



TECHNISCHE UNIVERSITÄT MÜNCHEN

Fakultät für Medizin

Institut für Allgemeine Pathologie  
und Pathologische Anatomie

(Direktor: Prof. Dr. W. Weichert)

**Characterization of Pancreatic Stellate Cells in Pancreatic Ductal  
Adenocarcinoma and Cases of Chronic Pancreatitis of Various Etiologies**

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**Lena Julia Häberle**

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Vorsitzender: Prof. Dr. Ernst J. Rummeny  
Prüfer der Dissertation: 1. Prof. Dr. Irene Esposito  
2. Prof. Dr. Bernhard Holzmann

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## 1 Abbreviations

|               |  |
|---------------|--|
| $\alpha$ -CB  | $\alpha$ -Crystallin B   |
| $\alpha$ -SMA | $\alpha$ -Smooth muscle actin  |
| 5-FU          | 5-Fluoruracil  |
| AIP           | Autoimmune pancreatitis  |
| AIP1          | Autoimmune pancreatitis type 1   |
| AIP2          | Autoimmune pancreatitis type 2   |
| APC           | Adenomatous polyposis coli   |
| APS           | Ammonium persulfate  |
| ASI           | Activated stroma index   |
| BCA           | Bicinchoninic acid   |
| BDNF          | Brain-derived neurotrophic factor  |
| BRCA1         | Breast cancer 1, early onset   |
| BRCA2         | Breast cancer 2, early onset   |
| BSA           | Bovine serum albumin   |
| CCK-A         | Cholecystokinin A  |
| CD34          | Cluster of differentiation 34  |
| CD4           | Cluster of differentiation 4   |
| CD56          | Cluster of differentiation 56  |
| CFTR          | Cystic fibrosis transmembrane conductance regulator                        |
| CP            | Chronic pancreatitis   |
| CT            | Computed tomography  |
| Da            | Dalton (1 Dalton = 1 g/mol)  |
| DAB           | Diaminobenzidine   |
| DMEM          | Complete Dulbecco's Modified Eagle's Medium                                |
| DMSO          | Dimethyl sulfoxide   |
| DNA           | Deoxyribonucleic acid  |
| ECM           | Extracellular matrix   |
| EDTA          | Ethylenediaminetetraacetic acid  |
| EGTA          | Ethylene glycol-bis( $\beta$ -aminoethyl ether)-N,N,N',N'-tetraacetic acid |
| EMT           | Epithelial-mesenchymal transition  |
| ERCP          | Endoscopic retrograde cholangiopancreatography                             |
| EtBr          | Ethidiumbromide  |
| EUS           | Endoscopic ultrasound  |

## Abbreviations

|                |  |
|----------------|--|
| FAP            | Familial adenomatous polyposis   |
| FBOC           | Familial breast and ovarian cancer   |
| FBS            | Fetal bovine serum   |
| FGF-2          | Fibroblast growth factor 2   |
| FOLFIRINOX     | Folinic acid, 5-fluoruracil, irinotecan and oxaliplatin chemotherapy regimen |
| FPC            | Familial pancreatic cancer   |
| GAPDH          | Glyceraldehyde 3-phosphate dehydrogenase                                     |
| GEL(s)         | Granulocytic epithelial lesion(s)  |
| GFAP           | Glial fibrillary acidic protein  |
| HE, H&E        | Hematoxin & Eosin stain  |
| HNPCC          | Hereditary nonpolyposis colorectal cancer                                    |
| HRP            | Horseradish peroxidase   |
| (h)rs          | Hour(s)  |
| HSC(s)         | Hepatic stellate cell(s)   |
| IF             | Immunofluorescence   |
| IgG4           | Immunoglobulin G4  |
| IHC            | Immunohistochemistry   |
| IL-1           | Interleukin 1  |
| IL-6           | Interleukin 6  |
| IL-10          | Interleukin 10   |
| KCl            | Potassium chloride   |
| mA             | Milliampere  |
| MDCT           | Multidetector computed tomography  |
| min(s)         | Minute(s)  |
| mL             | Milliliter   |
| MLH1           | MutL homolog 1   |
| MMP            | Matrix metalloprotease   |
| MRCP           | Magnet resonance cholangiopancreatography                                    |
| MRI            | Magnet resonance imaging   |
| mRNA           | Messenger ribonucleic acid   |
| MSH2           | MutS homolog 2   |
| MSH6           | MutS homolog 6   |
| Nab-paclitaxel | Nanoparticle albumin bound paclitaxel  |
| NaCl           | Sodium chloride  |
| N-CAM          | Neural cell adhesion molecule  |

## Abbreviations

|                |  |
|----------------|--|
| NGF            | Nerve growth factor                                      |
| NGFR           | Nerve growth factor receptor                             |
| NP-40          | Tergitol-type NP-40                                      |
| dNTP           | Deoxynucleotide triphosphates                            |
| NT-3           | Neurotrophin-3   |
| NT-4           | Neurotrophin-4   |
| PBS            | Phosphate-buffered saline                                |
| PCC(s)         | Pancreatic cancer cell(s)                                |
| PCR            | Polymerase chain reaction                                |
| PDAC           | Pancreatic ductal adenocarcinoma                         |
| PDGF           | Platelet-derived growth factor                           |
| PEGPH20        | PEGylated human recombinant PH20 hyaluronidase           |
| PMS2           | PMS1 homolog 2   |
| PRSS1          | Protease, serine 1                                       |
| PSC(s)         | Pancreatic stellate cell(s)                              |
| ROI            | Region of interest                                       |
| ROS            | Reactive oxygen species                                  |
| rpm            | Rounds per minute  |
| RT             | Room temperature   |
| SDS-PAGE       | Sodium dodecyl sulfat-polyacrylamide gel electrophoresis |
| sec(s)         | Second(s)  |
| sHSP(s)        | Small heat-shock protein(s)                              |
| SPARC          | Secreted protein acidic and rich in cysteine             |
| SPINK1         | Serine protease inhibitor, Kazal type 1                  |
| Taq polymerase | Thermus aquaticus polymerase                             |
| TBS            | Tris-buffered saline                                     |
| TBS-T          | Tris-buffered saline with Tween 20                       |
| TEMED          | Tetramethylethylenediamine                               |
| TGF- $\beta$   | Transforming growth factor $\beta$                       |
| TNF- $\alpha$  | Tumor necrosis factor $\alpha$                           |
| TRIS           | Tris(hydroxymethyl)aminomethane                          |
| TrkA           | Topomyosin receptor kinase A                             |
| TrkB           | Topomyosin receptor kinase B                             |
| TrkC           | Topomyosin receptor kinase C                             |
| TTBS           | Tris-buffered saline and tween 20                        |

## Abbreviations

|     |                            |
|-----|----------------------------|
| UV  | Ultraviolet                |
| V   | Volt                       |
| VDR | Vitamin D receptor         |
| WB  | Western blot               |
| WHO | World Health Organization  |
| YFP | Yellow fluorescent protein |



## 2 Introduction

### 2.1 Stromal reaction

The stroma, which consists of both fibrous proteins and proteoglycans, is the supporting connective tissue of an organ. However, its significance runs much deeper than simply providing a framework for tissue architecture.

The term “stromal reaction” or “fibrosis” refers to the excessive production and deposition of collagen I and other extracellular matrix (ECM) components by activated cells of the stroma. The stromal reaction is a response to tissue damage of any origin. It can occur in the context of repair after tissue necrosis, persistent chronic inflammation or cancer. A stromal reaction as consequence of tissue repair can be referred to as *scar*, while the stromal reaction accompanying cancer is called *desmoplasia*. The term *sclerosis* describes mature paucicellular connective tissue rich in collagen, while the term *cirrhosis* is bound to the additional formation of regenerative nodules.

#### 2.1.1 Production of connective tissue in physiological wound healing

The production of connective tissue per se is an integral part of physiological wound healing. After primary hemostasis, which is facilitated by activated platelets, the inflammatory phase of wound healing follows, where neutrophils and later on also macrophages perform phagocytosis. The release of PDGF and TGF- $\beta$  from macrophages leads to migration and activation of fibroblasts, which begin to synthesize and deposit ECM proteins during the proliferative phase of wound healing. The newly synthesized ECM is then further organized in the phase of remodeling (Broughton et al., 2006).

The control of the ECM production and the proper progress of tissue organization are crucial to keep the process within physiological boundaries.

#### 2.1.2 Fibrosis in chronic inflammatory diseases

Chronic inflammation is a persistent immune reaction characterized by the co-existence of inflammation, ECM deposition and remodeling processes. While acute inflammation typically resolves rapidly, chronic inflammation is characterized by a persistent stimulus that continuously triggers the proliferation of stromal cells and their production and synthesis of ECM, leading to an imbalance between anti- and pro-fibrotic processes in favor of the latter. Therefore, fibrosis is a crucial factor in a variety of chronic inflammatory diseases, such as idiopathic pulmonary fibrosis, kidney fibrosis due

to glomerulonephritis or diabetic nephropathy, hepatic fibrosis or cirrhosis triggered by chronic hepatitis and pancreatic fibrosis in chronic pancreatitis (Klöppel et al., 2004; Ueha et al., 2012).

Fibrosis results in the loss and replacement of functional tissue and eventually leads to organ failure. The process of fibrosis was considered completely irreversible for a long time and the possibility of reversing fibrosis therapeutically, at least to some extent, is still being debated controversially (Paz & Shoenfeld, 2010).

### **2.1.3 Desmoplasia in malignant diseases**

While chronic inflammation itself is an established risk factor for the development of cancer (Balkwill & Mantovani, 2001; Coussens & Werb, 2002), the stromal reaction can provide another link between the two processes. Just like chronic inflammatory diseases, malignant tumors can trigger the production of excessive amounts of ECM proteins. Originally thought to act as a barrier of the body against cancer invasion, the dense stroma surrounding tumors is now thought to create a tumor-supportive microenvironment (Erkan et al., 2010), although controversial data exist (Ozdemir et al., 2014; Rhim et al., 2014). A desmoplastic stromal reaction is especially relevant in breast cancer (Conklin & Keely, 2012; Walker, 2001), prostate cancer (Dakhova et al., 2014; Tuxhorn et al., 2002) and pancreatic cancer (Erkan et al., 2007; Esposito et al., 2006; Paron et al., 2011).

## **2.2 Chronic pancreatitis**

### **2.2.1 Subtypes**

Chronic pancreatitis is an inflammatory disease characterized by a progressive destruction of the secretory parenchyma of the pancreas and its replacement with fibrous tissue. These irreversible morphological changes result in a loss of exocrine and endocrine functions of the pancreas. There are various subtypes of chronic pancreatitis derived from different etiologies. These different subtypes display varying histological changes, for example distinctive patterns of fibrosis.

#### **2.2.1.1 Alcohol-induced chronic pancreatitis**

Although initially thought to account for as much as 70 % of cases of chronic pancreatitis (Amann et al., 1996), newer studies suggest that only 44 % of chronic pancreatitis cases in the United States are alcohol-induced (Yadav & Whitcomb, 2010). There are several theories about the pathogenesis of alcohol-induced chronic pancreatitis. The *protein plug* or *ductal hypothesis* suggests that chronic

ethanol consumption leads to an increased concentration of proteins in the pancreatic juice, which results in precipitation of calcifying protein deposits in pancreatic ducts. These protein plugs cause duct obstruction and damage of the duct-lining epithelium, which then leads to acinar atrophy and inflammation upstream of the obstruction, eventually creating a **periductal** fibrosis (Sarles, 1986). A different theory, known as *necrosis-fibrosis sequence*, postulates that alcohol-induced chronic pancreatitis is a result of recurrent episodes of severe acute (necrotizing) pancreatitis. It is suggested that a focal autodigestive necrosis of interstitial fat cells leads to an inflammatory reaction as well as hemorrhage, eventually resulting in fibrosis (Klöppel et al., 2004). Since the initial necrosis is located in the interstitial spaces surrounding the lobules, an **inter- or perilobular** pattern of fibrosis results. When interlobular ducts are subsequently affected, the fibrosis leads to duct strictures, precipitation of proteins in the duct and, finally, duct obstruction. This eventually leads to acinar atrophy and **intra-lobular** fibrosis in the incompletely drained regions upstream of the obstruction (Klöppel et al., 2004). The *toxic metabolite or acinar theory* hypothesizes that chronic consumption of ethanol induces a fatty degeneration of acinar cells, thus resulting in acinar atrophy and pancreatic fibrosis (Bordalo et al., 1984; Noronha et al., 1981). Similarly, the *oxidative stress hypothesis* speculates that ethanol-induced excess free radicals induce acinar degeneration, which then triggers an inflammatory response and leads to fibrosis (Braganza, 1983).

### 2.2.1.2 Autoimmune pancreatitis

Autoimmune pancreatitis type 1 (AIP type 1) is believed to be the pancreatic manifestation of an IgG4-related systemic, probably autoimmune disease, which can be associated with extrapancreatic lesions like sclerosing cholangitis, sclerosing sialadenitis and retroperitoneal fibrosis (Chari et al., 2010; Esposito et al., 2008). The histopathological appearance of AIP type 1 is characterized by an extensive infiltration of IgG4-positive plasma cells and CD4-positive lymphocytes, a lymphoplasmacellular perivenular infiltration, which often leads to an obstructive phlebitis, and a storiform or swirling fibrosis (Okazaki et al., 2014). The initial lymphoplasmacellular infiltration and the resulting fibrosis focus on medium-sized ducts, which become obstructed in the process. It has been proposed that the inflammation subsequently expands, leading to a **periductal**, then **interlobular** and finally also **intra-lobular** fibrosis pattern. The result is a **storiform** fibrosis pattern (Klöppel et al., 2004).

Autoimmune pancreatitis type 2 (AIP type 2), on the other hand, exclusively affects the pancreas. A distinctive feature of AIP type 2 are so-called granulocytic epithelial lesions (GELs), characterized by the destruction of duct epithelium by infiltrating neutrophilic granulocytes. Like in AIP type 1, a storiform fibrosis and a prominent lymphoplasmacellular infiltrate may occur. However, IgG4-positive plasma cells are scarce, if present at all, in AIP type 2 (Klöppel, 2013).

The storiform fibrosis and the fact that the disease is often limited to a marked out area of the pancreas can lead to a tumorous appearance of AIP, causing it to macroscopically mimic PDAC (Klöppel, 2013).

### 2.2.1.3 Hereditary pancreatitis

Hereditary pancreatitis is caused by a dysfunctional regulation of the enzyme trypsin. In 60-100 % of families with hereditary pancreatitis, an autosomal-dominant mutation in the *PRSS1* (protease, serine 1) gene can be found (LaRusch & Whitcomb, 2011). *PRSS1* codes for cationic trypsinogen (trypsin-1), which is normally cleaved into its active form trypsin in the small intestine and subsequently also activates other pancreatic enzymes. A gain-of-function mutation of *PRSS1* results either in a prematurely activated or in a degradation-resistant form of trypsin, leading to autodigestion and inflammation of the pancreatic tissue (Halangk et al., 2002).

Aside from *PRSS1*, there are other mutations linked to chronic pancreatitis. For example, a loss-of-function mutation in *SPINK1* (serine peptidase inhibitor, Kazal type 1) encoding for a trypsin inhibitor, and a loss-of-function mutation in *CFTR* (cystic fibrosis transmembrane conductance regulator), which codes for the cystic fibrosis transmembrane conductance protein, can lead to autosomal-recessive forms of pancreatitis (Midha et al., 2010). It has been suggested that the autodigestive necrosis and inflammation that lead to hereditary pancreatitis most likely occur in the duct lumen first and eventually affect the surrounding interstitial tissue, resulting in a mostly **periductal** and, to a lesser extent, **intra-lobular** pattern of fibrosis (Klöppel et al., 2004)

### 2.2.1.4 Others

There are several subtypes of chronic pancreatitis aside from alcoholic, autoimmune or hereditary chronic pancreatitis.

The TIGAR-O classification system of chronic pancreatitis suggests several “risk modifiers”, which are again divided into the six main sections *toxic-metabolic* (T), *idiopathic* (I), *genetic* (G), *autoimmune* (A), *recurrent and severe acute pancreatitis* (R) and *obstructive* (O) (Etemad & Whitcomb, 2001) (table 1).

## Introduction

**Table 1.** TIGAR-O classification system of chronic pancreatitis, modified after Etemad & Whitcomb, 2001.

|   |  |
|---|--|
| <b><u>T</u>oxic-metabolic</b>                         | <p>Alcoholic</p> <p>Tobacco smoking</p> <p>Hypercalcemia</p> <p>Hyperlipidemia (rare and controversial)</p> <p>Chronic renal failure</p> <p>Medications</p> <p>Toxins</p>  |
| <b><u>I</u>diopathic</b>                              | <p>Early onset</p> <p>Late onset</p> <p>Tropical</p> <p>Other</p>  |
| <b><u>G</u>enetic</b>                                 | <p>Autosomal-dominant: Cationic trypsinogen mutations (codon 29 and 122 mutations)</p> <p>Autosomal-recessive/modifier genes</p> <ul style="list-style-type: none"> <li>➤ CFTR mutations</li> <li>➤ SPINK1 mutations</li> <li>➤ Cationic trypsinogen mutations (codon 16, 22 and 23 mutations)</li> <li>➤ <math>\alpha_1</math>-Antitrypsin deficiency (possible)</li> </ul> |
| <b><u>A</u>utoimmune</b>                              | <p>Isolated autoimmune pancreatitis</p> <p>Syndromic autoimmune pancreatitis</p> <ul style="list-style-type: none"> <li>➤ Chronic pancreatitis associated with Sjögren syndrom</li> <li>➤ Chronic pancreatitis associated with inflammatory bowel disease</li> <li>➤ Chronic pancreatitis associated with primary biliary cirrhosis</li> </ul>                               |
| <b><u>R</u>ecurrent and severe acute pancreatitis</b> | <p>Postnecrotic (severe acute pancreatitis)</p> <p>Recurrent acute pancreatitis</p> <p>Vascular/ischemic</p> <p>Postirradiation</p>  |
| <b><u>O</u>bstructive</b>                             | <p>Pancreas divisum</p> <p>Sphincter of Oddi disorders (controversial)</p> <p>Duct obstruction (e.g. tumors)</p> <p>Preampullary duodenal wall cysts</p> <p>Posttraumatic pancreatic duct scars</p>  |

### **2.2.2 Epidemiology and risk factors**

Chronic pancreatitis of any cause has an incidence of 5-12/100,000/year in the United States. For reasons yet to be determined, the risk of developing chronic pancreatitis is about 2- to 3-fold higher in the black population than in the white population (Yadav & Lowenfels, 2013).

Alcoholic pancreatitis typically occurs in patients in their 4<sup>th</sup> and 5<sup>th</sup> decade of age and mostly affects men (Layer et al., 1994). While patients with AIP type 1 showed an average age of 61.4 years at diagnosis in a study from 2012, patients with AIP type 2 were diagnosed at an average of 39.9 years. In the same study, a male to female ratio of almost 4:1 was found in AIP type 1, whereas AIP type 2 showed relatively equal gender distribution (Hart et al., 2013). Hereditary pancreatitis usually manifests at the young age of approximately 10 years (Rosendahl et al., 2007).

As alcohol-induced chronic pancreatitis is by far the most common form of the disease, naturally, alcohol is the single most common risk factor for chronic pancreatitis (Coté et al., 2011). On the other hand, it is important to note that the absolute risk of developing pancreatitis among patients suffering from alcoholism ranges between only 2 and 5 % (Lankisch et al., 2002), strongly implying the importance of other predisposing factors besides alcohol. Smoking also increases the risk of chronic pancreatitis, both independently and as a cofactor of alcoholism (Andriulli et al., 2010). The role of dietary factors in the development of chronic pancreatitis is unclear and needs to be further elucidated.

### **2.2.3 Diagnostics and therapy**

Severe recurrent or constant pain, which usually begins in the epigastrium and will often radiate to the back, is the main symptom of chronic pancreatitis. The progressive loss of exocrine and endocrine pancreatic function can further lead to food malabsorption, resulting in bloating, steatorrhea and diarrhea, as well as the development of diabetes mellitus (Banks et al., 2010).

Aside from AIP type 1, where serology will show elevated levels of  $\gamma$ -globulins (especially IgG4) and various auto-antibodies, the diagnostics of chronic pancreatitis focus mainly on structural changes of the pancreatic ducts. Imaging techniques include endoscopic retrograde cholangiopancreatography (ERCP), magnetic resonance cholangiopancreatography (MRCP), multidetector CT (MDCT), MRI and endoscopic ultrasound (EUS). Few centers worldwide are able to perform a secretory test that can objectify the exocrine function of the pancreas: A reduced level of bicarbonate in duodenal aspirates collected after stimulation with i.v. secretin points to a decreased pancreatic function. However, the only way to definitely confirm the diagnosis is a histopathological examination of biopsies or resected specimens (Braganza et al., 2011).

A main focus of the conservative treatment of chronic pancreatitis is the management of pain. For this purpose, analgesics should be used according to the WHO analgesic ladder originally developed for the treatment of cancer pain (Braganza et al., 2011; WHO, 1990). Moreover, it has been shown that patients can benefit from treatment with orally substituted pancreatic enzymes, subcutaneous somatostatin analogon octreotide and the CCK-A receptor antagonist loxiglumid. New-onset diabetes mellitus should be treated with simple insulin regimens (Braganza et al., 2011).

Unlike all other forms of chronic pancreatitis, both type 1 and type 2 AIP are responsive to steroid treatment (Hart et al., 2013).

Complications like severe pain that cannot be controlled conservatively, stenoses of the common bile duct or the duodenum as well as pseudocysts that are not connected to the pancreatic duct can require endoscopic dilatation, stenting or drainage as well as surgical drainage or resection in order to relieve symptoms and preserve functional tissue (Braganza et al., 2011).

### **2.2.4 Role of stromal reaction in chronic pancreatitis**

A prominent fibrosis, which consists of cellular elements (pancreatic stellate cells (PSCs), fibroblasts, immune cells) as well as ECM proteins (e.g. collagen I, collagen III, fibronectin), growth factors and cytokines, is a histopathological hallmark of all types of chronic pancreatitis.

Fibrosis is a main problem in chronic pancreatitis, because it results in a gradual loss of functional tissue, which inevitably leads to exocrine and endocrine insufficiency of the pancreas. This replacement of normal pancreas tissue with fibrosis is irreversible, making chronic pancreatitis an incurable disease at present (He et al., 2009). Moreover, pancreatic fibrosis on the basis of chronic pancreatitis is also a risk factor for the development of pancreatic cancer (Raimondi et al., 2010). The chronic inflammatory response leads to an enhanced release of potentially DNA damaging reactive oxygen species (ROS) and reactive nitrogen intermediates on one hand as well as growth factors and cytokines on the other hand (Ling et al., 2014). The consequently stimulated proliferation of parenchymal cells naturally comes with an increased risk of accumulating somatic mutations. It has further been shown that 107 genes are similarly expressed in the stromal reaction of chronic pancreatitis and PDAC, additionally highlighting the stroma as a crosslink between chronic pancreatitis and cancer (Binkley et al., 2004).

## **2.3 Pancreatic ductal adenocarcinoma**

### **2.3.1 Epidemiology and risk factors**

Pancreatic cancer, of which PDAC accounts for 90 % of cases (Cascinu et al., 2010), ranks 9<sup>th</sup> in the incidence of solid cancers in men and 7<sup>th</sup> in the incidence of solid cancers in women, respectively, but 4<sup>th</sup> for cancer-related deaths in both men and women in the United States (Siegel et al., 2016). These facts illustrate the poor prognosis for which PDAC is notorious. Despite the overall progress made in cancer research and therapy, the 5-year survival rate of PDAC has seen very minimal improvement and is still at only 8 % (Siegel et al., 2016), underlining the desperate need for new approaches in diagnostics and therapy of this particular type of cancer.

PDAC only rarely occurs before the age of 55 and the median age of onset is 71 years. Men show slightly higher incidence rates for PDAC than women and incidence rates are considerably higher in the black population than in all other racial groups (Yadav & Lowenfels, 2013).

Besides age and race, various other risk factors for PDAC have been described, including lifestyle factors like alcohol abuse (Gapstur et al., 2011), a diet high in red meats (Stolzenberg-Solomon et al., 2007), obesity (de Gonzalez et al., 2003) and, most importantly, tobacco smoking (Bosetti et al., 2012). Furthermore, the risk to develop PDAC is approximately 2-fold increased in patients suffering from longstanding diabetes mellitus (Yadav & Lowenfels, 2013). Importantly, chronic pancreatitis is a risk factor for PDAC: While patients with chronic pancreatitis in general have a pooled relative risk of 13.3, the pooled relative risk in patients suffering from hereditary chronic pancreatitis is as high as 69 (Raimondi et al., 2010). Besides hereditary pancreatitis, various other inherited syndromes, such as familial breast and ovarian cancer (FBOC; *BRCA1* and *BRCA2* mutations), familial adenomatous polyposis (FAP; *APC* mutations) or hereditary nonpolyposis colorectal cancer (HNPCC; *MLH1*, *MSH2*, *MSH6* and *PMS2* mutations), are also associated with an increased risk of PDAC. Moreover, a familial pancreatic cancer syndrome (FPC) has been proposed, but the major underlying gene defect has not been identified so far (Bartsch et al., 2012).

