

## Flavor physics and flavor anomalies in minimal fundamental partial compositeness

Francesco Sannino,<sup>1,2,\*</sup> Peter Stangl,<sup>3,†</sup> David M. Straub,<sup>3,‡</sup> and Anders Eller Thomsen<sup>1,§</sup>

<sup>1</sup>*CP<sup>3</sup>-Origins, University of Southern Denmark, Campusvej 55, 5230 Odense, Denmark*

<sup>2</sup>*Danish IAS, University of Southern Denmark, 5230 Odense, Denmark*

<sup>3</sup>*Excellence Cluster Universe, TUM, Boltzmannstraße. 2, 85748 Garching, Germany*



(Received 20 April 2018; published 29 June 2018)

Partial compositeness is a key ingredient of models where the electroweak symmetry is broken by a composite Higgs state. Recently, a UV completion of partial compositeness was proposed, featuring a new, strongly coupled gauge interaction as well as new fundamental fermions and scalars. We work out the full flavor structure of the minimal realization of this idea and investigate in detail the consequences for flavor physics. While  $CP$  violation in kaon mixing represents a significant constraint on the model, we find many viable parameter points passing all precision tests. We also demonstrate that the recently observed hints for a violation of lepton flavor universality in  $B \rightarrow K^{(*)} \ell \ell$  decays can be accommodated by the model, while the anomalies in  $B \rightarrow D^{(*)} \tau \nu$  cannot be explained while satisfying constraints on  $Z$  couplings from measurements at the Large Electron-Positron Collider.

DOI: [10.1103/PhysRevD.97.115046](https://doi.org/10.1103/PhysRevD.97.115046)

### I. INTRODUCTION

New composite dynamics is a long-standing framework for electroweak (EW) symmetry breaking, providing a promising solution to the hierarchy problem by removing the Higgs boson as an elementary scalar. Rather than the Higgs boson gaining a vacuum expectation value, the breaking of the EW symmetry is instead brought on by the formation of a condensate in a new, strongly interacting sector of the theory. In modern composite models the Higgs boson is realized as a pseudo-Nambu-Goldstone boson (pNGB), keeping it light compared to the scale of the new dynamics [1].

A major challenge in constructing a successful model of strong EW symmetry breaking is providing masses to the Standard Model (SM) fermions. In this respect, the idea of partial compositeness has proved popular [2]; here the SM fermions mix with composite fermions of appropriate quantum numbers to gain their masses. Most of the phenomenological studies of composite Higgs models have focused on simplified models implementing the

partial compositeness mechanism at low energies, without specifying the UV completion. Constructing an explicit UV completion is important not only to lend credibility to the partial compositeness framework in general, but also since it may lead to specific correlations that can be tested in low-energy precision experiments. In a recent development, fundamental partial compositeness (FPC) models were proposed<sup>1</sup> that feature new fermions and scalars charged under a strong “technicolor” (TC) force. In these models, the SM fermions gain masses as a result of fundamental Yukawa interactions between SM fermions, TC fermions, and TC scalars [9]. This allowed for a controlled construction of the complete effective field theory (EFT) respecting all the symmetries of the minimal FPC (MFPC) model [10]. Lattice simulations of the new dynamics are underway [11], while the pioneering work without techniscalars appeared first in [12,13] and was further developed in [14–17]. The analytic ultraviolet and perturbative conformal structure and fate of these types of theories have been carefully analyzed in [18,19].

At the same time, there has been a growing interest in the study of flavor physics as a means to provide insight into new physics. Given the lack of direct evidence for new particles at the LHC so far, flavor physics provides a unique

\* sannino@cp3.dias.sdu.dk

† peter.stangl@tum.de

‡ david.straub@tum.de

§ aethomsen@cp3.sdu.dk

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<sup>1</sup>Other almost UV completions of partial compositeness have also been proposed in the literature. See [3] for supersymmetric constructions and [4–7] for purely fermionic constructions. It remains to be seen whether the required large anomalous dimensions can be achieved in the purely fermionic constructions [8].

TABLE I. The table summarizes the new BSM states with their representation under  $G_{\text{SM}}$ . Furthermore, it provides their flavor symmetry in the absence of SM interactions and their representation of  $G_{\text{TC}}$ . Technifermions are denoted by  $\mathcal{F}$  and techniscalars by  $\mathcal{S}$ .

	$\mathcal{F}_\downarrow$	$\bar{\mathcal{F}}_\uparrow$	$\bar{\mathcal{F}}_\downarrow$	$\mathcal{S}_q$	$\mathcal{S}_l$
$G_{\text{SM}}$	(1, 2, 0)	(1, 1, $-\frac{1}{2}$ )	(1, 1, $\frac{1}{2}$ )	$3 \times (\bar{3}, 1, -\frac{1}{6})$	$3 \times (1, 1, \frac{1}{2})$
TC symmetries		$4_{\mathcal{F}} \otimes N_{\text{TC}}$		$24_{\mathcal{S}} \otimes N_{\text{TC}}$	

opportunity to probe energy scales not accessible directly. Flavor observables are also well known to impose stringent constraints on models with new composite dynamics [20–22]. Interestingly, several deviations from SM expectations have been observed in flavor physics in recent years—most notably, hints for a violation of lepton flavor universality in  $b \rightarrow s\ell^+\ell^-$  transitions with  $\ell = e$  vs.  $\mu$  [23,24], and independent hints for a violation of lepton flavor universality in  $b \rightarrow c\ell\nu$  transitions with  $\ell = \tau$  vs.  $\mu$  or  $e$  [25–30]. If confirmed, these deviations would constitute unambiguous evidence of physics beyond the SM. It is thus important to look for models that can accommodate these anomalies.

The aim of this paper is to perform a comprehensive study of flavor constraints on MFPC and to investigate whether it can explain the aforementioned “flavor anomalies.” The remainder of the paper is organized as follows. In Sec. II, we review the MFPC model and fix our notation. In Sec. III, we discuss all relevant low-energy precision constraints in our analysis and present approximate analytical formulas for the MFPC contributions. Section IV contains the description of our strategy and the discussion of the results of our global numerical analysis of flavor in MFPC. Section V contains our conclusions.

## II. MINIMAL FUNDAMENTAL PARTIAL COMPOSITENESS

In the MFPC model, the SM is appended with a new fundamental sector featuring a strong TC force. This sector contains both TC fermions, or technifermions,  $\mathcal{F}$ , and TC scalars, or techniscalars,  $\mathcal{S}$ , which are charged under TC and will form bound states below the TC confining scale. In particular, the Higgs boson will be realized as a bound state of technifermions, while the partial compositeness mechanism is realized through a mixing between the SM fermions and fermionic bound states consisting of both technifermions and techniscalars. The full kinetic term of the new TC sector with both technifermions and techniscalars transforming in the fundamental, pseudoreal representation of  $G_{\text{TC}} = \text{Sp}(N_{\text{TC}})$  is then given by

$$\begin{aligned} \mathcal{L}_{\text{kin}} = & -\frac{1}{4}G_{\mu\nu}^a G^{a\mu\nu} + i\mathcal{F}^\dagger \bar{\sigma}^\mu D_\mu \mathcal{F} - \left(\frac{1}{2}\mathcal{F}^\dagger m_{\mathcal{F}} \epsilon_{\text{TC}} \mathcal{F} + \text{H.c.}\right) \\ & + (D_\mu \mathcal{S})^\dagger (D^\mu \mathcal{S}) - \mathcal{S}^\dagger m_{\mathcal{S}}^2 \mathcal{S}. \end{aligned} \quad (1)$$

For there to be mixing between the fermionic bound states and SM fermions, the model includes fundamental Yukawa interactions between the TC and the SM sectors. This requires the TC particles to carry SM charges, and the technifermions are taken to be in a vectorlike representation of  $G_{\text{SM}}$  to avoid gauge anomalies. Given these constraints, the minimal content of new TC matter is given in Table I. The most general fundamental Yukawa interaction between the new TC sector and the elementary (SM) fermions is then given by

$$\begin{aligned} \mathcal{L}_{\text{yuk}} = & y_Q Q_\alpha \mathcal{S}_q \epsilon_{\text{TC}} \mathcal{F}_\downarrow^\alpha - y_{\bar{u}} \bar{u} \mathcal{S}_q^* \bar{\mathcal{F}}_\downarrow + y_{\bar{d}} \bar{d} \mathcal{S}_q^* \bar{\mathcal{F}}_\uparrow \\ & + y_L L_\alpha \mathcal{S}_l \epsilon_{\text{TC}} \mathcal{F}_\downarrow^\alpha - y_{\bar{\nu}} \bar{\nu} \mathcal{S}_l^* \bar{\mathcal{F}}_\downarrow + y_{\bar{e}} \bar{e} \mathcal{S}_l^* \bar{\mathcal{F}}_\uparrow \\ & - \tilde{y}_{\bar{\nu}} \bar{\nu} \mathcal{S}_l \bar{\mathcal{F}}_\uparrow + \text{H.c.} \end{aligned} \quad (2)$$

where  $\alpha$  is an  $\text{SU}(2)_L$  index [here implicitly contracted using the  $\text{SU}(2)$ -invariant tensor]. For completeness, we list the quantum numbers of SM fermions in Table II, too. Giving masses to all three generations of SM fermions, i.e., avoiding vanishing eigenvalues in the mass matrices, requires three generations of techniscalars, such that the total TC particle content is  $12N_{\text{TC}}$  techniscalars and  $4N_{\text{TC}}$  technifermions. In this construction, the fundamental Yukawa couplings  $y_f$  are to be understood as  $3 \times 3$  matrices. On a final note, the right-handed neutrinos are assumed to be irrelevant for the low-energy flavor observables we consider in our analysis. We consequently neglect their effects by taking  $y_{\bar{\nu}} = \tilde{y}_{\bar{\nu}} = 0$  in the following.

### A. Global flavor symmetries and electroweak symmetry breaking

As discussed in [10], in the absence of the mass terms  $m_{\mathcal{F},\mathcal{S}}$ , the technifermions satisfy an  $\text{SU}(4)_{\mathcal{F}}$  symmetry, while the techniscalars have an enhanced  $\text{Sp}(24)_{\mathcal{S}}$  global

TABLE II. A summary of the two-component SM spinors and their quantum numbers.

SM fermion	$Q$	$\bar{u}$	$\bar{d}$	$L$	$\bar{\nu}$	$\bar{e}$
$G_{\text{SM}}$	$(3, 2, \frac{1}{6})$	$(\bar{3}, 1, -\frac{2}{3})$	$(\bar{3}, 1, \frac{1}{3})$	$(1, 2, -\frac{1}{2})$	(1, 1, 0)	(1, 1, 1)

flavor symmetry. More generally, the global symmetries of Eq. (1) are explicitly broken both by SM interactions and by the mass terms. It is, however, assumed that the strong dynamics will dominate the new physics at the new composite scale  $\Lambda_{\text{TC}}$ , while SM interactions remain subdominant. The symmetries of the strong sector are thus expected to be approximately preserved in the low-energy effective theory. Therefore, the TC particles are conveniently arranged as

$$\mathcal{F}^a \in 4_{\mathcal{F}} \otimes N_{\text{TC}} \quad \text{and} \quad \Phi^i = \begin{pmatrix} S \\ -\epsilon_{\text{TC}} \mathcal{S}^* \end{pmatrix} \in 24_S \otimes N_{\text{TC}}, \quad (3)$$

where  $a$  is an  $\text{SU}(4)_{\mathcal{F}}$  and  $i$  is an  $\text{Sp}(24)_S$  index. In terms of  $\mathcal{F}^a$  and  $\Phi^i$ , the fundamental Yukawa interactions of Eq. (2) are given by

$$\mathcal{L}_{\text{yuk}} = -\psi^i_a \epsilon_{ij} \Phi^j \epsilon_{\text{TC}} \mathcal{F}^a + \text{H.c.}, \quad (4)$$

where the spurion field  $\psi$  consists of SM fermions and Yukawa matrices:

$$\psi^i_a \equiv (\Psi y)^i_a \in \bar{4}_{\mathcal{F}} \otimes 24_S. \quad (5)$$

As always, the benefit of the spurionic fields is that they may be included systematically in the low-energy EFT to control the degree of breaking of the approximate flavor symmetries. In particular, the spurionic fields carry chiral dimension from the perspective of systematic power counting, so operators with more insertions are suppressed. Note that the SM fermions only couple directly to the strong sector through  $y_f$ , so they will always appear in the combination  $\psi$ . For the purpose of this analysis, we will work in the limit of a flavor-trivial scalar mass matrix (proportional to unity). More generally, a small but non-vanishing mass matrix,  $m_S^2 \ll \Lambda_{\text{TC}}^2$ , can be included systematically in the low-energy effective theory, but it would not contribute to the order considered in this work.

