

# 1 **Model-based quality assurance in railway infrastructure**

## 2 **planning**

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### 10 11 **Abstract**

12 A primary motive for adopting the methodology Building Information Modeling (BIM) in planning  
13 processes is to improve planning accuracy, cost security, and in turn quality. Up to now, however, a  
14 generally applicable, standardized means of validating design quality has been lacking. To address this  
15 shortcoming, this article presents 14 quality parameters in the domains of clash detection, semantics as  
16 well as quantities and costs, that apply to the field of infrastructure planning. The sets of rules outlined  
17 in the article are adaptable and extendable in order to respond flexibly to different model structures.

18 The investigation focuses on how important and recurring tests can be carried out automatically and  
19 how to make the results analyzable in a transparent and standardized manner. The proposed concept  
20 thoroughly extends well-known methods such as attribute testing and clash detection analysis of the 3D  
21 model. Doing so, the paper presents a set of novel methods for quality assurance, including 4D clash  
22 detection and checks for semantic-geometric coherence. The paper discusses in detail: the influence of  
23 modeling errors on clash detection, the difference of 3D and 4D clashes, formal methods for checking  
24 the correct linkage between 3D BIM and the bill of quantities, formal approaches for checking the  
25 semantic-geometric coherence of BIM objects.

26 The quality assurance concept presented in the article concludes with a standardized evaluation for the  
27 individual quality criteria using a school grading system, traffic light, and percentage scale. Finally, the  
28 concept is applied in a comprehensive case study on a large-scale infrastructure project and the results  
29 of the formal quality assessment are presented.

30  
31 *Keywords: BIM, Infrastructure, Quality, Consistency, Railway, Design, Clash Detection, nD Modeling*  
32 *Quality Assurance*

## 34 1 Introduction

35 The construction industry promotes and develops modern and efficient technologies in an effort to  
36 respond to the increasing complexity of construction projects. Of these, the digitalization of the  
37 construction process using Building Information Modeling is one of the most well-known. The Reform  
38 Commission for Major Projects (Reformkommission Großprojekte) set up by the German Federal  
39 Ministry of Transport and Digital Infrastructure (Bundesministerium für Verkehr und digitale  
40 Infrastruktur) recommends that building owners should “*make greater use of digital methods such as*  
41 *Building Information Modeling (BIM) in all phases of the project process*” [1]. With the introduction  
42 of a staged implementation plan for digitally-mediated planning and construction (the “Stufenplan  
43 Digitales Planen und Bauen”) the German Federal Ministry of Transport and Digital Infrastructure has  
44 committed itself to the digitalization of projects within its area of responsibility in three successive  
45 steps:

- 46 - Set-up phase (2015 – 2017)
- 47 - Extended pilot phase (2017 – 2020)
- 48 - Broad implementation for all new projects (starting in 2020)

49 According to [2], “*Design and coordination with 2D CAD systems is error-prone, labor intensive and*  
50 *relies on long cycle – times. BIM addresses these problems [...]. The benefits of BIM for subcontractors*  
51 *and fabricators include: ....] reduced cycle-times for detailed design and production; elimination of*  
52 *almost all design coordination errors; lower engineering and detailing costs [...].” Bryde et al. [3]  
53 compares 35 projects and identifies various criteria that aim to demonstrate the influence of BIM on the  
54 course of the project. The study revealed that the use of BIM was of particular benefit for the criteria of  
55 project costs and positively influenced the criteria of time, communication, coordination, and quality.  
56 The influence of the BIM methodology on project costs and project duration is the focus of various  
57 studies [4–6]. Each compare different projects with one another and find that in all the examined projects,  
58 the BIM methodology has a positive influence on project costs and project duration. As they employ  
59 different methods, the results are not directly comparable. Berg [7] considers five Norwegian projects  
60 and identifies the reasons why the actual construction deviated from the original plans, and how this  
61 impacted on construction costs. The results showed that the rate of contract deviations due to incorrect  
62 or insufficient basic information ranged from 2 to 26% depending on the project. In 23 to 48% of the  
63 cases evaluated, planning errors were identified as the reason for contract deviations during construction.  
64 The projects that employed model-based planning tended to cause fewer necessary deviations from the  
65 contract: the impact of subsequent amendments on the construction costs lay between 4 and 10% of the  
66 original cost estimate for model-based planning compared with approx. 19% for conventional planning.  
67 While these values are of limited general validity due to the small number of projects compared, they  
68 do provide a good indication of the benefits of BIM for construction projects – a finding that is also  
69 borne out by other publications in the field.*

70 One of the most important clients driving adoption of the BIM methodology for infrastructure projects  
71 in the German construction sector is currently Deutsche Bahn AG. “*No other client in Germany invests*  
72 *as much in infrastructure projects and their operation than Deutsche Bahn AG*” [8]. German railways  
73 has defined a comprehensive BIM implementation strategy covering both, stations as well as

74 infrastructure network. In this context, a set of 13 pilot projects have been conducted and scientifically  
75 analyzed. In 2022, all construction projects of German Railways are supposed to be executed as BIM  
76 projects. This involves the implementation of the procedures defined in ISO 19650 [9].

## 77 **2 Current best practice in quality assurance**

78 ISO 19650 demands the implementation of quality checks when project data is transitioning from one  
79 status to the other, i.e. from Work in progress to Shared or from Shared to Published. As part of the  
80 current best practice of BIM project execution, basic model quality checking is implemented already  
81 today, mainly in the context of model coordination and data handover to the client. However, the tests  
82 applied are limited to basic clash detection and simple checks for the provision of the attributes  
83 demanded by the client. Executing only these basic checks does not exploit the full potential of  
84 automated quality assurance that becomes available when using comprehensive geometric-semantic  
85 models of infrastructure assets. For example, there is currently no best practice for implementing high-  
86 level consistency checks of 4D (geometry + time) and 5D (geometry + time + costs) models. The lack  
87 of quality checking can result in severe errors with significant impact on project costs and project  
88 duration. This paper addresses this issue by providing an in-depth analysis of quality analysis in railway  
89 BIM projects. It presents comprehensive methods with which 3D, 4D, and 5D models can be  
90 systematically examined for possible errors.

91

92 The quality assurance mechanisms currently used for BIM infrastructure projects are generally limited  
93 to attribute checks and clash-detection. During clash detection, the model is often checked against itself,  
94 which can lead to the detection of numerous but insignificant clashes that are not the product of planning  
95 errors but can be attributed to inaccuracies in the respective software for infrastructure planning on the  
96 German market, which sometimes fail to automatically output entirely clash-free objects.

97

98 The fact that the BIM model created is not computer-tested completely represents a break in the digital  
99 chain of infrastructure planning. Errors in 3D models inevitably lead to errors in scheduling  
100 (construction sequence) and in the calculation of quantities and costs. The overall objective of  
101 *“increasing planning accuracy and cost reliability”*, as outlined in the staged implementation plan,  
102 implies a need to improve the quality of planning processes. *“High quality results and efficient workflow  
103 in the construction phase can only be achieved if the data basis is accurate”* [10]. To achieve this, a  
104 quality assurance system tailored to model-based working processes is necessary.

105 Various software products are already available on the market to carry out corresponding quality checks.  
106 Examples are Navisworks Manage, Solibri Model Checker and Desite MD Pro [11–13].

### 3 Current state of research

“Project performance control can be defined as the identification of deviations between the desired and the actual performance of a project.” [14] or model. Navon states that “a comparison between the desired and the actual performances is the beginning of the control procedure”. The fact that an efficient, information-rich model-based working method requires standardized input data is, however, hard to reconcile with the often intuitive and rather unstructured planning process in early planning phases [15]. To exploit the potential of model-based working methods, it is therefore necessary to develop automated, standardized procedures for quality control.

Various approaches to digitally evaluating the quality of planning and models have been discussed in current research. Solihin and Eastman classify the possible quality criteria as follows [16]:

- Checks for well-formedness of a building model, i.e. the syntactic properties of the digital model
- Building regulatory code checking
- Specific client requirements
- Constructability and other contractor requirements
- Safety and other rules with possible programmed corrective actions
- Warrantee approvals
- BIM data completeness for handover to the facilities management

“The building industry uses numerous engineering standards, building codes, specifications, and regulations (henceforth, all are referred to as “regulations” for the purposes of brevity), and a diverse set of industry vocabularies to describe, assess, and deliver constructed facilities. These building regulations are available as hardcopy and searchable digital documents. Some building design software applications (e.g., building-energy analysis and fire-egress assessment) are available that include computer-interpretable representations of the logic and rules from relevant building regulations” [17]. A significant amount of research work has focused on verification of the 3D model with regard to compliance with standards and guidelines. Methods such as ‘Automated Code Checking’ or ‘Code Compliance Checking’ “allow speedier, dematerialized and more transparent review processes” [18]. An overview of work conducted in this area is outlined in [19,20]. Charles gives an overview of research dealing with code compliance checking and presents an approach on how to check for rule conformity using RDF [21]. The research presented by Getuli et al. uses code compliance checking in the context of health and safety on the construction site [22]. Preidel et al. [23] use a visual programming language to check for code compliance.

An important method for checking the constructability of objects is clash detection. “The goal of collision detection (also known as interference detection or contact determination) is to automatically report a geometric contact when it is about to occur or has actually occurred” [24]. “Collision detection between rigid, and/or soft bodies is important for many fields of computer science, e.g. for physically-based simulations, medical applications [...]” [25] and also for civil engineering. Schauer and Nüchter illustrate different clash detection procedures by means of two point clouds using the example of a railway wagon in a section of a tunnel [26]. Staub-French [27] describes the added value of 3D and 4D modeling and possible clash detection, but also points out that clashes cannot yet be detected

148 automatically over the course of the construction project on site. Mawlana et al. [28] developed a method  
 149 in conjunction with the planning of large motorway junctions with several flyovers for generating  
 150 optimal construction sequences and avoiding clashes over the course of time.

151 The research conducted by Leite [29] and Zhang et al. [30] examines the model-based verification of  
 152 safety risks on construction sites.