### **2.3.2 Diagnostics and therapy**

PDAC is an aggressive and lethal malignancy especially due to its tendency for early local invasion, including retroperitoneal infiltration and perineural invasion, as well as early metastasis. However, symptoms usually occur at an advanced tumor stage, making diagnosis significantly more challenging. Symptoms are mostly non-specific and can include back pain, abdominal pain, digestive problems, weight loss and new-onset diabetes mellitus. Painless jaundice can occur in cases of tumors that



obstruct the bile duct (Herold, 2013). The suspected diagnosis is usually substantiated and further defined using spiral CT, ERCP, MRCP, EUS or, in selected cases, MRI or laparoscopy. Histopathological confirmation of the diagnosis is recommended in patients not eligible for surgery or patients that need preoperative treatment (Cascinu et al., 2010).

As PDAC is virtually resistant to radio- and chemotherapy, complete surgical resection remains the only possibility for curative therapy at this point. However, only 10-15 % of patients are eligible for potentially curative resection at the time of diagnosis (Beger et al., 2003). At the moment, the nucleoside analog gemcitabine and the tyrosine kinase inhibitor erlotinib are the only two agents approved for systemic treatment of advanced and metastatic pancreatic cancer, albeit their benefits are only marginal. Gemcitabine was shown to prolong median survival from 4.4 to 5.6 months (Burris et al., 1997). The addition of erlotinib to gemcitabine treatment prolongs median survival slightly from 5.9 to 6.2 months (Moore et al., 2007). In 2011, a phase III randomized trial was able to show that the FOLFIRINOX regimen, a four-drug combination of 5-FU, leucovorin, oxaliplatin and irinotecan, can achieve a median survival of 11.1 months versus 6.8 months with gemcitabine treatment. However, the FOLFIRINOX regimen comes with significant toxicity and is therefore limited to patients in good general condition (Conroy et al., 2011). More recently, the addition of nab-paclitaxel (nanoparticle albumin bound paclitaxel) to gemcitabine therapy was demonstrated to prolong median survival from 6.7 to 8.5 months compared to gemcitabine monotherapy with manageable toxicity (Goldstein et al., 2015; Von Hoff et al., 2013).

### **2.3.3 Role of stromal reaction in PDAC**

An excessive stromal reaction is the histopathological hallmark of PDAC. The resulting abundant mass of dense stroma leaves the PDAC tumor mass poorly vascularized and perfused and therefore acts as a physical barrier to drug delivery (Oberstein & Olive, 2013). Depleting the stroma can significantly increase the delivery and efficacy of the chemotherapeutic agent gemcitabine in a PDAC mouse model (Olive et al., 2009) and selective stromal depletion coupled with chemotherapy is giving encouraging results in patients (Bhaw-Luximon & Jhurry, 2015). Furthermore, the stroma creates tumor hypoxia, which is generally associated with therapy resistance and poor prognosis (Harris, 2002). On top of these mere physical aspects, the stromal reaction also creates a complex microenvironment that is supportive to tumor cells. Aside from the impact of PSCs on pancreatic carcinogenesis (see 2.4.4), ECM proteins themselves seem to have growth- and survival-promoting effects on pancreatic cancer cells (PCCs) (Edderkaoui et al., 2005; Erkan et al., 2007; Vaquero et al., 2003; Vaquero et al., 2004). Moreover, it has also been shown that the resistance of PCC lines to chemotherapeutic agents is

increased when they are cultured in the presence of ECM proteins (Armstrong et al., 2004; Berchtold et al., 2015; Miyamoto et al., 2004).

However, it is important to note that there are also data suggesting that the tumor stroma can play a protective role in PDAC (Ozdemir et al., 2014; Rhim et al., 2014).

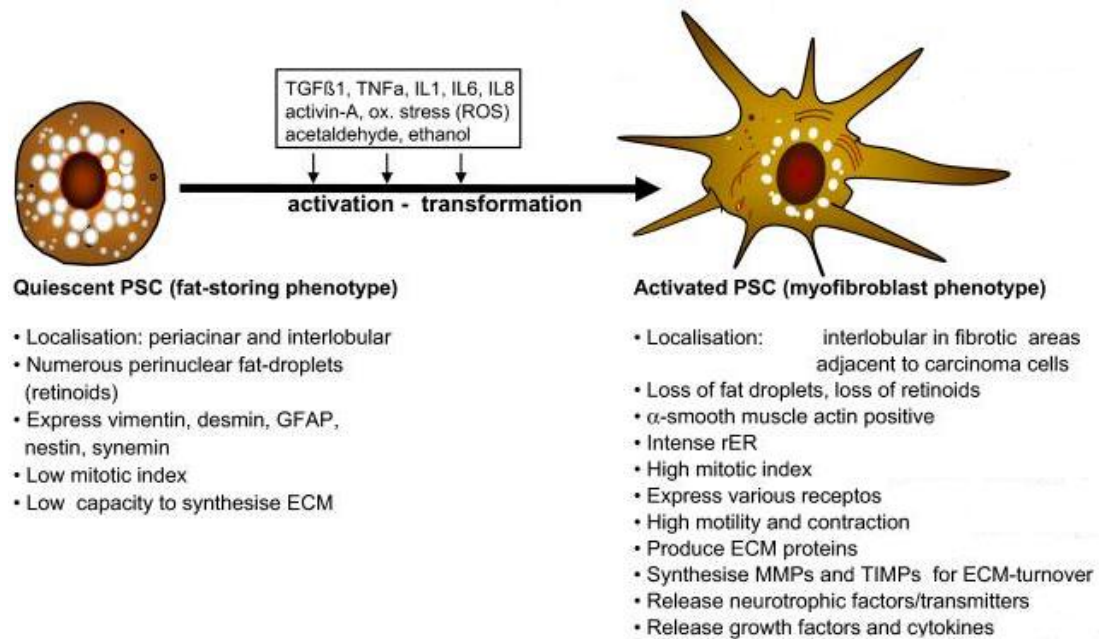
Taken together, it can be said the stromal reaction indisputably takes an active part in pancreatic carcinogenesis.

## **2.4 Pancreatic stellate cells**

### **2.4.1 Phenotype and function**

PSCs were first described in 1982 (Watari & Hotta, 1982) and were isolated and cultured in 1998 (Apte et al., 1998; Bachem et al., 1998), which accounts for the fact that these cells are still not thoroughly characterized regarding their origin, function and immunophenotype.

PSCs are resident cells of the exocrine pancreas and comprise about 4-7 % of the total pancreatic tissue. They are located alongside pancreatic acinar cells as well as small ducts and blood vessels (Apte et al., 1998). In healthy pancreatic tissue, PSCs exist in a quiescent state distinguished by an angular shape and an abundance of cytoplasmic lipid droplets containing vitamin A, which can be visualized using its autofluorescence when exposed to UV light at 328 nm (Apte et al., 1998). Although the main function of PSCs as vitamin-A storing cells in the healthy pancreas is not completely clear yet, they seem to regulate the ECM-turnover in order to ensure the maintenance of a normal stroma composition. For this purpose, they synthesize extracellular matrix proteins (Haber et al., 1999) as well as matrix metalloproteinases and tissue inhibitors of matrix metalloproteinases (Phillips et al., 2003). Upon tissue injury, for example in the course of chronic pancreatitis or PDAC, but also during cultivation, PSCs transform into a myofibroblast-like phenotype. This phenotype appears star-shaped with long cytoplasmic projections and no longer contains lipid droplets (Apte et al., 1998) (figure 1). In their activated state, PSCs show an increase in proliferation, migration (Omary et al., 2007), synthesis of ECM proteins, matrix metalloproteinases and their inhibitors (Apte et al., 2004) as well as in the secretion of cytokines and growth factors (Omary et al., 2007).



**Figure 1.** Activation of PSCs, modified after Erkan et al., 2012.

Several markers have been described to identify PSCs. These include desmin, vimentin, nestin and glial fibrillary acidic protein (GFAP) (Apte et al., 1998) as well as nerve growth factor receptor (NGFR) and CD34 (Habisch et al., 2010). Alpha-smooth muscle actin ( $\alpha$ -SMA) has been proposed as a marker for activated PSCs (Apte et al., 1998). However, it is now thought to be more of a marker for transdifferentiation into an activated phenotype than a marker for activated PSCs per se (Krizhanovsky et al., 2008). A reliable panel of markers to distinguish PSCs from other subtypes of stromal cells (myofibroblasts, fibroblasts) – in analogy to what has been already shown in hepatic stellate cells (see 2.4.2) – is not yet available.

#### 2.4.2 Similarities with hepatic stellate cells

Unlike their pancreatic counterparts, hepatic stellate cells (HSCs) were first described significantly earlier, in 1876, by Karl Wilhelm von Kupffer (Haubrich, 2004). HSCs are fat-storing cells of the liver that are located in the perisinusoidal space (space of Disse) between the basolateral aspects of hepatocytes and sinusoidal endothelial cells (Ito, 1951). They share a very similar cytoskeletal phenotype with PSCs and can also transform from a quiescent vitamin-A-rich cell type into a contractile myofibroblast-like phenotype, which synthesizes an excessive amount of ECM proteins like collagen I and III (Friedman, 1993). The crucial role of HSCs in liver fibrosis has long been established (Gressner & Bachem, 1995). Beyond this well-known role in liver injury and repair, a variety of other possible functions have been discussed, such as, for example, a role in immunoregulation (Maher, 2001). Aside

## Introduction

from the previously mentioned similarities between HSCs and PSCs in morphology and function, a direct transcriptome analysis between HSCs and PSCs showed that only 29 genes are differently expressed between the two cell types, while they both differ significantly from skin fibroblasts (Buchholz et al., 2005). Taken together, these findings have led to the hypothesis that HSCs and PSCs might share a common precursor cell (Buchholz et al., 2005). However, even the origin of the well-characterized HSCs has been a subject of much debate. While a **neuroectodermal** origin of HSCs has been proposed due to the expression of previously mentioned neural crest markers like GFAP, a cell lineage analysis using transgenic mice expressing yellow fluorescent protein (YFP) in all neural crest cells and their derivatives failed to support this hypothesis (Cassiman et al., 2006). Findings like the expression of CD34 and cytokeratin-7/8 in HSCs of the human fetal liver suggest an **endodermal** origin of HSCs (Suskind & Muench, 2004). On the other hand, results of cell lineage tracing using MesP1-Cre mice point to an origin from the **mesodermal** septum transversum mesenchyme, which grows to form the mesothelium lining the liver (Asahina et al., 2009). Bone-marrow derived cells are also thought to be able to contribute to HSCs (Miyata et al., 2008). Another highly debated question is whether HSCs can arise from hepatic epithelial cells that undergo epithelial-mesenchymal transition (EMT) upon liver injury (Sicklick et al., 2006). Unfortunately, even less is known about the origin of PSCs. While HSCs, despite their striking expression of neural markers, do not seem to derive from the neural crest, lineage tracing techniques similar to the ones used in HSCs by Cassiman and colleagues (Cassiman et al., 2006) have not been used in PSCs yet. Therefore, this possibility cannot be ruled out completely at this time, especially as PSCs also express many of these neural proteins (see 2.4.1). Multipotent precursor cells that can differentiate into PSCs have been found in the adult mouse pancreas (Seaberg et al., 2004). This suggests that PSCs could derive from a local precursor specific to the pancreas and not share a common origin with HSCs after all. As described for HSCs, rat bone marrow cells home to the pancreas and transform into PSCs during chronic pancreatitis, even though they only contribute partly to the total PSC population (Sparmann et al., 2010).

Several HSC markers have been found so far, some of which have already been suggested as PSCs markers as well (see 2.4.1). HSC markers include desmin, vimentin and  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) as well as neural markers like nestin, glial fibrillary acidic protein (GFAP), nerve growth factor (NGF), brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3), neurotrophin-4 (NT-4), nerve growth factor receptor (NGFR, p75), TrkB neurotrophic receptor, TrkC neurotrophic receptor, neural cell adhesion molecule (N-CAM, CD56), synaptophysin and  $\alpha$ -crystallin B (Cassiman et al., 2002). The use of these markers has been proven useful to distinguish HSCs from other subtypes of hepatic myofibroblasts, such as portal/septal and interface myofibroblasts (Cassiman et al., 2002).

### 2.4.3 Role of pancreatic stellate cells in chronic pancreatitis

PSCs are the principal source of the fibrosis that accompanies all types of chronic pancreatitis and that eventually leads to the loss of functioning pancreatic tissue (Apte & Wilson, 2003). Alpha-SMA-positive cells have been observed in the fibrotic areas of tissue sections from patients with chronic pancreatitis from various etiologies. Using dual staining techniques, it could be shown that only these  $\alpha$ -SMA-positive cells express procollagen mRNA, strongly suggesting that activated PSCs are the main contributors to collagen in chronic pancreatitis fibrosis (Haber et al., 1999).

As alcohol abuse is the main cause of chronic pancreatitis, it is no surprise that alcohol can activate PSCs. An activation of PSCs facilitated directly by ethanol, its metabolite acetaldehyde and the oxidative stress caused by these two substances has been shown (Apte et al., 2000). Moreover, there is a necroinflammatory pathway, in which PSCs are activated by cytokines that are released upon alcohol exposure (Apte & Wilson, 2003). The cytokines that are able to activate PSCs include TNF- $\alpha$  as well as IL-1, IL-6 and IL-10, which are known to be upregulated during acute pancreatitis, and it is hypothesized that recurrent attacks of acute pancreatitis (which are, according to the *necrosis-fibrosis sequence*, the origin of chronic alcohol-induced pancreatitis; see 2.2.1.1) might lead to a permanent activation of PSCs, mediated by constant exposure to the mentioned cytokines (Mews et al., 2002).

### 2.4.4 Role of pancreatic stellate cells in pancreatic ductal adenocarcinoma

PSCs are the main contributors of ECM proteins in PDAC desmoplasia. Not only do stromal areas in tissue sections of patients with PDAC stain positive for PSC markers including  $\alpha$ -SMA, indicating the presence of PSCs in their activated state, but PSCs in PDAC also co-localize with procollagen mRNA expression, implying that PSCs are responsible for the production of collagen I in PDAC stroma (Apte et al., 2004). In addition, PSCs have also been identified as the source of tenascin C and collagen V, two ECM proteins that are increasingly expressed in the progression of pancreatic dysplasia to PDAC (Berchtold et al., 2015; Esposito et al., 2006).

While the fact that PSCs are the main source of desmoplastic stroma underscores their importance in PDAC (see 2.3.1), it has been shown that it is not necessarily the amount of synthesized stroma per se, but mostly the number of activated PSCs that is crucial for the prognosis of PDAC patients. In 2008, Erkan and colleagues proposed the *activated stroma index (ASI)*, an  $\alpha$ -SMA/collagen staining ratio, as a novel prognostic marker for PDAC and showed that a high ASI, respectively a high number of activated PSCs and a low amount of collagen, was associated with a poor prognosis (Erkan et al., 2008). This strongly indicates that the importance of the stromal reaction does not only lie within the sheer physical mass of fibrosis, but rather in its biological activity. Supporting this, several *in vitro* and *in vivo*

## Aim of the study

studies have shown that PSCs interact reciprocally with PCCs. *In vitro*, PCCs are able to increase proliferation and ECM synthesis (Bachem et al., 2005) as well as migration (Vonlaufen et al., 2008) of PSCs. The effect of PCCs on PSC proliferation could be reduced by adding anti-PDGF, indicating that PDGF is the mediating factor of PSC proliferation induced by PCCs. In a similar manner, the effect of PCCs on ECM synthesis by PSCs could be inhibited by adding anti-PDGF, anti-FGF-2 and anti-TGF- $\beta$ 1, indicating that these factors mediate the PCCs-induced effects on ECM synthesis by PCCs (Bachem et al., 2005). Vice versa, PSCs also have effects on PCCs: PSCs increase PCC proliferation, migration and invasion and inhibit tumor cell apoptosis (Vonlaufen et al., 2008). Vonlaufen and colleagues were also able to show in their study that mice injected with a mixture of PCCs and PSCs developed larger primary tumors as well as an increased number of metastases than mice injected with PCCs alone (Vonlaufen et al., 2008). In a sex mismatch study, in which male PSCs mixed with female PCCs were injected into the pancreas of female mice, male PSCs could be detected in multiple metastatic sites, indicating that PSCs may accompany PCCs during metastatic spread (Xu et al., 2010).

### **3 Aim of the study**

The primary aim of this study was a detailed morphological and immunophenotypical characterization of PSCs in PDAC and chronic pancreatitis by means of immunohistochemistry and immunofluorescence.

A similar approach has previously been used for the characterization of HSCs (Cassiman et al., 2002), which are known to share similarities with their pancreatic counterparts. A further aim of the study was therefore to compare PSCs with HSCs and ascertain similarities and differences between the two cell types.

## 4 Material and Methods

### 4.1 Material

#### 4.1.1 Reagents

| Product   | Company   |
|---|---|
| 3,3'-Diaminobenzidine (DAB)   | Medac GmbH, Wedel, GER  |
| Acetic acid   | Merck KGaA, Darmstadt, GER  |
| Acrylamide/bis solution 40 %, 37.5:1  | Bio-Rad Laboratories GmbH, Munich, GER                                    |
| Adefofix fixing concentrate   | Adefo-Chemie, Dietzenbach, GER  |
| Adefofur developing concentrate   | Adefo-Chemie, Dietzenbach, GER  |
| Agarose LE for gel electrophoresis  | Biozym Scientific GmbH, Hessisch Oldendorf, GER                           |
| Alcian blue 1 %   | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| Ammonium persulfate (APS)   | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Amphotericin B  | Biochrom AG, Berlin, GER  |
| Antibody diluent Dako REAL™   | Dako Deutschland GmbH, Hamburg, GER                                       |
| Biotin-labeled goat anti-rabbit IgG   | KPL, Inc., Gaithersburg, MD, USA  |
| Biozym LE agarose   | Biozym Scientific GmbH, Hessisch Oldendorf, GER                           |
| Bovine serum albumin (BSA)  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Brilliant-croceine-fuchsine-acid solution                                   | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| Bromophenol blue  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Citric acid   | Merck KGaA, Darmstadt, GER  |
| Complete protease inhibitor cocktail tablets (Prl)                          | Roche Diagnostics, Mannheim, GER  |
| Dako wash buffer 10X  | Dako Deutschland GmbH, Hamburg, GER                                       |
| Deoxycholic acid  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Dimethylsulfoxid (DMSO)   | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| DNA loading dye 6X  | Thermo Fisher Scientific, Schwerte, GER                                   |
| dNTP Set, 100 mM solutions  | Invitrogen™, Darmstadt, GER   |
| Dulbecco's Modified Eagle Medium (D-MEM) (1X), liquid, high glucose 4.5 g/L | Gibco®, Darmstadt, GER  |

## Material and Methods

|  |   |
|--|---|
| Dulbecco's Modified Eagle Medium (D-MEM) (1X), liquid, low glucose 1 g/L | Gibco®, Darmstadt, GER  |
| ECL™ anti-mouse IgG  | GE Healthcare, Munich, GER  |
| ECL™ anti-rabbit IgG   | GE Healthcare, Munich, GER  |
| EnVision™ anti-rabbit  | Dako Deutschland GmbH, Hamburg, GER                                       |
| Eosin  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Ethanol  | Merck KGaA, Darmstadt, GER  |
| Ethidium bromide   | Amresco, Solon, OH, USA   |
| Ethylene glycol tetraacetic acid (EGTA)                                  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Ethylenediaminetetraacetic acid (EDTA)                                   | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| F12 nutrient mixture, L-glutamin   | Gibco®, Darmstadt, GER  |
| Fetal bovine serum (FBS)   | Gibco®, Darmstadt, GER  |
| Formalin   | Staub & Co. GmbH, Munich, GER   |
| GeneRuler™ 1 kb DNA ladder   | Thermo Fisher Scientific, Schwerte, GER                                   |
| GeneRuler™ 100 bp DNA ladder   | Thermo Fisher Scientific, Schwerte, GER                                   |
| Glycerin   | Merck KGaA, Darmstadt, GER  |
| Glycine  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Goat serum (normal goat serum)   | Abcam, Cambridge, UK  |
| Hemalaun, Mayer's  | AppliChem GmbH, Darmstadt, GER  |
| HistoMark® biotin streptavidin-HRP systems                               | KPL Inc., Gaithersburg, MD, USA   |
| Hoechst 33342  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Hydrogen chloride (HCl)  | neoLab, Heidelberg, GER   |
| Iron(III) chloride 1 %   | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| Isopropanol  | Merck KGaA, Darmstadt, GER  |
| Matrigel™  | BD Bioscience, Heidelberg, GER  |
| Methanol   | Merck KGaA, Darmstadt, GER  |
| Nonfat dry milk  | Carl-Roth GmbH & Co. KG, Karlsruhe, GER                                   |
| Nonidet-P40  | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Oil Red O solution   | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Opti-MEM® reduced serum media  | Gibco®, Darmstadt, GER  |



## Material and Methods

|   |   |
|---|---|
| Penicillin-streptomycin, liquid                       | Gibco®, Darmstadt, GER  |
| Pertex mounting medium for IHC                        | Medite GmbH, Burgdorf, GER  |
| Phosphate buffered saline (PBS)                       | GE Healthcare, Munich, GER  |
| Phosphotungstic acid 2 %                              | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| PhosSTOP phosphatase inhibitor cocktail tablets (PhI) | Roche Diagnostics, Mannheim, GER  |
| Ponceau S dye   | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Potassium chloride (KCl)                              | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Pre-stained protein ladder plus, PageRuler™           | Thermo Fisher Scientific, Schwerte, GER                                   |
| Pre-stained protein ladder, PageRuler™                | Thermo Fisher Scientific, Schwerte, GER                                   |
| Pronase E   | Merck Millipore, Darmstadt, GER   |
| Safron du Gâtinais solution                           | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| Sodium chloride (NaCl)                                | Merck KGaA, Darmstadt, GER  |
| Sodium dodecyl sulfate 20 % (w/v) solution (SDS)      | AppliChem GmbH, Darmstadt, GER  |
| Taq DNA polymerase BioTherm™                          | Ares Bioscience GmbH, Koeln, GER  |
| Tetramethylethylenediamine (TEMED)                    | Bio-Rad Laboratories GmbH, Munich, GER                                    |
| Triton X-100  | Merck KGaA, Darmstadt, GER  |
| Trizma® base (TRIS base)                              | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Trypan blue solution 0.4 %                            | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |
| Trypsin, 0.05 % with EDTA                             | PAA Laboratories GmbH, Coelbe, GER  |
| Vectashield mounting medium for fluorescence          | Vectashield, Loerrach, GER  |
| Ventana Bluing Reagent                                | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana Cell Conditioning 1 (CC1)                     | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana EZ Prep™ 10X                                  | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana Liquid Coverslip (LCS)                        | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana Reaction Buffer 10X                           | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana ultraView™ Copper                             | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana ultraView™ DAB Chromogen                      | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana ultraView™ DAB H <sub>2</sub> O <sub>2</sub>  | Ventana Medical Systems, Inc., Basel, CH                                  |
| Ventana ultraView™ HRP Universal Multimer             | Ventana Medical Systems, Inc., Basel, CH                                  |

## Material and Methods

|                                |   |
|--------------------------------|---|
| Ventana ultraView™ Inhibitor   | Ventana Medical Systems, Inc., Basel, CH                                  |
| Verhoeff's solution A, B and C | MORPHISTO® Evolutionsforschung und Anwendung GmbH, Frankfurt am Main, GER |
| Water, aqua ad iniectabilia    | AlleMan Pharma GmbH, Rimbach, GER   |
| Xylol                          | Merck KGaA, Darmstadt, GER  |
| B-Mercaptoethanol              | Sigma-Aldrich Chemie GmbH, Steinheim, GER                                 |

