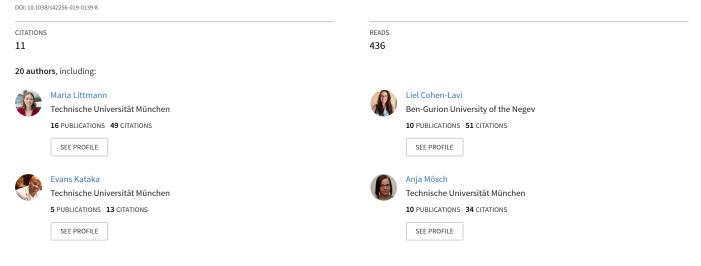
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# Validity of machine learning in biology and medicine increased through collaborations across fields of expertise

Article in Nature Machine Intelligence · January 2020



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Project

Project

## Validity of machine learning in biology and medicine increased through collaborations across fields of expertise

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  <sup>(45)</sup>
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### Abstract

Machine Learning (ML) has become an essential asset for the life sciences and medicine. We 2 selected 300 articles describing ML applications from 17 journals sampling 26 different fields 3 between 2011 and 2018. Independent evaluation by two readers highlighted three results. 4 First, only half of the articles shared software, 64% shared data, and 81% applied any kind of 5 evaluation. Although these aspects are crucial to ensure validity and reliability of ML 6 applications, they were met more by publications in lower-ranked journals. Second, the 7 authors' scientific background highly influenced how technical aspects were addressed: 8 reproducibility and computational evaluation methods were more prominent with 9 computational co-authors; experimental proofs more with experimentalists. Third, 73% of the 10 ML applications resulted from interdisciplinary collaborations comprising authors from at least 11 two of the three disciplines: computational sciences, experimental biology, medicine. 12 deleted The data suggested collaborations between computational and experimental 13 scientists to generate more computationally sound and impactful work integrating knowledge. 14 Furthermore, such collaborations provide opportunities to both sides: computational scientists 15 are given access to novel and challenging real-world biological data increasing the scientific 16 impact of their research, and experimentalists benefit from more in-depth computational 17 analyses improving the technical correctness of work. 18 19

**Key words:** machine learning, life sciences, medicine, open access, open data, interdisciplinary research, sustainable research, standardization

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Abbreviations used: AI, Artificial Intelligence; ML, Machine Learning; NAR, Nucleic Acids
 Research; NC, number of citations; NC/year: number of citations normalized by number of
 years since publication; NEJM, New England Journal of Medicine; PNAS, Proceedings of the
 National Academy of Sciences.

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- NOTE editor & reviewers:
- <sup>29</sup> Major changes in red font, major deletions marked as \_DELETED\_

### Introduction

*Growing importance of Machine Learning (ML).* Large amounts of experimental data triggered by technological advances are increasing the interaction between biology, medicine and quantitative sciences<sup>1-3</sup>. For instance, the amount of genome sequencing data is growing exponentially while data storage capacity only grows linearly<sup>4</sup>. Numerous large databases in molecular biology and large clinical datasets increasing through electronic health records call for novel ways to interrogate, analyze, and process biological and biomedical data for gaining biological and medical insights<sup>5</sup>.

Machine Learning (ML) automatically identifies patterns and regularities in existing data 9 to accurately predict for unseen data<sup>6</sup>. Despite the complexity of the underlying mathematical 10 concepts. ML has attracted broad attention even outside the research community; guerving 11 Google Trends<sup>7</sup> with "machine learning" demonstrated an exponential increase over the last 12 decade (01/2010-02/2019, data not shown). This general rise has been mirrored in many fields 13 of biology and medicine, i.e. the life sciences<sup>8-11</sup> although keeping track with the rapid evolution 14 of artificial intelligence (AI) challenges even those applying ML<sup>12</sup>. Typically, large biological or 15 medical datasets enable the development of ML models that can be used to predict biological 16 or clinical phenotypes through measurements from novel samples. 17

Quality and validity of ML models hinge upon two primary factors: (1) size, guality and 18 universal validity of data, and (2) the correct development and assessment of the resulting 19 methods<sup>5,13</sup>. Successful ML applications extract generic principles from today's data, allowing 20 the generalization, i.e. accurate prediction, for tomorrow's data. This needs proper extraction 21 and processing of data and features often requiring expert knowledge<sup>14-16</sup>. The development 22 and application of ML models to the life sciences needs expertise from both computational and 23 biological/medical fields. In contrast, ML applications to areas such as object and speech 24 recognition or complex games (including chess and Go) for which task and success are more 25 clearly defined and thus require mainly expertise in ML. 26