The symmetry breaking of the model begins at the composite scale,  $\Lambda_{\text{TC}}$ , of the TC dynamics. At this scale, the fermions are expected to form a condensate

$$\langle \mathcal{F}^a \epsilon_{\text{TC}} \mathcal{F}^b \rangle = \Lambda_{\text{TC}} f_{\text{TC}}^2 \Sigma_{\theta}^{ab}, \quad (6)$$

thereby spontaneously breaking the global  $\text{SU}(4)_{\mathcal{F}}$  symmetry to an  $\text{Sp}(4)$  subgroup. Here  $\Sigma_{\theta}$  is an antisymmetric matrix determining the alignment of the  $\text{Sp}(4)$  stability group in  $\text{SU}(4)$ , and  $f_{\text{TC}} \sim \Lambda_{\text{TC}}/4\pi$  is the decay constant of the NGBs associated to the spontaneous breaking. In the case of an exact global  $\text{SU}(4)_{\mathcal{F}}$  symmetry, making distinctions between different alignments is pointless (and futile). However, in the realistic case, the EW gauge group is embedded into the  $\text{SU}(4)_{\mathcal{F}}$  group, thus introducing a preferred direction for the vacuum alignment. The physical vacuum alignment is then parametrized using an angle  $\theta$  such that

$$\Sigma_{\theta} = c_{\theta} \begin{pmatrix} i\sigma_2 & 0 \\ 0 & -i\sigma_2 \end{pmatrix} + s_{\theta} \begin{pmatrix} 0 & \mathbb{1}_2 \\ -\mathbb{1}_2 & 0 \end{pmatrix}, \quad (7)$$

where  $c_{\theta} = \cos \theta$  and  $s_{\theta} = \sin \theta$  [31]. Here  $c_{\theta} = 1$  corresponds to a vacuum which preserves the EW gauge symmetry, whereas  $s_{\theta} = 1$  leaves it maximally broken.

The NGBs of the  $\text{SU}(4)_{\mathcal{F}} \rightarrow \text{Sp}(4)$  symmetry breaking are parametrized by fluctuations around the vacuum  $\Sigma_{\theta}$  in terms of the matrix

$$\Sigma(x) = \exp \left[ i \frac{2\sqrt{2}}{f_{\text{TC}}} \Pi_i(x) X_{\theta}^i \right] \Sigma_{\theta}. \quad (8)$$

Here  $X_{\theta}^i$  are the broken generators<sup>2</sup> of  $\text{SU}(4)_{\mathcal{F}}$ ,  $\Pi_{1,2,3}$  are identified with the EW NGBs,  $\Pi_4$  with the Higgs boson, and  $\Pi_5$  is an SM singlet. As we will describe in more detail in the next section, physics at low energies can be described using an EFT. In this effective description, the NGBs appear through the leading-order (LO) kinetic term

$$\mathcal{L}_{\text{EFT}} \supset \frac{f_{\text{TC}}^2}{8} \text{tr} [D_{\mu} \Sigma^{\dagger} D^{\mu} \Sigma], \quad (9)$$

which also gives rise to mass terms for the EW gauge bosons. In particular, recovering the experimental masses yields the relation  $v_{\text{EW}} = s_{\theta} f_{\text{TC}}$ .

A radiatively generated potential promotes the NGBs to pNGBs and determines the actual alignment of the vacuum. These radiative effects are due to terms in the fundamental Lagrangian that explicitly break the global symmetry: fundamental fermion masses, EW gauge couplings, and Yukawa couplings. Identifying the Higgs with the  $\Pi_4$  pNGB only makes sense in the case  $0 < s_{\theta} \ll 1$  (cf. [31,32]). For the model considered here, contributions to the effective potential are discussed in [10], and a small value for  $s_{\theta}$  can be realized. We therefore assume in the following that  $0 < s_{\theta} \ll 1$  and allow for different values of  $s_{\theta}$  in our numerics by varying  $f_{\text{TC}}$  while keeping  $v_{\text{EW}}$  fixed (cf. Sec. IV A). Of the pNGB fields, only  $\Pi_5$  is new as compared to the SM. It generically has a mass  $m = m_h/s_{\theta}$  and does not have a Yukawa coupling to the SM fermions at leading order [31]. For this reason we will ignore it in our analysis.

## B. Effective theory at the electroweak scale

The TC condensation scale  $\Lambda_{\text{TC}}$  is expected to be large compared to the EW scale, such that there is a clear hierarchy  $v_{\text{EW}} \ll \Lambda_{\text{TC}}$ . The effects of the new composite dynamics on SM physics at the EW scale can thus be described by an EFT in a controlled manner, where the effective degrees of freedom include the SM fermions and

<sup>2</sup>For the NGBs to parametrize the fluctuations around the actual  $\theta$ -dependent vacuum  $\Sigma_{\theta}$ , the parametrization of the broken generators also depends on  $\theta$  (cf. [32]).

gauge bosons, and the pNGBs  $\Pi_i$ . Meanwhile, the effects of physics above  $\Lambda_{\text{TC}}$  are included in effective operators consistent with the symmetries of the underlying dynamics. The resulting theory, which we will refer to as the MFPC-EFT, was determined in detail in Ref. [10], and here we just present the operators of relevance for our analysis. The effective Lagrangian can be written as

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{SM-Higgs}} + \sum_A C_A \mathcal{O}_A + \left( \sum_A C'_A \mathcal{O}'_A + \text{H.c.} \right), \quad (10)$$

where the new physics is contained in the  $\mathcal{O}_A^{(\prime)}$  operators. The normalization of the effective operators is due to symmetry factors and power counting for strongly interacting electro-weak EFTs [33].<sup>3</sup> The strong coefficients  $C_A^{(\prime)}$  are determined

by the underlying TC dynamics and expected to be  $\mathcal{O}(1)$  with the present choice of operator normalization.

The leading-order operator with just two SM fermions in the effective theory is given by

$$\mathcal{O}_{\text{Yuk}} = -\frac{f_{\text{TC}}}{8\pi} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) \Sigma^{a_1 a_2} \epsilon_{i_1 i_2}. \quad (11)$$

It is responsible for giving masses to the SM fermions and also provides a coupling to the Higgs boson (hence its name). In the flavor analysis of the model, this operator constrains the fundamental Yukawas,  $y_f$ , to reproduce the SM masses and the Cabibbo-Kobayashi-Maskawa (CKM) matrix.

Of particular relevance for the purpose of flavor physics are four-fermion operators induced by the underlying dynamics. They are completely described by the set of self-Hermitian operators

$$\mathcal{O}_{4f}^1 = \frac{1}{64\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{\dagger i_3 a_3} \psi^{\dagger i_4 a_4}) \Sigma^{a_1 a_2} \Sigma^{\dagger a_3 a_4} \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (12)$$

$$\mathcal{O}_{4f}^2 = \frac{1}{64\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{\dagger i_3 a_3} \psi^{\dagger i_4 a_4}) (\delta^{a_1 a_3} \delta^{a_2 a_4} - \delta^{a_1 a_4} \delta^{a_2 a_3}) \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (13)$$

$$\mathcal{O}_{4f}^3 = \frac{1}{64\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{\dagger i_3 a_3} \psi^{\dagger i_4 a_4}) \Sigma^{a_1 a_2} \Sigma^{\dagger a_3 a_4} (\epsilon_{i_1 i_4} \epsilon_{i_2 i_3} - \epsilon_{i_1 i_3} \epsilon_{i_2 i_4}), \quad (14)$$

$$\mathcal{O}_{4f}^4 = \frac{1}{64\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{\dagger i_3 a_3} \psi^{\dagger i_4 a_4}) (\delta^{a_1 a_3} \delta^{a_2 a_4} \epsilon_{i_1 i_3} \epsilon_{i_2 i_4} + \delta^{a_1 a_4} \delta^{a_2 a_3} \epsilon_{i_1 i_4} \epsilon_{i_2 i_3}), \quad (15)$$

$$\mathcal{O}_{4f}^5 = \frac{1}{64\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{\dagger i_3 a_3} \psi^{\dagger i_4 a_4}) (\delta^{a_1 a_3} \delta^{a_2 a_4} \epsilon_{i_1 i_4} \epsilon_{i_2 i_3} + \delta^{a_1 a_4} \delta^{a_2 a_3} \epsilon_{i_1 i_3} \epsilon_{i_2 i_4}), \quad (16)$$

and the complex operators

$$\mathcal{O}_{4f}^6 = \frac{1}{128\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{i_3}_{a_3} \psi^{i_4}_{a_4}) \Sigma^{a_1 a_2} \Sigma^{a_3 a_4} \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (17)$$

$$\mathcal{O}_{4f}^7 = \frac{1}{128\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{i_3}_{a_3} \psi^{i_4}_{a_4}) (\Sigma^{a_1 a_4} \Sigma^{a_2 a_3} - \Sigma^{a_1 a_3} \Sigma^{a_2 a_4}) \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (18)$$

$$\mathcal{O}_{4f}^8 = \frac{1}{128\pi^2 \Lambda_{\text{TC}}^2} (\psi^{i_1}_{a_1} \psi^{i_2}_{a_2}) (\psi^{i_3}_{a_3} \psi^{i_4}_{a_4}) \Sigma^{a_1 a_2} \Sigma^{a_3 a_4} (\epsilon_{i_1 i_4} \epsilon_{i_2 i_3} - \epsilon_{i_1 i_3} \epsilon_{i_2 i_4}). \quad (19)$$

The TC sector is also responsible for modifying the couplings between SM fermions and SM gauge bosons. It induces the operator

$$\mathcal{O}_{\Pi f} = \frac{i}{32\pi^2} (\psi^{\dagger i_1 a_1} \bar{\sigma}_\mu \psi^{i_2 a_2}) \Sigma^{\dagger a_1 a_3} \overleftrightarrow{D}^\mu \Sigma^{a_3 a_2} \epsilon_{i_1 i_2}, \quad (20)$$

that modifies the couplings of the weak gauge bosons and is mainly constrained by LEP measurements of the  $Z$  branching ratios (cf. Sec. III B 2).

<sup>3</sup>In contrast to Ref. [10] we have not rescaled the fundamental Yukawas  $y_f$ .

### III. LOW-ENERGY SIGNALS FROM THE WEAK EFFECTIVE HAMILTONIAN

To determine the effect of the MFPC model on low-energy observables, we follow the usual approach and derive its consequences on the weak effective Hamiltonian (WEH),  $\mathcal{H}_{\text{weak}}$ . As illustrated in Fig. 1, we describe the physics at intermediate scales between  $\Lambda_{\text{TC}}$  and the low-energy regime using the effective theory  $\mathcal{L}_{\text{EFT}}$  as discussed above. At the scale of 160 GeV,  $W$ ,  $Z$ ,  $t$ , and the pNGBs  $\Pi_i$  are integrated out and  $\mathcal{L}_{\text{EFT}}$  is matched to the WEH  $\mathcal{H}_{\text{weak}}$ . The benefit of this procedure is a controlled treatment of the

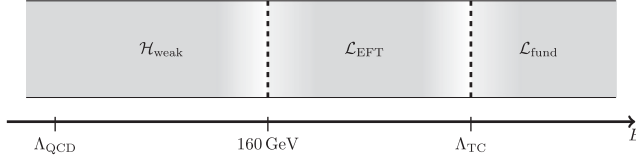


FIG. 1. Schematic representation of the theory descriptions employed in our analysis. The fundamental theory in the UV is, in principle, matched to the MFPC-EFT at the scale of compositeness,  $\Lambda_{\text{TC}}$ , although without lattice results we only possess naive estimates for the coefficients. Flavor physics is most conveniently described by the WEH at low energies. We match the MFPC-EFT with the WEH at the scale 160 GeV.