### 4.1.2 Consumables

| Product                                       | Company                                   |
|---|---|
| AmershamHybond™ ECL™ nitrocellulose membrane  | GE Healthcare Lifescience, Freiburg, GER  |
| AmershamHyperfilm ECL                         | GE Healthcare Lifescience, Freiburg, GER  |
| Cell scraper                                  | SARSTEDT AG & Co., Nuembrecht, GER        |
| Corning®DeckWorks® pipette tips, 10 µL        | Sigma-Aldrich Chemie GmbH, Steinheim, GER |
| Corning®DeckWorks® pipette tips, 1000 µL      | Sigma-Aldrich Chemie GmbH, Steinheim, GER |
| Corning®DeckWorks® pipette tips, 20 µL        | Sigma-Aldrich Chemie GmbH, Steinheim, GER |
| Corning®DeckWorks® pipette tips, 200 µL       | Sigma-Aldrich Chemie GmbH, Steinheim, GER |
| Coverslips for microscopic slides, 24 x 50 mm | Engelbrecht, Edermuende, GER              |
| Coverslips, round, 12 mm diameter             | Thermo Fisher Scientific, Schwerte, GER   |
| Cryotubes                                     | A. Hartenstein, Wuerzburg, GER            |
| Microscope slides                             | Thermo Fisher Scientific, Schwerte, GER   |
| PCR tube strips 0.2 mL                        | Eppendorf AG, Hamburg, GER                |
| Pipettes, Pasteur glass 3.2 mL                | Carl-Roth GmbH & Co. KG, Karlsruhe, GER   |
| Pipettes, serological CELLSTAR® 2 mL          | Greiner Bio-One, Frickenhausen, GER       |
| Pipettes, serological CELLSTAR® 5 mL          | Greiner Bio-One, Frickenhausen, GER       |
| Pipettes, serological CELLSTAR® 10 mL         | Greiner Bio-One, Frickenhausen, GER       |
| Pipettes, serological CELLSTAR® 25 mL         | Greiner Bio-One, Frickenhausen, GER       |
| Reaction tubes 1.5 mL                         | Eppendorf AG, Hamburg, GER                |
| Reaction tubes 2 mL                           | Eppendorf AG, Hamburg, GER                |
| Reaction tubes Falcon™ blue max 15 mL         | BD Bioscience, Heidelberg, GER            |
| Reaction tubes Falcon™ blue max 50 mL         | BD Bioscience, Heidelberg, GER            |

## Material and Methods

|  |  |
|--|--|
| Safe seal microtubes   | Eppendorf AG, Hamburg, GER             |
| Syringe, single-use 20 mL  | B. Braun Melsungen AG, Melsungen, GER  |
| Tissue culture dish  | TPP, Trasadingen, CH                   |
| Tissue culture flasks (25 cm <sup>2</sup> , 75 cm <sup>2</sup> ) | TPP, Trasadingen, CH                   |
| Tissue culture test plates<br>(96-well, 24-well, 6-well)         | TPP, Trasadingen, CH                   |
| Wide Mini-Sub cell GT electrophoresis system                     | Bio-Rad Laboratories GmbH, Munich, GER |

### 4.1.3 Equipment

| Product  | Company                                  |
|--|--|
| Accu-jet® pro  | Brand GmbH + CO KG, Wertheim, GER        |
| Asys Expert Plus microplate reader                   | Biochrom AG, Berlin, GER                 |
| Axio Observer Z1                                     | Carl Zeiss AG, Jena, GER                 |
| Axiocam ICm1   | Carl Zeiss AG, Jena, GER                 |
| Axiovert 135   | Carl Zeiss AG, Jena, GER                 |
| Axiovert 25  | Carl Zeiss AG, Jena, GER                 |
| BenchMark XT automated IHC/ISH slide staining system | Ventana Medical Systems, Inc., Basel, CH |
| Cat SRX 101 A development machine                    | Konica Minolta, Langenhagen, GER         |
| Centrifuge 5415D                                     | Eppendorf AG, Hamburg, GER               |
| Centrifuge 5417R                                     | Eppendorf AG, Hamburg, GER               |
| DakoAutostainer universal staining system            | Dako Deutschland GmbH, Hamburg, GER      |
| Ebox VX2 gel documentation system                    | Peqlab Biotechnology GmbH, Erlangen, GER |
| Electrophoresis transfer cell mini Trans-Blot®       | Bio-Rad Laboratories GmbH, Munich, GER   |
| Eppendorf Mastercycler gradient                      | Eppendorf AG, Hamburg, GER               |
| Eppendorf research pipettes                          | Eppendorf AG, Hamburg, GER               |
| Filter set 38 Endow GFP shift free                   | Carl Zeiss AG, Jena, GER                 |
| Filter set 43 Cy3, d=25 shift free                   | Carl Zeiss AG, Jena, GER                 |
| Filter set DAPI                                      | Carl Zeiss AG, Jena, GER                 |
| Filter set 38 Endow GFP                              | Carl Zeiss AG, Jena, GER                 |
| Filter set 43 Cy3                                    | Carl Zeiss AG, Jena, GER                 |

## Material and Methods

|  |   |
|--|---|
| Freezer -20 °C Liebherr comfort                                  | Liebherr GmbH, Biberach an der Riss, GER  |
| Freezer -20 °C, economic froster                                 | Bosch GmbH, Stuttgart, GER                |
| Freezer -80 °C HFC86-360   | Heraeus Instruments, Osterode, GER        |
| Freezer -80 °C Sanyo ultra-low temperature chest freezer MDF-594 | Panasonic, San Diego, USA                 |
| Freezing container NALGENE™ Cryo 1 °C                            | Thermo Fisher Scientific, Schwerte, GER   |
| Fridge +4 °C Liebherr premium                                    | Liebherr GmbH, Biberach an der Riss, GER  |
| GFL incubation waterbath   | GFL GmbH, Burgwedel, GER                  |
| Glass coverslipper Promounter RCM2000                            | Medite GmbH, Burgdorf, GER                |
| Hereus Hera Safe CO <sub>2</sub> incubator                       | Thermo Fisher Scientific, Schwerte, GER   |
| Heating block Thermomixer® comfort 1.5 mL                        | Eppendorf AG, Hamburg, GER                |
| Heating block ThermoStat plus 1.5 mL                             | Eppendorf AG, Hamburg, GER                |
| Heating oven type BE400  | Memmert, Schwabach, GER                   |
| Heating plate HP 30 digital IKATHERM                             | IKA®-Werke GmbH & CO. KG, Staufen, GER    |
| Heracell 150i CO <sub>2</sub> incubator                          | Thermo Fisher Scientific, Schwerte, GER   |
| Hood Uniflow UVUB 1800   | UniEquip, Planegg, GER                    |
| Hotplate stirrer IKAMAG® RCT                                     | IKA® Werke GmbH und Co. KG, Staufen, GER  |
| Ice machine  | ZIEGRA Eismaschinen GmbH, Hannover, GER   |
| IKA® MS1 minishaker  | IKA® Werke GmbH und Co. KG, Staufen, GER  |
| Ikamage® RCT magnetic stirrer                                    | IKA® Werke GmbH und Co. KG, Staufen, GER  |
| Lab centrifuge 4K15  | Sigma-Aldrich Chemie GmbH, Steinheim, GER |
| Laboratory balance BP 310 S                                      | Sartorius AG, Goettingen, GER             |
| Leitz Labovert FS  | Leica Microsystems GmbH, Wetzlar, GER     |
| Liquid Nitrogen tank MVE TEC 3000 (1500 series)                  | Chart MVE BioMedical GmbH, Wuppertal, GER |
| Magnetic stir bars, various sizes                                | NeoLab, Heidelberg, GER                   |
| Magnetic stirrer MR2000  | Heidolph Instruments, Schwabach, GER      |
| Microm HM 335 E  | Thermo Fisher Scientific, Schwerte, GER   |
| Microtome blade  | pfm medical AG, Koeln, GER                |
| Microwave Privileg 1034HGD                                       | Otto, Hamburg, GER                        |
| Miniprotean system 3 cell  | Bio-Rad Laboratories GmbH, Munich, GER    |

## Material and Methods

|  |  |
|--|--|
| Mini-PROTEAN® Tetra electrophoresis system | Bio-Rad Laboratories GmbH, Munich, GER     |
| Mitsubishi P95 printer                     | Mitsubishi Electric, Ratingen, GER,        |
| Neubauer counting chamber                  | Carl-Roth GmbH & Co. KG, Karlsruhe, GER    |
| pH meter (pH 211)                          | HANNA Instruments GmbH, Kehl am Rhein, GER |
| Pipettor pipetus®                          | Hirschmann Laborgeraete, Eberstadt, GER    |
| Power supply PowerPac 300                  | Bio-Rad Laboratories GmbH, Munich, GER     |
| PowerPac Basic Power Supply                | Bio-Rad Laboratories GmbH, Munich, GER     |
| Pressure cooker                            | WMF, Geislingen/Steige, GER                |
| Rocker table Rocky®                        | Froebel Labortechnik, Lindau, GER          |
| Scales Sartorius universal                 | Sartorius AG, Goettingen, GER              |
| Stuart Scientific SD rocking platform STR  | Keison products, Chelmsford, UK            |
| Thermomixer compact                        | Eppendorf AG, Hamburg, GER                 |
| Vortexer MS1 minishaker                    | IKA®-Werke GmbH & CO. KG, Staufen, GER     |
| Vortexer VF 2                              | IKA®-Werke GmbH & CO. KG, Staufen, GER     |
| Wide mini subset GT electrophoresis system | Bio-Rad Laboratories GmbH, Munich, GER     |

### 4.1.4 Kits

|   |   |
|---|---|
| Senescence $\beta$ -Galactosidase Staining Kit    | Cell Signaling Technology, Danvers, MA, USA |
| Pierce® BCA Protein Assay Kit                     | Perbio Science Deutschland GmbH, Bonn, GER  |
| SuperSignal® West Pico Chemiluminescent Substrate | Thermo Fisher Scientific, Schwerte, GER     |

**4.1.5 Tissue samples**

| <b>Sample</b> | <b>Diagnosis</b>                         |
|---------------|--|
| 1             | Autoimmune pancreatitis type 2           |
| 2             | Autoimmune pancreatitis type 2           |
| 3             | Autoimmune pancreatitis type 1           |
| 4             | Autoimmune pancreatitis type 1           |
| 5             | Hereditary pancreatitis                  |
| 6             | Alcoholic chronic pancreatitis           |
| 7             | Alcoholic chronic pancreatitis           |
| 8             | Alcoholic chronic pancreatitis           |
| 9             | Alcoholic chronic pancreatitis           |
| 10            | Alcoholic chronic pancreatitis           |
| 11            | PDAC of the pancreatic head, G2          |
| 12            | PDAC of the pancreatic head, G3          |
| 13            | PDAC of the pancreatic head, G1          |
| 14            | PDAC of the pancreatic head, G2          |
| 15            | PDAC of the pancreatic head, G2          |
| 16            | PDAC of the pancreatic body and tail, G2 |
| 17            | PDAC of the pancreatic tail, G2          |
| 18            | PDAC of the pancreatic tail, G2          |
| 19            | PDAC of the pancreatic tail, G2          |
| 20            | PDAC of the pancreatic tail, G2          |
| 21            | Autoimmune pancreatitis type 1           |
| 22            | Autoimmune pancreatitis type 2           |
| 23            | Normal pancreas tissue                   |

#### 4.1.6 Cell lines

##### M1134 (PSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Chronic pancreatitis   |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

##### M1198 (PSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Pancreatic ductal adenocarcinoma   |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

##### M1223 (PSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Chronic pancreatitis   |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

##### M1245 (PSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Pancreatic ductal adenocarcinoma   |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

## Material and Methods

### M151 (PSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Chronic pancreatitis   |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

### M1248 (HSCs)

|                    |  |
|--------------------|--|
| Organism           | Human  |
| Tissue             | Chronic hepatitis  |
| Origin             | Isolated (via outgrowth method) and kindly provided by AG Erkan, Department of Surgery, Klinikum rechts der Isar |
| Culture properties | Adherent, cell culture medium  |

### U251

|                    |                                     |
|--------------------|-------------------------------------|
| Organism           | Human                               |
| Tissue             | Glioblastoma                        |
| Origin             | ATCC: The Global Bioresource Center |
| Culture properties | Adherent, cell culture medium       |



#### 4.1.7 Antibodies

Primary antibodies:

| Product   | Application /Dilution     | Company                                | Reaction pattern/Expression in IHC   |
|---|---------------------------|--|--|
| Anti- $\alpha$ -crystallin B (rabbit polyclonal)          | IHC (1:200)<br>IF (1:100) | Enzo Life Sciences GmbH, Loerrach, GER | Intracytoplasmic; $\alpha$ -crystallin A expression is restricted to the lens, while $\alpha$ -crystallin B is expressed in many tissues, especially peripheral nerves |
| Anti- $\alpha$ -SMA (mouse monoclonal; clone HHF35)       | IHC (1:100)               | Dako Deutschland GmbH, Hamburg, GER    | Intracytoplasmic; expressed in smooth muscle, myoepithelial cells, myofibroblasts, activated stellate cells  |
| Anti- $\alpha$ -SMA (mouse monoclonal; clone 1A4)         | IF (1:100)                | Abcam, Cambridge, UK                   | (not used for IHC)   |
| Anti- $\alpha$ -SMA (rabbit polyclonal)                   | WB (1:2000)               | Abcam, Cambridge, UK                   | (not used for IHC)   |
| Anti- $\alpha$ -tubulin (mouse monoclonal; clone B-5-1-2) | WB (1:10000)              | Sigma Aldrich, St. Louis, MO, USA      | (not used for IHC)   |
| Anti-CD34 (mouse monoclonal; clone QBEnd/10)              | IHC (1:200)               | Cell Marque, Rocklin, CA, USA          | Intracytoplasmic; expressed in hematopoietic cells and vascular-associated tissue  |
| Anti-CD56 (rabbit monoclonal; clone MRQ-42)               | IHC (1:4)                 | Cell Marque, Rocklin, CA, USA          | Intracytoplasmic; expressed in neurons, glia, neuroendocrine tissue (such as islets of the pancreas), skeletal muscle  |
| Anti-desmin (mouse monoclonal; clone 33)                  | IHC (1:100)               | BioGenex, San Ramon, CA, USA           | Intracytoplasmic; expressed in skeletal muscle, smooth muscle and cardiac muscle   |
| Anti-GAPDH (polyclonal)                                   | WB (1:5000)               | Santa Cruz, Heidelberg, GER            | (not used for IHC)   |
| Anti-NGF (rabbit polyclonal)                              | IHC (1:50)<br>IF (1:100)  | Abcam, Cambridge, UK                   | Intracytoplasmic; neural tissue  |
| Anti-NGFR (rabbit polyclonal)                             | IHC (1:50)<br>IF (1:25)   | Santa Cruz, Heidelberg, GER            | Intracytoplasmic and intranuclear; neural tissue   |
| Anti-NT-3 (rabbit polyclonal)                             | IHC (1:100)<br>IF (1:25)  | Santa Cruz, Heidelberg, GER            | Intracytoplasmic; neural tissue  |
| Anti-SPARC (mouse monoclonal; clone ON1-1)                | IHC (1:500)               | Invitrogen™, Darmstadt, GER            | Intracytoplasmic; glia, stromal cells, ECM   |
| Anti-synaptophysin (rabbit polyclonal)                    | IHC (ready to use)        | Roche Diagnostics, Mannheim, GER       | Intracytoplasmic; pancreatic islets, peripheral nerves   |
| Anti-tenascin C (mouse monoclonal; clone 49)              | IHC (1:10)                | Leica Biosystems, Wetzlar, GER         | Intracytoplasmic; PSCs, ECM  |
| Anti-TrkC (rabbit polyclonal)                             | IHC (1:500)<br>IF (1:500) | Abcam, Cambridge, UK                   | Intracytoplasmic; neural tissue  |

Secondary antibodies:

| Product   | Application/Dilution | Company                                  |
|---|----------------------|--|
| Alexa Fluor 488 goat anti-mouse IgG (goat polyclonal)       | IF (1:200)           | Life Technologies GmbH, Darmstadt, GER   |
| Alexa Fluor 546 goat anti-rabbit IgG (goat polyclonal)      | IF (1:200)           | Life Technologies GmbH, Darmstadt, GER   |
| Biotin-labeled goat anti-mouse IgG (goat polyclonal)        | IHC (ready to use)   | KPL, Inc., Gaithersburg, MD, USA         |
| Biotin-labeled goat anti-rabbit IgG (goat polyclonal)       | IHC (ready to use)   | KPL, Inc., Gaithersburg, MD, USA         |
| ECL™ anti-mouse IgG (sheep polyclonal)                      | WB (1:2000)          | GE Healthcare, Munich, GER               |
| ECL™ anti-rabbit IgG (sheep polyclonal)                     | WB (1:2000)          | GE Healthcare, Munich, GER               |
| EnVision™ anti-rabbit (goat polyclonal)                     | IHC (ready to use)   | Dako Deutschland GmbH, Hamburg, GER      |
| Ventana ultraView™ HRP Universal Multimer (goat polyclonal) | IHC (ready to use)   | Ventana Medical Systems, Inc., Basel, CH |

#### 4.1.8 Buffers

Blotting buffer

|           |         |
|-----------|---------|
| TRIS base | 48 mM   |
| Glycine   | 39 mM   |
| SDS       | 0.025 % |
| Methanol  | 20 %    |

Citrate buffer

|             |       |
|-------------|-------|
| Citric acid | 10 mM |
| pH 6        |       |

Laemmli 5X

|                   |          |
|-------------------|----------|
| TRIS              | 312.5 mM |
| Glycerol          | 40 %     |
| SDS               | 10 %     |
| Bromophenol blue  | 0.005 %  |
| β-Mercaptoethanol | 20 %     |

## Material and Methods

### Ponceau S

|             |       |
|-------------|-------|
| Ponceau S   | 0.1 % |
| Acetic acid | 1 %   |

### RIPA buffer

|                  |        |
|------------------|--------|
| TRIS pH 7.4      | 20 mM  |
| NaCl             | 150 mM |
| EDTA             | 1 mM   |
| EGTA             | 1 mM   |
| NP-40            | 1 %    |
| Deoxycholic acid | 0.5 %  |

### Running buffer

|           |         |
|-----------|---------|
| TRIS base | 12.5 mM |
| Glycine   | 9.6 mM  |
| SDS       | 0.05 %  |

### Running gel buffer 4X

|                    |       |
|--------------------|-------|
| TRIS-HCl<br>pH 8.8 | 1.5 M |
|--------------------|-------|

### Stacking gel buffer 4X

|                    |       |
|--------------------|-------|
| TRIS-HCl<br>pH 6.8 | 0.5 M |
|--------------------|-------|

### Stripping buffer

|             |      |
|-------------|------|
| MetOH       | 10 % |
| Acetic acid | 10 % |

### TBS 10X for Immunofluorescence

|           |         |
|-----------|---------|
| TRIS base | 500 mM  |
| NaCl      | 1380 mM |
| KCl       | 27 mM   |
| pH 7.6    |         |

### TBS 10X for Western Blot

|           |         |
|-----------|---------|
| TRIS base | 200 mM  |
| NaCl      | 1360 mM |
| pH 7.6    |         |

#### 4.1.9 Software

| Product                     | Company   |
|-----------------------------|---|
| Adobe Acrobat 9 Pro         | Adobe Systems GmbH, Munich, GER   |
| Aperio ImageScope           | Leica Biosystems, Wetzlar, GER  |
| AxioVision                  | Carl Zeiss AG, Jena, GER  |
| Definiens Tissue Studio     | Definiens AG, Munich, GER   |
| GIMP 2.8                    | The GIMP Development Team;<br><a href="http://www.gimp.org/">http://www.gimp.org/</a>       |
| Image J                     | National Institutes of Health, Bethesda, MD, USA  |
| Microsoft Office 2007, 2010 | Microsoft, Redmond, WA, USA   |
| OlyVIA                      | Olympus Deutschland GmbH, Hamburg, GER  |
| GraphPad Prism 7            | GraphPad Software, Inc., La Jolla, CA, USA  |
| R                           | R Development Core Team;<br><a href="http://www.r-project.org">http://www.r-project.org</a> |

#### 4.1.10 Primer pairs

Primers for mycoplasma test:

Primer supermix 1

| Name          | Sequence                                  | Concentration in supermix |
|---------------|---|---------------------------|
| Myco-Fwd 1    | 5'-ACA CCA TGG GAG TTG GTA AT-3'          | 5 µM                      |
| Myco-Fwd 1t   | 5'-ACA CCA TGG GAG CTG GTA AT-3'          | 5 µM                      |
| Myco-Rev 1tt  | 5'-CTT CTT CGA CTT TCA GAC CCA AGG CAT-3' | 2.5 µM                    |
| Myco-Rev 1    | 5'-CTT CAT CGA CTT TCA GAC CCA AGG CAT-3' | 2.5 µM                    |
| Myco-Rev 1cat | 5'-CCT CAT CGA CTT TCA GAC CCA AGG CAT-3' | 2.5 µM                    |
| Myco-Rev 1ac  | 5'-CTT CAT CGA CTT CCA GAC CCA AGG CAT-3' | 2.5 µM                    |

Primer supermix 2

| Name         | Sequence                      | Concentration in supermix |
|--------------|-------------------------------|---------------------------|
| Myco-Fwd 2a  | 5'-ATT CTT TGA AAA CTG AAT-3' | 2.5 $\mu$ M               |
| Myco-Fwd 2   | 5'-GTT CTT TGA AAA CTG AAT-3' | 2.5 $\mu$ M               |
| Myco-Fwd 2cc | 5'-GCT CTT TCA AAA CTG AAT-3' | 2.5 $\mu$ M               |
| Myco-Rev 2ca | 5'-GCA TCC ACC ACA AAC TCT-3' | 2.5 $\mu$ M               |
| Myco-Rev 2   | 5'-GCA TCC ACC AAA AAC TCT-3' | 2.5 $\mu$ M               |
| Myco-Rev 2at | 5'-GCA TCC ACC AAA TAC TCT-3' | 2.5 $\mu$ M               |

## 4.2 Methods

### 4.2.1 Tissue collection

Tissue samples were obtained from patients who underwent pancreatic resection due to either pancreatic ductal adenocarcinoma or chronic pancreatitis at the Department of Surgery of the Ruprecht-Karls-Universitaet Heidelberg. Tissue samples were fixed in 4 % formalin and subsequently embedded in paraffin.

### 4.2.2 Ethic vote

Written informed consent was obtained from all patients.

The use of human tissue samples was approved by the local ethics committee at the Ruprecht-Karls-Universitaet Heidelberg.

### 4.2.3 Hematoxylin & Eosin stain

Sections of formalin-fixed, paraffin-embedded tissues mounted on microscope slides were deparaffinized in xylol and rehydrated in a descending alcohol series. Sections were then rinsed in distilled water and stained with hematoxylin for 5 minutes. The slides were rinsed in running tap water for 5 minutes to obtain differentiation. Afterwards, slides were stained with eosin for 5 minutes. The slides were rinsed in running tap water again and dehydrated in an ascending alcohol series. To protect the tissue, coverslips were placed onto slides with a drop of mounting medium.

### 4.2.4 Movat's pentachrome stain

In order to be able to assess the composition of the stroma, tissues were stained with Movat's pentachrome stain, which dyes nuclei and elastic fibers black, collagen and reticular fibers yellow, mucin and ground substance blue and fibrin and muscle tissue red.

All steps were conducted at room temperature. Sections of formalin-fixed, paraffin-embedded tissues mounted on microscope slides were deparaffinized in xylol and rehydrated in a descending alcohol series. After being further hydrated in running distilled water, slides were pre-treated with 3 % acetic acid for 30 seconds. Afterwards, they were stained with 1 % Alcian blue solution for 30 minutes. After being washed in running tap water for 2 minutes, nuclei were stained with Verhoeff's stock solution (Verhoeff's solution A, B and C diluted 3:2:1) for 8 minutes. Following this, slides were submerged in 1 % iron(III) chloride for 1 minute to obtain differentiation. After being washed with tap water for 10 minutes, cytoplasm was stained with brilliant-croceine-fuchsine-acid solution for 6 minutes. Subsequently, slides were dipped in 1 % acetic acid for 30 seconds and thereafter submerged in 2 % phosphotungstic acid for 15 minutes for differentiation. Slides were then placed in 1 % acetic acid once again for 1 minute and afterwards dehydrated twice with 99 % ethanol for 3 and then 15 minutes. Fibers were then stained with Safron du Gâtinais solution for 15 minutes. Finally, tissues were dehydrated in an ascending alcohol series and coverslips were mounted onto slides with a drop of mounting medium.

### Software-based morphometric analysis of Movat staining

The morphometric analysis of stainings was kindly performed by Dr. Annette Feuchtinger (Helmholtz Zentrum Muenchen, Neuherberg, Germany). Slides were analyzed using the Definiens Tissue Studio digital pathology image analysis software.