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Interdisciplinary research might have more impact. In many fields of science, 28 interdisciplinarity has become crucial to produce groundbreaking results through the 29 integration of approaches from different disciplines<sup>17,18</sup>. Several recent studies <sup>17,19-24</sup> have 30 been investigating the role of interdisciplinarity by automatically extracting tens and hundreds 31 of thousands of publications (e.g. from WoS<sup>25</sup> or PNAS). Toward this end, one definition of 32 interdisciplinarity is through the field of the journal in which they are published compared to the 33 journal in which they are cited (the US NSF <sup>26</sup> classifies journals into 14 different disciplines 34 and 143 subdisciplines<sup>17,21</sup>; if published and cited in different fields or subfields, the article is 35 deemed "interdisciplinary" <sup>17,21,24</sup>). Other definitions<sup>19,20</sup> focus on the author's field and define 36 interdisciplinary articles as work published by authors from different fields. So far this definition 37 has been limited to Italian scientists for who there is a public directory mapping researcher and 38 field<sup>19,20</sup>. 39

The scientific impact of an article is usually measured by the number of citations for this article<sup>17,24</sup>. To correct for field- and journal-specific effects that number is often normalized by taking average citation rates and a journal's impact factor into account<sup>23,24</sup>. Since the impact factor is calculated from the number of citations of articles published in this journal<sup>27</sup>, articles from higher-ranked journals are expected to have higher citation counts.

All those automated studies allowed the assessment of many articles while being limited to the extraction of only particular type of information. The studies disagree in their findings regarding the importance of interdisciplinary collaborations: one <sup>24</sup> finds no consistent

correlation between impact and interdisciplinarity sampling over 750k publications: for some 1 disciplines interdisciplinarity were proportional to citations, for others the relation was reversed 2 xxbr: where is physics in this? Important for next sentence. Another work, focusing on 3 xxbr number publications from physics<sup>23</sup> found interdisciplinary was proportional to citation 4 rates but only when published in journals with citation rates below average. Yet other studies 5 xxbr\_number <sup>17</sup> and xxbr\_number publications <sup>20</sup> agreed that interdisciplinary creates higher 6 impact than non-interdisciplinary work. Also, specific collaborations between scientists from 7 related fields leads to higher-impact publications than generic collaborations between 8 scientists from very different fields<sup>20</sup>. Clearly, there is no simple red line leading through all 9 those findings. However, what made us re-open the can and begin our analysis were three 10 other reasons: (1) the focus on the life sciences, not explicitly covered by others, (2) the aim 11 of separating the analysis of scientific quality (soundness) and of impact, and (3) the 12 introduction of a more rigorous definition of interdisciplinarity: instead of proxying by the 13 number of disciplines citing a work, we require experts from different disciplines to co-author 14 a work (incidentally, the same sort of definition was used for the analysis of Italian authors 15 <sup>19,20</sup>). 16

Focus of this work. Here, we assessed several aspects of ML applications in the life 18 sciences. We started with the selection of 17 journals representing computational/experimental 19 biology and medicine (Materials & Methods: Supporting Online Material, SOM). Amongst all 20 papers published in those 17 journals in the years 2011-2016, keyword searches (Table S1) 21 matched in 4,306 articles, about 2,100 of those were deemed correct hits after a quick expert 22 analysis. From those, initially 250 were randomly selected (Materials & Methods SOM; 23 complete list in additional file paper\_table.csv, list of identified falsely extracted articles is 24 provided in additional file false\_articles.csv). Subsequently, we applied the same selection 25 process and chose another 50 papers from 2018 to verify that the major findings have not 26 changed through the most recent advent of deep learning (xxbr: cite review here). In contrast 27 to previous studies <sup>17,19-24</sup>, our assessment focused on ML applications in the life sciences and 28 all information we analyzed was manually extracted from the articles. This allowed, for 29 instance, to correct the 50% false positives from the keyword searches, and also allowed to 30 define interdisciplinarity through the author's background for non-Italians (simply by reading 31 partial CVs for all 1,918 authors of the 250 papers). Each article was classified independently 32 by two of us. These investments limited the number of papers analyzed but allowed a more 33 fine-grained assessment not accessible to automatic extraction. Our focus had several 34 implications, including that all papers reported applications of machine learning to the life 35 sciences, as opposed to more theoretical treatments. In some sense the application of ML 36 (computational sciences) to the life sciences is by definition interdisciplinary. However, we 37 sharpened the perspective by distinguishing expertise from three different disciplines: 38 computational sciences, experimental biology and medicine (expertise of author verified 39 through CV, not through affiliation). Thus, papers could maximally be co-authored by authors 40 from three disciplines, and minimally by one. To simplify, we loosely referred to the case of 41 N=1 as to "non-interdisciplinary" and the case of N>1 as to "interdisciplinary". For some results, 42 we also showed differences between N=2 and N=3. 43

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The correct application of ML requires expertise from those familiar with ML and those familiar with the life sciences, i.e. different disciplines. Thus, we hypothesized articles written by research teams from different disciplines to be more likely to report the necessary evaluation methods ensuring proper implementation of ML methods, to make their data publicly available so others could validate their results, and subsequently, to be accepted in higher-ranked journals and have more citations.

