



# Modeling the Effects of Soil Variability, Topography, and Management on the Yield of Barley

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A better understanding of processes involved in crop production as well as the use of models as tools for managing agricultural systems are main reasons for the development of growth models. During the last three decades, the complexity of models increased from simple input-output relationships to highly sophisticated mechanistic process oriented models. Nevertheless, today, the simpler crop yield models have received a wider application, e.g., in management oriented decisions for plant production and in the evaluation of field experiments at multiple scales. The spatial variability considerably influences the experimental error variance which is particularly relevant in highly managed production experiments and in breeding nurseries. Therefore, the purpose of this study was to predict the yield of barley based on regressions and analysis of variance/covariance (ANOVA, ANCOVA) in a long-term static nitrogen fertilizer experiment receiving six different forms of nitrogen and three levels of nitrogen. The factors were the level of fertilization and the applied N-fertilizer forms. As covariates, the apparent soil electrical conductivity ( $EC_a$ ), relief parameters, and location coordinates were used. These covariates were served as proxies for site conditions. The apparent conductivity was measured with different sensors (EM38, EM38-MK2) and in all possible configurations. The ANOVA indicated smaller  $R^2$ -values and higher root mean square differences (RMSD) in comparison to the ANCOVA (fertilized plots ANOVA:  $R^2 = 0.21$ , RMSD = 5.23 dt ha<sup>-1</sup>; ANCOVA:  $R^2 = 0.845$ , RMSD = 2.30 dt ha<sup>-1</sup>). Besides the form and level of fertilization, conductivity readings were the most important covariates accounting for the averaged long-term yields. Looking at the individual years,  $EC_a$  occurred in the ANCOVA models in 5 of 11 years, whereas topographic covariates (mainly variables with the parameter slope in the derivation) appeared in 8 years in the models. The introduction of plot-wise, time-invariant soil and relief parameters improved the discrimination of testing and modeling the treatment performance within the long-term field trial. The high degree of explanatory power in yield prediction delivered by static soil parameters makes them highly attractive and can contribute to an improved evaluation of production oriented field experiments.

**Keywords:** apparent electrical conductivity, soil mapping, topographical parameters, N-fertilizer, long term experimentation, precision farming, yield prediction

## INTRODUCTION

Modeling plant growth has become an increasing part of agriculture practice, e.g., in decision making, planning, and the consideration of risks. During the last 30 years, crop growth modeling has changed dramatically from simple input-output relationships to highly professional activities with submodels for agricultural meteorology including different CO<sub>2</sub>-concentrations, water retention and flow, turnover of C, N, and further parameters.

In this connection Bossel (1994) emphasized the meaning of gray box modeling. This forms a hybrid between the structural and behavioral models. The mixed form is particularly common since the effect structure and parameters of real systems can often only be determined incompletely. An attempt is made to present the impact structure to the best of its knowledge in such a way that the model exhibits a qualitatively correct behavior. Unknown model parameters are then adjusted so that the model also numerically matches the observed behavior of the original [Expert-N (Priesack, 2006), HERMES (Kersebaum and Beblík, 2001), DAISY (Abrahamsen and Hansen, 2000)].

Traditionally, crop modeling is derived from conventional experienced-based agronomic research, where yields were related to some defined variables. The advantage of black-box-modeling is that costly causal analysis can be dispensed. Despite the simplicity, this description of crop production with regressions and similar statistical procedures has until today had a wide application, e.g., in the derivation of fertilization recommendations and the desired level of liming.

Decisions in practical plant production in Germany are predominantly based on such simple models. These are components of the recommendations of the Association of German Agricultural Analytical and Research Institutes (VDLUFA). The VDLUFA models for nutrient dynamics are based on the examination of the soil and the balancing of nutrient supply and discharge. This organization acts as a political advisor for federal state ministries (<http://vdlufa.eu/index.php>, 2017)<sup>1</sup> and provides farmers with the appropriate fertilizer recommendations after soil analyses.

Further areas of application are the evaluation of field experiments (fertilization, liming, pest management, cover crop, tillage/no-tillage) which is addressed in this work. Here, the application of regressions and related statistical procedures have provided some qualitative understanding of the interaction of independent variables as well as the meaning for the dependent variable “yield.”

Therefore, investigations were carried out on a long-term fertilizer experiment with the treatments “six different forms of N-fertilizer” and “three levels of nitrogen fertilization (including control plots).”

The most common practice to evaluate such experiments is analysis of variance with the factors N-fertilizer and fertilization level. However, the variability of the soil and of the topography indicated a marked influence on the

yield level. For that reason, soil conductivity (EC<sub>a</sub>), relief parameters, and coordinates were used as proxies for site conditions. The advantage of these variables is that they are relatively easy to carry out and represent inexpensive measurements.

EC<sub>a</sub>, topographical parameters, and coordinates have no direct relationship to the growth and yield of plants, but the spatial variation of these parameters is partly correlated with soil properties that do affect crop productivity. Several studies have shown this connection (Jaynes et al., 1995b; Kitchen et al., 1999; Luchiarri et al., 2000; Corwin et al., 2003; Lukas et al., 2009). The wide range of the application of EM38- EC<sub>a</sub> are described in Heil and Schmidhalter (2017).

Kitchen et al. (1999) related EC<sub>a</sub> to yield and found a significant correlation (boundary lines with R<sup>2</sup> > 0.25 on most areas), but climate, crop type, and specific field information was also necessary to explain the relationship. Fraisse et al. (2001) also used a combination of EC<sub>a</sub> and topographic features to test their ability to describe yield variability. By dividing a field into four or five zones based on EC<sub>a</sub>, slope, and elevation, 10 to 37% of corn and soybean yields were explained. Guretzky et al. (2004) tested the relationship of the relief parameter “slope,” EC<sub>a</sub>, and legume distribution in pastures. The authors concluded that EC<sub>a</sub> and slope were useful in selecting sites in pastures with higher legume yield and indicated its use in the site-specific management of pastures. Tarr et al. (2003) used groups of apparent conductivity and topographical parameters to divide with fuzzy-k clustering a heterogeneous pasture area into relatively homogeneous zones. The five derived subareas indicated significant differences in the target variables (i.e., organic matter, soil moisture, P, K, and pH).

Relief and soil properties are often strongly correlated to the processes of soil development, erosion, and sedimentation (Gerrard, 1981; Pennock and de Jong, 1987; Moore et al., 1993). At a site in Andalusia, Southern Spain, the organic carbon content in the northern position was higher than in the other topographical aspects (Lozano-García et al., 2016). Various authors described the important role of the topography (especially elevation, slope, and aspect) on the temperature and humidity regimes (Bale et al., 1998; Mohanty and Skaggs, 2001; Rech et al., 2001; Griffiths et al., 2009). Yang et al. (1998) and Bakhsh et al. (2000) found, with the help of GIS data, that topographic was related to yield.

The geographical position of an object is given by the high value and the right-hand value. By using these coordinates, each yield value of a plot is given a particular grid reference (Thöle, 2010).

Modeling these relationships was the main objective of this study. Our focus was on the following questions:

- Could the yields of barley (annual and perennial) be estimated using EC<sub>a</sub> measurements, topographical parameters and Gauss-Krüger coordinates?
- Are there any predictors that are highly significant for barley yield at this location?
- What impact do fertilizer form and N-level have on yield in the models?

<sup>1</sup>VDLUFA, Deutschland. <http://vdlufa.eu/index.php>, 2017 (accessed 01.12.2017)

**TABLE 1** | Site description of the long term fertilization experiment in Dürnast (Heil and Schmidhalter, 2017).

Site description		0–25 cm	25–50 cm	50–75 cm
Elevation [m]		470 (469–472)		
Slope [rad]		0.05 (0.05–0.09)		
Aspect [rad]		2.64 (1.97–3.46)		
Soil texture [kg kg <sup>-1</sup> ]	Clay	20.8 (15.0–27.3)	23.3 (15.2–34.9)	26.2 (13.6–34.8)
	Silt	61.5 (54.4–67.5)	61.7 (35.7–72.9)	60.7 (32.8–76.8)
	Sand	16.6 (11.9–21.3)	14.4 (8.5–40.5)	12.4 (5.3–46.8)
	Skeleton	1.2 (0–3.0)	0.6 (0–7.0)	0.4 (0–3.0)
pH		6.44 (5.94–6.84)	6.36 (5.96–7.12)	6.31 (5.98–7.18)
C-content [%]		1.18 (0.94–1.38)	0.56 (0.35–1.14)	0.4 (0.22–1.11)
N-content [%]		0.1 (0.08–0.12)	0.06 (0.03–0.12)	0.04 (0.02–0.12)

## MATERIALS AND METHODS

### General Description, Site of the Dürnast Long-Term Experimental Field

The study area was ~0.31 ha in size, located 30 km north of Munich, Germany (4477221.13 E, 5362908.78 N) in the Tertiary landscape and is part of the long-term field experiments of the Chair of Plant Nutrition, Technical University of Munich (Heil and Schmidhalter, 2017).