For evaluation of the stromal reaction, the stroma on Movat's-pentachrome-stained slides was manually selected as region of interest ("ROI"). Large non-stromal structures within the stroma, like ducts or vessels, were completely excluded manually ("non-ROI"). A threshold was set to determine when the program would count an area as yellow and when it would count an area as blue. The total blue area within the ROI, the total yellow area within the ROI, the total "neither blue nor yellow" area within the ROI, and the total "either blue or yellow, but not definitely distinguishable" area within the ROI ("candid", subsequently interpreted as "mixed areas" with both collagens and mucins) were calculated by the software. As a result of the computer-assisted analysis, we obtained absolute numbers for yellow and blue areas in each slide stained with Movat's stain. In order to take into account that each slide has a different total area, relative numbers, respectively percentages, for yellow and blue areas in each slide were calculated and used for further analysis. The percentages of

yellow areas in tissue sections from cases of chronic pancreatitis were compared to the percentages of yellow areas in tissue sections from cases of PDAC. Subsequently, percentages of blue areas in tissue sections from cases of chronic pancreatitis were compared to percentages of blue areas in tissue sections from cases of PDAC. Statistical significance was tested by performing the Mann-Whitney U test on the software GraphPad Prism 7.

In order to compare the stromal composition and IHC results, areas that were positive for either NT-3 or  $\alpha$ -SMA/SPARC in IHC were manually defined as ROI within Movat-stained slides. The subsequent morphometric and statistical analyses were performed as described above.

### 4.2.5 Immunohistochemistry

Anti-NGF, anti-NGFR, anti-NT-3, anti-SPARC, anti-TrkC and anti- $\alpha$ -crystallin-B stainings were all established and performed using the Dako AutoStainer staining system.

Sections of formalin-fixed, paraffin-embedded tissues were mounted on microscope slides and dried at 37 °C in a dry incubator overnight. Slides were put into a 65 °C dry incubator the next day until paraffin was completely melted. They were then deparaffinized in xylol, rehydrated in a descending alcohol series and washed with 1X Dako wash buffer. Heat-induced or enzymatic epitope retrieval was then performed. For the former, slides were immersed in citrate buffer, boiled in a pressure cooker for 20 minutes and subsequently allowed to cool down in the buffer for 30 minutes (anti-NGF, anti-NGFR, anti-SPARC, anti-TrkC, anti- $\alpha$ -crystallin-B). The latter was conducted by incubating slides with pronase E diluted 1:20 with Dako wash buffer for 4 minutes at 37 °C (anti-NT-3). From this point on, all following steps were conducted at room temperature. Slides were rinsed in Dako wash buffer three times and loaded onto the Dako AutoStainer. Throughout the protocol, slides were rinsed with 1X Dako wash buffer between steps.

Endogenous peroxidase was blocked by adding 3 % H<sub>2</sub>O<sub>2</sub> in methanol for 10 minutes. Afterwards, nonspecific antibody binding was blocked with 3 % normal goat serum for 30 minutes. Slides were subsequently incubated with the primary antibody at the appropriate dilution for 1 hour. Following this, slides were incubated with biotinylated secondary antibody goat anti-mouse (for SPARC staining), goat anti-rabbit (for NGFR staining) or Dako EnVision™ detection system anti-rabbit (for NGF, NT-3, TrkC and  $\alpha$ -crystallin-B staining) for 30 minutes. When biotinylated secondary antibody was used, streptavidin horseradish peroxidase was subsequently added for 30 minutes. Next, Dako Bright DAB (3,3'-diaminobenzidine) was added for 5 minutes. Following this, slides were rinsed with distilled water, and Mayer's Hemalaun was added for 10 minutes for counterstaining. The slides were then rinsed with running tap water for another 10 minutes to achieve hemalaun bluing. Finally, slides were dehydrated in an ascending alcohol series and coverslips were applied using a robotic coverslipper.

Contrary to the aforementioned immunostainings, anti- $\alpha$ -SMA, anti-CD34, anti-CD56, anti-desmin, anti-synaptophysin and anti-tenascin-C stainings had already been established in routine diagnostics at the Institute of Pathology, Technische Universitaet Muenchen, Munich, Germany.

They were performed with the XT ultraView™ DAB v3 Detection Kit on the Ventana BenchMark XT automated IHC/ISH slide staining system. Ventana Liquid Coverslip (LCS) was applied throughout the protocol as appropriate in order to minimize the evaporation of aqueous reagents. Slides were rinsed between steps with Ventana Reaction Buffer (TRIS-based buffer at pH 7.6).

Sections of formalin-fixed, paraffin-embedded tissues were mounted on microscope slides, dried at 37 °C in a dry incubator overnight and loaded onto the Ventana BenchMark XT automated IHC/ISH staining system. Slides were deparaffinized at up to 76 °C heat with Ventana EZ Prep™ deparaffinization solution, followed by heat-induced epitope retrieval in Ventana Cell Conditioning 1 (EDTA buffer at pH 8.4) at up to 100 °C. Slides were incubated with Ventana ultraView™ Inhibitor (3 % H<sub>2</sub>O<sub>2</sub>) for 4 minutes to inactivate the endogenous peroxidase. Following this, slides were incubated with the appropriately diluted primary antibody at 37 °C for either 28 minutes (anti- $\alpha$ -SMA, anti-tenascin-C) or 32 minutes (anti-CD34, anti-CD56, anti-desmin, anti-synaptophysin). Subsequently, slides were incubated with Ventana ultraView™ Horseradish Peroxidase Universal Multimer (a cocktail of HRP-labeled anti-rabbit, anti-mouse IgG and anti-mouse IgM) for 8 minutes. Next, slides were incubated with Ventana ultraView™ DAB Chromogen (3,3-diaminobenzidine tetrahydrochloride) and Ventana ultraView™ DAB H<sub>2</sub>O<sub>2</sub> (0.04 % H<sub>2</sub>O<sub>2</sub>) for 8 minutes. A drop of Ventana ultraView™ Copper (5 g/L copper sulfate) was added and incubated for 4 minutes to intensify the staining reaction. Slides were then counter-stained with hemalaun for 8 minutes. Bluing was achieved by adding Ventana Bluing Reagent for 4 minutes. Finally, slides were rinsed and taken out of the machine for coverslips to be mounted using a robotic coverslipper.

All IHC staining results were evaluated under a light microscope regarding the localization of the staining with reference to structures like acini (*periacinar*), ducts (*periductal*), lobuli (*inter-/perilobular*) or tumor cell formations (*perilesional*) and the respective frequency (e.g. how frequently a staining was observed in a certain localization in a slide) as well as the intensity of the staining. Both were graduated in + (low frequency/weak intensity), ++ (moderate frequency/intensity) and +++ (high frequency/strong intensity). The overall staining pattern was also categorized as either focal or diffuse.

### 4.2.6 Immunofluorescence

Cells were seeded onto sterilized coverslips with a diameter of 11 mm that had been placed in the wells of a 24-well cell culture plate. Cells were allowed to grow in their standard culture medium for



at least 24 hours. After this time, culture medium was removed, cells were washed briefly with PBS and subsequently fixed with chilled methanol for 5 minutes at room temperature. Cells were then washed with TBS buffer (50 mM TRIS, 138 mM NaCl, 2.7 mM KCl, pH 7.6) three times for 5 minutes each, permeabilized with permeabilizing solution (TBS containing 0.25 % Triton X-100) for 5 minutes and again washed with TBS buffer three times for 5 minutes each. Afterwards, nonspecific antibody binding was blocked by incubating the cells with blocking solution (TBS containing 10 % goat serum and 0.1 % Triton X-100) for 30 minutes at room temperature. After washing three times with TBS for 5 minutes each, cells were incubated with the primary antibody appropriately diluted in blocking solution overnight at 4 °C in a humidified chamber. After washing three times with TBS for a total of 15 minutes again, slides were incubated with the Alexa Fluor conjugated secondary antibody diluted in blocking solution (goat anti-mouse or goat anti-rabbit, 1:200 each) for 60 minutes at room temperature. This step, as well as all following steps, was performed with the 24-well plate wrapped in aluminum foil to prevent photo-sensitive reagents from fading. Cells were then washed with TBS again three times for 5 minutes each, before being counterstained with Hoechst 33342 (0.5 µg/mL) for 5 minutes. After they were washed with TBS twice for 5 minutes each and with distilled water for 5 minutes, cells on coverslips were taken out of the wells and placed onto microscopic glass slides provided with a drop of anti-fade mounting medium.

Slides were examined under a fluorescence microscope (Carl Zeiss AG, Jena, GER) and images were obtained with the Zeiss AxioVision software.

Cells from the glioblastoma cell line U251 were used as positive control for the tested neural markers.

### **4.2.7 Cell culture**

#### **4.2.7.1 Maintenance of cell lines**

Pancreatic stellate cells (PSCs) as well as hepatic stellate cells (HSCs) were maintained in culture medium containing 50 % Ham's F-12 nutrient medium and 50 % low-glucose D-MEM (1 g/L) supplemented with 20 % FBS (heat denaturated at 56 °C for 30 minutes), 1 % penicillin/streptomycin and 1 % amphotericin B at 37 °C and 5 % CO<sub>2</sub>.

U251, which served as positive control, were maintained in high-glucose D-MEM (4.5 g/L) supplemented with 10 % FBS (heat-denaturated at 56 °C for 30 minutes) and 1 % penicillin/streptomycin at 37 °C and 5 % CO<sub>2</sub>.

#### **4.2.7.2 Counting of cells**

Cells were counted using an improved Neubauer hemocytometer. Cell suspensions of 15  $\mu\text{L}$  were mixed with trypan blue 1:1 to distinguish dead cells from living cells.

#### **4.2.7.3 Splitting of cells**

80 % confluent cells were washed with PBS and detached by trypsinization at 37 °C. The reaction was stopped by adding standard culture medium and cells were transferred to a new flask at the appropriate concentration.

#### **4.2.7.4 Freezing of cells**

Cells at 80 % confluence were washed with PBS and detached by trypsinization at 37 °C. They were then resuspended in complete medium and centrifuged at 1200 rpm for 3 minutes at room temperature. Cell pellets were resuspended in complete medium containing 20 % DMSO. 1 mL aliquots were transferred into cryovials, frozen slowly at -80 °C in a cryo freezing container for at least 48 hours and then moved into liquid nitrogen for long term storage.

#### **4.2.7.5 Thawing of cells**

Cryo-vials containing frozen cells were taken from liquid nitrogen and put directly into a 37 °C water bath. When thawed, they were moved into 15 mL falcon tubes and 5 mL pre-warmed medium was added. Cells were then centrifuged at 1200 rpm for 3 minutes at room temperature. Subsequently, the resulting pellets were resuspended in complete medium and transferred to a culture flask or dish.

#### **4.2.7.6 Mycoplasma test**

Nested PCR was used to rule out the possibility of mycoplasma contamination (Uemori et al., 1992). For this purpose, 1 mL of medium was taken from an 80 % confluent cell culture flask. The medium was diluted 1:10, incubated for 5 minutes at 95 °C and subsequently centrifuged briefly. The supernatant was used directly as template for the PCR.

For the first PCR, a 25  $\mu\text{L}$  reaction was set as follows:

|                                      |                   |
|--------------------------------------|-------------------|
| dH <sub>2</sub> O                    | 15 $\mu\text{L}$  |
| 10X buffer (15 mM Mg <sup>2+</sup> ) | 2.5 $\mu\text{L}$ |
| dNTPs (10 mM each)                   | 0.5 $\mu\text{L}$ |

## Material and Methods

|                   |                   |
|-------------------|-------------------|
| Primer supermix 1 | 1.0 $\mu\text{L}$ |
| Taq polymerase    | 1.0 $\mu\text{L}$ |
| Supernatant       | 5.0 $\mu\text{L}$ |

The amplification parameters were set as follows:

|                 |                            |
|-----------------|----------------------------|
| 94 °C           | 4 min initial denaturation |
| 35 cycles with: |                            |
| 95 °C           | 30 sec denaturation        |
| 55 °C           | 2 min annealing            |
| 72 °C           | 1 min elongation           |
| 72 °C           | 7 min final elongation     |

For the second PCR, a 25  $\mu\text{L}$  reaction was set as follows:

|                                      |                   |
|--------------------------------------|-------------------|
| dH <sub>2</sub> O                    | 19 $\mu\text{L}$  |
| 10X buffer (15 mM Mg <sup>2+</sup> ) | 2.5 $\mu\text{L}$ |
| dNTPs (10 mM each)                   | 0.5 $\mu\text{L}$ |
| Primer supermix 2                    | 1.0 $\mu\text{L}$ |
| Taq polymerase                       | 1.0 $\mu\text{L}$ |
| Supernatant                          | 1.0 $\mu\text{L}$ |

The amplification parameters were set as follows:

|                 |                            |
|-----------------|----------------------------|
| 94 °C           | 4 min initial denaturation |
| 30 cycles with: |                            |
| 95 °C           | 30 sec denaturation        |
| 55 °C           | 2 min annealing            |
| 72 °C           | 1 min elongation           |
| 72 °C           | 7 min final elongation     |

The amplification product was visualized by gel electrophoresis on 1 % agarose gel in TBE containing 0.5 µg/mL ethidium bromide. Gel images were taken with a gel documentation system.

### **4.2.7.7 Senescence test**

To rule out cellular senescence, cells were tested with a  $\beta$ -galactosidase senescence staining kit that was used according to the manufacturer's instructions.

### **4.2.7.8 Matrigel™ coating**

To coat one well of a 6-well cell culture plate, 200 µL of Matrigel™ diluted 1:3 with serum-free medium were used. Matrigel™ was then allowed to solidify overnight at 37 °C. Subsequently, approximately 25 000 PSCs per well were seeded and maintained in medium containing 5 % FBS for 5-7 days.

### **4.2.7.9 Oil Red O staining**

Approximately 25 000 PSCs per well were seeded onto either Matrigel™-coated or un-coated wells of a 6-well cell culture plate. They were allowed to grow in medium containing 5 % FBS (Matrigel™-coated wells) or their standard culture medium (un-coated wells).

All following steps were conducted at room temperature. Cells were fixed with 4 % formalin for 10 minutes. After being washed two times with PBS for 1 minute each, they were covered with ready-to-use Oil Red O solution for 10 minutes. Subsequently, they were washed extensively with distilled water and examined under a light microscope.

## **4.2.8 Protein extraction**

Culture medium was removed from cells grown in 6-well cell culture plates and cells were rinsed with chilled PBS. Afterwards, chilled lysis buffer (20 mM TRIS pH 7.0, 150 mM NaCl, 1 mM EDTA, 1 mM EGTA, 1 % NP-40, 0.5 % deoxycholic acid) supplemented with commercial protease and phosphatase inhibitor cocktails was added, cells were scraped off and collected in Eppendorf tubes. After 15 minutes of incubation on ice, samples were centrifuged at 15 000 rpm for 15 minutes at 4 °C. Pellets of cell debris were discarded while supernatants were collected. The protein concentration in the soluble fractions was determined using the BCA protein assay. Extracts were aliquoted and stored at -20 °C.

### 4.2.9 Protein quantification

To ensure equal loading in SDS-PAGE, protein concentrations of the extracts were determined. For this purpose, a BCA protein assay kit was used according to the manufacturer's instructions. Absorbance was determined at 550 nm with a microplate reader, a standard curve was created and protein concentrations in samples were extrapolated from the linear part of this curve.

### 4.2.10 Western blot

Using the data collected via protein quantification, an appropriate volume of each sample was taken in order to obtain 50 µg of protein from each. These volumes were then mixed with 5 µL 5X Laemmli sample buffer (62.5 mM TRIS, 8 % glycerol, 2 % SDS, 0.001 % bromphenol blue, 4 % β-mercaptoethanol) and heated for 5 minutes at 95 °C and 700 rpm. Afterwards, samples were chilled on ice and centrifuged briefly. Gel electrophoresis was conducted in running buffer (12.5 mM TRIS base, 9.6 mM glycine, 0.05 % SDS) using the Biorad mini-PROTEAN Electrophoresis 3 cell system at 25 mA. Proteins were then transferred with blotting buffer (48 mM TRIS base, 39 mM glycine, 20 % methanol, 0.025 % SDS, pH 8.3) to nitrocellulose membranes, applying 100 V for around 2.5-3 hours, depending on protein size. Successful transfer was verified with Ponceau S staining, which was afterwards removed by rinsing the membranes with TBS-T (20 mM TRIS, 136 mM NaCl, 0.1 % Tween-20, pH 6.8). Membranes were blocked either with 5 % BSA in TBS-T for 2 hours at 37 °C or with 5 % nonfat dry milk in TBS-T at room temperature for 1 hour to inhibit unspecific binding. The primary antibody was applied after appropriate dilution in TBS-T with 3 % BSA and the membrane was incubated overnight at 4 °C. After three washes with TBS-T in order to remove unbound primary antibody, horseradish-peroxidase-conjugated secondary antibody (diluted 1:2000 in TBS-T with 5 % nonfat dry milk) was added for 1 hour at room temperature. The membrane was then washed three times with TBS-T again before signals were visualized with a chemiluminescent substrate kit and developed using a photo-sensitive imaging film. All steps were performed with gentle agitation on a rotary shaker. Membrane stripping was performed using a stripping buffer (10 % methanol and 10 % acetic acid) for 30 minutes at room temperature.

### 4.2.11 Statistical analysis

Results are given as medians. Graphs (boxplots) were created using the software R.

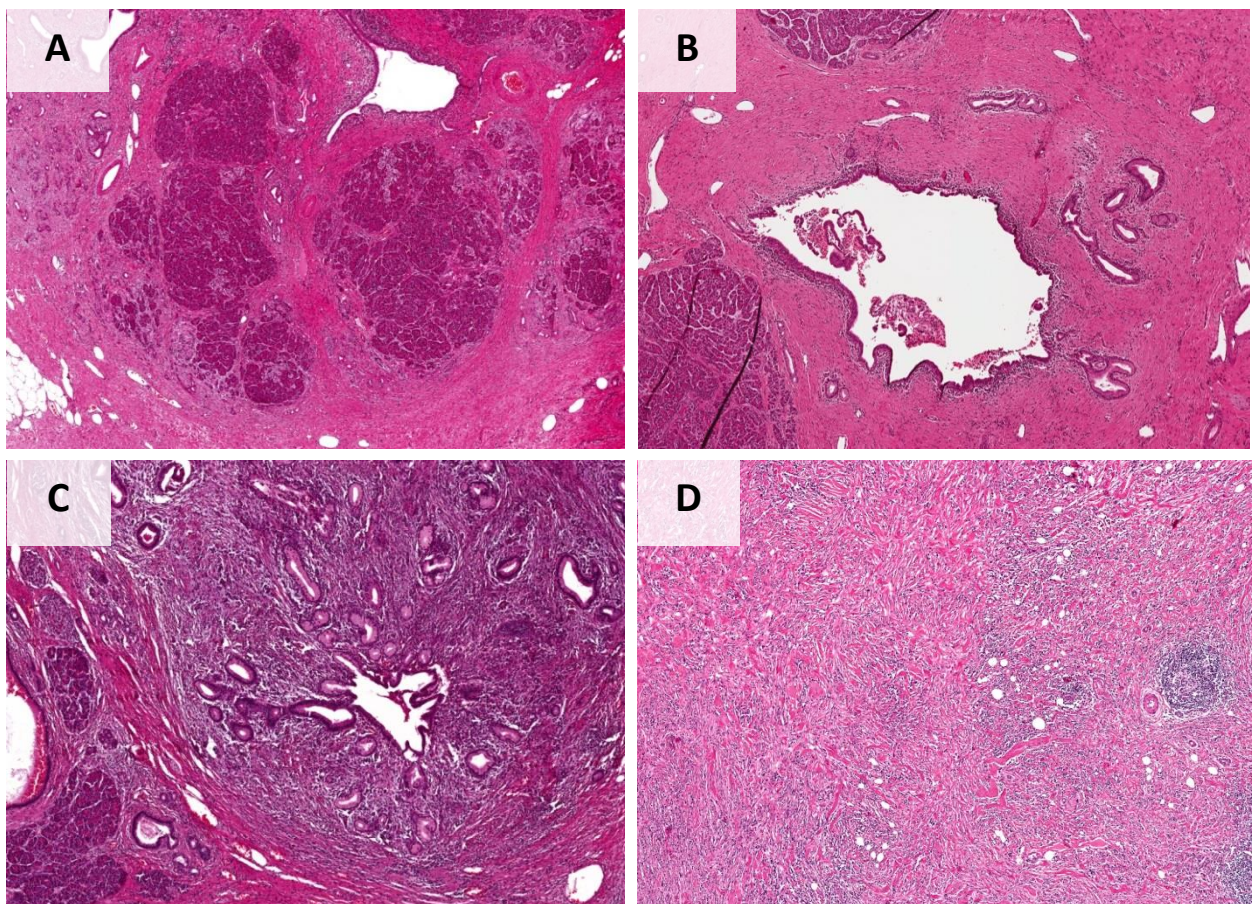
Mann-Whitney U test was performed using the software GraphPad Prism 7 to determine significance. Statistical significance was defined as  $p < 0.05$ .

## 5 Results

### 5.1 Histology

#### 5.1.1 Hematoxylin & Eosin stain

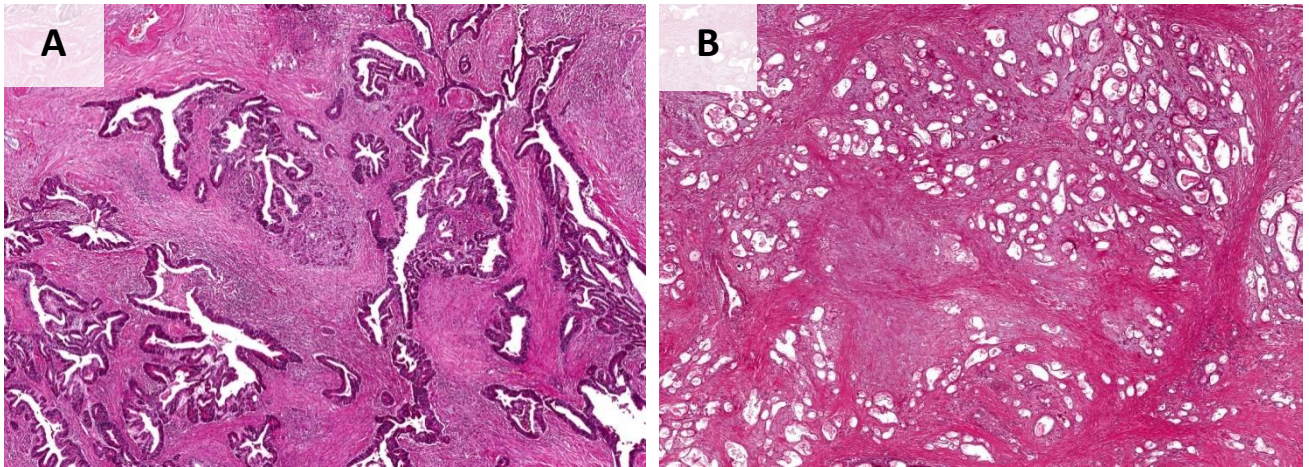
H&E slides were prepared to select the cases to be investigated in this study and to assess their morphology. Hereafter, cases were chosen as follows: Five cases consistent with the typical histology of chronic alcoholic pancreatitis, three cases consistent with the typical histology of AIP type 1, three cases consistent with the typical histology of AIP type 2, one case consistent with the typical histology of hereditary pancreatitis (figure 2) as well as ten cases of PDAC (figure 3). Fibrosis patterns and characteristic morphologies of different subtypes of chronic pancreatitis have been previously described (see 2.2.1).



**Figure 2.** H&E staining of four exemplary CP cases. **A.** Chronic alcoholic pancreatitis with typical inter-/perilobular pattern of fibrosis. **B.** Hereditary pancreatitis with dilated duct and typical periductal pattern of fibrosis. **C.** AIP type 1 with abundant periductal lymphoplasmacellular infiltrate and narrowed duct. **D.** AIP type 2 with storiform fibrosis.

## Results

Cases of PDAC also display different patterns of fibrosis with a more densely vs. a more loosely packed stroma (figure 3).