### **Results and Discussion**

Coverage of machine learning varies between journals and fields. 58% of the chosen 2 250 papers (Material & Methods in Supporting Online Material - SOM - for more details on 3 how these articles were selected) appeared in only four of the 17 journals (by occurrence: 4 Bioinformatics, PNAS, PLOS Computational Biology and BMC Bioinformatics), i.e. were 2.5-5 fold over-represented (xxbr: can we compute the surprise, simplest model: 0.58/(4/17) - but I 6 am sure you ladies might come up with something more intelligent;). Most articles were cited 7 fewer than 100 times, and the number of citations was proportional to time passed since 8 publication (Spearman correlation coefficient  $\rho$ =-0.22, p-value = 0.03; Fig. 1, Fig. S1). The 9 average number of citations for articles from Nature and Science (2011-2016) showed the 10 same trend as that for all 250 articles (Fig. S1). Since the time-dependency obfuscated inter-11 year comparisons, we normalized by the number of years (SOM Material & Methods). As the 12 number of citations correlated with the journal impact factor<sup>28,29</sup> ( $\rho$ =0.52, p-value<0.001, Fig. 13 1), all aspects correlating with the impact factor trivially correlated with the number of citations. 14 Normalizing by year and impact factor, removed this correlation. We continued also using the 15 impact factor to assess the visibility of an article as publications in higher-ranked journals tend 16 to be downloaded more often from bioRxiv<sup>30</sup>. Xxbr: do we really need this addition in red? I for 17 one cannot immediately see what exactly you are aiming at, and adding more than one 18 sentence seems a lot. Do you mean that number of citations (btw. should we introduce "names" 19 NC/NCperanno/NCcorrected?) reflect deflection by bioRxiv more than else)? 20

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The number of articles differed highly between fields: the top five (molecular biology 23 26%, genetics 24%, medicine 14%, oncology 10% and neuroscience 9%) accounted for 76% 24 of the 250 articles (Table S2, Fig. S2). Numbers varied even more by disciplines (author 25 expertise): (xxbr: make sure we are NOT confusing discipline=1,2,3 interdisciplinary and 26 field=previous sentence; whatever we settle on: we HAVE to stick to it to avoid further 27 28 complications): Computational scientists co-authored 88% of all articles, and 95% of those from genetics (Fig. S3). Experimental biologists co-authored 70% of all and 59% in medicine. 29 Physicians were primarily involved in articles from *medicine* and *oncology* (Fig. S3). Numbers 30 of citations were largely similar for all fields (Fig. S4) but articles focusing on medicine, 31 neuroscience, and oncology tended to be published in higher impact journals (Fig. S4). While 32 the disciplines experimental biologist and physician correlated positively with impact factor 33  $(\rho=0.30/p-value<0.001,$ ρ=0.26/p-value<0.001, respectively). computational science 34 correlated negatively ( $\rho$ =-0.30/p-value<0.001; Fig. 1). Computational scientists might focus 35 more on methods, experimental biologists and physicians more on new data that tend to be 36 highly cited in the life sciences. 37

Fig. 1

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**Three levels of interdisciplinarity.** By definition, all the papers analyzed applied methods 39 from computational fields to the life sciences, i.e. were intrinsically interdisciplinary. Most likely 40 all 300 papers analyzed would have been considered "interdisciplinary" by automated 41 analyses checking from which field/discipline the article was guoted. To generate a more 42 detailed lens, we distinguished three disciplines (computational scientists, experimental 43 biologists, and physicians) and introduced interdisciplinarity as a number ranging from 1-3 44 depending on how many disciplines were represented by the authors of the work. Most of the 45 250 papers were co-authored by two disciplines (one: 27%, two: 53%, three: 20%). Given 46

these levels, we could classify all papers according to their level of interdisciplinarity and
 differentially analyze the key indicators: validity (evaluation and sharing) and impact (NC:
 number of citations, NC/year, NC/year\*journal impact factor).

