

RESEARCH ARTICLE

Generic Newton points and the Newton poset in Iwahori-double cosets

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Abstract

We consider the Newton stratification on Iwahori-double cosets in the loop group of a reductive group. We describe a group-theoretic condition on the generic Newton point, called cordiality, under which the Newton poset (that is, the index set for non-empty Newton strata) is saturated and Grothendieck's conjecture on closures of the Newton strata holds. Finally, we give several large classes of Iwahori-double cosets for which this condition is satisfied by studying certain paths in the associated quantum Bruhat graph.

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1. Introduction

Let *G* be a reductive group over a finite field \mathbb{F}_q . In their seminal paper [7], Deligne and Lusztig described the representations of the finite group $G(\mathbb{F}_q)$ by realizing them in the cohomology of suitable coverings of a family of varieties indexed by the elements of the finite Weyl group *W* of *G*. These so-called Deligne-Lusztig varieties are locally closed subschemes of the flag variety associated with *G*. In addition, they are smooth and have dimension equal to the length $\ell(w)$ of the element $w \in W$.

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We consider affine Deligne-Lusztig varieties, which are an analogue of the above in affine flag varieties. Now let *G* be a quasi-split reductive group defined over $F = \mathbb{F}_q((t))$. In contrast to the classical case considered by Deligne and Lusztig, affine Deligne-Lusztig varieties depend on two parameters: an element of the Iwahori-Weyl group \widetilde{W} of *G*, and an element $b \in G(\breve{F})$, where $\breve{F} = \overline{\mathbb{F}}_q((t))$. To define these varieties, we consider the affine Bruhat decomposition. For *I*, an Iwahori subgroup of *G* and $\breve{O} = \overline{\mathbb{F}}_q[[t]]$, the ring of integers in \breve{F} , we have

$$G(\breve{F}) = \coprod_{x \in \widetilde{W}} I(\breve{O}) x I(\breve{O}).$$

Let σ denote the Frobenius automorphism of \check{F} over F mapping all coefficients of the Laurent series to their q^{th} powers. The affine Deligne-Lusztig variety associated with $x \in \widetilde{W}$ and $b \in G(\check{F})$ is defined as the locally closed reduced subscheme $X_x(b)$ of the affine flag variety of G given by

$$X_x(b)(\overline{\mathbb{F}}_q) = \{g \in G(\breve{F})/I(\breve{O}) \mid g^{-1}b\sigma(g) \in IxI\}.$$

Besides being a natural analog of the classical theory, these varieties play an important role when studying the special fiber of both Shimura varieties and moduli spaces of shtukas. More precisely, affine Deligne-Lusztig varieties describe the Kottwitz-Rapoport stratification of the special fiber of Rapoport-Zink moduli spaces [33]. However, their geometry is much harder to understand than in the classical case. Even the question of whether $X_x(b) \neq \emptyset$ for a given pair (x, b) is a notoriously difficult problem and remains unsettled. Questions on the geometry of $X_x(b)$ are closely related to understanding the intersection of IxI with the σ -conjugacy class

$$[b] = \{g^{-1}b\sigma(g) \mid g \in G(\breve{F})\}.$$

These σ -conjugacy classes $B(G) = \{[b] \mid b \in G(\check{F})\}$ were classified by Kottwitz in [24] by two invariants: the Newton point and the Kottwitz point. The set B(G) has a partial order, induced by requiring equality of the Kottwitz points and using the dominance order on Newton points.

Denote by $B(G)_x$ the subset of those σ -conjugacy classes that meet the given double coset IxI. A necessary condition for $[b] \in B(G)_x$ is that the Kottwitz points of b and x coincide. However, this necessary condition is far from sufficient, and a complete description of $B(G)_x$ is known only in very special cases. Whenever it is non-empty, $\mathcal{N}_{[b],x} := [b] \cap IxI$ is shown in [34] to be the set of geometric points of a locally closed reduced subscheme of IxI: namely, the Newton stratum associated with [b]. Another natural (and similarly unsolved) question is to describe the closure of $\mathcal{N}_{[b],x}$ in IxI.

This situation is in stark contrast to the case in which I is replaced by a hyperspecial maximal compact subgroup K of G (assuming that this exists). Here, the K-double cosets in $G(\check{F})$ are indexed by the dominant cocharacters μ of a fixed maximal torus of G. For a given μ , the set of σ -conjugacy classes meeting $K\mu(t)K$ is non-empty if and only if the Newton point v(b) is less than or equal to μ in dominance order, in addition to the obvious criterion that the Kottwitz points of b and μ coincide; see [26, 28, 10]. Furthermore, the similarly defined affine Deligne-Lusztig varieties are equidimensional of known dimension, and the closure of a Newton stratum is equal to the union of all Newton strata associated with $[b'] \leq [b]$; compare [37]. We remark that, strictly speaking, some of these results are only shown under the additional assumption that G is split. However, the same proofs also work without this additional assumption.

In the case of Iwahori-double cosets, however, none of these properties hold in general. In particular, the Newton strata are not equidimensional [11, Section 5], it is unknown under which conditions the closure of any Newton stratum is a union of strata, and we do not even have a general conjecture describing the set $B(G)_x$. The goal of this paper is to identify a large family of elements $x \in \widetilde{W}$ for which both the non-emptiness pattern for the intersections $[b] \cap IxI$ and the closure relations of Newton strata resemble the known picture for hyperspecial subgroups K.

1.1. Statements of the main theorems

One element of the Newton poset $B(G)_x$ that is of particular interest is the unique maximal element, which coincides with the generic σ -conjugacy class $[b_x]$ in the irreducible double coset IxI. There are descriptions of $[b_x]$ that give finite algorithms to compute it, but they are not themselves closed formulas; see [38, Corollary 5.6] and [29, Theorem 3.2].

We define an element $x \in W$ to be *cordial* if it satisfies the equality

$$\ell(x) - \ell(\eta(x)) = \langle 2\rho, \nu_x \rangle - \operatorname{def}(b_x),$$

where ρ is the half-sum of the positive roots and $v_x = v(b_x)$ is the generic Newton point in IxI. See Definition 3.14 for a formal discussion of cordial elements, and refer to Section 2 for the definitions of the map η and the defect. To introduce our first main theorem, we comment on the chosen terminology. The notion cordial refers to the fact (explained in Section 3) that satisfying the above equality is equivalent to the condition that the dimension of the affine Deligne-Lusztig variety $X_x(b_x)$ agrees with its virtual dimension in the sense of [16]. The cordial condition is thus equivalent to the condition that this variety 'has the *cor*rect *di*mension'. Moreover, the following theorem illustrates that the cordial condition also gives rise to especially 'well-behaved' geometry for the associated Newton strata.

Theorem 1.1. Let x be cordial. Then $B(G)_x$ is saturated, and for $[b] \in B(G)_x$, we have

- (a) $\mathcal{N}_{[b],x}$ is equidimensional, and its codimension in IxI is equal to the maximal length of any chain from [b] to $[b_x]$ in $B(G)_x$ (or, equivalently, in B(G)).
- (b) $\overline{\mathcal{N}_{[b],x}}$ is the union of all $\mathcal{N}_{[b'],x}$ with $[b'] \in B(G)_x$ and $[b'] \leq [b]$.

Here, a subset S of B(G) is called *saturated* if for any $[b_1] \leq [b_2] \leq [b_3]$ in B(G) such that $[b_1], [b_3] \in S$, we also have $[b_2] \in S$.

Theorem 1.1 gives a condition that can be checked from the maximal element of $B(G)_x$ alone but implies that the shape of the entire poset $B(G)_x$, as well as all dimensions and closures of the Newton strata within IxI, behave as nicely as the Newton strata for K-double cosets. The only difference that may occur is that the set $B(G)_x$ does not, in general, contain all elements of the form $\{[b] \in B(G) \mid [b] \le [b_x]\}$; small elements up to a certain lower bound (discussed in [39]) may be missing. In fact, one can also show a stronger statement, which has assumptions that are more difficult to check in general; compare Theorem 3.16. In Theorem 3.19, we also prove a partial converse of Theorem 1.1, showing that non-cordial elements cannot share all of these same good geometric properties.

Our next theorem explicitly identifies several families of cordial elements. For sufficiently low-rank groups, it is sometimes possible to directly calculate the Newton poset $B(G)_x$ for every $x \in \widetilde{W}$. For example, all of the questions we address in this paper can be settled for the group $G = SL_3$ using Milićević's thesis [3]. For this group, an element x is cordial if and only if $B(G)_x$ is saturated, and one can give a complete description of the set of cordial elements. Further, for $G = SL_3$, all Newton strata are equidimensional, and part (b) of Theorem 1.1 also holds in all cases. See Example 4.12 for more details.

In general, it appears to be a fairly difficult problem to fully characterize the cordial elements in a manner that does not require specific knowledge of the generic Newton point, but we provide several interesting families of cordial elements in the following theorem.

Theorem 1.2. Assume that G is quasi-split. Let $x = t^{\nu\lambda}w \in \widetilde{W}$ with λ dominant.

(a) If x is in the antidominant Weyl chamber in which case $v = w_0$, then x is cordial.

