### Content

1. Why, when and what do I have to cite? ................................................................. 6
   1.1 Why cite at all? ......................................................................................... 6
   1.2 Copyright law and good scientific practice .............................................. 7
   1.3 What needs to be cited and when? ............................................................. 10
   1.4 Plagiarism and how to avoid it ................................................................. 11

2. How to cite in the text .................................................................................. 13
   2.1 How to cite? ............................................................................................ 13
      2.1.1 Direct quotations ............................................................................. 14
      2.1.2 Indirect quotations / Paraphrasing ................................................... 15

2.2 Citation systems and citation styles ......................................................... 16
   2.2.1 Citation styles .................................................................................... 18
   2.2.2 Citation in science, technology and medicine (STM) ......................... 19
   2.2.3 Citation in the economic and social sciences ...................................... 20

3. How do I list different sources in the reference list? And what do I have to consider? ... 20
   3.1 Blog posts ............................................................................................... 22
   3.2 Book / E-Books ..................................................................................... 22
   3.3 Book chapter or article from a book / E-Book .......................................... 23
   3.4 Dissertation/Thesis ............................................................................... 23
   3.5 Entry in an online encyclopedia .............................................................. 24
   3.6 Images ..................................................................................................... 24
      3.6.1 Images are protected by copyright law! ............................................. 24
      3.6.2 When may I cite an image in my work? ............................................. 25
      3.6.3 Permissions for the use of images beyond the right of citation .......... 27
      3.6.4 May I change an image? ................................................................. 27
      3.6.5 What else do I have to consider when using (my own) photos? ....... 28
      3.6.6 Captions and list of figures ............................................................. 28
      3.6.7 Source citation in the reference list ................................................... 29

3.7 Interview .................................................................................................... 31
3.8 Journal articles (print and online) .............................................................. 32
3.9 Laws ......................................................................................................... 32
3.10 Maps ........................................................................................................ 35
3.11 Newspaper articles ................................................................................... 37
3.12 Patents ..................................................................................................... 38
3.13 Personal communication and expert opinion ............................................ 39
3.14 Research data .......................................................................................... 39
3.15 Software and apps .................................................................................... 41
3.16 Standards ............................................................................................... 41
3.17 Students’ projects .................................................................................... 42
3.18 Tables ...................................................................................................... 42
3.19 Unpublished Sources .............................................................................. 43
3.20 Videos..................................................................................................... 45
3.21 Web pages ............................................................................................... 46

4. Further questions regarding citation .......................................................... 48
   4.1 How can I find free images? (Creative Commons) .................................. 48
4.2 How should I use images in my presentation? ................................................................. 51
4.3 Who is author? Who is co-author? .............................................................................. 51
4.4 How do I cite myself? - Citation or second publication? ........................................... 52
4.5 Is there an international copyright? .............................................................................. 54
4.6 Can I cite retracted articles? ......................................................................................... 57
4.7 How do I cite charts from Statista? ................................................................. 58

5. Reference management software? – Yes! – But which one? ...................................... 59
   5.1 Reference management software: Which one is the right one for you? ................. 59
   5.2 TUM Campus license for Citavi ........................................................................... 60
   5.3 TUM Campus license for EndNote ........................................................................ 60
   5.4 Free Programs ........................................................................................................ 61
   5.5 Reference management and LaTeX ........................................................................ 61

6. Recommendation on citation styles at TUM ................................................................ 63
   6.1 The APA style (Author-Year style) ......................................................................... 63
   6.2 The IEEE Editorial Style (Numeric style) ............................................................... 64
   6.3 The Chicago Style (Footnote style) ....................................................................... 65

7. References ....................................................................................................................... 65
Note:
The citation guide was coordinated with the ombudsman’s office for good scientific practice https://www.tum.de/en/about-tum/our-university/compliance/).
The ombudsman’s office strongly recommends adhering to the principles of correct citation and preventing plagiarism outlined.
The library of the Technical University of Munich accepts no responsibility for the completeness and accuracy of the information. The information in this paper is intended as advice and is not legally binding.

We appreciate your feedback.
This citation guide is being updated regularly. However, should you find that the information mentioned herein is not accurate or you have suggestions for further improvement, we would welcome an email to workshop@ub.tum.de.

The latest version of this citation guide is available under: https://media-tum.ub.tum.de/node?id=1231945

For further reading on good scientific practice at TUM

- Information sheet on final papers and theses (http://www.lehren.tum.de/downloads/)
- FAQs Gute wissenschaftliche Praxis (http://www.gs.tum.de/de/promovierende/gute-wissenschaftliche-praxis/faq/)
Citation Guide: How do I cite correctly?

Writing a research paper does not mean reinventing the wheel. You may and should draw on knowledge and practice that others have already achieved in your field: Science is a cooperative venture! Traceability is crucial. This is the hallmark of ‘good scientific practice,’¹ and in addition, allows the readers of your work to investigate the same sources. It also enables the reader to judge whether you have drawn justifiable conclusions from them, and further develop their own knowledge.

The findings of other authors, however, are as a rule protected by copyright. If you intend to base your work on these findings, therefore, you must identify where and from whom they come from.²

The top priority in academic work is the clear separation of the work of other authors from your own. This guide therefore deals with the following questions:

1. How do you mark off thoughts, insights and arguments in the text that are not your own?
2. How do you identify your sources in your reference list?
3. How do you link the citation in the text with the source in the reference list?
4. What are the formal rules for citations?
5. What tools are available to help with citation?

1. Why, when and what do I have to cite?

1.1 Why cite at all?

A key element of good scientific practice is making your results verifiable. One prerequisite for this is attributing work to its proper author. For this reason, you have to reference the author every time you mention their ideas or results in your scientific work.

There are two reasons why we cite:

- Many works are protected by copyright law
- The rules of good scientific practice require you to identify others’ ideas (regardless of whether they are protected by copyright law or not)

¹ Position paper of the DFG („Safeguarding good scientific practice“) at: http://www.dfg.de/foerderung/grundlagen_rahmenbedingungen/gwp/.
² German Copyright (Urheberrechtsgesetz) §51.
With this in mind, the TUM has published the “Code of Conduct for Safeguarding Good Academic Practice and Procedures in Cases of Academic Misconduct at Technische Universität München” (TUM, 2013).

Using citations in a scientific paper can serve different purposes:

- They distinguish your ideas from those originating from other authors. This means they mark where you use others’ ideas and where your own ideas go beyond the current state of research
- They refer to established methods, procedures and standards
- They support your results by referring to other researchers’ findings, reference data e.g. properties of materials or such like

1.2 Copyright law and good scientific practice

Copyright law protects the author of a work from unauthorised use of their intellectual property. This especially includes copying, editing and distributing the work in order to protect the author from financial loss.

But according to the German constitution (Art.14 Abs. 2) property should be used to the benefit of the community. Due to this, the rights of the author are in certain respects limited. These limiting regulations are called “statutory exceptions” (In German: “Schrankenregelungen”.

The most important limiting rule in this regard is the right to citation or "Zitatrecht" (§51 UrhG).

„Zulässig ist die Vervielfältigung, Verbreitung und öffentliche Wiedergabe eines veröffentlichten Werkes zum Zweck des Zitats, sofern die Nutzung in ihrem Umfang durch den besonderen Zweck gerechtfertigt ist. Zulässig ist dies insbesondere, wenn

- einzelne Werke nach der Veröffentlichung in ein selbständiges wissenschaftliches Werk zur Erläuterung des Inhalts aufgenommen werden […]”

• Stellen eines Werkes nach der Veröffentlichung in einem selbständigen Sprachwerk angeführt werden,
• einzelne Stellen eines erschienenen Werkes der Musik in einem selbständigen Werk der Musik angeführt werden."

3 § 51 Citations: The reproduction, distribution, and public performance of a published work for the purposes of citation is permitted provided that the use is justified in its scope by the specific purpose. In particular, it is permissible if individual works are used following their publication in an independent scientific work to illuminate its content […] (Translation Karl Hughes, Technische Universität München, Language Center)
When does the right to citation (Zitatrecht) apply?

1. A purpose of the citations must be given. This means, there has to be a content-related connection between your work and the cited work. To illustrate this connection a substantive discussion of the cited work must be given in your work. Without this discussion the citation cannot be used.
2. Consequently, a citation for mere illustration, ornament or workload reduction is not permitted.
3. Always cite as much as necessary but as little as possible.
4. A quotation may not be altered.
5. The quoted text must be identified.
6. You must give a full reference of the source.

You can easily remember the requirements for the right to cite using the **PEMUC** scheme:

- **P**urpose of citation
- **E**xplanation
- **M**inimum
- **U**nchanged
- **C**redit

The “Credit” part contains both the identification of the cited material as well as giving the full reference.

Here is a handy checklist you can print to find out whether you are complying with copyright law: [https://mediatum.ub.tum.de//1289649](https://mediatum.ub.tum.de//1289649)

**What is protected by copyright law? – §2 UrhG**

“(1) Copyright law protects works of literature, science and art. This includes

1. language works incl. written works, speeches, and computer programs,
2. musical works,
3. theatrical works including dance,
4. works of fine art including works of architecture and of applied art as well as drafts of such works,
5. photographic works including works created similarly to photographic works,
6. cinematographic works including works created similarly to cinematographic works,
7. illustrations of a scientific or technical nature, such as drawings, plans, maps, sketches, tables and three-dimensional representations.

(2) Works as defined by this law are only human intellectual creations”.

For a work to be protected by copyright law it has to have a perceptible form. Copyright law applies only to direct citations not to the idea itself.
Term of copyright protection

The copyright covers photographic works until 70 years after the death of the copyright holder (§ 64 German Copyright Act); for simple photographs for 50 years after the appearance of the photograph (§ 72 para. 3 of the Copyright Act). The period of protection expires at the end of the 70th year after the author's death or at the end of the year 50 years after the snapshot's appearance. After this time, the picture is copyright-free. Due to the rules of good scientific practice you still have to cite it. But now you are permitted to use the image beyond the purpose of citation (substantiating an argument, used in an academic work).

Note that the copyright can be passed on to the author's heirs or to a foundation especially founded for the purpose to represent the authors' rights after his death.

Copyright allows you to quote in academic works. However, do not draw the reverse conclusion from this that you do not need to cite the source of copyright-free content (e.g. because the author has been dead for more than 70 years or the content has been published under a corresponding license). According to the rules of good scientific practice, you must cite all content taken from other sources, regardless of whether it is protected by copyright or already in the public domain.

Good scientific practice

Copyright law has to be distinguished from the rules of good scientific practice, which should ensure a high quality in scientific work (DFG, 2019; TUM, 2013). Briefly summarised, good scientific practice involves

- Documenting scientific findings comprehensibly, traceably and accessibly.
- Consistently testing your findings and making them accessible for review and testing by others.
- Maintaining integrity with regard to contributions by partner, competitors and predecessors.

It is for these reasons that not only do direct quotations have to be cited but paraphrased ideas as well.

More specifically this means …

- … you take the current state of research into account when planning your work. This requires careful review of the previously published works.
- … you don’t pretend other people’s ideas are your own.
- … you cite other researchers’ findings & ideas.
- … you do not make false statements or fabricate data.
- … you objectively include all positions and findings and also publish results that challenge your hypothesis.
- … you describe methods and outcomes to make your results verifiable.
- … you use scientifically sound and comprehensible methods.
- … you consider what the wider and ethical consequences of your research could be.
- … you secure long-term access to your research results (at least ten years).
- … you publish only new scholarly results.
• ... you don’t publish your results in fragments to create the appearance of multiple publications.
• ... you acknowledge your responsibility if you are a co-author.
• ... you don’t sabotage the research of others.
• ... you don’t make false accusations.
• ... that supervisors act as role models and live by the rules of good scientific practice.
• ... that young researchers are appropriately supervised.
• ... that originality and quality take precedence over quantity.
• ... that academic misconduct is openly discussed. (DFG, 2019)

1.3 What needs to be cited and when?

Following the rules of good scientific practice, you have to cite everything you incorporate verbatim or content-wise from external sources.