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Scientific validity higher with experts participating in collaboration. We proxied the 5 validity of papers describing the application of machine learning (ML) methods to biology & 6 medicine (the life sciences) through six different indicators. The first four relate to whether or 7 not the method was assessed in ways needed to ascertain that it works as promised (or at all). 8 We asked: did the authors use cross-validation (V1: binary value), more than one single 9 measure for performance (V2: integer), additional test sets (V3: binary value), and additional 10 experimental verification (V4: binary value). While method evaluation might correctly estimate 11 performance for unseen data without V4, it appears impossible to accomplish this simple 12 objective without V1-V3. The second two indicators related to sharing methods and results. 13 These were sharing data (V5), programs and codes (V6) through publicly available sites. 14 Typically, reviewing ML applications by journal reviewers and the public at large requires 15 availability of data and programs in a form beyond what is available through what can be 16 squeezed into writing. In ML it is almost impossible to imagine the development of the best 17 possible method without any assessment (V1-V3). What if someone might have decided not 18 to publish that assessment? On top, should the aspects of sharing (V5, V6) better be termed 19 "reproducibility" than "validity". Given the rules of proper scientific conduct, we answer both 20 questions in the negative arguing that without making the evaluation available or making the 21 content of a publication reproducible, the work should either not be published as an application 22 of ML or should be considered as invalid. 23

Evaluation methods (e.g. cross-validation), usage of independent test sets, and/or 24 independent experimental proofs reduce the chance of overfitting and enhance the 25 applicability of the model to future data. Indeed, 80% of the articles with only computational 26 authors, applied some evaluation methods or independent tests; compared to 41% of those 27 written by "experimentalists" (experimental biologists & physicians; Fig. 4). However, most 28 articles written solely by experimentalists provided independent experimental proof (55%), so 29 did 16% of those from only computational co-authors (Fig. 4). The corresponding numbers for 30 interdisciplinary collaborations between computational and experimental scientists (level of 31 32 interdisciplinarity≥2) were between these two extremes: 67% evaluated their methods, 43% provided independent experimental proof, suggesting that such collaborations facilitate 33 experimental and computational validation. On the flip side: 19% of all articles did not provide 34 any evaluation; this number rose as high as 34% without computational co-authors (Fig. 4). To 35 put this most clearly: 19-34% of the papers should have never been accepted, because 36 applications of ML without evaluation resemble "experiments" with no output and/or no 37 measurement. 38

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Several evaluation metrics are required to assess the performance of ML applications (e.g. precision, recall, accuracy or confusion matrices). 6% of all articles used no evaluation metric, 53% used one or two, and 6% used over five (Fig. S7). Although, more metrics do not necessarily imply better assessment, even for binary predictions (separation of two classes/classifications), we have to consider the predictive power of the model for both classes

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Fig. 4

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separately, i.e. minimally need two evaluation metrics. More complex problems require more 1 evaluation metrics. Typically, clearly more than two metrics are needed to show different 2 strengths and weaknesses of a prediction method. To put the number five metrics (6% used 3 ≥5), none of the ML applications with >400 citations published by the most senior co-author of 4 this manuscript used fewer than eight different metrics. 5

Slightly more than half (52%) of the articles compared their method to others; this again 6 dropped to 21% without computational co-authors (p-value = 0.001; Fig. 2). Although method 7 comparisons are crucial for validation, they might add complications leading to acceptance in 8 lower-ranked journals (Fig. 3C) and possibly to lower impact (Fig. 3A; although adjusting also 9 by impact factor suggested a slight pay-off from method comparisons: Fig. 3B). 10

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Reproducibility is a major pillar of science<sup>31-33</sup> partially relying on making data and 13 methods publicly available. It is particularly critical for ML applications because many minor 14 technical details may invalidate results<sup>27</sup>. Overall, 64% of the articles shared their data (V5; 15 with large variation between journals: from NAR=89% to NEJM=8%, Fig. S5) reflecting the 16 general trend that articles from medicine shared data the least (Fig. S6). We could not establish 17 whether or not this related to sensitive patient data. While all journals encourage data sharing, 18 many do not enforce it. 19

Overall, 68 % of the articles with computational scientists shared data, opposed to 31% 20 without (p-value < 0.001; Fig. 2 deleted ). 57% of the articles relied on data extracted from 21 public resources or previous articles. However, 22% of those who did, did not publish their 22 data. Data sharing was highest for collaborations with computer scientists (xxbr: as discussed: 23 have not quite seen this plot, we should look separately at data sharing -ds- for computer 24 scientists CS, exp biol EB, clinicians/physicians CP, and interdisciplinary=2 (EB+CP vs EB+CS 25 + CP+CS), and interdisciplinary=3 (all), here I argue that we see interdisciplinary+CS always 26 best, if not: we'll have to refine the statement). 27

28 >>> Fig. 2	<<<
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Collaborations of scientists with different expertise somehow cited more often. 30 Interdisciplinary collaborations of researchers from different fields seem increasingly important 31 to generate new ideas and results<sup>34,35</sup>. The higher the level of interdisciplinarity, the higher the 32 NC/year (number of citations divided by number of years since publication;  $\rho=0.22$ , p-33 value=0.02; Fig. 1, Fig. S8A) and the higher the impact factor ( $\rho$ =0.24, p-value=0.002; Fig. 1, 34 Fig. S8C). When adjusting the number of citations also by impact factor, the correlation was 35 no longer significant (Fig. 1, Fig. S8B) suggesting that interdisciplinary articles were cited more 36 mainly because they were published in higher-ranked journals (Fig. S8C). The correlation 37 between impact factor and level of interdisciplinarity (Fig. S8C) suggested that authors profit 38 from collaborations. 39 >>> <<<

