position was negative and the robot moved slightly back to reduce the force. If the measured force was smaller than .3 N, the delta of the position was positive and the robot pushed slightly against the CR's wrist to remain in contact. In this way, the end-effector kept in contact with the user's wrist while maintaining low interaction forces. The forces were not different between the two rIPT modes. As expected, the average contact force was only slightly higher than the prespecified value of .3N (mean force = .32 N, SD 0.05; rIPTfollow: mean = .31, SD 0.04; rIPTanticip: mean = .32 N, SD 0.05).

		Main Effect	Pairwise Comparison		
	Variable	IPT Condition F(3,30); p	No IPT vs. IPT	hIPT vs. Both rIPT <i>T</i> (30); <i>p</i> ; d	rIPTAnticip vs. rIPTFollow
Reaching performance	Reaching amplitude	2.32; .10	-	-	-
	CoP displacement amplitude	0.99; .41	-	-	-
	Angular deviation	3.17; .04	-2.04; .05; .13	-2.29; .03; .20	n.s.; .05
	Curvature index	<b>24.88; &lt;</b> .001	8.52; <.001; .54	n.s.; .06	n.s.; .19
	AV reaching velocity	<b>11.41; &lt;</b> .001	5.02; <.001; .21	3.00; .006; .18	n.s.; .03
	SD reaching velocity	<b>14.48; &lt;</b> .001	4.40; <.001; .14	4.88; <.001; .22	n.s.; .04
Body sway (SD dCoP)	Baseline (AP)	<b>8.81; &lt;</b> .001	4.53; <.001; .28	2.40; .02; .21	n.s.; .05
	Baseline (ML)	2.79; .06	-	-	-
	Reaching (AP)	<b>17.97; &lt;</b> .001	5.97; <.001; .26	3.99; <.001; .24	n.s.; .16
	Reaching (ML)	<b>11.96; &lt;</b> .001	4.98; <.001; .16	3.08; .004; .14	n.s.; .10
	MFR end-state (AP)	4.22; .01	3.53; .001; .20	n.s.; .03	n.s.; .03
	MFR end-state (ML)	1.63; .20	-	-	-
Efficiency of body sway control	Index of Difficulty (CoP)	1.09; .37	-	-	-
	Index of Performance (CoP)	6.99; .001	4.20; <.001; 0.15	n.s.; 0.10	n.s.; 0.001

TABLE 1: Summary of All	Statistical	Tests and	Comparisons
-------------------------	-------------	-----------	-------------

Note. IPT = interpersonal touch; hIPT = human IPT; rIPTanticip = robotic IPT anticipating; rIPTfollow = robotic IPT following; SD dCoP = standard deviation of centre-of-pressure velocity; AP = anteroposterior; ML = mediolateral; MFR = maximum forward reach. + = marginally significant; n.s. = not significant. Main effect  $\alpha$  level is .05,  $\alpha$  level for the single comparisons is .017. Significant effects are printed in bold.

#### RESULTS

Table 1 summarizes the statistical results of all main effects and single comparisons. The MFR amplitudes for the trajectories of hand and CoP in the horizontal plane were not affected by any IPT condition. All three IPT conditions resulted in comparable amplitudes for the hand (rIPTfollow: mean = 35.1 cm, SD 3.9; rIPTanticip: mean = 35.4 cm, SD 4.5; hIPT: mean = 35.8 cm, SD 5.1; No IPT: mean = 36.8 cm, SD 4.6) and CoP (rIPTfollow: mean = 6.7 cm, SD 2.3; rIPTanticip: mean = 6.5 cm, SD 2.8; hIPT: mean = 6.3 cm, SD 2.7; No IPT: mean = 6.5 cm, SD 2.7) compared to No IPT.

Average (Figure 4a) and the variability of planar reaching velocity (Figure 4b) of the wrist were lower in both rIPT conditions compared to hIPT and in all IPT conditions compared to No IPT. The directional angle of reaching in the horizontal plane tended to show less deviation from the AP axis in the rIPT conditions than in hIPT and No IPT (Figure 4c). The planar curvature index in terms of the normalized path length indicated straighter reaching in all three IPT conditions compared to No IPT (Figure 4d).