Now suppose that G is split, connected, and semisimple. Assume that for all simple roots α_i , we have $\langle \alpha_i, \lambda \rangle > M$, where M is a fixed constant depending on G and x.

- (b) If any reduced expression for $\eta(x) = v^{-1}wv \in W$ uses each simple reflection at most once, then x is cordial.
- (c) If x is in the dominant Weyl chamber in which case v = 1, then x is cordial if and only if every reduced

expression for $\eta(x) = w$ avoids all non-simple reflections s_{α} such that $\ell(s_{\alpha}) = \langle 2\rho, \alpha^{\vee} \rangle - 1$. Downloaded from https://www.cambridge.org/core. Technische Universitaet Muenchen (TU München), on 08 Mar 2021 at 09:31:11, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/fms.2020.46

The hypotheses on G in parts (b) and (c) of Theorem 1.2 are a direct reflection of the reliance on Milićević's formula for calculating the generic Newton point via the quantum Bruhat graph [29], which is stated in precisely this level of generality. The additional hypothesis on the coroot λ that keeps x sufficiently far from the walls of any Weyl chamber is referred to as *superregularity*; see [29] for precise formulas for the constant M and related discussion. Under this superregularity hypothesis, we characterize cordiality purely in terms of calculating lengths of certain paths in the quantum Bruhat graph; see Proposition 4.2. Those elements that use each simple reflection at most once, as in (b), are called *standard parabolic Coxeter elements* in Definition 4.6. We make the condition appearing in (c) precise in Definition 4.7, where we refer to those elements as *small-height-avoiding*; see Section 4.2 for further discussion of this terminology and related properties.

Remark 1.3. Throughout the paper, we assume that the local field *F* is of equal characteristic *p*. Instead, one can also study the analogous questions, replacing *F* by the fraction field of the Witt ring $W(\mathbb{F}_q)$. In that case, the loop group and the affine flag variety are perfect schemes; see [42, 5]. Our results on cordiality of certain elements (in particular, Theorem 1.2), as well as on the non-emptiness and dimensions of affine Deligne-Lusztig varieties, can be directly translated to corresponding results in the arithmetic case, and thus they still hold in that context. Indeed, all of these assertions can be translated into properties of associated elements of the extended affine Weyl group and degrees of certain class polynomials via [16, Section 6]. These results are then independent of the characteristic of the chosen field. On the other hand, the proof of Theorem 1.1 that we give here does not directly generalize to mixed characteristic. In particular, there are currently no analogues for our assertions concerning closure relations of the Newton strata.

Furthermore, we assume that G is quasi-split. Using the argument in [13, Section 2], one can reduce the question of determining non-emptiness and dimensions of affine Deligne-Lusztig varieties for a general connected reductive group over F to those for the quasi-split inner form of its adjoint group. In this way, our results imply corresponding results for these more general groups.

2. Notation

Let F be a local field of characteristic p with ring of integers \mathcal{O}_F , uniformizer t, and residue field \mathbb{F}_q ; that is, $F \cong \mathbb{F}_q((t))$. Let $\breve{F} \cong \overline{\mathbb{F}}_q((t))$ denote the completion of the maximal unramified extension of F, and \breve{O} its ring of integers. Let σ denote the Frobenius of \breve{F} over F.

Let *G* be a quasi-split connected reductive group over *F*. Let *S* be a maximal \check{F} -split torus of *G* that contains a maximal split torus. Let $T = C_G(S)$ be its centralizer, a maximal torus. Let \mathcal{A} be the apartment of the Bruhat-Tits building of $G_{\check{F}}$ corresponding to $S_{\check{F}}$. Then the σ -action on the building preserves \mathcal{A} . Let \mathfrak{a} be an alcove in \mathcal{A} that is fixed by σ . Let *I* be the corresponding Iwahori subgroup.

Let N_T denote the normalizer of T. Then the (relative) Weyl group W of G is defined as $N_T(\check{F})/T(\check{F})$. The Iwahori-Weyl group is $\widetilde{W} = N_T(\check{F})/(T(\check{F}) \cap \check{I})$. The Frobenius σ of \check{F} over F acts on $G(\check{F})$ and also induces an automorphism of \widetilde{W} , which we denote again by σ . We fix a special vertex of the base alcove a. This induces a splitting of the natural projection $\widetilde{W} \to W$ and an isomorphism $\widetilde{W} \cong X_*(T)_{I_F} \rtimes W$, where I_F is the inertia subgroup of the absolute Galois group of F. We then have

$$G(\breve{F}) = \prod_{x \in \widetilde{W}} I(\breve{O}) x I(\breve{O}).$$

Here, for every $x \in \widetilde{W}$, we choose a representative in $G(\breve{F})$ that we denote again by *x*.

To define a length function ℓ on \widetilde{W} , let W_a be the affine Weyl group. We have $\widetilde{W} \cong W_a \rtimes \Omega$, where Ω is the normalizer of the base alcove. Since we fixed I, we obtain a length function ℓ and a Bruhat order \leq on the infinite Coxeter group W_a . We extend ℓ and \leq from W_a to \widetilde{W} by setting $\ell(\omega) = 0$ for $\omega \in \Omega$ and defining $x \leq y$ if and only if x and y are of the form $x'\omega$ and $y'\omega$ for some $\omega \in \Omega$ and $x' \leq y' \in W_a$.

We choose the dominant Weyl chamber to be the Weyl chamber containing \mathfrak{a} , and let *B* be the corresponding Borel subgroup of *G*. Write $x \in \widetilde{W}$ as $x = v\lambda(t)v^{-1}w =: t^{v\lambda}w$, where $v, w \in W$, $\lambda \in X_*(T)$, and $t^{\lambda}v^{-1}w$ maps the base alcove to the dominant chamber. The map $\eta : \widetilde{W} \to W$ is then defined by $\eta(x) = \sigma^{-1}(v^{-1}w)v$. Notice that in the literature, this map is sometimes also denoted η_{δ} to emphasize that σ induces an automorphism δ of \widetilde{W} , which is used in the definition of η .

For $b \in G(\check{F})$, let $[b] = \{g^{-1}b\sigma(g) \mid g \in G(\check{F})\}$ be its σ -conjugacy class, and let B(G) denote the set of σ -conjugacy classes. The elements $[b] \in B(G)$ are classified by two invariants (compare [23, 24, 34]). The first is the Newton point $v(b) \in N(G) = (\text{Hom}(\mathbb{D}_{\overline{F}}, G_{\overline{F}})/G(\overline{F}))^{\Gamma}$, where \mathbb{D} is the protorus with character group \mathbb{Q} , where $G(\overline{F})$ acts by conjugation, and where Γ denotes the absolute Galois group of F. We have an identification with the set of dominant rational Galois-invariant cocharacters, $N(G) = (X_*(T)_{\mathbb{Q}}/W)^{\Gamma} = X_*(T)_{\mathbb{Q},\text{dom}}^{\Gamma}$. The second invariant is the Kottwitz point $\kappa_G(b) \in \pi_1(G)_{\Gamma}$. Here, $\pi_1(G)$ is the quotient of $X_*(T)$ by the coroot lattice. The two invariants v(b) and $\kappa_G(b)$ have the same image in $\pi_1(G)_{\Gamma,\mathbb{Q}}$. For a fixed $x \in \widetilde{W}$, denote the set $B(G)_x$ of σ -conjugacy classes $[b] \in B(G)$ such that $\mathcal{N}_{[b],x} := [b] \cap IxI \neq \emptyset$.

The defect of $[b] \in B(G)$ is defined as $def(b) = rk_FG - rk_FJ_b$, where J_b is the reductive group over F with

$$J_b(F) = \{ g \in G(\check{F}) \mid gb = b\sigma(g) \}.$$

There is a partial ordering \leq on B(G) defined by $[b] \leq [b']$ if $\kappa_G(b) = \kappa_G(b')$ and $\nu(b) \leq \nu(b')$; that is, the difference $\nu(b') - \nu(b)$ is a non-negative linear combination of positive coroots; compare [34, Section 2].

3. Maximal Newton points and cordial elements

The aim of this section is to formally define cordial elements and to prove Theorem 1.1. Fix $x \in W$ and $b \in G(\check{F})$. The associated affine Deligne-Lusztig variety is defined to be the locally closed, reduced subvariety $X_x(b)$ of the affine flag variety with

$$X_x(b)(\overline{\mathbb{F}}_q) = \{g \in G(\breve{F})/I(\breve{O}) \mid g^{-1}b\sigma(g) \in I(\breve{O})xI(\breve{O})\}.$$

In the first subsection, we compute the dimension of an affine Deligne-Lusztig variety $X_x(b)$ in terms of the dimension of the corresponding Newton stratum in IxI. In the second subsection, we compare the expression obtained in this way to He's virtual dimension of the same affine Deligne-Lusztig variety. If these two dimensions agree for the generic σ -conjugacy class in IxI, we will call the element *x* cordial.

Definition 3.1. Given an element $x \in W$, let $[b_x]$ be the σ -conjugacy class in the (unique) generic point of *IxI*, and thus the unique maximal element in $B(G)_x$ with respect to the partial ordering on B(G).