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Fig. 5

Closer analysis of the correlation between interdisciplinarity and impact refined the 42 message: distinguishing just two groups (computational and experimental), revealed NC to be 43 higher for research teams of only experimental scientists (Fig. 5A), and this outcome was 44 largely caused by physicians (xxbr: point to a figure that makes that point or keep it out?). The 45

results for impact factor and NC adjusted by impact factor suggested that the higher NC originated essentially from physicians publishing in higher-ranked journals (Fig. 5B and C).

<sup>3</sup> Did scientific validity (evaluation and sharing) correlate with impact? Computational <sup>4</sup> evaluations correlated negatively with the impact factor ( $\rho$ =-0.31, p-value<0.001); using no <sup>5</sup> evaluation method correlated positively with the impact factor ( $\rho$ =0.23, p-value=0.004), but we <sup>6</sup> could not detect a significant relationship between impact factor and experimental proof (Fig. <sup>7</sup> 1). Since all articles analyzed here focus on applications, the absence of proper evaluation -<sup>8</sup> independent of the focus of a paper - clearly contradicts good scientific conduct.

Data sharing was not rewarded by increases in NC or NC/year (Fig. 3A), although
 adjusting also by impact factor hinted at a tendency that sharing leads to more citations (Fig. 3B). Thus, although data sharing is crucial to ascertain validity and reproducibility, it is not
 incentivized by increased visibility. In fact, there was no significant difference in the impact
 factor (Fig. 3C).

Software sharing also did not correlate with NC/year (Fig. 3A; the trend changed toward 14 more cited when adjusting NC by impact factor: Fig. 3B). On the contrary, not sharing software 15 seemed to lead to acceptance of articles in higher-ranked journals, but again the difference 16 was not significant (Fig. 3C). Certainly, method sharing is crucial for reproducibility and for the 17 impact of a method on science. Therefore, we were surprised that program sharing appeared 18 neither crucial for visibility nor acceptance in the research community as proxied by citations 19 and journal rank. Ultimately, this might shed light on the limitations of such measures to 20 evaluate scientific impact. 21

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More computational scientists involved in 2018. Artificial intelligence (AI) and ML are so 23 rapidly evolving that papers published from 2011-2016 might simply not be up-to-date enough 24 to capture the newest trends. We attempted to address this worry by analyzing another 50 25 articles describing ML applications to the life sciences published in 2018 (selected and 26 analyzed largely by the same criteria as the other 250, Material & Methods in SOM for details). 27 The major differences were: fewer publications without computational scientists (6% 2018 vs. 28 12% 2011-2016), and program sharing rose (70% vs. 50%). Although data sharing did not 29 change significantly (68% vs. 64%), those papers that shared data were cited more often and 30 accepted to higher-ranked journals, we could not detect a significant difference (Fig. S9). T 31 Other aspects did not change significantly, neither program sharing, nor the fact that papers 32 33 sharing programs tended to be published in lower-ranked journals (Fig. S9), nor the proxies for impact (e.g. NC, NC/year, impact factor, NC normalized by impact factor). Overall, the most 34 substantial change was that computational scientists contributed more often in 2018. This 35 might reflect the increasing complexity of realizing ever more popular deep learning-type 36 solutions of ML. 37

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Limitations. Although our analysis revealed interesting insights, some issues remain to be 39 addressed in the future. First of all, thoroughly analyzing more than 300 articles will render the 40 conclusions more valid. The problem might be one between the Scylla of two few papers and 41 Charybdis of too unreliable analysis. Our solution fell victim of the first, while other solutions 42 xxbr quote-may be NOT incl. the Italians here fell victim of the later. Secondly, we proxied 43 impact and visibility through number of citations and the impact factor. However, other factors 44 are also influencing the number of citations that can seem superficial and can be controlled by 45 the authors<sup>36</sup> and it is hard to compensate for these factors. Using the impact factor for 46 measuring scientific impact has been criticized in the literature and the increasing use of social 47

media might increase the visibility of research independent of the journals impact factor<sup>37,38</sup>. 1 Thirdly, the scope of a journal might influence the description of ML applications. Journals 2 focusing on methodologies are more likely to require certain standards in ML, those focusing 3 on biological and medical relevant novelties are less likely to specifically ask for methodological 4 details. Xxbr: remove (argument: this is peer-reviewed; put up or shut up!) Also, the 5 assumption that articles not reporting evaluations did not evaluate is over-simplified. 6 \_DELETED\_ Fourthly, we considered any publicly available information to assign author fields, 7 but could not account for paid statisticians not listed as authors. A variety of medical scientists 8 from pathologists to clinicians were all simplified as physician ignoring large differences in 9 scientific training. These simplifications might lead to under-estimate computational expertise 10 in publications. Furthermore, we considered data and program availability as stated in the 11 articles, but did not attempt to contact authors to obtain those if not available. Finally, since 12 several aspects in our analysis correlated with the impact factor and they also correlated with 13 each other, confounding factors might influence the results and these interrelationships are 14 difficult to separate. 15