**General knowledge**

Conversely, this also means:

You do not need to cite content available from many sources and which is not new, controversial or unusual. This particularly relates to general knowledge (the fall of the Berlin wall occurred on 09.11.1989, the formula E = mc² was written by Einstein) and basic knowledge in your area of expertise (e.g. the laws of thermodynamics in physics). There is, however, no clear boundary. As a rule of thumb, you can assume that knowledge that can be found in a textbook for the corresponding Bachelor’s programme, does not need to be cited.

Nevertheless, you should consider that, depending on your target audience, the basic knowledge in your area of expertise might not be known to your reader and should, therefore, be cited.

It may also matter, whether you are writing a Bachelor’s, Master’s, or doctoral thesis. At Bachelor’s level, you would be more likely to cite more general sources.

If you are uncertain whether you have to cite, ask your supervisor. When in doubt: better cite than sorry.

**Original & secondary citations**

You should always cite the original source, if it exists. Citations of citations, so called secondary citations, you should only use if you cannot access the original source without unreasonable difficulty. This particularly applies to review articles.

Everything you can order within Germany via interlibrary loan would be reasonably easy to obtain. Apart from copying costs, interlibrary loan is free of charge in Bavaria. Thus, the financial expense is reasonable, too.

If in exceptional cases you are obliged to use secondary source citations, you must cite them accordingly. To make it easier for your reader to find the original source we advise to list both original and secondary source in your reference list.

Example: Meier 2010, p. 100, cited in Müller 2011 p. 50
To make it as easy as possible for the reader to find the original source we recommend listing both the secondary source and the primary source in the list of reference.

Sources should meet the **required standards of scientific work**. Depending on the purpose of a citation (see 1.1) you should choose the source accordingly. It might be appropriate to cite data sets from a degree thesis if these are correctly cited; especially if the author supervised it themselves and can therefore guarantee its quality.

In principal, you should try to find sources which are well recognized. This is especially true for articles from peer reviewed journals and conference proceedings. Journals and proceedings which can be found in big databases like Scopus or Web of Science, you can assume to meet the quality requirements for good scientific sources. This is also true for books which have been published by established publishing houses.

Sources which have not undergone quality assurance procedures such as a peer review process may be cited within certain limits (e.g. citation of a product data sheet or the like).

In scientific context, citing **Wikipedia** is viewed with skepticism. Reference lists are often incomplete and the origin of the information given unknown. Even if Wikipedia now places increasing emphasis on references, it is always preferable to access and cite the original source. Moreover, Wikipedia articles can be manipulated easily and the author is often not identifiable.

### 1.4 Plagiarism and how to avoid it

**Plagiarism** is when you present someone else’s work as your own.

To present someone else’s intellectual property as your own disagrees with the central rules of good scientific practice. If citations are not marked as such, the reader is led to believe that it is an academic work attributable to the author. Plagiarism is not about specific citation styles or oversights in citation, but about concealment of external sources. It is where other authors’ texts are included verbatim, paraphrased, or translated, but not marked as citations. Plagiarism is a serious offence, and may lead to the disqualification of an academic title, or even a criminal prosecution.

At TUM there is an ombudsman’s office for good scientific practice, which among other things, deals with cases of plagiarism. The ombudsman’s office is responsible for receiving and investigating complaints, for settling them or where appropriate taking action which might include involving the TUM justice department.⁴

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⁴ Further information under [www.tum.de/ombudsperson](http://www.tum.de/ombudsperson), Email: [ombudsperson@tum.de](mailto:ombudsperson@tum.de)
There are different forms of plagiarism. The main types are:

1. **Complete plagiarism / copy & paste plagiarism**: to use another author’s text unchanged, without citation, and pretend it is one’s own work (VroniPlag Wiki - Plagiatskategorien, 2014; Weber-Wulff, 2004a).

2. **Clause splicing / Shake & paste plagiarism**: combining fragments of different texts or sentences to form a new text without citation, thus presenting it as one’s own line of thought (Weber-Wulff, 2004a).

3. **Idea plagiarism / obfuscation**: presenting another author’s ideas in your own words without citing the source (VroniPlag Wiki - Plagiatskategorien, 2014).

4. **Translation plagiarism**: Translating an original text from another language without citing the source (VroniPlag Wiki - Plagiatskategorien, 2014; Weber-Wulff, 2004a).

5. **Bibliography balloon**: Listing references in the reference list that have not been cited in the text (Projekt Refairenz, 2017).

6. **Bibliography amnesia**: giving in-text citations without listing the complete reference in the reference list (Projekt Refairenz, 2017).

7. **Pawn sacrifice**: only part of the cited contend is marked as citation (VroniPlag Wiki - Plagiatskategorien, 2014).

**Note**: Even if an author (e.g. a classmate) willingly consents to the uncited use of her text, you are nevertheless guilty of plagiarism, unless you cite the contribution! (Neville, 2010, p. 30)

Plagiarism arises not only through failure to cite, but even then, if you do reference another’s work, but do not make it clear to the reader how far the citation extends. This happens, for example, when a summary gives the impression that it is the author’s own work when it is not.

**What can you do to avoid coming under suspicion?**

Collect and organize your sources carefully from the start. Highlight important points in your reading and excerpting, so that afterwards you still know what you have read and where it comes from. Even in all your written drafts, you should mark the sources and quotations. The easiest way to organize knowledge and manage references is to use an appropriate system from the outset. This can be a simple checklist, but the more usual working method these days is to rely on dedicated software, such as the reference management programs Citavi or EndNote. More on reference management programmes in Chapter 5.

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Proper time management is another way to avoid unintentional plagiarism caused by time pressure. Make a list of all the tasks arising during your work and how much time you would need for each task. Here you find a checklist to help you with that: https://media-tum.ub.tum.de/1290447?show_id=1376339 (German).

If there are tasks you are uncomfortable with, try to get help. The TUM offers a broad variety of courses and services to support you with research, academic writing or excerpting (see p. 2 of the checklist).

To build a solid basis for your citation practice, try to develop an inner citation compass using the following four principles:

1. Principle 1: Usability - May I cite this source?
   Before citing a source, you should always check whether you are permitted to cite it.
2. Principle 2: Authorship - Which ideas are mine, which belong to someone else?
   Always make sure to clearly indicate beginning and end of another author's idea, as well as the author themselves.
3. Principle 3: Retrievability - Where does my information come from? Can my reader find my sources?
   Always make sure your reader has all relevant information needed to find and identify a source.
4. Principle 4: Consistency – Did I apply my chosen citation style consistently?
   Choose one citation style and stick to it!

Make sure you always know …

1. what the purpose of your citation is
2. whether you meet all requirements to cite a copyright protected source and
3. that your reader can find the original source.

Here are the four principles for printing: https://media-tum.ub.tum.de/1290447?show_id=1363590

2. How to cite in the text

2.1 How to cite?

You have two possibilities to cite foreign results and thoughts in your text, either directly, i.e. verbatim, or indirectly, i.e. in your own words. When you rephrase a statement it is called paraphrasing.
2.1.1 Direct quotations

The afore mentioned sentence from Umberto Eco, „Ein Buch zitieren, aus dem man einen Satz übernommen hat, heißt Schulden zahlen“ is used exactly as in the original. It is quoted directly, that is quoted verbatim. Direct quotations are used when the exact wording is important. They are especially common in the humanities, when dealing with a source. In the natural sciences, it is mostly about the content of the statement, not how it was phrased. Therefore, direct quotations are very rare in the natural sciences and should only be used when the phrasing is crucial. This can be the case, for example, with definitions, standards or legal texts. Otherwise, you should use direct quotations extremely sparingly. Please note the following:

Quotations have to be quoted exactly (that means letter for letter including old spelling or spelling mistakes; how to mark those see below).

Quotation marks indicate a direct quote; longer quotations (three lines or more) are indicated by the formatting. You can indent them, use italics or set them in a smaller font. You can then do without the quotation marks. Accept misspellings or special formatting as in the original source, such as italic or bold; where there is a spelling error in the original source, identify it with the designation [sic]. “Sic” is Latin for “just like that.” It shows your reader that you are aware of the error, but that it really did occur in the original source. A detached exclamation mark in square brackets [!] is also sometimes used for this purpose. If you work a lot with historical sources, it is advisable to dispense with this indication for old spelling.

Omissions: If you omit a part of the verbatim quote, you must indicate this with […]. Bailey (2011, p. 66) proposes only three dots ... for omissions, but three dots in round brackets are also common (...). Base your style on the practices in your particular field and the selected citation style.

Additions: Your own additions or grammatical adjustments to the quoted sentence must be identified, e.g. [Author’s note/Editor’s note/ed. Note], or for grammatical adjustments: Eco notes that "to cite a book […] means to have paid one’s debts [off]" (Eco, 2010, p. 213).

Emphases: Emphases, which are part of the original source, are maintained and marked, e.g. [emphasis by the author / emphasis in original / emphasis + author’s Initials]. As long as it does not change the meaning, emphasis may be omitted if marked e.g. by (Müller 2000, p. 33; without emphasis). Emphases added by yourself have to be marked, e.g. [my emphasis].

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6 “To cite a book from which one has taken a line, means to have paid one’s debts.” (Translation Karl Hughes, Technische Universität München, Language Center)
Original language: Always quote in the original language, and insert a translation for minority languages in a footnote. In this case note who made the translation (if you cite a translation, name the source, if you translated it yourself add (translation by the author). Please note, however, that paraphrases should always be in the same language as your own work.

Secondary citations: Before using a secondary citation, always try to obtain the original source, check the statement, and then quote the original source directly. As a rule of thumb, you could say that everything that can be procured via Germany-wide interlibrary loan must be procured. Only if this is not possible, use secondary citations. If secondary citations are used too often without being checked, a kind of Chinese whispers syndrome can occur, in which the original content is further and further changed until it can no longer be recognized. If you cannot avoid using a secondary citation you have three different options how to do it:

1. You provide both primary and secondary sources. In the text, the source is then cited e.g. like this: (Müller 2011, p. 50 cites Meier 2010, p. 100) or in number style [21 cites 5]. In the reference list, cite both sources individually. The in-text citation of the source shows that you have not read the primary source yourself. However, opinions differ as to whether the original source, in this case Meier 2010, should be listed in the reference list. On the one hand, the reference list usually only lists sources that have been read and cited by the author, on the other hand, you make it easier for your reader to access the original source, which is the reader’s actual destination.

2. The original source is only mentioned in a footnote. Only works that you have read and cited yourself are mentioned in the reference list. This would be the secondary source that you have read - in this case Müller 2011. However, since Müller 2011 is not the author of the statement, you indicate the original source in a footnote, which then does not appear in the reference list. The in-text citation could look like this: (Müller 2011, p. 50)² or [21]². In the footnote: (Müller 2011) / [21] cited [here you name the full reference of the original source].

3. The original source is mentioned in the text only. In the reference list, just works that you have read and cited yourself are given. In the text, you could then refer to the original source with a sentence like this: Müller (2011, p. 50) refers in his work to a text by Meier from 2010 with the title ..., which cannot be found.

Quotation within a quotation: If the verbatim quoted section already contains a quote, indicate the outer quotation in single inverted commas. Depending on the language of your work it may also be common to use single quotations marks around the outer quotation and double quotation marks around the inner quotation.

Cite source: Varies depending on the citations style (see chapter 2.2) but always given directly with the quotation.

2.1.2 Indirect quotations / Paraphrasing

To incorporate opinions or findings of other authors in your text, you need not quote verbatim. You can also summarize their views or findings in your own words. This procedure does
not, however, relieve you of your obligation to cite the author, and to pay your debt! You will by now be familiar with the phrase at the end of the last sentence. It refers back to Umberto Eco (2010, p. 213). This way of referring to another author is known as indirect quotation (or: paraphrasing). In STM subjects (STM = science, technology, and medicine), as well as the economic and social sciences, this is common practice.

You should consider the following:

**Use your own words:** Summarize what you have read in your own words; this automatically means that you always cite indirectly in the same language as your own work.

**Introductory phrases:** If the formatting and source citation do not by themselves make it sufficiently clear which content is your own and which you are indirectly citations, it makes sense to use an introductory phrase: As Eco (2010) points out, by citing a work, one pays the author back for the use of their idea.