In the ML direction in the baseline phase and the MFR end-state, sway variability was not different between the four IPT conditions (Figure 5). During the reaching, however, ML sway variability was reduced in both conditions involving rIPT compared to hIPT and all three IPT conditions compared to No IPT. In the AP direction on the other hand, all three IPT conditions showed reduced sway compared to No ITP across the baseline phase, the reaching, and the MFR endstate. In addition, rIPT showed less sway than



*Figure 4.* Parameters of reaching performance as a function of the interpersonal touch (IPT) condition: (a) average planar velocity of the hand, (b) variability of planar velocity of the hand, (c) average deviation from a straight line linking the start to the end positions, (d) curvature index in terms of the normalized path length of reaching. Error bars show the standard error of the mean across participants. Horizontal brackets indicate significant within-subject post-hoc single comparisons (+p < .05 and p > .017; \*\*\*p < .001). hIPT: human IPT; rIPTanticip: anticipatory robotic IPT; rIPTfollow: robotic IPT in follower mode.

hIPT during reaching and a tendency for a reduction in the baseline phase (Figure 5).

The IoD did not differ between the four IPT conditions (Figure 6a), while the IoP indicated a lower bitrate in the three IPT conditions compared to No IPT (Figure 6b).

## DISCUSSION

Our study contrasted the effects of deliberately light IPT received by a robotic system on the control of movements and body balance during MFR in healthy young adults. Changes in spontaneous MFR behavior and body sway were assessed as a function of the robotic system's mode of control (follower vs. anticipation) with respect to the CR's movements. Although we assumed that participants would not be able to consciously perceive any difference between the anticipatory and follower rIPT modes, we nevertheless expected subtle, spontaneous alterations in their MFR behavior indicative of a performance facilitation at best or a disruption in the worst case. Unexpectedly, no differences between the two rIPT modes were observed. In addition, rIPT demonstrated effects comparable to hIPT with respect to body sway in the baseline, reaching phase, and MFR end-state. All three IPT conditions resulted in increased stability in the AP direction. The achieved amplitude, however, was not different from the amplitude achieved without IPT.

## **Reaching Performance and Body Sway**

Augmentation of perceived self-motion relative to the environment by light, mechanically nonsupportive tactile contact with an earthfixed reference improves body equilibrium and postural control (Holden et al., 1994; Jeka &



*Figure 5.* Body sway in terms of the standard deviation of Centre-of-Pressure velocity (SD dCoP) as a function of the interpersonal touch (IPT) condition in the anterior-posterior (AP) and mediolateral (ML) direction in all three phases of the maximum forward reaching (MFR) task. Error bars show the standard error of the mean across participants. Full horizontal brackets indicate significant within-subject post-hoc single comparisons (+p < .05 and p > .017; \*\*\*p < .001). hIPT: human IPT; rIPTanticip: anticipatory robotic IPT; rIPTfollow: robotic IPT in follower mode.



*Figure 6.* Index of difficulty (a) and Index of performance (b) for CoP motion in each IPT condition for both directions. Error bars show the standard error of the mean across participants. Full horizontal brackets indicate significant within-subject post-hoc single comparisons (\*\*\*p < .001). hIPT: human IPT; rIPTanticip: anticipatory robotic IPT; rIPTfollow: robotic IPT in follower mode.

Lackner, 1994). Touch can also be utilized to stabilize body sway when the tactile contact is received passively (Krishnamoorthy et al., 2002; Rogers et al., 2001). Lightly touching an oscillating contact shows strong effects in terms of body sway entrainment and can be used to drive an individual's body sway in quiet standing (Jeka et al., 1997, 1998; Verite et al., 2013; Wing et al., 2011). Therefore, it may be possible that even in a more dynamic postural context such as the MFR task in the present study, motion of the light touch contact "attracts" swaying motion of the body. For example, subtle forward motion of the contact could be wrongly interpreted as backward sway so that a forward adjustment would follow the contact's lead. This effect could have been more pronounced in the rIPTanticip than the rIPTfollow condition. Although, we have not found any evidence to support this assumption.