Xxbr: the following I suggest to remove, NOT because I don't like it, but because (1) it 17 doesn't really fit here and I do not know how to replace it, and (2) too long anyway. Reason to 18 keep: is simple and some of it is bla & others like more bla than I! For research teams with 19 only computational expertise, contributions from physicians or colleagues with expertise in wet 20 lab experiments can help to add new data, find biologically relevant applications and 21 interpretations of the results, and increase the relevance of ML applications leading to more 22 visibility of conducted research because it might be accepted in higher-ranked journals. 23 Involving computational scientists in their work does not increase the visibility of research for 24 physicians or experimental biologists because this work is rather accepted in lower-ranked 25 journals. However, they might benefit from colleagues with knowledge in computer science to 26 add evaluation methods, bring a greater variety of tools, and help with the interpretation of the 27 scientific and statistical significance of results. Therefore, the results focus more on technical 28 aspects making it possibly less intuitive for a broader research community but increasing its 29 scientific value by achieving more technical correctness. 30

Most likely, with the introduction of new high-dimensional datasets and high-throughput technologies, the need for collaborations will increasingly grow. As the enforcement of data and program transparency will increase, ML methods in biology and medicine will have to be implemented more carefully. While using the impact factor to measure the success of a scientific article currently does not show an advantage of collaborations for experimental scientists (Fig. 5C), we suggest that these collaborations will become more frequent and impactful in the near future.

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### Conclusions

We analyzed 250 articles describing ML applications to the life sciences published 2011-2016 2 and another 50 published in 2018 in 17 journals from 26 different biological/medical fields 3 (SOM). This diversity of fields was mirrored by the diversity of how machine learning was 4 applied. Reproducibility and correct evaluation of results are crucial to ascertain validity and 5 reliability of ML applications. Surprisingly, many articles did not focus on these aspects: 50% 6 shared no software, 36% shared no data, and 19% applied no evaluation. In fact, an entire 7 third (34%) of the articles only written by experimentalists described no evaluation. While we 8 hypothesized that ensuring validity of ML applications would be necessary to achieve high 9 visibility of the research, we found the opposite: more valid work was often published in lower-10 ranked journals attracting fewer citations (Fig. 1, Fig. 3). 11

In general, how these technical aspects were addressed was highly influenced by the authors' scientific background: Reproducibility and evaluation were more prominent with computational scientists as co-authors (Fig. 2, Fig. 4 \_deleted\_), while articles co-authored by experimentalists more frequently provided independent experimental proof (Fig. 4). Thus, collaborations of authors from different disciplines provided more opportunity for higher quality results integrating knowledge from various fields of expertise.

We hypothesized that collaborative research should also be cited more often and be accepted in higher-ranked journals. However, this was only true for computational scientists who profited from collaborating with experimentalists, in particular physicians, by getting accepted in higher impact factor journals (Fig. 5C).

One of the most substantial challenges for AI and ML is a comprehensive, adequate evaluation; incorrect application of such tools can lead to drawing false conclusions or to overestimating the predictive power of a method. Collaborations between computational and experimental scientists substantially increased the correctness of evaluations and the likelihood of reproducibility. Thus, increased the scientific validity of published research, a good incentive to focus on such collaborations to improve ML applications that will advance the life sciences in the future.

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#### Author's contribution 1 M.L. and K.S. performed the major part of data analysis and of writing the manuscript. M.L. 2 created and adapted the pre-defined list of articles. K.S. generated figures and performed 3 statistical tests. L.C. assisted in finding interesting correlations in the data by performing 4 complex analyses and statistical test and in generating figures. M.L, K.S., L.C., Y.F., P.H, E.K., 5 A.M., K.Q., A.R., S.S., A.S., L.S., and A. D.-W. participated in the summer school where the 6 idea for this work was developed, were involved in agreeing on the goals and analysis methods 7 of this work, were involved in data analysis by collecting data from the pre-defined list of 8 articles, and assisted in writing the manuscript. M.L., K.S., and A.M. collected the data for 9 2018. N.B.-T., M.Y.N, D.R., and B.W.S. supervised the work over the entire time and proofread 10 the manuscript. D.A. provided valuable comments especially regarding statistical analysis and 11 was involved in manuscript writing. T.H. and B.R. initiated and supervised the summer school 12 where the idea for this project was developed. T.H. provided important comments to refine the 13 analysis and contributed to manuscript writing. B.R. supervised and guided the work over the 14 entire time and proofread the manuscript. All authors read and approved the final manuscript. 15