We define the *Newton point* v(x) of x to be the Newton point $v(\dot{x})$ of $[\dot{x}]$ for any representative \dot{x} of x in G(F). The *maximal Newton point* v_x of x is then defined as the Newton point of $[b_x]$.

By definition, v_x satisfies $\lambda \le v_x$ for all Newton points λ of elements of $B(G)_x$. The first concrete description of the maximal element of $B(G)_x$ was given by Viehmann [38, Corollary 5.6], a weaker version of which can be expressed as

$$v_x = \max\{v(y) \mid y \in W, \ y \le x\}.$$

Here the maximum is taken with respect to dominance order, and the elements y and x are related by Bruhat order. Note that this yields a finite algorithm to compute v_x , but not a closed formula. A slightly more explicit description of v_x provided by Milićević [29, Theorem 3.2] is discussed in Section 4, albeit under an additional superregularity hypothesis on λ , and for split *G*.

3.1. Comparing dimensions of Newton strata and affine Deligne-Lusztig varieties

Although we do not dispose of a closed formula for $[b_x]$ here, we can relate its Newton point v_x to the dimension of the corresponding affine Deligne-Lusztig variety.

Lemma 3.2. Let $x \in \widetilde{W}$. Then $X_x(b_x)$ is equidimensional with

dim
$$X_x(b_x) = \ell(x) - \langle 2\rho, \nu_x \rangle$$
.

For the proof of Lemma 3.2, we develop a more general theory for all Newton strata that will also be helpful later. Notice that the dimension formula itself is known by [17, Theorem 2.23] using a completely different proof. However, we will crucially use the equidimensionality for our applications. The rough idea of our proof is to express dimensions of affine Deligne-Lusztig varieties using a product structure up to finite morphism on a corresponding Newton stratum. The construction closely follows the corresponding theory for hyperspecial maximal subgroups of [41]. We therefore replace most proofs by references to the corresponding arguments given in [41]. Let us first recall some well-known notions for subschemes of loop groups. Let *LG* be the loop group associated with *G*. It is defined as the ind-group scheme representing the functor $R \mapsto G(R((t)))$ on the category of \mathbb{F}_q -algebras.

Definition 3.3. Let \mathcal{B} be a subscheme of the loop group LG.

- 1. Let $x \in \widetilde{W}$. Then \mathcal{B} is *bounded by x* if it is contained in the closure of IxI in LG. It is *bounded* if it is contained in a finite union of double cosets IxI.
- 2. Let I_n be the kernel of the projection map $I \to I(\mathcal{O}_F/(t^n))$. Then \mathcal{B} is *admissible* if there is an $n \in \mathbb{N}$ with $\mathcal{B}I_n = \mathcal{B}$.
- 3. For a bounded and admissible algebraic set with $XI_n = X$, let

$$\dim X := \dim \left(X/I_n \right) - n \cdot \dim \left(G \right).$$

Notice that this notion of dimension is normalized in a different way than the one in [41].

Remark 3.4. We can make several initial observations about subschemes of LG:

- 1. Let \mathcal{B} be bounded. Then one easily sees that \mathcal{B} is admissible if and only if there is an $n' \in \mathbb{N}$ with $I_{n'}\mathcal{B} = \mathcal{B}$. Here n' can be given in terms of the bound for \mathcal{B} and the integer n arising in the definition of admissibility.
- 2. The dimension of a bounded and admissible subscheme of LG is independent of the choice of n.
- Similarly, one can define the codimension of a closed irreducible admissible subscheme B' of some bounded and admissible scheme B. If B is also equidimensional, one easily sees that this codimension agrees with dim B dim B'.

Proposition 3.5. Let \mathbb{B} be a bounded subset of LG(k). Then there is an integer $c \in \mathbb{N}$ such that for each $d \in \mathbb{N}$, each $g \in \mathbb{B}$, and $h \in I_{d+c}(k)$, there is an $l \in I_d(k)$ with $gh = l^{-1}g\sigma(l)$.

Proof. Any bounded subset is contained in a finite union of Iwahori-double cosets IxI. Each $B(G)_x$ being finite, this implies that \mathcal{B} meets only finitely many $[b] \in B(G)$. Considering the intersection with each σ -conjugacy class and each Iwahori double coset separately, we may assume that $\mathcal{B} \subset [b] \cap IxI$.

By [16, Theorem 3.7], there is a straight element $y_b \in \widetilde{W}$ whose representative in G lies in [b]. Notice that the additional assumptions on G made in [16, Theorem 3.7] are not necessary for the proof of this statement. By [31, Theorem 1.3], y_b is also P-fundamental for some semi-standard parabolic subgroup P of G.

By [20, Proposition 5.1], there is a bounded subset \mathcal{C} of *LG* such that every element of \mathcal{B} is σ -conjugate by an element of \mathcal{C} to y_b . In this situation, the same argument as in the last paragraph of the proof of [21, Theorem 10.1] reduces the proof to showing the following claim: For every $n \in N$ and every $g \in I_n$, there is an $h \in I_n$ with $y_b g = h^{-1} y_b \sigma(h)$. This in its turn is shown in the same way as the statement for n = 0, see the proof of [12, Theorem 6.3.1].

Corollary 3.6. Let $b \in IxI$. Then the I- σ -conjugacy class $\mathcal{C}_b = \{ib\sigma(i)^{-1} \mid i \in I\}$ of b is contained in IxI, admissible, and a smooth and locally closed subscheme of LG. Further, $\mathcal{N}_{[b],x}$ is admissible.

Proof. In both cases, admissibility follows from the previous proposition. Since C_b is one *I*-orbit, it is smooth and locally closed.

The last assertion in Corollary 3.6 also follows from a corresponding assertion on Newton strata in the whole loop group by He; see [18, Theorem A.1].

Definition 3.7. Let $n \in \mathbb{N}$, and let $b \in \overline{IxI}$. Here $\overline{IxI} = \bigcup_{x' \leq x} IxI$ denotes the closure of IxI in LG. We consider the following functor on the category (Art/k) of Artinian local k-algebras with residue field k:

$$\mathcal{D}ef(b)_n : (Art/k) \to (Sets),$$
$$A \mapsto \{\tilde{b} \in (\overline{IxI})(A) \text{ with } \tilde{b}_k = b\}/_{\approx_-}.$$

Here, $\tilde{b} \cong_n \tilde{b}'$ if there exists a $g \in I_n(A)$ with $g_k = 1$ such that $\tilde{b}' = g^{-1}\tilde{b}\sigma(g)$. We call $\mathscr{D}ef(b)_n$ the deformation functor of level *n* of *b*.

Proposition 3.8. The functor $\mathcal{D}ef(b)_n$ is pro-represented by the formal completion of $I_n \setminus \overline{IxI}$ at $I_n b$, which we denote by $D_{b,n}$.

Proof. This is shown completely analogously to the proof of [41, Proposition 2.9].

For a given *n*, consider the projection morphism $D_{b,n} \to D_{b,0}$. The projection $LG \to I \setminus LG$ has sections étale locally. Thus we also have a (non-unique) section $s : D_{b,0} \to D_{b,n}$. Let $(D_{b,0} \times (I_n \setminus I))^{\wedge}$ denote the completion of $D_{b,0} \times (I_n \setminus I)$ at (b, 1). Using that I_n is normal in *I*, the section *s* induces a (still non-canonical) morphism

$$\phi: (D_{b,0} \times (I_n \setminus I))^{\wedge} \to D_{b,n}$$

given by $(b, g) \mapsto g^{-1}s(b)\sigma(g)$.

Lemma 3.9. The morphism $\phi : (D_{b,0} \times (I_n \setminus I))^{\wedge} \to D_{b,n}$ is an isomorphism.

Proof. Compare the proof of [41, Lemma 2.10].

Recall from [41, Lemma 2.11] that for any admissible \mathbb{F}_q -algebra R with filtered index poset \mathbb{N}_0 , the pullback by the natural morphism $\operatorname{Spf} R \to \operatorname{Spec} R$ induces a bijection between the $\operatorname{Spec} R$ -valued points and the $\operatorname{Spf} R$ -valued points of \overline{IxI} . Thus we can associate with the formal scheme $D_{b,n}$ a scheme $D'_{b,n}$, and we have a section $D'_{b,n} \to LG$. In particular, we can study the Newton stratification on $D'_{b,n}$. For large n, Corollary 3.6 implies that the Newton stratification does not depend on the choice of the lift.

For $[b] \in B(G)$, let $y_b \in \tilde{W}$ be as in the proof of Proposition 3.5 a *P*-fundamental element with $[y_b] = [b]$, for some semistandard parabolic subgroup *P*. Its Levi subgroup *M* containing *T* centralizes the *M*-dominant Newton point v of y_b . From the definition of *P*-fundamental alcoves, one can then easily see that y_b is also \tilde{P} -fundamental for $\tilde{P} = \tilde{M}P \supset P$, where \tilde{M} is the centralizer of v, so \tilde{P} and y_b are as in the theorem below. If y is *P*-fundamental for some parabolic *P*, let \overline{N} be the unipotent radical of the opposite parabolic, and let $I_{\overline{N}} = I \cap L\overline{N}$. Then by definition of *P*-fundamental alcoves, we have $y^{-1}I_{\sigma^{-1}(\bar{N})}y \subseteq I_{\bar{N}}$.