The average annual temperature is 7.8°C, and the average annual precipitation is 810 mm (1971–2000).

The dominant soil material is Tertiary sediments with deposits of Pleistocene loess. The composition of the soil is a consequence of loess deposition and subsequent erosion. According to the German Soil Survey (Arbeitsgruppe Bodenkunde, 1994), fine-loamy Typic Udifluent and fine-silty Dystric Eutrochrept are the dominant soil types. The main characteristics of the relief and soil parameters are listed in **Table 1**.

### Experimental Design

The ongoing was established in encompassing 11 years of barley cultivation (1981, 1984, 1987, 1990, 1993, 1996, 1999, 2002, 2008, 2011, and 2013). Fertilizer amount and form, crop rotation, and plot size of this experiment are listed in **Table 2**. **Table 3** indicates the years of cultivation with barley, the cultivars and the number of replications. In **Figures 1, 2**, the layout of the experimental field is presented. Of note, calcium ammonium nitrate was tested twice. The control plots (no fertilization) were located within every row. Furthermore, after 2006, the experiment was reduced to four replications, identified as a-d (**Figure 1**).

### Data Collection

For the prediction of the barley yield, the following independent variables were used:

- (i) experimental cultivation parameters:
  - fertilizer (control = 0, fertilizer no. 1–6) (**Table 2**),
  - level of fertilization (control = 0, low = 1, high = 2) (**Table 3**);

**TABLE 2** | Basic features of the long term N-fertilization experiment.

Begin	1979
N-Fertilizer Form	Calcium ammonium nitrate (CAN; twice) Urea (Ur) Calcium cyanamide (CC) Ammonium sulfate (ANS) Urea ammonium nitrate (UAN) Ammonium sulfate + nitrification inhibitor (AS + NI)
N-rate	Low, high
Crop rotation	Potato Wheat Barley
Plot size	4 * 8 m

**TABLE 3** | Cultivars, amount of fertilizer, and number of replicates.

Year	Barley	Cultivar	N-fertilizer [kg ha <sup>-1</sup> ]		No. of replications	
			Low	High	Control	Fertilized plots
1981	Spring	Aramir	50	80	12	6
1984	Winter	Igri	100	150	12	6
1987	Winter	Igri	130	180	12	6
1990	Winter	Trixi	100	180	12	6
1993	Winter	Trixi	100	150	12	6
1996	Winter	Marinka	100	150	12	6
1999	Winter	Labea	100	150	12	6
2002	Winter	Camera	100	150	12	6
2008	Winter	Emily	140	180	7	4
2011	Winter	Metaxa	140	180	8	4
2013	Winter	Meridian	140	180	7	4

- (ii) EC<sub>a</sub> (EM38-v, EM38-h, MK2-v-1.0m, MK2-h-1.0m, MK2-v-0.5m and MK2-h-0.5m); for explanations see section Apparent Electrical Conductivity (EC<sub>a</sub>)
- (iii) parameters from digital topographic model; and
- (iv) position in the middle of the plots (Gauß-Krüger coordinates).

### Yield Data

As a dependent variable, the grain yield per plot was determined with a conventional plot combine harvester.

### Apparent Electrical Conductivity (EC<sub>a</sub>)

This conductivity was measured with the sensors EM38 and EM38-MK2 on April 1, 2011 in all possible configurations (vertical (v), horizontal (h) configuration) on the experimental field 020.

A detailed description and also a comparison of both devices are given in Heil and Schmidhalter (2015).

Based on the recommended practice for performing such measurements, soil water contents were near field capacity. This assumption was supported by data of the German weather service

4*8m	high	high	High	high	high	high	high	Control	Replication f
	low	low	Low	low	low	low	low	Control	
	Control	high	High	high	high	high	high	high	Replication e
	Control	low	Low	low	low	low	low	low	
	high	high	High	high	high	Control	high	high	Replication d
	low	low	Low	low	low	Control	low	low	
	high	high	High	Control	high	high	high	high	Replication c
	low	low	Low	Control	low	low	low	low	
	high	Control	High	high	high	high	high	high	Replication b
	low	Control	Low	low	low	low	low	low	
	high	high	High	high	high	high	high	Control	Replication a
	low	low	Low	low	low	low	low	Control	
	Row 1	Row 2	Row 3	Row 4	Row 5	Row 6	Row 7	Row 8	

FIGURE 1 | Schematic representation of the experimental area with the distribution of the fertilized and control plots per replication.

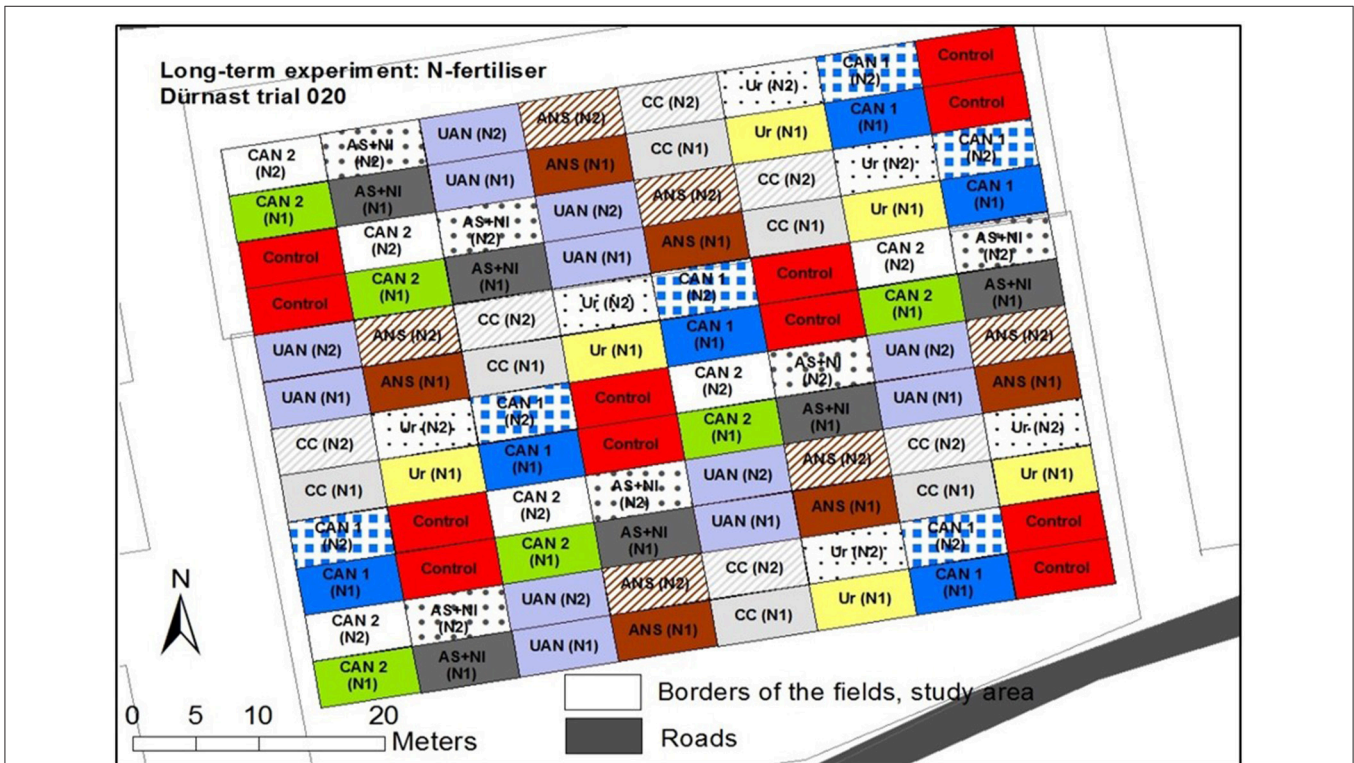


FIGURE 2 | Arrangement of the experimental area with the distribution of the N-fertilizer forms (abbreviations, see Table 2) and the level of fertilization [low (N1), high (N2)].

for the region (unpublished weather data of the station Freising). The  $EC_a$  values were standardized to electrical conductivity at a temperature of 25°C using the equation developed by Sheets and Hendrickx (1995). In the next step, the  $EC_a$  data were interpolated in 2-m grids using GIS software with experimental omnidirectional semi-variograms and ordinary kriging.