**Position of in-text citation:** If you work with introductory phrases, the source is often mentioned directly at the beginning of the sentence. It is also common to mention the source at the end of a sentence. A distinction is made here between a reference in the sentence, i.e. before the period, and a source reference after the sentence. A citation within a sentence refers only to the sentence in which it is mentioned. A citation after the sentence refers to the entire paragraph respectively until the last mentioned source in this paragraph. The citation is usually with page numbers. However, in some subject areas it is also usual to dispense with the page numbers. When making your decision, always remember that you want to make your argument as traceable as possible - a page number helps your reader to check your arguments.

Vgl.: In German, in some subject areas and citation styles it is usual to insert vgl. before indirect quotations, e.g. (vgl. Eco 2010, p. 213). Cf. is not equivalent to the German vgl. although many dictionaries offer it as a translation. In English academic writing, indirect quotations should be cited directly (without cf. or see). Cf. or see provide further references to compare either similar or contrasting arguments or information.

**Multiple citation:** To add support or emphasis to an argument or to demonstrate that the literature is unanimous in this respect, it is possible to insert a multiple citation. The citation would look like this: (see for instance Author1, 2010, p.1; Autor2, 2011, p.2; Autor3, 2014, p.5.). Depending on the subject area and selected citation style, the in-text attribution may be omitted: (Author1, 2010, p.1; Autor2, 2013, p.2).

### 2.2 Citation systems and citation styles

To enhance the readability one generally avoids writing the complete source one is acknowledging directly after the quote in the text. Rather, we use a kind of placeholder that links to the full reference in the reference list at the end of the work.
Depending on the citation system and the citation style, placeholders and full references look differently. We differentiate between three different citation systems: author-year, number and footnote. Each of these citation systems has hundreds of different citation styles. The citation style defines exactly how the in-text citation and the full reference in the reference list should look like.

a. **author-year system**

In-text citation:

- with full name, e.g. Meyer (2011), (Meyer 2011), (Meyer 11) or
- abbreviated name, e.g. (MEY 11) or [MEY11]

In the reference list:

- (Meyer 2011) Meyer, Michael: Title. Place: Publisher, 2011 or
- Meyer, Michael (2011): Title. Place: Publisher.

**Number system**

In-text citation:

- [5] or (5)

In the references:


b. **Footnote system**

In-text citation:

- e.g. quotation²

In the references:

- as a rule on first mention, the full reference appears at the bottom of the page:

  ____________________________________________________________

  ² Meyer, Michael: Title. Place: Publisher, 2011

- for all other entries of the same title, it is usually sufficient, depending on the citation style, to insert a short citation at the end of the page:

  ____________________________________________________________

  ³ Meyer 2011

- Particularly in European citation styles, if the same page in the same work has already been directly cited earlier, the Latin abbreviation *ibid.* (ibidem) may be used. While its use is declining, reference management programs will insert it, again depending on the citation style, automatically.
2.2.1 Citation styles

There are a large numbers of recognized citation styles. Academics and publishers develop and maintain these different styles. Therefore, almost every modern academic field, and almost every publisher and journal has its own rules for the correct formatting of citations.

Which citation style should I use?

- If you publish in a journal or a text book the citation style is determined by the requirements set by the editor or publisher.
- If you are a student writing your final paper, always ask your supervisor or the department which style to use.
- If there is no set style see chapter 6 where you will find three established citations styles you can use. If there is a standard style for your field, use this. In addition, you have the option of citing according to DIN ISO 690 - this citation standard is recognized throughout Germany.

Along with formatting rules for your references, the in-text citation also follows the particular citation style.

Some general information:

If a work is written by three or more than three authors, there is special set of rules depending on the selected citation style. Bailey (2011) recommends that where there are three or more authors in an in-text citation, only the first be specified and all further author names be replaced with “et al.” (Bailey, 2011, p. 69). For example: where a work is co-authored by Franz Müller, Joseph Mayer, and Sebastian Schmitt 2012 the in-text citation names only the first author and adds et. al. for all other authors. (Müller et al., 2012, p.5). In the references, all authors are listed. Incidentally, if you are using a reference management software, the reference is automatically inserted according to the specifications of the selected citation style; you need not concern yourself further.

If you are referring to a specific place in an article or book, you should specify it as precisely as possible, i.e. insert page numbers or other numbering used in the article in your in-text citation. The particular citation style governs how the page number is inserted in the citation. This often happens after a comma with “p.”, e.g. (Meyer 2011, p. 3), (MEY 11, p. 3), [5, p. 3].

When you quote an entire section from a book or article, you should cite once at the beginning or end of the quotation, not after each sentence. Make it clear that your citation refers to the whole section, not only to the first or last sentence, e.g. “The following section summarizes the results from [9].
If details are missing, e.g. the author, the year or the location of publication, you may point this out by using the following abbreviation. This shows that your work is not simply sloppy but that despite further research you could not identify the missing information.

- Author: o.V. (ohne Verfasser), N.N. (nomen nescio) or N.U. (name unknown)
- Year: o.J. (ohne Jahr), s.a. (sine annum), n.y. (no year) or n.d. (no date)
- Location: o.O. (ohne Ort), s.l. (sine locum) or n.p. (no place)

If there are no authors specified for a source, often the publishing institution or company takes the authors' place.

Examples of current citation styles (taken from the reference management software, Citavi, read more in chapter 6):

![Figure 1: Citation styles APA 7th, IEEE Editorial and Chicago notes 16th](image)

### 2.2.2 Citation in science, technology and medicine (STM)

Academic achievements in the STM subjects are in most cases not dependent on their exact wording, but are theories, theorems, studies, experimental results, etc. and are usually cited indirectly. In-text citations are indicated with short citations (numbers or author-year system); footnotes are not usual.

Direct quotations are rare and should only be used when the precise formulation is important. It is not always customary to put these in quotation marks, e.g. if you “word-for-word” quote a formula or a mathematical theorem.

When citing, you can mention the author in the text or only in a short citation.

Examples:
From the study by Maier and Müller [7] it is known that...
Since the solutions to this equation are always limited [8, Theorem 1.7], it follows that...
As mentioned afore you should always, if you refer to a particular place in an article or book, specify them as accurately as possible, i.e. insert page numbers or other numbering (as in the last example), which is used in the article in the short reference.

### 2.2.3 Citation in the economic and social sciences

Indirect quotes are very common in economics and social sciences. It is important that you reformulate what you have read in your own words and not simply change a few words in the passage quoted.

Direct quotations are also common in economics and social sciences, but should be used sparingly and only used when it is essential to reproduce the exact wording of a line of argument or an opinion. You can also mark off longer direct quotations from your own body text by formatting, for example, by indenting the quote (left or right) or using italics. You then do not need to use quotation marks. Be careful to reproduce the quotation exactly and identify omissions with an ellipsis (three dots) in square brackets.

To mark quotations in the text, two main systems are common in economics and social sciences: the short citation using the author-year system, and the footnote system.

### 3. How do I list different sources in the reference list? And what do I have to consider?

A list of references is always found at the end of a chapter or work and you must cite all literature that was used, whether directly quoted or indirectly.

The list must be prepared in accordance with uniform rules (in the selected citation style). The titles are either sorted alphabetically by the author’s surname or numerically according to the order of their appearance in the text.

If you cite multiple publications by the same author within the same year these are marked by e.g. using lowercase letters: Example: Eco (2010a), Eco (2010b).

If a publication has no author or editor, you sort by the first significant word in the title.

Some journal publishers require items to be sorted in the order in which they were first mentioned in your work. This arrangement of the titles in the list of references is particularly popular for shorter publications or works in which very little literature is cited and is used only with the number system.
Elements of a Source Citation

Below you will find examples of how different document types are indicated in the reference
Sometimes, however, a document type cannot be clearly determined, or the citation style
used does not offer a definition for this document type. It is therefore necessary that your find
a solution yourself that comes as close as possible to the citation style.

In principle, every source citation must answer these four questions:

1. **Who** created the work? The author, artist or, if no person is named, the publishing institu-
tion is indicated here.
2. **What** kind of work is it? Here you give the title and, in the case of rare document types, a
description of the source in square brackets.
3. **When** was the work published? Here you give the year of publication, the date of the last
update or the posting date.
4. **Where**: Where was the work published? or Where can the reader find it? Here the type of
information can be quite different. For books, the publisher and the place of publication
are given; for journal articles, the name of the journal plus the year, volume, issue and
page number; for websites you provide the URL; for materials that are only found in an
archive, the name of the archive and, depending on the citation style, the archive shelf
mark.

Unless otherwise indicated, the references for all the following examples are formatted ac-
cording to the rules of the *Publication Manual of the American Psychological Association* 7th

Depending on the type of publication, the complete list of references contains various ele-
ments. The specifications can be found in the respective style guides or publication manuals.
Some citation styles prescribe that author names be written out in full while others require the
abbreviated (initialized) first names. Generally, it is easier for your reader to trace sources
back when the entire author name is given in full. In our examples, given the very wide ad-
herence to the APA style, we have held to the requirement of the *Publication Manual the
American Psychological Association*, where first names are always abbreviated.

According to APA style guidelines, the author’s name is always followed by a full stop. If the
author is a person there is already a full stop due to the abbreviated first name. However, if
the author is an institution the full stop is either added manually or automatically by your ref-
erence management program. There are never two full stops in a row.

Electronic publications

In general, the same requirements apply to electronic publications as for their printed equiva-
 lance: authors, title, journal title, issue number, volume number and year of publication must
be specified for articles from e-journals, just as for printed journal articles. It makes sense, how-
ever, to **provide extra information where available** (e.g. the DOI). Your readers will be
able to trace the source more easily. At present it is recommended to give the DOI as a click-
able link [http://dx.doi.org/10.1007/978-3-658-02511-3](http://dx.doi.org/10.1007/978-3-658-
3.1 Blog posts

[Author last name], [author first name(s) abbreviated]. [(Year, Month in words day)]. [Title of post]. [Title of blog]. [URL]


Depending on the reference management programme you use the representation of the source may differ. Some citation styles indicate the type of source after the title e.g. „Online“ or „Blog“.

3.2 Book / E-Books

Printed books

[Author last name], [author first name(s) abbreviated]. [(Year of publication)]. [Title]. [Edition]. [Publisher]. [DOI/URL]


E-Books

[Author last name], [Author first name(s) abbreviated]. [(Year)] . [Title] [Edition]. [Publisher]. [DOI/URL]


7 If there is a subtitle, it is added to the title after a colon and a space.
3.3 Book chapter or article from a book / E-Book

Chapter from a printed book:

[Author last name], [Author first name(s) abbreviated]. [[Year of publication]]. [Title of Chapter]. [In] [Editor(s) first name(s) abbreviated] [Editor(s) last name] [[Ed./Eds.]], [Title of book] [[Edition ed., p./pp. xx-xx]]. [Publisher].


Chapter from an E-Book

[Author last name], [Author first name(s) abbreviated]. [[Year of publication]]. [Chapter title]. [In] [A. Editor & B. Editor (Ed./Eds.)], [Book title] [[Edition ed., p./pp. xx-xx]]. [Publisher]. [DOI as URL/URL most closely linked to chapter]


3.4 Dissertation/Thesis

[Author last name], [Author first name(s) abbreviated]. [[Year of publication]]. [Title]. [[Type of university writing, University]]. [Database or archive]. [URL]


Unpublished theses


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* Ed. for one editor, Eds. if there is more than one editor.

* p. for one page, pp. for several pages.
3.5 Entry in an online encyclopedia

[Author last name], [Author first name(s) abbreviated]. ([Year of publication]). [Title of Article]. In [Editors first name abbreviated] [Editors last name] (Ed./Eds.). [Title of encyclopedia] [([Edition ed.])].10 [Publisher]. [URL]


**Without publication year**

If the dictionary is updated regularly, the year of publication is replaced by (n.d.) and the URL is preceded by a retrieval date in the form of Retrieved [month written out in full] [Day], [year], from [URL].