Theorem 3.10. Let $b \in IxI$. Let $\mathcal{N}_{[b]}$ be the Newton stratum of [b] in Spec $D'_{b,0}$. Let y_b be a *P*-fundamental alcove associated with [b], where *P* is chosen such that the Levi subgroup *M* of *P* containing *T* equals the centralizer of the *M*-dominant Newton point of y_b . Then there is a finite surjective morphism

$$((X_x(b) \times_k I_{\bar{N}}/y_b^{-1}I_{\sigma^{-1}(\bar{N})}y_b)^{\wedge})' \to \mathcal{N}_{[b]}.$$

Here again, $(\cdot)'$ denotes the scheme associated with the formal scheme obtained by completion. Furthermore, the locus in Spec $D'_{b,0}$ of elements I- σ -conjugate to b is smooth and equal to the image of

Proof. This follows from essentially the same proof as [41, Theorem 2.9], which in turn was a natural generalization of the proof of Theorem 6.5 or Theorem 6.6 from [22] to unramified groups. \Box

Recall that $\mathcal{N}_{[b],x}$ is the Newton stratum for [b] in IxI.

Corollary 3.11. For $x \in \widetilde{W}$ and $b \in IxI$, let $h \in \mathcal{N}_{[b],x}(k)$. Let $g \in X_x(b)(k)$ with $g^{-1}b\sigma(g) = h$. Denote by $X_x(b)_g^{\wedge}$ and $(\mathcal{N}_{[b],x})_h^{\wedge}$ the completions in the two points, respectively. Assume that $((\mathcal{N}_{[b],x})_h^{\wedge})'$ is irreducible. Then

$$\dim (X_x(b)_g^{\wedge})' = \ell(x) - \langle 2\rho, \nu(b) \rangle - \operatorname{codim}((\mathcal{N}_{[b],x})_h^{\wedge})'.$$

Proof. This follows from the previous theorem, using that $I_{\bar{N}}/y_b^{-1}I_{\sigma^{-1}(\bar{N})}y_b$ is irreducible and of dimension $\ell(y_b) = \langle 2\rho, \nu(b) \rangle$.

Corollary 3.12. Using the notation of the previous corollary,

$$\dim X_x(b) = \ell(x) - \langle 2\rho, \nu(b) \rangle - \operatorname{codim}(\mathcal{N}_{[b],x}),$$

where $\operatorname{codim}(\mathcal{N}_{[b],x})$ denotes the minimal codimension of all irreducible components. Furthermore, $X_x(b)$ is equidimensional if and only if the same holds for $\mathcal{N}_{[b],x}$.

Proof. Apply the previous corollary to all elements contained in just one irreducible component of $\mathbb{N}_{[b],x}$.

Again, the dimension formula is known from [17, Theorem 2.23], and the equidimensionality assertion is new. We are now able to prove Lemma 3.2 as an immediate consequence.

Proof of Lemma 3.2 Apply Corollary 3.12 to $[b_x]$, and use that in this case, the Newton stratum is irreducible and of codimension 0 in IxI.

3.2. Virtual dimension and cordiality

We recall from [16, Section 10.1] the notion of virtual dimension. For $x \in \widetilde{W}$ and $[b] \in B(G)$ with $\kappa_G(b) = \kappa_G(x)$, define

$$d_x(b) = \frac{1}{2} \left(\ell(x) + \ell(\eta(x)) - \operatorname{def}(b) - \langle 2\rho, \nu(b) \rangle \right)$$

to be the virtual dimension of the pair (x, [b]). By [17, Theorem 2.30], we have for $x \in \widetilde{W}$ and $[b] \in B(G)$ with $\kappa_G(x) = \kappa_G(b)$ that

$$\dim X_x(b) \le d_x(b). \tag{3.1}$$

Notice that the additional assumptions on G made in [17, Theorem 2.30] are not needed for the proof of the above theorem, so that it also holds in our context. We combine this with the formula for dim $X_x(b)$ from the preceding subsection.

Lemma 3.13. Let $x \in \widetilde{W}$, and let $[b_x] \in B(G)$ be the generic σ -conjugacy class in IxI. Then

$$\ell(x) - \ell(\eta(x)) \le \langle 2\rho, \nu_x \rangle - \operatorname{def}(b_x).$$

Proof. By (3.1), we have dim $X_x(b_x) \le d_x(b_x)$. Together with Lemma 3.2, we have

$$\ell(x) - \langle 2\rho, \nu_x \rangle \le \frac{1}{2} \left(\ell(x) + \ell(\eta(x)) - \operatorname{def}(b) - \langle 2\rho, \nu_x \rangle \right),$$

which is equivalent to the above inequality.

Definition 3.14. Let $x \in \widetilde{W}$. Let $[b_x] \in B(G)$ be the generic σ -conjugacy class in IxI. Then x is called *cordial* if

$$\ell(x) - \ell(\eta(x)) = \langle 2\rho, \nu_x \rangle - \operatorname{def}(b_x).$$

In other words, *x* is cordial if and only if dim $X_x(b_x) = d_x(b_x)$.

Example 3.15. Suppose that $x = t^{w_0\lambda}w \in \widetilde{W}$ so that *x* is in the antidominant Weyl chamber. Then by Mazur's inequality, $v_x \leq \lambda$. Since $t^{w_0\lambda} \in \overline{IxI}$, the generic Newton point v_x cannot be strictly smaller than λ , and hence $[b_x] = [t^{\lambda}]$. Thus def $(b_x) = 0$, and $\langle 2\rho, v_x \rangle = \ell(t^{\lambda}) = \ell(x) - \ell(w_0w\sigma(w_0)) = \ell(x) - \ell(\eta(x))$. Hence all *x* in the antidominant Weyl chamber are cordial, which proves the first assertion (a) of Theorem 1.2.

The following theorem is a stronger version of Theorem 1.1. The idea of the proof (first used in [37]) is to combine a strong version of purity of the Newton stratification with upper bounds on the dimension of the Newton strata obtained via Corollary 3.12; see [40, Section 5] for an overview.

Theorem 3.16. Let $x \in \widetilde{W}$. Then for $[b] \in B(G)_x$, the following are equivalent:

- 1. $d_x(b') \dim X_x(b') \ge d_x(b_x) \dim X_x(b_x)$ for all $[b'] \in B(G)_x$ with $[b] \le [b']$.
- 2. $d_x(b') \dim X_x(b') = d_x(b_x) \dim X_x(b_x)$ for all $[b'] \in B(G)_x$ with $[b] \le [b']$.
- 3. If $[b'] \in B(G)$ with $[b] \leq [b'] \leq [b_x]$, then $[b'] \in B(G)_x$, and the closure of $\mathcal{N}_{[b']}$ is the union of all $\mathcal{N}_{[b'']}$ for $[b''] \in B(G)_x$ with $[b''] \leq [b']$.

If this is the case, then all $X_x(b')$ with $[b] \leq [b']$ are also equidimensional.

Proof. We first reformulate (1). For $g \in X_x(b')$, let $b'_g = g^{-1}b'\sigma(g)$. Then (1) is equivalent to the following estimate for the dimension of the completion of $X_x(b')$ for each [b'] and each element g, as above:

$$\dim X_x(b')_{\varrho}^{\wedge} \leq \dim X_x(b') \leq d_x(b') - d_x(b_x) + \dim X_x(b_x).$$

By Corollary 3.11 and Lemma 3.2, this is equivalent to

$$\operatorname{codim}(\mathcal{N}_{[b'],x})_{b_g}^{\wedge} \ge d_x(b_x) - d_x(b') + \langle 2\rho, \nu_x - \nu(b') \rangle$$
$$= \frac{1}{2} \left(\operatorname{def}(b') - \operatorname{def}(b_x) + \langle 2\rho, \nu_x - \nu(b') \rangle \right)$$

where the last equality follows from the definition of the virtual dimension.

The right-hand side of this estimate is equal to the length of every maximal chain of elements in B(G) from [b'] to $[b_x]$. Indeed, this follows directly from [40, Theorem 3.4], which in its turn is a slight correction of [6, Theorem 7.4] combined with the main result of [25] and [14, Proposition 3.8].

To show that (1) implies (2) and (3) as well as equidimensionality, we use [40, Lemma 5.12]. The assumption on strong purity of the stratification made in the lemma can be replaced by topological strong purity in the sense of [15, Section 2.1]. By [15, Proposition 1], this is satisfied for the stratification we consider. By the above reformulation of (1), the assumption of [40, Lemma 5.12] on the codimensions of the Newton strata is satisfied. Then the lemma implies that the Newton stratification on the scheme associated with the completion of IxI in any b'_g satisfies that each Newton stratum associated with some $[\tilde{b}] \in B(G)$ with $[b'] \leq [\tilde{b}] \leq [b_x]$ is non-empty, and its closure is the union of all Newton strata for [b''] with $[b''] \leq [\tilde{b}]$. Since all of the above holds for every $g \in X_x(b')$, and in particular for all elements contained in exactly one irreducible component, (3) and equidimensionality follow.