An increased MFR amplitude would demonstrate improved confidence in the ability of keeping own body balance stable while approaching one's forward limits of stability (Duncan et al., 1990; Maki & McIlroy, 2006). As we did not observe any difference in reaching amplitude between all four conditions, it also means that IPT provided by a robotic system or a human did neither disrupt nor distract the human CR. This observation corresponds to the previous study, in which hIPT also did not affect reaching distance (Steinl & Johannsen, 2017). On the other hand, a general reduction in MFR velocity and its variability was an obvious change in their behavior when IPT was provided by the human partner or the robotic system. As body sway was reduced in these situations too, these adjustments could reflect a trade-off between speed and accuracy (Fitts, 1954). Participants may have effectively controlled sway variability more carefully to fulfill the task goal of MFR with IPT support in the face of either "hardware" constraints imposed by technical limitations of the robotic system or social constraints imposed by the human partner (Bardy et al., 1999; Scholz & Schöner, 1999). The fact that the IoP indicated narrower informational throughput during the reaching movement in the three IPT conditions compared to No IPT, however, could mean that all IPT conditions

were burdened with an additional processing load. Possibly due to a shift in participants from less to more reactive, feedback-dependent postural control, CRs increased their movement time to adjust their motion more precisely to the current position of the robotic end-effector or the human partner and/or to allow the same to stay in better contact with their own wrist.

## Human–Robotic Movement Coordination

Haptic interactions between caregiver and patient play an prominent role in cooperative and collaborative human-human sensorimotor interactions in physical rehabilitation (Sawers & Ting, 2014). More recently, Haarman et al. (Haarman et al., 2017) investigated the balance-assistive forces applied by therapists to the pelvis of patients during gait training. Using force-torques sensors, they quantified the predominant corrective forces applied by the therapists in the mediolateral direction to both sides of the hips at about 9N, amounting to approximately 2% of participants' body weight. Compared to the forces imposed by the robotic systems in our current study, the forces applied by the therapists are still by magnitudes greater.

In a cooperative physical human-human interactions, the relationship between interaction forces and movement kinematics is important for communicating intended movement direction (Mojtahedi et al., 2017; Sawers et al., 2017; Takagi et al., 2018). Gentry and Murray-Smith (2003) described the influence of haptic signals used for coordination and synchronization in human dancing. Hoelldampf et al., 2010 used interaction forces to adjust and optimize the robot's motion in a system designed for human-robot interactive dancing. Similarly, Chen et al. (2015, 2017) developed a mobile robotic system responsive to interaction forces to practice dance stepping with a human partner. Response gain and compliance of the robot's effectors altered human upper body posture and human-robot coordination. Interestingly, the majority of human partners perceived the robots as following their movements (Chen et al., 2015).

"Assist-as-needed" (Cai et al., 2006) robotic devices will provide corrective forces only if a participant's limb movement kinematics hit the walls of a predefined "virtual tunnel" (Duschau-Wicke et al., 2010) aiming to keep an individual's body or limbs within an initially defined "normal" range. In contrast to this kind of "positive" force feedback, our deliberately light interpersonal touch paradigm could be considered to act with "negative" force feedback. This means that if participants stray from a reaching trajectory, they will perceive a reduction in touch, which might cue them to perform a subtle correction, such as moving toward the contact, with the intention to keep a constant force and to minimize contact force variability. In this sense, the robotic system in our study was controlled according to a similar principle and we believe it imitated the behavior of the CR and CP more naturally. The reaching trajectories were not prespecified within the robotic system but emerged as a compromise between the CR and the respective CP so that the CR's movements remained unconstrained physically.

In this context, it is remarkable that rIPT led to straighter forward reaching trajectories with least amount of medial drift. This could mean that a robotic system is a better haptic "communicator" in the sense that it made participants "listen" more closely to the haptic feedback they received. The dynamics of the robotic system were not independent but a direct consequence of CR's movements. Despite the lack of any real "social cognitive" capabilities of the robotic system, this fact can nevertheless be interpreted as highly precise responsiveness, which a human CP could never match. Possibly, participants interpreted rIPT as a more reliable spatial reference and therefore adjusted their reaching movements more in a feedback-driven manner.

