

Finite Cell Method for functionally graded materials based on V-models and homogenized microstructures

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This paper proposes a computational methodology that allows a direct numerical simulation of heterogeneous/functionally graded materials based on V-reps/V-models and the Finite Cell Method (FCM). The FCM is an embedded domain approach that employs higher-order finite elements. The basic idea is to embed a complex geometric model into a fictitious domain that is trivial to mesh. The complexity of the geometry is then recaptured by an adapted precise numerical integration scheme for the elements cut by the boundary. For this, only a robust point inclusion test is required, which can be provided by various Computer-Aided Design (CAD) models. V-rep is a geometric modeling framework that represents the entire volume based on tri-variate B-Splines. Consequently, not only a point inclusion test is provided – but also the possibility to represent and model the interior domain. This allows to apply functionally graded material based on the tri-variate basis functions. These material parameters can then be regained during the simulation with an adapted point inclusion test. The potential of the proposed method especially in the context of additive manufacturing is demonstrated by several numerical examples.

Keywords: Functionally Graded Material, V-Reps, V-Models, Finite Cell Method, Direct Simulation, Additive Manufacturing, Homogenization

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55 1 Introduction

56 Functionally graded materials (FGM) are a novel class of advanced materials that offer the possibility to exploit
57 various desired physical properties within one component. This allows to manufacture 'high-performance' and
58 'multi-functional' artifacts which can resist physical exposures that could not be withstood by a single material
59 [1]. The idea of combining different materials goes back more than 4000 years – the development of the composite
60 bow – and has led to modern carbon fiber reinforced polymers. These composite materials change their material
61 properties step-wise and are consequently prone to delamination. In FGM, on the other hand, material properties
62 vary continuously inside the volume and avoid material interfaces [2]. Specific material properties are achieved
63 by continuous changes in the micro-structures, grain sizes, crystal structure, or composition of different materials
64 such as metal, ceramics, polymers, or biological tissues [3, 4]. Prototypes, especially for micro-structures, can be
65 found in nature, such as in bones, seashells, skin, or wood [5] or obtained using topology optimization [6, 7, 8].
66 Fields of application are, amongst many others, corrosion resistance of chemically exposed components [9],
67 bone-like lightweight porous medical implants [10], or heat resistance of load-bearing parts such as spacecraft
68 thermal shielding, jet turbine blades, or nuclear reactors [3, 11].

69 Additive manufacturing (AM) or 3D printing is a generic term for various production techniques in which
70 an object is created by layer-wise material deposition. This allows the fabrication of objects of almost arbitrary
71 shape. AM is the method of choice for the fabrication of FGM, as (i) it allows to resolve very fine structures, (ii)
72 it can manufacture internal structures which could not be created with any other method, and (iii) the layer-
73 wise material deposition gives control over the composition of the processed material, as well as over the grain
74 size [12, 13]. With functionally graded additive manufacturing (FGAM), it is possible to create different single-
75 and multi-material FGM [14]. Single FGAM created specimens consist only of one material that changes its
76 properties due to an adaption of the micro-structure, density, or grain size [15]. As AM allows the creation of free
77 form structures, a single-material FGM can be fabricated with any printable material [16]. Multi-material FGM,
78 which combines two or more materials, has recently been under intensive research [17]. A special focus was placed
79 on metal-metal combinations, see e.g. [4] where steel and titanium-based combinations are investigated. More
80 complex are combinations of materials of a different kind, such as ceramic-metal compositions [18]. However,
81 these compositions might carry the most potential, as the underlying material properties are very distinct.

82 Before fabrication, the behavior of FGM components usually needs to be analyzed by numerical simulations.
83 To this end, an analysis-suitable geometrical model needs to be provided which is naturally created with
84 computer-aided design (CAD) and then transformed into a mesh. This transition process from CAD to an
85 analysis-suitable mesh is error-prone. Depending on the quality of the model, manual work must be invested
86 to heal the original geometry before mesh generation can be carried out successfully. Furthermore, the most
87 used CAD representations, i.e. boundary representation (B-rep) or solid based procedural models, are not well
88 suited for an accurate description of FGM. B-rep models represent their volume implicitly by the boundary
89 surfaces, which are modeled either with linear primitives (e.g. triangles and quads) or with trimmed spline

90 patches [19]. Consequently, B-rep models offer no possibility to directly represent a heterogeneous material
91 distribution inside the body. A workaround is to create vector functions that carry the material properties
92 for each point. These functions can be classified into four different categories: (i) geometrically-independent,
93 e.g., in Cartesian coordinates, (ii) distance-based, (iii) blending composition, and (iv) sweeping composition
94 functions (for a detailed explanation refer to [20, 21]). However, except (i), these functions only allow a smooth
95 transition of material properties between the different surfaces, which is not suitable for all material distributions.
96 Geometrically-independent functions, on the other hand, are cumbersome as they are not related to the object
97 itself. CAD systems using solid-based procedural models follow the constructive solid geometry (CSG) idea [22].
98 Here, models are composed of simple primitives: *spheres, cuboids, cylinders, etc.* and more complex primitives:
99 *sweeps, lofts, extrusions, solid of revolution, etc.* These primitives are combined with the classical Boolean
100 operations: *union, intersection, difference, negation*, and their derivations: *fillet, chamfer, holes, etc.* Material
101 properties can then easily be assigned to the respective primitives. Of course, this requires special treatment in
102 regions with overlapping primitives [12]. Furthermore, as primitives are typically provided as implicit functions
103 $F(\mathbf{x}) = 0$, they offer, similar to B-rep models, no possibility to further resolve the internal volume. Again, vector
104 functions applied to the primitives are a possible workaround. Another possible geometrical representation
105 offered by spatial decomposition, such as voxelized models. Here, each voxel can carry its material properties.
106 These voxel models mostly originate from CT scans (e.g. of bones) and provide only a coarse approximation
107 while requiring an extensive amount of storage capacity. Nevertheless, voxel-based models have been used to
108 resolve fine micro-structures and quasi-continuous changes of the material properties [23, 24].

109 Massarwi and Elber [25] recently proposed a novel volumetric representation technique (V-rep) for 3D
110 models, which allow full control over the model’s interior. V-reps consist of non-singular trimmed trivariate B-
111 spline patches which can be combined into V-models using the Boolean operations. By extending the dimension
112 of the control points to \mathbb{R}^{3+s} , it is possible to assign material properties directly to the model. Potentially
113 critical overlapping regions of the V-model are resolved by trimming the involved patches and creating new
114 trivariate primitives for the respective overlapping volume. Due to their non-singularity trivariate B-splines,
115 V-models are predestined for are subsequent simulation using isogeometric analysis (IGA) [26]. However, as the
116 particular patches do not necessarily coincide at their boundary, special techniques are required to glue them
117 together, e.g. Mortar methods [27, 28].

118 Apart from the possibility to control the interior of the volume, which can be used to model multi-material
119 FGM, the V-rep framework also offers the possibility to create single-material FGM, such as continuously
120 changing microstructures. Although easy to fabricate with AM, these multiscale structures are critical from a
121 simulation point of view. Due to the complexity of the underlying CAD models, the meshing becomes difficult.
122 Additionally, attempts to resolve the structure sufficiently accurate may result in over-refined meshes – which
123 in turn lead to an additional but unnecessary computational effort. This is where numerical homogenization
124 provides an efficient tool to estimate an overall mechanical behavior of such structures. The basic idea of

125 homogenization is to define a representative volume element (RVE) which is sufficiently large to represent the
126 overall material behavior in the specific region [29, 30, 31]. In the case of periodic microstructures, a unit
127 cell can be extracted for further material characterization. Periodic Boundary Conditions are then applied
128 at their boundaries, which leads to the best possible estimate of the effective behavior [32, 33] among other
129 possible choices. The resulting material characterization can then be used to simulate a complete structure
130 under complex loading. The computational cost is reduced considerably by 'smearing out' the detailed complex
131 geometrical features of a microstructure and expressing them in terms of the effective behavior. Still, on the
132 microscopic level of the RVE, the structure needs to be fully resolved in a boundary conforming fashion to
133 account for all geometrical details. Here, embedded domain methods offer an elegant and reliable alternative
134 over classical FEA also for non-periodic AM structures [34].

135 Embedded domain methods, such as the finite cell method (FCM) [35] avoid a tedious and error-prone
136 meshing process by embedding the complex geometrical model into a fictitious domain that can be easily meshed
137 into regular simple elements. These methods are known under different names, e.g. fictitious domain [36,
138 37, 38], immersed FEM/boundary [39, 40], or Cartesian grid method [41]. The FCM [42], uses besides the
139 embedded domain approach also high-order finite element , deploying hierarchical Legendre, spectral, or B-
140 Spline shape functions [43, 44]. Initially developed for 2D and 3D linear elasticity, it was extended to various
141 fields of applications, such as topology optimization [45, 46], local enrichment for material interfaces [47],
142 elastodynamics and wave propagation [43, 48], and additive manufacturing [49]. Further investigations include
143 efficient integration techniques [50, 51] and homogenization [34]. FCM was successfully applied to various
144 geometrical representations, such as B-rep, CSG [52], voxel domains [53], point clouds [54], and defective,
145 mathematically invalid B-rep models [55].

146 In this contribution, the FCM is extended such that V-rep models with functionally graded material proper-
147 ties can be simulated directly. The paper is structured as follows: Sections 2.1 and 2.3 provide a brief overview
148 over the FCM and V-reps, respectively. The methodology to directly simulate on V-reps is described in section
149 3.1. Section 3.2 presents and discusses several numerical examples before conclusions are drawn in section 4.

150 2 Methods

151 2.1 Finite cell method

152 The finite cell method is a higher-order embedded domain method. It offers the possibility to directly simulate
153 complex geometric models without the need for a boundary conforming meshing procedure. Further, it does not
154 compromise the accuracy of the underlying finite element method. While a comprehensive description of the
155 method can be found in [42] for a comprehensive description of the method, the basic concepts are summarized
156 for the sake of completeness. Linear elasticity is considered as a model application for FCM.

157 2.2 Basic fomulation

158 In the finite cell method, a physical domain Ω_{phy} is embedded into a fictitious domain Ω_{fict} forming an extended
 159 domain Ω_U , as illustrated in Fig. 1 for two dimensions. The weak form of the equilibrium equation for the
 160 extended domain Ω_U reads as follows

$$\int_{\Omega_U} [L\mathbf{v}]^T \alpha C [L\mathbf{u}] d\Omega_U = \int_{\Omega_U} \mathbf{v}^T \alpha \mathbf{b} d\Omega_U + \int_{\Gamma_N} \mathbf{v}^T \mathbf{t} d\Gamma_N, \quad (1)$$

where \mathbf{u} is the unknown deflection, \mathbf{v} is a test function, L is the kinematic differential operator and C is
 the constitutive material tensor. The body load and the prescribed tractions on the Neumann boundary Γ_N
 are denoted by \mathbf{b} and \mathbf{t} , respectively. To resolve the complex domain correctly, an indicator function $\alpha(\mathbf{x})$ is
 introduced which weights the material tensor C

$$\alpha(\mathbf{x}) = \begin{cases} 1 & \forall \mathbf{x} \in \Omega_{phy} \\ 10^{-q} & \forall \mathbf{x} \in \Omega_{fict} \end{cases}. \quad (2)$$

161 In the limit $q = \infty$, eq. (1) recovers the standard weak form for Ω_{phy} . In a finite element-like discretization,
 162 however, it leads to ill-conditioned systems. This can be avoided by choosing a finite q (in practice $q = 6 \dots 10$) in
 163 combination with a suitable preconditioning and/or orthogonalization of the shape functions [56]. This choice
 164 introduces a modeling error [57] but limits the conditioning number of the stiffness matrix. Further improvement
 165 on the conditioning can be obtained using preconditioning, orthogonalization of shape functions, and/or the
 166 increase of continuity between the cut cells [58].

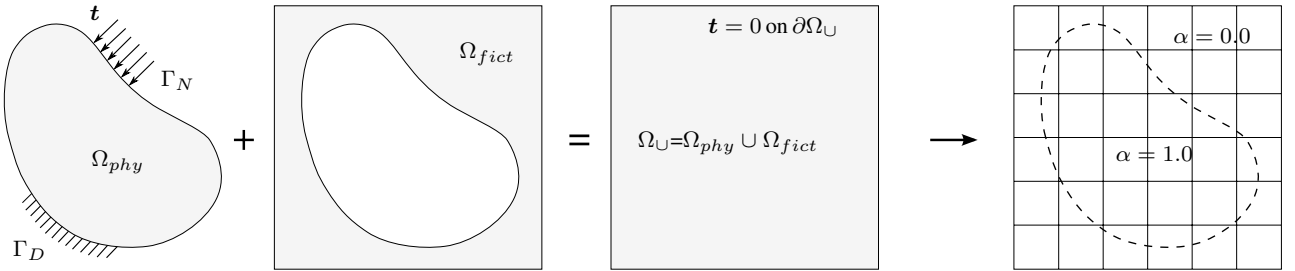


Figure 1: The concept of the finite cell method (taken from [35]).

167 The extended domain Ω_U is of simple shape and can be easily meshed into regular cells, e.g. rectangles in 2D
 168 and cuboids in 3D, respectively. These cells can be locally refined into sub-cells or with respect to the order of
 169 the shape function [59, 60].

170 2.2.1 Geometry treatment

171 The FCM resolves the physical domain Ω_{phy} (i.e. the geometric model) by the discontinuous scalar field
 172 $\alpha(\mathbf{x})$, which is then queried during the integration of the system matrices and load vectors. Consequently, the
 173 resolution of the geometry's complexity is shifted from the discretization (conforming meshing) to the integration

174 level. The only information the FCM requires from the geometry is an unambiguous point inclusion statement,
 175 i.e. it must be possible to decide for any point \mathbf{x} whether $\mathbf{x} \in \Omega_{phy}$ or $\mathbf{x} \in \Omega_{fict}$. Due to the discontinuity of
 176 $\alpha(\mathbf{x})$ on cut cells, the integration needs to be carried out using special quadrature rules. Common variants are
 177 recursive space-tree reconstruction, moment fitting, or smart quadtrees/octrees [61, 62, 63]. Another approach
 178 uses dimensional reduction, i.e. the integration is not performed over the entire domain, but only along the
 179 boundary [64].