The end results were continuous maps of  $EC_a$  distributions (EM38-v, EM38-h, MK2-v-1.0, MK2-h-1.0, MK2-v-0.5, and MK2-h-0.5).

### Digital Relief Model

Elevation grid data of approximately 400 ha of the area with a grid size of 2-m was obtained from the Agency for Digitization, High-Speed Internet, and Surveying (Munich). Additional topographic parameters were calculated with the software package System for Automated Geoscientific Analyses (SAGA<sup>2</sup>, produced by Scilands GmbH Göttingen, www.scilands.de). Beside elevation the following variables were used as independent variables in the statistical derivations (Conrad et al., 2015):

- elevation (ELEV) [m];
- slope gradient (SG) [radian];
- aspect (ASP) [radian];
- upslope catchment area (CA) [m<sup>2</sup>];
- topographical wetness index (TWI) [-];
- plancurvature (PLC) [-];
- profilecurvature (PRC) [-];
- convergence (CON) [%];
- LS-factor (LSF) [-];
- channel network base level (CNBL) [-];
- vertical distance to channel network (VDCN) [-];
- valley depth (VD) [m] and
- relative slope position (RSP) [-].

### Construction of the Data Set for Calculations

For each plot position, parameters (Gauß-Krüger) were determined and  $EC_a$  as well as topographical parameters were averaged.

Yield values, experimental cultivation parameters, and the parameters listed above were combined into one data set.

From the beginning of the experiment in 1979 until 2006, each treatment had six replications. In 2007 the number of control plots was reduced from 12 to 8 and the number of fertilized replications was reduced from 12 to 8.

### Statistical Analyses

The statistical analysis was carried out with the SPSS program of the manufacturer IBM (v 22.0). To achieve the aims of the experiment and answer the experimental question, statistical methods such as analysis of variance (ANOVA), analysis of covariance (ANCOVA), and linear regression (REG), were chosen (Kutner et al., 2005).

<sup>2</sup>SAGA, produced by Scilands GmbH Göttingen, www.scilands.de (accessed 01.12.2015)

The theoretical model of REG is

$$Y_i = \beta_0 + \beta_1 x_{i1} + \beta_2 x_{i2} + \dots + \beta_p x_{ip} + e_j$$

where the dependent variable ( $y_i$ ) represents the barley yield;  $x_i$  represents relief attributes,  $EC_a$  measurements, and fertilizer parameters;  $\beta_p$  is the empirical regression model coefficient; and  $e_j$  is the residual error component of the model.

For the models, the variables used were tested for normal distribution (0.05 significance level, Kolmogorov-Smirnov test with the significance correction according to Lilliefors) (Garson, 2012). Non-normally distributed variables were transformed.

The theoretical model of ANOVA is

$$F = \frac{\text{variance between treatments}}{\text{variance within treatments}}$$

$$F = \frac{\frac{1}{I-1} SS_A}{\frac{1}{n-1} SS_R} = \frac{\frac{1}{I-1}}{\frac{1}{n-1} * \sum_{i=1}^I} * \frac{J \sum_{i=1}^J (\bar{x}_i - \bar{x})^2}{\sum_{j=1}^J (\bar{x}_{ij} - \bar{x}_i)^2}$$

$SS_A$  is the sum of squares of the treatments

$SS_R$  is the sum of squares of the error

$I$  is the number of treatments (no. of fertilizer form, N-fertilizer level = 2)

$n$  is the number of cases (control plots and fertilized plots = 96)

$\bar{x}$  is the mean of the data set (mean of all 96 plots)

$\bar{x}_i$  is the mean of the  $i$ -group (mean of each single group)

$i$  is the number of groups (= 16 groups)

$J$  is the number of measurements (= 1, measurement in the case of multiannual mean yield)

$j$   $j$ -measurement (= 1, first measurement in the case of multi-annual mean yield)

(Heil and Schmidhalter, 2017).

The analysis of covariance (ANCOVA) connects the properties of REG with the properties of ANOVA. ANCOVA combines the ANOVA model with one or more additional variables (covariates) that correlate with the target variable (Heil and Schmidhalter, 2017).

In the calculations for this study, the ANOVA was performed as follows:

$$y = \mu + \text{Factor (N - level)} + \text{Factor (N - fertilizer form)} + \text{Factor (N - level)} * \text{Factor (N - fertilizer form)} + e$$

The extension of the ANCOVA procedure was as follows:

$$= \mu + \text{Factor (N - level)} + \text{Factor (N - fertilizer form)} + \text{Factor (N - level)} * \text{Factor (N - fertilizer form)} + EC_a + \text{relief} + \text{Position} + e$$

where  $y$  is the yield;  $\mu$  is the mean of the yield; factor N-level and N-fertilizer form reflect the effects of quantity and form of the fertilizer; and  $e$  is the error term. The term relief includes the topographical parameters listed above. Position describes the Gauß-Krüger coordinates of the plots (Heil and Schmidhalter, 2017).

The evaluation of the models were carried out with the RMSD (root mean square difference) and the nRMSD (normalized root mean square difference):

$$RMSD = \left[ \frac{1}{N} \sum_{i=1}^{N(h)} (Z_{si} - Z_{si}^*)^2 \right]^{0.5}$$

where N represents the site,  $z_{si}$  represents the observed value, and  $z_{si}^*$  represents the predicted value.

The nRMSD indicates the RMSD in relation to the mean of the observed values. Here nRMSD is expressed as a percentage, where lower values shows less residual variance.

$$nRMSD = \frac{RMSD}{\bar{z}} * 100$$

The RMSD is a measure of the accuracy of the prediction calculation, and this value is small for an unbiased prediction.

## RESULTS

### Modeling of Multi-Annual Means of Yield

The multi annual barley yields of control plots were just over 20 dt ha<sup>-1</sup> on average (see **Figure 3**), which was approximately between one third to half of the yield of the treatments with fertilization. The multi-annual barley yields showed a relatively comparable picture regarding the N-levels and the fertilizer forms. The plots with high fertilization did not produce considerably higher yields.

The map of the multi-annual yields (**Figure 4**) indicated a strong spatial increase (both control and fertilized plots) from the northwest corner to the south border (control plots: 14.7-23.6 dt ha<sup>-1</sup>; low fertilized plots: 50.2-62.5 dt ha<sup>-1</sup>; high fertilized plots: 55.2-68.2 dt ha<sup>-1</sup>).

An opposite trend can be seen for the EC<sub>a</sub> readings from both devices and for all configurations (**Figures 5, 6**). The gradient showed an increase of about 25 mS m<sup>-1</sup>.

The average values were characterized by an increase from MK2-v-0.5m, MK2-h-1.0, MK2-h-0.5m, EM38-h, MK2-v-1.0m to EM38-v.

For a more detailed insight into the parameters which influence the yield, the calculations of the multi-annual as well as the annual data sets were divided into non-fertilized and fertilized data.

The modeling of the yield of the control plots was calculated with REG in two different ways (**Table 4**), at first with all continuous independent variables (Model 1) and at second only with EC<sub>a</sub> (Model 2). In the first calculation, the significant variables were the upslope catchment area and EC<sub>a</sub> MK2-h-0.5 with a R<sup>2</sup> = 0.83 and an RMSD of 1.26 dt ha<sup>-1</sup>. However, the modeling of the yield was also satisfactory with only EC<sub>a</sub> MK2-h-0.5 as the predictor. Here, EC<sub>a</sub> -MK2-h-0.5 could explain about 70% of the variation with an RMSD of 1.45 dt ha<sup>-1</sup>. and a nRMSD of y only 7%.

The ANOVA procedure of the fertilized plots (**Table 5**) indicated that only a weak relationship was detected between yield and the factors fertilization level and fertilizer form. The

modeling with ANCOVA delivered a significant improvement with the additional usage of EC<sub>a</sub> as readings from the configuration MK2-h-0.5 (**Table 6**). Here both RMSD and also nRMSD were nearly halved.