It remains to show that (3) implies (1). Let $[b'] \in B(G)$ with $[b] \leq [b']$. Every chain $[b'] = [b_0] < [b_1] < \cdots < [b_n] = [b_x]$ in B(G) is by (3) also a chain in $B(G)_x$. By the second assumption of (3), we Downloaded from https://www.cambridge.org/core. Technische Universitaet Muenchen (TU München), on 08 Mar 2021 at 09:31:11, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/fms.2020.46

have that $\mathcal{N}_{[b_i]} \subset \overline{\mathcal{N}_{[b_{i+1}]}}$ for all *i*. Thus for every $h \in \mathcal{N}_{[b']}$, the codimension of $(\mathcal{N}_{[b'],x})_h^{\wedge}$ is greater than or equal to the maximal length of such a chain. By the above reformulation, this is equivalent to (1). \Box

We are now prepared to prove our first main result and an immediate corollary.

Proof of Theorem 1.1 By (3.1) together with cordiality, we have

$$d_x(b') - \dim X_x(b') \ge 0 = d_x(b_x) - \dim X_x(b_x)$$

for all $[b] \leq [b'] \in B(G)_x$. Then the theorem follows from Theorem 3.16.

Corollary 3.17. Let x be cordial. Then for every $[b] \in B(G)_x$, we have that $X_x(b)$ is equidimensional of dimension dim $X_x(b) = d_x(b)$.

Remark 3.18. If *x* is in the shrunken Weyl chamber and the basic locus is non-empty, then [19, Theorem 4.2] says that dim $X_x(b) = d_x(b)$ for the basic class $[b] \in B(G)_x$. A necessary and sufficient criterion for non-emptiness of the basic locus is given in [13]. In this case, our theorem shows that if *x* is cordial, then $B(G)_x = \{[b] \le [b_x]\}$.

Theorem 3.16 also implies the following result that is a partial converse to Theorem 1.1.

Corollary 3.19. Suppose that $x \in \widetilde{W}$ is not cordial. Assume that there is a $[b] \in B(G)_x$ such that $\dim X_x(b) = d_x(b)$. Then there is a $[b'] \in B(G)$ such that

- (a) $[b] < [b'] < [b_x]$ but $[b'] \notin B(G)_x$ (in particular, $B(G)_x$ is not saturated), or
- (b) $[b] < [b'] < [b_x]$ and $[b'] \in B(G)_x$, but the closure of $\mathbb{N}_{[b']}$ is not the union of all $\mathbb{N}_{[b'']}$ for $[b''] \in B(G)_x$ with [b''] < [b'].

Proof. We have dim $X_x(b_x) < d_x(b_x)$, and hence $d_x(b) - \dim X_x(b) = 0 < d_x(b_x) - \dim X_x(b_x)$. \Box

Along these same lines, one could also formulate more precise statements relating $d_x(b_x) - \dim X_x(b_x) - d_x(b) + \dim X_x(b)$ to the number of [b'], as in Corollary 3.19.

4. Families of cordial elements

Characterizing the cordial elements in \widetilde{W} requires a good description of the maximal Newton point v_x . One especially useful description of v_x uses paths in the quantum Bruhat graph and is available for groups G that are split, connected, and semisimple. Thus for the remainder of the paper, we make these additional assumptions on G.

Let Φ be the set of relative roots of G over \check{F} with respect to T, and let Φ^+ be the set of positive roots. Let S be the basis of Φ of simple roots corresponding to B. We also identify S with the set of simple reflections in W. The finite Weyl group W acts on \mathbb{R}^r as a finite reflection group, where r is the rank of G. The set of reflections in W is defined as $R = \{wsw^{-1} \mid s \in S, w \in W\}$. There is a bijection between Φ^+ and R. More precisely, let $\alpha \in \Phi^+$, and write $\alpha = w(\alpha_i)$ for some simple root α_i and $w \in W$. Then α corresponds to the well-defined reflection $s_{\alpha} := ws_i w^{-1} \in W$. Throughout, we denote simple reflections by s_i (the index being a roman letter) and reflections associated with a positive root (which may or may not be simple) by s_{α} (the index being a Greek letter).

4.1. Cordial elements and the quantum Bruhat graph

The primary tool in the proof of Theorem 1.2 (b) and (c) is a labeled directed graph associated with the group G called the quantum Bruhat graph. We now review some key properties of this graph and its relation to maximal Newton points.



Figure 1. The quantum Bruhat graph Γ_G for $G = SL_3$.

Definition 4.1 ([9]). We construct the *quantum Bruhat graph* Γ_G as follows:

- 1. The vertices of the graph are the elements $w \in W$.
- 2. Draw a directed edge $w \longrightarrow ws_{\alpha}$ for any $\alpha \in \Phi^+$ if either of the following is satisfied:

$$w \longrightarrow ws_{\alpha}$$
 if $\ell(ws_{\alpha}) = \ell(w) + 1$, or
 $w \longrightarrow ws_{\alpha}$ if $\ell(ws_{\alpha}) = \ell(w) - \langle 2\rho, \alpha^{\vee} \rangle + 1$.

3. Label the edge $w \longrightarrow ws_{\alpha}$ by the corresponding root α .

Figure 1 shows the quantum Bruhat graph for $G = SL_3$. As in Figure 1, we can always draw Γ_G such that vertices are ranked by length increasing upward, in which case the first type of edge (colored blue) always points upward and the second type (colored red) downward; this will be our convention throughout the paper. Note that the upward edges correspond precisely to the covering relations in Bruhat order, so we can also view the vertices in Γ_G as a ranked partially ordered set. We write v < w if $v \le w$ in Bruhat order and $\ell(v) = \ell(w) - 1$ to denote such a covering relation.

Define the *weight of an edge* in the quantum Bruhat graph Γ_G as follows:

- 1. An upward edge $w \longrightarrow ws_{\alpha}$ carries no weight.
- 2. A downward edge $w \longrightarrow ws_{\alpha}$ carries a weight of α^{\vee} .

The weight of a path in Γ_G is the sum of the weights over all of the edges in the path. For example, in Γ_{SL_3} from Figure 1, the weight of each of the three shortest paths from $s_1s_2 = s_{12}$ to s_2 equals $\alpha_1^{\vee} + \alpha_2^{\vee}$. In general, given any $u, v \in W$, by [32, Lemma 1], there always exists a path in Γ_G from u to v, and all paths of minimal length between u and v have the same weight.

Since G is split, connected, and semisimple, then under a superregularity hypothesis guaranteeing that $x = t^{\nu\lambda}w \in \widetilde{W}$ is sufficiently far from the walls of any Weyl chamber, the maximal Newton point ν_x can be computed from the weight of certain paths in the quantum Bruhat graph Γ_G . More specifically, [29, Theorem 3.2] says that under a superregularity hypothesis on λ , the maximal Newton point ν_x can be expressed as

$$\nu_x = \lambda - \alpha_x^{\vee},\tag{4.1}$$

where α_x^{\vee} denotes the weight of any path of minimal length from $w^{-1}v$ to v in Γ_G .

Denote by $d_{\Gamma}(u, v)$ the minimum length among all paths in Γ_G from u to v; the choice of notation represents the fact that $d_{\Gamma}(u, v)$ equals the distance between these two elements in the graph Γ_G . As an important special case, denote the minimum length of any path in Γ_G from w to the identity that uses exclusively downward edges by $d_{\perp}(w)$. We remark that such a path always exists, since by definition

of Γ_G , any reduced expression for *w* determines an all-downward path from *w* to the identity having $\ell(w)$ edges. We say that any path in Γ_G from *u* to *v* that uses exactly $d_{\Gamma}(u, v)$ edges *realizes* $d_{\Gamma}(u, v)$. Similarly, any downward path in Γ_G from *w* to 1 consisting of exactly $d_{\downarrow}(w)$ edges *realizes* $d_{\downarrow}(w)$.

We are now able to characterize the cordial elements under our additional superregularity hypothesis in a purely combinatorial manner that does not require any explicit knowledge of the maximal Newton point.