180 2.2.2 Boundary conditions

181 As the boundary of the physical domain Ω_{phy} typically does not coincide with the edges/faces of the finite cells,
 182 essential (Dirichlet) boundary conditions need to be applied in a weak sense. For this, several methods have been
 183 investigated – such as the Nitsche method, Lagrange multipliers, and the penalty method [65, 66, 67, 68]. Natural
 184 (Neumann) boundary conditions are applied on Γ_N following Eq. (1). Homogeneous natural boundary conditions
 185 are automatically resolved by $\alpha(\mathbf{x}) \approx 0$. Inhomogeneous natural and essential boundary conditions require an
 186 explicit integrable boundary description, which is either provided by the geometrical model or extracted directly
 187 from the volume using, e.g., the marching cubes algorithm, see e.g. [69].

188 2.3 Volumetric representation

189 Massarwi and Elber [25] developed a novel geometric modeling technique, based on trimmed volumetric trivariate
 190 B-splines, called ‘volumetric representation’ (V-rep). The interior volume of V-Reps is modeled explicitly and
 191 is, thus, able to directly represent functionally graded/heterogeneous material. The V-rep framework provides
 192 methods and algorithms for the construction of V-models by combining simple (e.g. cylinder, sphere, etc.) or
 193 complex primitives (e.g. ruled primitives or solids of revolution) with the Boolean operations, thus following
 194 the idea of Constructive Solid Modeling. Furthermore, it is possible to migrate spline-based B-Rep models to
 195 V-Rep models. The V-rep framework is embedded in the Irit geometry library, developed by Elber et al. [70].
 196 Irit provides a vast amount of various geometric modeling and analysis functionalities, and it can be accessed
 197 as a C(++) library, via a scripting language, or graphically with the GuIrit CAD environment.

198 2.4 Trivariate B-splines

A trivariate B-spline is a parametric function that allows to span a volume over a three-dimensional parameter
 space. It is typically represented as follows

$$\mathbf{V}(\mathbf{u}) = \sum_{i=1}^l \sum_{j=1}^m \sum_{k=1}^n B_{i,p}(u) B_{j,q}(v) B_{k,r}(w) \mathbf{P}_{i,j,k}, \quad (3)$$

199 where $\mathbf{V}(\mathbf{u})$ is a point inside the volume and $\mathbf{u} = (u, v, w)^T$ the corresponding three-dimensional parameter
 200 position in the parameter space $\mathbf{u} \in U \times V \times W \subseteq \mathbb{R}^3$. $B_{i,p}$ denotes the i^{th} one-dimensional B-spline basis

201 function of polynomial degree p and $\mathbf{P}_{i,j,k} \in \mathbb{R}^k$ are the $l \cdot m \cdot n$ control points. The dimension of the control
 202 points is $k = 3 + s$, where $k = 3$ corresponds to the three geometric coordinates $\mathbf{x}^T = [x, y, z]$. Further
 203 information can be represented by additional dimensions $s > 0$.

204 2.4.1 V-rep primitives

205 Apart from the trivial case of a cuboid, the V-rep framework offers a variety of both high-level and simple
 206 primitives. Implemented are several high-level primitive constructors, all of which yield one single trivariate
 207 patch (see Fig. 2):

- 208 1. Extrusion: A surface is extruded along a vector.
- 209 2. Ruled solid: A volume is defined as a linear interpolation between two surfaces.
- 210 3. Solid of revolution: A volume is constructed by rotating a surface around an axis.
- 211 4. Boolean sum: A volume is created from six boundary surfaces [71].
- 212 5. Sweep/Loft: A sweep or loft interpolates several surfaces along a sweeping path.

213 Simple primitives – such as spheres, cylinders, tori, and cones – can not be represented by a single trivariate
 214 patch without introducing singularities (e.g. at the mid axis of a sphere, the Jacobi matrix vanishes $\det(J_{\mathbf{V}}(r =$
 215 $0)) = 0$.) To this end, singular primitives are composed of several non-singular trivariate patches (see Fig. 3).

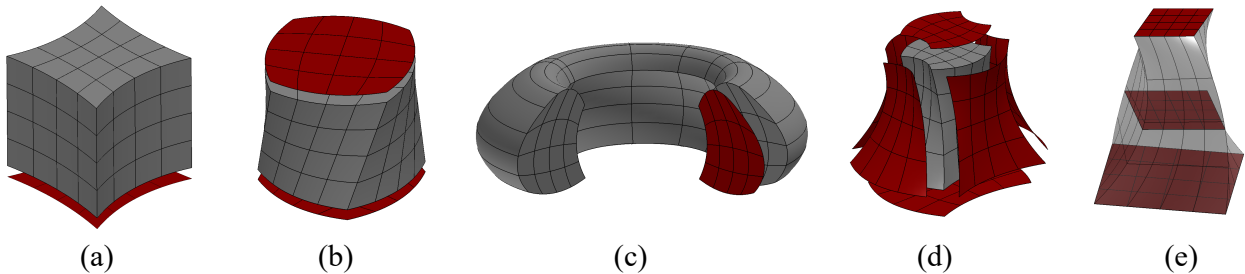


Figure 2: High-level primitives: (a) extrusion, (b) ruled solid, (c) volume of revolution, (d) boolean sum, and (e) sweep/loft.

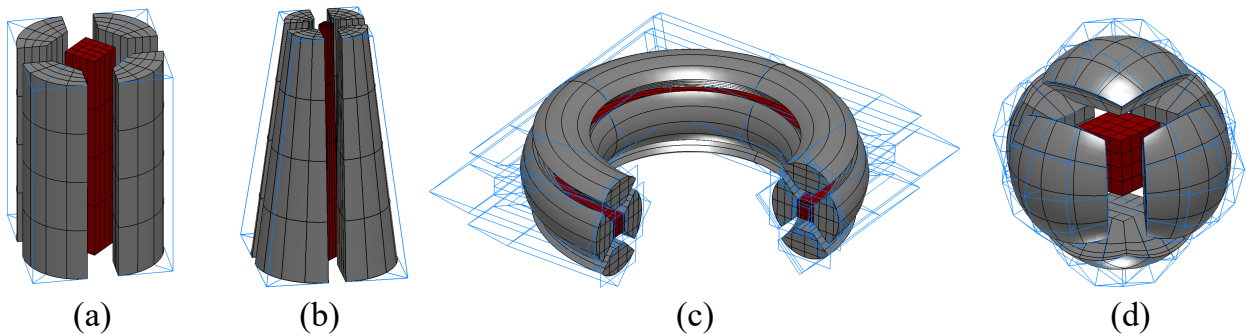


Figure 3: Non-singular primitives composed of trivariate B-splines: (a) A cylinder is composed by five extruded solids, whereas (b) a cone is composed of five ruled solids. (c) A torus is constructed using five solids of revolution, and (d) a sphere is composed of six ruled solids and one cuboid in its center.

216 **2.4.2 V-model construction**

217 A trivariate B-spline is limited to a cuboid topology. To represent general volumetric shapes, so-called 3-
 218 manifold V-cells ν_C^i are introduced which correspond to trimmed trivariate B-splines. V-cells occur due to
 219 the combination of the Boolean operations in the regions of overlapping. To this end, trivariate B-splines are
 220 trimmed at intersecting surfaces, and, depending on the Boolean operation, the intersection volume is then
 221 remodeled from the trimming surfaces using the Boolean constructor (see Fig. 4). A V-model V_m is composed
 222 of several V-cells, which are non-intersecting $\nu_C^i \cap \nu_C^j = \emptyset, \forall i \neq j$.

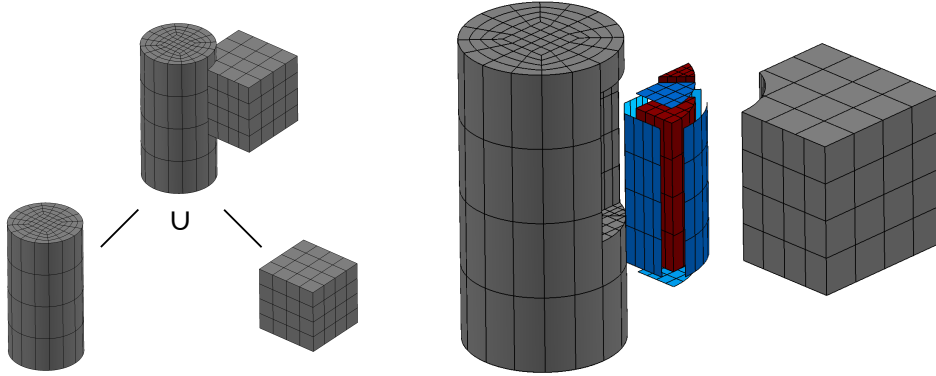


Figure 4: V-Model created as the union of a trivariate cuboid and a trivariate, non-singular cylinder. The intersected volume yields two V-cells (marked in red) which are constructed with the trimming surfaces (highlighted in blue).

223 V-cells store additional topological and adjacency information, which allows an efficient model inquiry. Adjacent
 224 V-cells share common trimming/boundary surfaces. Analogously to B-Rep, the boundary of the V-model ∂V_m
 225 forms a closed 2-manifold.

226 **2.4.3 Point inclusion testing**

In the context of the finite cell method, at first without considering functionally graded materials, the V-model only needs to provide a point inclusion test. To this end, an inverse mapping is carried out on each V-cell.

$$f : \mathbf{x} = \nu_C^i(\mathbf{u}) \rightarrow \mathbf{u} \quad (4)$$

227 As splines can generally not be inverted analytically, the corresponding parameter position \mathbf{u} must be determined
 228 iteratively using the Newton-Raphson algorithm. Yet, one should note that – since the splines are regular, i.e.
 229 the Jacobian never vanishes – a solution is always unique, if one exists. In the case $\mathbf{x} \cap \nu_C^i \neq \emptyset$ a parameter
 230 position can be found in the V-cell ν_C^i and the respective point \mathbf{x} is inside the V-model. The duration of the
 231 inverse mapping can be substantially decreased providing a good guess as an initial value. This is exploited by
 232 the finite cell method as, due to the most frequent element- layer- and row-wise query, consecutive integration
 233 points are very often geometrically adjacent. Therefore, the last inner point on each V-cell is cached and used

234 as an initial guess for the next query.

235 3 Discussion and Results

236 3.1 Functionally graded material representation

237 The V-Rep framework provides two different ways to realize functionally graded materials: (a) the material
238 properties can either be encoded directly into the volume of the V-cells (see Sec. 3.1.1) or (b) the FGM can be
239 created in a constructive manner (see Sec. 3.1.2).

240 3.1.1 Functionally graded material inside the V-cells

241 As the smallest building blocks, the V-cells, are spline-based volumetric elements, it is natural to extend this
242 spline description to also carry the material information.

V-Rep material representation Material properties such as Young’s modulus, Poisson’s ratio, thermal conductivity, density, etc. can easily be represented on the V-cells by simply extending the dimension of the control points \mathbb{R}^{3+s} , with $s > 0$ being the additional material parameters (see Eq. (3)). Consequently, evaluating the V-cell yields, in addition to the geometric coordinates, also the respective material values

$$\mathbf{V}^T = [x, y, z, m^1, \dots, m^\sigma, \dots, m^s] \in \mathbb{R}^{3+s}. \quad (5)$$

243 As an example, consider a control point that carries additional material properties for the Young’s modulus E ,
244 Poisson’s ratio ν , and thermal conductivity κ as needed for example 3.2.2: $\mathbf{P}_{i,j,k}^T = [x, y, z, E, \nu, \kappa]_{i,j,k}$.

245 The material properties of a V-cell, created from the overlap of two or more trivariate B-splines carrying
246 different material information, require additional handling. Either one of the initial trivariate B-spline can be
247 set prevailing and, thus, its properties are inherited to the V-cell, or the material properties are interpolated by
248 some sort of blending scheme. For detailed information refer to [25].

249 **Spline based material approximation** Inside a patch, splines are typical of higher continuity, which renders
250 them perfectly suitable for modeling smooth geometries. However, this restricts the material function to be
251 of the same continuity. A remedy to also represent C^0 or discontinuous material distributions is given by
252 knot-insertion, as the continuity depends on the multiplicity of the knots C^{p-m} , where p is the polynomial
253 degree and m the number of multiple knots. Naturally, knot-insertion also reduces the potential continuity of
254 the geometry. However, the original higher continuity is preserved in a geometrical sense. Hence, the model
255 keeps its geometrical shape, whereas the material is allowed to have material kinks, or even to be discontinuous.
256 Nevertheless, due to the global influence of the position and multiplicity of the knots, splines are not the method

257 of choice to represent highly discontinuous material distributions, as e.g. underlying voxel data provided by
 258 CT-scans.