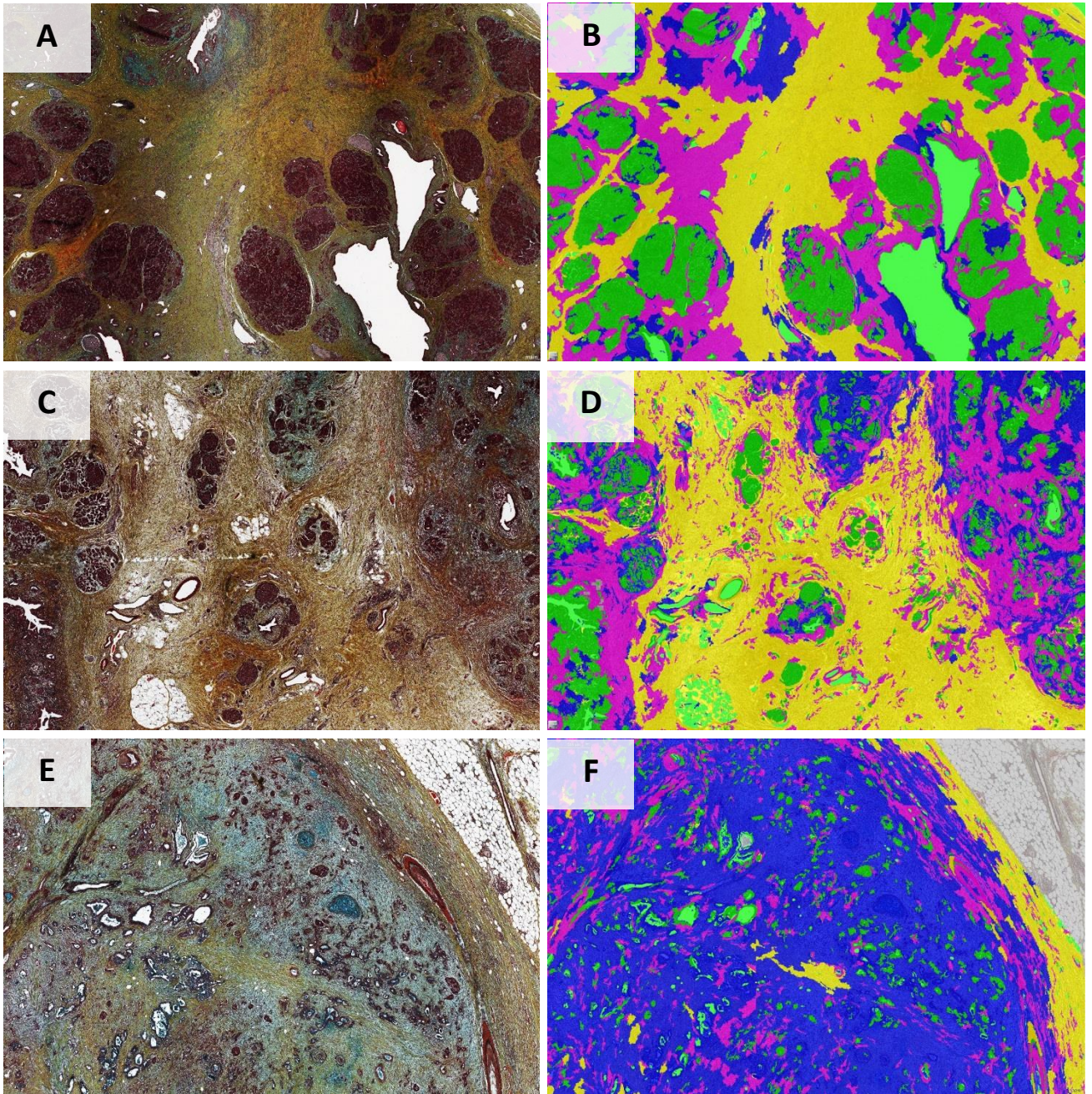


**Figure 3.** H&E staining of two exemplary PDAC cases. **A.** Dense stroma in PDAC. **B.** Loose stroma in PDAC.

### 5.1.2 Movat's pentachrome stain

To assess the composition of the stroma and distinguish areas of remodeling from areas of mature fibrosis, tissue sections of all cases included in this study were stained with Movat's pentachrome stain. Areas of mature fibrosis possess high amounts of collagen and therefore stain yellow. Areas of remodeling, which naturally comprise more mucins and ground substance than areas of mature fibrosis, stain blue or green.

Interestingly, cases of chronic pancreatitis showed significantly higher percentages of yellow-stained areas (median 42 %) compared to cases of PDAC (median 6 %) ( $p = 0.004$ , two-tailed, Mann-Whitney  $U = 18$ ,  $n_1 = 12$ ,  $n_2 = 10$ ). In reverse, cases of PDAC showed significantly higher percentages of blue-stained areas (median 52 %) compared to cases of chronic pancreatitis (median 17 %) ( $p = 0.0002$ , two tailed, Mann-Whitney  $U = 8$ ,  $n_1 = 12$ ,  $n_2 = 10$ ) (figure 4 & 5). Especially high yellow percentages were observed in cases of alcoholic pancreatitis as well as in the case of hereditary pancreatitis, while cases of AIP showed lower percentages of yellow-stained areas.

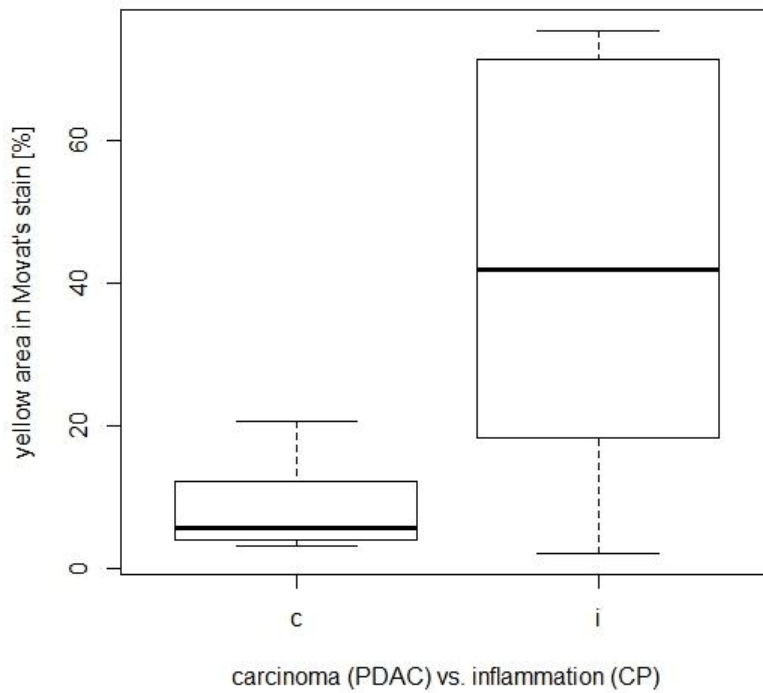


**Figure 4.** Tissue sections stained with Movat's pentachrome stain and how they were processed by the Definiens Tissue Studio software. Areas recognized as yellow or blue are displayed in the respective color. Pink areas represent "mixed" areas, which could not be assigned to yellow or blue. Green areas represent non-stromal areas such as ducts or acini. **A.** Alcoholic CP case stained with Movat's stain. **B.** Same case displayed in Definiens Tissue Studio. **C.** AIP case stained with Movat's stain. **D.** Same case displayed in Definiens Tissue Studio. **E.** PDAC case stained with Movat's stain. **F.** Same case displayed in Definiens Tissue Studio.

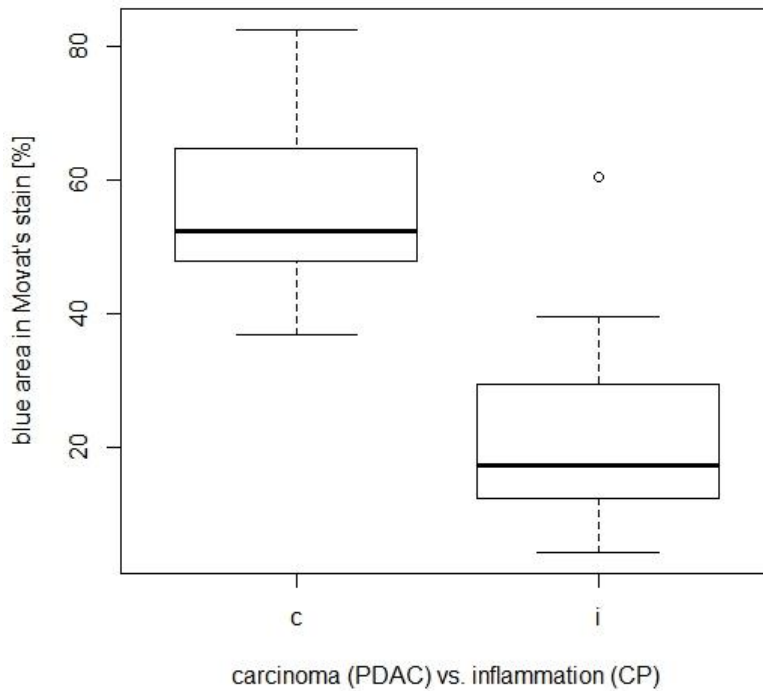


## Results

**A**



**B**



**Figure 5.** Boxplots comparing percentages of yellow and blue areas in cases of PDAC vs. cases of CP stained with Movat's pentachrome stain. **A.** Yellow areas are significantly larger in CP cases (median 41.965 %) than in PDAC cases (median 5.775 %) ( $p = 0.004$ ). **B.** Conversely, blue areas are significantly larger in PDAC cases (median 52.41 %) than in CP cases (median 17.215 %) ( $p = 0.0002$ ).

### 5.1.3 Immunohistochemistry

Tissue sections were examined by means of immunohistochemistry with antibodies raised against several proteins that have already been proposed as PSC markers. Moreover, using the previously elaborated similarities between PSCs and HSCs (see 2.4.2), tissue sections were tested for several HSC markers.

#### 5.1.3.1 $\alpha$ -Crystallin B

Alpha-crystallins are structural proteins abundant in the vertebrate eye lens. They are members of the small heat-shock protein super family (sHSPs) and have chaperone-like abilities (Horwitz, 1992). Thus, they are thought to maintain the eye lens's transparency and refraction index by preventing denatured proteins from aggregating and precipitating (Christopher et al., 2014; Maulucci et al., 2011). While  $\alpha$ -crystallin A is almost exclusively found in the lens,  $\alpha$ -crystallin B is expressed widely in many tissues. For example,  $\alpha$ -crystallin B shows a distinct expression in peripheral nerves and its overexpression seems to be associated with various neurological diseases (van Rijk & Bloemendal, 2000). It has also been proposed as a cancer biomarker, for example in breast cancer (Moyano et al., 2006).

Alpha-crystallin B is known to be expressed in HSCs (Cassiman et al., 2002; Cassiman et al., 2001b).

Own data demonstrate that alpha-crystallin B showed a **periacinar** staining of **low frequency** in 10 out of 12 CP cases (83.3 %) and in 7 out of 10 PDAC cases (70 %) (figure 6 A). Other localizations of  $\alpha$ -crystallin-B-positive cells included **intralobular** and **inter-/perilobular** areas. Staining of single cells and/or a diffuse staining of fibrotic areas unrelated to lobuli or ducts were observed less frequently (figure 6 B). The staining had an overall **moderate intensity** in 12/22 cases (54.54 %), but showed a broad range from low over moderate to high intensity. The staining pattern was classified as **focal** in 16 out of 22 cases (72.72 %). Notably,  $\alpha$ -crystallin-B-positive cells were mostly found in areas of well-preserved acini. A low-frequency medium-intensity periacinar staining could also be seen in normal pancreatic tissue. Taken together, this suggests that  $\alpha$ -crystallin B might be a marker of quiescent PSCs. Tumor cells stained for  $\alpha$ -crystallin B in 10 out of 10 PDAC cases (100 %), showing a high intensity and a diffuse staining pattern (figure 6 B).

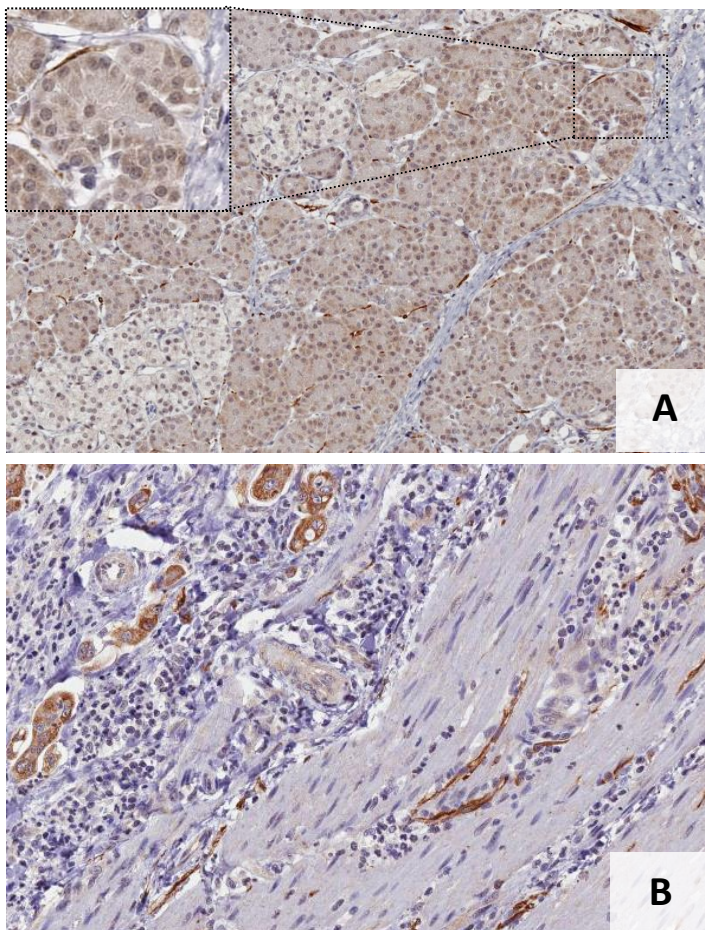
## Results

**Table 2.** Evaluation of  $\alpha$ -crystallin staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments   |
|-------------------|---|--|---------------------|--|
| 1 – AIP type 2    | periacinar +  | ++                                     | focal               | -  |
| 2 – AIP type 2    | periacinar +<br>intralobular +                          | ++                                     | focal               | -  |
| 3 – AIP type 1    | periacinar +<br>interlobular+                           | ++                                     | focal               | weak staining of part of the ductal epithelium and acini |
| 4 – AIP type 1    | -   | -                                      | -                   | weak staining of part of the ductal epithelium and acini |
| 5 – Hereditary CP | periacinar +  | ++                                     | focal               | weak staining of part of the ductal epithelium and acini |
| 6 – Alcoholic CP  | periacinar +  | ++                                     | focal               | weak staining of part of the ductal epithelium and acini |
| 7 – Alcoholic CP  | periacinar +  | +                                      | focal               | weak staining of part of the ductal epithelium and acini |
| 8 – Alcoholic CP  | periacinar +  | ++                                     | focal               | weak staining of part of the ductal epithelium and acini |
| 9 – Alcoholic CP  | periacinar +<br>intralobular +                          | ++                                     | focal               | weak staining of part of the ductal epithelium           |
| 10 – Alcoholic CP | -   | -                                      | -                   | -  |
| 11 – PDAC         | periacinar +<br>single cells in fibrosis +              | +                                      | focal               | staining of tumor cells                                  |
| 12 – PDAC         | periacinar +<br>single cells in fibrosis +              | ++                                     | focal               | staining of tumor cells                                  |
| 13 – PDAC         | single cells in fibrosis +                              | ++                                     | focal               | staining of tumor cells                                  |
| 14 – PDAC         | periacinar +<br>single cells in fibrosis +              | +                                      | focal               | staining of tumor cells                                  |
| 15 – PDAC         | periacinar +  | ++                                     | focal               | staining of tumor cells                                  |
| 16 – PDAC         | periacinar +<br>diffuse in fibrosis +++                 | ++                                     | diffuse             | staining of tumor cells                                  |
| 17 – PDAC         | -   | -                                      | -                   | staining of tumor cells                                  |
| 18 – PDAC         | periacinar +<br>diffuse in fibrosis ++                  | +                                      | diffuse             | staining of tumor cells                                  |

## Results

|                          |   |     |       |                         |
|--------------------------|---|-----|-------|-------------------------|
| 19 – PDAC                | periacinar +<br>inter-/perilobular +<br>diffuse in fibrosis + | +++ | focal | staining of tumor cells |
| 20 – PDAC                | -   | -   | -     | staining of tumor cells |
| 21 – AIP type 1          | periacinar +<br>intralobular +<br>inter-/perilobular +        | +++ | focal | -                       |
| 22 – AIP type 2          | periacinar +  | ++  | focal | -                       |
| Normal pancreatic tissue | periacinar +  | ++  | focal | -                       |



**Figure 6.** IHC for  $\alpha$ -crystallin B. **A.** Periacinar and interlobular staining of single PSCs in a case of AIP type 1. **B.** Staining of single cells in the fibrosis areas as well as staining of tumor cells in a case of PDAC.

### 5.1.3.2 $\alpha$ -SMA

Actins comprise a family of microfilament-forming cytoskeletal proteins that play an important role in cell structure and motility (Mogilner & Oster, 1996; Pollard & Borisy, 2003). Alpha-smooth muscle actin ( $\alpha$ -SMA) is the actin isoform found in smooth muscle, including vascular smooth muscle.

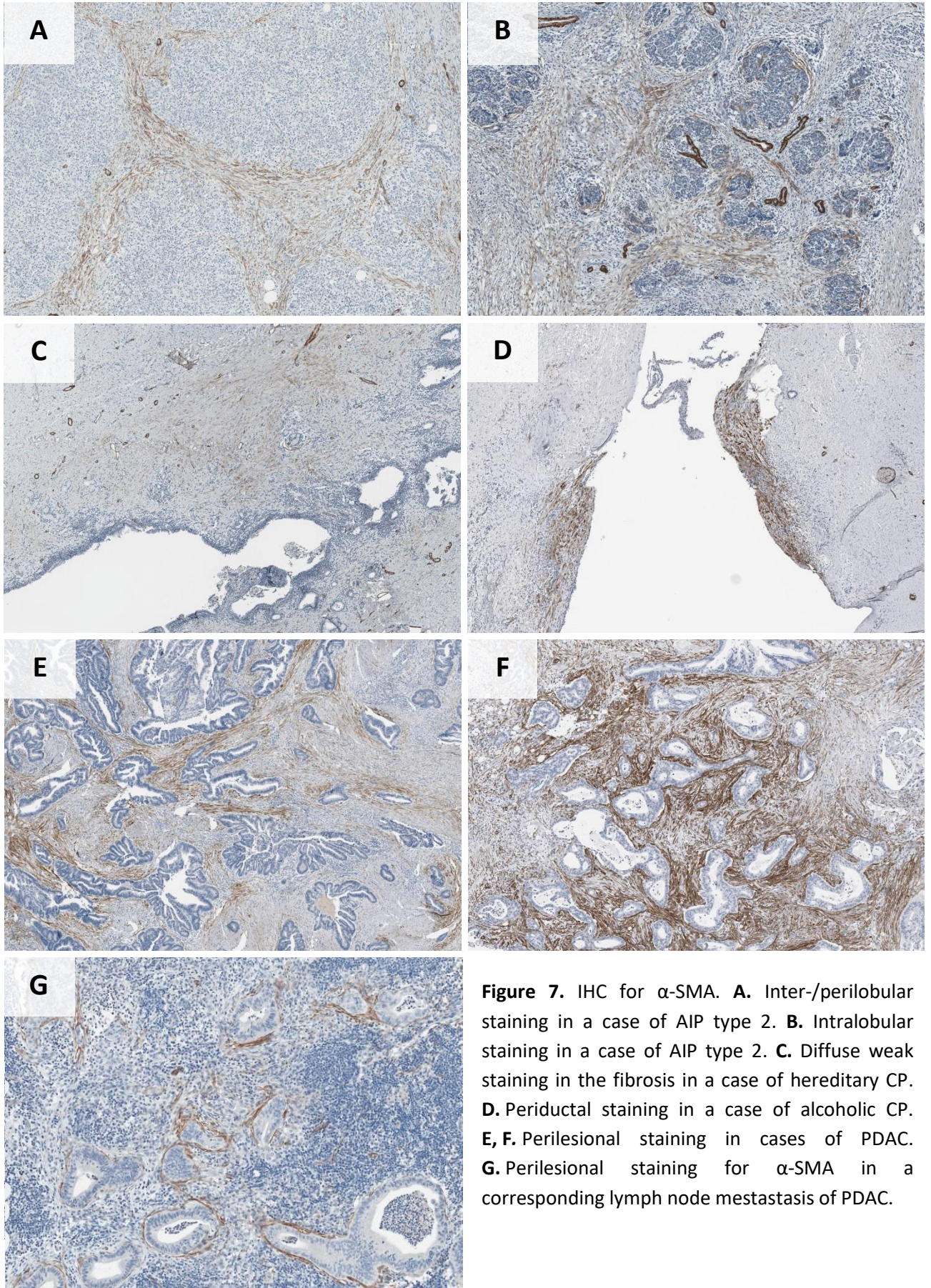
Alpha-SMA is well-established as a marker for activated HSCs (Ramadori et al., 1990; Rockey et al., 1992) as well as activated PSCs (Apte et al., 1998) or PSCs that undergo the transition from an inactivated to an activated phenotype, respectively (Krizhanovsky et al., 2008).

In our study, the localization of  $\alpha$ -SMA staining was **inter-/perilobular** in 6 out of 12 CP cases (50 %) (figure 7 A). Other staining localizations that were less frequently observed in cases of CP include a **periductal** and **intralobular** staining as well as a **diffuse** staining of the fibrotic tissue (figure 7 B-D). In all cases of PDAC, a prominent **perilesional** staining for  $\alpha$ -SMA could be seen (100 %) (figure 7 E & F). A perilesional staining of cells was also found in a lymph node metastasis included in one PDAC case (figure 7 G). The intensity of  $\alpha$ -SMA **varied** strongly between individual cases. The predominant staining pattern of  $\alpha$ -SMA was **diffuse**, as found in 19 out of 22 cases (83.36 %). Differently than in cases of CP or PDAC,  $\alpha$ -SMA showed a periductal and intralobular staining in normal pancreatic tissue.

## Results

**Table 3.** Evaluation of  $\alpha$ -SMA staining.

| Case                     | Localization and frequency of staining in PSCs/fibrosis   | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments                                       |
|--------------------------|---|--|---------------------|--|
| 1 – AIP type 2           | periductal ++<br>intralobular ++<br>inter-/perilobular ++ | ++                                     | diffuse             | -  |
| 2 – AIP type 2           | inter-/perilobular ++                                     | ++                                     | diffuse             | -  |
| 3 – AIP type 1           | inter-/perilobular +                                      | ++                                     | diffuse             | -  |
| 4 – AIP type 1           | periductal ++<br>diffuse in fibrosis +++                  | ++                                     | diffuse             | -  |
| 5 – Hereditary CP        | diffuse in fibrosis ++                                    | +                                      | diffuse             | -  |
| 6 – Alcoholic CP         | periductal +  | +++                                    | focal               | -  |
| 7 – Alcoholic CP         | diffuse in fibrosis +                                     | +                                      | diffuse             | -  |
| 8 – Alcoholic CP         | inter-/perilobular ++                                     | +++                                    | diffuse             | -  |
| 9 – Alcoholic CP         | intralobular +<br>inter-/perilobular +                    | ++                                     | diffuse             | -  |
| 10 – Alcoholic CP        | periductal +  | +                                      | diffuse             | -  |
| 11 – PDAC                | perilesional ++   | ++                                     | diffuse             | perilesional staining in lymph node metastasis |
| 12 – PDAC                | perilesional ++<br>inter-/perilobular ++                  | ++                                     | diffuse             | -  |
| 13 – PDAC                | perilesional +++  | ++                                     | focal               | -  |
| 14 – PDAC                | perilesional +  | +                                      | diffuse             | -  |
| 15 – PDAC                | perilesional +  | ++                                     | focal               | -  |
| 16 – PDAC                | perilesional +++  | +++                                    | diffuse             | -  |
| 17 – PDAC                | perilesional ++   | ++                                     | diffuse             | -  |
| 18 – PDAC                | perilesional +++<br>inter-/perilobular +                  | +++                                    | diffuse             | -  |
| 19 – PDAC                | perilesional +  | ++                                     | diffuse             | -  |
| 20 – PDAC                | perilesional ++   | +                                      | diffuse             | -  |
| 21 – AIP type 1          | diffuse in fibrosis +++                                   | +++                                    | diffuse             | -  |
| 22 – AIP type 2          | inter-/perilobular +++                                    | +++                                    | diffuse             | -  |
| Normal pancreatic tissue | periductal ++<br>intralobular +                           | ++                                     | diffuse             | -  |



**Figure 7.** IHC for  $\alpha$ -SMA. **A.** Inter-/perilobular staining in a case of AIP type 2. **B.** Intralobular staining in a case of AIP type 2. **C.** Diffuse weak staining in the fibrosis in a case of hereditary CP. **D.** Periductal staining in a case of alcoholic CP. **E, F.** Perilesional staining in cases of PDAC. **G.** Perilesional staining for  $\alpha$ -SMA in a corresponding lymph node metastasis of PDAC.

### 5.1.3.3 CD34

CD34 is a cell surface protein that was first identified in hematopoietic stem and progenitor cells (Civin et al., 1984). However, it has been established that CD34 can also be found in various other cell types, such as vascular endothelial cells (Fina et al., 1990; Sidney et al., 2014). This is why blood vessels, especially capillaries, stain positive for CD34. With its exact function still being unknown, CD34 is thought to play an important role in cell adhesion (Healy et al., 1995) and migration (Nielsen & McNagny, 2009).

CD34 has been proposed as a PSC marker (Habisch et al., 2010). In the following description, only the staining of stromal cells other than endothelia is considered.