Proposition 4.2. Let $x = t^{\nu\lambda}w \in \widetilde{W}$, and suppose that $\langle \alpha_i, \lambda \rangle > M$ for all simple roots α_i , where M is the constant defined in [29, Equation 6.1]. Then x is cordial if and only if

$$d_{\Gamma}(w^{-1}v,v) = \ell(v^{-1}wv) = \ell(\eta(x)).$$

Proof. First note by (4.1) that v_x is integral under our superregularity hypothesis on λ . Therefore, def $(b_x) = 0$ in this case, and so x is cordial if and only if $\ell(x) - \ell(\eta(x)) = \langle 2\rho, v_x \rangle$. Now recall a length formula for x from [27, Lemma 3.4], which applies since λ is both regular and dominant:

$$\ell(x) = \ell(t^{\lambda}) - \ell(w^{-1}v) + \ell(v) = \langle 2\rho, \lambda \rangle - \ell(w^{-1}v) + \ell(v).$$

$$(4.2)$$

Combine Equations (4.1) and (4.2) to write

$$\begin{split} \ell(x) - \langle 2\rho, v_x \rangle &= \left(\langle 2\rho, \lambda \rangle - \ell(w^{-1}v) + \ell(v) \right) - \langle 2\rho, \lambda - \alpha_x^{\vee} \rangle \\ &= \langle 2\rho, \alpha_x^{\vee} \rangle - \ell(w^{-1}v) + \ell(v), \end{split}$$

where α_x^{\vee} is the weight of any minimal-length path p in Γ_G from $w^{-1}v$ to v. Therefore, x is cordial if and only if $\langle 2\rho, \alpha_x^{\vee} \rangle - \ell(w^{-1}v) + \ell(v) = \ell(\eta(x))$. It thus suffices to show that

$$\langle 2\rho, \alpha_x^{\vee} \rangle - \ell(w^{-1}v) + \ell(v) = d_{\Gamma}(w^{-1}v, v).$$
 (4.3)

Note that the quantity $-\ell(w^{-1}v) + \ell(v)$ equals the difference in rank in the poset Γ_G from the beginning to the end of the path p, where the quantity is positive, negative, or zero according to whether the rank of the final vertex of p is higher, lower, or the same as the rank of its initial vertex. For ease of reference, denote this quantity by $\Delta \operatorname{rk}(p) = -\ell(w^{-1}v) + \ell(v)$. Recall that we draw an edge $w \longrightarrow ws_{\alpha}$ in Γ_G if and only if

$$\ell(ws_{\alpha}) = \begin{cases} \ell(w) + 1, \text{ or} \\ \ell(w) - \langle 2\rho, \alpha^{\vee} \rangle + 1 \end{cases}$$

where the edges of the first type are directed upward and the second type are directed downward. Therefore, each upward edge in p contributes +1 to $\Delta rk(p)$, and each downward edge in p labeled by α contributes $-\langle 2\rho, \alpha^{\vee} \rangle + 1$ to $\Delta rk(p)$. Denote the roots labeling the downward edges by α_{d_i} for i = 1, ..., d, where d equals the number of downward edges in p. Denote the number of upward edges in p by u. We can thus write

$$\Delta \operatorname{rk}(p) = u + \sum_{i=i}^{d} (-\langle 2\rho, \alpha_{d_i}^{\vee} \rangle + 1)$$

$$= (u+d) - \sum_{i=i}^{d} \langle 2\rho, \alpha_{d_i}^{\vee} \rangle$$

$$= d_{\Gamma}(w^{-1}v, v) - \sum_{i=i}^{d} \langle 2\rho, \alpha_{d_i}^{\vee} \rangle.$$

(4.4)

On the other hand, recall that the weight of the path p is defined to be $\sum \alpha_{d_i}^{\vee}$ summing over all the downward edges, so that by linearity we can rewrite (4.4) as

$$\Delta \mathbf{rk}(p) = d_{\Gamma}(w^{-1}v, v) - \left(2\rho, \sum_{i=i}^{d} \alpha_{d_i}^{\vee}\right) = d_{\Gamma}(w^{-1}v, v) - \langle 2\rho, \alpha_x^{\vee} \rangle.$$

Therefore,

$$d_{\Gamma}(w^{-1}v, v) = \langle 2\rho, \alpha_x^{\vee} \rangle + \Delta \operatorname{rk}(p) = \langle 2\rho, \alpha_x^{\vee} \rangle - \ell(w^{-1}v) + \ell(v),$$

confirming (4.3) and concluding the proof.

Lemma 4.3. For any $u, v \in W$, we have $d_{\Gamma}(u, v) \leq \ell(u^{-1}v)$.

Proof. Taking any reduced expression for $u^{-1}v = s_{i_1} \cdots s_{i_k}$, and following the edges labeled by the simple roots $\alpha_{i_1}, \ldots, \alpha_{i_k}$ in order, we obtain a path from *u* to *v* that has exactly $\ell(u^{-1}v)$ edges.

Remark 4.4. In particular, under the superregularity hypothesis, cordial elements are precisely those for which no shorter path exists from $w^{-1}v$ to v than the one constructed in the proof of Lemma 4.3, where v, w are as in Proposition 4.2.

We now provide an example that illustrates how to use Proposition 4.2 to identify families of cordial elements. Recall that we already considered this case (in greater generality) in Example 3.15.

Example 4.5. Suppose that $x = t^{w_0 \lambda} w \in \widetilde{W}$ so that x is in the antidominant Weyl chamber. If λ is superregular, we want to also show with this new method that x is cordial. By Proposition 4.2, it suffices to prove that $d_{\Gamma}(w^{-1}w_0, w_0) = \ell(\eta(x))$. Since the end vertex of the path in Γ_G is the longest element w_0 , and since every upward edge increases the length by only one, any path of minimal length ending at w_0 is necessarily a path containing only upward edges. Comparing rank, any minimal path from $w^{-1}w_0$ to w_0 then has exactly $\ell(w_0) - \ell(w^{-1}w_0) = \ell(w_0) - \ell(w_0w)$ edges. Recall from [1, Corollary 2.3.3] that $\ell(w_0w) = \ell(w_0) - \ell(w)$ and $\ell(w_0ww_0) = \ell(w)$ for all $w \in W$. Therefore, for these elements, we have

$$\ell(\eta(x)) = \ell(w_0 w w_0) = \ell(w) = \ell(w_0) - \ell(w^{-1} w_0) = d_{\Gamma}(w^{-1} w_0, w_0).$$

By Proposition 4.2, x is cordial. Compare Theorem 1.2 (a), which we recall was proved in Example 3.15 without any superregularity hypothesis.

4.2. Standard parabolic Coxeter and small-height-avoiding elements

In this section, we develop the necessary background to study the latter two families of cordial elements identified in Theorem 1.2.

The *reflection length* of $w \in W$ is the minimal number of reflections required to express w as a product of elements in R: namely,

$$\ell_R(w) = \min\left\{r \in \mathbb{N} \mid w = s_{\beta_1} \cdots s_{\beta_r} \text{ for } s_{\beta_i} \in R\right\}.$$

By definition, $\ell_R(w) \leq \ell(w)$. We now recall a characterization of those elements such that $\ell_R(w) = \ell(w)$.

Definition 4.6. The element $w \in W$ is a *standard parabolic Coxeter element* if each simple reflection is used at most once in any (equivalently, every) reduced expression for w.

As the terminology suggests, standard parabolic Coxeter elements are those that are Coxeter elements in some standard parabolic subgroup of W. (We remark that standard parabolic Coxeter elements have also appeared by other names in the literature; for example, they are called *boolean* in [35].) By [2,

Lemma 2.1], the element *w* is standard parabolic Coxeter if and only if $\ell_R(w) = \ell(w)$, a property that will be critical in the proof of Theorem 1.2 (b).

Next we define a slightly more general family of elements in *W*, which properly contains the standard parabolic Coxeter elements.

Definition 4.7. We say $w \in W$ contains the element $v \in W$ if there exist $u, u' \in W$ such that w = uvu'and $\ell(w) = \ell(u) + \ell(v) + \ell(u')$. An element $w \in W$ is called *small-height-containing* if w contains a nonsimple reflection s_{α} such that $\ell(s_{\alpha}) = \langle 2\rho, \alpha^{\vee} \rangle - 1$. Otherwise, we say that w is *small-height-avoiding*.

Note that all simple reflections α_i satisfy $\ell(s_{\alpha_i}) = \langle 2\rho, \alpha_i \rangle - 1$, so we intentionally exclude these. Also note that the small-height-avoiding condition cannot be verified by looking at only one reduced expression, as the example $s_{1213} = s_{1231}$ in type A_3 illustrates.

This terminology is inspired by the related notion of *short-braid-avoiding* elements, which are those elements of *W* that do not contain a subexpression of the form $s_i s_j s_i$ in any reduced expression; see [8]. If *G* is simply laced, then for any $\alpha \in \Phi^+$, we have $\ell(s_\alpha) = \langle 2\rho, \alpha^{\vee} \rangle - 1$ by [4, Lemma 4.3], and so the notions of small-height-avoiding and short-braid-avoiding coincide in this case. More generally, for any $\alpha \in \Phi^+$, we always have $\ell(s_\alpha) \leq \langle 2\rho, \alpha^{\vee} \rangle - 1$, and the inequality may be strict. Rewriting this expression, we see that ht $\alpha^{\vee} \geq \frac{\ell(s_\alpha)+1}{2}$, and so those reflections that we avoid in Definition 4.7 are precisely those whose height is as 'small' as it could possibly be. There is also a relationship between the small-height-avoiding and *fully commutative* elements defined in [36], which are those for which any reduced expression can be obtained from any other by means of only commuting relations. In the simply laced case, it follows from [36, Proposition 2.1] that all of these notions coincide.