(approximate) UV symmetries, which we can now trace to correlated operators in  $\mathcal{H}_{\text{weak}}$ .

### A. Matching the MFPC-EFT to the weak effective Hamiltonian

Among the MFPC-EFT operators, only  $\mathcal{O}_{\text{Yuk}}$  contains terms that are also present in the SM. These are the fermion-Higgs couplings and the fermion mass terms. In unitary gauge, we have

$$C_{\text{Yuk}} \mathcal{O}_{\text{Yuk}} = - \sum_{f \in \{u,d,e\}} \frac{C_{\text{Yuk}} s_{\theta} f_{\text{TC}}}{4\pi} (y_f^{\text{T}} y_{\bar{f}})_{ij} (f_i \bar{f}_j) \times \left( 1 + \frac{c_{\theta} h}{v_{\text{EW}}} + \dots \right) \quad (21)$$

ignoring nonlinear terms in the pNGBs. We employ a compact notation where the fundamental Yukawa couplings of the  $\text{SU}(2)_{\text{L}}$  doublets are labeled by the names of their doublet components; i.e., we use  $y_Q = y_u = y_d$  and  $y_L = y_e = y_{\nu}$ . From the mass term, one may identify the mass matrices of the SM fermions

$$m_{f,ij} = \frac{C_{\text{Yuk}} s_{\theta} f_{\text{TC}}}{4\pi} (y_f^{\text{T}} y_{\bar{f}})_{ij}. \quad (22)$$

The WEH is defined in the mass basis where  $m_{f,ij}$  has been diagonalized by a biunitary transformation

$$m_f^{\text{diag}} = U_f^{\text{T}} m_f U_{\bar{f}}, \quad f \in \{u, d, e\}, \quad (23)$$

which defines the unitary matrices  $U_f$  and  $U_{\bar{f}}$ . These matrices appear in the Wilson coefficients of the WEH in the following combinations<sup>4</sup>:

<sup>4</sup>Since we treat neutrinos as massless, the charged lepton mass matrix can be chosen to be diagonal already in the gauge basis, such that  $U_e = U_{\nu} = U_{\bar{e}} = \mathbb{1}_3$  and the contribution of  $U_e$ ,  $U_{\nu}$ , and  $U_{\bar{e}}$  to the Wilson coefficients is trivial.

(i) In the CKM matrix defined by

$$V = U_u^{\dagger} U_d. \quad (24)$$

(ii) In a product of two fundamental Yukawa matrices where one of them is complex conjugated and the other is not:

$$X_{f_1 f_2} = \frac{1}{4\pi} U_{f_1}^{\dagger} y_{f_1}^{\dagger} y_{f_2} U_{f_2}, \quad X_{f_1 f_2}^* = X_{f_2 f_1}^{\text{T}}. \quad (25)$$

(iii) In a product of two fundamental Yukawa matrices where both of them are either unconjugated or conjugated:

$$Y_{f_1 f_2} = \frac{1}{4\pi} U_{f_1}^{\text{T}} y_{f_1}^{\text{T}} y_{f_2} U_{f_2}, \quad Y_{f_1 f_2}^* = \frac{1}{4\pi} U_{f_1}^{\dagger} y_{f_1}^{\dagger} y_{f_2}^* U_{f_2}^*. \quad (26)$$

For the last two cases,  $f_1$  and  $f_2$  denote SM fermions, i.e.,  $f_1, f_2 \in \{u, d, e, \nu, \bar{u}, \bar{d}, \bar{e}\}$ . Using the definition of  $Y_{f_1 f_2}$ , the fermion mass matrices in the mass basis can be written as

$$m_f^{\text{diag}} = C_{\text{Yuk}} s_{\theta} f_{\text{TC}} Y_{f \bar{f}} \quad (27)$$

and the mass basis SM Yukawa couplings  $Y_f^{\text{SM}}$  can be identified as

$$Y_f^{\text{SM}} = \sqrt{2} C_{\text{Yuk}} Y_{f \bar{f}}. \quad (28)$$

Apart from  $\mathcal{O}_{\text{Yuk}}$ , all operators of  $\mathcal{L}_{\text{EFT}}$  describe pure NP effects not present in the SM. As such, they lead to deviations of the WEH Wilson coefficients with respect to the SM contributions.

The four-fermion operators  $\mathcal{O}_{4f}^i$  can readily be matched to the WEH by summing over the global  $\text{SU}(4)_{\mathcal{F}}$  and  $\text{Sp}(24)_{\mathcal{S}}$  indices. For this purpose, we note that the spurion field  $\psi$  assumes the value

$$\psi^i_a = \begin{pmatrix} 0 & 0 & y_{\bar{d}} \bar{d} & -y_{\bar{u}} \bar{u} \\ 0 & 0 & y_{\bar{e}} \bar{e} & 0 \\ y_Q d & -y_Q u & 0 & 0 \\ y_L e & -y_L \nu & 0 & 0 \end{pmatrix}, \quad (29)$$

keeping the  $\text{SU}(3)_c$  and SM generation part of the  $\text{Sp}(24)_{\mathcal{S}}$  index implicit. The spinors as well as the fundamental Yukawa couplings are rotated to the mass bases via the unitary matrices defined in Eq. (23). The resulting four-fermion operators are still expressed in the two-component chiral Weyl spinor notation employed in Sec. II B. We thus subsequently apply an assortment of Fierz identities to match them to the WEH basis defined in terms of 4-component Dirac spinors.

Besides the four-fermion operators in  $\mathcal{L}_{\text{EFT}}$ , an important role in our analysis is played by the operator  $\mathcal{O}_{\Pi f}$ . Modifying the couplings of weak gauge bosons to SM fermions, it yields NP contributions to four-fermion operators in the WEH when integrating out the  $W$  and  $Z$  bosons. For the matching, we first derive the  $W$ - and  $Z$ -couplings contained in  $\mathcal{O}_{\Pi f}$ . We then integrate out the  $W$  and  $Z$  bosons, yielding new four-fermion operators below the EW scale from tree-level weak gauge boson exchange, where either one or both ends of the gauge boson propagator couple to the SM fermions via the NP coupling induced by  $\mathcal{O}_{\Pi f}$ . These four-fermion operators are then matched to the WEH by applying the same steps as for the four-fermion operators  $\mathcal{O}_{4f}^i$  described above.

Since the operator  $\mathcal{O}_{\text{Yuk}}$  will slightly modify the Higgs couplings to SM fermions, it leads to NP contributions to four-fermion operators in the WEH when integrating out the Higgs. However, these operators are always flavor-diagonal and subleading in an expansion in  $s_\theta^2$ , and we will therefore neglect their contributions.

## B. Constraints from EW scale physics

In addition to contributing to four-fermion operators in the WEH, the operators  $\mathcal{O}_{\text{Yuk}}$  and  $\mathcal{O}_{\Pi f}$  also affect observables at the EW scale. The modified Higgs couplings contained in the former are constrained by measurements at the LHC, and the new couplings of weak gauge bosons to SM fermions induced by the latter are constrained by  $Z$ -boson observables measured at the LEP.

### 1. Higgs boson couplings

A pNGB Higgs boson in the  $SU(4)/Sp(4)$  breaking pattern has nonstandard couplings to the SM particles as compared to the SM Higgs [31,32]. The modification of the Higgs coupling to fermions can be read directly from Eq. (21), and the single couplings to the weak gauge bosons may be found by expanding the kinetic term of Eq. (9). One finds

$$g_{ffh} = c_\theta g_{ffh}^{\text{SM}}, \quad g_{ZZh} = c_\theta g_{ZZh}^{\text{SM}}, \quad g_{WWh} = c_\theta g_{WWh}^{\text{SM}}. \quad (30)$$

The resulting collider constraints have already been discussed in depth in the existing literature (see e.g., [34]), so we will merely note that the strongest individual constraint comes from the Higgs coupling to the  $Z$  boson. The combined ATLAS and CMS analysis [35], using the Run I LHC data, yields the bound

$$s_\theta < 0.44 \quad \text{at 68\% CL} \quad (31)$$

just from the  $hZZ$  coupling. In our analysis, we will only consider points with  $f_{\text{TC}} \geq 1$  TeV ( $s_\theta < 0.25$ ), and the constraints coming from Higgs physics will be satisfied in all cases.

### 2. Z boson couplings

The NP couplings of the  $Z$  boson to SM fermions that are induced by  $\mathcal{O}_{\Pi f}$  can be expressed as

$$C_{\Pi f} \mathcal{O}_{\Pi f} \supset \sum_{f \in \{u,d,e,\nu\}} \frac{g}{c_W} Z_\mu (\delta g_{f_L}^{ij} \bar{f}_L^i \gamma^\mu f_L^j + \delta g_{f_R}^{ij} \bar{f}_R^i \gamma^\mu f_R^j), \quad (32)$$

where the deviations  $\delta g_{f_L}^{ij}$  and  $\delta g_{f_R}^{ij}$  from the SM  $Z$  couplings are given by

$$\begin{aligned} \delta g_{u_L}^{ij} &= + \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{uu})_{ij}, & \delta g_{u_R}^{ij} &= - \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{\bar{u}\bar{u}}^*)_{ij}, \\ \delta g_{d_L}^{ij} &= - \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{dd})_{ij}, & \delta g_{d_R}^{ij} &= + \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{\bar{d}\bar{d}}^*)_{ij}, \\ \delta g_{e_L}^{ij} &= - \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{ee})_{ij}, & \delta g_{e_R}^{ij} &= + \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{\bar{e}\bar{e}}^*)_{ij}, \\ \delta g_{\nu_L}^{ij} &= + \frac{C_{\Pi f}}{8\pi} s_\theta^2 (X_{\nu\nu})_{ij}, & \delta g_{\nu_R}^{ij} &= 0. \end{aligned} \quad (33)$$

The flavor-diagonal terms modify the  $Z$  partial widths measured at the LEP. To reproduce the correct top quark mass, the fundamental Yukawa couplings of the third generation quark doublet are usually large.<sup>5</sup> This can yield a sizable contribution to the  $Zb_L b_L$  coupling and thus be in conflict with LEP data. In effective models of partial compositeness that satisfy all EW precision constraints, this problem is usually avoided by a custodial protection of the  $Zb_L b_L$  coupling [36,37]. Since the MFPC model does not feature a protection of this kind,<sup>6</sup> the LEP measurements of partial widths of the  $Z$  boson are important constraints that have to be taken into account. To this end, we calculate the following observables for each parameter point,

$$R_b = \frac{\Gamma(Z \rightarrow b\bar{b})}{\Gamma(Z \rightarrow q\bar{q})}, \quad R_c = \frac{\Gamma(Z \rightarrow c\bar{c})}{\Gamma(Z \rightarrow q\bar{q})}, \quad (34)$$

$$R_e = \frac{\Gamma(Z \rightarrow e\bar{e})}{\Gamma(Z \rightarrow e\bar{e})}, \quad R_\mu = \frac{\Gamma(Z \rightarrow q\bar{q})}{\Gamma(Z \rightarrow \mu\bar{\mu})}, \quad R_\tau = \frac{\Gamma(Z \rightarrow q\bar{q})}{\Gamma(Z \rightarrow \tau\bar{\tau})}, \quad (35)$$

where  $\Gamma(Z \rightarrow q\bar{q})$  implies a sum over all quarks except the top. We include higher-order electroweak corrections [38] as well as the leading-order QCD correction [39] to reproduce the correct SM predictions in the limit  $C_{\Pi f} = 0$ .

<sup>5</sup>To some degree, large fundamental Yukawa couplings of the top quark singlet can ease the requirement of large doublet couplings. However, even for singlet couplings of  $\mathcal{O}(4\pi)$ , the doublet couplings have to be  $\mathcal{O}(1)$  and are thus never small.

<sup>6</sup>Possible FPC models that include a custodial protection of the  $Zb_L b_L$  coupling are discussed in [9].