IHC staining with an antibody raised against CD34 resulted in a **periacinar** staining in 10 out of 12 CP cases (83.3 %), an **inter-/perilobular** staining as well as an **intralobular** staining in 9 out of 12 CP cases (75 %) and a **periductal** staining in 7 out of 12 CP cases (58.3 %) (figure 8 A & B). A staining distributed **diffusely in the fibrosis** was less frequently observed in cases of CP (figure 8 C). In cases of PDAC, a **perilesional** staining could be observed in 7 out of 10 cases (70 %) (figure 8 D), while cells staining positive for CD34 were distributed diffusely in the whole fibrosis in the remaining cases. The staining intensity for CD34 was classified as **strong** in 9 out of 12 CP cases (75 %), while the staining intensity in PDAC cases was mostly **moderate** (8 out of 10 cases; 80 %). The overall staining pattern was **diffuse** in 22 out of 22 cases (100 %).



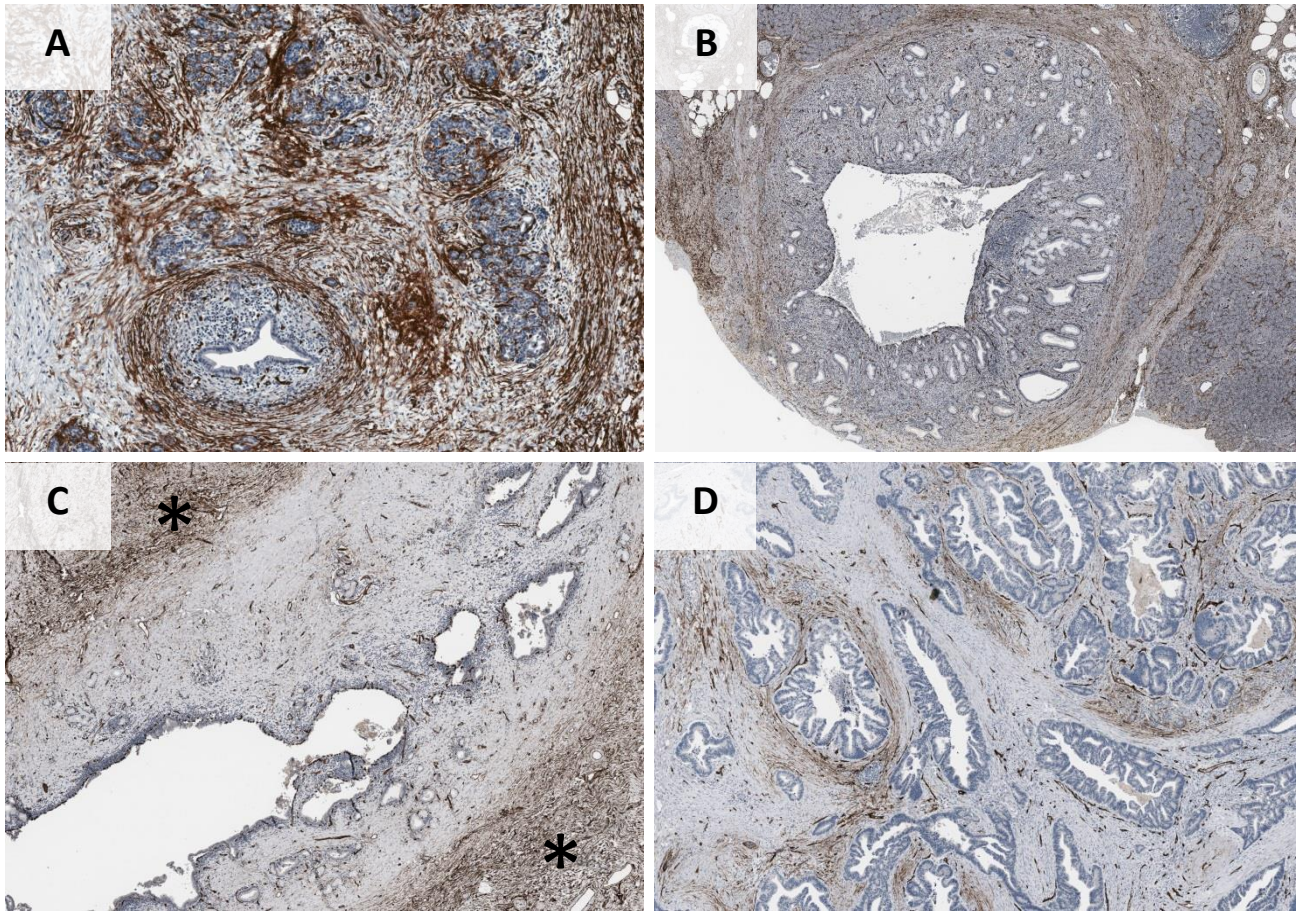
## Results

**Table 4.** Evaluation of CD34 staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis                                   | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments   |
|-------------------|---|--|---------------------|--|
| 1 – AIP type 2    | peripheral periductal +++<br>periacinar +++<br>intralobular +++<br>inter-/perilobular +++ | +++                                    | diffuse             | -  |
| 2 – AIP type 2    | periacinar ++<br>intralobular ++<br>inter-/perilobular ++                                 | ++                                     | diffuse             | -  |
| 3 – AIP type 1    | peripheral periductal +++<br>periacinar +++<br>intralobular +++<br>inter-/perilobular +++ | +++                                    | diffuse             | -  |
| 4 – AIP type 1    | periductal +++<br>periacinar +++  | +++                                    | diffuse             | stained/non-stained areas contrary to $\alpha$ -SMA staining |
| 5 – Hereditary CP | diffuse in fibrosis +++   | +++                                    | diffuse             | no staining around the big ducts                             |
| 6 – Alcoholic CP  | diffuse in fibrosis +++   | +++                                    | diffuse             | no staining around the big ducts                             |
| 7 – Alcoholic CP  | peripheral periductal +++<br>periacinar +++<br>intralobular +++<br>inter-/perilobular +++ | +++                                    | diffuse             | -  |
| 8 – Alcoholic CP  | periductal ++<br>periacinar ++<br>intralobular ++<br>inter-/perilobular ++                | +++                                    | diffuse             | -  |
| 9 – Alcoholic CP  | periductal ++<br>periacinar ++<br>intralobular ++<br>inter-/perilobular ++                | +++                                    | diffuse             | -  |
| 10 – Alcoholic CP | periductal ++<br>periacinar ++<br>intralobular ++<br>inter-/perilobular ++                | ++                                     | diffuse             | -  |

## Results

|                             |   |     |         |   |
|-----------------------------|---|-----|---------|---|
| 11 – PDAC                   | diffuse in fibrosis ++  | +++ | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 12 – PDAC                   | perilesional ++   | ++  | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 13 – PDAC                   | perilesional ++   | ++  | diffuse | -   |
| 14 – PDAC                   | perilesional ++   | ++  | diffuse | -   |
| 15 – PDAC                   | diffuse in fibrosis ++  | ++  | diffuse | -   |
| 16 – PDAC                   | perilesional ++   | ++  | diffuse | -   |
| 17 – PDAC                   | perilesional ++   | ++  | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 18 – PDAC                   | perilesional ++   | +   | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 19 – PDAC                   | diffuse in fibrosis ++  | ++  | diffuse | -   |
| 20 – PDAC                   | perilesional ++   | ++  | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 21 – AIP type 1             | periacinar +<br>intralobular +<br>inter-/perilobular +                        | ++  | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| 22 – AIP type 2             | periacinar +<br>intralobular +<br>inter-/perilobular +                        | +++ | diffuse | stained/non-stained areas<br>contrary to $\alpha$ -SMA staining |
| Normal pancreatic<br>tissue | periductal ++<br>periacinar +++<br>intralobular +++<br>inter-/perilobular +++ | +++ | diffuse | -   |



**Figure 8.** IHC for CD34. **A.** Periacinar, inter-/perilobular and intralobular and peripheral periductal staining in a case of AIP type 2. **B.** Peripheral periductal staining in a case of AIP type 1. **C.** Staining diffusely distributed in the fibrosis in a case of hereditary CP (stars), whereas in the immediately periductal tissue only endothelial staining is observed. **D.** Perilesional staining in a case of PDAC.

#### 5.1.3.4 CD56 (NCAM)

The cell surface protein CD56, also known as NCAM (neural cell adhesion molecule), belongs to the immunoglobulin superfamily and is widely expressed in nerve tissue (Rutishauser et al., 1978; Thiery et al., 1977). It has also been found in various other tissues. It is, for example, expressed in neuroendocrine cells, like  $\beta$ -cells of the pancreas (Kiss et al., 1994). Its functions include cell-cell adhesion (Rutishauser et al., 1982) and cell-ECM adhesion (Cavallaro et al., 2001) as well as signaling properties (Ditlevsen et al., 2008; Panicker et al., 2003). The molecule is thought to play an important role in neural development and plasticity (Fields & Itoh, 1996; Kiss & Muller, 2001; Ronn et al., 1998). In some malignancies, like pancreatic cancer, a down-regulation or loss of CD56 seems to be associated with poor prognosis (Tezel et al., 2001), while in other tumors, such as neuroblastoma, increased CD56 expression indicates cancer progression (Gluer et al., 1998).

CD56 is also expressed in HSCs (Cassiman et al., 2002).

## Results

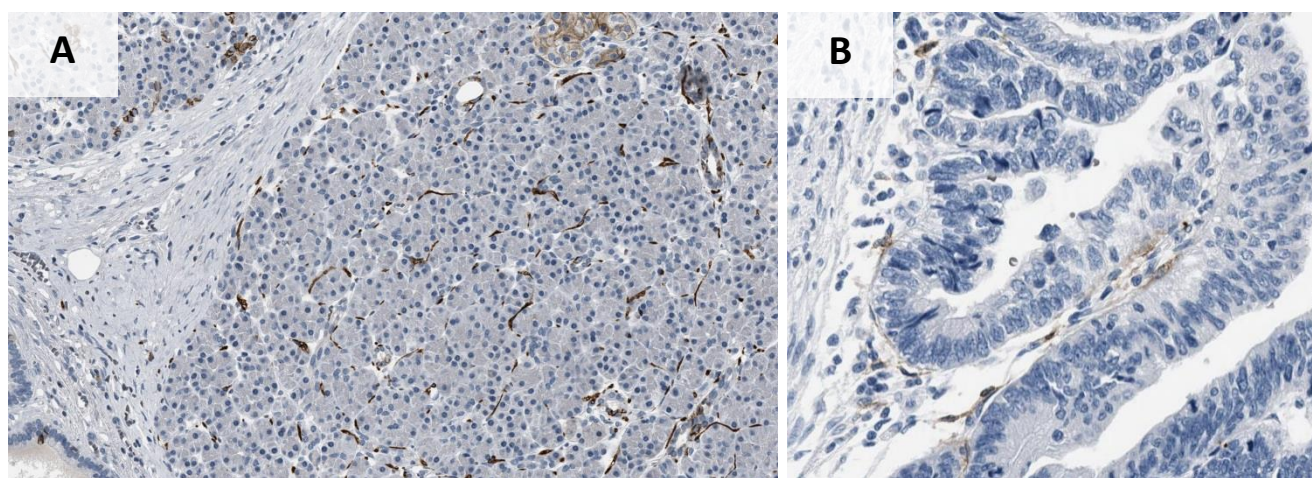
In our study, CD56 showed a **periacinar** staining in 10 out of 12 CP cases (83.3 %) (figure 9 A), while other staining localizations like an intralobular, periductal or diffuse staining unrelated to structures were rarely seen. In cases of PDAC, the staining localization observed in most cases was also a **periacinar** staining in remnant acini (in 6 out of 10 cases; 60 %). Other localizations (diffuse or perilesional) were only rarely observed (figure 9 B). The staining intensity, however, was **strong** in most cases. If any staining could be observed, the pattern was always **diffuse**. A periacinar staining was also seen in normal pancreatic tissue. Similar to the mostly periacinar staining for  $\alpha$ -crystallin B, this could indicate that CD56 is a marker for quiescent PSCs rather than for activated PSCs.

**Table 5.** Evaluation of CD56 staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments   |
|-------------------|---|--|---------------------|--|
| 1 – AIP type 2    | periacinar +<br>intralobular +                          | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 2 – AIP type 2    | periacinar +  | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 3 – AIP type 1    | periacinar +<br>intralobular +                          | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 4 – AIP type 1    | -   | -                                      | -                   | no acini remaining;<br>membranous staining of islets and duct epithelium |
| 5 – Hereditary CP | periacinar ++<br>diffuse in fibrosis +                  | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 6 – Alcoholic CP  | periductal +<br>periacinar +                            | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 7 – Alcoholic CP  | periacinar +<br>intralobular +                          | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 8 – Alcoholic CP  | periacinar ++<br>diffuse in fibrosis +                  | +++                                    | diffuse             | membranous staining of islets and duct epithelium                        |
| 9 – Alcoholic CP  | -   | -                                      | -                   | -  |
| 10 – Alcoholic CP | periacinar +  | ++                                     | diffuse             | membranous staining of islets and duct epithelium                        |

## Results

|                             |  |     |         |   |
|-----------------------------|--|-----|---------|---|
| 11 – PDAC                   | periacinar +                                     | +++ | diffuse | -   |
| 12 – PDAC                   | periacinar +                                     | +++ | diffuse | -   |
| 13 – PDAC                   | periacinar +<br>single cells +<br>perilesional + | +++ | diffuse | staining of tumor cells                                 |
| 14 – PDAC                   | periacinar+                                      | +++ | diffuse | -   |
| 15 – PDAC                   | -  | -   | -       | -   |
| 16 – PDAC                   | single cells in fibrosis<br>+                    | ++  | diffuse | -   |
| 17 – PDAC                   | -  | -   | -       | staining of tumor cells                                 |
| 18 – PDAC                   | periacinar +                                     | +++ | diffuse | -   |
| 19 – PDAC                   | periacinar +<br>single cells in fibrosis<br>+    |     |         | -   |
| 20 – PDAC                   | -  | -   | -       | staining of tumor cells                                 |
| 21 – AIP type 1             | periacinar +                                     | +++ | diffuse | membranous staining of<br>islets and duct<br>epithelium |
| 22 – AIP type 2             | periacinar +                                     | +++ | diffuse | membranous staining of<br>islets and duct<br>epithelium |
| Normal pancreatic<br>tissue | periacinar +                                     | +++ | diffuse | membranous staining of<br>islets and duct<br>epithelium |



**Figure 9.** IHC for CD56. **A.** Case of AIP type 1: Periacinar staining of single cells is observed in healthy parenchyma, whereas only few positive cells are found in the interlobular fibrous septa. **B.** Case of PDAC: Perilesional staining of single cells is observed, but only very rarely.

## Results

### 5.1.3.5 Desmin

Desmin is the main intermediate filament protein found in the skeletal, cardiac and smooth muscles of vertebrates (Lazarides & Hubbard, 1976; Li et al., 1997). It maintains the structure of the contractile apparatus of muscle cells by linking the myofibrils, composed of actin and myosin, to one another and to the membrane of the muscle cell (sarcolemma) (Li et al., 1997).

Desmin is an established marker for HSCs (Cassiman et al., 2002; Yokoi et al., 1984) and PSCs (Apte et al., 1998; Bachem et al., 1998).

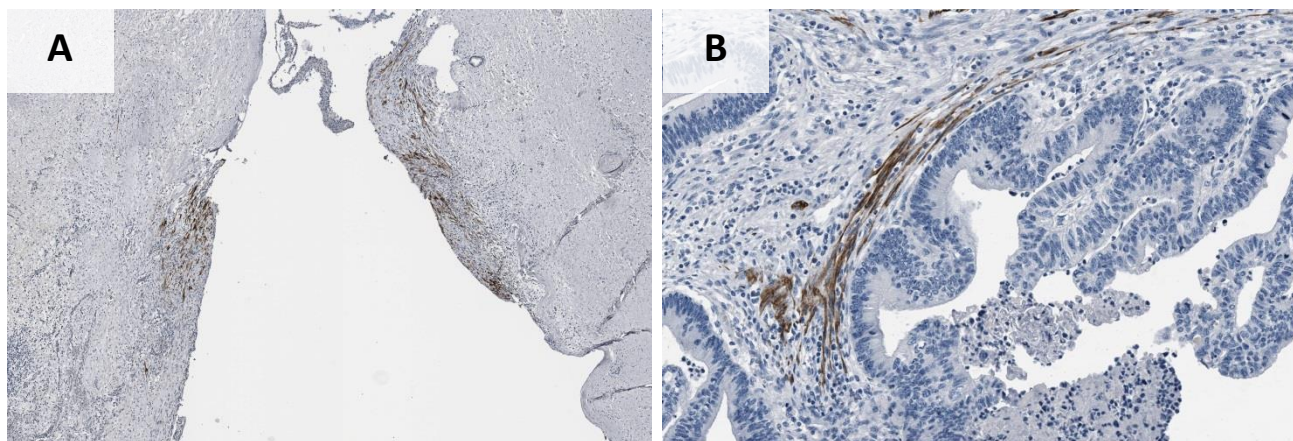
In our study, desmin staining was found in **varying locations**, but generally very rarely both in cases of CP (4 out of 12 cases; 33.3 %) and in cases of PDAC (1 out of 10 cases; 10 %). The staining intensity was mostly **moderate**. If any desmin staining was observed, the staining pattern was **focal** (figure 10).

**Table 6.** Evaluation of desmin staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments |
|-------------------|---|--|---------------------|----------|
| 1 – AIP type 2    | -   | -                                      | -                   | -        |
| 2 – AIP type 2    | -   | -                                      | -                   | -        |
| 3 – AIP type 1    | -   | -                                      | -                   | -        |
| 4 – AIP type 1    | focal in fibrosis +                                     | ++                                     | focal               | -        |
| 5 – Hereditary CP | -   | -                                      | -                   | -        |
| 6 – Alcoholic CP  | periductal +  | ++                                     | focal               | -        |
| 7 – Alcoholic CP  | -   | -                                      | -                   | -        |
| 8 – Alcoholic CP  | inter-/perilobular +                                    | ++                                     | focal               | -        |
| 9 – Alcoholic CP  | -   | -                                      | -                   | -        |
| 10 – Alcoholic CP | -   | -                                      | -                   | -        |
| 11 – PDAC         | -   | -                                      | -                   | -        |
| 12 – PDAC         | -   | -                                      | -                   | -        |
| 13 – PDAC         | perilesional +  | ++                                     | focal               | -        |
| 14 – PDAC         | -   | -                                      | -                   | -        |
| 15 – PDAC         | -   | -                                      | -                   | -        |
| 16 – PDAC         | -   | -                                      | -                   | -        |
| 17 – PDAC         | -   | -                                      | -                   | -        |
| 18 – PDAC         | -   | -                                      | -                   | -        |
| 19 – PDAC         | -   | -                                      | -                   | -        |
| 20 – PDAC         | -   | -                                      | -                   | -        |

## Results

|                          |                     |     |       |   |
|--------------------------|---------------------|-----|-------|---|
| 21 – AIP type 1          | focal in fibrosis + | +++ | focal |   |
| 22 – AIP type 2          | -                   | -   | -     | - |
| Normal pancreatic tissue | -                   | -   | -     | - |



**Figure 10.** IHC for desmin. **A.** Focal periductal staining in a case of alcoholic CP. **B.** Focal perilesional staining in a case of PDAC.

### 5.1.3.6 NGF

The small secreted protein NGF (nerve growth factor) is the first-discovered member of the neurotrophin family and promotes the survival, growth and differentiation of neurons (Cohen et al., 1954; Levi-Montalcini & Hamburger, 1951). NGF exerts its cellular effects by binding to the tyrosin kinase receptor TrkA and to the low-affinity pan-neurotrophin receptor NGFR (nerve growth factor receptor) (Huang & Reichardt, 2003). In addition to its pivotal role in nerve formation and maintenance, NGF is also expressed in non-neural tissues. An enhanced expression of NGF is known in both chronic pancreatitis and pancreatic cancer and has been linked to neural morphological changes, pain and perineural invasion, respectively (Friess et al., 1999; Zhu et al., 1999).

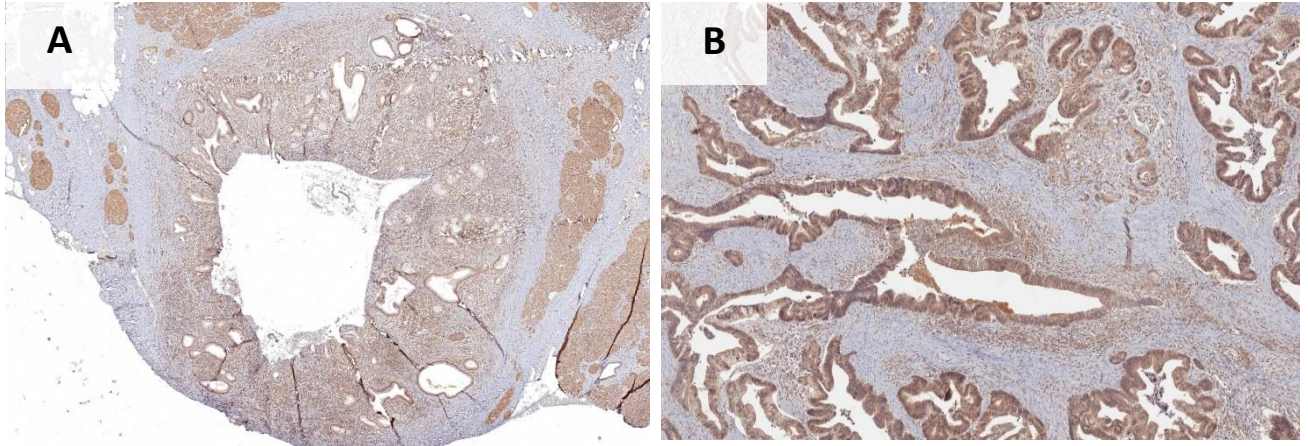
NGF is expressed in HSCs (Cassiman et al., 2001a; Cassiman et al., 2002) and in PCCs (Zhu et al., 2001). In our study, NGF staining had a **periductal** localization in 5 out of 12 CP cases (41.6 %) (figure 11 A) and was distributed **diffusely in the fibrosis** in 3 out of 12 CP cases (25 %). In PDAC cases, a **perilesional** staining was observed in 5 out of 10 cases (50 %) (figure 11 B). The staining intensity for NGF was **weak** in all cases, the staining pattern always **diffuse**. In normal pancreatic tissue, NGF showed an inter-/perilobular localization. In addition, tumor cells also stained positive for NGF in 10 out of 10 (100 %) PDAC cases (figure 11 B).

## Results

**Table 7.** Evaluation of NGF staining.

| Case                     | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments                                      |
|--------------------------|---|--|---------------------|---|
| 1 – AIP type 2           | interlobular +  | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 2 – AIP type 2           | -   | -                                      | -                   | staining of acini, islets and duct epithelium |
| 3 – AIP type 1           | periductal +<br>diffuse in fibrosis +                   | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 4 – AIP type 1           | -   | -                                      | -                   | -   |
| 5 – Hereditary CP        | periductal +  | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 6 – Alcoholic CP         | periductal +  | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 7 – Alcoholic CP         | -   | -                                      | -                   | staining of acini, islets and duct epithelium |
| 8 – Alcoholic CP         | -   | -                                      | -                   | staining of acini, islets and duct epithelium |
| 9 – Alcoholic CP         | periductal +  | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 10 – Alcoholic CP        | periductal +  | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 11 – PDAC                | perilesional ++   | +                                      | diffuse             | staining of tumor cells                       |
| 12 – PDAC                | -   | -                                      | -                   | staining of tumor cells                       |
| 13 – PDAC                | perilesional ++   | +                                      | diffuse             | staining of tumor cells                       |
| 14 – PDAC                | -   | -                                      | -                   | staining of tumor cells                       |
| 15 – PDAC                | -   | -                                      | -                   | staining of tumor cells                       |
| 16 – PDAC                | perilesional +  | +                                      | diffuse             | staining of tumor cells                       |
| 17 – PDAC                | -   | -                                      | -                   | staining of tumor cells                       |
| 18 – PDAC                | perilesional +  | +                                      | diffuse             | staining of tumor cells                       |
| 19 – PDAC                | perilesional +  | +                                      | diffuse             | staining of tumor cells                       |
| 20 – PDAC                | -   | -                                      | -                   | staining of tumor cells                       |
| 21 – AIP type 1          | diffuse in fibrosis +                                   | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| 22 – AIP type 2          | diffuse in fibrosis +                                   | +                                      | diffuse             | staining of acini, islets and duct epithelium |
| Normal pancreatic tissue | inter-/perilobular +                                    | +                                      | diffuse             | staining of acini, islets and duct epithelium |





**Figure 11.** IHC for NGF. **A.** Weak periductal staining in a case of AIP type 1. **B.** Weak perilesional staining and staining of tumor cells in a case of PDAC.