Example 4.8. As an example that illustrates the relations among these families, we identify the standard parabolic Coxeter, small-height-avoiding, short-braid-avoiding, and fully commutative elements for *G* of type C_2 . In this case, $W = \langle s_1, s_2 | s_1^2 = s_2^2 = (s_1s_2)^4 = 1 \rangle$ so that the four reflections are s_1, s_2, s_{121} , and s_{212} , and the other nontrivial elements (all of which are rotations in \mathbb{R}^2) are s_{12}, s_{21} , and $w_0 = s_{1212}$. The standard parabolic Coxeter elements are thus $\{1, s_1, s_2, s_{12}, s_{21}\}$, which coincides here with the set of short-braid-avoiding elements. All of the elements besides w_0 are fully commutative. To determine the small-height-avoiding elements, we must further identify the coroots that correspond to each non-simple reflection. We follow the convention that α_1 is the short simple root and α_2 the long one. Then

$$s_{121} \quad \longleftrightarrow \quad \alpha_1 + \alpha_2 \quad \longleftrightarrow \quad \alpha_1^{\vee} + \alpha_2^{\vee}, \\ s_{212} \quad \longleftrightarrow \quad 2\alpha_1 + \alpha_2 \quad \longleftrightarrow \quad \alpha_1^{\vee} + 2\alpha_2^{\vee}.$$

We thus see that $\ell(s_{121}) = \langle 2\rho, \alpha_1^{\vee} + \alpha_2^{\vee} \rangle - 1$, so that small-height-avoiding elements cannot contain s_{121} . By contrast, $3 = \ell(s_{212}) \neq \langle 2\rho, \alpha_1^{\vee} + 2\alpha_2^{\vee} \rangle - 1 = 5$, so s_{212} does not need to be avoided. Therefore, the set of small-height-avoiding elements in C_2 is $\{1, s_1, s_2, s_{12}, s_{21}, s_{212}\}$, which sits properly between the sets of standard parabolic Coxeter (or equivalently, short-braid-avoiding) and fully commutative elements.

4.3. Two additional families of cordial elements

The goal of this section is to prove parts (b) and (c) of Theorem 1.2. For part (c), we first require two more technical lemmas as stepping stones to Proposition 4.11, which allows us to focus exclusively on paths in Γ_G with all-downward edges.

Lemma 4.9. Let $s_{\beta} \in R$ be a non-simple reflection such that $\ell(s_{\beta}) = \langle 2\rho, \beta^{\vee} \rangle - 1$ for some $\beta \in \Phi^+$, and suppose that $s_{\beta}s_{\alpha} \leq s_{\beta}$ for some $\alpha \in \Phi^+$. Then $s_{\beta}s_{\alpha} = s_{\gamma_1}s_{\gamma_2}$, where $\ell(s_{\beta}s_{\alpha}) = \ell(s_{\gamma_1}) + \ell(s_{\gamma_2})$ and $\ell(s_{\gamma_i}) = \langle 2\rho, \gamma_i^{\vee} \rangle - 1$.

Proof. For any reduced expression $s_{\beta} = s_{i_1} \cdots s_{i_m}$, the condition $s_{\beta}s_{\alpha} < s_{\beta}$ together with the strong exchange property implies that there is a reduced expression $s_{\beta}s_{\alpha} = s_{i_1} \cdots s_{i_l} \cdots s_{i_m}$ for some $1 \le l \le m$.

for s_{β} to be palindromic by [4, Lemma 4.1]. For l = (m + 1)/2, the resulting expression for $s_{\beta}s_{\alpha}$ is trivial, and the hypothesis $s_{\beta}s_{\alpha} < s_{\beta}$ is not satisfied. Thus, for symmetry reasons, it is enough to consider the cases where l > (m + 1)/2. In this situation, we have $s_{\alpha} = s_{i_m} \cdots s_{i_l} \cdots s_{i_m}$, and $\ell(s_{\alpha}) < \ell(s_{\beta}) - 1 = \ell(s_{\beta}s_{\alpha}) = \ell(s_{\alpha}s_{\beta})$. By [1, Proposition 4.4.6], this inequality implies that $s_{\alpha}(\beta) > 0$. By the same proposition, $\ell(s_{\beta}s_{\alpha}) < \ell(s_{\beta})$ implies that $s_{\beta}(\alpha) = \alpha - \langle \alpha, \beta^{\vee} \rangle \beta < 0$. Since α and β are positive, $\langle \alpha, \beta^{\vee} \rangle$ also has to be positive. Therefore,

$$(s_{\alpha}(\beta))^{\vee} = s_{\alpha^{\vee}}(\beta^{\vee}) = \beta^{\vee} - c'\alpha^{\vee}$$
(4.5)

for some integral c' > 0.

Now, recalling that l > (m+1)/2, we will prove that we may choose $\gamma_1 = \alpha$ and $\gamma_2 = s_\alpha(\beta)$ to satisfy the conclusion of the lemma. Certainly, $s_\beta s_\alpha = s_\alpha(s_\alpha s_\beta s_\alpha) = s_{\gamma_1} s_{\gamma_2}$. We next show that this product is length-additive. Since $\ell(s_\beta) - 1 = \ell(s_\beta s_\alpha) = \ell(s_{s_\alpha(\beta)} s_\alpha) \le \ell(s_{s_\alpha(\beta)}) + \ell(s_\alpha)$, length-additivity is implied by the following claim.

Claim. $\ell(s_{s_{\alpha}(\beta)}) + \ell(s_{\alpha}) \leq \ell(s_{\beta}) - 1.$

For every positive root γ , we have $\ell(s_{\gamma}) \leq \langle 2\rho, \gamma^{\vee} \rangle - 1$ by [4, Lemma 4.3], and we assumed equality for $\gamma = \beta$. Then we have

$$\ell(s_{s_{\alpha}}(\beta)) \leq \langle 2\rho, (s_{\alpha}(\beta))^{\vee} \rangle - 1$$

$$\stackrel{(4.5)}{=} \langle 2\rho, \beta^{\vee} - c'\alpha^{\vee} \rangle - 1$$

$$\leq \langle 2\rho, \beta^{\vee} - \alpha^{\vee} \rangle - 1$$

$$\leq \ell(s_{\beta}) - \ell(s_{\alpha}) - 1,$$
(4.6)

which proves the claim.

Furthermore, since both sides of the inequality in the claim are equal, each of the inequalities in (4.6) also has to be an equality. From the first line of (4.6), we see that $\ell(s_{s_{\alpha}(\beta)}) = \langle 2\rho, (s_{\alpha}(\beta))^{\vee} \rangle - 1$. Finally, since $\ell(s_{\beta}) = \langle 2\rho, \beta^{\vee} \rangle - 1$ by hypothesis, the last equality in (4.6) yields $\ell(s_{\alpha}) = \langle 2\rho, \alpha^{\vee} \rangle - 1$, which completes the proof.

Lemma 4.9 forms the technical heart of the proof of Lemma 4.10, which says that using an upward edge does not ultimately provide savings on the number of edges required to go from an element w down to the identity in Γ_G .

Lemma 4.10. Let $w \in W$, and suppose that $w < w s_{\alpha}$ for some $\alpha \in \Phi^+$. Then

$$d_{\downarrow}(w) \le d_{\downarrow}(ws_{\alpha}) + 1.$$

Proof. Let $w \in W$, and suppose that $w < ws_{\alpha}$ for some $\alpha \in \Phi^+$. Consider any path in Γ_G realizing $d_{\downarrow}(ws_{\alpha})$, which then corresponds to a length-additive expression as a product of reflections of the form $ws_{\alpha} = s_{\beta_1} \cdots s_{\beta_r}$, where each of the reflections satisfies $\ell(s_{\beta_i}) = \langle 2\rho, \beta_i^{\vee} \rangle - 1$.

On the other hand, since $w < ws_{\alpha}$ is a cocover, then for any reduced expression $ws_{\alpha} = s_{i_1} \cdots s_{i_k}$, we have $w = s_{i_1} \cdots \widehat{s_{i_\ell}} \cdots s_{i_k}$ for some $1 \le \ell \le k$ by the strong exchange property. Further, since $\ell(w) = \ell(ws_{\alpha}) - 1$, then the expression $w = s_{i_1} \cdots \widehat{s_{i_\ell}} \cdots s_{i_k}$ is still reduced. Therefore, w has a reduced expression of the form $w = s_{\beta_1} \cdots (s_{j_1} \cdots \widehat{s_{j_p}} \cdots s_{j_m}) \cdots s_{\beta_r}$, where $s_{i_\ell} = s_{j_p}$ is the single factor removed from the reflection $s_{\beta_j} = s_{j_1} \cdots s_{j_m}$. Since the entire expression for w remains reduced when removing s_{j_p} , then the expression $s_{j_1} \cdots \widehat{s_{j_p}} \cdots s_{j_m}$ is also reduced. Defining $s_{\gamma} = s_{j_m} \cdots s_{j_p} \cdots s_{j_m}$, we then see that $s_{\beta_j} s_{\gamma} < s_{\beta_j}$, and the hypotheses of Lemma 4.9 are satisfied. Therefore, we may write $s_{\beta_i} s_{\gamma} = s_{\gamma_1} s_{\gamma_2}$, where $\ell(s_{\beta_i} s_{\gamma}) = \ell(s_{\gamma_1}) + \ell(s_{\gamma_2})$ and $\ell(s_{\gamma_i}) = \langle 2\rho, \gamma_i^{\vee} \rangle - 1$.