### 3. Electroweak precision tests

In addition to the above-described observables, the model is constrained by EW precision data in the form of the  $S$  and  $T$  parameters [40]. There are contributions to the  $S$ ,  $T$  parameters due to nonstandard couplings between the SM particles, which will result in contributions to the EW vacuum polarizations that are different from the SM prediction. At leading order in the MFPC-EFT, only the Higgs coupling is different from the SM [cf. Eq. (30)], so only the pNGB loops will give loop contributions to  $S$ ,  $T$ . It was shown in Ref. [41] that this results in contributions<sup>7</sup>

$$S_{\text{IR}} = S_{\text{pNGB}}^{\text{MFPC}} - S_{\text{Higgs}}^{\text{SM}} = \frac{s_\theta^2}{12\pi} \left[ f(m_z/m_h) + \log \frac{\Lambda_{\text{TC}}^2}{m_h^2} + \frac{5}{6} \right], \quad (36)$$

$$T_{\text{IR}} = T_{\text{pNGB}}^{\text{MFPC}} - T_{\text{Higgs}}^{\text{SM}} = -\frac{3s_\theta^2}{16\pi c_w^2} \log \frac{\Lambda_{\text{TC}}^2}{m_h^2}. \quad (37)$$

Here the divergences have been replaced with  $\Lambda_{\text{TC}}$ , as they will be absorbed into counterterms at next-to-leading order (NLO). Additionally, the  $S$ ,  $T$  parameters will receive contributions from physics at energies higher than  $\Lambda_{\text{TC}}$ . In the MFPC-EFT such contributions show up as NLO operators which have been described in Ref. [10]:

$$S_{\text{UV}} = \frac{s_\theta^2 C_{WW}}{\pi}, \quad (38)$$

$$\begin{aligned} T_{\text{UV}} = & \frac{s_\theta^2 (C_{\text{PD}}^1 + C_{\text{PD}}^2)}{16\pi c_w^2} \\ & + \frac{s_\theta^2 (C_{\text{yPD}}^1 + C_{\text{yPD}}^4)}{64\pi^2 \alpha} (3\text{Tr}[X_{\bar{u}u} - X_{\bar{d}d}] - \text{Tr}[X_{\bar{e}e}])^2 \\ & - \frac{s_\theta^2 (C_{\text{yPD}}^3 + C_{\text{yPD}}^6)}{64\pi^2 \alpha} \\ & \times \text{Tr}[3(X_{\bar{u}u} X_{\bar{u}u} - 2X_{\bar{u}d} X_{\bar{d}u} + X_{\bar{d}d} X_{\bar{d}d}) + X_{\bar{e}e} X_{\bar{e}e}]. \end{aligned} \quad (39)$$

The strong coefficients appearing in these contributions are the coefficients of the relevant NLO corrections to the kinetic terms (the terms have been included in the Appendix for completeness). Combining the contributions from the changed Higgs sector and those coming from UV physics through new effective operators, the total deviation from the SM prediction of the oblique parameters is

$$S = S_{\text{UV}} + S_{\text{IR}} \quad \text{and} \quad T = T_{\text{UV}} + T_{\text{IR}}. \quad (40)$$

The uncertainty in the strong coefficients will make it difficult to make a true prediction as to the  $S$  and  $T$  parameters. Additionally, the Wilson coefficients appearing

in those parameters do not enter in the flavor observables. We optimistically assume values for these strong coefficients such that they are not in conflict with experiment and do not consider them in our numerical analysis. However, one should keep in mind that they could, in principle, require  $f_{\text{TC}}$  to be higher than in the scenarios analyzed here.

### C. Low-energy probes of flavor and $CP$ violation

Precision measurements of flavor-changing neutral current (FCNC) processes like meson-antimeson mixing and rare decays of  $K$  and  $B$  mesons are well known to be important constraints on models with new strong dynamics. However, flavor-changing *charged* currents, mediated by the  $W$  boson at tree level in the SM, are also relevant since models with partial compositeness can violate lepton flavor universality or the unitarity of the CKM matrix. We use the open source package flavio [42] for our numerics.

#### 1. Meson-antimeson mixing

The part of the weak effective Hamiltonian responsible for meson-antimeson mixing in the  $K^0$ ,  $B^0$ , and  $B_s$  systems reads

$$\mathcal{H}_{\text{weak}}^{\Delta F=2} = -\sum_i C_i \mathcal{O}_i, \quad (41)$$

where the sum runs over the following operators,

$$\begin{aligned} \mathcal{O}_{VLL}^{ij} &= (\bar{d}_L^i \gamma^\mu d_L^i) (\bar{d}_L^j \gamma_\mu d_L^j), \\ \mathcal{O}_{VRR}^{ij} &= (\bar{d}_R^i \gamma^\mu d_R^i) (\bar{d}_R^j \gamma_\mu d_R^j), \\ \mathcal{O}_{VLR}^{ij} &= (\bar{d}_L^i \gamma^\mu d_L^i) (\bar{d}_R^j \gamma_\mu d_R^j), \\ \mathcal{O}_{SLL}^{ij} &= (\bar{d}_R^i d_L^i) (\bar{d}_R^j d_L^j), \\ \mathcal{O}_{SRR}^{ij} &= (\bar{d}_L^i d_R^i) (\bar{d}_L^j d_R^j), \\ \mathcal{O}_{SLR}^{ij} &= (\bar{d}_R^i d_L^i) (\bar{d}_L^j d_R^j), \\ \mathcal{O}_{TLL}^{ij} &= (\bar{d}_R^i \sigma^{\mu\nu} d_L^i) (\bar{d}_R^j \sigma_{\mu\nu} d_L^j), \\ \mathcal{O}_{TRR}^{ij} &= (\bar{d}_L^i \sigma^{\mu\nu} d_R^i) (\bar{d}_L^j \sigma_{\mu\nu} d_R^j), \end{aligned} \quad (42)$$

where  $ij = 21, 31, 32$  for  $K^0$ ,  $B^0$ , and  $B_s$ , respectively. In the MFPC model, new physics contributions to all eight operators are generated from the operators in Sec. II B. There are two contributing mechanisms: direct contributions from the four-fermion operators  $\mathcal{O}_{4f}^i$  that contain the operators in  $\mathcal{H}_{\text{weak}}^{\Delta F=2}$ , and  $Z$ -mediated contributions from flavor-changing  $Z$  couplings induced by the operator  $\mathcal{O}_{\Pi f}$ . In the limit of small  $s_\theta$ , the latter are, however, subleading. To leading order<sup>8</sup> in  $s_\theta$ , only four operators are generated,

<sup>7</sup> $f(x) = \frac{2x^2 + x^4 - 3x^6 + (9x^4 + x^6) \log x}{(1-x^2)^3}$  is a loop function.

<sup>8</sup>In our numerical analysis, we will also keep subleading terms.

$$C_{VLL}^{ij} = (X_{dd}^*)_{ij}(X_{dd}^*)_{ij} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (43)$$

$$C_{VRR}^{ij} = (X_{\bar{d}\bar{d}})_{ij}(X_{\bar{d}\bar{d}})_{ij} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (44)$$

$$C_{VLR}^{ij} = (X_{dd}^*)_{ij}(X_{\bar{d}\bar{d}})_{ij} \frac{C_{4f}^4}{\Lambda_{\text{TC}}^2}, \quad (45)$$

$$C_{SLR}^{ij} = (Y_{\bar{d}\bar{d}})_{ij}(Y_{\bar{d}\bar{d}}^*)_{ij} \frac{C_{4f}^2}{\Lambda_{\text{TC}}^2}. \quad (46)$$

The combination of fundamental Yukawa couplings in  $C_{SLR}^{ij}$  turns out to be proportional to the square of the down quark mass matrix, which is diagonal in the mass basis by definition. Thus, the operator  $O_{SLR}$  is flavor-diagonal and does *not* contribute to meson-antimeson mixing.<sup>9</sup> However, even for a vanishing  $C_{SLR}$  at the *electroweak* scale—which is where we match the MFPC-EFT onto the WEH—the QCD renormalization group (RG) running down to the hadronic scale of the order of a few GeV induces a sizable contribution to  $C_{SLR}$  proportional to  $C_{VLR}$ .

The two left-right operators are well known to be most problematic in models based on partial compositeness, in particular, in the kaon sector where their QCD matrix elements are strongly chirally enhanced in addition to the RG enhancement of the Wilson coefficients. We thus expect the strongest bound from meson-antimeson mixing observables to come from  $\epsilon_K$ , measuring indirect  $CP$  violation in  $K^0$ - $\bar{K}^0$  mixing. Although the Wilson coefficients  $C_{4f}^4$  and  $C_{4f}^5$  are real, a sizable  $CP$ -violating phase in the mixing amplitude can be induced by the fundamental Yukawa couplings.

## 2. Rare semileptonic $B$ decays

Decays based on the  $b \rightarrow s\ell\ell$  transition, such as  $B \rightarrow K^*\ell\ell$  or  $B \rightarrow K\ell\ell$  with  $\ell = e$  or  $\mu$ , are probes of flavor violation that are complementary to meson-antimeson mixing. On the one hand, since they only involve one flavor change, they are much more sensitive to contributions mediated by flavor-changing  $Z$  couplings induced by  $\mathcal{O}_{\Pi f}$ . On the other, recent hints for violation of lepton flavor universality (LFU) between the electronic and muonic  $B \rightarrow K^*\ell\ell$  and  $B \rightarrow K\ell\ell$  rates raise the question of

<sup>9</sup>The vanishing of this Wilson coefficient is in contrast to effective models of partial compositeness or extra-dimensional models based on flavor anarchy, where flavor-off-diagonal terms are induced by the exchange of heavy gluon resonances, i.e., massive spin one states transforming under the adjoint representation of  $SU(3)_C$ . In MFPC, any resonance with this transformation property is necessarily an  $(\mathcal{S}_q \mathcal{S}_q^*)$  bound state. The structure of the fundamental Yukawa couplings [cf. Eq. (2)] then guarantees that a contribution to  $O_{SLR}$  induced by such a bound state is always proportional to the square of the down quark mass matrix as long as the techniscalar mass matrix is flavor-trivial.

whether—and to what level—LFU can be violated in MFPC. To leading order in  $s_\theta$ , the  $Z$ -mediated contributions are lepton flavor universal, but direct contributions from the four-fermion operators  $\mathcal{O}_{4f}^i$  containing two quarks and two leptons are in fact *expected* to violate LFU and enter at the same order in  $s_\theta$  as the  $Z$ -mediated effects.

The effective Hamiltonian for  $b \rightarrow s\ell\ell$  transitions can be written as

$$\mathcal{H}_{\text{weak}}^{b \rightarrow s\ell\ell} = -\sum_{i,\ell} (C_i^\ell \mathcal{O}_i^\ell + C_i^{\ell'} \mathcal{O}_i^{\ell'}) + \text{H.c.} \quad (47)$$

The most important operators for our discussion<sup>10</sup> read

$$O_9^\ell = (\bar{s}_L \gamma_\mu b_L)(\bar{\ell} \gamma^\mu \ell), \quad O_9^{\ell'} = (\bar{s}_R \gamma_\mu b_R)(\bar{\ell} \gamma^\mu \ell), \quad (48)$$

$$O_{10}^\ell = (\bar{s}_L \gamma_\mu b_L)(\bar{\ell} \gamma^\mu \gamma_5 \ell), \quad O_{10}^{\ell'} = (\bar{s}_R \gamma_\mu b_R)(\bar{\ell} \gamma^\mu \gamma_5 \ell). \quad (49)$$

The direct four-fermion contributions to their Wilson coefficients, to leading order (see footnote <sup>8</sup>) in  $s_\theta$ , read

$$C_9^\ell \supset -\frac{1}{4}(X_{dd}^*)_{bs}(X_{\bar{e}\bar{e}})_{\ell\ell} \frac{C_{4f}^4}{\Lambda_{\text{TC}}^2} + \frac{1}{4}(X_{dd}^*)_{bs}(X_{ee})_{\ell\ell} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (50)$$

$$C_9^{\ell'} \supset -\frac{1}{4}(X_{\bar{d}\bar{d}})_{bs}(X_{ee})_{\ell\ell} \frac{C_{4f}^4}{\Lambda_{\text{TC}}^2} + \frac{1}{4}(X_{\bar{d}\bar{d}})_{bs}(X_{\bar{e}\bar{e}})_{\ell\ell} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (51)$$

$$C_{10}^\ell \supset -\frac{1}{4}(X_{dd}^*)_{bs}(X_{\bar{e}\bar{e}})_{\ell\ell} \frac{C_{4f}^4}{\Lambda_{\text{TC}}^2} - \frac{1}{4}(X_{dd}^*)_{bs}(X_{ee})_{\ell\ell} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (52)$$

$$C_{10}^{\ell'} \supset +\frac{1}{4}(X_{\bar{d}\bar{d}})_{bs}(X_{ee})_{\ell\ell} \frac{C_{4f}^4}{\Lambda_{\text{TC}}^2} + \frac{1}{4}(X_{\bar{d}\bar{d}})_{bs}(X_{\bar{e}\bar{e}})_{\ell\ell} \frac{C_{4f}^4 + C_{4f}^5}{\Lambda_{\text{TC}}^2}, \quad (53)$$

while the  $Z$ -mediated contributions can be written as

$$C_9^\ell \supset 2\pi(X_{dd}^*)_{bs}(4s_w^2 - 1) \frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}, \quad (54)$$