It was remarkable that in the ANOVA, the factor “fertilization form” was not significant, while in the ANCOVA the importance was highly significant (further information is given in **Supplementary Tables 2, 3**).

This multi-year evaluation does not allow to work out the yearly influence of barley varieties and also varying amounts of fertilizers (also within the fertilization levels). These calculations represent a yield average as influenced by the weather conditions of 11 years and the fertilizer forms and cultivar selection.

### Modeling of Annual Yields

Overall, the annual yields of the control plots could be described very well by the calculated regressions (**Table 7**). Without the first year of investigation, the R<sup>2</sup> exceeded a value of 0.77. Within a range of 15–35 dt ha<sup>-1</sup>, these values even reached coefficients of determination of 0.85. The RMSD varied between 0.5–1.6 dt ha<sup>-1</sup> and the nRMSD indicated values lower than 8.5%, without the year 1999 with a relatively low yield of 10.6 dt ha<sup>-1</sup>. The main influencing parameters were MK2-EC<sub>a</sub>, here as the configuration MK2-h-0.5 followed by the configuration MK2-v-1.0. The topographical parameters showed a more diverse picture with the variables PRC, PLC, CNBL, and CA.

The modeling of the annual yields of the fertilized plots (**Table 8**) with ANOVA strongly indicated fluctuating values for R<sup>2</sup>, RMSD, and nRMSD without a consistent relation to yield. Very often, the factors fertilizing level and fertilizer form both had significant effects on the yield. However, this was not observed in 1987 for the fertilizing level and in 1981 and 2002 for the effect of the fertilizer form. The accuracies of the calculations were higher when the fertilizer form was significant.

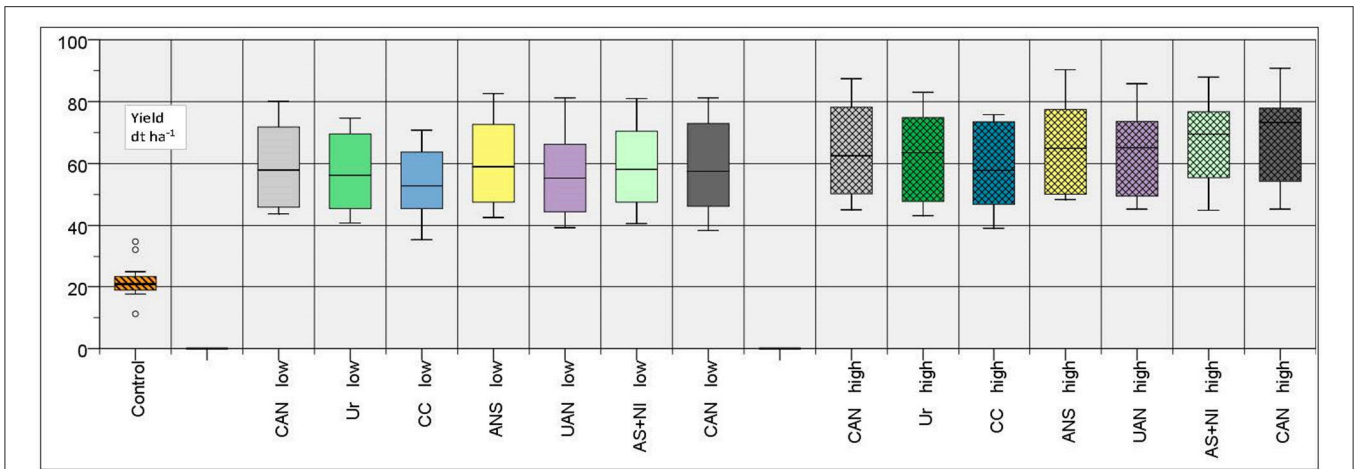
Without the first 3 years, the modeling with the ANCOVA procedure produced a marked improvement with R<sup>2</sup> amounting to 0.74. In 1999 and 2011, the highest R<sup>2</sup> among the years from 1981 to 2013 was depicted and in the case of RMSD this observation held true for the years 1996 and 2008. The variation of the nRMSD within the years 1987 to 2011 was lower than 5.3%.

The overall evaluation indicated that the nitrogen level and nitrogen form were the dominating predictors. Only in 1981 was the nitrogen form not significant, and in 1987 and 2008, the level was also less important.

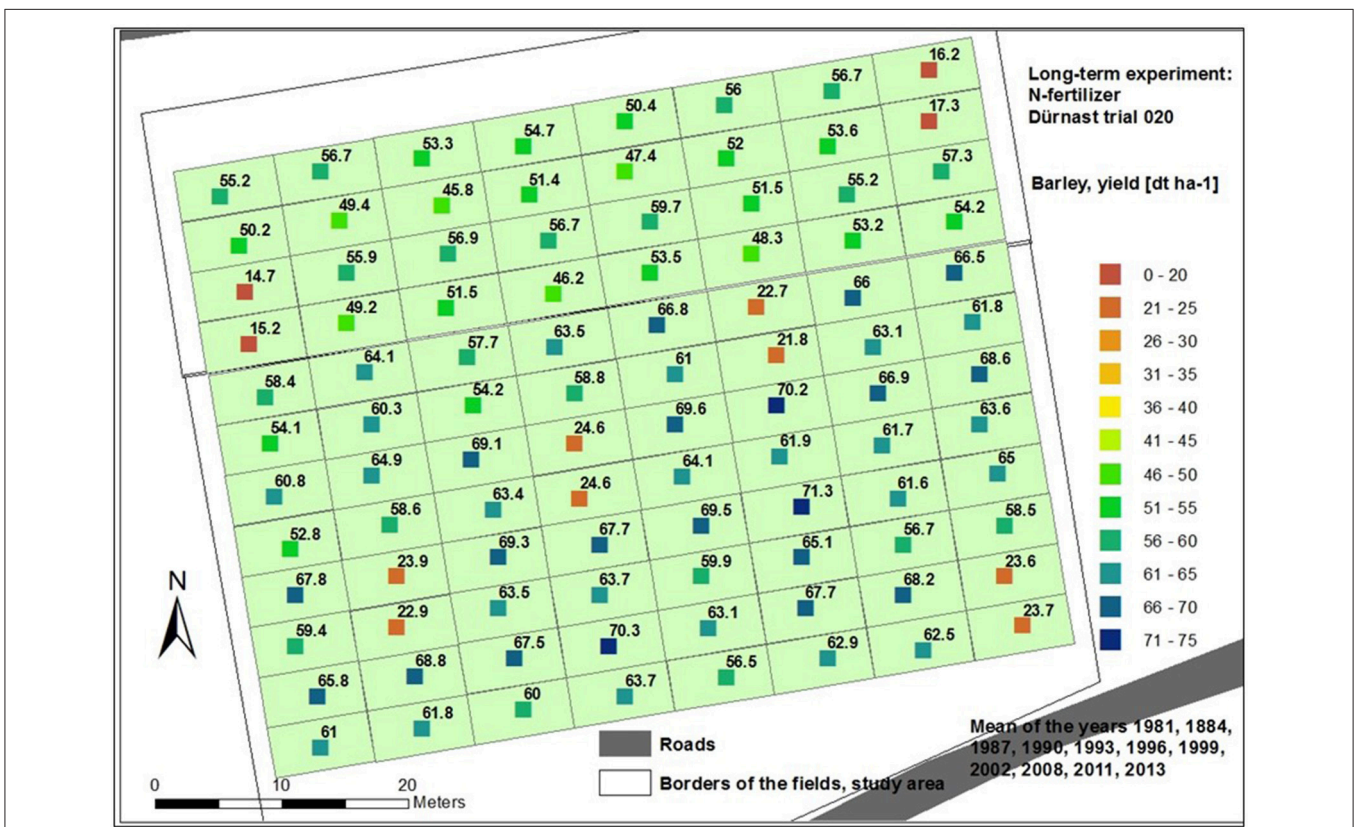
In some years, the effect size after partial eta<sup>2</sup> was greater regarding the factor fertilizer form than the factor fertilization level (1984, 1987, 1993, 1999, 2008, 2011, and 2013). Furthermore, the EC<sub>a</sub>-readings and topographical parameters enhanced the quality of the relationships. The dominating EC<sub>a</sub>-configuration was MK2-h-0.5. The factor-group topographical parameters were built by CA, VP, PLC, PRC, and CON.