Given a sufficiently smooth material distribution, the material 'coordinates' of the control points can be obtained using least-squares approximation (see Fig. 5). For each material property, the least-squares problem reads

$$\min_{\boldsymbol{\mu}^\sigma} \sum_{\lambda=1}^{n_{LS}} r_\lambda^2 = \min_{\boldsymbol{\mu}^\sigma} \|\mathbf{V}(\mathbf{u}_\lambda, \boldsymbol{\mu}^\sigma) - f_m^\sigma(\mathbf{x}_\lambda)\|_2^2 = \min_{\boldsymbol{\mu}^\sigma} \|\mathbf{A}(\mathbf{u}_\lambda) \boldsymbol{\mu}^\sigma - f_m^\sigma(\mathbf{x}_\lambda)\|_2^2, \quad (6)$$

where n_{LS} is the number of sample points and $\boldsymbol{\mu}^\sigma = \mu_{i,j,k}^\sigma \in \mathbb{R}^{l \cdot n \cdot m}$ are the minimization variables (see Eq. (3) for l, m, n). The least squares problem is then solved for each material function f_m^σ and the respective material 'coordinate' $\boldsymbol{\mu}^\sigma$, $\sigma \in [1, s]$ of the control mesh $\mathbf{P}_{i,j,k} = [x, y, z, \mu^1, \dots, \mu^\sigma, \dots, \mu^s]^T$. Matrix $\mathbf{A} \in \mathbb{R}^{\nu \times (l \cdot n \cdot m)}$ contains the spline basis functions. The sample points are evaluated in the parameter space $\mathbf{u}_\lambda = [u, v, w]^T \in \mathbb{R}^3$. Consequently, the material function needs to be evaluated in the same space (see Eq. (3))

$$f_m^\sigma(\mathbf{x}) = f_m^\sigma(\mathbf{V}(\mathbf{u})). \quad (7)$$

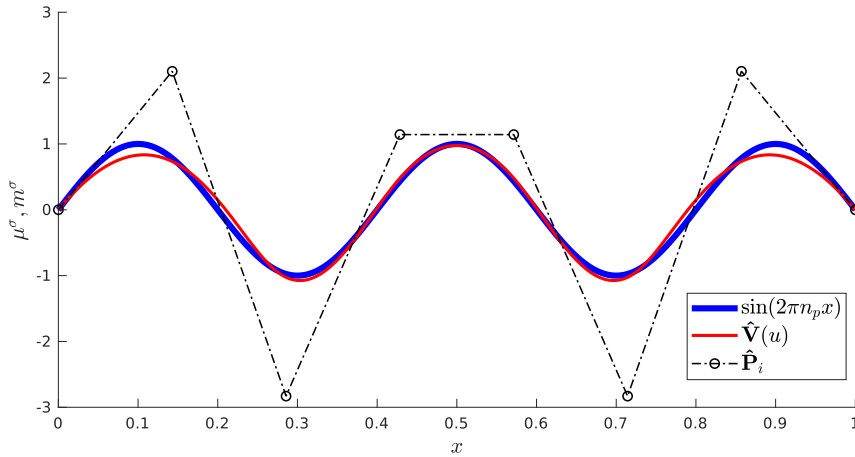


Figure 5: One-dimensional least squares approximation of a hypothetical sinusoidal material function $m^\sigma(x) = \sin(2\pi n_p x)$, with $n_p = 2.5$ being the number of periods, yields the material 'coordinates' μ_i^σ . Note that the rather large deviation between the curves comes from the fact that the location (i.e. x -coordinate) of material control points is fixed.

259 3.1.2 Constructive functionally graded materials

260 A different kind of FGM are structures with changing material properties due to adaptations in the microstructure
 261 or density. A prominent example in nature is the trabecular bone, where the size and alignment of thin rods
 262 and plates of bone tissue create stiffness trajectories that follow the principal stresses for the most common load
 263 cases [72].

264 Today, additive manufacturing (AM) offers the possibility to create similarly complex structures. To this end,
 265 AM uses porous infill structures to support the outer hull. However, this infill is typically a repetitive lattice

266 and is either not taken into account for the load transfer, or it is assumed to be isotropic [73]. Nonetheless
 267 recent approaches in the field of topology optimization try to exploit the contribution of the infill to the load
 268 transfer [74]. Problem-fitted complex 3D anisotropic microstructures can reduce the printing time and material
 269 consumption substantially and at the same time improve the load-carrying properties and buckling behavior.

270 **Gradually changing microstructure** The V-Rep framework offers the possibility to create complex anisotropic
 271 microstructures with its tiling operation. Hereby, copies of a unit structure are consecutively created inside a
 272 base volume. Following the shape of the base volume and by using layers of different unit cells, a complex
 273 constructive FGM can be created. As the resulting microstructure is composed of several V-cells, it is again a
 274 V-model (see Fig. 6). Naturally, each V-cell can again represent a heterogeneous material distribution within
 275 its volume.

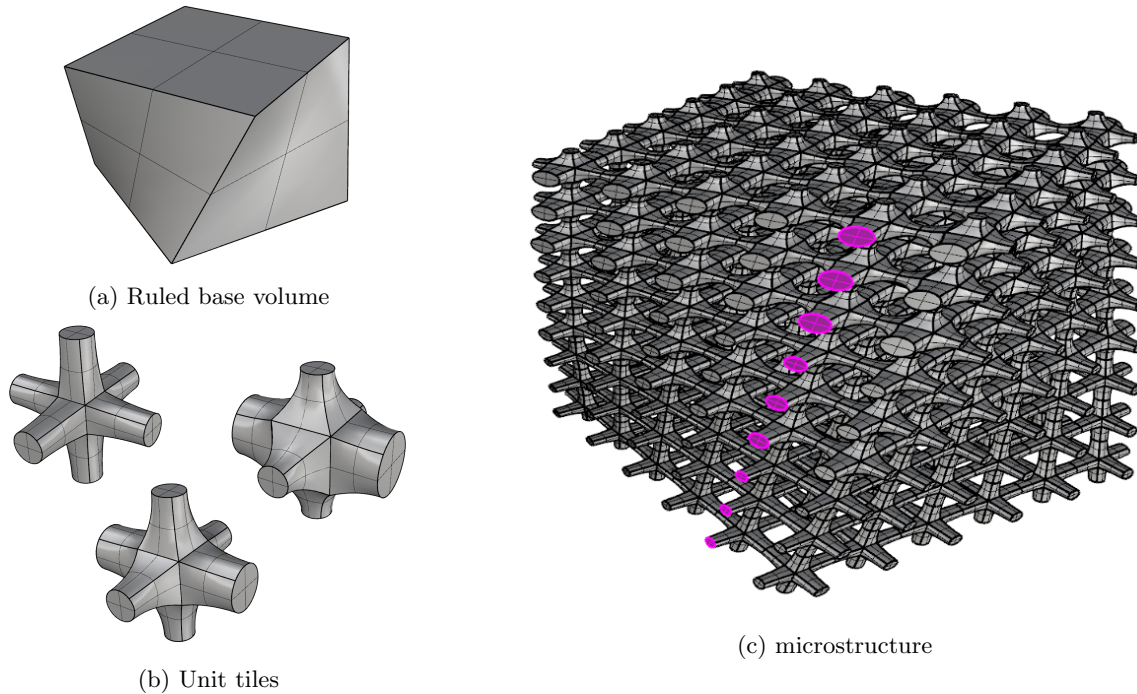


Figure 6: Functionally graded microstructure: (b) Three different anisotropic tiles, with a changing stiffer direction, are used to tile (a) a rotating ruled volume. (c) The entire resulting microstructure exhibits a continuously changing anisotropic stiffness.

276 **Material characterization of unit tiles** Detailed geometrical features of such microstructures require a
 277 fine numerical resolution to achieve reliable simulation results. To reduce the computational cost, a numerical
 278 homogenization can be used to evaluate a macroscopic mechanical behavior under specified loadings. The basic
 279 idea of this method is to approximate the solution of a macroscopic boundary value problem by solving less
 280 demanding microscopic problems [31]. This idea relies on the existence of a Representative Volume Element
 281 (RVE), which is a microstructural domain that is large enough to represent macroscopic behavior and small
 282 enough to ensure the scale separation. The mechanical quantities can then be transferred from micro- to macro-
 283 scale by using the Hill-Mandel condition, which is also called a ‘macro-homogeneity condition’. This mean-

284 field numerical homogenization provides reliable estimates for the effective mechanical behavior if appropriate
 285 boundary conditions are chosen. In the case of periodic microstructures, such as the ones sketched in Tab. 2,
 286 periodic boundary conditions provide the best effective material properties. In order to generate a material
 287 database for these unit tiles, the numerical homogenization technique in combination with the Finite Cell
 288 Method [34] is used.

289 3.2 Numerical examples

290 To demonstrate the variety of simulatable functionally graded materials using a combination of V-reps and the
 291 FCM, five examples are presented. The first example serves as a verification of the method. To this end, a linear
 292 elastic simulation of a simple cuboid with a prescribed material distribution is performed. The second example,
 293 a coupled heat, thermo-elastic simulation of a curved thermal protection tile, underlines the applicability to
 294 examples of engineering relevance. The third example shows a simulation of a fully resolved constructive FGM
 295 in terms of a continuously changing microstructure. In the fourth example, the underlying tiles of the third
 296 example are evaluated in terms of a homogenization, which are then used in the fifth example to perform a
 297 simulation on a homogenized constructive FGM.

298 3.2.1 Example 1: Cuboid with sinusoidal material distribution

As a benchmark problem, the cuboid with varying material distribution in z -direction is chosen. The cuboid
 is a trivariate B-spline and is created with GuIrit [70]. As the spline basis functions are initially linear in
 each direction, they are not able to represent the material function $E(z)$. For this reason, a degree elevation
 to $r = 3$ and subsequent multiple knot insertions in z -direction were carried out, yielding a knot-vector of
 $W = [0, 0, 0, 0, 0.2, 0.4, 0.6, 0.8, 1, 1, 1, 1]$. The control points in z -direction are depicted in Fig. 7a. The cuboid
 has assigned a constant Poisson ratio of $\nu = 0.3$. The functionally graded Young's modulus is given as an
 analytical function

$$E(z) = 10^6 + 5 \cdot 10^4 \cdot \sin(z\pi). \quad (8)$$

The material 'coordinates' μ_i^E of the control points are computed using least squares with $n_{LS} = 100$ sample
 points, according to Eq. (6) (see Figs. 7b, and 8a)

$$\mu^E = [100000, 131438, 185772, 46415, 46415, 185772, 131438, 100000]. \quad (9)$$

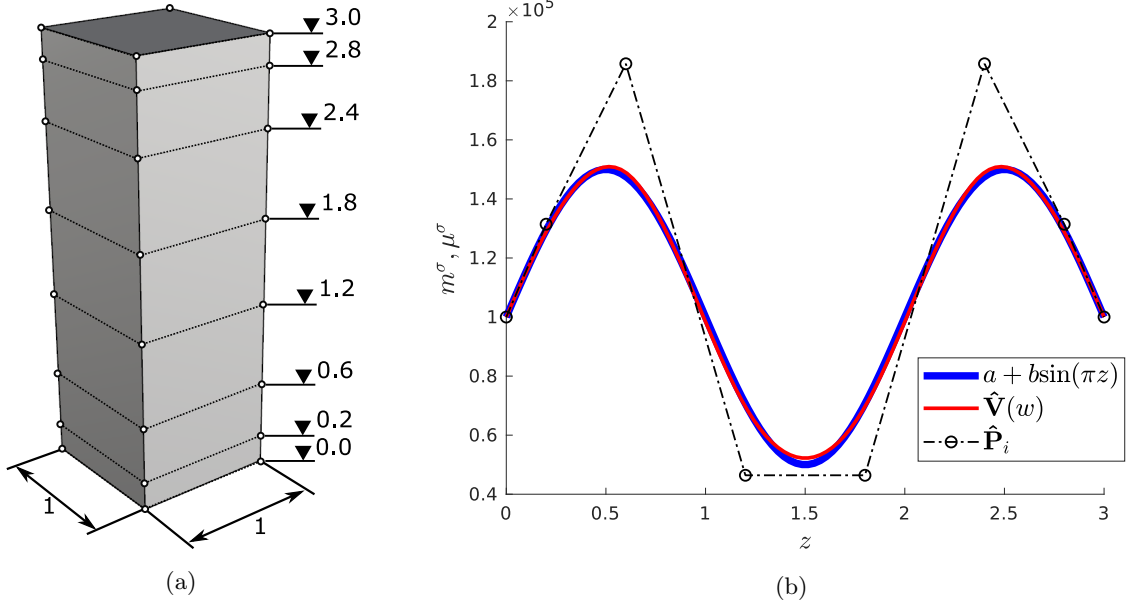


Figure 7: (a) Dimensions of the cuboid. (b) Least squares fitting of $E(z)$ yielding the material coordinates μ_i^E .

299 For the simulation, the cuboid is embedded into a slightly larger fictitious domain and discretized with $7 \times 7 \times 17$
300 finite cells. An octree with a maximum subdivision depth of $n = 4$ is used for the integration. Homogeneous
301 Dirichlet boundary conditions are applied in x -direction on the left, in y -direction on the front, and in
302 z -direction on the bottom surface using the penalty method. The cuboid is loaded on the top surface with
303 a traction of $f = -1000$ in z -direction. A p -refinement is carried out to study the convergence behavior,
304 increasing the order of the Legendre ansatz function from $p = 1 \dots 8$. The accuracy is measured by comparing
305 the strain energy to a reference solution U_{ref} , which was computed with an extensive boundary-conforming
306 finite element analysis (see Fig. 8b).

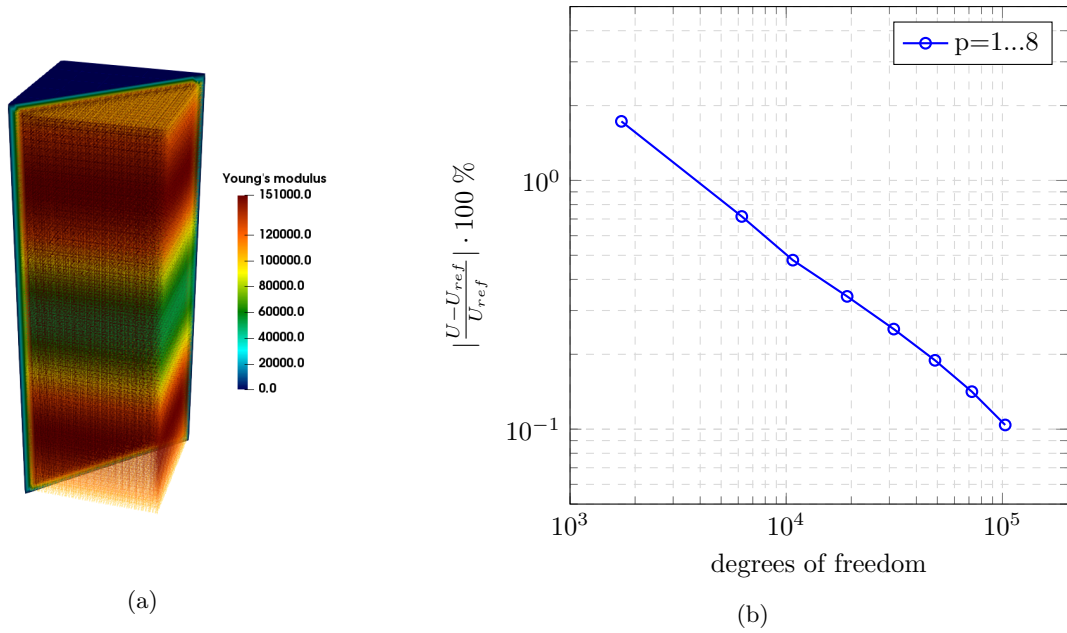


Figure 8: (a) Young's modulus evaluated on integration points inside the cuboid and in the fictitious domain. (b) Relative error in the strain energy for polynomial degrees $p = 1 \dots 8$.