<sup>10</sup>In particular, we neglect dipole operators [43], which always conserve LFU. Scalar operators are flavor-diagonal in the mass basis and thus do not contribute.



$$C_9^{\ell\ell} \supset -2\pi(X_{\bar{d}\bar{d}})_{bs}(4s_w^2 - 1)\frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}, \quad (55)$$

$$C_{10}^{\ell\ell} \supset 2\pi(X_{dd}^*)_{bs}\frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}, \quad (56)$$

$$C_{10}^{\ell\ell} \supset -2\pi(X_{\bar{d}\bar{d}})_{bs}\frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}. \quad (57)$$

### 3. Tree-level semileptonic decays

Charged-current semileptonic decays based on the  $q \rightarrow q'\ell\nu$  transition are mediated at tree level by the  $W$  boson in the SM and are used to measure the elements of the CKM matrix without pollution by loop-induced new physics effects. In MFPC, however, these processes can receive new physics contributions from the operators in the MFPC-EFT. Similarly to the semileptonic FCNC decays, there are contributions from modified  $W$  couplings to quarks induced by  $\mathcal{O}_{\Pi f}$  that are lepton flavor universal to leading order in  $s_\theta$ , as well as direct four-fermion contributions from  $O_{4f}^i$  that are *expected* to violate LFU. In addition, in charged-current decays,  $\mathcal{O}_{\Pi f}$  induces contributions from modified  $W$  couplings to *leptons* that are also expected to violate LFU.

Decays where  $\ell$  is a light lepton, i.e., an electron or muon, must be taken into account as *constraints* in our analysis. They are important for two reasons: They constrain the amount of LFU that can potentially be observed in FCNC decays with light leptons, and they are necessary to consistently compare the CKM matrix obtained from diagonalizing the quark mass matrices with the CKM *measurements*.

In addition, we consider the *semitauonic* decays based on the  $b \rightarrow c\tau\nu$  transition. The world averages for the ratios  $R_{D^{(*)}}$  of the  $B \rightarrow D^{(*)}\tau\nu$  over the  $B \rightarrow D^{(*)}\ell\nu$  ( $\ell = e, \mu$ ) branching ratios currently deviate from the SM prediction at a combined level of  $4\sigma$  [44]. Assessing whether the MFPC model can account for these deviations is an important goal of our study.

The effective Hamiltonian for  $d_i \rightarrow u_j\ell\nu$  transitions can be written as

$$\mathcal{H}_{\text{weak}}^{d_i \rightarrow u_j\ell\nu} = \sum_i C_i^{\ell(i)} O_i^{\ell(i)} + \text{H.c.}, \quad (58)$$

where the sum runs over the following operators,

$$O_V^{d_i u_j \ell\ell} = (\bar{u}_L^j \gamma^\mu d_L^i)(\bar{\ell}_L \gamma_\mu \nu_{\ell L}),$$

$$O_V^{d_i u_j \ell\ell'} = (\bar{u}_R^j \gamma^\mu d_R^i)(\bar{\ell}_L \gamma_\mu \nu_{\ell' L}), \quad (59)$$

$$O_S^{d_i u_j \ell\ell} = m_b(\bar{u}_L^j d_R^i)(\bar{\ell}_R \nu_{\ell L}),$$

$$O_S^{d_i u_j \ell\ell'} = m_b(\bar{u}_R^j d_L^i)(\bar{\ell}_R \nu_{\ell' L}), \quad (60)$$

$$O_T^{d_i u_j \ell\ell} = (\bar{u}_R^j \sigma^{\mu\nu} d_L^i)(\bar{\ell}_R \sigma_{\mu\nu} \nu_{\ell L}). \quad (61)$$

In the SM,  $C_V^{u_j d_i \ell\ell} = 4G_F V_{ij}/\sqrt{2}$  and all other coefficients vanish. In MFPC, all of them are generated. The direct

four-fermion contributions to their Wilson coefficients, to leading order (see footnote <sup>8</sup>) in  $s_\theta$ , read

$$C_V^{d_i u_j \ell\ell} \supset \frac{1}{2}(X_{du}^*)_{ij}(X_{e\nu})_{\ell\ell} \frac{C_{4f}^5 - C_{4f}^3}{\Lambda_{\text{TC}}^2}, \quad (62)$$

$$C_V^{d_i u_j \ell\ell'} \supset 0, \quad (63)$$

$$C_S^{d_i u_j \ell\ell} \supset (Y_{\bar{d}\bar{u}}^*)_{ij}(Y_{\bar{e}\bar{\nu}})_{\ell\ell} \frac{C_{4f}^2}{\Lambda_{\text{TC}}^2}, \quad (64)$$

$$C_S^{d_i u_j \ell\ell'} \supset \frac{1}{2}(Y_{d\bar{u}})_{ij}(Y_{\bar{e}\bar{\nu}})_{\ell\ell} \frac{C_{4f}^{8*} - 2C_{4f}^{7*}}{\Lambda_{\text{TC}}^2}, \quad (65)$$

$$C_T^{d_i u_j \ell\ell} \supset \frac{1}{8}(Y_{d\bar{u}})_{ij}(Y_{\bar{e}\bar{\nu}})_{\ell\ell} \frac{C_{4f}^{8*}}{\Lambda_{\text{TC}}^2}, \quad (66)$$

while the  $W$ -mediated contributions read

$$C_V^{d_i u_j \ell\ell} \supset -8\pi((X_{du}^*)_{ij} + V_{ji}(X_{e\nu})_{\ell\ell}) \frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}, \quad (67)$$

$$C_V^{d_i u_j \ell\ell'} \supset 8\pi(X_{\bar{d}\bar{u}})_{ij} \frac{C_{\Pi f}}{\Lambda_{\text{TC}}^2}. \quad (68)$$

As *constraints*, we consider the following processes sensitive to these Wilson coefficients:

- (i) For  $d \rightarrow u\ell\nu$ , the branching ratio of  $\pi^+ \rightarrow e\nu$  (which is sensitive to  $e$ - $\mu$  LFU violation since the branching ratio of the muonic mode is almost 100%),
- (ii) For  $s \rightarrow u\ell\nu$ , the branching ratio of  $K^+ \rightarrow \mu\nu$  and the ratio of  $K^+ \rightarrow \ell\nu$  branching ratios with  $\ell = e$  and  $\mu$ ,
- (iii) For  $b \rightarrow c\ell\nu$ , the branching ratios of  $B \rightarrow D\ell\nu$  with  $\ell = e$  and  $\mu$ .

As *predictions*, we further consider:

- (i) For  $b \rightarrow c\tau\nu$ , the ratios  $R_D$  and  $R_{D^*}$ .

Table IV lists all the experimental values and SM predictions according to flavio v0.23 used in our analysis. Note that the uncertainties on the SM prediction shown in this table include (and in many cases are dominated by) the parametric uncertainties due to the limited knowledge of CKM elements. In our numerical scan, as detailed in the following section, CKM parameters are predicted as functions of the model parameters, such that only the non-CKM uncertainties are relevant for the  $\chi^2$  in any given parameter point.

## IV. NUMERICAL ANALYSIS

To investigate possible NP effects of the MFPC model on the low-energy observables discussed above, we calculate predictions for these observables, depending on the position in the parameter space of the MFPC-EFT. To avoid strong constraints from charged lepton flavor violation (see e.g., [45]), we assume that the fundamental Yukawa coupling matrices  $y_L$  and  $y_{\bar{e}}$  can be diagonalized in the same basis at the matching scale.<sup>11</sup>

<sup>11</sup>Note that this assumption is not renormalization group invariant in the presence of lepton flavor universality violation [46].

### A. Parameters

The observables in our analysis depend on the following MFPC-EFT parameters:

- (i) The new strong coupling scale  $\Lambda_{\text{TC}} = 4\pi f_{\text{TC}}$ . We vary  $f_{\text{TC}}$  between 1 TeV and 3 TeV.
- (ii) The six real Wilson coefficients  $C_{4f}^1, C_{4f}^2, C_{4f}^3, C_{4f}^4, C_{4f}^5$ , and  $C_{\Pi f}$ . We vary their absolute values logarithmically between 0.1 and 10 and allow them to have either sign.
- (iii) The four complex Wilson coefficients  $C_{4f}^6, C_{4f}^7, C_{4f}^8$ , and  $C_{\text{Yuk}}$ . We vary their absolute values logarithmically between 0.1 and 10 as well as their complex phases linearly between 0 and  $2\pi$ .
- (iv) The four<sup>12</sup> fundamental Yukawa coupling matrices  $y_Q, y_L, y_{\bar{u}}, y_{\bar{d}}$ . For parametrizing them, we first introduce the effective Yukawa matrices

$$\tilde{y}_f = \sqrt{C_{\text{Yuk}}} y_f, \quad (69)$$

which allow for expressing the SM fermion mass matrices independently of  $C_{\text{Yuk}}$ . Each complex matrix  $\tilde{y}_f$  can, in general, be written in terms of one positive real diagonal and two unitary matrices. One of those two unitary matrices can always be absorbed in a redefinition of the SM fields. For two of the matrices  $\tilde{y}_f$ , the second unitary matrix can be absorbed into the techniscalar fields, and thus two effective Yukawa matrices can be chosen to be positive real diagonal. We choose

$$\begin{aligned} \tilde{y}_Q &= \text{diag}(y_{Q1}, y_{Q2}, y_{Q3}), \\ \tilde{y}_L &= \text{diag}(y_{L1}, y_{L2}, y_{L3}). \end{aligned} \quad (70)$$

Parametrizing the two remaining unitary matrices that enter  $\tilde{y}_{\bar{u}}, \tilde{y}_{\bar{d}}$  by, in total, six angles  $t_u^{12}, t_u^{13}, t_u^{23}, t_d^{12}, t_d^{13}, t_d^{23}$  and four phases<sup>13</sup>  $\delta_d, \delta_u, a_d, b_d$ , we get

$$\begin{aligned} \tilde{y}_{\bar{u}} &= \text{unitary}(t_u^{12}, t_u^{13}, t_u^{23}, \delta_u) \cdot \text{diag}(y_{u1}, y_{u2}, y_{u3}), \\ \tilde{y}_{\bar{d}} &= \text{unitary}(t_d^{12}, t_d^{13}, t_d^{23}, \delta_d, a_d, b_d) \\ &\cdot \text{diag}(y_{d1}, y_{d2}, y_{d3}). \end{aligned} \quad (71)$$

We vary the diagonal entries logarithmically between<sup>14</sup> 0.002 and  $4\pi$  and the angles and phases linearly between 0 and  $2\pi$ .

<sup>12</sup>Since we assume  $y_{\bar{e}}$  to be diagonal in the same basis as  $y_L$ , its entries are fixed by requiring that the product of  $y_L$  and  $y_{\bar{e}}$  yields the correct masses for the charged leptons.

<sup>13</sup>A general  $3 \times 3$  unitary matrix has five independent phases. However, six of the phases of  $\tilde{y}_{\bar{u}}$  and  $\tilde{y}_{\bar{d}}$  can be absorbed by field redefinitions, leaving four phases in total.

<sup>14</sup>The lower boundary for the diagonal entries of  $\tilde{y}_L$  is adjusted such that the diagonal entries of  $y_{\bar{e}}$  stay below  $4\pi$  when requiring the correct charged lepton masses.

TABLE III. Experimental values of Z boson partial width ratios used in our numerical analysis.