153 By integrating a so-called “POP quality model” and a 4D model, Chen and Huo [31] demonstrated that  
 154 the processes and quality parameters of a construction site can be represented in an integrated model-  
 155 based system. Alongside scheduling deadlines, the quality parameters also included the manufacturing  
 156 tolerances of the respective structures.

157 The literature review shows that various approaches and systems for checking BIM models have been  
 158 addressed in research, although in most cases they focus on individual aspects of building models. While  
 159 they provide a basis from which to derive individual quality criteria, *“Quality criteria are difficult to  
 160 use [...], if they become too abstract. A typical approach to rectify this issue is to disaggregate the  
 161 complex criteria into a series of more understandable criteria of lower conceptual difficulty. A problem  
 162 arises when a compact list of abstract or dense criteria is replaced by a long list of simpler ones, which  
 163 in many cases can make them impractical and time-consuming”* [32]. Johansson et al. [33] recommend  
 164 using model qualities with the help of the scoring system detailed in [32]. Here too, however, the  
 165 research only pertains to the checking and evaluation of the CAD model structure.

166 In summary, a review of research shows that scant research work has been undertaken on model-based  
 167 quality assurance in infrastructure planning. For the most part, current research activities have focused  
 168 on individual rule classes and are not integrated into an overall quality assurance concept.

#### 169 **4 Quality assurance concept**

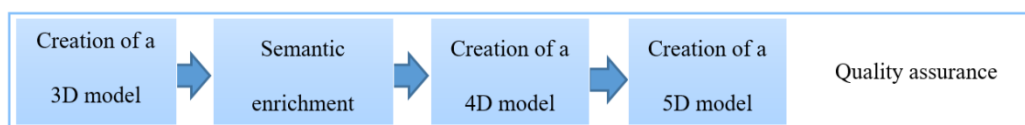
170 While quality assurance mechanisms in the field of infrastructure planning are currently only applied  
 171 on a random basis and several quality criteria are needed, which can be impractical and time-consuming  
 172 compared to Company et al. [32], the model-based quality assurance concept proposed here is designed  
 173 to be efficiently applicable and to use recurring rule types. Solihin and Eastman [16] categorize the rule  
 174 types as follows:

- 175 (1) Rules that require a single or small number of explicit data
- 176 (2) Rules that require simple derived attribute values
- 177 (3) Rules that require extended data structure
- 178 (4) Rules that require a “proof of solution”

179 In this paper, rule types 1 and 2 are considered in more detail.

180 The individual work steps involved in model-based infrastructure planning are shown schematically in  
 181

182 **Figure 1.**



183

184 **Figure 1: Procedure of model creation from 3D to 5D**

185

186 The concept assumes that errors can occur in each of these steps. As such, it is necessary to have  
187 appropriate test routines in place in order to detect any errors that occur as part of quality assurance.  
188 Quality assurance checking can take place during the individual planning phases.

189

190 Eastman et al. [19] divide the inspection process into four phases, which have been adopted analogously  
191 in the present concept.

- 192 (1) Rule interpretation and its logical representation
- 193 (2) Building model preparation
- 194 (3) Rule execution
- 195 (4) Rule check reporting

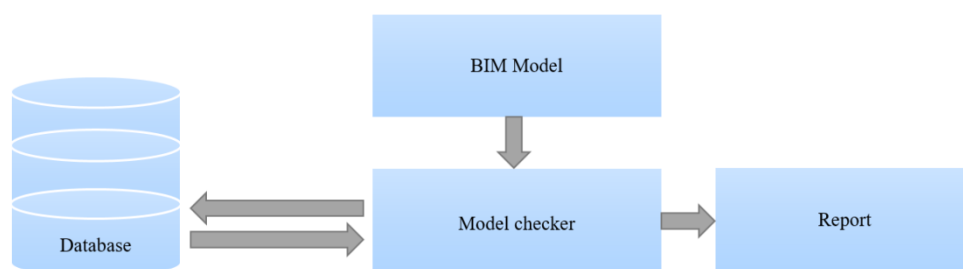
196 Garrett et al. [17] define three steps for developing computable representation of regulations which are  
197 taken into account while creating the presented quality assurance concept. These principles are:

- 198 (1) *Developing a simple understandable representation syntax for building-regulation writers and*  
199 *software developers*
- 200 (2) *Providing computerized support to enable regulation organizations to easily develop, test, and*  
201 *maintain these regulation representations*
- 202 (3) *Testing the sufficiency and implementability of the digital representations*

203

204 “One of the key criteria [...] is to be independent of any specific model-checker software used to check  
205 regulation compliance of building information models” [17]. Following these principles an independent  
206 model checker serves as the basis for model-based quality assurance. A database is linked to the program  
207 system, which contains both the test rules and the test results. The system design is shown in **Figure 2**.

208



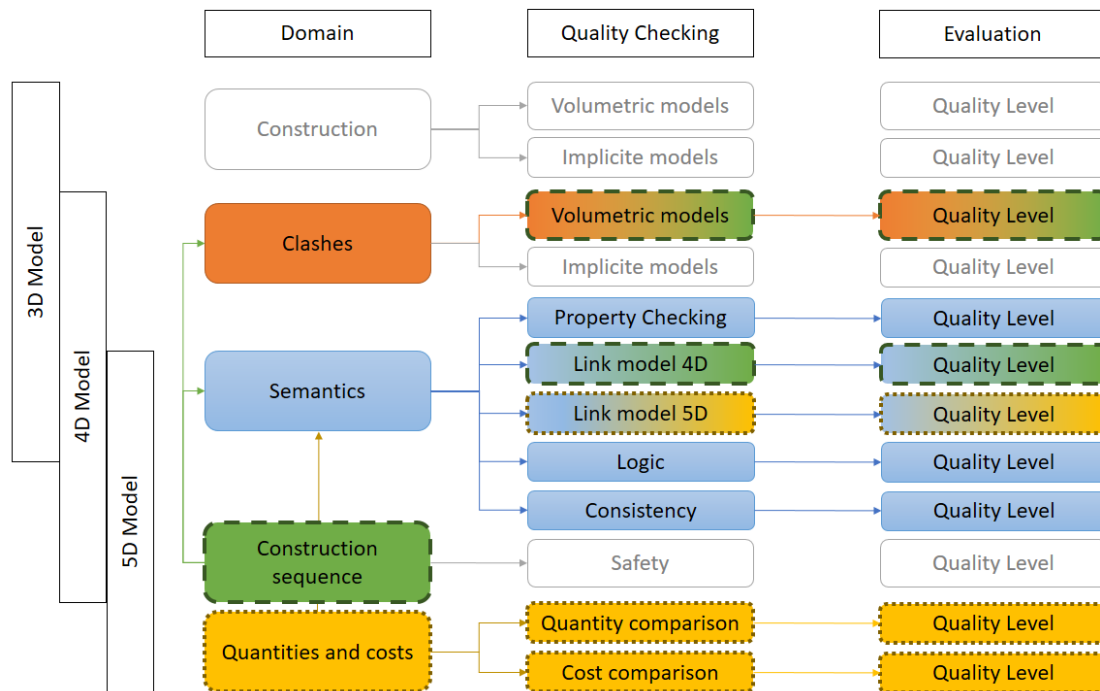
209

210 **Figure 2: Software configuration, an independent model checker is linked to a database which**  
211 **includes both checking rules and checking results.**

212

213 As part of literature research as shown in chapter 2 and 3, five domains have been identified for which  
214 various quality review mechanisms are assigned (see **Figure 3**). These are:

- 215 (1) Construction
- 216 (2) Clashes
- 217 (3) Semantics
- 218 (4) Construction sequence
- 219 (5) Quantities and costs

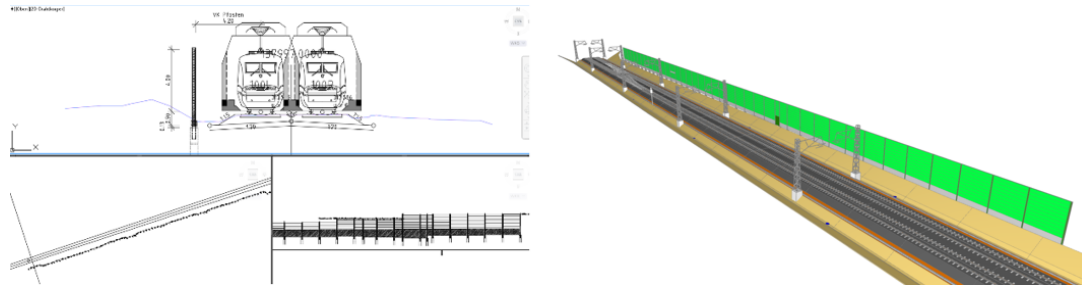


220  
 221 **Figure 3: Quality assurance concept, the concept focuses on five domains. Each has their specifics**  
 222 **aspects and needs for quality checks. To evaluate model quality, it is necessary to establish a**  
 223 **generally applicable evaluation scheme.**

224  
 225 Solihin et al. [34] is dealing with quality criteria of IFC exchanges and concludes that there is “*an urgent*  
 226 *need to define robust and rigorous test criteria, processes and tools.*” This conclusion is not only valid  
 227 for IFC exchanges but also for the quality for modeled infrastructure designs.

228 A 3D model must be considered in terms of its basic components of geometry and semantics. The  
 229 geometry in turn comprises that of the construction itself and the resulting clashes when several objects  
 230 are superimposed.

231 Most of the software products used for infrastructure planning offer a drawing-oriented view – split into  
 232 site plan, cross-section and elevation – although these are stored internally as a three-dimensional model  
 233 in the program. This type of model is referred to as implicit geometry description, since the parameters  
 234 for creating the objects are saved, not the volume objects and their coordinates. The volumetric models  
 235 are then generated from these parameters. As such, one should distinguish between volumetric 3D  
 236 models that are the result of planning and the implicit models (2.5D models) used at the time of planning  
 237 (see **Figure 4**). With implicit models, the governing design parameters become significantly more  
 238 accessible than with explicit models. An example is the objects and parameters defining alignment.  
 239 Accordingly, checking these parameters against codes and guidelines is more easily realizable with  
 240 implicit models.