307 Fig. 9 shows the displacements and the von Mises stresses on the deformed cuboid. The regions of lower stiffness are undergoing a larger deformation. In the region of high stiffness, the stress concentrates on the surfaces.

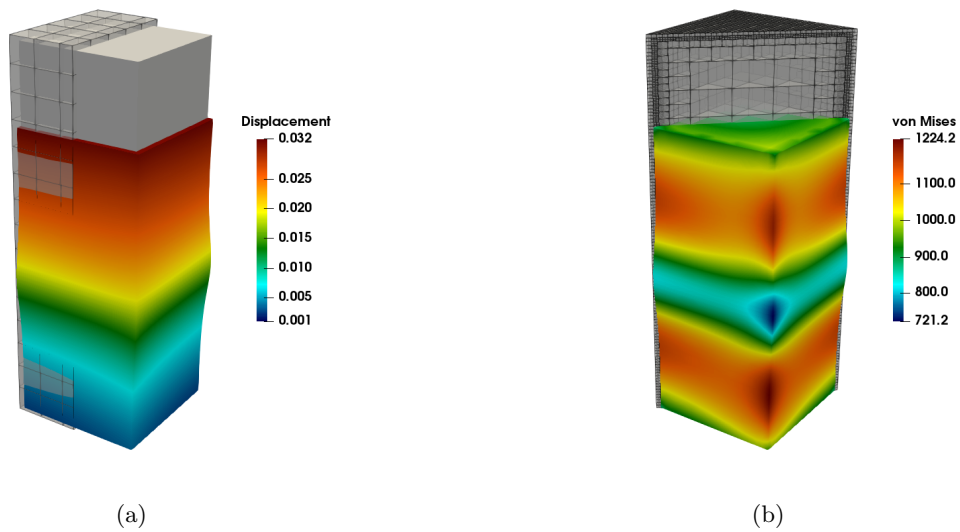


Figure 9: (a) Displacements warped around the undeformed cuboid (grey block) embedded into the finite cell mesh. (b) Von Mises stresses and integration mesh. The deformation is scaled by a factor of 20.

308

309 3.2.2 Example 2: Curved thermal shielding tile

310 For the second example, three curved thermal shielding tiles are simulated. Such tiles are needed for high-
 311 temperature applications, such as re-entrance shielding for spacecrafts or the inner coating of fusion power
 312 plants. The tiles consist of a load-carrying zone made of titanium Ti and an insulating zone made of porous

313 silica SiO_2 with a porosity of 70%. Both materials have similar melting points of $\Theta_{Ti} = 1.668^\circ\text{C}$ for titanium
 314 and $\Theta_{\text{SiO}_2} = 1.710^\circ\text{C}$ for silica, which allows a fabrication with additive manufacturing using e.g. powder bed
 315 laser melting.

316 Special focus is laid on the continuity of the transition zone between these materials. The first discontinuous
 317 tile consists of two distinct domains where both domains are assumed to be homogeneous titanium and silica,
 318 respectively, i.e. there is no transition zone. The material is changed C^0 -continuously in the second tile, and
 319 C^1 -continuously in the third tile. To evaluate the stresses under a heat load, a coupled simulation is carried out.
 320 An initial thermal simulation provides the temperature distribution, which is then used to apply thermal strains
 321 for the subsequent thermo-elastic simulation. Consequently, the model will deform due to the different thermal
 322 expansion ratios. This deformation is, however, hindered by the different Young's moduli in the transition zone,
 323 then leading to internal stresses.

The underlying V-model consists of one V-cell and was generated by extruding a curved two-dimensional
 B-spline patch 5 cm in z -direction. The V-cell has polynomial degrees of $p_x = p_y = 2$ in x - and y -direction
 and $p_z = 1$ in z -direction. For the C^1 -continuous tile, the polynomial degree in z -direction is $p_z = 2$. To
 construct the discontinuous tile, the V-model was split at $\Delta z_{div} = 1.25\text{ cm}$ using knot-insertion. The knot
 vectors and z -coordinates of the control points for all tiles read as follows

$$U_{Discont.} = [0, 0, 0.25, 0.25, 1, 1] \quad (10)$$

$$U_{C^0} = [0, 0, 0.15, 0.35, 1, 1] \quad (11)$$

$$U_{C^1} = [0, 0, 0, 0.05, 0.29, 0.5, 1, 1, 1] \quad (12)$$

$$CP_{z,Discont.} = [0, 1.25, 1.25, 5] \quad (13)$$

$$CP_{z,C^0} = [0, 0.75, 1.75, 5] \quad (14)$$

$$CP_{z,C^1} = [0, 0.2, 0.8, 1.7, 3.6, 5]. \quad (15)$$

324 The resulting material distributions are depicted in Fig. 11 exemplary for the Young's modulus. The other
 325 material properties are distributed similarly. The parameters for the B-splines were chosen such that the
 326 integral of the material over the thickness is equal for all three tiles. Fig. 10 shows the outer dimensions of the
 327 tiles in cm.

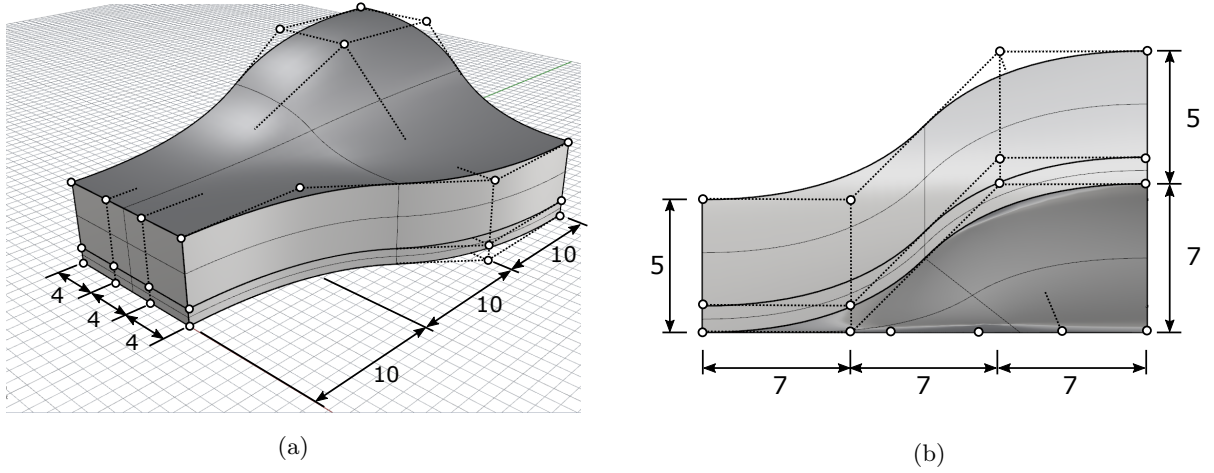


Figure 10: Model dimensions (in cm) and control point mesh of the discontinuous tile in (a) isometric view, and (b) from the back side.

328 To perform the coupled simulation, four different material parameters are required for both materials (see
 329 Tab. 1). The properties were taken from AZO Materials and averaged if necessary [75]. Due to the porosity of
 330 the silica, the respective Young's modulus E_{SiO_2} and the thermal conductivity κ_{SiO_2} must be adapted. This is
 331 implemented based on the Gibson-Ashby criteria [76]. In contrast, the Poisson's ratio ν_{SiO_2} and the thermal
 332 expansions α_{SiO_2} require no adjustment [77].

Property	Symbol	Titanium	Silica (70% porosity)	Units
Young's Modulus	E	11,600	634	kN/cm^2
Poisson's Ratio	ν	0.36	0.17	–
Thermal conductivity	κ	0.216	$2.3 \cdot 10^{-3}$	W/cmK
Thermal expansion	α	$8.6 \cdot 10^{-6}$	$6.5 \cdot 10^{-7}$	$1/K$

Table 1: Material properties of titanium and porous silica for the coupled simulation.

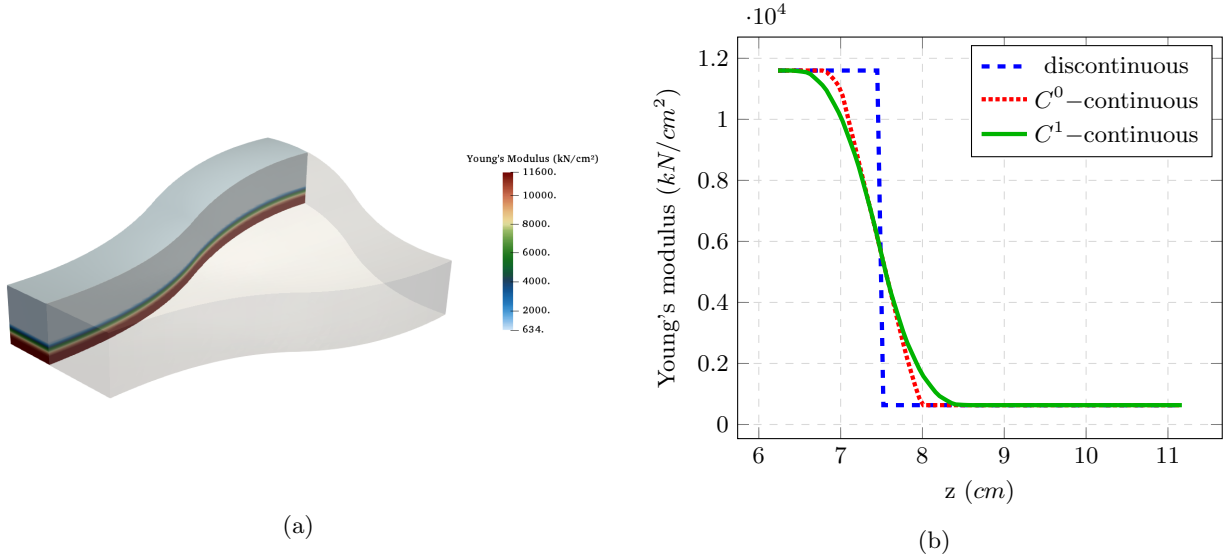


Figure 11: Material distribution of the Young's modulus (a) inside the C^0 -continuous tile and (b) plotted at $x = 5 \text{ cm}$, $y = 25 \text{ cm}$ over the thickness.

333 The simulation is based on $16 \times 23 \times 9$ finite cells with a polynomial degree of $p = 3$ and an integration
 334 subdivision depth of $n = 3$. For the preceding heat simulations, Dirichlet boundary conditions are applied with
 335 a prescribed heat of 1000°C on the top surface and 20°C on the bottom surface. The resulting temperature
 336 inside the tiles is then transferred as a body strain to perform a thermo-elastic simulation. Additionally, the
 337 tiles are clamped at the bottom surface. The simulation of the tile with the discontinuous material distribution
 338 is carried out on two separate meshes, which are 'glued' together in a weak sense along their coupling surface.
 339 To resolve the critical regions, the multi-level hp -method [60] is used to a refinement depth of two around the
 340 coupling surface for the meshes of the discontinuous tile and once in the transition zones of the continuous tiles
 341 (see Fig. 12).

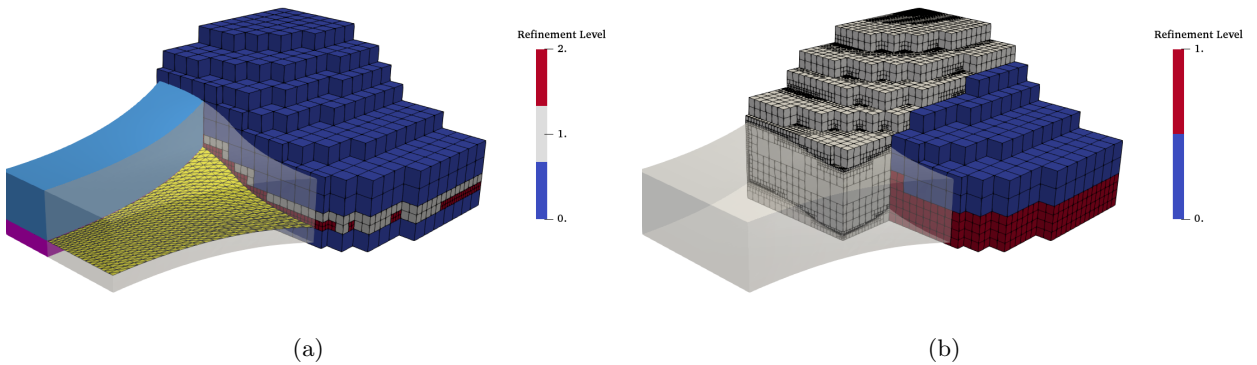


Figure 12: Discretizations of (a) the discontinuous tile: The mesh is refined twice around the coupling surface (yellow), which divides the upper (light blue) and lower domain (purple). (b) The C^0 -continuous tile: The FCM mesh is refined once in the transition zone (cells in blue are unrefined, and cells in red are refined once). The grey mesh in the background corresponds to the octree for the integration. The C^1 -continuous tile is meshed and refined analogously.