Observable	Measurement	
$R_e$	20.804(50)	[51]
$R_\mu$	20.785(33)	[51]
$R_\tau$	20.764(45)	[51]
$R_b$	0.21629(66)	[51]
$R_c$	0.1721(30)	[51]

We thus have, in total, 14 real parameters for the Wilson coefficients, 22 real parameters for the fundamental Yukawa matrices, and one real parameter for the new strong scale. The Wilson coefficients as well as the fundamental Yukawa matrices are defined at the matching scale, i.e., at 160 GeV.

### B. Strategy

Given the high dimensionality of the parameter space, a naive brute-force scan by randomly choosing each of the parameters is not applicable. We observe, however, that the quark masses and CKM elements only depend on the effective Yukawa matrices  $\tilde{y}_Q, \tilde{y}_{\bar{u}}$ , and  $\tilde{y}_{\bar{d}}$  (see Sec. III A). This can be used in a first step to find a region in parameter space where the predictions for the quark masses and CKM elements are close to experimental observations. In this step, only the effective quark Yukawa matrices have to be varied. The lepton Yukawa matrix  $\tilde{y}_L$ , all MFPC-EFT Wilson coefficients, and the new strong scale do not enter. In a second step, one can then randomly choose the remaining parameters while preserving the predictions of SM fermion masses<sup>15</sup> and CKM elements.

For predicting the quark masses, we construct the mass matrix in Eq. (21) from the effective Yukawa matrices and numerically diagonalize it via Eq. (23). We interpret each quark mass as an  $\overline{\text{MS}}$  running mass at 160 GeV and run it to the scale where it can be compared to its PDG average. The numerical diagonalization also yields the rotation matrices from which we calculate the CKM elements via Eq. (24). However, the CKM elements cannot be directly compared to the experimental values, as the observables are affected by dimension-six operators, too. Contrary to the quark masses, we consequently cannot impose the constraints on the CKM elements already in the first step of the scan. The CKM elements are therefore constrained in the second step by the charged-current semileptonic decays discussed in Sec. III C 3. This is done after taking the contributions from dimension-six operators into account. In the first step, however, we require the CKM elements to be close to certain

<sup>15</sup>As described above, by adjusting  $\tilde{y}_{\bar{e}}$ , the charged lepton masses are always fixed to their experimental value and are thus unaffected by varying  $\tilde{y}_L$ .

TABLE IV. Measurements and SM predictions (computed with flavio v0.23) of flavor observables used in our analysis. The first two blocks are the meson-antimeson mixing and charged current observables used as *constraints*, while the observables in the last block are considered as *predictions*.

Observable	Measurement		SM prediction
$\Delta M_s$	$(17.76 \pm 0.02)$ ps	[52]	$(19.9 \pm 1.7)$ ps
$\Delta M_d$	$(0.505 \pm 0.002)$ ps	[52]	$(0.64 \pm 0.09)$ ps
$S_{\psi\phi}$	$(3.3 \pm 3.3) \times 10^{-2}$	[52]	$(3.75 \pm 0.22) \times 10^{-2}$
$S_{\psi K_S}$	$0.679 \pm 0.020$	[52]	$0.690 \pm 0.025$
$ \epsilon_K $	$(2.228 \pm 0.011) \times 10^{-3}$	[53]	$(1.76 \pm 0.22) \times 10^{-3}$
$\text{BR}(B^+ \rightarrow D^0 \ell^+ \nu_\ell)$	$(2.330 \pm 0.098) \times 10^{-2}$	[52]	$(2.92 \pm 0.21) \times 10^{-2}$
$\text{BR}(\pi^+ \rightarrow e^+ \nu)$	$(1.234 \pm 0.002) \times 10^{-4}$	[54]	$(1.2341 \pm 0.0002) \times 10^{-4}$
$\text{BR}(K^+ \rightarrow \mu^+ \nu)$	$0.6356 \pm 0.0011$	[53]	$0.6296 \pm 0.0066$
$R_{e\mu}(K^+ \rightarrow \ell^+ \nu)$	$(2.488 \pm 0.009) \times 10^{-5}$	[53]	$(2.475 \pm 0.001) \times 10^{-5}$
$R_D$	$0.397 \pm 0.049$	[44]	$0.277 \pm 0.012$
$R_{D^*}$	$0.316 \pm 0.019$	[44]	$0.2512 \pm 0.0043$
$R_K^{[1,6]}$	$0.75^{+0.08}_{-0.10}$	[23]	$1.000 \pm 0.001$
$R_{K^*}^{[0.045,1.1]}$	$0.65^{+0.07}_{-0.12}$	[24]	$0.926 \pm 0.004$
$R_{K^*}^{[1.1,6.0]}$	$0.68^{+0.08}_{-0.12}$	[24]	$0.9965 \pm 0.0005$

input values that we have found to yield many points that pass the constraints imposed in the second step. To compare the predictions for the masses to their PDG averages and the predictions for the CKM elements to our input values, we construct a  $\chi^2$ -function  $\chi_{\text{mass,CKM}}^2$ . This function only depends on the 19 parameters of  $\tilde{y}_Q$ ,  $\tilde{y}_{\bar{u}}$ , and  $\tilde{y}_{\bar{d}}$ . We then proceed in the following way:

- (i) Starting from a randomly chosen point in the 19-dimensional parameter subspace where  $\chi_{\text{mass,CKM}}^2$  lives, we numerically minimize  $\chi_{\text{mass,CKM}}^2$  to find a viable point that predicts correct quark masses and CKM elements close to our input values.
- (ii) Starting from this viable point, we use a Markov chain for an efficient sampling of the parameter space, as first proposed in [47] and also applied in [48,49]. This is done by employing the Markov chain Monte Carlo implementation from the pypmc package [50]. The chain samples the region around the previously found minimum and generates 10 k viable points with a low value of  $\chi_{\text{mass,CKM}}^2$ .
- (iii) We reduce the autocorrelation of the 10 k viable points generated by the Markov chain by selecting only 1000 points.

The above steps are repeated 100 k times to yield 100 M points from 100 k local minima of  $\chi_{\text{mass,CKM}}^2$  that all predict CKM elements close to our input values and correct quark masses.

For these points, we then randomly choose the remaining 18 parameters and calculate all the observables discussed in Secs. III C and III B 2 using the open source package flavio

[42]. We subsequently construct  $\chi^2$ -functions for three classes of constraints:

- (i)  $\chi_Z^2$  compares the experimental values shown in Table III to our predictions of Z-decay observables discussed in Sec. III B 2.
- (ii)  $\chi_{\Delta F=2}^2$  compares the meson-antimeson mixing constraints from Table IV to the predictions of the observables discussed in Sec. III C 1.

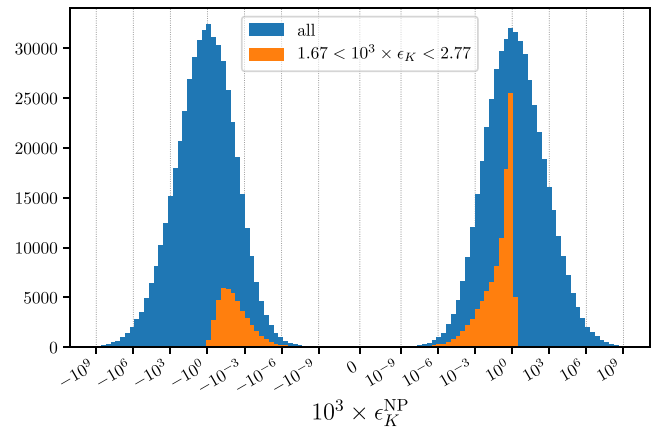


FIG. 2. Histogram showing the NP contribution to  $\epsilon_K$  for a representative subset of all points that feature the right masses and CKM elements, compared to the points among those that pass the experimental constraint. A positive NP contribution corresponds to constructive interference with the SM.

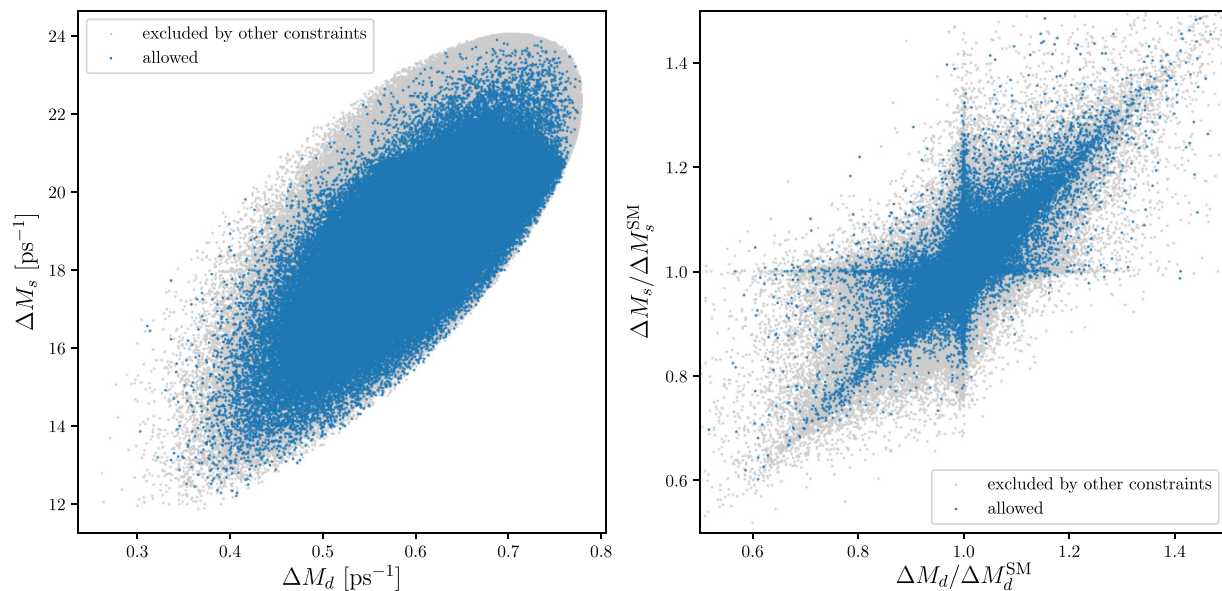


FIG. 3. Predictions for  $\Delta M_d$  and  $\Delta M_s$ . Gray points are excluded by constraints other than  $\Delta F = 2$ . Blue points are allowed by all constraints.

(iii)  $\chi_{\text{CC}}^2$  compares the constraints from semileptonic charged-current decays from Table IV to the predictions of the observables discussed in Sec. III C 3. These  $\chi^2$  functions are then used to apply the various experimental constraints on the parameter points.

## C. Results

### 1. Meson-antimeson mixing

As discussed in Sec. III C 1, the constraints from meson-antimeson mixing, in particular, the neutral kaon sector, are expected to be very important in the case of “flavor anarchic” fundamental Yukawa couplings. This is confirmed by our numerical findings, where many parameter points that have the correct quark masses and CKM mixing angles predict an order-of-magnitude enhancement of  $\epsilon_K$ . This “ $\epsilon_K$  problem,” which plagues all models with partial compositeness (or its extra-dimensional dual description) without additional flavor symmetries [20,55,56], is often phrased as requiring a scale  $\Lambda_{\text{TC}}$  in excess of 15 TeV, based on a naive estimate  $C_{VLR} \sim C_{SLR} \sim m_d m_s / (v^2 \Lambda_{\text{TC}}^2)$ . However, the exact result depends strongly on the precise form of the fundamental Yukawa couplings and can deviate from this naive estimate by orders of magnitude in either direction. In fact, we find a significant number of points where  $\epsilon_K$  is within the experimentally allowed range. To get a sense of the size of the new physics contributions to  $\epsilon_K$ ,<sup>16</sup> we present the histogram in Fig. 2. It includes a representative subset of all the points that

<sup>16</sup>Here we are referring to the genuine dimension-6 NP contributions but remind the reader that CKM elements are varied during our scan; thus, the SM prediction itself also differs from point to point.

have the correct fermion masses and CKM matrix, along with the points surviving the  $\epsilon_K$  constraint.<sup>17</sup> This histogram shows that the new physics contribution varies over many orders of magnitude. Our variation of the Wilson coefficients, which enter linearly, between 0.1 and 10 is only partially responsible for this variation.