Altogether, we have thus shown that we have a length-additive expression for w as a product of

reflections of the form $w = s_{\beta_1} \cdots s_{\beta_{j-1}} s_{\gamma_1} s_{\gamma_2} s_{\beta_{j+1}} \cdots s_{\beta_r}$, where each of the reflections in the product Downloaded from https://www.cambridge.org/core. Technische Universitaet Muenchen (TU München), on 08 Mar 2021 at 09:31:11, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/fms.2020.46

satisfies the criterion for drawing a downward edge in Γ_G . Therefore, this expression corresponds to a downward path of length $r + 1 = d_{\downarrow}(ws_{\alpha}) + 1$ from w to 1 in Γ_G , and so $d_{\downarrow}(w) \le d_{\downarrow}(ws_{\alpha}) + 1$. \Box

Lemma 4.10 provides the foundation for the proof of Proposition 4.11, which allows us to trade paths from w to the identity containing upward edges for a path of the same length that uses exclusively downward edges.

Proposition 4.11. Let $w \in W$. Then $d_{\Gamma}(w, 1) = d_{\downarrow}(w)$.

Proof. Define *m* to be the minimal number of upward edges contained in any path in Γ_G realizing $d_{\Gamma}(w, 1)$. We have to prove that m = 0. Assume that $m \ge 1$, and let *p* be such a path. Denote the upward edges in *p* by $u_i \longrightarrow u_i s_{\beta_i}$, encountered in the order $i = 1, \ldots, m$ as we travel along the path. Consider the subpath of *p* that starts at u_m . Since the edge $u_m \longrightarrow u_m s_{\beta_m}$ is upward, then the length increases by only one, and $u_m < u_m s_{\beta_m}$. Lemma 4.10 then says that $d_{\downarrow}(u_m) \le d_{\downarrow}(u_m s_{\beta_m}) + 1$. Therefore, the subpath of *p* beginning at u_m , which continues upward to $u_m s_{\beta_m}$, contains at least as many edges as any path realizing $d_{\downarrow}(u_m)$. Define a new path p_m in Γ_G from *w* to 1 by following the original path *p* until the vertex u_m , after which we follow any path down to 1, realizing $d_{\downarrow}(u_m)$. By Lemma 4.10 and the fact that *p* realizes $d_{\Gamma}(w, 1)$, the length of the path p_m also equals $d_{\Gamma}(w, 1)$. However, the path p_m has m - 1 upward edges, contradicting the minimality of *m* and proving that indeed m = 0.

We are now prepared to complete the proof of Theorem 1.2.

Proof of Theorem 1.2 Recall that part (a) was already proved in Example 3.15, and so it remains only to prove parts (b) and (c). Let $x = t^{\nu\lambda}w \in \widetilde{W}$, and suppose that λ is superregular in the sense of Proposition 4.2 and thus also Theorem 1.2. Then x is cordial if and only if $d_{\Gamma}(w^{-1}v, v) = \ell(v^{-1}wv)$.

(b) We first prove that if $\eta(x) = v^{-1}wv$ is a standard parabolic Coxeter element, then x is cordial. Consider any path that realizes $d_{\Gamma}(w^{-1}v, v) = m$, say

$$w^{-1}v \longrightarrow w^{-1}vs_{\beta_1} \longrightarrow w^{-1}vs_{\beta_1}s_{\beta_2} \longrightarrow \cdots \longrightarrow w^{-1}vs_{\beta_1}\cdots s_{\beta_m} = v.$$

Note that $v^{-1}wv = s_{\beta_1} \cdots s_{\beta_m}$, so this path corresponds to an expression for $\eta(x)$ as a product of *m* reflections. By definition, $\ell_R(\eta(x)) \le m$, but since $\eta(x)$ is standard parabolic Coxeter, by [2, Lemma 2.1], we have

$$\ell(\eta(x)) = \ell_R(\eta(x)) \le m = d_{\Gamma}(w^{-1}v, v).$$

The opposite inequality follows from Lemma 4.3. Therefore, if $\eta(x)$ is a standard parabolic Coxeter element, we see that $d_{\Gamma}(w^{-1}v, v) = \ell(\eta(x)) = \ell(v^{-1}wv)$, and so *x* is cordial by Proposition 4.2.

(c) We now prove that if x is in the dominant Weyl chamber, then x is cordial if and only if $\eta(x) = w$ is small-height-avoiding. Since v = 1 when x is dominant, by Proposition 4.2, we aim to prove that $d_{\Gamma}(w^{-1}, 1) = \ell(w)$ if and only if w is small-height-avoiding. Note that w is small-height-avoiding if and only if w^{-1} is small-height-avoiding, and recall that $\ell(w) = \ell(w^{-1})$. Therefore, in fact it suffices to prove that $d_{\Gamma}(w, 1) = \ell(w)$ if and only if w is small-height-avoiding.

First suppose that *w* is small-height-containing. By definition, there exists an expression for *w* of the form $w = us_{\beta}v$, where $\ell(w) = \ell(u) + \ell(s_{\beta}) + \ell(v)$, for some $u, v \in W$, and s_{β} , some non-simple reflection such that $\ell(s_{\beta}) = \langle 2\rho, \beta^{\vee} \rangle - 1$. Taking any reduced expressions for *u* and *v*, say $u = s_{i_1} \cdots s_{i_k}$ and $v = s_{j_1} \cdots s_{j_\ell}$, we can construct the following path in Γ_G :

$$w \xrightarrow{\alpha_{j_{\ell}}} ws_{j_{\ell}} \xrightarrow{\alpha_{j_{\ell-1}}} \cdots \xrightarrow{\alpha_{j_1}} ws_{j_{\ell}} \cdots s_{j_1} \xrightarrow{\beta} ws_{j_{\ell}} \cdots s_{j_1} s_{\beta} \xrightarrow{\alpha_{i_k}} \cdots \xrightarrow{\alpha_{i_1}} 1.$$

Each edge exists because length is additive in the expression $w = us_\beta v$, which means that at each step in this path, the length drops by precisely $1 = \ell(s_{i_m}) = \ell(s_{j_n})$ or $\ell(s_\beta) = \langle 2\rho, \beta^{\vee} \rangle - 1$, as required for a downward edge in Γ_G . Since s_β is non-simple, then $\ell(s_\beta) \ge 3$, which means the length of this particular path is at most $\ell(w) - 2$. Therefore, $d_{\Gamma}(w, 1) \le \ell(w) - 2 < \ell(w)$ in this case, and so *x* is not cordial by Proposition 4.2. Conversely, assume that *w* is small-height-avoiding. We aim to show that $d_{\Gamma}(w, 1) = \ell(w)$. Recall Proposition 4.11, which says that $d_{\Gamma}(w, 1) = d_{\downarrow}(w)$, and so there exists a path *p* consisting of all downward edges that also minimizes length among all paths from *w* to 1. By the definition of the downward edges in Γ_G , this path corresponds to an expression $w = s_{\beta_1} \cdots s_{\beta_r}$ such that the length decreases by exactly $\langle 2\rho, \beta_i^{\vee} \rangle - 1$ for all $1 \le i \le r$ when right-multiplying *w* by $s_{\beta_r}, \ldots, s_{\beta_1}$ in order. Note, however, that length cannot decrease by more than $\ell(s_{\beta_i})$ when right-multiplying by s_{β_i} . On the other hand, we always have $\ell(s_{\beta_i}) \le \langle 2\rho, \beta_i^{\vee} \rangle - 1$, and so in fact $\ell(s_{\beta_i}) = \langle 2\rho, \beta_i^{\vee} \rangle - 1$ for all $1 \le i \le r$. Therefore, the expression $w = s_{\beta_1} \cdots s_{\beta_r}$ is also length-additive. By definition of small-height-avoiding, *w* cannot contain any non-simple reflection s_β such that $\ell(s_\beta) = \langle 2\rho, \beta^{\vee} \rangle - 1$. This means each reflection in the expression $w = s_{\beta_1} \cdots s_{\beta_r}$ must in fact be simple, and so $\ell(w) = d_{\downarrow}(w) = d_{\Gamma}(w, 1)$. The element *x* is thus cordial by Proposition 4.2.

Example 4.12. For $G = SL_3$, the Newton stratification of each double coset IxI has been computed in [3]. Note, however, that our description below corrects an error in the tables at the end of [3]. In SL₃, all Newton strata are equidimensional, and the closure of any Newton stratum $[b] \cap IxI \neq \emptyset$ in IxI is equal to the union of all $[b'] \cap IxI$ such that $[b'] \in B(G)_x$ and $[b'] \leq [b]$. Write $x = t^{\nu\lambda}w$, and first assume that $\nu = 1$ —that is, x is in the dominant Weyl chamber—and that $\lambda = (\lambda_1, \lambda_2, \lambda_3)$ with $|\lambda_i - \lambda_{i+1}| \neq 1$. Then x is non-cordial if and only if $w = w_0$. Thus, in this case, we obtain exactly the condition of Theorem 1.2 (b) or equivalently (c), but under a much weaker superregularity assumption on λ . Furthermore, all non-cordial elements (even without any regularity assumption) are of the form $x\omega$ for some non-cordial x in the dominant Weyl chamber and ω normalizing I. For x outside the dominant Weyl chamber with $\nu \in \{s_1, s_2, w_0\}$, there exist cordial elements that are not covered by Theorem 1.2 applied directly to x or to $x\omega$ for any ω normalizing I.

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