243

244 **Figure 4: Comparison of implicit and volumetric 3D models, while implicit models (drawing-**  
 245 **oriented view) are used at the time of planning, explicit models are used in the context of BIM-**  
 246 **analysis**

247

248 The quality of the 4D model, which comprises the 3D model, semantics and a schedule, is influenced  
 249 by the “collisions” and “semantics” domains as well as by “construction process”. While the quality of  
 250 the 5D model is largely informed by the domains “semantics” and “quantities and costs”.

251 The “construction” domain as well as the examination of a 4D model for “safety” will be dealt with in  
 252 a subsequent step of the research project and will not be elaborated on in this paper. In the “semantics”,  
 253 “construction sequence” and “quantities and costs” domains only volumetric models are considered.

254 To evaluate the model quality, it is necessary to establish a generally applicable evaluation scheme. This  
 255 is referred to as Quality level.

256

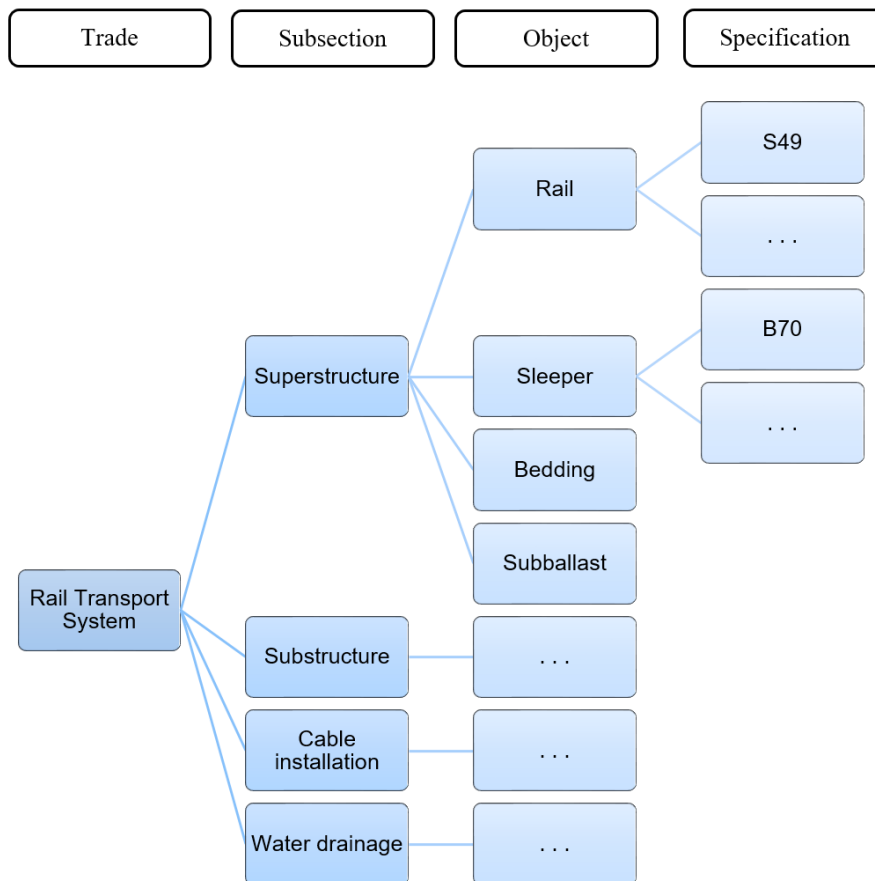
257 The following sections explain the checking methods for the individual domains in more detail. In all  
 258 the test methods, the aim is to minimize the work involved in preparing, carrying out and evaluating the  
 259 results in order to achieve a time- and resource-efficient working method.

#### 260 **4.1 Model and database structure**

261 Knowing the models’ structure is crucial for checking them. The concept presented here assumes that  
 262 the model structure corresponds to a representation of built structures established in practice. In this  
 263 example, it takes the form of the hierarchy shown for the “rail transport system” trade in **Figure 5** and  
 264 is described in detail by [35,36]. The trade is divided into different groups, each of which consist of  
 265 different objects. Each object can be identified by specification features. For example, there are various  
 266 rail shapes (e.g. S49, S54, UIC 60) which have an effect on the geometry of the object. This component  
 267 logic is represented relationally in the database used.

268





269  
270  
271

**Figure 5: Building structure – the example of a rail transport system**

## 272 4.2 Domain clashes

273 Clashes can occur in particular when merging different specialist models and thus plans from different  
274 specialist planners. There are several software products available, which offer good support for the  
275 automatic detection of such clashes. The BIM Center distinguishes between the following types of  
276 clashes [37], which are also considered in our concept.

- 277 - Hard Clash (HC)  $\triangleq$  two or more objects overlap each other
- 278 - Soft Clash (SC)  $\triangleq$  two or more objects come too close to each other, i.e. do not adhere to  
279 minimum distances between them
- 280 - 4D-Clash  $\triangleq$  Clash during construction time

281 The software products for infrastructure planning currently available on the market have only limited  
282 ability to correlate different geometries with one another, producing clashes that do not exist in reality.  
283 These program-related clash detection errors are flagged up by the model checker but do not actually  
284 correspond to planning errors. The following irrelevant clashes (IC) are known examples of modeling  
285 errors:

- 286 - sleeper and ballast
- 287 - subgrade and manhole
- 288 - subgrade and mast of catenary or signal post

289 **4.2.1 3D clash detection**

290 Irrelevant clashes – produced by software and not by users – are often hard to avoid. But systematic  
 291 clash detection errors can be avoided by explicitly specifying the objects that need to be checked for  
 292 clashes. However, this entails both more preparatory work before undertaking the check and also  
 293 introduces the risk of forgetting to include all the necessary checks. This approach is also not terribly  
 294 efficient, since the process has to be repeated for each model.

295 The more common method of testing the model completely against itself for collisions is fairly quick,  
 296 as no significant preparation is necessary, but requires a means of reducing the effort of evaluating the  
 297 large number of clashes resulting from program-related modeling errors to a minimum. This can be  
 298 partially automated with the help of a clash matrix that indicates the significance of collisions (see **Table**  
 299 **1**).

300

301 **Table 1: Example of a clash matrix, HC – Hard Clash, IC – Irrelevant Clash**

Object	Rail	Sleeper	Bedding
Rail	HC	HC	HC
Sleeper	HC	HC	IC
Bedding	HC	IC	

302

303 The clash matrix comes into play once clash detection has been undertaken using the model checker.  
 304 Objects that clash are then checked against the matrix for permissible clashes and classified where  
 305 appropriate as irrelevant. This therefore reduces the evaluation work necessary in the post-processing.  
 306 An example is shown in Section 4.2.3.

307 The fact that the clash matrix can be updated and used across models also means this method is more  
 308 efficient and sustainable. If necessary, it is also possible to define irrelevant clashes at group or  
 309 specification level or across levels. The evaluation result is written to the results database, and the results  
 310 can also be imported back into the model checker’s clash detection.

311 **4.2.2 4D clash detection**

312 With 3D clash detection, a model can only be checked at a fixed point in time. However, it is also  
 313 relevant to consider the construction sequence in clash detection. This is particularly important for  
 314 objects that do not exist at the beginning or at the end of the project phase, for example temporary  
 315 constructions such as supporting scaffolds or shoring systems. In the literature, there is little evidence  
 316 of approaches to this aspect.

317 For this, it is necessary to identify the status of individual objects of the 3D model over the course of  
 318 the construction process. The following categories are relevant here (see **Table 2**):

319 **Table 2: Categories during construction process**

Category	Description
Existing	Objects that already exist at the beginning of the process
Deconstruction	Existing objects that will be dismantled and removed over time
New Construction	Objects that will be constructed over time
Temporary Construction	Objects that are erected at a time x and dismantled at a time y

320

321 To detect potential clashes, the various processes of the schedule are mapped as static clash detection  
322 instances. For each of the above categories, the relevant test sets of the project (the so-called “left” and  
323 “right” test sets) must be determined. An example illustrating the principle is shown in Section 4.2.3.

324 Logic dictates that at the start of construction ( $t=0$ ), all existing objects are available. These are assigned  
325 to the left test set. Time  $t=1$  marks the point after which the first work measures take place: for example  
326 some existing objects may have been deconstructed and removed or alternatively some new objects  
327 have been erected. If existing objects are deconstructed and removed, they should be removed from the  
328 left test set, since they cannot cause a clash. If new objects are created, they should be added to the right  
329 test set. Clash detection analysis can then be performed after both test sets have been created. For the  
330 next clash detection analysis at time  $t=2$  after the next work measures, the objects from the right test set  
331 (newly created) are assigned to the left test set and the right test set is changed according to the work  
332 done, and so on.

333 As the objects that exist temporarily during the construction period can only be sensibly assigned to one  
334 category, they should be represented as “new during construction” and “removed during construction”  
335 and the point at which the change takes place recorded in the schedule. The assumption is that time  
336 objects in the schedule also contain details about the changes made to an object, so that these can be  
337 evaluated during clash detection. Where objects belong to “new during construction” at a defined point  
338 in the schedule, they are assigned to the right test set while those belonging to “removed during  
339 construction” removed from the left test set.

340 The clash detection analysis, its evaluation and the recording of the results in the database is carried out  
341 as described in Section 4.2.1.