Example.: Retrieved May 5, 2019, from https://...

3.6 Images

3.6.1 Images are protected by copyright law!

**Under German law, each image (= photographic work and photographs) enjoys copyright protection from its inception.**

A copyright symbol, sometimes denoted with (©), is not necessary and has no influence on the copyright. Each of the above-described works enjoys copyright protection from the moment it comes to existence without having to be applied for. Copyright protection includes the author’s personal rights (e.g. the right to be named as the author and not to be misrepresented) as well as the so-called exploitation rights (e.g. duplication, public broadcasting or display).

The Copyright Act distinguishes between:

- photographic works (= photograph with a certain degree of creativity, pursuant to article § 2 para. 1 no. 5 of the Copyright Act),
- photographs (= photograph, any arbitrary snapshot, § 72 of the Copyright Act) and
- other images e.g. technical drawings.

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10 e.g. (4. ed.) for 4th edition.
Other images

Other images (e.g. technical drawings) are only protected by copyright law if they meet the necessary level of creativity; this means they can be distinguished from program-generated images through the author’s personal creative contribution.

Term of copyright protection

The copyright covers photographic works until 70 years after the death of the copyright holder (§ 64 German Copyright Act); for simple photographs for 50 years after the appearance of the photograph (§ 72 para. 3 of the Copyright Act). The period of protection expires at the end of the 70th year after the author’s death or at the end of the year 50 years after the snapshot’s appearance. After this time, the picture is copyright-free. Due to the rules of good scientific practice you still have to cite it. But now you are permitted to use the image beyond the purpose of citation (see Chapter 1.2).

3.6.2 When may I cite an image in my work?

You may use pictures according to the right of citation (Zitatrecht, see Chapter 1.2). Note, that all transformations or alterations to the works may be done only with the permission of the copyright holder (§ 23 German Copyright Act). This includes image fragments, translations and additions.

Example 1: For your dissertation you use a published image of an experimental setup that you need as a basis for further experiments described in your work. You discuss the image thoroughly. You cite the source correctly.

Let’s check the usage by applying the PEMUC scheme:

Purpose of citation: Experimental design that forms the basis of the further investigation (= purpose of citation is given).

Explanation: The picture is explained in detail.

Minimum: Since you are not allowed to change the image, you may and must quote the complete image. Since the image is necessary for the description of your procedure, this requirement is also fulfilled.

Unchanged: You have not changed the image.

Credit: You have indicated the source with a brief reference in the caption. The complete source is mentioned in the reference list.

Conclusion: The image citation is permitted.

Example 2: For your dissertation you use a photograph from a historical picture. The picture is not necessary for your argumentation but merely for illustration. The photographer died more than 70 years ago. You cite the source correctly.
Purpose of citation: Not given

Explanation: No explanation, only used for illustrations.

Minimum: You use the entire image ... unchanged.

Unchanged: You have not changed the image.

Credit: You have indicated the source with a short reference in the caption. The complete source is mentioned in the bibliography.

Conclusion: You may not use this picture within the scope of the right of citation. But since the author has been dead for more than 70 years, the picture is no longer protected by copyright. It is now in the public domain and may be used. As always in a scientific context, you name the source.

What do I do if ...
1 ... the image I want to cite has not yet been published?
   → Ask the author if you are allowed to cite his image and reference the image as an unpublished work with a corresponding reference in the reference list (see Chapter 3.18). In rare cases, you publish the image in the place and name of the author. In this case, there is no reference in the reference list. Instead, you refer to the author in a note, e.g. with a phrase like "Printed with the kind permission of [name of author]."

2 ... the image was published in a journal?
   → Cite it like any other source. If you meet the requirements of the citation right, you do not need a special permission from the publisher - even if the publisher offers permissions.

3 ... I do not explain the image in the text?
   → If your picture is neither in the public domain nor published under a matching license, please ask the copyright holder for permission. The rights holder can be the author, but also a publisher who has acquired the sole (exclusive) rights of use from the author.

4 ... I want to change the image (e.g. use a section, translate, add details, omit details, change the display)?
   → Ask the copyright holder for permission or create your own image. More about this in Chapter 3.6.4

5 ... the image comes from a standard?
An image in a standard may replace the entire standard. If you use this image in your own work, this can damage the economic exploitability of the standard. For this reason, be particularly careful when using images from standards. For more information, see Chapter 3.16.

### 3.6.3 Permissions for the use of images beyond the right of citation

If an image is not citable under copyright law, you can cite it, if you have the consent of the copyright holder to use an image. This consent may be given orally. However, if you want to cover your back you might want to ask for a written consent. To do so, it is important to be sure you actually know who owns the copyright. The exploitation rights to an image can also be transferred to a publisher, for example, if the author of the image has already published it and signed a corresponding contract.

Balzert et al. (2013, p. 190) provide an important source for obtaining image rights: VG Bild-Kunst: [http://www.bildkunst.de](http://www.bildkunst.de). This collecting society (i.e. VG Wort) issues printing permissions for most living artists and photographers. However, in many cases the images you want to use will not be from artists or photographers. In these cases, please contact the author or the publisher directly to obtain the necessary rights.

Creative Commons licenses offer another form of written consent for the use of images. These licenses allow the copyright owner to offer the public expanded exploitation rights. Creative Commons licenses thus always extend the provisions of copyright law. For more information see Section 4.1 below.

### 3.6.4 May I change an image?

If you cite images, you always cite the entire work and thus quote directly. Which means you may not change the work. If you still want to change the image, you have two possibilities:

1. get permission from the copyright holder, or
2. cite indirectly (paraphrase).

When you cite an image indirectly, you take the idea and create your own image - giving the idea a new appearance. As with all indirect citations, you specify the source on which your image is based. The in-text citation in the caption should indicate whether it is the original image or whether the original just served as the basis for your own illustration. You can indicate this by using an introductory phrase like:

- Based on ... / Inspired by ...
- Adapted from ... / Modified from ...
3.6.5 What else do I have to consider when using (my own) photos?

Copyright on depicted motifs
When using images, you also need to respect the copyright of the depicted subject. This is in addition to the copyright of the author of the image itself, for example, in works of art or if buildings are depicted.

Architectural and artistic works are protected by copyright. You therefore need the consent of the architect and the artist, even if you have taken the photograph yourself. This copyright protection expires 70 years after the creator's death.

There is an exception to this rule: You are permitted to make use of the so-called “Panoramafreiheit” (freedom of panorama). You may publish photographs of the exterior of a building without special permission if it is located in a public street or a public place permanently\(^{11}\) (§ 59 German Copyright Act). The freedom of panorama also includes works of art when they are situated in a public area. The reproduction (photo or video) must, however, be made from a publically accessible place. A picture that is e.g. taken from the window of a building across the street does not qualify as publically accessible. If the building is not in a publically accessible area, or the picture is of the building’s interior, the consent of the architect must be obtained.

Domiciliary rights
When recording within buildings and real estate, e.g. stations, stadiums, or private houses, domiciliary rights must be observed. Unauthorized pictures constitute a violation of general personal rights. Again, therefore, the consent of the holder must be obtained prior to publication.

Right to your own image / personal rights of depicted persons
If people are visible in a photograph, you also have to be careful: only with the consent of the persons depicted may such images be circulated. You will find the relevant provisions in § 22 KUG (Law on Copyright in Works of Fine Art and Photography). Exceptions to the law include persons recorded as an “incidental addition to a landscape”, public figures, or persons that are recorded as part of a gathering, etc. (§ 23 KUG).

3.6.6 Captions and list of figures

Images (your own or other people’s) should be numbered and titled in your work (number and title, for images above, for tables below). All images should be listed in a separate table of figures.

---

\(^{11}\) Works of art which are located in a place only temporarily are explicitly excluded. Example: The wrapped German Reichstag
If images, etc. are taken from other works, you must in addition to numbering and titles specify the source from which you took them. The citation is done in the form used elsewhere in the work, e.g. in a footnote, or in short form directly after the title.

Charts are treated as figures and as such are listed in the list of figures. Information on the design of charts according to TUM corporate design guidelines can be found here: https://portal.mytum.de/corporatedesign/index_html/regeln/index_diagramme (page only available in German).

Example of an image with the source cited in the references:

![Image of scissors cutting paper](image)

Figure 2: How Plagiarism Works (Weber-Wulff, 2004b)

The work referred to in the citation must be listed in the reference list.

The table of figures is located at the beginning or end of the work (but before the references) and lists all images in numerical order. The sources of the images (books, webpages, etc.) appear in the full list of references.

**Example of a List of Figures:**

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Figure 1:</td>
<td>The Spatial Distribution of Branch Libraries</td>
<td>p.4</td>
</tr>
<tr>
<td>Figure 2:</td>
<td>Organizational Structure of the University Library</td>
<td>p.10</td>
</tr>
<tr>
<td>Figure 3:</td>
<td>Flow Chart for Book Purchase</td>
<td>p. 14</td>
</tr>
<tr>
<td>Figure 4:</td>
<td>Acquisition Profile for Engineering / Science</td>
<td>p. 15</td>
</tr>
</tbody>
</table>

**3.6.7 Source citation in the reference list**

**Images from printed works**

The corresponding schema would look like this:

[First Author's last name], [First Author's first name abbreviated], [further author names like the first one], & [last author, like first one] [(Publication year)]. [Title]. [Type of image]. [Journal title], [Volume], [(Issue)], [Pages]. [URL]

Images from the internet (with and without licence)

[Author's last name], [Author's first name abbreviated]. [(Publication year)]. [Title of the image if available, otherwise short description of the image in square brackets]. [Type of image]. [Title of website]. [URL]. [Licence]


If the image has no title, add a short description of the image in the bracket with the image type. This can look like this, for example:


If the image was published under a creative commons licence or a comparable licence add the following information before the URL:

[Name of licence including a link to the license text].

If your work is a printed work, write out the link to the licence text.

Here are two examples of images from the internet coming with a license:


Please notice that pictures sourced from image databases like Fotolia, Shutterstock or Pixellio have to be referenced as defined in the terms of use by the image database’s agency.12

---

3.7 Interview

Interviews, which can be found printed in a magazine, for example, are citable and appear in the reference list. Harvey (2008, p. 69) provides the following example (formatted in CMS Style):

The APA Publication Manual also provides examples of how interviews are cited. Interviews can be published in newspapers, magazines, radio shows, podcasts, television shows, etc. Cite the interview in each case like the publishing source.

Interview printed in a newspaper


Interview recorded on a radio broadcast


If the name of the person interviewed is not mentioned in the reference, include it in the title. For interviews that are recorded and preserved in a digital or physical archive, credit the interviewee as the author.

Interviews that you have conducted yourself, should be marked accordingly in the text. Kamasin and Ribing (2011, p. 150) recommend specifying the following data:

- Academic title of the interviewee
- First name and last name
- Function in company (in the organization, at the institution)
- Company, institution address (no private address!)
- Exact date and time

Example:
Interview was conducted with:
Dr. John Doe
Managing Director of Whatnot GmbH
1, Anywhere St., 11111 Nowheresville
January 01, 2011

A self-conducted interview may be added to the appendix of your work. Obtain consent from your interviewee before you use any part of the interview and the form in which you wish to use it.
3.8 Journal articles (print and online)

Printed journal articles

[Author last name], [Author first name(s) abbreviated]. ([Year of publication]). [Title of article]. [Journal title], [Band / Volume][(Heft / Issue / Number)], [Page numbers].


Electronic journal articles

[Author last name], [Author first name(s) abbreviated]. ([Year of publication]). [Title of Article]. [Title of the Journal], [Volume(Issue)], [Pages]. [DOI as URL/URL]


3.9 Laws

Laws are not protected by copyright ([§ 5 UrhG]) and can therefore be cited without issue. At the first mention of a law in a text, its complete title is mentioned; once an abbreviation is established, it is used in subsequent mentions (example: § 51 Urheberrechtsgesetz, thereafter, § 51 UrhG).

The rules of good scientific practice require that the sources to which reference is made are marked as precisely as possible. The examples of citations from legal texts given in the APA style manual are tailored to American legal texts. We therefore recommend that you use the same citation style as Brink (2013, p. 224 Fig. 7.2) for the short in-text citation:

§ [number] paragraph [number] sentence [number] [law (abbr.)]