## DISCUSSION

Experienced-based agronomic research where crop yields are related to a single or more parameters is traditionally one of the bases of agricultural decision making. Crop yields are expressed



**FIGURE 3 |** Multi-annual yields of barley (means and coefficients of variation of the years 1981–2013).



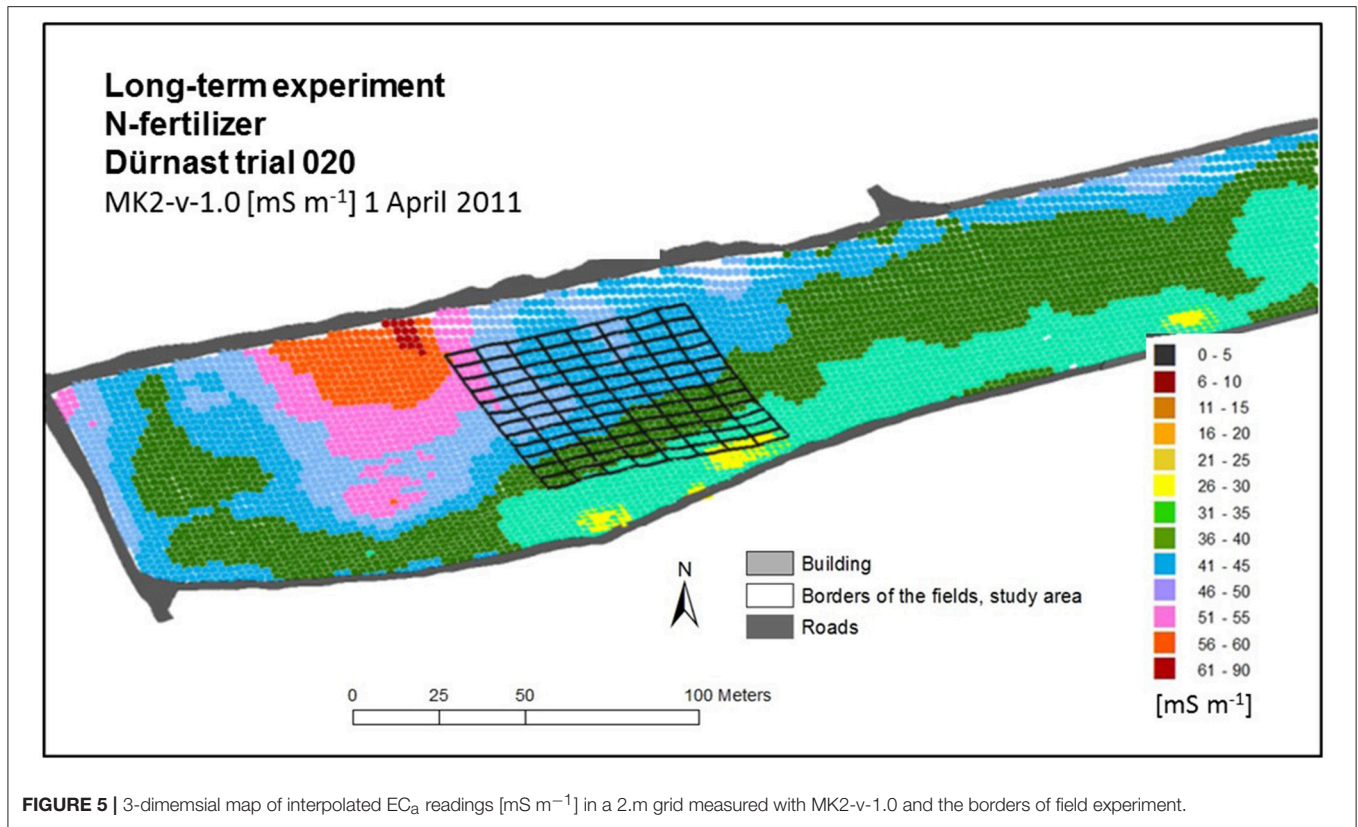
**FIGURE 4 |** Georeferenced multi-annual yields of barley (plot wise means) of the years 1981–2013.

as mathematical functions of defined parameters (Geesing et al., 2014).

In contrast, the evaluation of the long-term field experiment “N-forms, 020 Dürnast” showed that a combination of easily and inexpensively obtained parameters was the base for a sufficiently precise derivation of crop yields. However, other independent

variables might also be applicable, e.g., remote sensing data and other soil sensing data [spectral reflectance, resistance, or gamma measurements (Robinson et al., 2009)].

Another conclusion can also be drawn. The variables apparent conductivity, topographical features, and coordinates are either stable or relatively comparable over time, which also permits



ex-post evaluations of long-term field experiments (Heil and Schmidhalter, 2017).

The disadvantages of this type of modeling are that the assessment of risks that are the consequence of single influencing factors, e.g., climatic perturbations and diseases, cannot a priori be integrated. Given the static character of the models, any adaptation to changed environmental conditions is not possible (Kravchenko et al., 2005). As well not all differences in soils that account for yield differences can be assayed by proximal soil sensing as used in this study. Furthermore, this methodology does not allow the evaluation of the different cultivars in the model design (eight cultivars in 11 years of cultivation).

Despite these limitations, the fitted models mostly agreed well with the observed variation in barley yields. The RMSD values in individual years are within acceptable limits. Relatively high  $R^2$ -values  $>0.74$  indicated that in most years, crop yield was largely governed by variables accounted for in the models. However, it is necessary to consider that yield can also be affected by other factors. Other influencing factors like climate, pests, diseases, and human activities can cause local variations in predicted crop yields. This poses a limitation to this sort of modeling.

The present work indicates that,

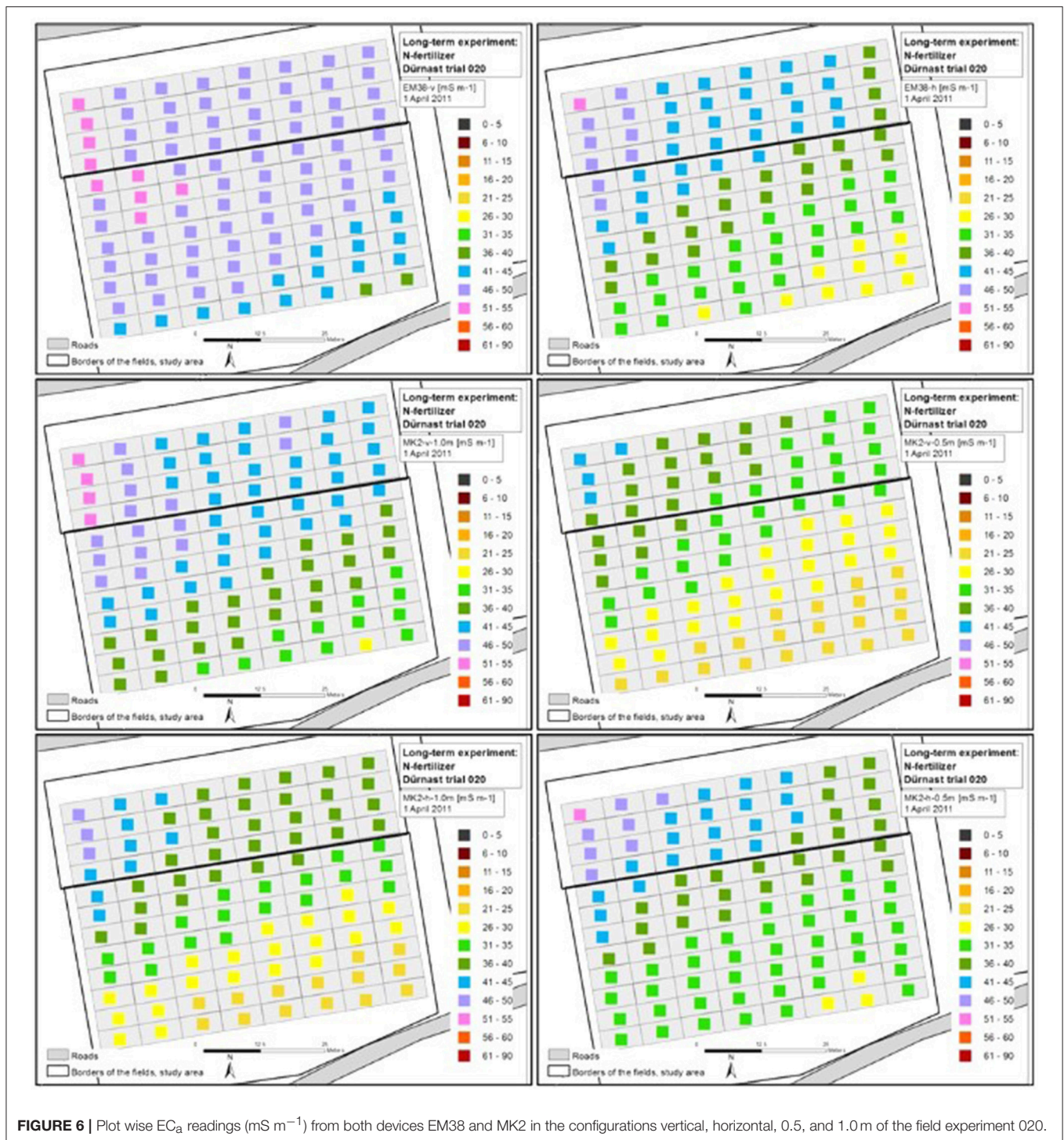
- (i) EC<sub>a</sub> exerted a significant influence in most of the derivations, mainly in the configuration MK2-h-0.5. This influence was exclusively negative (**Figure 7**).
- (ii) The weight of relief and EC<sub>a</sub> demonstrated that the growing conditions were not homogeneous in this relatively small field.
- (iii) The selected topographical parameters contributed in different forms the parameter slope of the field in different derivations.
- (iv) Fertilization factors dominated the ANCOVA calculations in the years 1981, 1984, 1987, 1993, 2008, 2011, and 2013. In five of the investigated years, the nitrogen fertilization form exceeded the importance of the nitrogen level (years 1984, 1987, 1999, 2008, and 2011).