#### 5.1.3.7 NGFR

NGFR (nerve growth factor receptor), also known as LNGFR (low-affinity nerve growth factor receptor) or p75<sup>NTR</sup>, belongs to the TNF (tumor necrosis factor) cytokine receptor superfamily and is able to bind all neurotrophins, including NGF and NT-3, with equal affinity (Chao, 1994). While NGFR can have positive effects on cell survival and differentiation in the presence of the NGF receptor TrkA, it can also have pro-apoptotic effects when expressed in the absence of TrkA (Rabizadeh & Bredesen, 1994). The level of NGFR expression in PDAC has been suggested to be correlated with level of perineural invasion (Wang et al., 2009).

NGFR is known to be expressed in HSCs and PSCs (Cassiman et al., 2001a; Cassiman et al., 2002; Trim et al., 2000) as well as in PCCs (Zhu et al., 1999).

NGFR staining showed **variable** locations in cases of CP, including a periductal (figure 12 A), intralobular, inter-/perilobular as well as a diffusely distributed staining in the fibrosis. However, a **perilesional** staining for NGFR could be seen in 7 out of 10 PDAC cases (70 %) (figure 12 B). The staining intensity for NGFR was **weak** in all cases and the staining pattern was classified as **diffuse** in all cases where a staining could be observed. Tumor cells stained positive for NGFR in 9 out of 10 PDAC cases (90 %) (figure 12 B).

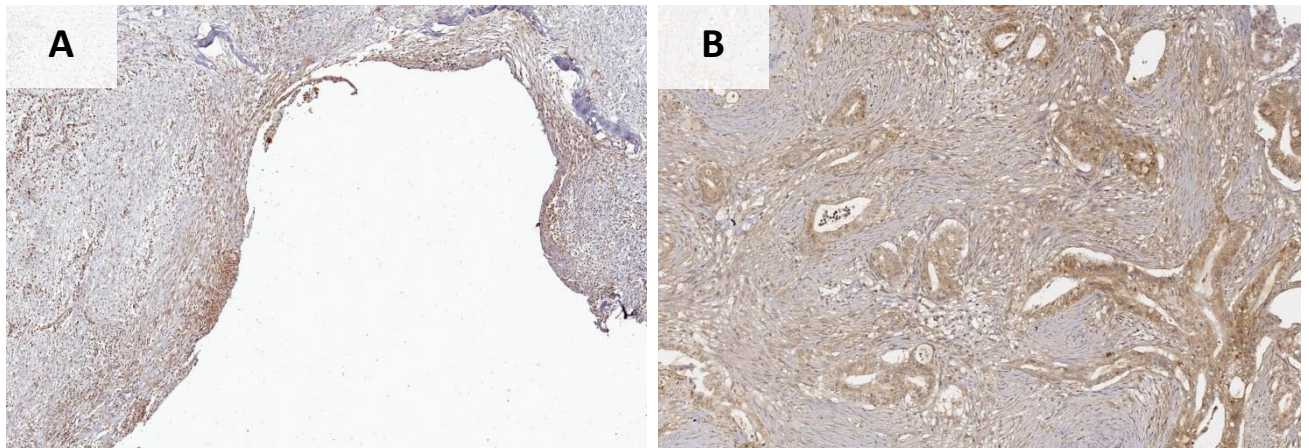
## Results

**Table 8.** Evaluation of NGFR staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments   |
|-------------------|---|--|---------------------|--|
| 1 – AIP type 2    | -   | -                                      | -                   | -  |
| 2 – AIP type 2    | intralobular +<br>inter-/perilobular +                  | +                                      | diffuse             | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 3 – AIP type 1    | periductal +  | +                                      | diffuse             | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 4 – AIP type 1    | diffuse in fibrosis +                                   | +                                      | diffuse             | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 5 – Hereditary CP | -   | -                                      | -                   | -  |
| 6 – Alcoholic CP  | periductal +  | +                                      | diffuse             | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 7 – Alcoholic CP  | -   | -                                      | -                   | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 8 – Alcoholic CP  | -   | -                                      | -                   | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 9 – Alcoholic CP  | -   | -                                      | -                   | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 10 – Alcoholic CP | periductal +  | +                                      | diffuse             | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 11 – PDAC         | perilesional +  | +                                      | diffuse             | staining of tumor cells  |
| 12 – PDAC         | -   | -                                      | -                   | staining of tumor cells  |
| 13 – PDAC         | -   | -                                      | -                   | staining of tumor cells  |
| 14 – PDAC         | -   | -                                      | -                   | -  |
| 15 – PDAC         | perilesional +  | +                                      | diffuse             | staining of tumor cells  |

## Results

|                          |                       |   |         |  |
|--------------------------|-----------------------|---|---------|--|
| 16 – PDAC                | perilesional +        | + | diffuse | staining of tumor cells  |
| 17 – PDAC                | perilesional +        | + | diffuse | staining of tumor cells  |
| 18 – PDAC                | perilesional +        | + | diffuse | staining of tumor cells  |
| 19 – PDAC                | perilesional +        | + | diffuse | staining of tumor cells  |
| 20 – PDAC                | perilesional +        | + | diffuse | staining of tumor cells  |
| 21 – AIP type 1          | diffuse in fibrosis + | + | diffuse | staining of acini and duct epithelium cells;<br>prominent staining of islets |
| 22 – AIP type 2          | -                     | - | -       | -  |
| Normal pancreatic tissue | -                     | - | -       | staining of acini and duct epithelium cells;<br>prominent staining of islets |



**Figure 12.** IHC for NGFR. **A.** Weak periductal staining in a case of alcoholic CP. **B.** Weak perilesional staining and staining of tumor cells in a case of PDAC.

### 5.1.3.8 NT-3

Like the previously mentioned NGF, the protein NT-3 (neurotrophin-3) belongs to the family of neurotrophins and therefore exerts similar functions as NGF in regards to the promotion of neural tissues. While it binds to its main receptor TrkC with the highest affinity, it is also able to bind to TrkB and NGFR (Barbacid, 1994).

NT-3 expression has been found in HSCs (Cassiman et al., 2001a; Cassiman et al., 2002).

In this study, NT-3 expression was observed in the fibrotic areas, both diffuse and in single stromal cells. A staining of the collagen-rich fibrosis was observed in 11 out of 12 CP cases (91.6 %), but only in 2 out of 10 PDAC cases (20 %). The most common staining localization observed in CP cases was **periductal**, as seen in 5 out of 12 CP cases (41.6 %) (figure 13 A), while other localications that were rarely seen in CP cases include an intralobular as well as an inter-/perilobular staining. NT-3 showed a **perilesional** staining localization in 10 out of 10 PDAC cases (100 %) (figure 13 C & D). The staining intensity varied, but was **moderate** or **strong** in most cases. Interestingly, most CP cases showed a **diffuse** staining pattern **with a focal accentuation** (10 out of 12; 83.3 %) (figure 13 B), while all PDAC cases showed a very prominent **focal** staining (10 out of 10; 100 %) (figure 13 C & D). NT-3 staining in normal pancreatic tissue was periductal.

A partial staining of tumor cells with a weak staining intensity could be observed for NT-3 in 9 out of 10 PDAC cases (90 %).

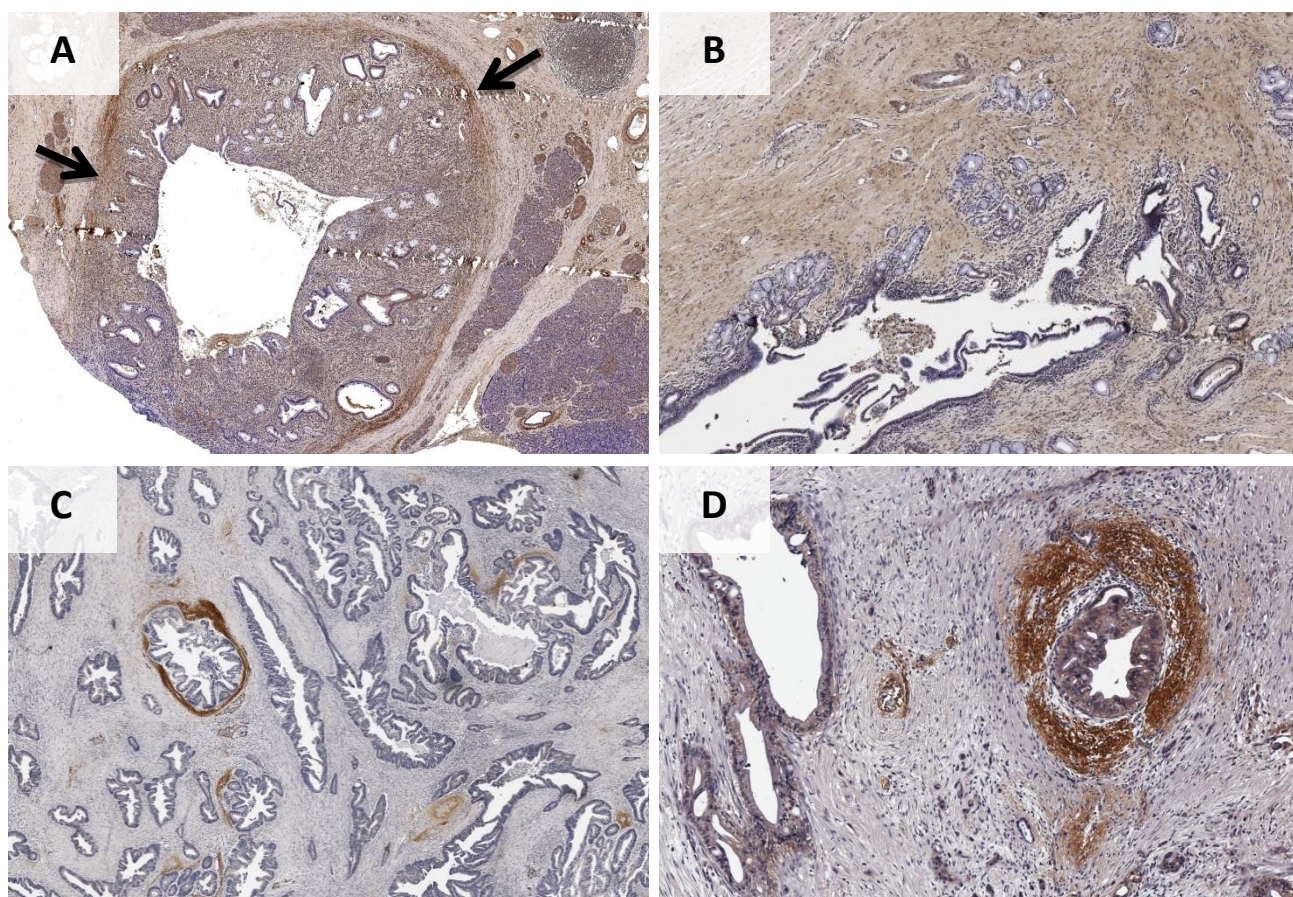
## Results

**Table 9.** Evaluation of NT-3 staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis                      | Intensity of staining in PSCs/fibrosis | Pattern of staining          | Comments  |
|-------------------|--|--|------------------------------|---|
| 1 – AIP type 2    | <i>collagen-rich fibrosis ++</i>   | ++                                     | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 2 – AIP type 2    | <i>collagen-rich fibrosis +</i>  | ++                                     | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 3 – AIP type 1    | peripheral periductal ++<br>periductal ++<br><i>collagen-rich fibrosis +</i> | cells +++<br><i>fibrosis ++</i>        | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 4 – AIP type 1    | <i>collagen-rich fibrosis +</i>  | ++                                     | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 5 – Hereditary CP | <i>collagen-rich fibrosis ++</i>   | +                                      | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 6 – Alcoholic CP  | periductal +<br><i>collagen-rich fibrosis ++</i>                             | cells +++<br><i>fibrosis ++</i>        | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 7 – Alcoholic CP  | intralobular +<br>inter-/perilobular ++<br><i>collagen-rich fibrosis ++</i>  | cells +++<br><i>fibrosis ++</i>        | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 8 – Alcoholic CP  | periductal +<br>intralobular +<br><i>collagen-rich fibrosis ++</i>           | cells +++<br><i>fibrosis ++</i>        | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 9 – Alcoholic CP  | periductal +<br><i>collagen-rich fibrosis ++</i>                             | cells +++<br><i>fibrosis ++</i>        | focal                        | staining of islets and individual duct epithelium cells |
| 10 – Alcoholic CP | periductal +<br><i>collagen-rich fibrosis ++</i>                             | cells +++<br><i>fibrosis ++</i>        | diffuse, focally accentuated | staining of islets and individual duct epithelium cells |
| 11 – PDAC         | perilesional ++<br><i>collagen-rich fibrosis ++</i>                          | ++                                     | focal                        | partial staining of tumor cells                         |
| 12 – PDAC         | perilesional +   | +                                      | focal                        | partial staining of tumor cells                         |
| 13 – PDAC         | perilesional ++  | +++                                    | focal                        | partial staining of tumor cells                         |
| 14 – PDAC         | perilesional ++  | ++                                     | focal                        | partial staining of tumor cells                         |
| 15 – PDAC         | perilesional ++<br><i>collagen-rich fibrosis ++</i>                          | cells +++<br><i>fibrosis ++</i>        | focal                        | partial staining of tumor cells                         |

## Results

|                          |                                  |     |                              |   |
|--------------------------|----------------------------------|-----|------------------------------|---|
| 16 – PDAC                | perilesional +++                 | +++ | focal                        | partial staining of tumor cells                         |
| 17 – PDAC                | perilesional ++                  | ++  | focal                        | -   |
| 18 – PDAC                | perilesional ++                  | ++  | focal                        | partial staining of tumor cells                         |
| 19 – PDAC                | peripheral perilesional +++      | ++  | focal                        | partial staining of tumor cells                         |
| 20 – PDAC                | perilesional +++                 | +++ | focal                        | partial staining of tumor cells                         |
| 21 – AIP type 1          | <i>collagen-rich fibrosis</i> ++ | +++ | diffuse, focally accentuated | -   |
| 22 – AIP type 2          | -                                | -   | -                            | partial staining of tumor cells                         |
| Normal pancreatic tissue | periductal ++                    | +++ | -                            | staining of islets and individual duct epithelium cells |



**Figure 13.** IHC for NT-3. **A.** Peripheral periductal staining (arrows) in a case of AIP type 1. **B.** Diffuse, weak staining of the collagen-rich fibrosis with focal accentuation in hereditary CP. **C, D.** Strong and focal perilesional staining for NT-3 was typically observed in PDAC.

### 5.1.3.9 SPARC

The extracellular glycoprotein SPARC (secreted protein acidic and rich in cysteine), also known as osteonectin or BM-40, is primarily expressed in tissues that undergo high protein turnover, for example as a result of recurring tissue injury (Bradshaw & Sage, 2001). SPARC is able to bind several ECM proteins, such as collagens I-V, to increase the expression of matrix metalloproteinases and to inhibit cell adhesion and it is therefore suggested that it plays an important role in cell-ECM interaction (Bradshaw & Sage, 2001). Correspondingly, SPARC is overexpressed in chronic pancreatitis as well as in PDAC and its metastases, and therefore thought to promote the invasiveness of PDAC (Guweidhi et al., 2005).

SPARC is expressed in PSCs and, to a lesser extent, in PCCs (Guweidhi et al., 2005).

While staining localizations for SPARC **varied** a lot in cases of CP (periacinar, intralobular, inter-/perilobular, as well as diffuse staining in the fibrosis unrelated to morphological structures) (figure 14 A), SPARC showed a **perilesional** staining in most cases of PDAC (9 out of 10; 90 %) (figure 14 B-D). In most PDAC cases, additional staining locations, like the above mentioned, could be observed. As seen for  $\alpha$ -SMA, cells within the same PDAC lymph node metastasis also stained positive for SPARC (figure 14 E). Staining intensity for SPARC was **strong** in most cases (16 out of 22; 72.2 %). If any staining was observed, the staining pattern was **diffuse**. In normal pancreatic tissue, SPARC staining displayed an inter-/perilobular localization. Notably, SPARC-positive areas in cases of PDAC often showed an overlap with  $\alpha$ -SMA-positive areas.

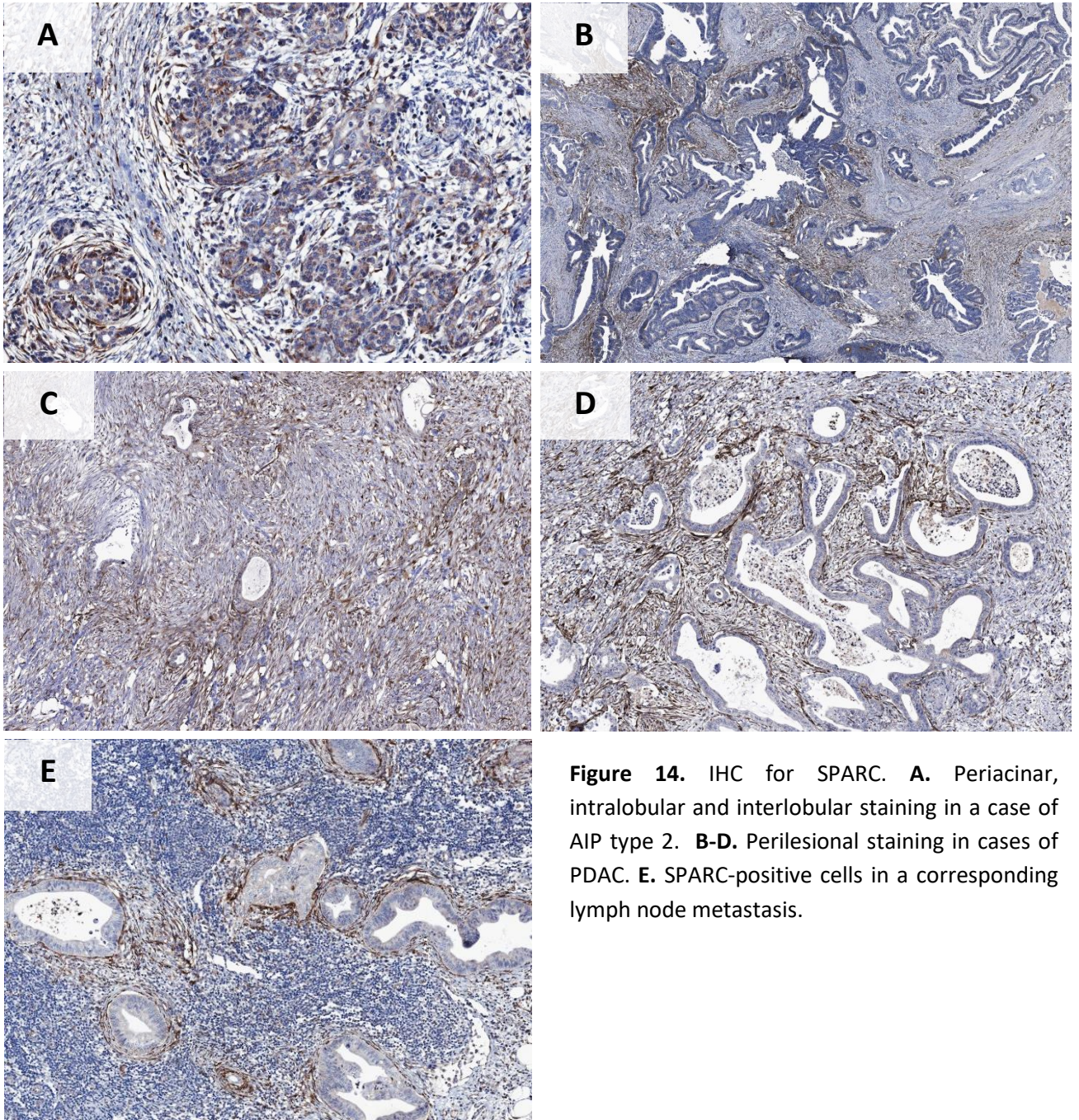
**Table 10.** Evaluation of SPARC staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis   | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments |
|-------------------|---|--|---------------------|----------|
| 1 – AIP type 2    | periacinar ++<br>intralobular ++<br>inter-/perilobular ++ | +++                                    | diffuse             | -        |
| 2 – AIP type 2    | periacinar +<br>inter-/perilobular ++                     | +++                                    | diffuse             | -        |
| 3 – AIP type 1    | -   | -                                      | -                   | -        |
| 4 – AIP type 1    | diffuse in fibrosis +                                     | ++                                     | diffuse             | -        |
| 5 – Hereditary CP | -   | -                                      | diffuse             | -        |
| 6 – Alcoholic CP  | periductal ++   | +++                                    | diffuse             | -        |
| 7 – Alcoholic CP  | diffuse in fibrosis ++                                    | +++                                    | diffuse             | -        |
| 8 – Alcoholic CP  | -   | -                                      | -                   | -        |

## Results

|                             |  |     |         |   |
|-----------------------------|--|-----|---------|---|
| 9 – Alcoholic CP            | -  | -   | -       | -   |
| 10 – Alcoholic CP           | periductal ++<br>diffuse in fibrosis ++                  | +++ | diffuse | -   |
| 11 – PDAC                   | perilesional ++  | +++ | diffuse | perilesional staining in<br>lymph node metastasis |
| 12 – PDAC                   | perilesional ++<br>inter-/perilobular +                  | +++ | diffuse | -   |
| 13 – PDAC                   | perilesional ++<br>focally in fibrosis +++               | +++ | diffuse | -   |
| 14 – PDAC                   | perilesional ++<br>periacinar +<br>inter-/perilobular ++ | +++ | diffuse | -   |
| 15 – PDAC                   | perilesional +<br>periacinar +<br>inter-/perilobular +   | ++  | diffuse | -   |
| 16 – PDAC                   | perilesional ++<br>focally in fibrosis ++                | +++ | diffuse | -   |
| 17 – PDAC                   | perilesional +++<br>focally in fibrosis ++               | +++ | diffuse | -   |
| 18 – PDAC                   | perilesional +++   | +++ | diffuse | -   |
| 19 – PDAC                   | diffuse in fibrosis ++                                   | +++ | diffuse | -   |
| 20 – PDAC                   | perilesional ++<br>diffuse in fibrosis +                 | +++ | diffuse | -   |
| 21 – AIP type 1             | diffuse in fibrosis +++                                  | +++ | diffuse | -   |
| 22 – AIP type 2             | diffuse in fibrosis ++                                   | +++ | diffuse | -   |
| Normal pancreatic<br>tissue | inter-/perilobular +                                     | +++ | diffuse | -   |





**Figure 14.** IHC for SPARC. **A.** Periacinar, intralobular and interlobular staining in a case of AIP type 2. **B-D.** Perilesional staining in cases of PDAC. **E.** SPARC-positive cells in a corresponding lymph node metastasis.

### 5.1.3.10 Synaptophysin

Synaptophysin, also known as major synaptic vesicle protein p38, is found in neural and neuroendocrine tissues and seems to act as a regulator of synaptic vesicle exo- and endocytosis (Edelmann et al., 1995; Kwon & Chapman, 2011), although its exact function and mechanism of action remains still unknown. As synaptophysin is expressed in neuroendocrine tissues, pancreatic islets stain positive for this protein.

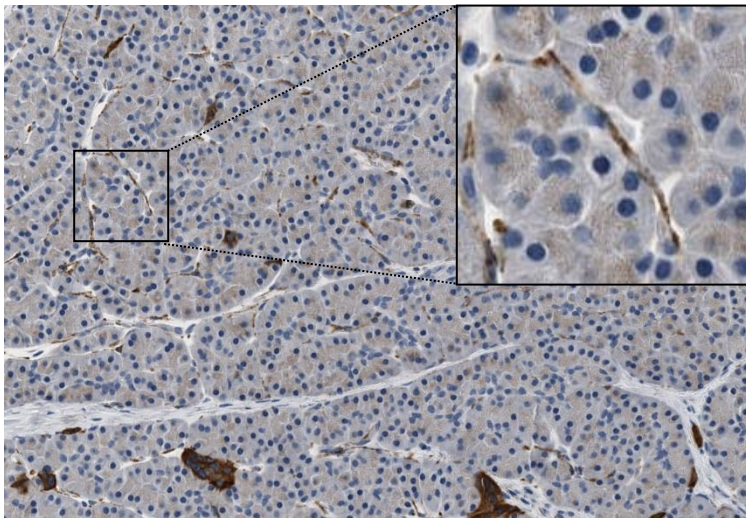
Synaptophysin has been described as a marker for HSCs (Cassiman et al., 2002; Cassiman et al., 1999). In this study, we found a mainly **periacinar** staining (figure 15). Interestingly, the periacinar staining was seen in 10 out of 12 CP cases (83.3 %), but only in 2 out of 10 PDAC cases (20 %). The periacinar staining was generally found more frequently around acini in remnant relatively unaltered pancreatic tissue. A staining of scattered cells in the fibrosis unrelated to acini or any other structures could be seen in 2 out of 12 CP cases (16.6 %). If any staining was observed, the intensity was **weak** and the overall staining pattern was **diffuse** in all cases. Normal pancreatic tissue also showed a periacinar staining. The staining localization of synaptophysin seems similar to the one observed for  $\alpha$ -crystallin B, so synaptophysin could be another marker for quiescent PSCs. In 7 out of 10 PDAC cases (70 %), isolated tumor cells stained positive for synaptophysin.