Examples:

§ 433 para. 2 (BGB)

§ 9 para. 1 sentence 1 EStG

§§ 51 UrhG and 52a UrhG

13 With journals there is never p./pp. given.
14 German Copyright Law
15 German Civil Code
16 German Income Tax Act
§§ 346 ff. (BGB)

Some laws have articles instead of paragraphs, e.g. the German Grundgesetz (GG) and all Bavarian laws (e.g. the Bavarian Higher Education Act). One then writes e.g. Art. 1 Abs. 1 GG.

The abbreviations used for short in-text citations should always be included in the list of abbreviations.

Whether or not you also include the laws from which you cite in your references depends on the conventions of your subject. Please follow the recommendation of your supervisor. If you do choose to include an entry in the references, the official publication of a law should always be indicated there.

**Examples of legal references in the references:**

Urheberrechtsgesetz vom 9. September 1965 (BGBl. I S. 1273), das zuletzt durch Artikel 1 des Gesetzes vom 20. Dezember 2016 (BGBl. I S. 3037) geändert worden ist.\(^\text{17}\)


You can also find detailed information on citing laws and regulations of all kinds in the Handbuch der Rechtsförmlichkeit vom Bundesministerium der Justiz und für Verbraucherschutz (Part B, Chapter 3) \(^\text{19}\).

For **judgments and decisions**, Brink (2013, Fig. 7.3) recommends the following model:

\[
\text{[Court (abbr.)], [Type (abbr.)] on [Date of decision] – [Reference number], [Location], [Citation]}
\]

**Examples:**


Judgments and rulings are usually not listed in the references but in a separate reference list. The information there corresponds to the references in the text.

Basic citation model for commentaries (Brink, 2013 Fig. 7.5)

<table>
<thead>
<tr>
<th>Name</th>
<th>First name</th>
<th>in: Name of the founder/publisher, Keyword, Citation</th>
</tr>
</thead>
</table>

Example:

Short citation in Footnote


If the author of the comment to be cited is not known, the first part of the citation model ([name], [first name], in:) is omitted:


Short citation in Author-Year style

From Brinks example for footnote styles we derived the following scheme for author-year styles:

([Name], [Year], on § [Paragraph], Note [Number of note])

Example: (Birk, 2003, on § 11, note 28)

In the references (full form)


---

20 Banana Ordinance
3.10 Maps

Maps are subject to copyright protection law and must therefore be cited. While survey data – roads and the like – are free facts, the layout of the map or the particular composition of the data may be protected by copyright.

As with images, maps may only be cited directly, i.e. unchanged. This means that using a section of a map or adding your own entries to an existing map is not permitted. The map must always be cited in its entirety and not modified. In most instances, the purpose will not justify a direct citation, since it is not usually a particular map, but merely any map showing the region in question, which is required. In the case of historical work on map printers etc., the citing of maps would of course be justified by the purpose. Otherwise not.

Therefore, if you need a map, you should draw it yourself. This is the best way to highlight the information that is relevant to your work. Name the data source on which your map is based.

**OpenStreetMaps**

If you nonetheless want to use existing maps, we recommend using OpenStreetMaps. OpenStreetMaps is a free map, whose data is licensed through the OpenData Commons Open Database License (ODbL). The map representations are often under CC-BY-SA license. However, since the maps are created by different people, the license may vary. Therefore, always check which uses the respective license allows. As a rule, use and adaptation is permitted if the source is specified and the new work is licensed under the same conditions. Link the license to the license text or, in the case of printed works, provide the link to the license text. Information about the license is always found below the map on the right.

OpenStreetMaps also offers the possibility of creating a permalink for a displayed map excerpt (for permalinks see Chapter 3.18, Web pages). You should always specify this permalink in the source to facilitate retrieval.
In the references:


Map 1: Arcisstraße 21, Munich (Open Street Maps, 2016), published under Open Database License ODbL

If you use a lot of maps in your work, create a list of all the maps in your work - analogous to the list of figures or tables.

In general the schema for electronic maps looks like this:

Electronic map

[Cartographer, institution or name of the person who created the map]. [(Year of publication)]. [Title of Map] [[Map]]. [Publisher]. [URL, if possible use a permanent link]


For dynamically generated maps, specify the retrieval date. If the map has no title, give a short description of the title in square brackets.


Except for the above-mentioned examples of electronic maps, the APA style does not provide any other examples of maps.
In principle, for printed maps the following details should be provided:

Author, title, edition, scale, place of publication, publisher, year, material description (map, relief, architectural drawing), other physical characteristics (on parchment, digital, etc.), size, information on a series the map belongs to.

In addition you can differentiate between the individually published maps, and maps from atlases (Northey & Knight, 2007, pp. 234-235):

Individually published map
Zania. Population over 50 [demographic map]. Zunila: Census Division, Office of International Affairs; 1995 Scale 1: 1,500,000. 2 sheets.

Map from an atlas

3.11 Newspaper articles

[Author last name], [Author first name(s) abbreviated]. [(Year of publication, Month in words Day)]. [Title of article]. [Name of newspaper]. [URL]


Occasionally you will find newspaper articles with references like this:

E.g.: ZEIT ONLINE, AFP, dpa, hgö (Gökkaya, 2019)

dpa and AFP stand for the news agencies Deutsche Presseagentur and Agence France-Presse\(^\text{21}\). Hgö is linked to the authors’ profile of Hasan Gökkaya. In our reference list we only mention the author:


Sometimes it happens that the authors’ initials do not have a link to a corresponding authors’ profile. In these cases, it is worth enquiring at the newspaper’s archive. If there is no linked

\(^\text{21}\) To find out which abbreviations stand for authors and which for news agencies, you can consult the abbreviations for news agencies in this list: [https://en.wikipedia.org/wiki/News_agency](https://en.wikipedia.org/wiki/News_agency)
authors’ profile, omit the author in the reference list entry and place the title in the first position. If the authors’ initials in the above-mentioned article did not link to an authors’ profile, then the source reference in the bibliography would look like this:


3.12 Patents

As in-text citation give the patent number and the issuing date.

In the reference list you include the inventor’s name and the official office where the patent can be seen.

[Inventor’s last name], [Inventor’s first name abbreviated]. [(Issue date)] [Title of the patent]. [Patent number]. [Patent office]. [URL]


Usually there is one patent office in every country. This means that the abbreviation U.S. stands for the U.S. Patent and Trademark Office in Washington DC. The abbreviation DE stands for the German Patent and Trademark Office (Deutsches Patent- und Markenamt) in Munich.

Other citations styles might also mention:

- The name of the assignee or applicant. (Patents are often registered by companies.)
- The registration date (sometimes it might be the approval date or the publication date, so best name which date you use.)
- The URL to the patent’s full text.

Patents often have a version number like A1, A2, … or B1, B2, … This has to be given in the reference, too.

Example: DE 27 03 353 A1
DE 10 2005 051 128 B4
3.13 Personal communication and expert opinion

For unpublished information, which has been given to you by an expert in a personal conversation or via email, APA style lists the following information:

[Author last name], [Author first name(s) abbreviated] [(personal communication, [Month in words] [Day], [Year])]

Example:
T.K. Lutes (personal communication, April 18, 2001)

Since the reader cannot view personal information, according to APA style, they are listed in the text only, not in the reference list.

In other citation styles you can often find the following information:

- Full name
- Qualification / position and workplace / institution
- Place of work / institution (without postal address or email address)
- Date and time incl. time zone
- Title of presentation / subject
- Type of communication / source with addressee

Example:
Braun, Gary P. (Prof. for Accounting, College of Business Administration, University of Texas at El Paso) (02.08.2000, 10:18 RDT): „Re: Questions about Accounting Terminology“. Email to Bruce W. Irwin

Womser-Hacker, Christa (Prof. für Angewandte Informationswissenschaft, Institut für Angewandte Sprachwissenschaft, Universität Hildesheim) (01.08.2000, 9:18-9:25 MEZ): Personal communication with Bruce W. Irwin

Such a reference would then be placed in the footnote.

3.14 Research data

Research data forms an important basis for academic knowledge. To derive the greatest possible benefit from the data collected, research data (primary data) is increasingly published in its own right. This allows researchers with different research focuses to analyse, cite and reuse the data. So where can you find research data? How do you cite it? And how do you publish it?
Research data is often published on the institute’s or university’s own servers and can be searched via Datasite’s Repository Finder\(^{22}\).

A good overview of how to handle research data can be found in the guide „Auffinden, zitieren, dokumentieren: Forschungsdaten in den Sozial- und Wirtschaftswissenschaften“ (Tochtermann et al., 2014).

A research data reference should include the following:

- Author
- Publication date
- Title
- Version (if applicable)
- Publication agent (Institution)
- Type of resource
- Identifier (if applicable)

Research data can be especially well cited if there is already a DOI registered.

Example:

[Author last name], [Author first name abbreviated] [(Publication year)]. [Title] [(Version number)] [[Type of source]]. [Publisher/Institution]. [DOI as URL/URL]

**Published research data with an institution as author**


If the record does not have a version number, omit this information.


**Unpublished research data**

For unpublished research data, specify [Unpublished raw data] as the source type. Instead of the year of publication, enter the year(s) of collection. If the dataset does not have a title, provide a brief description in square brackets. This may look like this:

---

\(^{22}\) https://repositoryfinder.datacite.org/

If you use research data for your own analyses and present your results e.g. in a table or graph, you cite your source as described in chapter 3.18, Tables.

### 3.15 Software and apps

If you use specialized software for your work, it must be cited. Standard software such as Microsoft Word or Excel, Java, Adobe Photoshop, SAS, SPSS etc. need not be cited. If you use standard software to collect, evaluate or present results in a special way, please state the name and version of the software in the text.

Example: Data were analysed with IBM SPSS Statistics (Version 25).

For specialized software, refer to it in the reference list according to the following scheme:

**Software**

[Author last name], [Author first name abbreviated]. [(Year)]. [Name of software] [(Version number)] [[Computer software/Mobile app]]. [Name of publisher or app store]. [URL]


If there is no author, specify the publisher as the author instead and omit the indication of the publisher before the URL.


These schemes come from the APA style. Other styles also name the publication date or access date. In addition to the term "computer software", the terms "software" or "program" are also very common.

**Apps**

Apps follow the scheme for software. Here is an example:

3.16 Standards

Standards are usually protected by copyright and can be cited under the right of citation according to § 51 UrhG. However, just as with other protected works, you should take care to use the cited work to an extent that does not violate the interests of the author. This means that the citation must not be so extensive that it could replace the cited work or that a user would no longer buy the original work (Lüft, 2019§ 51, Rn 6-7). You should be particularly careful with images from standards. It is often difficult to decide whether this is a permitted act of use under the right of citation or an infringement of the author's right of exploitation. Therefore, in case of doubt, you should always ask the Beuth-Verlag. You can obtain permission there as well.


The Beuth-Verlag's recommends to cite standards likt this:


However, you should always adapt the editors' citation suggestions to the guidelines of your citation style. In the APA style, there are no specifications for citing standards. If we try to follow the general APA scheme a source citation for standards could look like this:


In the IEEE Editorial Style Manual you will find the following (IEEE Publishing Operations, 2020, p. 17):

*Basic format:*
[1] *Title of standard*, standard number, date.

Examples:

3.17 Students’ projects

Students' work have to be cited. Even if you supervise student assignments, for example, as part of your Master's thesis or your dissertation, and have thus contributed to the creation of the work, it remains the case that copyright belongs to the author.
Should you want to cite from an unpublished student’s work, you have to seek the student’s (=author’s) permission (TUM Graduate School, Fakultäts-Graduiertenzentrum Maschinenwesen, 2012) and document this in your thesis together with the citation. Follow the scheme for unpublished university publications (s. Chapter 3.4).

However, it is easier if the student’s work has been published. TUM advises publishing final papers if significant scientific results were obtained.