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### **Figure legends**

2 Xxbr: I might want to go over the following captions in the next round....

**Fig. 1: Spearman correlation coefficients for numeric and binary variables.** We assessed the correlation between the different criteria using the Spearman correlation and tested the significance at a level of 0.05. Significant p-values are displayed using \* for p-value < 0.05, \*\* for p-value < 0.01 and \*\*\* for p-value <0.001 after adjusting for multiple testing using the Benjamin-Hochberg procedure. Blank squares denote that the correlation is non-significant. Citations adj. (Year + Imp. Fct.) denote the citations adjusted by year and impact factor.

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**Fig. 2: More sharing and method comparison with computational scientists.** The involvement of a computational scientist was highly correlated with \_DELETED\_ sharing the data, \_DELETED\_ making the program available, or \_DELETED\_ performing a comparison with other methods. Percentage of articles with data or program available or performance of a comparison with other methods with 95% percentile bootstrap confidence intervals split by whether a computational scientist was involved.

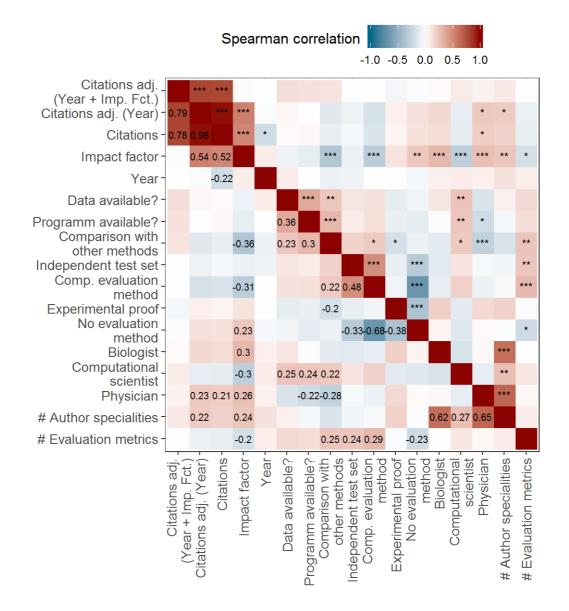
**Fig. 3: Sharing and method comparison hardly impact citations.** A. Number of citations adjusted by year were not influenced by data or program availability. Comparing the developed method to others led to a small, decrease in the number of citations. B. Adjusting also by impact factor showed a small trend towards higher citations when data or program were available, or a comparison to other methods was performed. C. The impact factor was higher for articles that did not make data or program available, or compared their method to others.

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Fig. 4: Method testing depends on author expertise. \_DELETED\_ Articles involving a 25 computational scientist applied a computational evaluation method more often than articles 26 with only an experimentalist (physician or biologist). \_DELETED\_ Articles co-authored by an 27 experimentalist provided experimental proof more often than without such a co-author. 28 DELETED Providing no evaluation method was more common among articles written solely 29 by experimentalists. Percentage of articles with computational evaluation methods, 30 experimental proof or no evaluation methods are shown with 95% percentile bootstrap 31 confidence intervals split by author background. 32

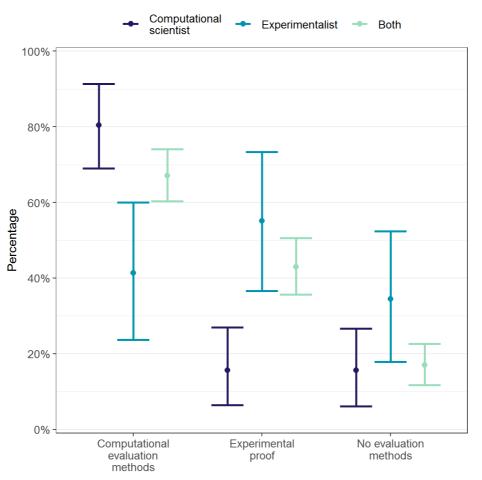
33 34 **Fig**.

**Fig. 5: Adjusted number of citations and impact factor for different collaborations.** A. The number of citations adjusted by year is slightly higher for articles solely written by experimentalists compared to articles involving computational scientists. B. Adjusting also by impact factor removes this difference. This suggests that the higher number of citations for experimentalists was mainly caused by the fact that their work got accepted in higher-ranked journals. C. \_DELETED\_ Impact factor was higher for articles only published by experimentalists (biologists and/or physicians) than for articles involving also computational scientists.