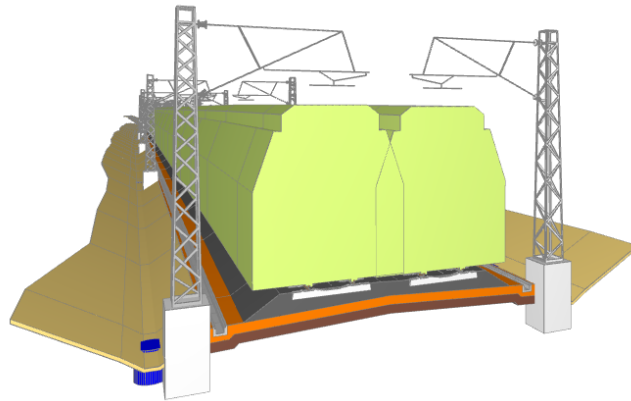
342 Since with this method, the dynamic process of the construction site is reduced to individual points in  
343 time, the situation can arise where two processes take place simultaneously but are checked one after  
344 the other. This can lead to problems, in particular when an object that is deconstructed and removed in  
345 one of the two processes clashes with the objects from the second process. In certain circumstances,  
346 these clashes may not be recognized correctly. In such cases, the granularity of the 3D objects, processes  
347 and schedule times has a key impact on the result.

#### 348 **4.2.3 Case examples – Clash detection**

349 The 3D models shown in the case studies were created with ProVI [38], and the 4D and 5D models as  
350 well as the test mechanisms were realized with Desite MD Pro [13].

351  
352 The case of 3D clashes is demonstrated on the example of sleepers that have been bedded in ballast for  
353 better track stability (see **Figure 6**).

354

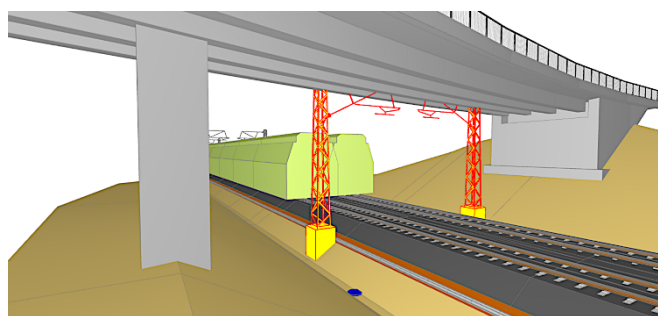


355  
 356 **Figure 6: Irrelevant clash of sleeper and bedding caused by superimposition, clash due to missing**  
 357 **recess in bedding**

358  
 359 The planning software used generates the volume object for the bedding independently of the sleepers.  
 360 As a result, clash detection analysis flags clashes at every point where the bedding and sleeper objects  
 361 overlap. These are merely irrelevant clash detection errors and not actual project clashes. If one assumes  
 362 a normed sleeper spacing of 0.6 m, this results in at least 1,667 clashes per kilometer of track that will  
 363 require manual evaluation.

364  
 365 **Figure 7** shows an example of how a hard clash and 4D clash can differ for a situation in which a road  
 366 bridge is to be built over a railway line at a point where a mast for overhead lines stands. A time-  
 367 independent clash detection analysis of the 3D model flags a clash. However, once the temporal  
 368 development of the construction schedule is considered, a clash situation (4D clash) will only occur if  
 369 the mast still stands at that position at the moment in time when the road bridge is built.

370  
 371



372  
 373 **Figure 7: An existing mast structure and a new road bridge: a hard clash or a 4D clash?**

374

### 375 **4.3 Domain semantics**

376 The following checks outline means of verifying the coherence and correctness of the semantic model.  
377 Various test routines are required which examine the semantics from different perspectives.

#### 378 **4.3.1 Attribute testing as per project specifications**

379 The definition of attributes that a model may contain is a vital part of working successfully with models.  
380 They can range from relevant project information to the geometric properties of the objects, the  
381 materials used or operation-relevant data. Alongside the collision-free 3D and 4D models, these  
382 coordinated results represent a further quality parameter. In the field of building construction,  
383 standardized specifications already exist in the form of OmniClass [39], UniClass [40] or the  
384 buildingSMART Data Dictionary [41]. Corresponding software extensions for modeling software make  
385 it relatively easy to employ this data. Böger et al. [42] demonstrate how the buildingSMART Data  
386 Dictionary can be accessed right from the model creation phase with the help of a specially developed  
387 plug-in for the modeling software Autodesk Revit [43]. Although this method is not in itself a quality  
388 checking system, it contributes to limiting possible sources of error already at the model creation stage.  
389 The existing classification instruments are, however, at present of little applicability for infrastructure  
390 planning. In Germany, several industry representatives have meanwhile joined forces to develop a  
391 similar standard within the framework of buildingSMART for the infrastructure construction sector in  
392 Germany. Up to now, each contractor in the Deutsche Bahn AG's pilot projects has drawn up its own  
393 definition. And, as mentioned earlier, the verification of the models is rarely automated.  
394 Although the various quality assurance tools offer corresponding test routines, they are not easily  
395 integrated into the concept described here. For this reason, an independent algorithm was developed  
396 that checks the attribute definition in the 3D model. The use of an open data schema such as mvdXML  
397 is also conceivable but has not been implemented here.

398 All objects are checked against the specifications according to the project specifications. During the  
399 check, the following status messages are returned with details of the object and attribute:

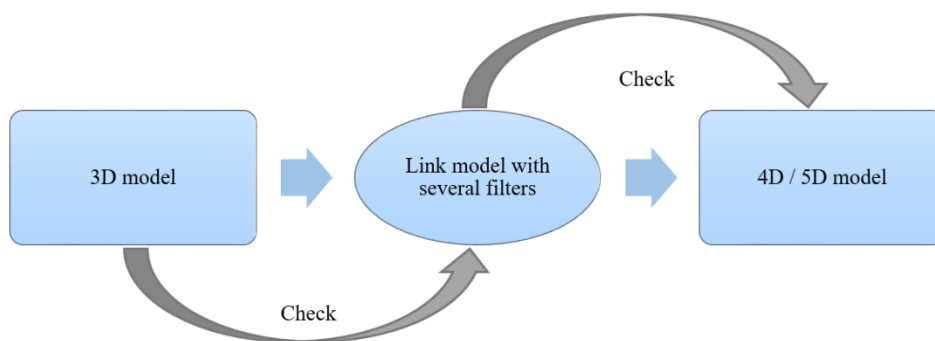
- 400 0 The object exists with the required attribute (value)
- 401 1 The 3D object found is not present in the project specifications
- 402 2 The required attribute is not defined in the 3D object
- 403 3 The attribute value of the 3D object does not correspond to the specified value range

404 In order to check value lists, a corresponding check rule must be formulated for each object. The  
405 functionality is explained in Section 4.3.2.

#### 406 **4.3.2 Checking link models**

407 Technical project management encompasses construction design, scheduling, and cost planning. In  
408 conventional project management, these aspects are stored in independent documents (e.g. 2D plan and  
409 schedule) and require manual, cognitive input by the project manager to link them. The model-based  
410 working method presents a major advantage in that this information is linked digitally and logically  
411 through the 3D model via properties to the corresponding information in the time schedule (4D) and the  
412 quantity and cost calculation (5D). The linking of different information sources or specialist models is  
413 also referred to as a multi-model. *“The basic idea of the multi-model is to combine selected specialist*

414 *models from planning and project management in a single information resource and to map their*  
 415 *dependencies through additional explicit link models” [44]. Studies at Stanford University “have shown*  
 416 *that more project stakeholders can understand a construction schedule more quickly and completely*  
 417 *with 4D visualizations than with the traditional construction management tools” [45]. The uses and*  
 418 *benefits of 4D and 5D models are discussed by Fischer et al. [45,46].*  
 419 *The method of linking different sources of information is also called nD modeling and “is not limited*  
 420 *to the domains represented in the building model. It concerns instead a concept for the integrated use*  
 421 *of technical and building data. The aim is to create a multidimensional computer model to support the*  
 422 *entire planning and construction process” [47]. These digital relationships are created with the help of*  
 423 *link models. The 3D objects are filtered via their properties and linked to the processes of the 4D model*  
 424 *or cost positions of the 5D model. “When filtering, a subset of the data is formed that corresponds to a*  
 425 *predefined criterion, e.g. all processes in the month of May, all walls higher than 3 m or all specified*  
 426 *services for concrete work. The criterion can be as complex as required, the only proviso being that*  
 427 *they are calculable so that they can be executed automatically” [47]. **Figure 8** shows the linking logic*  
 428 *of the models and the necessary checks.*  
 429



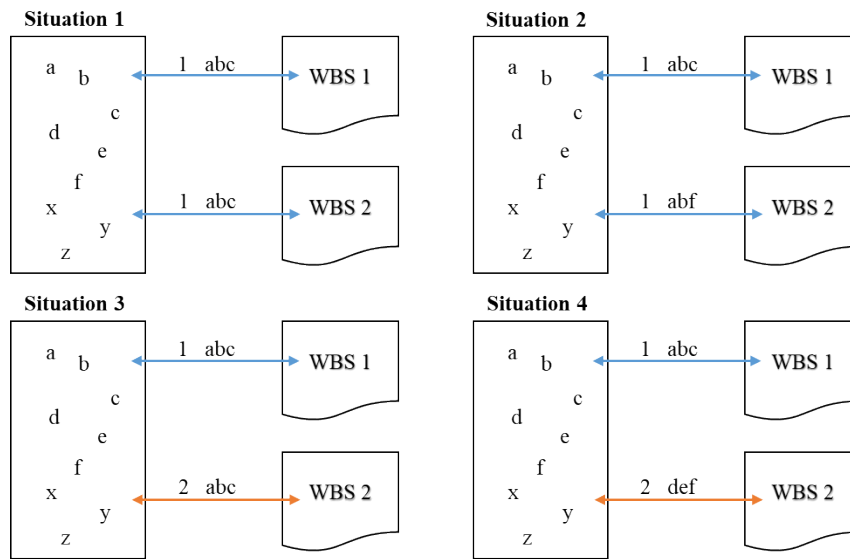
430  
 431 **Figure 8: Linking logic of models. The correctness and completeness of these links should be**  
 432 **considered as quality parameters. A model will only be of a high quality when the filters in a link**  
 433 **model are correct and complete.**

434  
 435 Since the interlinking of the various model objects is elementary for the BIM methodology, the  
 436 correctness and completeness of these links should be considered as quality parameters. A model will  
 437 only be of a high quality when the filters in a link model are correct and complete.