There are different ways to publish:

- Online publication on the media server mediaTUM: if you want to publish a student examination paper on mediaTUM, please send an email to the address support.diss@ub.tum.de or upload the paper yourself using your chair’s mediaTUM account. Our mediaTUM team will be happy to answer any further questions regarding mediaTUM via mediatum@ub.tum.de.

- Making your thesis available at the university library: further information on the library’s website.  

- Another alternative would be to publish a technical paper together with the student, based on the student’s work (i.e. within a publication series at your chair), and to cite this technical paper.

3.18 Tables

Copyright law does not protect quantitative data. Therefore, you can always cite them. You can even use extracts from tables in your own table if you mention the source. If you create a table using data from different sources, you have to make clear, where the information comes from. In contrast to the data itself, the display of the data may be protected. If a programme like Excel generates the display, the level of creativity necessary for gaining copyright protection, will usually not be attained.

Just as with images, tables should be numbered. All tables are listed in a separate list of tables, with table number, table title, and page number.

In contrast to images, **table titles usually appear above** (image titles appear below). When you use a table from a work, you reference it in the text next to the page number, including the original table number. The work appears in the reference list.

---

Example:
Table 1: Übersicht über Stecklingsansätze aus jungen Tabebuia- und Heliocarpus-Pflanzen (Heppner, 2009, p. 20, Table 2.2)

<table>
<thead>
<tr>
<th>Spenderpflanze</th>
<th>Datum</th>
<th>Alter</th>
<th>Steckling Anzahl</th>
<th>Position</th>
<th>Methode</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tabebuia</td>
<td>11.03. ’05</td>
<td>1,5 – 2- jährig</td>
<td>40</td>
<td>oberer Teil</td>
<td>Vitavax®, 1 % IBA, Walderde : Sand : lehmige Erde 2:1:1</td>
</tr>
<tr>
<td>Tabebuia</td>
<td>01.05. ’05</td>
<td>s.o.</td>
<td>40</td>
<td>unterer Teil</td>
<td>s.o.</td>
</tr>
<tr>
<td>Tabebuia</td>
<td>12.06. ’05</td>
<td>s.o.</td>
<td>41</td>
<td>ges.Sproß</td>
<td>Vitavax®, 1 % IBA, Walderde</td>
</tr>
<tr>
<td>Heliocarpus</td>
<td>23.02. ’06</td>
<td>2jährig</td>
<td>33</td>
<td>ges.Sproß</td>
<td>Vitavax®, 1 % IBA, Walderde : Sand 3:2</td>
</tr>
</tbody>
</table>

Table 1: Übersicht über Stecklingsansätze aus jungen Tabebuia- und Heliocarpus-Pflanzen
(Heppner, 2009, p. 20, Table 2.2)

In the references:
https://epub.uni-bayreuth.de/464/

Data from different sources
If you have collected data from different sources in one table, the source of information in a cell has to be clearly visible to your reader where the information in a cell comes from. If for example all data in one row or one column comes from the same source, you can specify this in the table heading like this:

---

24 Overview of approaches cuttings from young Tabebuia- and Heliocarpus plants (Translation Karl Hughes, Technische Universität München, Language Center)

44 | 53
Table 2: Population in Munich (Data for 1900: Müller 1901, p. 52, Tab. 3; 1950: Maier 1952, p. 23, Tab. 7; 2000: Schmidt 2001, p. 103, Tab. 4; 2050: author’s calculations)

<table>
<thead>
<tr>
<th>Age / Gender</th>
<th>1900</th>
<th>1950</th>
<th>2000</th>
<th>2050</th>
</tr>
</thead>
<tbody>
<tr>
<td>♀ 0-17</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>♂ 0-17</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>♀ 18-40</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>♂ 18-40</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>♀ 41-65</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>♂ 41-65</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If you cannot specify the origin of the data line-by-line or row-by-row, or you have too many sources to list them all in the table heading, you might want to give the exact origin of all data in the appendix, where you can give the origin for each cell individually. In the table heading, you could refer to your sources in the following way:

Table 1: Population in Munich (For this table data from the following sources was used: Müller 1901, Maier 1952, Schmidt 2001, Schuster 2010 and Bäcker 2016. For exact data origin, see Appendix X)

3.19 Unpublished Sources

Unpublished sources should only be cited if the information is not otherwise available to the reader. In general, unpublished sources are cited in the same way as published ones.

Unpublished sources may only be cited with the author’s permission. This is not necessary if the copyright has already expired. If possible, include the source in the appendix to make it accessible to your reader. Keep in mind that a permission to cite a work does not automatically include the permission to include an unpublished source in the appendix. Make sure to get this additional permission. The work is not listed in the reference list unless it is available in an archive. In this case, give the information on where to find the document in question at the end of the reference and include it in the reference list.

The entry in the reference lists includes the following information:

[Author last name], [Author first name abbreviated] [(Year)]. [Title of source]. [Unpublished manuscript/Manuscript submitted for publication/Manuscript in preparation], [Chair/Faculty/], [Name of institution].


The APA style specifies here that not the journal to which the manuscript was submitted is indicated, but the institution at which the article was written.

### 3.20 Videos

In general, videos are protected by copyright and may be cited within the limits of the right to citation (see Chapter 1.2). If you use an excerpt from a video in your academic work, you should describe what you want to show or paraphrase or transcribe the statement you want to use. Give the reference in the text in the same way as for written sources. In the same way as you give a page reference, for a video you enter the time parameters of the video excerpt you use, e.g. (Schneider, 2018, min. 6:30-7:25). The reference in the reference list then looks like this:

**Videos**

[Author last name or producing organisation], [Author first name(s) abbreviated, not applicable for organisations] [(Director)]. [(Year)]. [Title of Video] [[[Description of source]]. [Producer]. [URL]

Forman, M. (Director). (1975). *One flew over the cuckoo's nest* [Film]. United Artists.


Reference management programs usually only offer one document type for movies. Try out whether the reference contains all necessary information. In some cases, another document type may better represent a video from the Internet (e.g. web page).

If you want to use excerpts from videos in class, § 60a UrhG applies.
3.21 Web pages

Consider, that not all internet sources are web pages. Please check first whether your source is indeed a web page or rather a report, a journal article, a blog post or any other type or source that has only been made available on a web page.

[Author last name], [Author first name(s) abbreviated]. [(Year, month in words day)]. [Title of work]. [Site name]. [URL]

If no authors are named on the website, the name of the website or the publishing institution takes the place of the author. The name of the website is then not repeated before the URL.


If you can’t find a date on the website, enter a retrieval date before the URL instead following this scheme: [Retrieved Month in words day, year, from URL].


In general, we would recommend that all citations from dynamic web pages (e.g. blogs, forums, web pages from institutions, etc.) also include the access date.

For online sources, many citation styles indicate what type of source it is. For web pages, indications such as [Webpage], [Website], or [Online] are common. The APA style makes no such indication for web pages here.

When giving the URL always give the URL of the specific page you cite, not the general start page of the web page. Consequently, if you cite several subpages of a website, you include each subpage as a separate source in the reference list.

Make sure your Internet sources are permanently available wherever possible. If available, instead of a URL use a persistent identifier, i.e. a permanently available link (DOI, URN, handle, ...). These so-called permalinks (permanent links) work even when the post on the blog or wiki has been updated or changed. For safety’s sake, save web pages or print a copy so that you can submit them if in doubt. Some reference management software already offer a function to save screenshots of webpages (e.g. Citavi, Mendeley, Zotero).
4. Further questions regarding citation

4.1 How can I find free images? (Creative Commons)

Pre-licensing contracts made by the non-profit organization Creative Commons should help to define the rights and conditions for your own productions. It is endemic to CC licenses always to enable the extension of the valid copyright to any further possibilities for use, never to an additional limitation.

From the user’s perspective, CC licenses help to find content such as images or videos on the Internet freely available for use. Conversely, the absence of a CC-labeling, however, does not mean that the use of e.g. an image is not permitted. You should in this case carefully check the copyright provisions (see 1.4.3).

CC licenses remain valid as long as the copyright for the respective work is valid. Afterwards works licensed by CC are in the public domain, too.

The following six licenses are currently available:

**Attribution (by):**

“You are free to:
Share — copy and redistribute the material in any medium or format
Adapt — remix, transform, and build upon the material for any purpose, even commercially.”
(Creative Commons, 2017f)

**Attribution – No derivatives (by-nd):**

“You are free to:
Share — copy and redistribute the material in any medium or format for any purpose, even commercially.”
(Creative Commons, 2017a)

**Attribution – Non-commercial (by-nc):**

“You are free to:
Share — copy and redistribute the material in any medium or format
Adapt — remix, transform, and build upon the material”
(Creative Commons, 2017d)

**Attribution – Non-Commercial No Derivatives (by-nc-nd):**

“You are free to:
Share — copy and redistribute the material in any medium or format”
(Creative Commons, 2017b)

25 Please note the licenses are constantly expanded. The representation in the document is as of 25.6.2014.
Attribution-Non-Commercial-Share Alike (by-nc-sa):

“You are free to:
Share — copy and redistribute the material in any medium or format
Adapt — remix, transform, and build upon the material”  (Creative Commons, 2017c)

Attribution – Share Alike (by-sa):

“You are free to:
Share — copy and redistribute the material in any medium or format
Adapt — remix, transform, and build upon the material for any purpose, even commercially.  
(Creative Commons, 2017e)

Beyond these you might find two other symbols:

Public Domain Dedication (CC0 1.0 Universal)

“The person who associated a work with this deed has dedicated the work to the public domain by waiving all of his or her rights to the work worldwide under copyright law, including all related and neighboring rights, to the extent allowed by law.

You can copy, modify, distribute and perform the work, even for commercial purposes, all without asking permission. “  (Creative Commons, 2017g)

Public Domain Mark 1.0

This work has been identified as being free of known restrictions under copyright law, including all related and neighboring rights.

You can copy, modify, distribute and perform the work, even for commercial purposes, all without asking permission.”  (Creative Commons, 2017h)

The license terms require that every time you use an item, the name of the copyright holder must be cited. You must also specify a link to the license and specify whether you have modified the item.  
A CC license does not relieve you of the obligation to cite! The license does ensure that the media found may be used.
Bear in mind that not all CC licences can be combined with each other. Figure 1 shows which CC licences can be combined.

<table>
<thead>
<tr>
<th>Status of original work</th>
<th>PD</th>
<th>BY</th>
<th>BY-NC</th>
<th>BY-NC-ND</th>
<th>BY-NC-SA</th>
<th>BY-ND</th>
<th>BY-SA</th>
<th>PD</th>
</tr>
</thead>
<tbody>
<tr>
<td>PD</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BY</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BY-NC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BY-NC-ND</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BY-NC-SA</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<td></td>
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</tr>
<tr>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
</tr>
</tbody>
</table>

Figure 3: Compatibility amongst Creative Commons Licences (Creative Commons, 2015 published under CC-BY 4.0 international licence https://creativecommons.org/licenses/by/4.0/legalcode)

You can also specifically search for CC pictures. Certain search engines help to find those. Some examples:

**CC Search** ([http://search.creativecommons.org/](http://search.creativecommons.org/)): A search interface (not a search engine!) specifically for finding CC items. If desired, the following image search engines can be used to search in addition to general and media-specific search engines: Flickr, Fotopedia, Google Images, Open Clip Art Library, Pixabay.

**Flickr** ([https://www.flickr.com/search/advanced/](https://www.flickr.com/search/advanced/)): Use the Advanced Search to select a CC license for specific images you want to find.

**Google Image Search** ([http://www.google.de/imghp](http://www.google.de/imghp)): After entering a search term in the Google image search, you can filter results by license (menu item: Search Options → rights).

**Let’s CC** ([http://eng.letscc.net/cc_intro.php](http://eng.letscc.net/cc_intro.php)): As with CC Search, not a search engine, but an interface that uses a variety of other search engines to locate CC content.

**But be aware**: if you use an image that has been illegally posted on the net under a CC license - e.g. because a person falsely claims to be the author or has not obtained all the rights of other rights holders - its further use is also illegal. You may be held liable for unauthorized use, even if you knew nothing about it. In copyright law, there is no good faith protection. So check the source of a CC-licensed material carefully before you use it.
4.2 How should I use images in my presentation?