342 To visualize the results inside the tiles, a cut through the model is investigated at $x = 5 \text{ cm}$. Fig. 13 shows the

343 temperature distribution and displacements of the C^0 -continuous tile. The temperature and the displacement
 344 distributions are almost identical for all tiles. More relevant are the stress distributions. As can be seen in
 345 Fig. 14, a stress concentration occurs at the coupling surface of the discontinuous tile. Figs. 15 and 16 plot
 346 the temperature distribution, displacements, and stresses over the height at $x = 5\text{ cm}$ and $y = 25\text{ cm}$. The
 347 discontinuous material distribution yields a C^0 -continuous heat and displacement distribution, which then
 348 entails a discontinuous stress distribution with a maximum peak at the interface region. This is critical as it
 349 will potentially cause delamination. The C^0 -continuous material distribution, on the other hand, ensures a
 350 continuous and much smaller stress distribution throughout the entire domain. This effect can be augmented
 351 further by using a C^1 -continuous material distribution. Continuous materials, on the other hand, involve a
 352 larger heat flux. For the 1D case, the thermal resistance is reduced to approximately 86% for the C^0 -continuous
 353 and approximately 75% for the C^1 -continuous material with respect to the discontinuous material distribution.

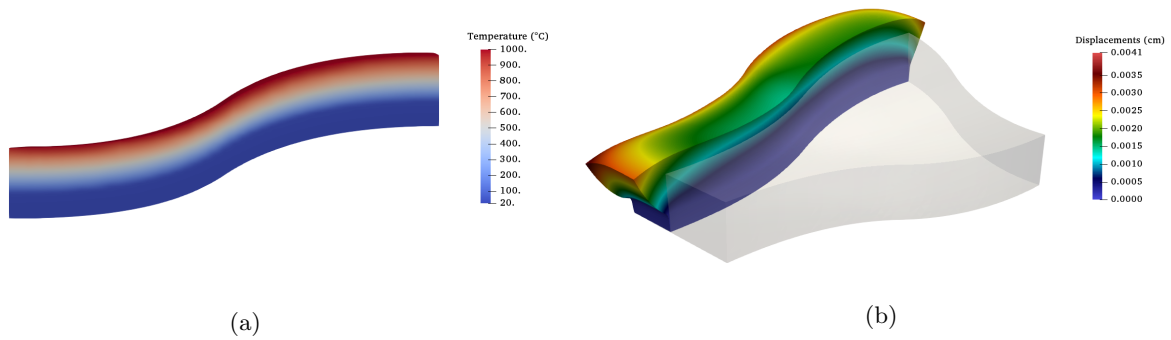


Figure 13: C^0 -continuous tile: (a) Temperature distribution and (b) displacements warped by a scaling factor of 1000.

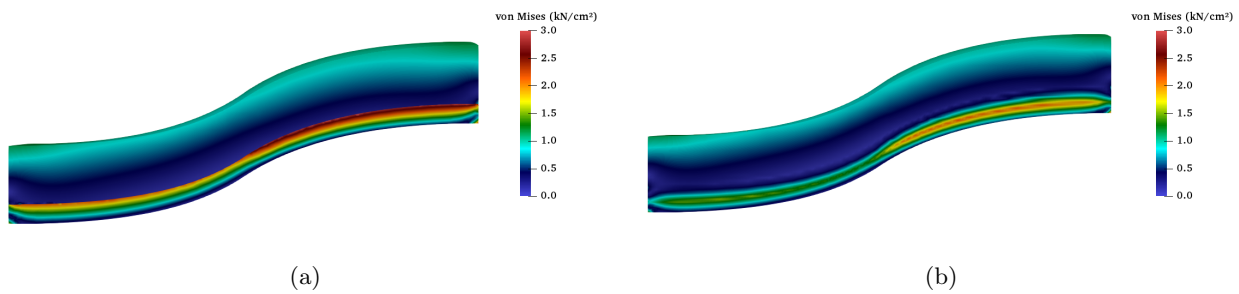


Figure 14: Von Mises stresses of the (a) discontinuous and (b) C^0 -continuous thermal shielding tile. The stress distribution of the C^1 -continuous tile looks very similar to the C^0 -continuous tile.

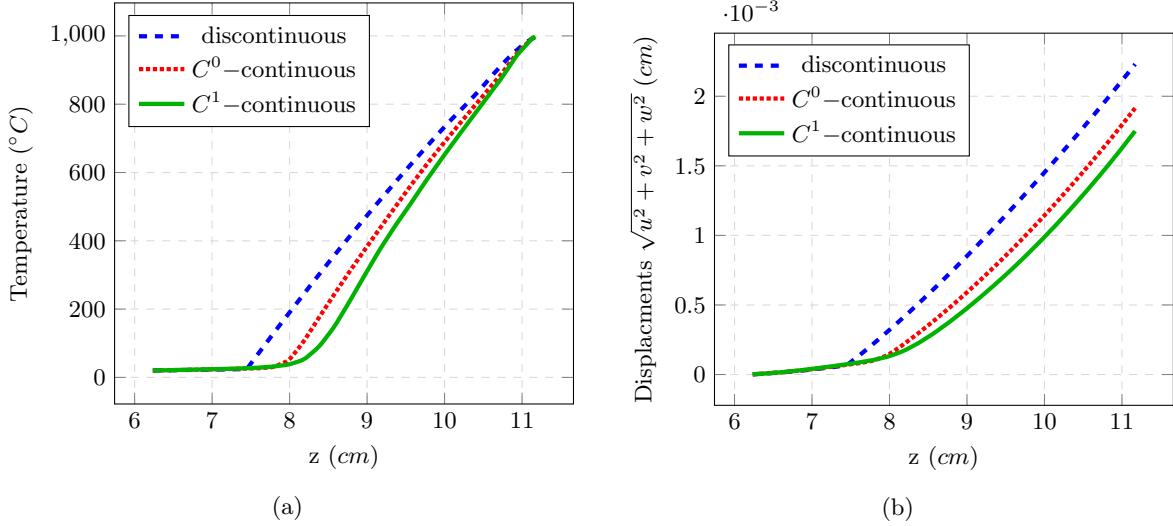


Figure 15: Comparison of (a) the temperature and (b) the displacements of the discontinuous and continuous tiles at $x = 5 \text{ cm}$, $y = 25 \text{ cm}$ over the thickness.

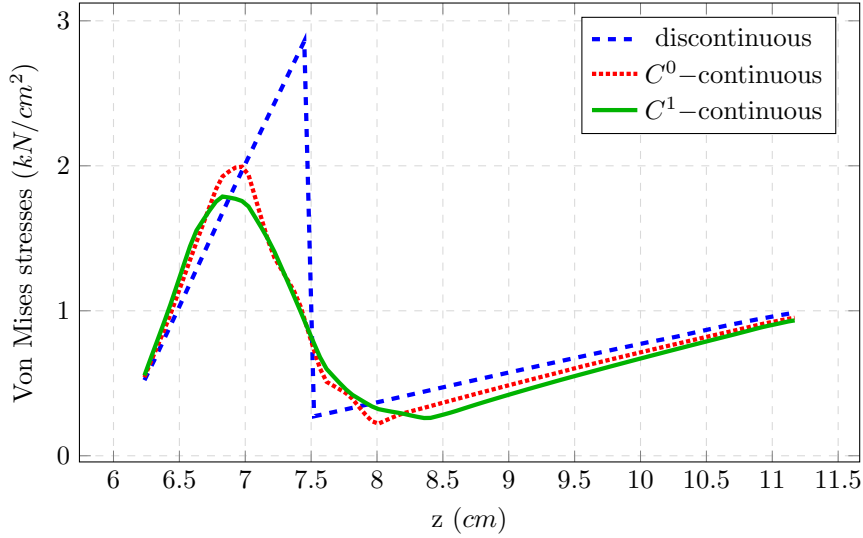


Figure 16: Comparison of the von Mises stresses of the discontinuous and continuous tiles at $x = 5 \text{ cm}$, $y = 25 \text{ cm}$ over the thickness.

3.2.3 Example 3: Anisotropic microstructure

For the third example, a linear-elastic simulation on the microstructure depicted in Figure 6 is carried out. It resembles a porous, foam-like microstructure stiffened by an outer shell. To generate this model, a continuously changing microstructure is created with GuIrit and converted into a surface model. The outer shell is added with a Boolean Union, and the microstructure outside to the shell is trimmed away. Finally, the computational model is extracted with a Boolean Intersection. Fig. 17 depicts the selection of the computational domain and the final model with the respective surfaces for the boundary conditions.

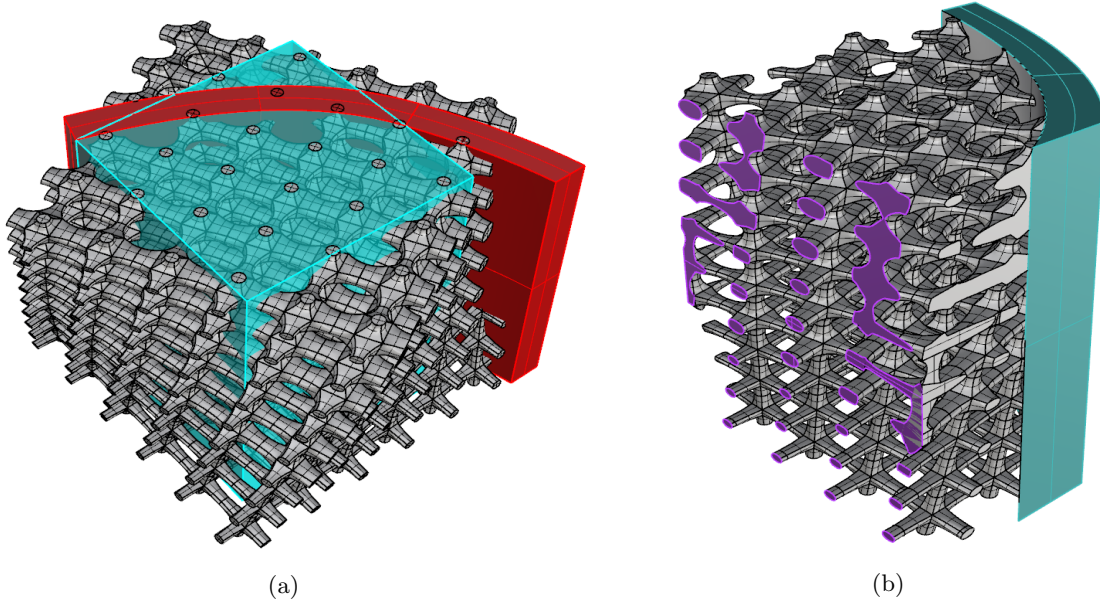


Figure 17: (a) Selection of the computational domain (turquoise). An outer shell (red) is embedded into a microstructure. (b) Intersection of the microstructure with Ω_U leading to the physical domain Ω_{phy} . Boundary conditions are applied on the highlighted intersection surfaces.

361 The underlying microstructure consists of $6 \times 6 \times 9$ unit tiles and an overall number of 2268 trivariate B-splines.
 362 Converted into a surface model and after the deletion of internal surfaces, the microstructure consists of 8064
 363 B-spline surfaces. For the simulation, homogeneous Dirichlet boundary conditions are applied on the cutting
 364 planes of the shell (see Fig. 17b – highlighted in turquoise). The top and bottom surface fix the displacements in
 365 x - and z -direction, and the front and back surface restrict the displacements in x - and y -direction. Dirichlet
 366 boundary conditions of $\Delta u = 0.1$ are applied on the outer surfaces on the left side (see Fig. 17b – highlighted in
 367 purple). All boundary conditions are enforced with the penalty method. The Young's modulus is $E = 100 \text{ GPa}$
 368 and a Poisson's ratio of $\nu = 0.3 \forall \mathbf{x} \in \Omega_{phy}$. The simulation is based on $20 \times 20 \times 20$ finite cells, employing
 369 Legendre polynomials of degree $p = 4$. The subdivision depth of the octree for the integration is set to $n = 4$.

370 Figs. 18 and 19 show the displacements and the von Mises stresses. Clearly, such a fully resolved simulation
 371 is slower than the numerical homogenization presented in section 3.1.2 – especially because homogenization
 372 in the linear case allows to create a lookup table. However, the discussed fully resolved model can be used
 373 to verify the homogenization. This is addressed in the following examples 3.2.4, and 3.2.5. Note, since the
 374 shape functions are bad suited to represent holes inside one finite cell, meaning 'material-void-material', the
 375 microstructure needs to be resolved with many finite cells. A remedy can be local enrichment as presented
 376 in [78].

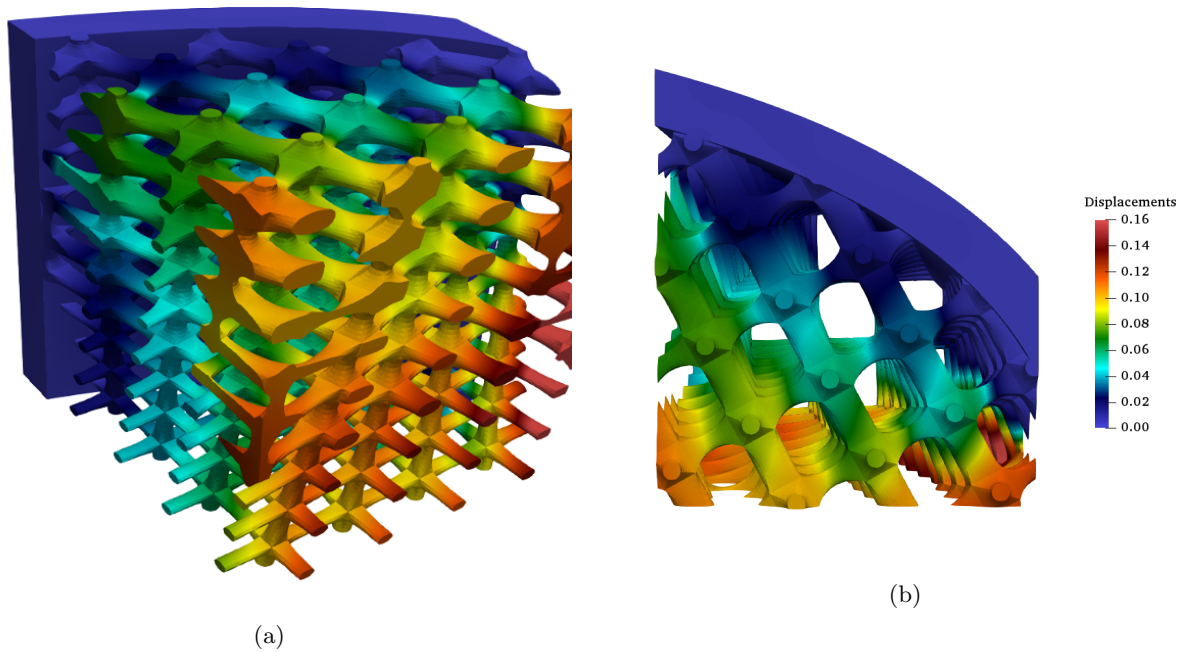


Figure 18: Displacements in (a) isometric view and (b) from the top.