438 In the following, the procedure in principle is explained, although the process is identical for 4D and  
 439 5D models. In principle, the following situations must be distinguished in the evaluation and assessment  
 440 (see also **Figure 9**):

- 441 (1) The filter of the link model is used several times with identical attribute values
- 442 (2) The filter of the link model is used several times with different attribute values
- 443 (3) There are several filters with identical attribute values
- 444 (4) There are several filters with different attribute values

445



446

447 **Figure 9: The different distinct filtering situations: the blue line describes link rule 1, orange**  
 448 **line describes link rule 2 and letters a-z describe attribute values. A link rule can be used several**  
 449 **times with the same or different values (situation 1 and 2). It is also possible to use different**  
 450 **rules with same values or different values (situation 3 and 4).**

451

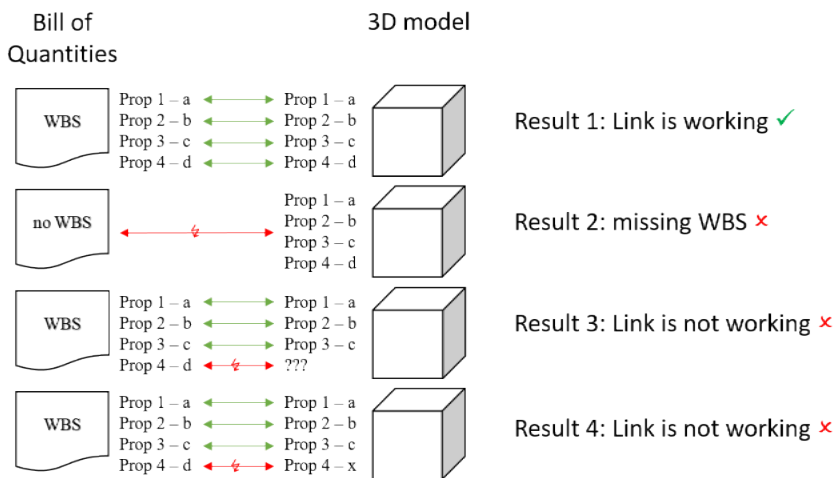
452 In situation 1, the check rule is created only once, avoiding the need for multiple and identical check  
 453 runs. In situations 2 to 4, several check rules must be created, since these are formally several filters  
 454 that differ in at least one criterion. Corresponding case examples are presented in Section 4.3.3.

455 As with attribute checking, all objects are checked according to the project specifications (Section 4.3.1)  
 456 against the same status messages. To filter the objects to be checked, all suitable checking rules that  
 457 match a given criterion, e.g. object type, are determined and the check is carried out. In situation 2, this  
 458 can mean that some objects within a filter may evaluate both positively and negatively. To correct this,  
 459 a post-processing routine is necessary that checks whether objects in the negative check results also  
 460 evaluated positively with the same filter number in the same attribute, and in such cases removes the  
 461 object from the negative result set to ensure that the check results are evaluated correctly.

462 In addition, it can also be useful to create the same formal filter with the same properties but different  
 463 filter numbers (situation 3), even if the same object types are queried. In this situation, the post-  
 464 processing routine described above is not sufficient as it only searches for positive results with the same  
 465 filter number. A second post-processing routine therefore queries the model structure described in  
 466 Section 4.1 and checks whether the attribute value is a valid alternative value at the various levels. If it  
 467 is found to be a valid alternative, the apparent error check is corrected.

468 After the above checks, what remains is the set of negative results. From these those objects that are not  
 469 addressed by a filter in the link model can be identified. In addition to recognizing non-functioning  
 470 links, it can also be an important indicator of missing processes in the scheduling plan or missing service  
 471 items in the bill of quantities. An overview of the possible results situations is shown in **Figure 10**. On  
 472 the left, the service items are shown with the link criteria (prop 1 to 4) and the corresponding property

473 values (a to d). The right-hand side shows the objects of the 3D model with the respective attributes and  
 474 their values.  
 475



476  
 477 **Figure 10: Overview of the results situations. On the left, the service items are shown with the link**  
 478 **criteria (prop 1 to 4) and the corresponding property values (a to d). The right-hand side shows**  
 479 **the objects of the 3D model with the respective attributes and their values. The green line (without**  
 480 **flash) describes working links, red line (with flash) describes non-working links or links without**  
 481 **a service item.**

482  
 483 By the same token, in addition to checking the correctness of the link model against the 3D model, it  
 484 also makes sense to check the filters against the process descriptions or service items. If, for example,  
 485 object A (e.g. rail) is filtered from a 3D model, but object B (e.g. overhead line mast) is described in the  
 486 respective linked position of the 4D or 5D model, a technical error occurs because the 3D object and  
 487 the process or service position do not correspond. Although the filter finds the corresponding 3D objects,  
 488 their content does not pertain to the linked position. The system checks per item or process whether the  
 489 attribute values of the linking rules appear in the long texts or process descriptions. Because the system  
 490 undertakes an exact string match of the attribute values against the descriptions, the terms contained in  
 491 the descriptions must be written identically. If synonyms are used or the term itself is not used at all in  
 492 the text, the check will flag an error. Synonyms can be identified with the help of a post-processing  
 493 routine to reduce the degree of subsequent manual evaluation. For this, a corresponding synonym  
 494 dictionary was created in the connected database that can be extended as required.

### 495 4.3.3 Case examples – Link models

496 The procedure explained below uses the example of a bill of quantity, but the process is identical for  
 497 filter to the construction schedule.

498 To check the several filters in a link model, the linking criteria are first read and stored in a table. In  
 499 certain cases, the same filter can be used for several service items (situation 2), usually when similar  
 500 object types are specified in different service items. One example might be the posts of a noise barrier:  
 501 depending on the structural calculations, different post profiles may be used for a noise barrier, e.g. HE-  
 502 B 180 and HE-B 200 wide flange profiles. While these must be listed separately in the bill of quantities,



503 their semantic logic follows the same structure with the exception of the property value for the profile  
504 series.

505 An example for situation 3 might apply when the posts of the noise barrier have different foundation  
506 forms: for example, deep foundations could be driven or bored piles. To clearly delimit the service items,  
507 each is given its own independent filter. However, when searching for suitable objects only one criterion  
508 (here object type) is used for comparison in the course of property checking. Since both bored and  
509 driven-pipe piles match the “Foundation” object type, a driven-pipe pile will also be selected for  
510 checking when comparing against the rules for bored piles.

511 A case example for checking a filter to the 5D model can be illustrated by the following: The Deutsche  
512 Bahn AG provides model bill of quantities and prescribes their use when submitting tenders. For the  
513 practical tests carried out as part of the project, the model bill of quantities for noise barriers are  
514 examined. Based on the model structure shown in Figure 5, the various levels of connection logic were  
515 taken into account. Each filter begins with the object group (here “noise barrier”), followed by the object  
516 type and then a list of various specifications. While the Deutsche Bahn AG stipulates the use of their  
517 model service specifications as the standard for invitations to tender, checking the terminology used  
518 reveals non-standard inconsistencies in the wording of the service descriptions. Noise protection barriers  
519 are also referred to as sound insulation barriers and some long texts also explicitly state that these are  
520 descriptions of noise barriers, while others do not. When synonyms are used or even when terms are not  
521 used at all, the check will flag these up as errors, which increases the effort required for subsequent  
522 manual evaluation of the test results.

#### 523 **4.3.4 Logic checks for geometric properties**

524 In addition to evaluating the coherence and consistency of semantics in the form of text values, it is also  
525 necessary to check the geometric properties of the 3D objects and derive corresponding quality  
526 parameters. To this end, various logic checks for geometric properties have been developed, which are  
527 stored in the database and can be extended or changed.

528 In some circumstances, it can happen that the export or import of 3D models via exchange format  
529 interfaces does not function perfectly. Typical problems are gaps between objects, surfaces that are not  
530 closed or vectors with incorrect orientations. This usually becomes evident when trying to evaluate the  
531 objects in the analysis software and often results in the geometric properties, especially the volume,  
532 being set to zero. With the help of the first logic check, all objects can be automatically checked for  
533 properties equal to zero. This quality check makes it possible to identify faulty objects and avoid  
534 incorrect evaluations.

535 The various authoring programs often also store the object’s geometric properties, such as volume,  
536 length, width, height, etc., as an attribute of the object. Analysis and evaluation software can  
537 independently determine these properties using their own calculation methods to verify the details and  
538 compare authoring and evaluation software. The second logic check therefore compares the individual  
539 geometric properties of both calculation sources with globally valid rules for each 3D object and saves  
540 the results in an object-specific manner. When comparing values such as height, length and width, the  
541 oriented bounding box is used because it describes the limits of the 3D object. The result is output as  
542 one of three possible statuses:

- 543       0    The comparison values agree
- 544       1    The comparison values differ from each other
- 545       –    There is no comparison value from the authoring software

546 The effect that the bounding box has on the comparison result is explained in a case example in Section  
547 4.3.5.

548

549 In addition to the two logic checks mentioned above, one must also verify that the dimensions given for  
550 a 3D object are also correctly modeled. In research, this principle is described as semantic-geometric  
551 coherence and is sometimes used in 3D city models in combination with CityGML. “*In the context of*  
552 *geodata, spatial-semantic consistency [...] describes the consistent relationship between spatial and*  
553 *semantic information*” [48]. Daum and Borrmann extend the method to IFC models using the query  
554 language QL4BIM [10]. In the concept presented here, it is possible to link the rules database with the  
555 model structure and to store specific geometric properties at object or specification level. The value of  
556 these checks lies in detecting differences in the data in the geometric and semantic models. “*High quality*  
557 *results and efficient workflow in the construction phase can only be achieved if the data basis is*  
558 *accurate*” [10]. Correcting inconsistencies at this stage avoids errors further down the line, for example  
559 in the 5D modelling.