### Fig. 1: Spearman correlation coefficients for numeric and binary variables

**Fig. 1: Spearman correlation coefficients for numeric and binary variables.** We assessed the correlation between the different criteria using the Spearman correlation and tested the significance at a level of 0.05. Significant p-values are displayed using \* for p-value < 0.05, \*\* for p-value < 0.01 and \*\*\* for p-value <0.001 after adjusting for multiple testing using the Benjamin-Hochberg procedure. Blank squares denote that the correlation is non-significant. Citations adj. (Year + Imp. Fct.) denote the citations adjusted by year and impact factor.

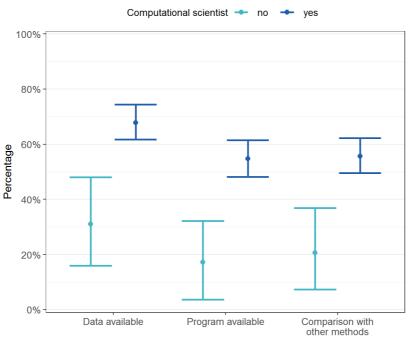


### Fig. 2: Method validation depends on author expertise

**Fig. 2: Method validation depends on author expertise.** \_DELETED\_ Articles involving a computational scientist applied a computational evaluation method more often than articles with only an experimentalist (physician or experimental biologist). \_DELETED\_ Articles co-authored by experimentalists provided experimental proof more often than those without. \_DELETED\_ Providing no evaluation method was more common among articles written solely by experimentalists. Percentage of articles with computational evaluation methods, experimental proof or no evaluation methods are shown with 95% percentile bootstrap confidence intervals split by author background.

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### Fig. 3: More sharing and method comparison with computational scientists



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**Fig. 3: More sharing and method comparison with computational scientists.** The involvement of a computational scientist was highly correlated with \_DELETED\_ sharing the data, \_DELETED\_ making the program available, or \_DELETED\_ performing a comparison with other methods. Percentage of articles with data or program available or performance of a comparison with other methods with 95% percentile bootstrap confidence intervals split by whether a computational scientist was involved.

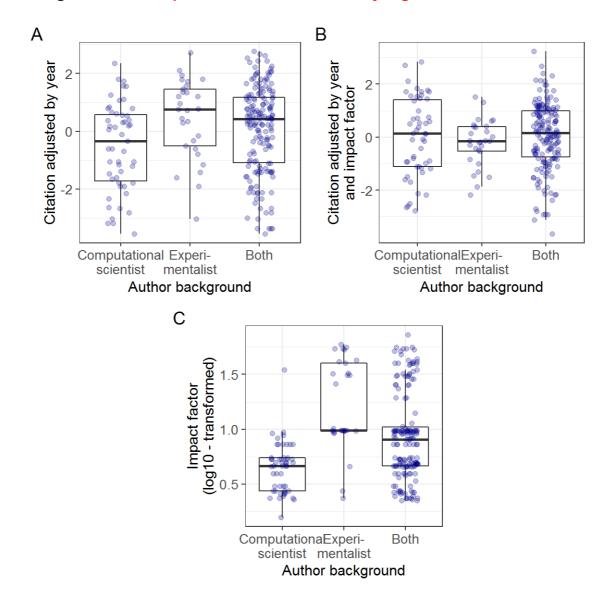


Fig. 4: NC and impact factor not consistently higher for collaborations

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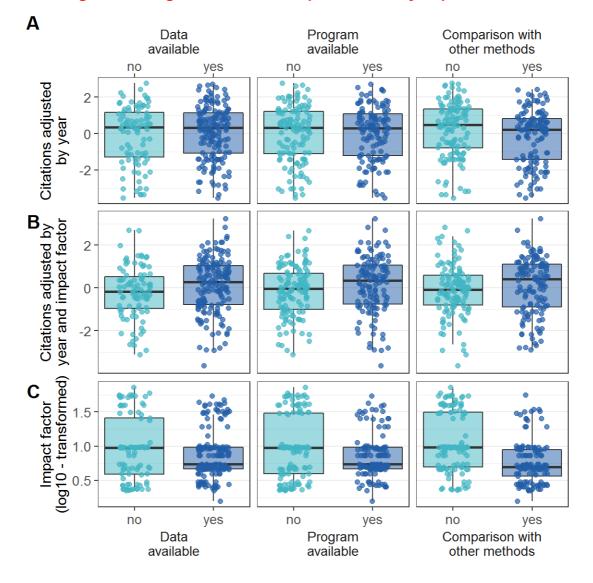


Fig. 5: Sharing and method comparison hardly impact citations

A. Number of citations adjusted by year were not influenced by data or program availability. Comparing the developed method to others led to a small, decrease in the number of citations. B. Adjusting also by impact factor showed a small trend towards higher citations when data or program were available, or a comparison to other methods was performed. C. The impact factor was higher for articles that did not make data or program available, or compared their method to others.