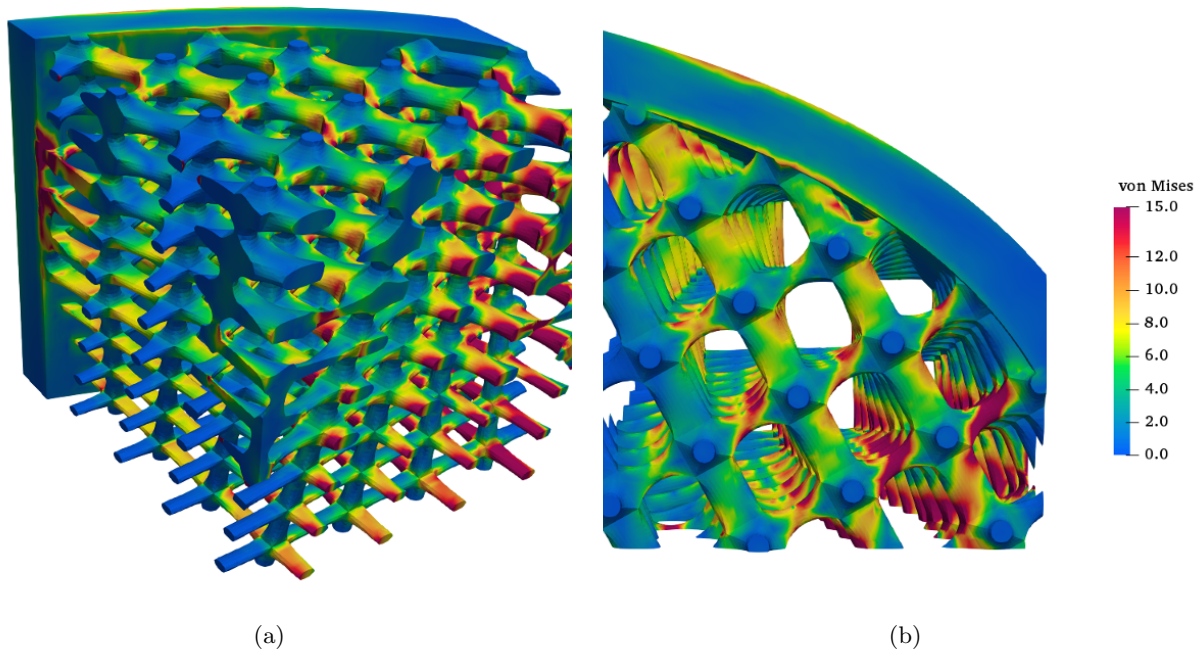


Figure 19: Von Mises stresses in (a) isometric view and (b) from the top.

377 3.2.4 Example 4: Material characterization database for unit tiles

378 For a simulation of such microstructures (compare 3.2.3) with homogenized material, it is necessary to recover
 379 the material behavior of the RVEs, i.e., in this case, the unit tiles. To this end, homogenization simulations
 380 were carried out for all unit tiles. The material of the microstructure is considered to be steel with a Young's
 381 modulus of $E = 210 \text{ GPa}$ and a Poisson's ratio of $\nu = 0.3$. Each tile is discretized with $11 \times 11 \times 11$ finite cells

382 of polynomial degree $p = 5$. For the domain integration, the moment-fitting approach [62] with the depth of an
 383 underlying octree of $d = 6$ is chosen. As the structures under consideration are geometrically periodic, periodic
 384 boundary conditions are the natural choice for transferring the macroscopic quantities to the microscopic unit
 385 cells.

For arbitrary rotations, these constants can be computed analytically via a coordinate transformation. Then, the Bond-Transformation matrices [79] can be used to rotate the effective elasticity tensor by a matrix-matrix multiplication. Assume the following ordering of the macroscopic stresses σ_{ij}^M and strains ε_{ij}^M in the Voigt notation

$$\begin{bmatrix} \sigma_{11}^M \\ \sigma_{22}^M \\ \sigma_{33}^M \\ \sigma_{12}^M \\ \sigma_{23}^M \\ \sigma_{13}^M \end{bmatrix} = \begin{bmatrix} C_{11}^* & C_{12}^* & C_{13}^* & C_{14}^* & C_{15}^* & C_{16}^* \\ C_{12}^* & C_{22}^* & C_{23}^* & C_{24}^* & C_{25}^* & C_{26}^* \\ C_{13}^* & C_{23}^* & C_{33}^* & C_{34}^* & C_{35}^* & C_{36}^* \\ C_{14}^* & C_{24}^* & C_{34}^* & C_{44}^* & C_{45}^* & C_{46}^* \\ C_{15}^* & C_{25}^* & C_{35}^* & C_{45}^* & C_{55}^* & C_{56}^* \\ C_{16}^* & C_{26}^* & C_{36}^* & C_{46}^* & C_{56}^* & C_{66}^* \end{bmatrix} \begin{bmatrix} \varepsilon_{11}^M \\ \varepsilon_{22}^M \\ \varepsilon_{33}^M \\ \varepsilon_{12}^M \\ \varepsilon_{23}^M \\ \varepsilon_{13}^M \end{bmatrix}. \quad (16)$$

Then, the transformation of the effective elastic tensor reads as follows

$$\mathbf{C}' = \mathbf{M}\mathbf{C}^*\mathbf{N}^{-1}, \quad (17)$$

386 where \mathbf{C}^* is the effective elasticity tensor, \mathbf{C}' is the effective elasticity tensor in rotated coordinates, and \mathbf{M}
 387 and \mathbf{N} are the Bond-stress and the Bond-strain transformation matrices, respectively.

388 In this work, an exemplary a rotation of the unit tiles (see Fig. 6b) around the z -axis is considered. The Bond
 389 strain and stress matrices for this rotation are defined as follows:

$$\mathbf{M} = \begin{bmatrix} \cos^2(\alpha) & \sin^2(\alpha) & 0 & \sin(2\alpha) & 0 & 0 \\ \sin^2(\alpha) & \cos^2(\alpha) & 0 & -\sin(2\alpha) & 0 & 0 \\ 0 & 0 & 1.0 & 0 & 0 & 0 \\ -\frac{\sin(2\alpha)}{2} & \frac{\sin(2\alpha)}{2} & 0 & \cos(2\alpha) & 0 & 0 \\ 0 & 0 & 0 & 0 & \cos(\alpha) & -\sin(\alpha) \\ 0 & 0 & 0 & 0 & \sin(\alpha) & \cos(\alpha) \end{bmatrix} \quad (18)$$

$$\mathbf{N} = \begin{bmatrix} \cos^2(\alpha) & \sin^2(\alpha) & 0 & \frac{\sin(2\alpha)}{2} & 0 & 0 \\ \sin^2(\alpha) & \cos^2(\alpha) & 0 & -\frac{\sin(2\alpha)}{2} & 0 & 0 \\ 0 & 0 & 1.0 & 0 & 0 & 0 \\ -\sin(2\alpha) & \sin(2\alpha) & 0 & \cos(2\alpha) & 0 & 0 \\ 0 & 0 & 0 & 0 & \cos(\alpha) & -\sin(\alpha) \\ 0 & 0 & 0 & 0 & \sin(\alpha) & \cos(\alpha) \end{bmatrix} \quad (19)$$

390 Given a set of different (an-)isotropic unit tiles that can be used to construct such microstructures, it is possible
 391 to create a database of homogenized materials, which can then be used to simulate different macroscopic load
 392 cases. Furthermore – assuming that the macroscopic principal stresses were already computed on an isotropic
 393 material for a certain critical load case – this database can serve as a look-up table for selecting the best-suited
 394 unit tile for the respective region. Tab. 2 is a snippet of such a look-up table, and it shows the effective elasticity
 395 tensors for two varying material properties, i.e. the rotation around the z -axis and the diameter of the rod in
 396 x -direction. The material properties in-between can be interpolated. Of course, a complete look-up table would
 397 at least require rotations also around the x - and y -axis and additional unit tiles with two stiffer directions,
 398 and equal stiffness in all three directions.

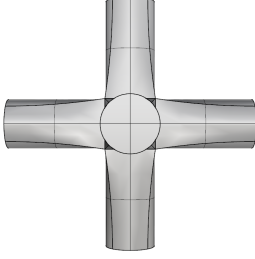
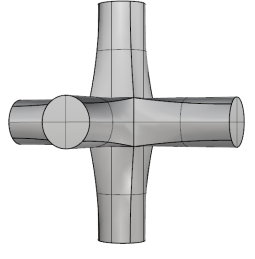
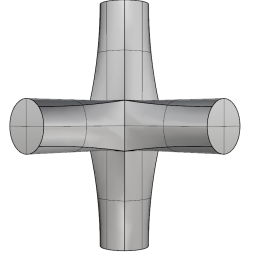
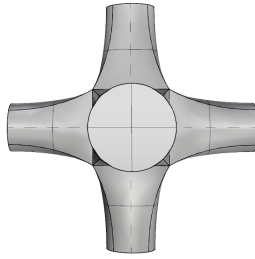
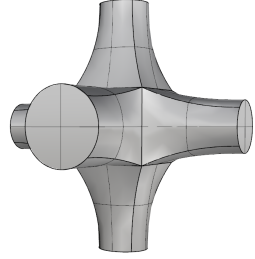
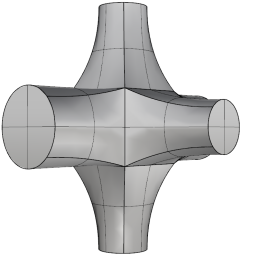
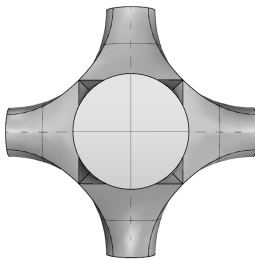
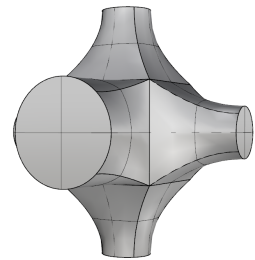
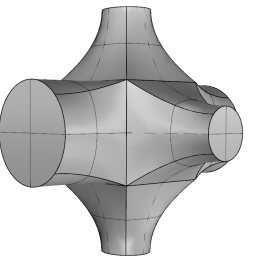
		Rotation around the z -axis		
		0°	22.5°	45°
Diameter of the rod in x -direction	0.2 mm	 $C_{11} = 7.9 \text{ GPa}$ $C_{22} = 7.9 \text{ GPa}$	 $C_{11} = 6.1 \text{ GPa}$ $C_{22} = 6.1 \text{ GPa}$	 $C_{11} = 4.4 \text{ GPa}$ $C_{22} = 4.4 \text{ GPa}$
	0.3 mm	 $C_{11} = 18.2 \text{ GPa}$ $C_{22} = 11.1 \text{ GPa}$	 $C_{11} = 14.2 \text{ GPa}$ $C_{22} = 9.1 \text{ GPa}$	 $C_{11} = 8.6 \text{ GPa}$ $C_{22} = 8.6 \text{ GPa}$
	0.4 mm	 $C_{11} = 33.8 \text{ GPa}$ $C_{22} = 14.8 \text{ GPa}$	 $C_{11} = 26.5 \text{ GPa}$ $C_{22} = 13.0 \text{ GPa}$	 $C_{11} = 15.2 \text{ GPa}$ $C_{22} = 15.2 \text{ GPa}$

Table 2: Exemplary look-up table for the effective elasticity tensors (here represented by C_{11} and C_{22}) for changing diameters of the rod in x -direction and rotations around the z -axis.

Tile 1 (see Fig. 20) shows a cubic macroscopic material symmetry with three independent elasticity coeffi-

icients [80], namely C_{11} , C_{12} and C_{44}

$$C_{T1}^* = \begin{bmatrix} 7895.81 & 432.89 & 432.89 & 0.00 & 0.00 & 0.00 \\ 432.89 & 7895.81 & 432.89 & 0.00 & 0.00 & 0.00 \\ 432.89 & 432.89 & 7895.81 & 0.00 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 200.71 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 200.71 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 0.00 & 200.71 \end{bmatrix} \quad (20)$$

Due to the stiffer direction in x -direction, tile 2 and 3 (see Fig. 20) show a tetragonal effective material symmetry with C_{11} , C_{22} , C_{44} , C_{55} , C_{12} and C_{23} as independent entries:

$$C_{T2}^* = \begin{bmatrix} 18246.81 & 1026.56 & 1026.56 & 0.00 & 0.00 & 0.00 \\ 1026.56 & 11066.80 & 659.81 & 0.00 & 0.00 & 0.00 \\ 1026.56 & 659.81 & 11066.80 & 0.00 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 769.49 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 590.69 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 0.00 & 769.49 \end{bmatrix} \quad (21)$$

$$C_{T3}^* = \begin{bmatrix} 33809.00 & 2037.73 & 2037.73 & 0.00 & 0.00 & 0.00 \\ 2037.73 & 14770.28 & 997.14 & 0.00 & 0.00 & 0.00 \\ 2037.73 & 997.14 & 14771.08 & 0.00 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 2022.10 & 0.00 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 1375.86 & 0.00 \\ 0.00 & 0.00 & 0.00 & 0.00 & 0.00 & 2022.17 \end{bmatrix} \quad (22)$$

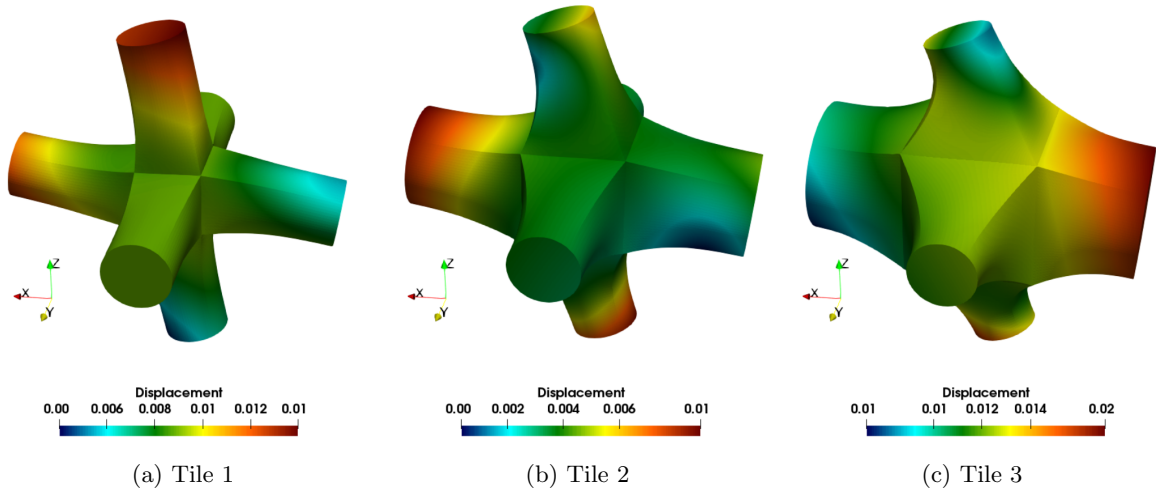


Figure 20: Displacement field of the warped tiles with a scale factor $s = 10$.