#### Influence of Visual Feedback for CP

The provision of hIPT involved visual feedback or optical tracking of CR's body and movements. In human pairs, the presence of visual feedback with habitual visual dominance is likely to turn the CP into a follower of CR's movement (Steinl & Johannsen, 2017). Assessing human-human as well as humanrobot interactions in a single degree of freedom object manipulation task, Groten et al. (2009a, 2009b) characterized inter-agent dominance as a function of the interaction force with dominance between both partners varying flexibly. Generally speaking, in most physical interactions between 2 human individuals leaderfollower relationships are not necessarily fixed. It seems to be the case, however, that the more adaptive individual, for example the person on whom fewer requirements to fulfill specific movement constraints are imposed, is more likely to take a follower role (Skewes et al., 2015). This interpretation implies that in hIPT the CP coordinated the movements in a reactive fashion as well, potentially in follower mode due to visual dominance.

# Limitations

The results of our study are subject to limitations, such as small sample size limiting not only the possibility to generalize our findings to a wider population of older adults or patients with disturbed body balance. Similarly, our experimental setup and task represent a specific laboratory situation that imposed specific constraints onto participants. As a consequence, the generalizability of our findings to other postural tasks and daily life activities is restricted too. Another limitation is the lack of force recordings in the hIPT condition. As we do not know the absolute interaction forces applied between the CP and CR, it could mean that IPT had not been applied in a light fashion and therefore potentially influenced the CR's movements in some way. We believe, however, that touch had being applied lightly in our hIPT condition as the overall movement pattern observed in hIPT was not dramatically different from either the No IPT or the rIPT conditions in the present study as well as hIPT in a pilot experiment, where the interaction forces and torques were being recorded. Usually, hIPT tended to fall in between No IPT and rIPT, which implies that the mechanical coupling between both partners was not much stronger than in the rIPT conditions. The possibility remains that phases occurred during which contact between the CP and CR was not present. One way to evaluate the movement coupling between both partners would be the recording of both partners' movement dynamics. Unfortunately, our setup was limited to the acquisition of only CR's motion for the lack of a second force plate and more extensive motion capture coverage.

# CONCLUSIONS

Beneficial deliberately light IPT for balance support during MFR is easily provided by a robotic system even when it is mechanically uncoupled to the human CR. This effect does not rely on the system's capability to predict the future position of the CR's wrist. As the robotic system itself was not designed for any form of "social" cognition or explicit haptic communication, our study nevertheless demonstrates that robotic IPT can be used to implicitly "nudge" human CRs to alter their postural strategy for adapting to the robotic system without any decrements in their postural performance during MFR.

## ACKNOWLEDGMENTS

We thank Prof. G. Cheng, Prof. M. Buss, Prof. S. Hirche, Dr. K. Ramirez–Amaro, and J. R. Guadarrama Olvera for providing the experimental infrastructure and S. M. Steinl and M. Langer for their involvement in data acquisition. We acknowledge the financial support by the federal Ministry of Education and Research of Germany (BMBF; 01EO1401), the Deutsche Forschungsgemeinschaft (DFG) through the TUM International Graduate School of Science & Engineering (IGSSE), German Academic Exchange Service (DAAD), the Helmholtz Association and the European Union's Horizon 2020 research and innovation programme REHYB under grant agreement number 871767.

## **KEY POINTS**

- Robotic light touch supports human balancing performance during forward reaching.
- Human participants seem to adapt to the specific affordances of robotic light touch support.
- Subtle differences in the relative time lags between the robotic modes of interaction did not result in behavioral effects.