Relationships between EC<sub>a</sub> and yield have often been described in the literature and the direction of the correlation is increasingly being evaluated.

Robinson et al. (2009) gave a detailed insight into such relationships for sites in Victoria, Australia. However, the multi-year measurements of EC<sub>a</sub> and yield delivered an inconsistent picture. Significant influences were found for all measurements, but with different directions. (1) Decreasing yield was combined with increasing EC<sub>a</sub> -v on areas with increasing clay content. (2) Positive trends of EC<sub>a</sub> and yield were found in areas with better water supply in high and moderate yield zones.

In an investigation on claypan soil, Sudduth et al. (1995) described in a dry year a negative correlation. Contrary results with also negative relationships with corn and soybean, but in a wet year in a topographically highly variable landscape were described by Jaynes et al. (1995a). However, in both studies,





**FIGURE 6** | Plot wise EC<sub>a</sub> readings (mS m<sup>-1</sup>) from both devices EM38 and MK2 in the configurations vertical, horizontal, 0.5, and 1.0m of the field experiment 020.

no significant relationships were observed in years with more normal precipitation.

In the current investigation, the relationships were also negative. High EC<sub>a</sub> readings corresponded with low yields. Heil and Schmidhalter (2017) indicated, that lower EC<sub>a</sub> values were combined with lower elevations and also higher catchment areas and more silty soils (silt: 67 kg kg<sup>-1</sup>; clay: 16 kg kg<sup>-1</sup>;

sand: 16 kg kg<sup>-1</sup>; skeleton: 2 kg kg<sup>-1</sup>; near the southern border). On the other hand, higher EC<sub>a</sub> readings were measured in soils at higher elevations with more clay (clay: 26 kg kg<sup>-1</sup>; silt: 56 kg kg<sup>-1</sup>; sand: 17 kg kg<sup>-1</sup>; skeleton: 3 kg kg<sup>-1</sup>; near the northern border). Additionally, soils with a lower EC<sub>a</sub> readings had higher contents of N and C (Heil and Schmidhalter, 2017).

**TABLE 4 |** Simulation of the yield of the control plots (means of the years 1981–2013) with REG (above: Model 1 predictors EC<sub>a</sub>, relief, coordinate, below: Model 2 predictors EC<sub>a</sub>), (further information see **Supplementary Table 1**).

Year	Predictors	Standard beta-coeff.	Adj. R <sup>2</sup>	RMSD	nRMSD
Mean of the years 1981–2013 Model1	Constant		0.831	1.26	6.03
	EC <sub>a</sub> MK2-h-0.5 (1/x)*	0.551			
Mean of the years 1981–2013 Model2	Catchment area (1/x)*	-0.508			
	Constant		0.704	1.45	6.94
	EC <sub>a</sub> MK2-h-0.5	0.991			

\* transformed.

**TABLE 5 |** Modeling of the yield (means of the years 1981–2013) with ANOVA with the factors fertilization level and fertilizer number (see also **Supplementary Table 2** for further information).

Year	Model and effects	Partial eta-quadrat	Adj. R <sup>2</sup>	RMSD	nRMSD
Mean of the years 1981–2013	Adjusted model	0.314	0.209	5.23	8.60
	Constant	0.991			
	Fertilizer no.	0.137			
	Fertilization level*Fertilizer no.	0.006			

**TABLE 6 |** Modeling of the yield (means of the years 1981–2013) with ANCOVA with the factors fertilization level and fertilizer number and the covariates EC<sub>a</sub>, topographic parameters, and coordinates (further information is contained in **Supplementary Table 3**).

Year	Model and effects	Partial beta-quadrat	Adj. R <sup>2</sup>	RMSD	nRMSD
Means of the years 1981–2013	Adjusted model	0.897	0.845	2.30	3.78
	Constant	0.936			
	EC <sub>a</sub> MK2-h-0.5 (√)*	0.806			
	Fertilizer no.	0.443			
	Fertilization level	0.662			
	Fertilization level*Fertilizer no.	0.021			

\* transformed.

However, the negative relationships between the devices and their configurations differed. In all cases, the R<sup>2</sup> of EM38-v indicated the lowest level and MK2-h-0.5 the highest values. It was noticeable that configurations which showed the conductivities of the more shallow soil depths were better retrievable. From this is deducible that mainly the subsurface readings were necessary for the modeling.

Obviously soil factors influenced the spatial growth of the crop. Two factors most likely account for such effects, root growth and water availability. Soil factors which have the

**TABLE 7 |** Simulation of the annual yield from 1981–2013 with REG of the control plots and the independent variables EC<sub>a</sub>, relief, and coordinates (further information is contained in **Supplementary Table 4**).

Year	Predictors	Standard beta-coeff.	Adj. R <sup>2</sup>	RMSD	nRMSD
1981	Constant		0.631	1.41	6,97
	Y-GK (log)*	-1.062			
1984	EC <sub>a</sub> MK2-h-0.5 (ln)*	0.670			
	Constant		0.883	0.96	5,43
1987	Catchment area (√)*	0.875			
	Profilecurvature (log)*	-0.354			
	Constant		0.959	0.75	3,87
	Channel network base level (x <sup>3</sup> )*	-0.904			
1999	Convergence (1/x)*	0.214			
	Relative slope position (x <sup>3</sup> )*	0.176			
	Constant		0.907	0.95	4,48
1993	EC <sub>a</sub> MK2-v-1.0 (1/x)*	0.972			
	Plancurvature (log)*	0.274			
1996	Constant		0.854	1.36	7,81
	Slope gradient	-0.835			
2002	Profilecurvature (log)*	-0.328			
	Constant		0.849	1.43	5,85
2008	EC <sub>a</sub> MK2-h-1.0 (1/x)*	0.929			
	Constant		0.778	1.58	14,91
2011	EC <sub>a</sub> MK2-h-0.5 (x <sup>3</sup> )*	-0.893			
	Constant		0.879	2.87	8,58
	EC <sub>a</sub> MK2-h-0.5 (x <sup>2</sup> )*	-1.173			
2013	X-GK (√)*	-0.671			
	Constant		0.929	1.51	4,68
2008	Channel network base level (1/x)*	-0.970			
	Constant		0.868	0.50	2,3
2011	X-GK (log)*	0.942			
	Constant		0.979	0.76	3,65
2013	EC <sub>a</sub> MK2-v-1.0 (1/x)*	-1.082			
	Profilecurvature (x <sup>2</sup> )*	-0.308			

\* transformed.

largest effect on root growth are pore distribution, penetration resistance, and also water and nutrient availability (Hoad et al., 2001). It was assumed that the growing conditions were less favorable from the south to the north-west border. The field management within the N-fertilization was the same across the whole field, so that operations that may influence rooting (rotation, variety choice, cultivations, sowing rate, sowing date, and nitrogen fertilization timing) did not differ. A further explanation is the depiction of the influence of water availability. This factor increased from the top of the field to the lowest parts, according to the drift of the yield.