Eq. (17) is used to obtain the effective material tensors for arbitrary rotations around the z -axis. For a rotational degree of 45° , the material constants are verified numerically (see Fig. 21).

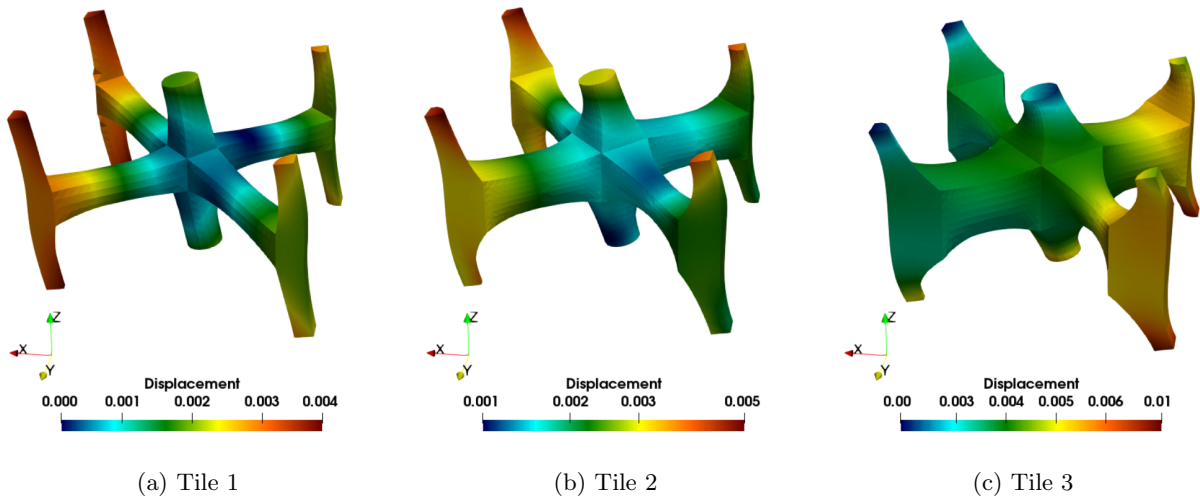


Figure 21: Displacement field of the warped rotated tiles with a scale factor $s = 10$.

A rotation of tile 1 around the z -axis has no influence on the third, fifth, and sixth columns, neither on the respective rows of the effective tensor. The coefficient C_{11} equals C_{22} due to the geometrical symmetry in x - and y - directions. C_{14} and C_{24} are of equal magnitude but have opposite signs. Fig. 22 shows the remaining independent material constants with respect to the rotational angle. The results of the numerical simulation at 45° are marked with red crosses.

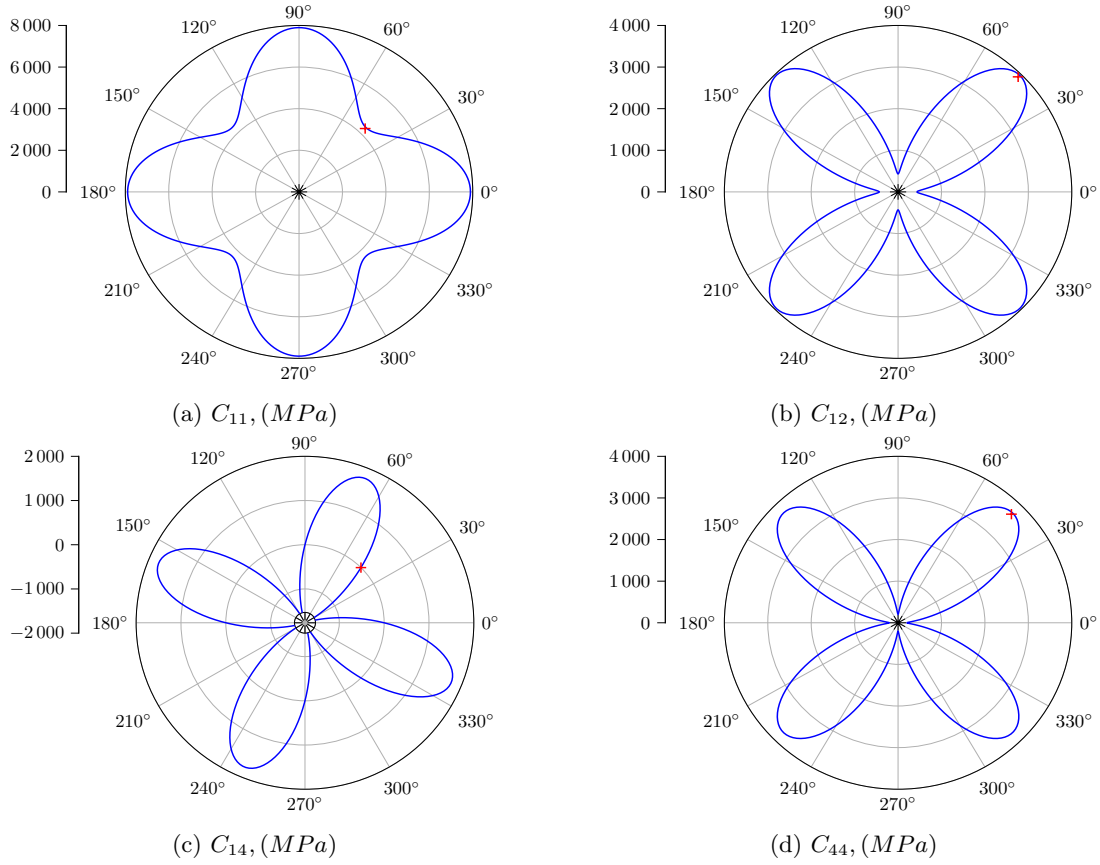


Figure 22: Independent elastic constants for tile 1 under rotation around the z -axis.

406 For tile 2, only the coefficient C_{33} which corresponds to the stiffness in z -direction remains unchanged under
 407 rotation around the z -axis. All other entries are affected by the altered symmetry. Considering a rotation angle
 408 of 90° , it is noteworthy that the coefficients C_{11} and C_{22} are switched with regard to the initial position. The
 409 same holds for the coefficient pairs C_{55} - C_{66} , and C_{13} - C_{23} . The rest of the independent material parameters
 410 are depicted in Fig. 23. Again, the results of the numerical simulation at 45° are marked with red crosses.

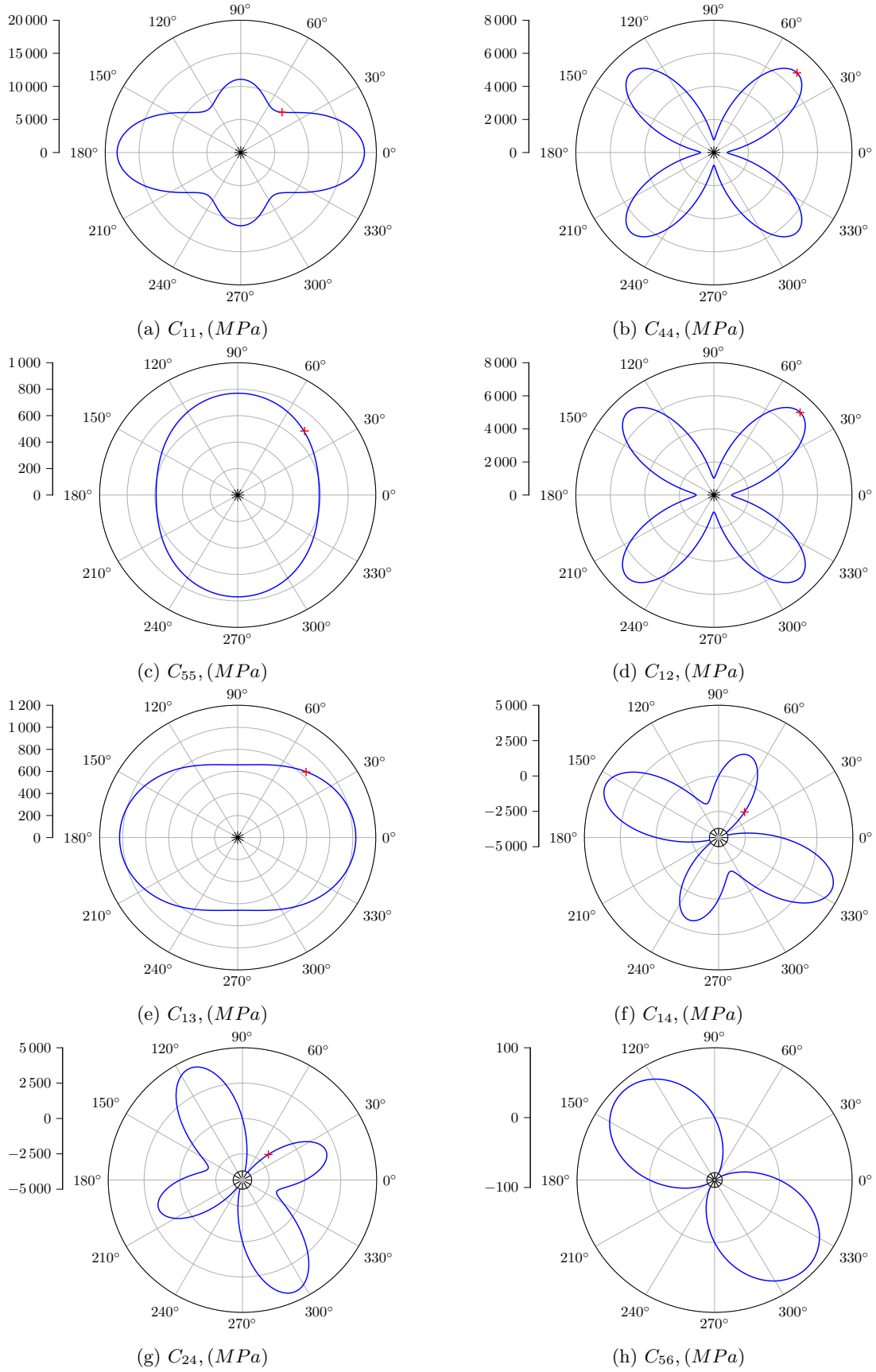


Figure 23: Independent elastic constants for tile 2 under rotation around the z -axis.

411 Tile 3 exhibits similar material symmetries as the second tile. Fig. 24 shows the material coefficients. Again,

the results of the numerical simulation at 45° are marked with red crosses.

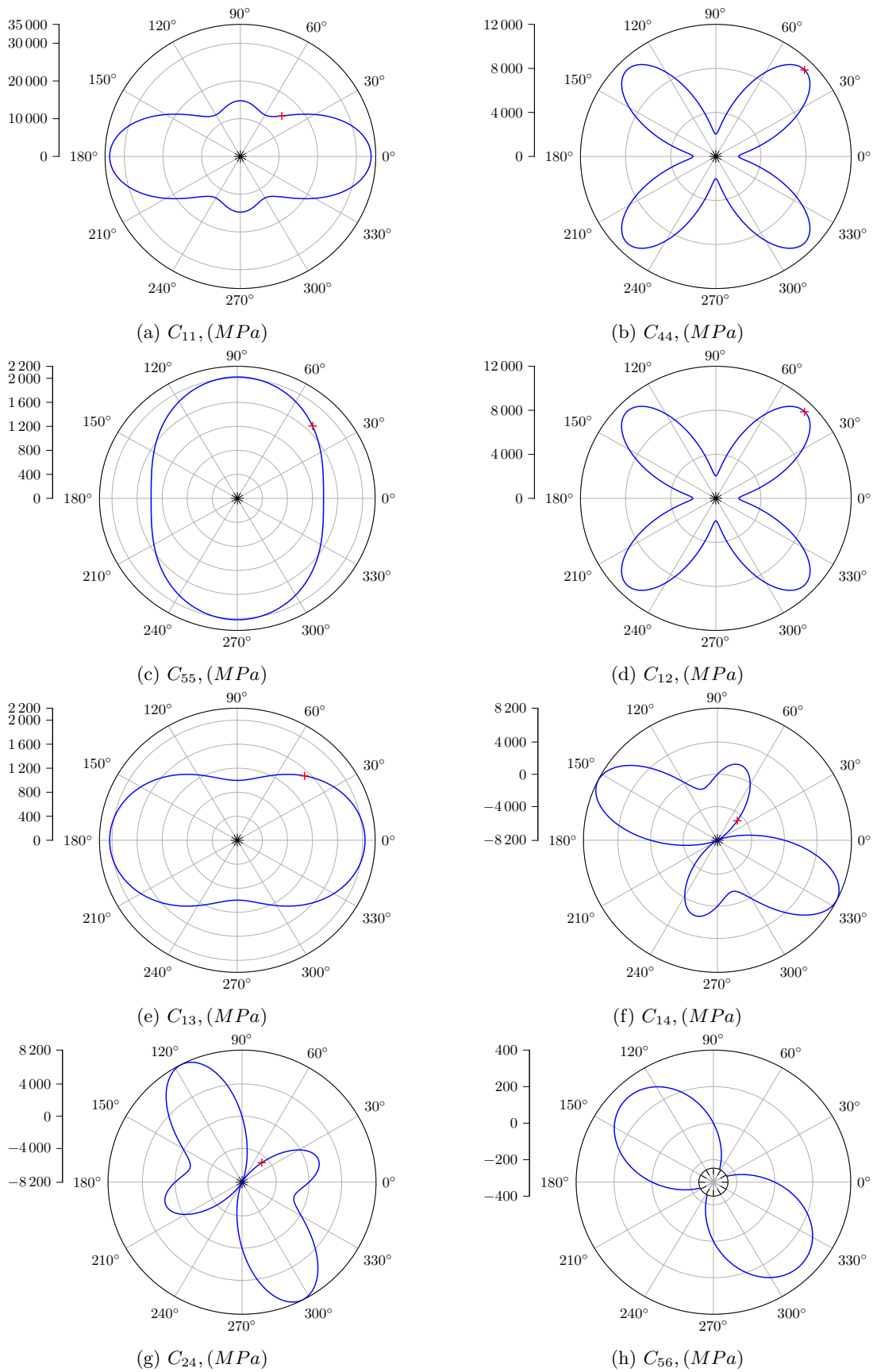


Figure 24: Independent elastic constants for tile 3 under rotation around the z -axis.