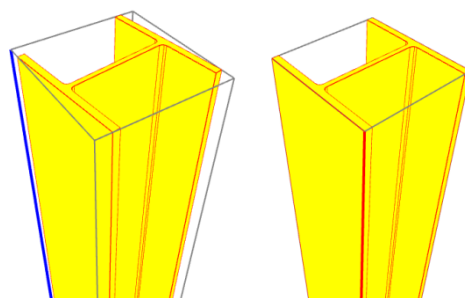
560

561 In the logic tests presented, a tolerance value in percent can also be specified in order to allow minor  
562 deviations between the comparison values. This tolerance value can also be used to map the different  
563 accuracy requirements within the individual work phases.

#### 564 4.3.5 Case examples – Logic checks

565 To test for semantic-geometric coherence, it is possible to store specific geometric properties at an  
566 object or specification level linked to the model structure described in chapter 4.1. The oriented  
567 bounding box is used to compare geometric data against the respective checking rules. This can be  
568 determined by the model checker to differing degrees of accuracy and indicates the maximum  
569 dimensions of a 3D object (see **Figure 11**). The resulting degree of accuracy has implications for both  
570 the measurement results as well as the quality inspection. In Desite MD, for example, calculation  
571 accuracy is specified as a numerical precision with a standard value of 0.01. This means that the  
572 bounding box in all three coordinate directions is determined precisely to the second decimal place.

573

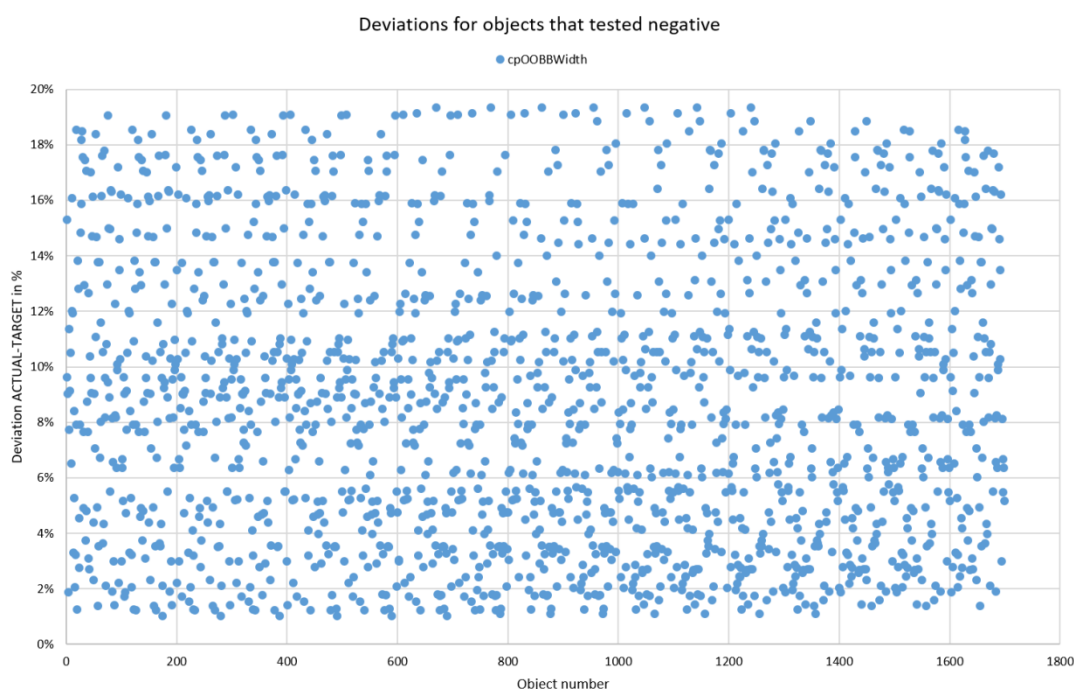


574  
575 **Figure 11: Bounding box (grey) with different degrees of accuracy: the left side is imprecise, the**  
576 **right side is precise.**

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To counteract any resulting inaccuracy, a tolerance value in percent can be stored in the database for the comparison test. For the tests performed on the wall and base elements of the aforementioned noise barrier, a permissible tolerance of 1.00% was set. The geometric property of the wall element and base thickness ( $d_{req} = 0.12$  m), which is defined by the bounding box as “cpOOBBWidth”, did not test positively to a numerical accuracy of 0.01 (bounding box calculation) and a permissible tolerance of 1.00% ( $n=1699$ ). The deviations determined ranged from 1.0 to 19.5%. The measurement results of these objects are shown in **Diagram 1**.

In this case, the numerical accuracy for calculating the bounding box must be set to at least 0.001 in order to pass the control test with a tolerance of 1.00 %. Otherwise, the measurement results cannot be used as a quality criterion because system inaccuracies impact negatively on the apparent quality of the model.

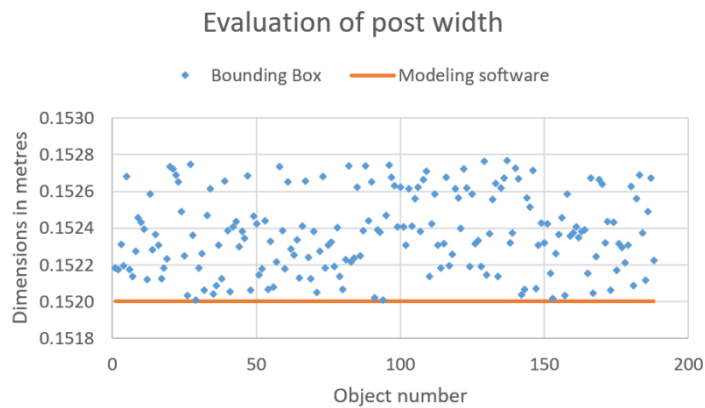


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**Diagram 1: Percentage deviation of the “thickness” of wall and base elements that tested negative. The geometric property of the wall element and base thickness ( $d_{req} = 0.12$  m), which is defined by the bounding box as “cpOOBBWidth”, did not test positively to a numerical accuracy of 0.01 (bounding box calculation) and a permissible tolerance of 1.00% ( $n=1699$ ). The deviations determined ranged from 1.0 to 19.5%.**

It is also possible to carry out the corresponding tests at the specification level. Using the example once more of the noise barrier posts, the different profile series are characterized by different dimensions. For example, a post with a HE-A 160 profile has the dimensions  $h \times w = 0.160 \times 0.152$  m, whereas a HE-A 180 profile has the dimensions  $h \times w = 0.180 \times 0.171$  m. These rules can also be checked automatically.

602 **Diagram 2** shows the results for the geometric property “post width” ( $w = 0.152$  m), which were  
603 determined by the analysis software using a bounding box (numerical accuracy 0.01). Only standard  
604 posts were evaluated, since corner posts have irregular dimensions and cannot be tested by the logic.  
605 The deviation of the target from the actual dimensions (bounding box) lies between 0.0 and 0.5% for  
606 all the evaluated objects ( $n=188$ ).  
607

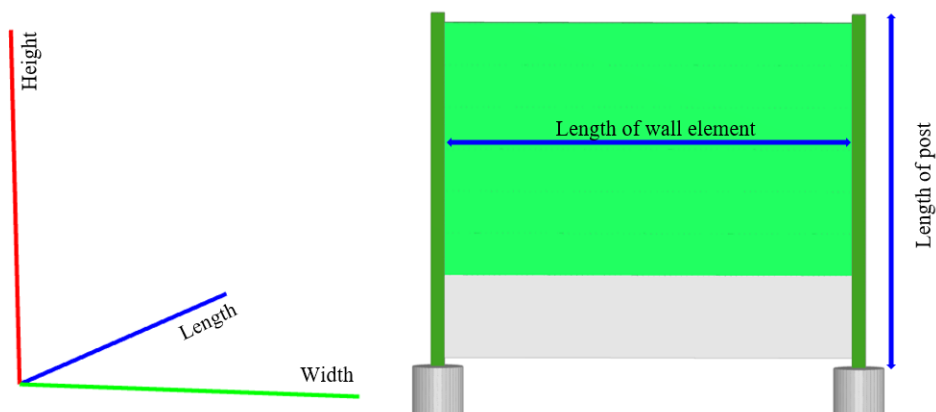


608  
609 **Diagram 2: Evaluation of the attribute “post width” for standard posts, shows the results for the**  
610 **geometric property “post width” ( $w = 0.152$  m), which were determined by the analysis software**  
611 **using a bounding box (numerical accuracy 0.01).**

612  
613 The properties for post height and width therefore tested positive with a permissible tolerance of 1.00%  
614 and a numerical accuracy of the bounding box of 0.01.

615 A key problem of this approach is the difference between global and object-oriented coordinate systems.  
616 While in the model checker, the three coordinate planes  $x$ ,  $y$ , and  $z$  describe the geometrical properties  
617 length, width and height in global terms, the coordinate system in the infrastructure software is object-  
618 oriented. When the geometric information in the 3D model is object-oriented (**Figure 12**, right) but the  
619 coordinate system used in the evaluation software is global (**Figure 12**, left), it is necessary to formulate  
620 several rules. For example, the condition height (global)  $\triangleq$  length (object-specific) applies to the post,  
621 whereas length (global)  $\triangleq$  length (object-specific) applies to the wall element. For the check, it is  
622 therefore advisable to formulate independent rules for the necessary combinations. This inevitably  
623 produces false checks, which can, however, be determined and filtered out with a post-processing  
624 routine.