However, EC<sub>a</sub> generally accounted for yield variability better than the topographic properties. Combining EC<sub>a</sub> and topography measures together usually improved the R<sup>2</sup> values in the models.

The statistical procedure ANCOVA frequently detected significant landscape position effects and their interactions with grain yield. The parameters CA, VD, PLC, PRC, and CON were selected as significant factors. All these variables included the slope in combination with area, for which the slope was an essential part.

There are a number of reasons why the ANCOVA approach in many years has successfully explained the variation in grain yield. The applied landscape positions included attributes of the landscape which exemplify changes in crop productivity across landscapes (Halvorson and Doll, 1991; Fiez et al., 1994; Stevenson and van Kessel, 1996).

Despite the fact that this field was relatively small, there was obviously enough topographic relief and also variation in the  $EC_a$  to influence the availability of soil resources, microclimatic conditions, and ultimately, the crop production potential.

At first appearance, it seems logical that smaller experimental fields will deliver less explanatory response variables. However, in the field examined here, it showed a heterogeneity that also enabled improved modeling.

Fertilization level and fertilizer form were also main influencing factors on the yields. Nevertheless, there were no significantly higher yields on the higher fertilized plots detected (results not shown). Significant differences were only obtained in comparison to the control plots.

The answer to the question why the fertilizer forms occurred in the modeling remains to be further elucidated. However, the observation that the fertilizer forms CC and/or UAN contribute a significant factor in the ANCOVA models was in partial agreement with other studies, which indicated slightly lower yields due to these forms (both fertilizer forms showed a tendency of mostly lower yields). Ammonia losses, which were altogether low on this site (Schmidhalter et al., 2017) may have contributed to the slightly reduced yield performance of UAN. Generally, although not significant, the yields of the urea treatments also tended to be lower, which was in agreement with this assumption. The transformation of the fertilizer form CC first to ammonium, and subsequently to nitrate is delayed which hinders the uptake, particularly of a cereal crop such as barley, which is characterized by vigorous growth in the early season.

A decreased availability of these fertilizers after the first fertilization in spring could contribute to this observation. Barley requires a considerable amount of N at the beginning of the growing season in spring. In comparison to wheat, barley starts its growth earlier in the season. The Growing Degree Days (GDD) for the “emergence” stage varies between 109 and 145°C for barley; and between 125 and 160°C for wheat (Miller et al., 2001). However, if this N is not initially sufficiently available, this might impact yield performance. This was in agreement with findings by (Delogu et al., 1998) who reported about the great demand of N in the early growth stages of barley in comparison to wheat.

These models can possibly be further improved with the application of additional data. The method ANCOVA involves simple input datasets and can be suitably modified by

adding variables depending on weather, management, nutrient availability, and further crops and can be extended for other crops.

Additionally, we have carried out comparable evaluations with the data from the same field using yields of wheat and the same independent variables  $EC_a$  relief parameter as well as coordinates (Heil and Schmidhalter, 2017). The direct comparison between both simulations provides a number of similarities:

- $EC_a$  was the main influencing factor on the spatial distribution of the yield.
- The importance of  $EC_a$  in the configuration MK2-h-0.5 led to the conclusion that shallower soil layers were more important for the yield than deeper soil layers.
- The relationships between  $EC_a$  and yield of wheat were negative; high  $EC_a$  was combined with low yield.

Besides the meaning of  $EC_a$  further similarities were reflected:

- The level of fertilization was of secondary influence on the size of the yield.
- The differences in yield among the forms of fertilizers were often not significant, indicating the lower importance of the fertilizer form.
- The relief parameters which were combined with slope and height were more dominating than other relief variables.

Remarkable is that the quality of the simulations of wheat yield were in all cases considerably higher in comparison to barley (multi-annual:  $R^2$  increase of 0.04–0.12, RMSD reduction of 0.22–2 dt ha<sup>-1</sup>). This was mainly caused by a stronger relationship between  $EC_a$  and yield. This statement applies to all fertilized variants and also to the controlplots (increase of  $R^2$  from barley to wheat on average 0.05–0.10).

Among the reasons for these gradations is probably the shorter vegetation period of barley. This may result in a lower dependence on the soil conditions depending more on actual inputs.

In summary, the combined conclusions of the time invariant parameters delivered by the soil and relief provides the possibility of modeling the results of the field management on this highly managed site:

- (i) For the overall delineation of management zones, the application of these parameters is considered as very helpful in contrast to the yearly varying yield information.
- (ii) Within the evaluation of field experiments, the influence of site on the experimental outcomes can be corrected thus the signal noise ratio diminished
- (iii) Such models also contribute to the detection of soil functions including plant available water, sorption capacity, filtering of unbound substances, and natural soil fertility.

## CONCLUSIONS

The following conclusions can be drawn from the above findings. Usually the two main reasons for constructing crop growth models are (i) to better understand the processes involved in crop

**TABLE 8** | Simulation of the annual yield from 1981–2013 with ANOVA and ANCOVA (further information is given in **Supplementary Table 5**).

Year	Model and effects	ANOVA			ANCOVA			
		Partial eta-quadrat	Adj. R <sup>2</sup>	RMSD nRMSD	Model and effects	Partial eta-quadrat	Adj. R <sup>2</sup>	RMSD nRMSD
1981	Adjusted model	0.444	0.359	4.03 9.14	Adjusted model	0.537	0.451	3.68 8.34
	Constant	0.991			Constant	0.625		
	Fertilizer no.	0.131			Fertilizer no.	0.128		
	Fertilization level	0.362			Fertilization level	0.393		
	Fertilization level*Fertilizer no.	0.016			Fertilization level*Fertilizer no.	0.036		
				Plancurvat (log)*	0.134			
				Profilcurvature (log)*	0.064			
1984	Adjusted model	0.606	0.545	3.73 6.95	Adjusted model	0.606	0.545	3.73 6.95
	Constant	0.995			Constant	0.995		
	Fertilizer no.	0.463			Fertilizer no.	0.463		
	Fertilization level	0.373			Fertilization level	0.373		
	Fertilization level*Fertilizer no.	0.073			Fertilization level*Fertilizer no.	0.073		
1987	Adjusted model	0.42	0.332	2.59 5.50	Adjusted model	0.508	0.416	2.39 5.07
	Constant	0.997			Constant	0.994		
	Fertilizer no.	0.225			Fertilizer no.	0.254		
	Fertilization level	0.012			Fertilization level	0.013		
	Fertilization level*Fertilizer no.	0.285			Fertilization level*Fertilizer no.	0.321		
				LS - Faktor (x <sup>3</sup> )*	0.095			
				Convergence (1/x)*	0.06			
1990	Adjusted model	0.582	0.518	3.83 5.60	Adjusted model	0.832	0.803	2.43 3.55
	Constant	0.997			Constant	0.988		
	Fertilizer no.	0.346			Fertilizer no.	0.55		
	Fertilization level	0.421			Fertilization level	0.681		
	Fertilization level*Fertilizer no.	0.104			Fertilization level*Fertilizer no.	0.225		
				EC <sub>a</sub> MK2-h-0.5 (x <sup>2</sup> )*	0.598			
1993	Adjusted model	0.527	0.455	4.18 9.21	Adjusted model	0.864	0.838	2.25 4.96
	Constant	0.991			Constant	0.52		
	Fertilizer no.	0.35			Fertilizer no.	0.644		
	Fertilization level	0.328			Fertilization level	0.686		
	Fertilization level*Fertilizer no.	0.036			Fertilization level*Fertilizer no.	0.109		
				Elevation (x <sup>3</sup> )*	0.043			
				Slope gradient (x <sup>3</sup> )*	0.482			
1996	Adjusted model	0.678	0.629	2.54 4.23	Adjusted model	0.779	0.741	2.11 3.52
	Constant	0.998			Constant	0.994		
	Fertilizer no.	0.379			Fertilizer no.	0.421		
	Fertilization level	0.587			Fertilization level	0.688		
	Fertilization level*Fertilizer no.	0.089			Fertilization level*Fertilizer no.	0.12		
				Slope gradient (x <sup>2</sup> )*	0.311			
1999	Adjusted model	0.322	0.219	5.96 13.39	Adjusted model	0.897	0.879	2.33 5.24
	Constant	0.981			Constant	0.992		
	Fertilizer no.	0.26			Fertilizer no.	0.693		
				Fertilization level	0.516			