As in your written work, you may also use images in presentations - as long as you comply with the requirements of the right to citation (see Chapter 1.2). If, for example, you use an image in your presentation, giving the full reference and explaining the purpose of the citation in your presentation orally, is permitted by copyright law. The situation is different if you then share or distribute this presentation. In the presentation, the purpose of citing is not explained. This explanation must be included in a presentation if it is passed on to others. Hidden slides may be used, hiding the explanation during the presentation and displaying it for later distribution.

There is no set way to mark your sources in a presentation. The following solutions are possible:

- You name the complete source next to the picture on the respective slide.
- You use a short reference in the caption and mention the complete source in a reference list at the end of the presentation, as you would do in your academic work.
- At the end of the presentation, you list all sources and name the slide on which you used the image. If you have used several images on one slide, name an additional distinguishing feature (e.g., bottom left, top right, etc.).

A clear link between the image and its source is essential in presentations as well.

4.3 Who is author? Who is co-author?

There are a number of guidelines and codes of honour governing the proper approach to the subject co-authorship. The „Guidelines for Safeguarding Good Research Practice. Code of Conduct“ have therefore been adopted at TUM. You will find the following regulations in § 12:

“(1) An author is someone who has made a genuine, recognizable contribution to the content of a scientific text, dataset or software publication, i.e., who has contributed to
1. defining the problem, drawing up the research plan, collecting the data, evaluating or interpreting research outcomes, or
2. the draft or critical review and revision of manuscript content. 2 Co-authorship does not apply to persons who merely made a technical contribution to the collection of data, merely provided funding, or merely served as the head of the department or institution in which the research was carried out. 3 The same applies to persons who merely read the manuscript without contributing to its content. 4 Honorary authorships are prohibited.”
The American Physical Society recommends all other parties involved are mentioned in the **Acknowledgements** (*APS physics: Ethics and Values: 02.2 APS Guidelines for professional conduct*, 2002).

More and more often, the individual contributions of different contributors are entered via the Contributor Roles Taxonomy (CRediT). CRediT distinguishes 14 roles, which often occur in scientific projects: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft and Writing – review & editing (CASRAI, 2018).

Here is an example:

**AUTHOR CONTRIBUTIONS:** S.C.P. and S.Y.W. conceived and performed experiments, wrote the manuscript, and secured funding. M.E., A.N.V., and N.A.V. performed experiments. M.E.V and C.K.B. provided reagents. A.B., N.L.W., and A.A.D. provided expertise and feedback. (Peterson et al., 2015)

Important note: co-authors share responsibility for published papers. If you are listed as a co-author, you therefore have the right to check the final manuscript before submission. Exception: If you were involved in a specific, definable area, then you are only responsible for this part.

Depending on the field, the order of authors also has varying significance. So it may be the case that the incumbent at the chair is named as the last author, while there are other fields where the author with the largest share of the publication is named first, etc. Sometimes the authors are simply arranged in alphabetical order.

Note: The order of authors influences e.g. bibliometric analyses. Because often authors listed at the end are not included in the analyses. If you are unsure in which order to name the contributing authors, enquire about the usual practice in your field.

Sometimes the order is established by drawing lots. Enquire about the usual approach in your field of research. However, when citing sources you always keep the original order of the authors’ names.

### 4.4 How do I cite myself? - Citation or second publication?

It is always assumed that scientific findings which appear without a citation

1. come from the author,
2. are new,
3. are published for the first time and
4. are correct and complete (see U.S. Department of Health and Human Services, 2013)
It follows from points 2 and 3 that all content that you use and have published before must be cited. Citing is therefore not only about protecting the intellectual property of others, but also about distinguishing between what is already known and new knowledge. Content should only be repeated if it is necessary to understand the context. Self-citations as a means of inflating your h-index is considered unethical (see Technische Universität München, 2013, p. 4ff.).

If you want to cite from your own works, the same rules apply as for citing from other authors’ works (see Chapter 1.2).

Anything that goes beyond the boundaries of a citation must be regarded as a second publication. The right of second publication is regulated by § 38 UrhG.

Regardless of whether you are using an entire work or individual sections, the rules of good scientific practice require that it be made clear which part was taken over and from where. A general mention in the preface may supplement, but does not replace, the reference in the text.

However, today it is common practice that research results that are produced during doctoral studies are published in journals before the final dissertation is submitted. Since these results are an important part of the doctoral research, they are usually published again in the dissertation. The results are then not cited but published for the second time. Different rules apply for second publications than for citations. The right of second publication is regulated in § 38 of the Copyright Act (§ 38 UrhG).

Whether you are publishing an entire work or individual sections a second time, the rules of good scientific practice require that you indicate clearly which parts where published before and where. A general mention in the preface does not replace the in-text reference.

How can you use your own previously published work(s)?

1.) Citation

- **Direct citation (=quote):** For direct citations (i.e. the unchanged adoption), the same rules apply whether you cite yourself or someone else (see Chapter 1.2). This means that you must explicitly justify the use of the citation. To present results a second time is no longer a citation, but a second publication

- **Indirect citation (=paraphrase):** If you paraphrase, the copyright is not affected. As copyright law does not protect the idea, but the form of the idea. Properly paraphrased, you can cite as much as you like. However, to comply with the rules of good scientific practice, you must always give appropriate references.

2.) Second publication

**Adopting whole sections (no quotation):** If you want to adopt whole sections, chapters or the entire work without any changes, you must first clarify whether you have the rights to use it. Firstly, it depends on which rights you have given to the publisher (this is stated in your au-
Author's contract), and secondly, whether you are the sole author. Even though you always remain the author of your work, you assign rights of use to the publisher. If you transfer too many rights to the publisher, you must ask the publisher for permission if you want to use your work elsewhere. If you are not the sole author, you also need the permission of your co-authors to republish parts or the whole article.

Also important for second publications:

- **Which version may you use? (in case of second publication of a complete article)** This may vary from publisher to publisher. Sometimes you may republish the submitted version, sometimes the final author manuscript before the publisher’s layout, and sometimes the final version in the publisher’s layout. You need to clarify which version you are permitted to use.

- **Intended use for permissions**: The purpose of use is also important for approvals. In some cases, a second publication in the dissertation will be permitted, but not in a work independent of the examination process. Always specify exactly which use is to be permitted when seeking approval.

- **Reference to first publication**: In order to do justice to the principle of transparency, you must also ensure that the distinction “new vs. already known” is clear as regards your previously published works. General introductory sentences such as “parts of this chapter / this work have already been published in xy” are not sufficient. The easiest way to make this distinction is to group previously published results together and then to cite the original publication. The reference should be given the same way you cite any other source in your work. Present not yet published results separately. This way, the new-vs.-already-known distinction is preserved, and previously published results are visible as such.

Further explanations and recommendations on second publications for scientists can be found in Spielkamp (2015). The Text Recycling Project also provides important guidance on the reuse of results and publications, including a guide for researchers (Hall et al., 2021)

### 4.5 Is there an international copyright?

The principle of “Lex loci protectionis”\(^\text{26}\) applies to copyright law: the law of the country in which copyright protection is claimed, i.e., in which the work was published.

(JuraForum News, 05.09.2011)

Example: You publish a paper in the American journal “Cell”. This is where you claim American copyright protection, your paper is subject to the “Copyright Law of the United States of

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\(^{26}\) Latin: “[the] law of the place where the protection is [claimed]”
America”. If a copyright infringement of your work occurs in a text published in the UK, in litigation only UK copyright applies.

Tip: If you write yourself

If you write a paper yourself, always consider the internationally valid ethical rules of good academic practice. As far as the law of citation is concerned, the German legal system is rather strict, so if you stick to these regulations, you are on the safe side. If you publish in another country, however, you will have to comply with copyright law that applies there. You are therefore bound by the copyright law of the country in which you publish.

There are efforts to harmonize the copyright laws of individual countries, and international copyright agreements have been concluded. The first treaty under international law in this field is the Berne Convention for the Protection of Literary and Artistic Works, now the Revised Berne Convention (RBC) of 1886. Currently, 171 states have signed this agreement. Since there are 194 countries in the world, the treaty is of great importance. (Kreutzer)

There are also efforts at harmonization in the EU. Full harmonization has so far failed mainly because of the different legal traditions within the EU: the continental European “droit d'auteur” system (F, D) and the Anglo-American copyright system (GB, IRL) (see Table 1). In the continental European copyright system, the rights of the author, which are exclusively the creator of the work, are protected. In the Anglo-American copyright system, users are protected, which means rights holders can be other than the creator of the work. (Ohly, 2016, p. 10)

Fair use principle in comparison with German citation law

The fair use principle in US law is difficult to grasp, so in the US copyright issues can often only be settled by courts. The courts are guided by four factors:

1. the purpose and character of your use
2. the nature of the copyrighted work
3. the amount and substantiality of the portion taken, and
4. the effect of the use of the potential market.

(Stim, 2005-2016b)

The copyright notice

The C in a circle, the American copyright notice, is now also found in publications in other countries than the USA (for example, in Germany). After the ©, the name of the copyright owner and the publication year are mentioned. This sign has no meaning in German copyright, and is not bound to any form; German copyright applies from the date of the creation of a work. (Recht A - Z: Fachlexikon für Studium, Ausbildung und Beruf 2015, p. 103) In the case of publications in the USA, the copyright notice is, however, no longer mandatory, but it is still recommended that you include it, for example, to improve your chances of winning in subsequent litigations. (Stim, 2005-2016a)
## Comparison: Urheberrecht vs. Copyright

Table 3: Comparison Urheberrecht vs. Copyright (Bundeszentrale für politische Bildung, 2007)

<table>
<thead>
<tr>
<th>Urheberrecht (UrhG)</th>
<th>Tradition</th>
<th>Copyright</th>
</tr>
</thead>
<tbody>
<tr>
<td>Protects the intellectual and economic interests of the author; the protected work can’t be separated from its creator</td>
<td>Idea</td>
<td>Protects the economic interests of the publisher; is meant to support the public good through economic incentive</td>
</tr>
<tr>
<td>Copyright cannot be waived; After the author’s death his rights pass over to his heirs; the author can grant usability rights</td>
<td>Transferability</td>
<td>Copyright can be waived (work becomes part of the public domain); the author can transfer all rights, so can the new rights holder</td>
</tr>
<tr>
<td><strong>Citations</strong>: parts of a work may be cited if the author is indicated and further regulations are met</td>
<td>Limitations</td>
<td><strong>Fair Use (USA)</strong>: appropriate use of protected works (for education, as inspiration for new works) is allowed without the authors consent</td>
</tr>
<tr>
<td><strong>Private Copies</strong>: copies for private use are permitted within a specified range</td>
<td></td>
<td><strong>First sale doctrine (USA)</strong>: once a work is in the movements of goods it can be resold without further approval</td>
</tr>
<tr>
<td><strong>Education &amp; Research</strong>: a work may be made accessible to a definable group of persons for purpose of education and research</td>
<td></td>
<td><strong>Fair dealings (Commonwealth)</strong>: permits a small number of copies for the purpose of private studies, review, criticism or reporting</td>
</tr>
<tr>
<td>Germany &amp; France: 70 years after the author’s death</td>
<td>Term of protection</td>
<td>USA: 70/95 years after the author’s death</td>
</tr>
<tr>
<td></td>
<td></td>
<td>UK: 70 years after the author’s death</td>
</tr>
<tr>
<td>Amongst others Germany, France, Switzerland, Austria, Netherlands, partly EU law</td>
<td>Prevalence</td>
<td>Amongst others USA, UK, Commonwealth</td>
</tr>
</tbody>
</table>

Amongst others
4.6 Can I cite retracted articles?

Sometimes publications are withdrawn due to qualitative or legal shortcomings. Then a so-called retraction note or retraction notice is published. It announces that an article has been withdrawn and what the reasons are for this. In principle, retracted articles should not be cited. In exceptional cases, however, there may also be good reasons to cite a retracted article.

How do I find out if an article I want to cite has been retracted?