# ORCID iD

Leif Johannsen D https://orcid.org/0000-0002-2441-3163

#### REFERENCES

- Bardy, B. G., Marin, L., Stoffregen, T. A., & Bootsma, R. J. (1999). Postural coordination modes considered as emergent phenomena. *Journal of Experimental Psychology: Human Perception and Performance*, 25, 1284–1301. https://doi.org/10.1037/0096-1523.25.5.1284
- Bootsma, R. J., Fernandez, L., & Mottet, D. (2004). Behind Fitts' law: Kinematic patterns in goal-directed movements. *International Journal of Human-Computer Studies*, 61, 811–821. https://doi. org/10.1016/j.ijhcs.2004.09.004
- Brysbaert, M., & Stevens, M. (2018). Power analysis and effect size in mixed effects models: A tutorial. *Journal of Cognition*, 1, 9. https://doi.org/10.5334/joc.10
- Cai, L. L., Fong, A. J., Otoshi, C. K., Liang, Y., Burdick, J. W., Roy, R. R., & Edgerton, V. R. (2006). Implications of assist-asneeded robotic step training after a complete spinal cord injury on intrinsic strategies of motor learning. *Journal of Neuroscience*, 26, 10564–10568. https://doi.org/10.1523/JNEUROSCI.2266-06.2006
- Chen, T. L., Bhattacharjee, T., Beer, J. M., Ting, L. H., Hackney, M. E., Rogers, W. A., & Kemp, C. C. (2017). Older adults' acceptance of a robot for partner dance-based exercise. *PLoS One*, 12, e0182736. https://doi.org/10.1371/journal.pone. 0182736
- Chen, T. L., Bhattacharjee, T., McKay, J. L., Borinski, J. E., Hackney, M. E., Ting, L. H., & Kemp, C. C. (2015). Evaluation by expert dancers of a robot that performs partnered stepping via haptic interaction. *Plos One*, 10, e0125179. https://doi.org/10. 1371/journal.pone.0125179
- Danion, F., Duarte, M., & Grosjean, M. (1999). Fitts' law in human standing: The effect of scaling. *Neuroscience Letters*, 277, 131– 133. https://doi.org/10.1016/S0304-3940(99)00842-3
- Delignières, D., Torre, K., & Bernard, P.-L. (2011). Transition from persistent to anti-persistent correlations in postural sway indicates velocity-based control. *PLoS Computational Biology*, 7, e1001089. https://doi.org/10.1371/journal.pcbi.1001089
- Duarte, M., & Freitas, S. M. S. F. (2005). Speed–accuracy trade-off in voluntary postural movements. *Motor Control*, 9, 180–196. https://doi.org/10.1123/mcj.9.2.180
- Duncan, P. W., Weiner, D. K., Chandler, J., & Studenski, S. (1990). Functional reach: A new clinical measure of balance. *Journal of Gerontology*, 45, M192–M197. https://doi.org/10.1093/geronj/ 45.6.M192
- Duschau-Wicke, A., von Zitzewitz, J., Caprez, A., Lunenburger, L., & Riener, R. (2010). Path control: A method for patient-cooperative robot-aided gait rehabilitation. *IEEE Transactions on Neural Systems and Rehabilitation Engineering*, *18*, 38–48. https://doi. org/10.1109/TNSRE.2009.2033061
- Fitts, P. M. (1954). The information capacity of the human motor system in controlling the amplitude of movement. *Journal of Experimental Psychology*, 47, 381–391. https://doi.org/10.1037/ h0055392
- Fitts, P. M., & Peterson, J. R. (1964). Information capacity of discrete motor responses. *Journal of Experimental Psychology*, 67, 103– 112. https://doi.org/10.1037/h0045689
- Gentry, S., & Murray-Smith, R. (2003). Haptic dancing: human performance at haptic decoding with a vocabulary. [Conference session]. Paper presented at the IEEE Haptics.
- Groten, R., Feth, D., Goshy, H., Peer, A., Kenny, D. A., & Buss, M. (2009a). *Experimental analysis of dominance in haptic collaboration*. [Conference session]. Paper presented at the 18th IEEE International Symposium on Robot and Human Interactive Communication, Toyama, Japan.
- Groten, R., Feth, D., Klatzky, R. L., Peer, A., & Buss, M. (2009b). Efficiency analysis in a collaborative task with reciprocal haptic feedback. [Conference session]. Paper presented at the 2009

IEEE/RSJ International Conference on Intelligent Robots and Systems, St. Louis, USA.