(Continued)

TABLE 8 | Continued

Year	ANOVA				ANCOVA			
	Model and effects	Partial eta-quadrat	Adj. R <sup>2</sup>	RMSD nRMSD	Model and effects	Partial eta-quadrat	Adj. R <sup>2</sup>	RMSD nRMSD
2002	Fertilization level	0.091			Fertilization level*Fertilizer no.	0.074		
	Fertilization level*Fertilizer no.	0.012			EC <sub>a</sub> MK2-h1.0 (x <sup>3</sup> )*	0.847		
	Adjusted model	0.271	0.155	6.08 8.22	Adjusted model	0.841	0.811	2.83 3.82
	Constant	0.993			Constant	0.856		
	Fertilizer no.	0.109			Fertilizer no.	0.428		
	Fertilization level	0.173			Fertilization level	0.557		
	Fertilization level*Fertilizer no.	0.034			Fertilization level*Fertilizer no.	0.162		
2008					EC <sub>a</sub> MK2-h-0.5 (ln)*	0.629		
					Aspect (x <sup>3</sup> )*	0.19		
	Adjusted model	0.83	0.787	1.77 2.39	Adjusted model	0.882	0.84	1.48 2.00
	Constant	0.999			Constant	0.956		
	Fertilizer no.	0.698			Fertilizer no.	0.619		
	Fertilization level	0.618			Fertilization level	0.005		
	Fertilization level*Fertilizer no.	0.377			Fertilization level*Fertilizer no.	0.406		
2011					Plancurvature(x <sup>2</sup> )*	0.189		
					Profilcuvature (x <sup>3</sup> )*	0.138		
	Adjusted model	0.679	0.597	4.57 5.61	Adjusted model	0.911	0.883	2.32 2.85
	Constant	0.997			Constant	0.906		
	Fertilizer no.	0.581			Fertilizer no.	0.802		
	Fertilization level	0.367			Fertilization level	0.72		
	Fertilization level*Fertilizer no.	0.059			Fertilization level*Fertilizer no.	0.257		
2013					EC <sub>a</sub> MK2-h-0.5 (x <sup>3</sup> )*	0.67		
					Valley depth(x <sup>3</sup> )*	0.269		
	Adjusted model	0.64	0.547	6.90 9.06	Adjusted model	0.828	0.767	4.77 6.26
	Constant	0.991			Constant	0.097		
	Fertilizer no.	0.508			Fertilizer no.	0.527		
	Fertilization level	0.372			Fertilization level	0.592		
	Fertilization level*Fertilizer no.	0.048			Fertilization level*Fertilizer no.	0.04		
					EC <sub>a</sub> MK2-h-0.5 (x <sup>3</sup> )*	0.488		
					Valley depth(1/x)*	0.386		
					Slope gradient (x <sup>3</sup> )*	0.235		

\* transformed.

production; and (ii) to use the model as a tool for managing agricultural systems. In contrast, in the present work, this black-box modeling did not include processes, but introduced variables which were proxies for assumed processes.

As discussed in Lobell (2013), the quality of such linear statistical models are highly dependent on the inputs; in this study, the models were limited by the yield, nominal variables fertilization level and fertilizer forms, and the metric parameters for the agricultural site. This means that the models did not account for e.g., for seasonal climatic conditions and further agricultural management.

However, with the lower complexity of statistical models they appeal for their simplicity compared to process based counterparts.

Statistical models reveal to be useful to characterize the relationships between crop-fertilization, crop-relief, or crop- EC<sub>a</sub> and crop-position in the landscape (Gornott and Wechsung, 2016; Lobell and Asseng, 2017).

In fact, EC<sub>a</sub>, relief, and coordinates have no direct relationship to the growth and yield of plants, but the spatial variations of these parameters are partly correlated with soil properties that do affect crop growth. The advantage of these measurements

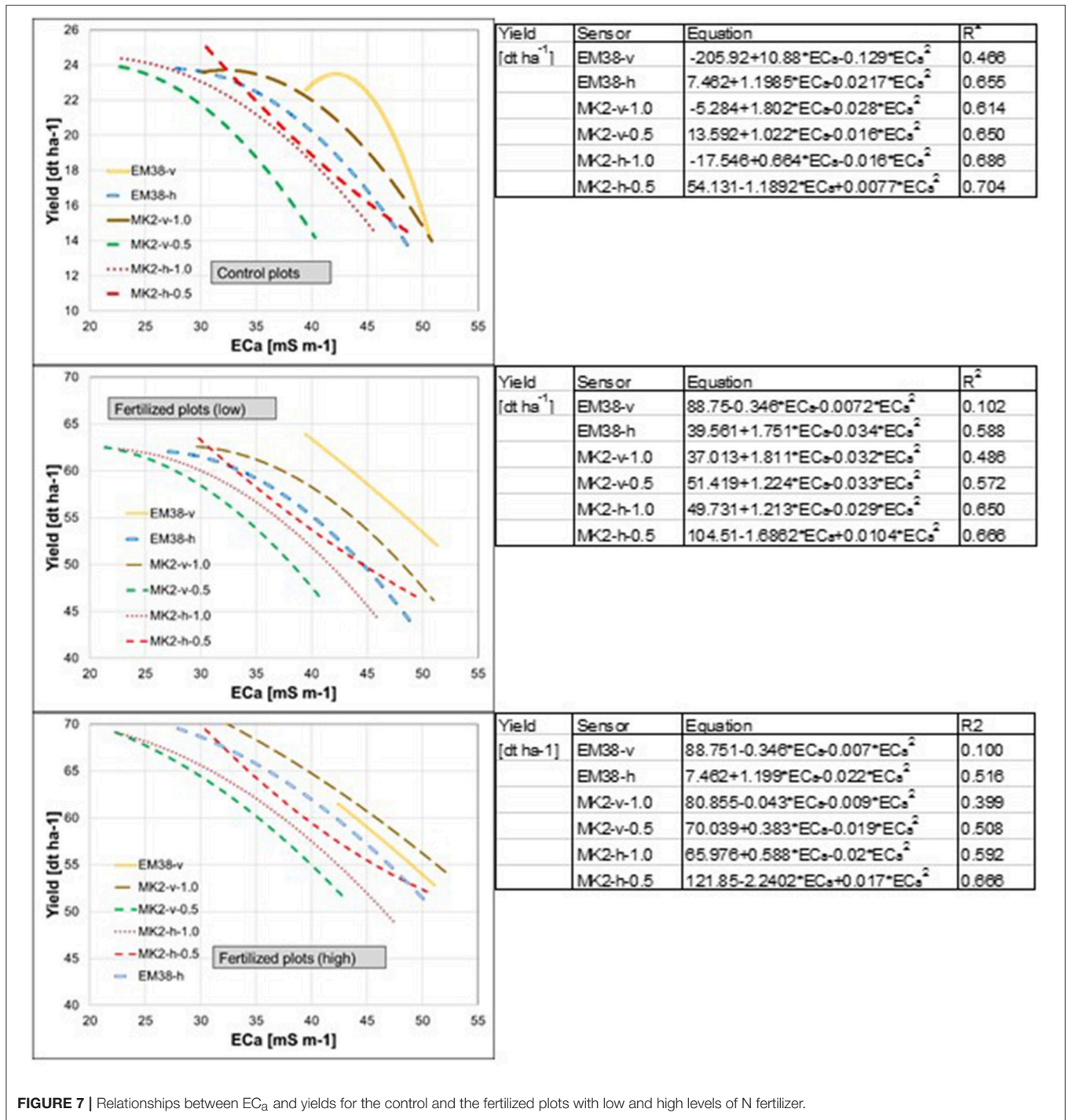


FIGURE 7 | Relationships between EC<sub>a</sub> and yields for the control and the fertilized plots with low and high levels of N fertilizer.

in comparison to yield distribution is its relative temporal stability, which offers a better basis for the delineation of management zones than variable yield mapping information. Seen the good approximation which was obtained by considering only a few static easily obtainable soil properties allowing to describe in a first step very satisfactorily the variance of yield, this represents a very interesting approach keeping the model information as simple as possible, which however

possibly still can be further improved by inclusion of further parameters such as weather information and fertilization dates.

### AUTHOR CONTRIBUTIONS

KH: Supervisor, writer; PH: Student editing as part of a master's thesis; US: Project leader.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenvs.2018.00146/full#supplementary-material>

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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