1. Search the database where you originally found the article. Search for the title of the article. Most databases mark the reference entry of the original article as "Retracted" - but not all do. Also, the authors of the original article are not always mentioned in the record of the retraction note. If you only search for the author of the article, the retraction note may not be displayed. If you search with the title of the article, you will usually find both the original article and the retraction note.

2. If the PDF file of the article contains a CrossMark button (see Figs. 4, 5) follow the link and you will immediately know whether it has been corrected or retracted.


There are several reasons why articles are retracted. Retraction Watch (2019) lists over 90 reasons, among them: Copyright claims, duplication of article or image, error in analysis, fake peer review, falsification / fabrication of data, lack of approval from author, manipulation of images or data, results not reproducible or salami slicing.

What do I do if an article I want to cite has been retracted?

1. Check if the information you want to cite has already been published elsewhere. If so, cite this source. If this is not the case ...

2. ... check why the article was retracted. Does this affect the reliability of the statement you want to cite?
   a. If so, do not cite and do not use the information.
   b. If the results of the article appear scientifically sound, check whether the full text can still be found. Some publishers link its retraction note instead of the retracted article. If the full text is no longer available (not even printed), do not cite the article.

If the reasons for the retraction don’t compromise the quality of the content you want to cite, cite the article and disclose in a note that 1. the article was retracted, 2. why it was retracted, and 3. why you are citing it anyway.

Before you submit your work, you should check all sources again to see if any of them have been retracted.
4.7 How do I cite charts from Statista?

The reference information in Statista usually looks like this (s. Fig. 6):

Figure 5: Source reference for a graph from statista

There is a copyright notice below the figure, as well as a reference to the source of the data on the right side of the figure. Statista prepares third-party data graphically, but does not usually collect the data itself.

Here we have a particularly complicated case, because the source of the data is the BMVI, the Federal Ministry of Transport and Digital Infrastructure. However, the data was published in numbers in a Focus article. It remains to be assumed that the BMVI never published the data itself. The Focus received the data from the BMVI at its request and published it. Based on the data published there, Statista then created the chart shown above.

Which source you have to cite depends on whether you want to use the chart or the original data. If you want to use the original data, for example to create your own chart or to include it in a table to compare it with other data, then check the original source, in this case the focus article, and cite the original source. If, on the other hand, you want to use the chart, you must
disclose who the author of the chart is, but also where the data visualised in the chart came from.

The in-text citation in an author-year style could then look like this: (Illustration: Statista 2020, Data: Focus Online 2018).

In the references, list both sources separately:


5. Reference management software? – Yes! – But which one?

With reference management software, you can automatically cite correctly in the style of your choice. Reference management software helps to:

- Collect and organise references and files, cite correctly and create a reference list in a predefined citation style
- Collect, organise and visualise thoughts and quotations
- Search for literature in library catalogues and databases
- Plan your tasks
- Work collaboratively with and connect to other scientists

Reference management programs are therefore definitely worthwhile for academic writing. There are meanwhile a wide variety of programs on the market.

5.1 Reference management software: Which one is the right one for you?

For a detailed comparison of reference management software, including a list of questions that will help in choosing the right program, go to:

27 Depending on whether you verify the data in the print or online version, cite the verified version as the source in your reference list. Here we have used the online version to verify the data.
The TUM University Library provides all TUM members free access to the software Citavi and EndNote as part of a campus license.

### 5.2 TUM Campus license for Citavi

Citavi ([www.citavi.com](http://www.citavi.com))

The site license allows you to use the program free of charge in the full version. Although Citavi is a system designed to run on Windows, version 5 will have a web version which will therefore be operating system independent. It is a widely used system at German universities with intuitive graphical user interface in German, English and five other languages. In addition to pure reference management, which also allows group use, further useful program features include knowledge organization and task planning.

### 3 Steps to Citavi:

1. Download the free version and install: [www.citavi.com/de/download.html](http://www.citavi.com/de/download.html). With this version, you have full functionality, but with a max. capacity of 100 titles per project.

2. License key request: [https://citaviweb.citavi.com/p/campus?signin=8f9887fbe12cdf0d1f492c98a53e9fb&accountKey=ynvbfao0ncq6e8sptl0ga4h6vze0n8y6k5i6asvg#usertype](https://citaviweb.citavi.com/p/campus?signin=8f9887fbe12cdf0d1f492c98a53e9fb&accountKey=ynvbfao0ncq6e8sptl0ga4h6vze0n8y6k5i6asvg#usertype)

   Subscribe to there with your TUM-mail address: eg @tum.de or @mytum.de

3. Enter the Citavi license key: enter the data in Citavi under “Help → license data → enter license data for Citavi Pro”. You can then use the Citavi full version and save unlimited titles for any project.

### 5.3 TUM Campus license for EndNote

EndNote ([http://www.endnote.com](http://www.endnote.com)) and

EndNote Web ([https://www.myendnoteweb.com/EndNoteWeb.html](https://www.myendnoteweb.com/EndNoteWeb.html))

EndNote is available for the operating systems Mac OS X and Windows. It offers many options for data import, processing, and enrichment of references.

Endnote Web/Online can either be used as an online extension to EndNote or as a stand-alone tool. In this case, the functionality of Endnote online compared to the desktop version is somewhat limited.

Independently of the desktop version, we offer EndNote Web/Online via the license included in the subscription to the Web of Science database. You simply need to create an account. Since Endnote Web/Online is integrated as a feature in Web of Science, data import is very easy and convenient.
5.4 Free Programs

**Bibsonomy** ([www.bibsonomy.org](http://www.bibsonomy.org))

Bibsonomy is a social bookmarking system. Users can store shared bookmarks and references on this online platform, and manage and develop content. By assigning keywords (tags), the collected data is structured and is easy to locate on tag clouds. Within the system, different people can join together to create special interest groups.

**Docear** ([https://docear.com/](https://docear.com/))

Docear is an open source reference management software with a special focus on the area of knowledge organization, e.g. the organization of knowledge in mind maps is possible. The free reference management software JabRef is integrated in the program, allowing optimal work with LaTeX. There is also a corresponding add-on for Word (Microsoft not Mac).

**JabRef** ([http://jabref.sourceforge.net](http://jabref.sourceforge.net))

JabRef is a free reference management software that can be used in conjunction with the document preparation program LaTeX. The program allows you to manage BibTeX files and edit with a comfortable user interface. The software is available for Mac OS X, Linux, and Windows.

**Mendeley** ([http://www.mendeley.com](http://www.mendeley.com))

The desktop version of the reference management software Mendeley is available for all major operating systems (Mac OS X, Linux and Windows). In addition, there is a web-based service which, in addition to pure reference management, also includes a social network function. This facilitates group exchanges on academic topics and collaborations.

**Zotero** ([http://www.zotero.org](http://www.zotero.org))

Zotero was originally developed as an add-on for Mozilla Firefox. An additional stand-alone version is now available (for Mac OS X, Linux and Windows). For use with Google Chrome and Safari, there are so-called connectors. A special feature of the system is that you can store personal data collections in addition to the Zotero web pages (with automatic synchronization) and it is also possible to set up groups. This enables you to collect and edit references as part of a special interest group.

5.5 Reference management and LaTeX

The document preparation software LaTeX has a separate feature for reference management in BibTeX format. The reference management programs Docear, JabRef and Mendeley provide very useful support in this format (including automatic import options for reference data). JabRef is an open source program that is specifically designed to work with LaTeX. All three programs will store BibTeX files synchronically in the reference management database (i.e. with the data in Mendeley, JabRef or Docear), even where there are subsequent
changes. Docear, which is integrated in JabRef, also offers full support with reference management in BibTeX format.

All other reference management software also offer the option of generating and exporting BibTeX files. In case of subsequent changes to the data in the respective reference management software, the BibTeX file must be re-exported, however.

Unlike word processing programs such as Microsoft Word, a list of references is not generated when working with the LaTeX reference management program, but by biblatex. Moreover, the citation style is not defined by the reference management software, but is defined in the LaTeX document via the bibstyle.

Conclusion: Even when working with LaTeX, it is worth using a reference management software.

Support and Information at TUM University Library

If you have questions about reference management software, please contact us at: literaturverwaltung@ub.tum.de

Would you like to attend a course on Citavi or EndNote?
The university library offers courses on Citavi and EndNote (both introductory and advanced courses). In our training sessions you will learn how to use these programs effectively. During the course you have the opportunity to use our training computers to try the programmes yourself.
All courses are also offered as webinars. Trainers and participants meet online using the online conferencing software Adobe Connect. The link to the meeting room will be provided beforehand. Our interactive webinars offer the opportunity to try out the reference management programs on your own computer.

For further information and registration see: http://www.ub.tum.de/en/workshops.

Would you like individual advice on your reference management questions?
We offer weekly reference management drop-in sessions where individual questions can be discussed. Visit our drop-in session face-to-face or make an appointment for an online consultation (Adobe Connect).
The current dates for courses and drop-in sessions can be found on our website at http://www.ub.tum.de/en/workshops.
To make an appointment write to literaturverwaltung@ub.tum.de.

Do you have questions regarding the service of the TUM University Library?
You can reach our information team in several ways:
6. Recommendation on citation styles at TUM

If you have no specific requirements regarding citation styles, we recommend you choose one of the following three styles. These comprise an author-year style, a numbering style, and a footnote style. All three styles are widely used, and are available in most of the reference management programs currently available (including in Citavi and EndNote).

6.1 The APA style (Author-Year style)

APA American Psychological Association, 7th ed. (English)

In-text citation
(Brown et al., 2007, pp. 11–13)

Journal article

Contribution in an edited book

Book

Figure 6: Citavi preview für APA 7th ed style

There are several copies of The Manual for the Citation Style of the American Psychological Association available for loan at the TUM University Library (https://opac.ub.tum.de/search?bvn=BV036334071).

There is also an electronic version of the APA style simplified by Bernard C. Beins available (https://opac.ub.tum.de/search?bvn=BV040846081).
We also have numerous copies of a short introduction to the APA Citation at the library (https://opac.ub.tum.de/search?bvn=BV040985867).

Useful, up to date information and FAQs on citation can be found on the dedicated website: http://www.apastyle.org.

6.2 The IEEE Editorial Style (Numeric style)

IEEE Editorial

Zitation im Text
[1]

Zeitschriftenaufsatz

Beitrag in Sammelwerk

Buch (Monographie)

Figure 7: Citavi preview for IEEE Editorial style

6.3 The Chicago Style (Footnote style)


Chicago 16th ed. (notes)

Zitationen in der Fußnote

Zeitschriftenaufzet

Beitrag in Sammelwerk

Buch (Monographie)

Figure 8: Citavi preview for Chicago 16th ed. (notes) style

7. References

This reference list was created with the reference management programme EndNote and is formatted with the APa 6th citation style.

In some cases the reference type in EndNote does not correspond to the APA reference types list. For this reason the references in this list might differ in particular cases from the examples given in this citation guide.


Creative Commons. (2017a). Attribution-NoDerivatives 4.0 International (CC BY-ND 4.0) Retrieved 07.07.2017 from https://creativecommons.org/licenses/by-nd/4.0/

Creative Commons. (2017b). Attribution-NonCommercial-NoDerivatives 4.0 International (CC BY-NC-ND 4.0) Retrieved 07.07.2017 from http://creativecommons.org/licenses/by-nc-nd/4.0/

Creative Commons. (2017c). Attribution-NonCommercial-ShareAlike 4.0 International (CC BY-NC-SA 4.0) Retrieved 07.07.2017 from http://creativecommons.org/licenses/by-nc-sa/4.0/

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Creative Commons. (2017g). CC0 1.0 Universal (CC0 1.0) Public Domain Dedication. Retrieved 07.07.2017 from https://creativecommons.org/publicdomain/zero/1.0/

Creative Commons. (2017h). Public Domain Mark 1.0. Retrieved 07.07.2017 from https://creativecommons.org/publicdomain/mark/1.0/


TUM Graduate School, Technische Universität München. Frequently asked questions (FAQs). http://www.gs.tum.de/de/promovierende/gute-wissenschaftliche-praxis/faq/