New physics contributions to  $B^0$  and  $B_s$  meson mixing are generated as well, even though the effects are less problematic than in  $K^0$  mixing since the chiral enhancement of the  $LR$  operators is absent. In Fig. 3, we show the predictions for the mass differences  $\Delta M_d$  and  $\Delta M_s$  for all of our allowed points as well as for the points excluded by constraints other than meson-antimeson mixing. We emphasize again that the CKM parameters are varied during our scan. Consequently, the allowed ranges for  $\Delta M_d$  and  $\Delta M_s$  for a given parameter point, with fixed CKM elements, are determined by the experimental measurements smeared by the uncertainties of the matrix elements from lattice QCD [58]. The elliptic outline visible in the left-hand panel of Fig. 3 corresponds to these allowed ranges imposed at  $3\sigma$  in our scan. The reason for the allowed (blue) points clustering in the lower part of this ellipse is that the maximal values of  $\Delta M_s$  are most easily accessed for high values of  $V_{cb}$ , which are, however,

<sup>17</sup>An interesting feature of the histogram is the fact that there are more allowed points with a NP contribution to  $\epsilon_K$  interfering constructively with the SM. The reason is that, as discussed above, we used the exclusive semileptonic decays  $B \rightarrow D\ell\nu$  as constraints in our scan. They currently prefer a lower value of  $V_{cb}$  compared to the inclusive semileptonic decay. Since the SM prediction of  $\epsilon_K$  is highly sensitive to the value of  $V_{cb}$ , this tends to lead to a value that is on the low side of the measurement [57], favoring constructively interfering NP.

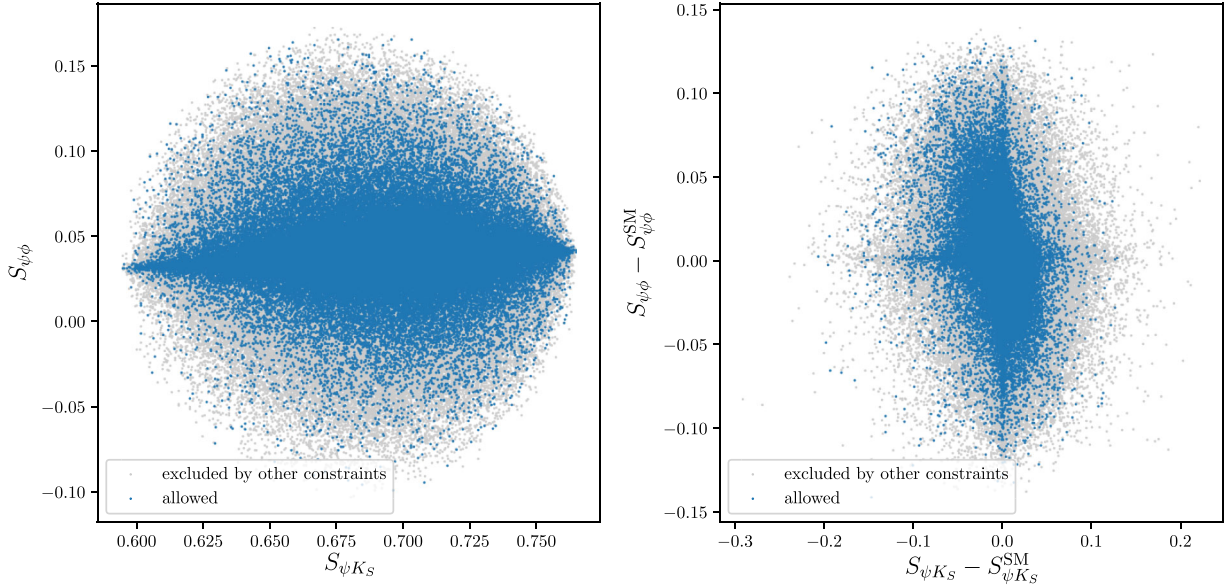


FIG. 4. Predictions for the mixing induced  $CP$  asymmetries in  $B^0 \rightarrow J/\psi K_S$  and  $B_s \rightarrow J/\psi \phi$ , sensitive to the  $B^0$  and  $B_s$  mixing phases. Gray points are excluded by constraints other than  $\Delta F = 2$ . Blue points are allowed by all constraints.

disfavored by the  $B \rightarrow D\ell\nu$  branching ratios imposed in our scan. To disentangle the shifts in  $\Delta M_d$  and  $\Delta M_s$  due to variation of CKM parameter vs. genuine dimension-6 new physics contributions, it is instructive to plot the total contribution divided by the SM contribution for the given value of the CKM parameters at each point. The result is shown in the right-hand panel of Fig. 3. The allowed points show relative modifications of both observables of up to 40% with respect to the SM; this is possible since the modifications can be partially compensated by shifts in the CKM parameters. Both observables can be enhanced or suppressed. We further observe three clusters of points with sizable new physics effects: where mostly  $\Delta M_d$  is affected, where mostly  $\Delta M_s$  is affected, and where both are affected in the same way.

Apart from modifying the mass differences in the  $B^0$  and  $B_s$  systems, new  $CP$ -violating phases can also be generated in the mixing amplitudes. These can be probed in the mixing induced  $CP$  asymmetries in  $B^0 \rightarrow J/\psi K_S$  and  $B_s \rightarrow J/\psi \phi$ . The predictions for these observables are shown in Fig. 4. The left-hand panel again shows the allowed points due to variation of CKM elements and new physics contributions, while the right-hand panel shows the shift in the asymmetries due to genuine dimension-6 new physics contributions by subtracting the SM contribution for the given values of CKM elements in each point. We observe that the shift in both asymmetries can be of order 0.1, and we again observe clusters of points with sizable effects where mostly one of the two observables is affected.

## 2. Tree-level decays and lepton flavor universality

The precise measurements of  $\text{BR}(\pi \rightarrow e\nu)$  and  $R_{e\mu}(K \rightarrow \ell\nu) = \text{BR}(K \rightarrow e\nu)/\text{BR}(K \rightarrow \mu\nu)$ , which we

impose as constraints in our analysis, lead to a strong restriction of  $e$ - $\mu$  universality violation. This is important since we are interested in the allowed size of  $e$ - $\mu$  universality violation in flavor-changing *neutral* currents, as indicated by LHCb measurements. In our scan, we find points where the deviations in these two observables are much larger than allowed by experiments, but we find the *ratio* of the two to always be SM-like. This can be easily understood since the dominant effects in these transitions involving light quarks,  $u \rightarrow d\ell\nu$  or  $s \rightarrow u\ell\nu$ , are through a modified  $W$  coupling to leptons induced by the operator  $\mathcal{O}_{\Pi f}$ , while the direct four-fermion contributions induced by the operators  $\mathcal{O}_{4f}^i$  are suppressed by the small fundamental Yukawa couplings of the light quark generations. By  $SU(2)_L$  symmetry, this lepton flavor nonuniversal modification of  $W$  couplings implies a corresponding modification of  $Z$  couplings that is constrained by  $Z$  pole measurements at the LEP. In Fig. 5, we show a histogram of the values for the two observables of interest for all the points passing the meson-antimeson mixing constraints. We distinguish points excluded by the LEP, excluded by flavor (i.e., one of the *charged-current* decays imposed as constraints in the analysis), excluded by both, and allowed by all constraints. These plots demonstrate that LEP and flavor constraints are both relevant to constrain  $e$ - $\mu$  universality violation in  $Z$  couplings and that the resulting constraint is at the percent level.

Lepton-flavor universality in charged currents is also tested in the decays  $B \rightarrow D^{(*)}\tau\nu$  based on the  $b \rightarrow c\tau\nu$  transition, which are experimentally more challenging than the  $B \rightarrow D^{(*)}\ell\nu$  decays with  $\ell = e$  or  $\mu$  that are used to measure the CKM element  $V_{cb}$ . In recent years, several

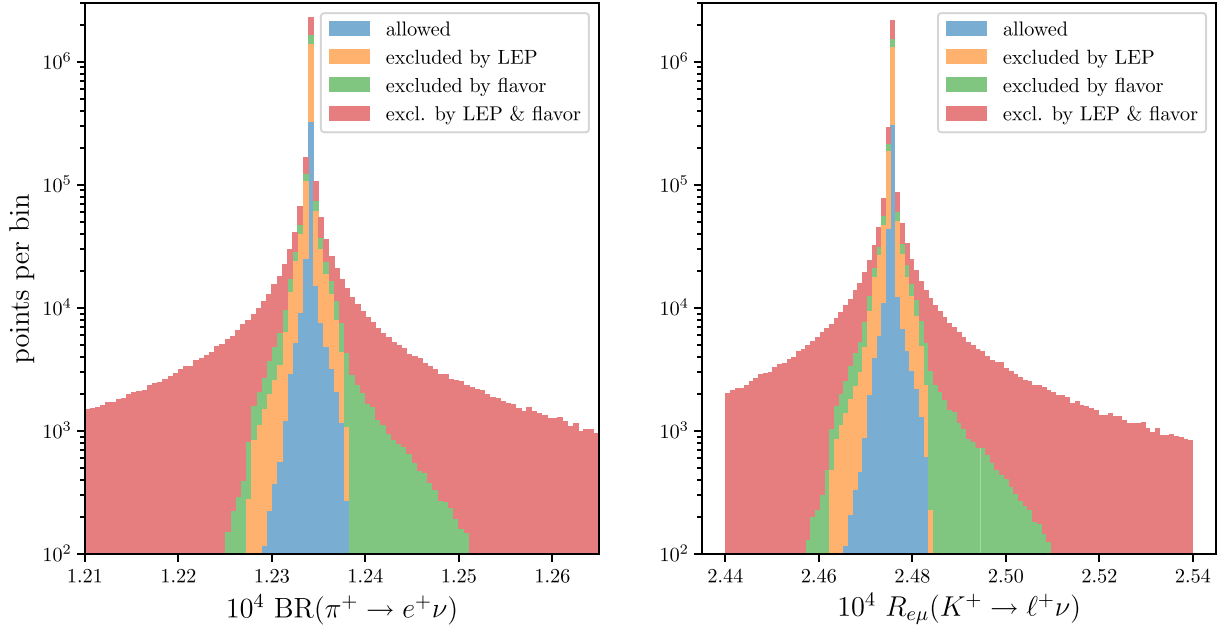


FIG. 5. Histogram showing the distribution of the predictions for two observables probing  $e$ - $\mu$  universality violation in  $Z$  couplings for all points passing the meson-antimeson mixing constraints. Points labeled “excluded by LEP” are excluded by the partial  $Z$  width measurements at the LEP, while the points labeled “excluded by flavor” are excluded by one of the charged-current decays imposed as constraints.

measurements by *BABAR*, *Belle*, and LHCb [25–30] have consistently shown higher values for the ratios

$$R_{D^{(*)}} = \frac{\Gamma(B \rightarrow D^{(*)} \tau \nu)}{\Gamma(B \rightarrow D^{(*)} \ell \nu)} \quad (72)$$

than predicted, with small uncertainties, in the SM. A global combination by the HFLAV Collaboration finds a combined significance of around  $4\sigma$  [44]. In Fig. 6, we show our predictions for  $R_D$  and  $R_{D^*}$  for all allowed points.

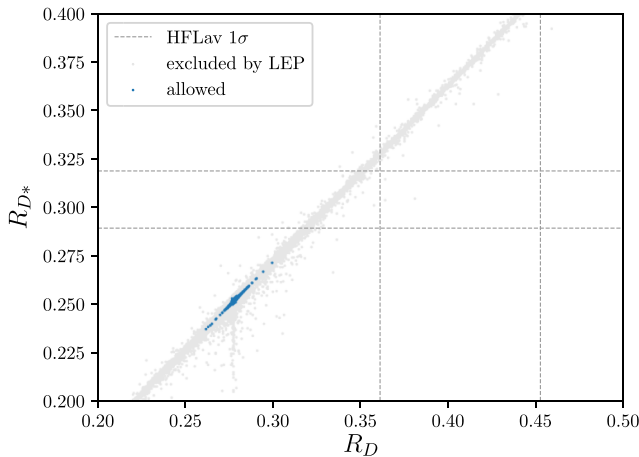


FIG. 6. Predictions for lepton flavor universality tests in  $B \rightarrow D\tau\nu$  and  $B \rightarrow D^*\tau\nu$  compared to the SM prediction and the experimental world averages for all allowed points (dark blue) as well as for all points excluded by LEP  $Z$  pole constraints (light gray).