413 3.2.5 Example 5: Homogenized microstructure

414 Consider the model of Sec. 3.2.3 to be a part of a larger structure (see Fig. 25). Based on the material database
 415 for the homogenized unit tiles (see Tab. 2) it is possible to simulate such a large structure. For the simulation,
 416 the model is subdivided into an outer shell and an infill. The shell is considered to be of solid isotropic
 417 material, whereas the infill is a homogenized microstructure which continuously changes the two properties: a)
 418 the rotation angle ψ around the z -axis varies from 0° at the bottom to 90° at the top and b) the thickness of
 419 the rod \varnothing increases from the center axis of the infill (in z -direction) $\varnothing = 0.2\text{ mm}$ towards the interface of the
 420 shell $\varnothing = 0.4\text{ mm}$. A uni-axial compression state is achieved by applying a uniform displacement of $\Delta z = -1.0$
 421 on the top surface and restricting the displacements in z -direction on the bottom surface. Three additional
 422 point-bearings block the rigid body motions.

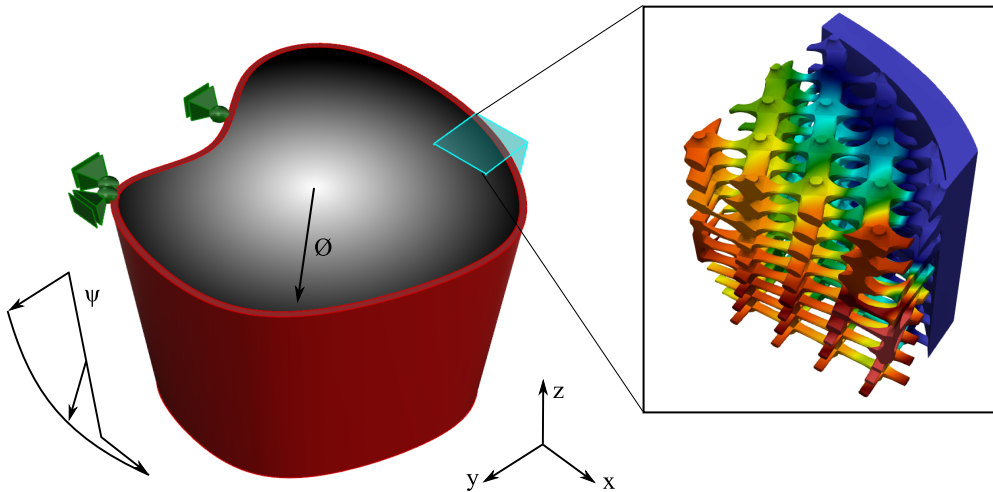


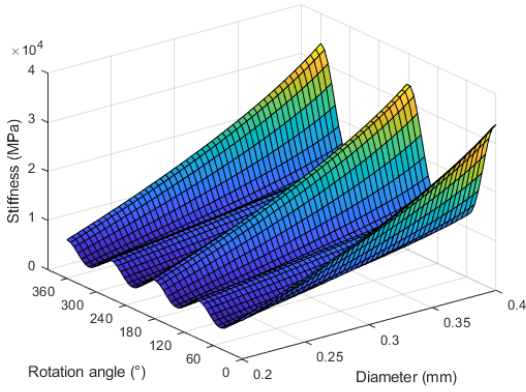
Figure 25: Structure consisting of a solid shell (red) and a homogenized microstructure (gray scale).

423 The simulation is based on $15 \times 15 \times 15$ high-order Legendre finite cells with a polynomial degree of $p = 4$, and
 424 moment-fitting with the depth of an underlying octree of $d = 4$ is chosen for the integration. At the interface
 425 between shell and infill, the mesh is refined to capture the material discontinuity. As the homogenization of the
 426 unit tiles was carried out with periodic boundary conditions, the behavior at the interface between shell and
 427 infill is not captured precisely. However, the affected domain is small compared to the overall structure, thus,
 428 the introduced error is negligible. If, however, the microscopic stress state at the transition from the micro-tiles
 429 to the shell is of interest, then a geometrically resolving simulation as in Sec. 3.2.3 can be performed.

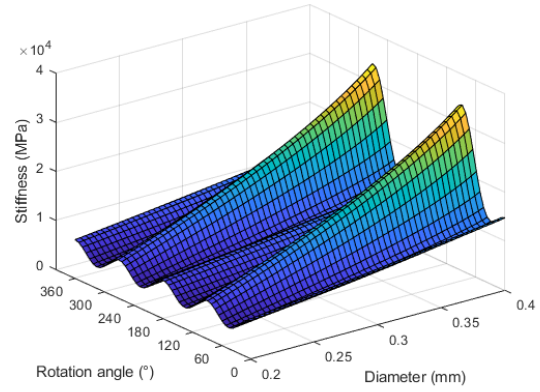
A total of 13 independent material coefficients are required to evaluate the material tensor of the continuously changing microstructure. To this end, the material coefficients from the look-up table (see Tab. 2) are interpolated using spline fitting. Fig. 26 exemplary shows the interpolation for the material coefficients C_{11} and

C_{22} .

$$C = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & 0 & 0 \\ & C_{22} & C_{23} & C_{24} & 0 & 0 \\ & & C_{33} & C_{34} & 0 & 0 \\ & & & C_{44} & 0 & 0 \\ & & & & C_{55} & C_{56} \\ \text{symm.} & & & & & C_{66} \end{bmatrix} \quad (23)$$



(a) C_{11}



(b) C_{22}

Figure 26: Spline based interpolation of the material coefficients C_{11} and C_{22} .

430 Fig. 27 shows the displacements in x -direction and von Mises stresses of the structure under uni-axial com-
 431 pression z -direction. The load is mainly transferred through the stiffer shell, yet the contribution of the infill
 432 cannot be neglected. Due to the uni-axial compression, the rotation angle ψ of the microstructure has only
 433 little influence. The thickness of the rod \emptyset , on the other hand, can be deduced directly from the stress field of
 434 the infill.

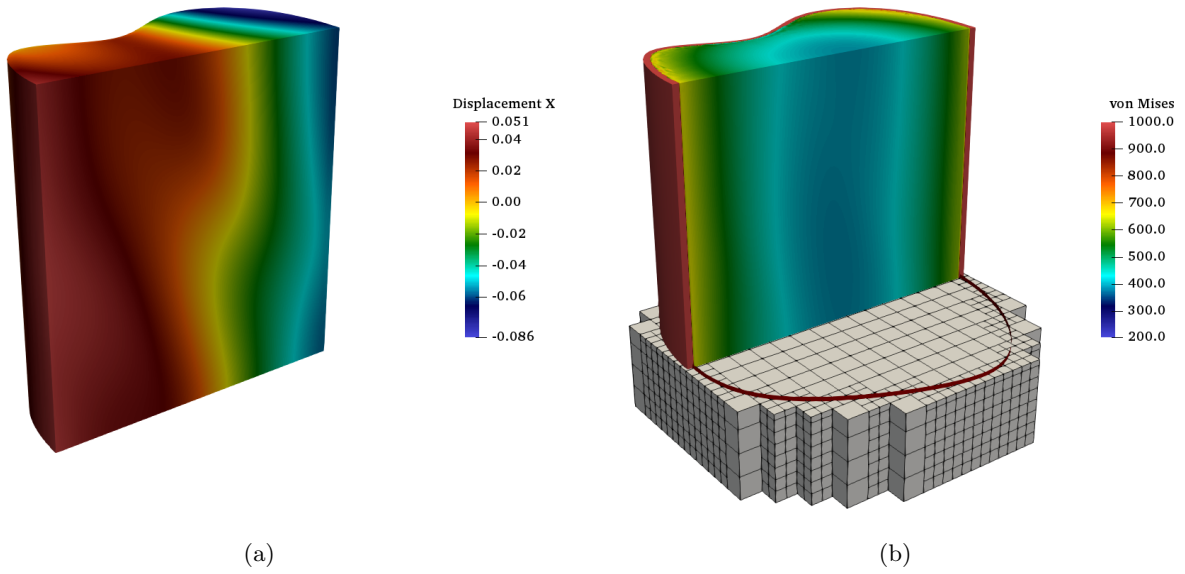


Figure 27: (a) Displacements in x -direction and (b) von Mises stresses with the finite cell mesh.

435 It should be noted that a geometrical change has no influence on the overall workflow. Even a topological
 436 change does not lead to a re-meshing as would be required for a simulation with classical FEM or IGA. To
 437 illustrate such a topological change, a hole is drilled through the structure (see Fig. 28). In the context of the
 438 FCM, a cylinder is simply subtracted with the Boolean difference. As can be seen, the infill contributes less to
 439 the load transfer, and high stress concentrations appear at the walls of the hole.

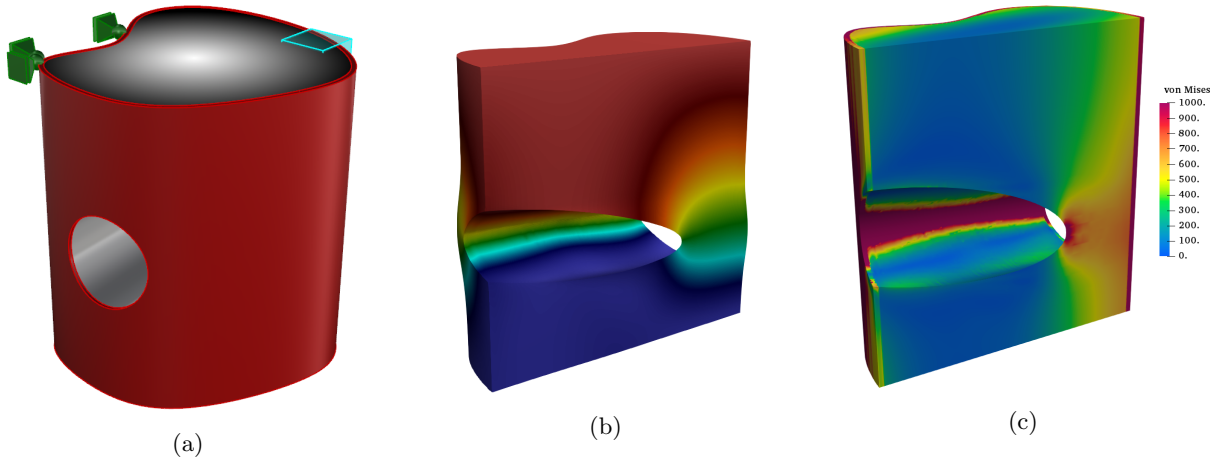


Figure 28: Structure with hole: (a) model, (b) displacements in z -direction (warped by a factor of $s = 2$), and (c) von Mises stresses.

440 4 Conclusions

441 In this work, we presented a methodology that allows to simulate functionally graded materials based on the
 442 V-rep framework and the FCM. V-reps, hereby, offer the possibility to apply the material directly to a model

443 or to design constructive FGM, such as microstructures. The Irit library and the graphical extension GuIrit
444 provide a variety of volumetric modeling and analysis tools that can be utilized directly with the FCM. Although
445 initially developed for IGA, FCM renders to be perfectly suited for the subsequent numerical simulation, as
446 it is only reliant on the point inclusion test provided by the V-rep framework. Furthermore, FCM allows an
447 adaptive non-global refinement towards critical regions, and it is perfectly suited for the homogenization of
448 microstructures. Numerical examples proved the applicability to single-material as well as to multi-material
449 FGM. Further developments could try to exploit the additive manufacturing tools of Irit, which allows to
450 directly create G-code from the model. This could then be used in terms of numerical simulations of the
451 additive manufacturing process.

452 **5 Declarations**

453 **5.1 Availability of data and materials**

454 The geometric models simulated and analysed during the current study are either reproducible with the provided
455 information, or available from the corresponding author on reasonable request.

456 **5.2 Competing interests**

457 The authors declare that they have no competing interests.

458 **5.3 Funding**

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465 **5.4 Authors' contributions**

466 BW was the corresponding author who wrote the main part of the paper, integrated the Irit geometry kernel into
467 the Adhoc++ FCM framework, and carried out most of the simulations. NK wrote the part on homogenization
468 and carried out the simulation and classification of the unit-tiles. SK was responsible for the content regarding
469 the finite cell method and implementation issues. ER guided the general structure and contents of the paper,
470 cross-checked the results and proposed most examples. GE provided the geometry kernel, and assistance for its
471 access. Additionally, he was responsible for the content of the V-reps. All authors read and approved the final

472 manuscript.

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476 Computing concerning development of the geometry kernel *Irit* and CAD software *GuIrit*.

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