- Haarman, J. A. M., Maartens, E., van der Kooij, H., Buurke, J. H., Reenalda, J., & Rietman, J. S. (2017). Manual physical balance assistance of therapists during gait training of stroke survivors: Characteristics and predicting the timing. *Journal of NeuroEngineering and Rehabilitation*, 14, 125. https://doi.org/ 10.1186/s12984-017-0337-8
- Hoelldampf, J., Peer, A., & Buss, M. (2010). Synthesis of an interactive haptic dancing partner. [Conference session]. Paper presented at the 19th IEEE International Symposium on Robot and Human Interactive Communication, Viareggio, Italy.
- Holden, M., Ventura, J., & Lackner, J. R. (1994). Stabilization of posture by precision contact of the index finger. *Journal of Vestibular Research: Equilibrium & Orientation*, 4, 285–301.
- Jeka, J. J., & Lackner, J. R. (1994). Fingertip contact influences human postural control. *Experimental Brain Research*, 100, 495–502. https://doi.org/10.1007/BF02738408
- Jeka, J. J., Schöner, G., Dijkstra, T., Ribeiro, P., & Lackner, J. R. (1997). Coupling of fingertip somatosensory information to head and body sway. *Experimental Brain Research*, 113, 475–483. https://doi.org/10.1007/PL00005600
- Jeka, J., Oie, K., Schöner, G., Dijkstra, T., & Henson, E. (1998). Position and velocity coupling of postural sway to somatosensory drive. *Journal of Neurophysiology*, 79, 1661–1674. https://doi. org/10.1152/jn.1998.79.4.1661
- Jeka, J., Kiemel, T., Creath, R., Horak, F., & Peterka, R. (2004). Controlling human upright posture: Velocity information is more accurate than position or acceleration. *Journal of Neurophysiology*, 92, 2368–2379. https://doi.org/10.1152/jn. 00983.2003
- Johannsen, L., Guzman-Garcia, A., & Wing, A. M. (2009). Interpersonal light touch assists balance in the elderly. *Journal of Motor Behavior*, 41, 397–399. https://doi.org/10.3200/35-09-001
- Johannsen, L., McKenzie, E., Brown, M., Redfern, M. S., & Wing, A. M. (2017). Deliberately light interpersonal touch as an aid to balance control in neurologic conditions. *Rehabilitation Nursing*, 42, 131–138. https://doi.org/10.1002/rnj.197
- Johannsen, L., Wing, A. M., & Hatzitaki, V. (2012). Contrasting effects of finger and shoulder interpersonal light touch on standing balance. *Journal of Neurophysiology*, 107, 216–225. https://doi.org/10.1152/jn.00149.2011
- Kalman, R. E. (1960). A new approach to linear filtering and prediction problems. *Journal of Basic Engineering*, 82, 35–45. https://doi.org/10.1115/1.3662552
- Knoblich, G., & Jordan, J. S. (2003). Action coordination in groups and individuals: Learning anticipatory control. *Journal of Experimental Psychology: Learning, Memory, and Cognition*, 29, 1006–1016. https://doi.org/10.1037/0278-7393.29.5.1006
- Krishnamoorthy, V., Slijper, H., & Latash, M. L. (2002). Effects of different types of light touch on postural sway. *Experimental Brain Research*, 147, 71–79. https://doi.org/10.1007/s00221-002-1206-6
- Maki, B. E., & McIlroy, W. E. (2006). Control of rapid limb movements for balance recovery: Age-related changes and implications for fall prevention. Age and Ageing, 35, ii12–ii18. https://doi.org/10.1093/ageing/afl078
- Masani, K., Popovic, M. R., Nakazawa, K., Kouzaki, M., & Nozaki, D. (2003). Importance of body sway velocity information in controlling ankle extensor activities during quiet stance. *Journal of Neurophysiology*, 90, 3774–3782. https://doi.org/10. 1152/jn.00730.2002
- Masani, K., Vette, A. H., Abe, M. O., & Nakazawa, K. (2014). Center of pressure velocity reflects body acceleration rather than body velocity during quiet standing. *Gait & Posture*, 39, 946–952. https://doi.org/10.1016/j.gaitpost.2013.12.008
- Mojtahedi, K., Whitsell, B., Artemiadis, P., & Santello, M. (2017). Communication and inference of intended movement direction during human-human physical interaction. *Frontiers* in Neurorobotics, 11, 21. https://doi.org/10.3389/fnbot.2017. 00021