The dominant effects lead to a simultaneous increase (or decrease) of both ratios, as observed by experiment, since they are generated by a vector operator with left-handed quarks and leptons. But although there are some points in parameter space where the tension with experiment can be reduced compared to the SM, the overall size of the effects is too small to accommodate the experimental central values. The main reason for this is the limit on the size of the  $\tau$  lepton fundamental Yukawa coupling coming from  $Z \rightarrow \tau\tau$  decays at the LEP. Switching off the LEP constraints, we find huge effects in both  $R_D$  and  $R_{D^*}$ , as shown by the light gray points in Fig. 6. An interesting question is whether a nonminimal FPC model with a vanishing Wilson coefficient for the operator  $\mathcal{O}_{\Pi f}$  or some other protection of the  $Z\tau\tau$  coupling exists that could accommodate a large violation of LFU in  $R_D$  and  $R_{D^*}$ . We leave the investigation of this question to a future analysis.

### 3. Lepton flavor universality tests in FCNC decays

Measurements by the LHCb experiment of the ratios

$$R_{K^{(*)}}^{[a,b]} = \frac{\int_a^b dq^2 \frac{d\Gamma}{dq^2}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\int_a^b dq^2 \frac{d\Gamma}{dq^2}(B \rightarrow K^{(*)} e^+ e^-)} \quad (73)$$

show tensions with the theoretically very clean SM prediction at the level of  $2 - 3\sigma$  [23,24]. Several analyses have shown that these tensions can be consistently explained by physics beyond the SM, in particular, by a vector operator with left-handed quarks and muons [59–64]. As seen from

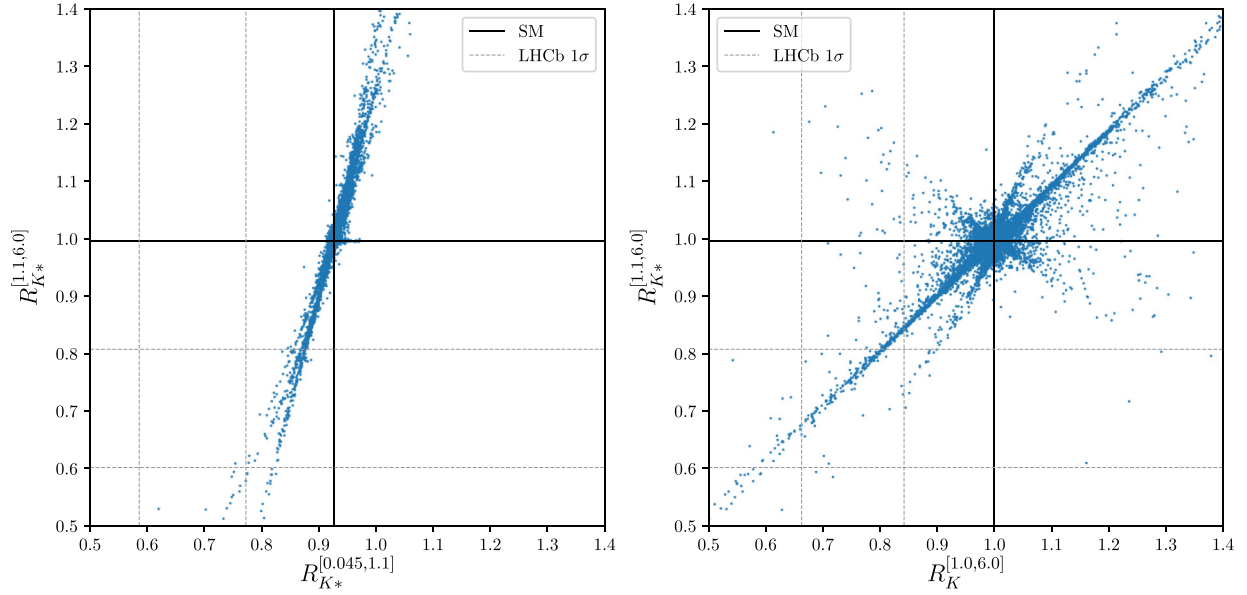


FIG. 7. Predictions for  $\mu$ - $e$  universality tests in  $B \rightarrow K^* \ell^+ \ell^-$  and  $B \rightarrow K \ell^+ \ell^-$  compared to the SM prediction and the LHCb measurements for all allowed points.

the discussion in Sec. III C 2, such an operator is generated in MFPC as well, along with the analogous operator with right-handed muons. In effective models of partial compositeness, it has been shown that the deviation in  $R_{K^{(*)}}$  can be explained if left-handed muons have a significant degree of compositeness<sup>18</sup> [67] (see also [68,69] for extra-dimensional constructions), corresponding to a sizable fundamental Yukawa coupling in MFPC. In Fig. 7, we show our predictions for  $R_K$  and  $R_{K^*}$  for all allowed points in the bins measured by LHCb, compared to the SM prediction and the experimental measurement. We find a significant number of points where all three observations can be explained within  $1 - 2\sigma$ , demonstrating that the MFPC model can explain all  $R_{K^{(*)}}$  anomalies in terms of new physics. This comes about by means of an operator involving either left-handed muons or electrons. In the former case, the model also fits the global fit to  $b \rightarrow s \mu^+ \mu^-$  observables, where additional tensions are present (see e.g., [70]), much better than the SM.

## V. CONCLUSIONS

We have performed a comprehensive numerical analysis of flavor physics in minimal fundamental partial compositeness. To the best of our knowledge, this is the first numerical analysis of a UV completion of partial compositeness with a realistic flavor structure in the quark sector. Our main findings can be summarized as follows.

- (i) Indirect  $CP$  violation in kaon mixing (measured by the parameter  $\epsilon_K$ ) is larger than observed in large

<sup>18</sup>An alternative explanation with partial compositeness using NP both in the electronic and muonic channels has been suggested as well [65,66].

parts of the parameter space, but we also find a large number of points where it is small enough.

- (ii) For the points allowed by the  $\epsilon_K$  constraints, sizable effects in  $B^0$  and  $B_s$  mixing are observed for many points, including nonstandard  $CP$ -violating mixing phases close to the level currently probed in precision experiments.
- (iii) While we impose the absence of charged lepton flavor violation for simplicity, the violation of lepton flavor *universality* is unavoidable with partial compositeness. We find LFU tests like the ratios of  $\pi$  or  $K \rightarrow e \nu$  vs.  $\mu \nu$  to constitute important constraints on the parameter space.
- (iv) LFU violation in  $B \rightarrow D^{(*)} \tau \nu$ , as currently indicated by several experiments at the level of  $4\sigma$ , cannot be generated at a sufficient size to reproduce the experimental central values due to LEP constraints on the  $Z \tau \tau$  couplings. The tensions can, however, be ameliorated compared to the SM.
- (v) The MFPC model can explain hints for both LFU violation in  $B \rightarrow K e e$  vs.  $\mu \mu$  ( $R_K$ ) and  $B \rightarrow K^* e e$  vs.  $\mu \mu$  ( $R_{K^*}$ ) simultaneously, as shown in Fig. 7.

To summarize, minimal fundamental partial compositeness is a predictive UV complete model with a realistic flavor sector that can be tested by present and future flavor physics experiments. If the anomalies in  $R_D$  and  $R_{D^*}$  are confirmed to be due to NP, a nonminimal model with protected  $Z$  couplings to tau leptons might be preferred. If the deviations in  $R_K$  and  $R_{K^*}$  are confirmed, they could be first indications of technifermions and techniscalars coupling strongly to muons.

Our explorative study can be generalized in several ways. There are additional low-energy precision tests that we have not considered, e.g., the anomalous magnetic moment

of the muon or electric dipole moments. We have also not attempted to construct a realistic lepton sector explaining the origin of neutrino masses or the absence of lepton flavor violation. In contrast to effective models of partial compositeness, the form factors of the new strong interaction, which we have simply scanned here, could also be computed in principle, boosting the predictiveness of the model.

### ACKNOWLEDGMENTS

P. S. would like to thank Christoph Niehoff for useful discussions. The work of P. S. and D. S. was supported by the DFG cluster of excellence ‘‘Origin and Structure of the Universe.’’ F. S. and A. E. T. acknowledge partial support from the Danish National Research Foundation Grant No. DNRF:90.

### APPENDIX: NEXT-TO-LEADING-ORDER OPERATORS FOR THE KINETIC TERMS

Reference [10] listed all operators that modify the kinetic terms of the EW gauge bosons and the pNGBs at NLO.

For completeness we refer here to the operators which contribute to the EW precision parameters,  $S$  and  $T$ . The leading operator contributing to the  $S$  parameter is

$$\mathcal{O}_{WW} = \frac{1}{32\pi^2} A_{\mu\nu}^I A^{J\mu\nu} \text{Tr}[T_{\mathcal{F}}^I \Sigma (T_{\mathcal{F}}^J)^T \Sigma^\dagger]. \quad (\text{A1})$$

There are two kinds of operators contributing to the  $T$  parameter. Two operators are due to corrections from the EW gauge interactions,

$$\mathcal{O}_{\Pi D}^1 = \frac{1}{32} \frac{f_{\text{TC}}^2}{16\pi^2} \text{Tr}[(\Sigma \overleftrightarrow{D}_\mu \Sigma^\dagger) T_{\mathcal{F}}^I (\Sigma \overleftrightarrow{D}^\mu \Sigma^\dagger) T_{\mathcal{F}}^I], \quad (\text{A2})$$

$$\mathcal{O}_{\Pi D}^2 = \frac{1}{32} \frac{f_{\text{TC}}^2}{16\pi^2} \text{Tr}[(\Sigma \overleftrightarrow{D}_\mu \Sigma^\dagger) T_{\mathcal{F}}^I] \text{Tr}[(\Sigma \overleftrightarrow{D}^\mu \Sigma^\dagger) T_{\mathcal{F}}^I], \quad (\text{A3})$$

and four operators are due to corrections from SM fermions,

$$\mathcal{O}_{y\Pi D}^1 = \frac{1}{32} \frac{f_{\text{TC}}^2}{(4\pi)^4} (y_f^* y_f)^{a_1}{}_{a_2}{}_{i_1 i_2} (y_{f'}^* y_{f'})^{a_3}{}_{a_4}{}_{i_3 i_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_1}{}_{a_2} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_3}{}_{a_4} \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (\text{A4})$$

$$\mathcal{O}_{y\Pi D}^3 = \frac{1}{32} \frac{f_{\text{TC}}^2}{(4\pi)^4} (y_f^* y_f)^{a_1}{}_{a_2}{}_{i_1 i_2} (y_{f'}^* y_{f'})^{a_3}{}_{a_4}{}_{i_3 i_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_1}{}_{a_2} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_3}{}_{a_4} \epsilon_{i_1 i_4} \epsilon_{i_2 i_3}, \quad (\text{A5})$$

$$\mathcal{O}_{y\Pi D}^4 = \frac{1}{32} \frac{f_{\text{TC}}^2}{(4\pi)^4} (y_f^* y_f)^{a_1}{}_{a_2}{}_{i_1 i_2} (y_{f'}^* y_{f'})^{a_3}{}_{a_4}{}_{i_3 i_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_1}{}_{a_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_3}{}_{a_2} \epsilon_{i_1 i_2} \epsilon_{i_3 i_4}, \quad (\text{A6})$$

$$\mathcal{O}_{y\Pi D}^6 = \frac{1}{32} \frac{f_{\text{TC}}^2}{(4\pi)^4} (y_f^* y_f)^{a_1}{}_{a_2}{}_{i_1 i_2} (y_{f'}^* y_{f'})^{a_3}{}_{a_4}{}_{i_3 i_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_1}{}_{a_4} (\Sigma^\dagger \overleftrightarrow{D}^\mu \Sigma)_{a_3}{}_{a_2} \epsilon_{i_1 i_4} \epsilon_{i_2 i_3}. \quad (\text{A7})$$

We have normalized these operators corresponding to the normalization of the decay constant in the LO kinetic terms, such that the corresponding strong coefficients are expected to be  $\mathcal{O}(1)$ .

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