625



626

627 **Figure 12: Difference between global and object-specific coordinate systems**

628

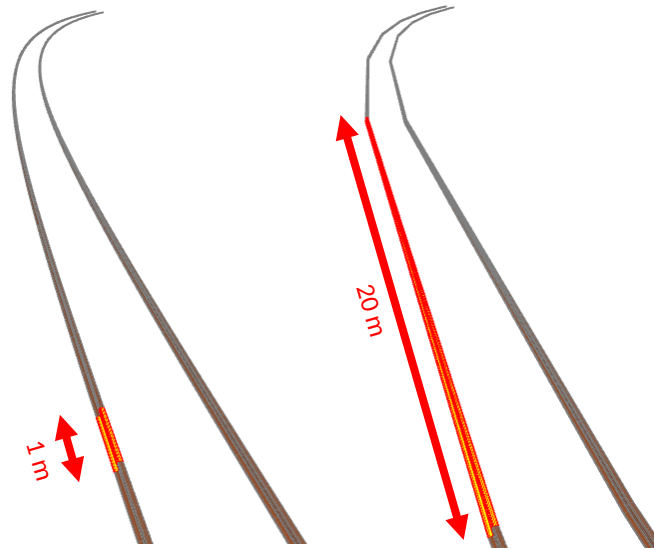
629 Alongside orthogonal objects, as shown here using the example of a noise barrier, infrastructure  
 630 constructions frequently have objects arranged along a three-dimensional curved path and that are  
 631 rotated around their coordinate axes, as is the case with railway tracks. To test the influence of alignment  
 632 parameters on three-dimensional object formation, test models were developed under standardized  
 633 boundary conditions and tested using the same mechanisms. The curved path is essentially the product  
 634 of the superimposition of axis and gradient. The axis is constructed as straight lines, circular arcs, and  
 635 transitional segments. The axis also defines the cant of the rails, which in the transitional segment leads  
 636 to a twisting of the objects around the longitudinal axis. The gradient in turn consist of straight pieces  
 637 and rounding arcs. The latter results in a bending of the objects around their transverse axis, but this  
 638 was not considered to simplify plausibility checking of the results. For the test models, a fictitious route  
 639 was designed. For its design, discretionary limits were used as discussed in [49] that in real-life layouts  
 640 are not permissible in such combinations but here make it possible to generate objects that are as twisted  
 641 as possible in order to verify the measurement method. The parameters of the alignment for the test case  
 642 are given in **Table 3**.

643

644 **Table 3: Test case parameters for testing the influence of alignment parameters on three-**  
 645 **dimensional object formation**

Axis parameter	Length of straight section	100 m
	Cant of straight section	0 mm
	Length of Bloss transition arc	105 m
	Length of circular arc	100 m
	Radius of circular arc	300 m
	Cant of circular arc	160 mm
Gradient parameter	Longitudinal gradient 1	0 ‰
	Longitudinal gradient 2	5 ‰
	Longitudinal gradient 3	10 ‰
	Longitudinal gradient 4	12,5 ‰
Calculation interval	Calculation interval 1	1 m
	Calculation interval 2	5 m
	Calculation interval 3	10 m
	Calculation interval 4	20 m

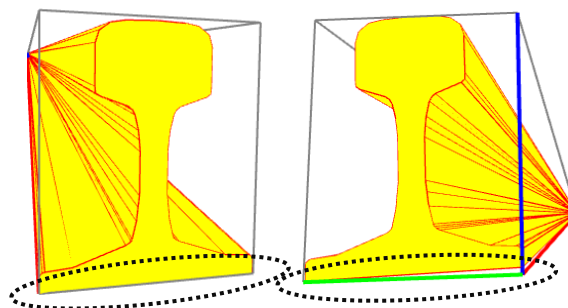
646  
 647 Object formation in infrastructure planning frequently involves the extrusion of a cross section, with  
 648 new cross sections calculated at regular intervals and connected linearly with each other. This step of  
 649 model creation therefore also influences the accuracy of the objects created. The effect of different  
 650 calculation intervals on the resulting 3D objects is shown in **Figure 13**. The figure shows the calculation  
 651 results for tracks with the same underlying alignment (axis and gradient with longitudinal gradient 1) at  
 652 different calculation intervals. While the rail tracks on the left side (calculation interval 1) follow the  
 653 real situation well (continuous course), also in the curved section, the rail tracks on the right side  
 654 (calculation interval 4) clearly deviate from the real situation.  
 655



656  
 657 **Figure 13: Calculation results of 3D objects at different intervals. The calculation results for**  
 658 **tracks with the same underlying alignment (axis and gradient with longitudinal gradient 1) at**  
 659 **different calculation intervals (1m and 20m) are shown. While the rail tracks on the left follow**  
 660 **the real situation well (continuous course), also in the curved section, the rail tracks on the right**  
 661 **clearly deviate from the real situation.**

662  
 663 The interaction between the calculation interval and the cant influences the calculation of the bounding  
 664 box. To illustrate this, **Figure 14** shows an example of a superelevated rail. While the rail has no cant  
 665 at the beginning of the section of track (left) and the bounding box perfectly matches the base of the  
 666 rail, the rail at the end (right) tilts due to its cant. The bounding box, however, follows the maximum  
 667 expansion of the 3D object and does not exactly fit the base of the rail profile. The situation is reversed  
 668 at the top of the rail. The bounding box is therefore higher than the rail profile itself for the section  
 669 where the rail is elevated.

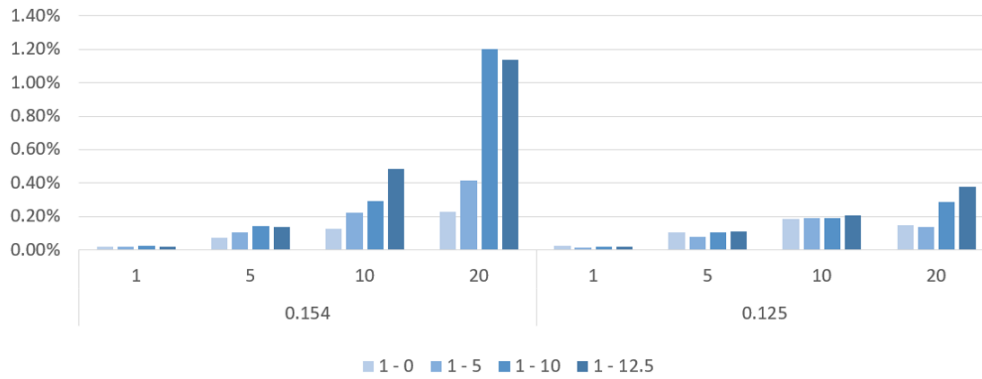
670



671  
 672 **Figure 14: Effect of the elevation on the bounding box. The bounding box follows the maximum**  
 673 **expansion of the 3D object and does not exactly fit the base of the rail profile in segments with**  
 674 **an elevation greater than 0.**

675  
 676 Taking into account the axis, the four gradients and four calculation intervals, 16 test cases result. The  
 677 rail height of a S54 rail ( $h = 0.154$  m) and the rail foot width ( $w = 0.125$  m) are evaluated. As per  
 678 description the accuracy of the bounding box has a decisive effect on the test, the numerical accuracy

679 was set to 0.0001 so that the fourth decimal place of the bounding box adapts exactly to the 3D object.  
 680 The two geometric properties were then evaluated and compared with the target values. The mean  
 681 deviation of the objects that flagged as negative in testing is shown in **Diagram 3** and ranges from  
 682 0.02% to 1.20%.  
 683



684  
 685 **Diagram 3: Average deviation of objects inspected with errors. The rail height of a S54 rail**  
 686 **(h = 0.154 m) and the rail foot width (w = 0.125 m) are evaluated. The mean deviation of the**  
 687 **objects that flagged as negative in testing ranges from 0.02% to 1.20% depend on the four**  
 688 **gradients (0 – 12.5 %) and four calculation intervals (1 – 20 m).**

689  
 690 The minimum and maximum deviations of the negatively tested objects per test case are shown in **Table**  
 691 **4**. As expected, the greatest deviations occur at a calculation interval of 20 m: in combination with a  
 692 high longitudinal inclination, the maximum deviation increases to 5.0%. This applies particularly to the  
 693 objects in the transition curve, where the twist is greatest.

694  
 695 **Table 4: Maximum and minimum deviation per test case. The greatest deviations occur at a**  
 696 **calculation interval of 20 m: in combination with a high longitudinal inclination, the maximum**  
 697 **deviation increases to 5.0%.**

Property [m]	Interval [m]	Longitudinal gradient							
		0		5		10		12.5	
		Max	Min	Max	Min	Max	Min	Max	Min
h = 0.154	1	0.064%	0.000%	0.067%	0.003%	0.049%	-0.001%	0.049%	-0.002%
	5	0.258%	0.000%	0.282%	0.026%	0.315%	0.042%	0.261%	0.014%
	10	0.562%	0.000%	0.594%	0.056%	0.520%	0.100%	4.703%	0.016%
	20	1.333%	0.000%	1.300%	0.056%	4.847%	0.100%	5.032%	0.049%
w = 0.125	1	0.053%	0.000%	0.054%	0.000%	0.052%	0.000%	0.051%	0.000%
	5	0.241%	0.000%	0.248%	0.000%	0.255%	-0.002%	0.251%	0.000%
	10	0.508%	0.000%	0.512%	0.000%	0.516%	0.000%	0.572%	-0.010%
	20	0.931%	0.000%	1.028%	-0.046%	1.099%	-0.034%	1.043%	-0.040%

698  
 699  
 700 In addition, it is noticeable that the minimum values lie in the range of -0.04 to 0.1%. The minimum  
 701 values shown at 0.00% only deviate in the third decimal place. A total of 3,304 objects were checked.



702 With a tolerance value of 1.20%, 100% of the objects passed the test in terms of rail foot width and 99.3%  
703 of the objects with respect to rail height.

#### 704 **4.3.6 Consistency check: component semantics**

705 Since constructions follow a structure established in practice (see Section 4.1), one must also check that  
706 the model corresponds to the expected structure. To this end, the 3D objects or their semantics are  
707 compared with the expected model structure (see Figure 5 for an example).

708 Deviations or erroneous results indicate either that the digital model structure in the database is  
709 incomplete or that the expected value has actually been violated. This makes it possible to detect  
710 inconsistencies in the semantic model and in turn to avoid evaluation errors.