- Rogers, M. W., Wardman, D. L., Lord, S. R., & Fitzpatrick, R. C. (2001). Passive tactile sensory input improves stability during standing. *Experimental Brain Research*, 136, 514–522. https:// doi.org/10.1007/s002210000615
- Sawers, A., Bhattacharjee, T., McKay, J. L., Hackney, M. E., Kemp, C. C., & Ting, L. H. (2017). Small forces that differ with prior motor experience can communicate movement goals during human-human physical interaction. *Journal of Neuro Engineering and Rehabilitation*, 14, 8. https://doi.org/10.1186/ s12984-017-0217-2
- Sawers, A., & Ting, L. H. (2014). Perspectives on human-human sensorimotor interactions for the design of rehabilitation robots. *Journal of Neuro Engineering and Rehabilitation*, 11, 142. https://doi.org/10.1186/1743-0003-11-142
- Scholz, J. P., & Schöner, G. (1999). The uncontrolled manifold concept: Identifying control variables for a functional task. *Experimental Brain Research*, 126, 289–306. https://doi.org/10. 1007/s002210050738
- Siciliano, B., Sciavicco, L., Villani, L., & Oriolo, G. (2009). Robotics: Modelling, planning and control. Springer.
- Skewes, J. C., Skewes, L., Michael, J., & Konvalinka, I. (2015). Synchronised and complementary coordination mechanisms in an asymmetric joint aiming task. *Experimental Brain Research*, 233, 551–565. https://doi.org/10.1007/s00221-014-4135-2
- Steinl, S. M., & Johannsen, L. (2017). Interpersonal interactions for haptic guidance during maximum forward reaching. *Gait & Posture*, 53, 17–24. https://doi.org/10.1016/j.gaitpost.2016.12.029
- Takagi, A., Usai, F., Ganesh, G., Sanguineti, V., & Burdet, E. (2018). Haptic communication between humans is tuned by the hard or soft mechanics of interaction. *PLOS Computational Biology*, 14, e1005971. https://doi.org/10.1371/journal.pcbi.1005971
- Verite, F., Bachta, W., & Morel, A. (2013). Closed-loop control of a human Center-Of-Pressure position based on somatosensory feedback. [Conference session]. Paper presented at the IEEE/ RSJ International Conference on Intelligent Robots and Systems (IROS), Tokyo, Japan.
- Westfall, J., Kenny, D. A., & Judd, C. M. (2014). Statistical power and optimal design in experiments in which samples of participants respond to samples of stimuli. *Journal of Experimental Psychology: General*, 143, 2020–2045. https://doi.org/10.1037/ xge0000014
- Wing, A. M., Johannsen, L., & Endo, S. (2011). Light touch for balance: Influence of a time-varying external driving signal. *Philosophical Transactions of the Royal Society B: Biological Sciences*, 366, 3133–3141. https://doi.org/10.1098/rstb.2011.0169

Leif Johannsen, RWTH Aachen University, Dr rer nat (University of Tuebingen; behavioural neuroscience, 2005).

Karna Patwar, Technical University Munich, MSc (Purdue University; mechanical Engineering, 2013).

Matteo Saveriano, University of Innsbruck, PhD (Technical University Munich, mechatronics, robotics and automation, 2017).

Satoshi Endo, Technical University Munich, PhD (University of Birmingham, psychology, 2012).

Dongheui Lee, Technical University Munich, PhD (University of Tokyo, mechano-informatics, 2007).

Date received: July 23, 2019 Date accepted: July 21, 2020