### 711 **4.4 The domain “quantities and costs”**

712 In addition to checking the various aspects of the geometric and semantic models, the results of the  
713 5D modelling likewise need checking. For the most part, this concerns quantities and cost evaluations,  
714 and corresponding test methods were devised and incorporated into the overall concept as described  
715 below.

#### 716 **4.4.1 5D model – Quantity checking**

717 In the context of ensuring a continuous digital chain for model-based construction planning, it is  
718 necessary to develop a checking mechanism for validating the quantities determined. A 5D model is  
719 created from the building blocks “3D model”, “link model”, “quantity formula”, and “unit” and these  
720 must therefore also be considered in any verification procedure. In a first step, those service items in  
721 the specification that have a link to 3D objects are determined. The quantity recorded and the unit of  
722 measure is likewise retrieved for each item. The connected database contains rules for the individual  
723 units of measure, which reference the independently determined properties of the evaluation software.  
724 A tolerance value in percent can be specified in the rule definition, which is taken into account during  
725 the check.

726 Where evaluation programs allow the flexible input of mathematical functions using factors/quotients  
727 or similar when creating 5D models, these too must be validated and taken into account when checking  
728 the quantities.

729 In cases where the same unit is used – for example the square meter is universally used to denote areas  
730 – it is currently not possible to automatically recognize which area is concerned. It could be the footprint,  
731 the elevation surface or the entire surface of the tested object, each of which has a different absolute  
732 value. In the database, all surface attributes are defined as rules, which entails several test runs and  
733 therefore produces more erroneous results. A post-processing routine can, however, determine whether  
734 a service item unit that was flagged as incorrect was identified as being correct for another attribute or  
735 area value. The error code of the “wrong” area value is then automatically adjusted.

#### 736 **4.4.2 5D model – Cost checking**

737 In addition to checking the model-based quantity determination, it is necessary to validate the unit prices  
738 for each service item. An object can, however, have multiple different unit prices depending on the unit.  
739 It must therefore be possible to define separate cost calculation rules specific to the object and to the  
740 unit. This is possible in the rule database.

741 It is also necessary to be able to define unit prices according to model’s level of detail. While the model  
742 and object structure may still be quite rough in early work phases and detailed only as far as the object  
743 level shown in Figure 5, the objects must nevertheless be costed at specification level as part of the  
744 preliminary planning and tendering.

745 This requirement is likewise supported by the relational database. For better user-friendliness, the  
746 permissible upper and lower limits of the unit prices can be defined as absolute values.

747 The method was developed conceptually and tested on a theoretical example. While the determination  
748 of the lower and upper cost limits at object level was not carried out on the basis of real projects, this  
749 data can be determined, for example, according to Sajadfar and Ma [50], where the historical data was  
750 evaluated using both regression analysis and data mining methods. These can, however, also be  
751 determined for a specific project using a unit price catalog.

## 752 **5 Quality metrics and evaluation**

753 The aim of the evaluations is to compare the elaborated quality criteria according to a standardized scale  
754 and in turn to identify those criteria which meet the project requirements and those which still need  
755 improvement. There are various ways of conducting this assessment. The following systems have been  
756 implemented in the concept presented here:

- 757 - Percentage scale
- 758 - Grades
- 759 - Traffic light scale

760 To define the correctness of a BIM model in a qualitative manner, the concept of “Quality Level” is  
761 used. A quality level describes the ratio of false results to checks conducted and so the quality of the  
762 BIM model for each quality parameter can be determined on a percentage scale. The concept considers  
763 six “Quality Levels”, as outlined in **Table 5**. The ratios used for each level are freely definable but  
764 should at least be defined consistently across a project. For cross-project comparisons, it is advisable to  
765 determine the ratio ranges once and apply them consistently to the different projects. A possible  
766 approach for a cross-project comparison method is outlined by Choi and Leite [51] although in that case  
767 the comparison parameters do not describe the digital correctness of the model components – as  
768 implemented here – but compare the results of different planning projects (costs, time, equipment, etc.).

769  
770 The verbal description of the individual levels is based on the school grading system. Each “Quality  
771 level” is also given a corresponding color that follows the pattern of a traffic light scale. Green  
772 corresponds to levels A and B, with a slight color shift from green to yellow-green. Level C is assigned  
773 the color yellow, followed by orange for D, red-orange for E and red for F. This same concept for data  
774 quality visualization was used by Lee et al. [52] to verify the data integrity of IFC models.

775

776 **Table 5: Evaluation metrics based on the ratio of false results to checks conducted and determined**  
 777 **on a percentage scale. The ratios used for each level are freely definable. The verbal description**  
 778 **of the individual levels is based on the school grading system.**

Quality level	Ratio of false results to checks conducted [%]	Description	Corresponding color
A	$0$	very good model quality	A
B	$0 < x < 5$	good model quality	B
C	$5 \leq x < 10$	satisfactory model quality	C
D	$10 \leq x < 25$	sufficient model quality	D
E	$25 \leq x < 50$	poor model quality	E
F	$50 \leq x$	insufficient model quality	F

779

780

## 781 6 Validation

782 The concept presented in this paper was applied to a large-scale German project and tested for feasibility.  
 783 Due to the degree of progress of the project, it was only possible to conduct tests that apply to the 3D  
 784 and 4D model. Likewise link models could not be tests as the project does not employ them. The linear  
 785 transport infrastructure project has an overall length of approximately 16.0 km and comprises 108,976  
 786 3D objects in the model. The results of the validation are presented below.

787

788 At the beginning of the project, a catalog of attribute definitions was agreed with the client and the  
 789 project was then initially tested for compliance. 42 different attributes were defined, to which the model  
 790 objects must adhere in different combinations, and a total of 1,511,279 checks were carried out. The  
 791 check revealed that the agreed definitions had not been implemented consistently throughout the model  
 792 as errors were identified in the semantic model in 32% (absolute 483,445) of the check runs. In this test,  
 793 the model only reached quality level E.

794

795 In a second step, various logic tests were performed to check the semantic correctness of the model. To  
 796 begin with, the geometric properties supplied by the authoring software in the attributes were compared  
 797 against the evaluations of the model checker. Here, 762,832 checks were performed, and errors were  
 798 detected in 15.36 % of the checks (absolute 117,190). In this test, the model achieved quality level D.

799

800 When checking the geometric properties determined by the model checker, 2,870 erroneous results were  
 801 found (435,904 tests in total), indicating that some objects are not evaluable. This corresponds to an  
 802 error ratio of 0.66% and a quality level of B.

803

804 In the object database as described in chapter 4.1, the standardized geometric dimensions of the elements  
 805 of a noise barrier as well as of rails and sleepers were stored and checked for consistency in the model.  
 806 According to the building logic, a distinction must be made between testing at object level and testing  
 807 at object specification level. The test yielded a total of 33 false results for 7,634 inspections at object

808 level (0.43% and quality level B). The test at object specification level yielded 2,105 incorrect results  
809 in 19,916 tests, which corresponds to an error rate of 10.57% and a quality level of D.

810

811 As described in chapter 4.3.6, the consistency of the model was also checked against the expected  
812 building logic described in the comparison database. 108,976 3D objects and their semantic logic were  
813 tested. In 15.81% of the tests, the expected value was not met, which is sufficient for a quality level  
814 of D.

815

816 The 3D model was also subjected to a collision check. First, the static model was examined: 103,528  
817 collisions were detected. In order to filter out irrelevant collisions, the collision matrix described in  
818 Chapter 4.2.1 was extended and the results of the collision check re-verified. 64,997 of the collisions  
819 could be classified as irrelevant, so that the remaining error rate was 37.22%. The model therefore  
820 achieved quality level E in the “3D collision” check. In addition, the time schedule was also included  
821 in the collision check, taking the time dependencies into account. A total of 31,501 collisions were  
822 detected in the 4D collision check. After evaluating and discounting the irrelevant collisions, 92 %  
823 (absolute 28,935) remained, corresponding to a quality level of F. In total 28,633 clashes were identified  
824 between rails and sleepers, which follows from sleepers modeled in wrong height.

825

826 The validation process made it possible to evaluate and identify inconsistencies within the BIM model.  
827 The results helped to improve the subsequent processing of the model and have had a lasting positive  
828 influence on the quality of the model.

829

## 830 **7 Conclusion**

831 The application of the BIM method aims to make the entire process of a construction project more  
832 efficient. Initial studies have confirmed that BIM has a positive influence on the course of a project in  
833 terms of costs, time, communication, coordination, and quality. With the help of the BIM method, errors  
834 and their sources can be detected better and earlier. However, new error sources can arise in the process,  
835 which can have an impact on the model quality and in turn on all subsequent processes. This paper has  
836 presented a method with which 3D, 4D, and 5D models can be systematically examined for possible  
837 errors. This method was explored in the context of model-based rail infrastructure planning.

838 An overall quality assurance concept has been developed drawing on current best practice. A total of  
839 14 quality parameters were developed for the three domains of “clash detection”, “semantics”, and  
840 “quantities and costs”, each of which were examined in more detail. Infrastructure planning, and model  
841 creation in infrastructure planning in particular, exhibit some special characteristics – as shown by the  
842 example of rail objects that are dynamically defined by route alignment – which were considered in the  
843 investigation. Finally, an evaluation metric was presented which allows model quality to be measured  
844 based on previously defined threshold values for the individual criteria. The quality assurance concept  
845 was applied on a large-scale infrastructure project. Depending on the selected quality parameter the  
846 quality of a model can vary considerably.

847 While the investigations described here were limited to explicit volumetric models, implicit models  
848 (2.5D models) will also be considered in future research, since these are an important pillar of digital  
849 project design for infrastructure planning. Further research is also needed in the domain of  
850 “construction”. Considerable research work has already been conducted in the field of building  
851 construction and it will be necessary to examine their applicability and feasibility for use in the field of  
852 infrastructure planning. A further benefit of model-based design is the possibility to carry out  
853 simulations. In practice, the focus here is usually on simulating the construction sequence. The aspects  
854 of safety checks and simulations will therefore also be a focus of future research activities.  
855

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