**Table 11.** Evaluation of synaptophysin staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining | Comments                           |
|-------------------|---|--|---------------------|------------------------------------|
| 1 – AIP type 2    | -   | -                                      | -                   | -                                  |
| 2 – AIP type 2    | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 3 – AIP type 1    | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 4 – AIP type 1    | -   | -                                      | -                   | staining of islets                 |
| 5 – Hereditary CP | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 6 – Alcoholic CP  | periacinar +<br>scatteredly in fibrosis +               | +                                      | diffuse             | staining of islets                 |
| 7 – Alcoholic CP  | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 8 – Alcoholic CP  | periacinar ++<br>scatteredly in fibrosis +              | +                                      | diffuse             | staining of islets                 |
| 9 – Alcoholic CP  | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 10 – Alcoholic CP | periacinar +  | +                                      | diffuse             | staining of islets                 |
| 11 – PDAC         | periacinar +  | +                                      | diffuse             | staining of individual tumor cells |
| 12 – PDAC         | -   | -                                      | -                   | staining of islets                 |

## Results

|                          |              |   |         |                                    |
|--------------------------|--------------|---|---------|------------------------------------|
| 13 – PDAC                | -            | - | -       | staining of individual tumor cells |
| 14 – PDAC                | -            | - | -       | staining of individual tumor cells |
| 15 – PDAC                | -            | - | -       | -                                  |
| 16 – PDAC                | -            | - | -       | staining of individual tumor cells |
| 17 – PDAC                | -            | - | -       | staining of individual tumor cells |
| 18 – PDAC                | -            | - | -       | -                                  |
| 19 – PDAC                | periacinar + | + | diffuse | staining of individual tumor cells |
| 20 – PDAC                | -            | - | -       | staining of individual tumor cells |
| 21 – AIP type 1          | periacinar + | + | diffuse | staining of islets                 |
| 22 – AIP type 2          | periacinar + | + | diffuse | staining of islets                 |
| Normal pancreatic tissue | periacinar + | + | diffuse | staining of islets                 |



**Figure 15.** IHC for synaptophysin. Periacinar single cell staining in normal acinar parenchyma in a case of hereditary CP. Langerhans islets served as internal positive control.

### 5.1.3.11 Tenascin C

Tenascin C is an ECM protein that is expressed in the context of tissue remodeling, as for example during chronic inflammation and cancerogenesis (Jones & Jones, 2000). The protein is therefore expressed in chronic pancreatitis and shows an increasing expression in the development and progression of pancreatic cancer, with PSCs being the known source of tenascin C (Esposito et al., 2006). Studies have shown that tenascin C promotes PCC growth, motility and migration (Paron et al., 2011).

In our study, tenascin C staining was mostly located **periductal**, as seen in 7 out of 12 CP cases (58.3 %), but a periacinar and an intralobular staining as well as a diffuse staining unrelated to morphologic structures, although sometimes focally accentuated, were also found (figure 16 A). In cases of PDAC, a **perilesional** staining could be seen in all cases (10 out of 10; 100 %) (figure 16 B), while a periacinar, an inter-/perilobular and a diffuse staining of the fibrotic tissue were less frequently observed. As seen for  $\alpha$ -SMA and SPARC, tenascin C staining could also be found in stromal cells located around tumor cells within a PDAC lymph node metastasis (figure 16 C). The intensity of tenascin C staining was heterogeneous in some cases, i.e. both areas with strong staining intensity and areas with moderate staining intensity could be found within a single case (in 13 of 22 cases; 59 %). However, the predominant overall staining intensity was **strong** (14 out of 22 cases; 63.6 %), followed by moderate (6 out of 22 cases; 27.2 %). The general staining pattern for tenascin C was mostly **focal** (13 out of 22 cases; 59 %). In normal pancreatic tissue, tenascin C staining was periductal and of weak staining intensity.

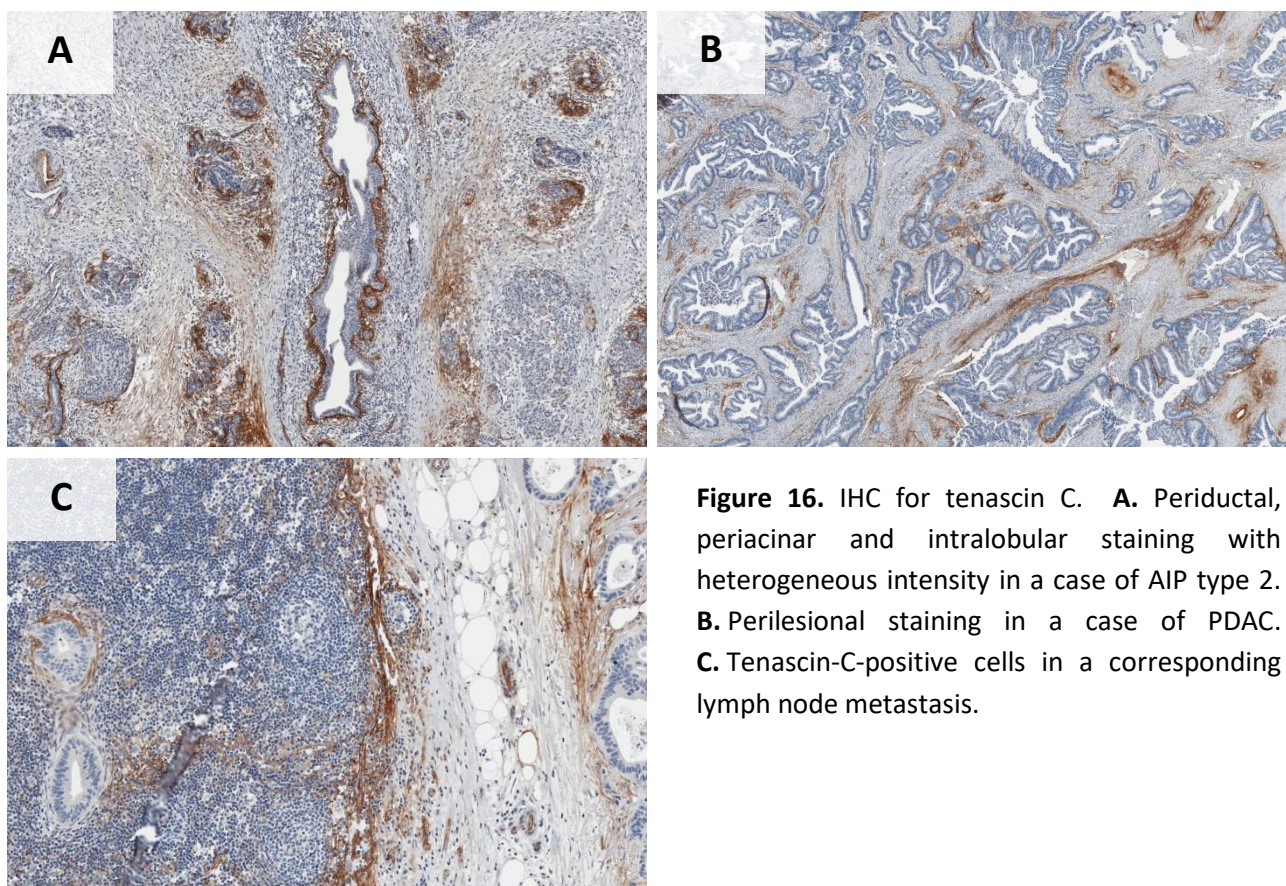
## Results

**Table 12.** Evaluation of tenascin C staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis    | Intensity of staining in PSCs/fibrosis | Pattern of staining          | Comments                                       |
|-------------------|--|--|------------------------------|--|
| 1 – AIP type 2    | periductal +++<br>periacinar +<br>intralobular ++          | heterogeneous +++                      | focal                        | -  |
| 2 – AIP type 2    | periductal ++<br>periacinar +++<br>intralobular +++        | heterogeneous +++                      | diffuse                      | -  |
| 3 – AIP type 1    | periductal +++   | heterogeneous ++                       | focal                        | -  |
| 4 – AIP type 1    | diffuse in fibrosis +++                                    | homogeneous +++                        | diffuse                      | -  |
| 5 – Hereditary CP | -  | -                                      | -                            | -  |
| 6 – Alcoholic CP  | periductal +++   | homogeneous +++                        | focal                        | -  |
| 7 – Alcoholic CP  | diffuse in fibrosis ++                                     | heterogeneous ++                       | focal                        | -  |
| 8 – Alcoholic CP  | periductal ++  | heterogeneous ++                       | focal                        | -  |
| 9 – Alcoholic CP  | periductal +   | homogeneous ++                         | focal                        | -  |
| 10 – Alcoholic CP | periductal +   | homogeneous +                          | focal                        | -  |
| 11 – PDAC         | perilesional ++  | heterogeneous ++                       | diffuse, focally accentuated | perilesional staining in lymph node metastasis |
| 12 – PDAC         | perilesional +++<br>periacinar ++<br>inter-/perilobular ++ | heterogeneous ++                       | focal                        | -  |
| 13 – PDAC         | perilesional +++   | heterogeneous +++                      | diffuse, focally accentuated | -  |
| 14 – PDAC         | perilesional +++<br>periacinar +<br>inter-/perilobular ++  | heterogeneous +++                      | diffuse                      | -  |
| 15 – PDAC         | perilesional ++<br>inter-/perilobular +                    | homogeneous +++                        | focal                        | -  |
| 16 – PDAC         | perilesional ++<br>focal/patchy in fibrosis +++            | homogeneous +++                        | focal                        | -  |
| 17 – PDAC         | perilesional +++<br>focal/patchy in fibrosis +++           | heterogeneous +++                      | diffuse, focally accentuated | -  |
| 18 – PDAC         | perilesional +++   | heterogeneous +++                      | diffuse                      | -  |

## Results

|                          |  |                   |         |   |
|--------------------------|--|-------------------|---------|---|
|                          | focal/patchy in fibrosis +++                           |                   |         |   |
| 19 – PDAC                | perilesional +<br>periacinar +<br>focal in fibrosis ++ | heterogeneous +++ | focal   | - |
| 20 – PDAC                | perilesional +++<br>focal/patchy in fibrosis +++       | heterogeneous +++ | diffuse | - |
| 21 – AIP type 1          | focally accentuated in well-marked fibrosis +++        | homogeneous +++   | focal   | - |
| 22 – AIP type 2          | focally accentuated in well-marked fibrosis +++        | homogeneous +++   | focal   | - |
| Normal pancreatic tissue | periductal +   | homogeneous +     | focal   | - |



**Figure 16.** IHC for tenascin C. **A.** Periductal, periacinar and intralobular staining with heterogeneous intensity in a case of AIP type 2. **B.** Perilesional staining in a case of PDAC. **C.** Tenascin-C-positive cells in a corresponding lymph node metastasis.

## Results

### 5.1.3.12 TrkC

The tyrosine kinase TrkC is the high affinity specific receptor for NT-3 (Lamballe et al., 1991). Notably, TrkC expression seems to be correlated with cancer invasion, and especially perineural invasion, in PDAC (Sakamoto et al., 2001).

TrkC expression has been found in HSCs (Cassiman et al., 2001a; Cassiman et al., 2002).

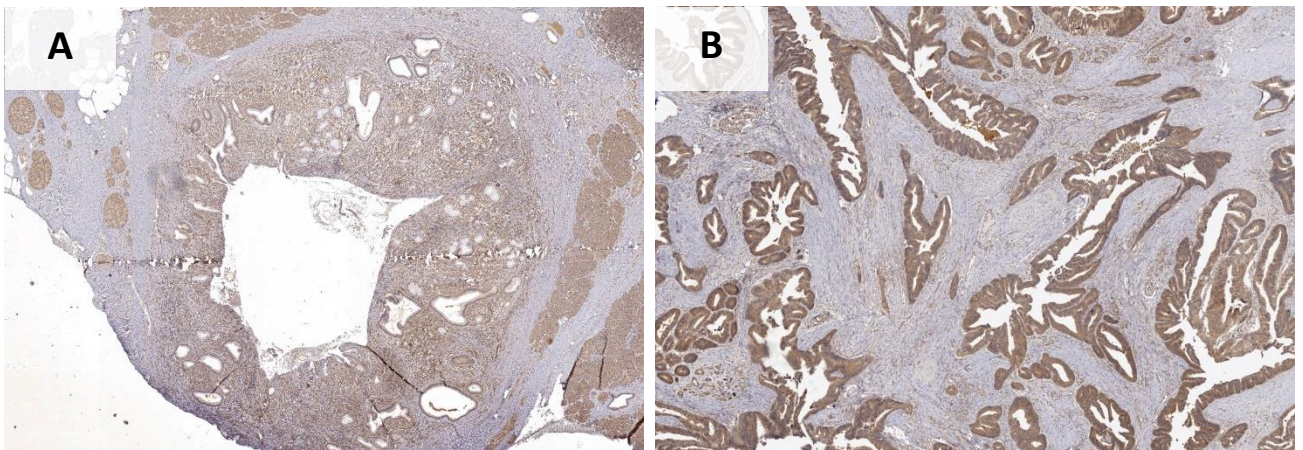
Cells in the fibrosis stained positive for TrkC in 8 out of 12 cases of CP (66.6 %). Staining localizations in CP cases **varied** and included a periductal (figure 17 A) and inter-/perilobular staining as well as a staining of cells located diffusely in the fibrosis. In cases of PDAC, a **perilesional** staining was found in 5 out of 10 cases (50 %) (figure 17 B), while a staining unrelated to tumor cells was found in 2 out of 10 cases (20 %). If any staining was observed, it had a **weak** staining intensity and a **diffuse** staining pattern. In normal pancreatic tissue, no TrkC-positive cells could be found. In cases of PDAC, tumor cells showed an at least partial positivity for TrkC (figure 17 B).

**Table 13.** Evaluation of TrkC staining.

| Case              | Localization and frequency of staining in PSCs/fibrosis | Intensity of staining in PSCs/fibrosis | Pattern of staining          | Comments                                      |
|-------------------|---|--|------------------------------|---|
| 1 – AIP type 2    | -   | -                                      | -                            | staining of acini, islets and duct epithelium |
| 2 – AIP type 2    | inter-/perilobular +                                    | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 3 – AIP type 1    | periductal +  | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 4 – AIP type 1    | diffuse in fibrosis +                                   | +                                      | diffuse, focally accentuated | staining of acini, islets and duct epithelium |
| 5 – Hereditary CP | diffuse in fibrosis +                                   | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 6 – Alcoholic CP  | periductal +  | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 7 – Alcoholic CP  | -   | -                                      | -                            | staining of acini, islets and duct epithelium |
| 8 – Alcoholic CP  | -   | -                                      | -                            | staining of acini, islets and duct epithelium |
| 9 – Alcoholic CP  | periductal +<br>inter-/perilobular +                    | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 10 – Alcoholic CP | diffuse in fibrosis +                                   | +                                      | diffuse                      | staining of acini, islets and duct epithelium |
| 11 – PDAC         | -   | -                                      | -                            | partial staining of tumor cells               |

## Results

|                          |   |   |         |   |
|--------------------------|---|---|---------|---|
| 12 – PDAC                | perilesional +                          | + | diffuse | partial staining of tumor cells               |
| 13 – PDAC                | perilesional +                          | + | diffuse | partial staining of tumor cells               |
| 14 – PDAC                | -                                       | - | -       | partial staining of tumor cells               |
| 15 – PDAC                | -                                       | - | -       | partial staining of tumor cells               |
| 16 – PDAC                | diffuse in fibrosis +                   | + | diffuse | partial staining of tumor cells               |
| 17 – PDAC                | -                                       | - | -       | partial staining of tumor cells               |
| 18 – PDAC                | perilesional +<br>diffuse in fibrosis + | + | diffuse | partial staining of tumor cells               |
| 19 – PDAC                | perilesional +                          | + | diffuse | partial staining of tumor cells               |
| 20 – PDAC                | perilesional +                          | + | diffuse | partial staining of tumor cells               |
| 21 – AIP type 1          | diffuse in fibrosis +                   | + | diffuse | staining of acini, islets and duct epithelium |
| 22 – AIP type 2          | -                                       | - | -       | staining of acini, islets and duct epithelium |
| Normal pancreatic tissue | -                                       | - | -       | -   |



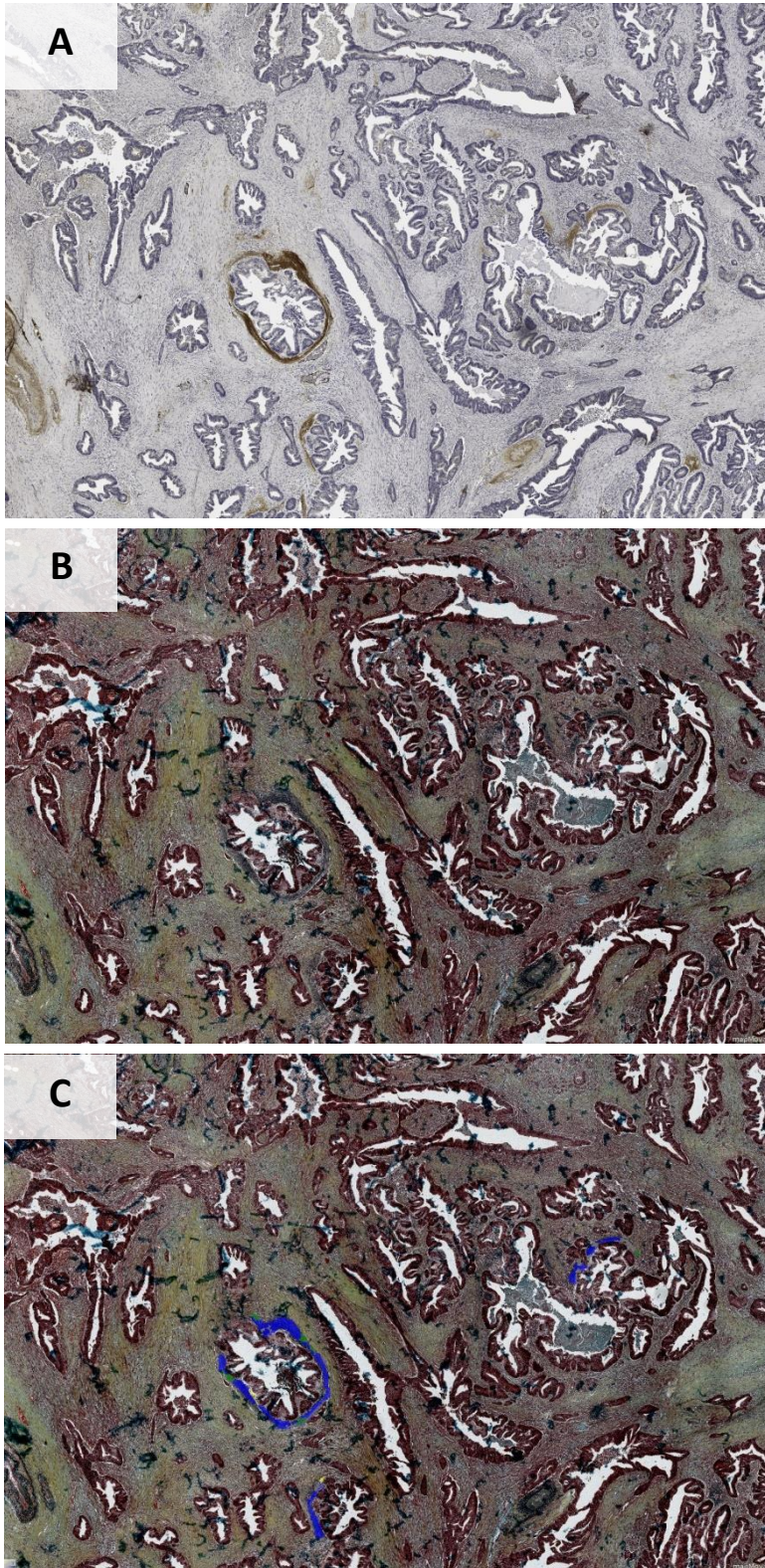
**Figure 17.** IHC for TrkC. **A.** Weak periductal staining in a case of AIP type 1. **B.** Weak perilesional staining and staining of tumor cells in a case of PDAC.



### **5.1.3.13 Correlation between Movat's pentachrome staining and IHC**

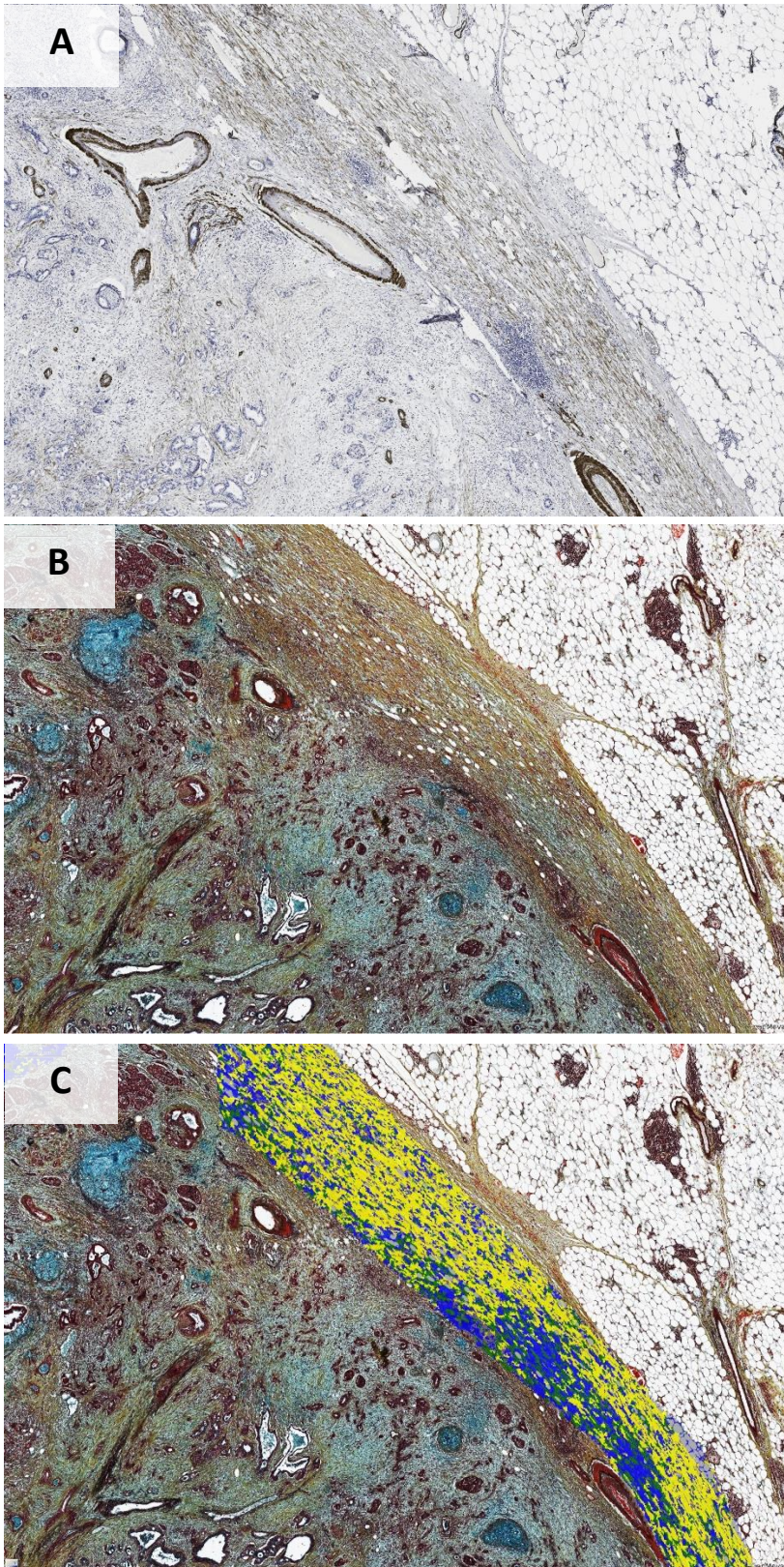
Upon comparison of IHC results with the results of the Movat's pentachrome staining, it was observed that  $\alpha$ -SMA-positive areas (which often overlapped with SPARC-positive areas) often co-localized with yellow Movat staining, suggesting that  $\alpha$ -SMA-positive areas represent areas of collagen-rich mature fibrosis. On the other hand, NT-3-positive areas often correlated with blue areas in Movat's pentachrome staining, suggesting that NT-3-positive areas are areas of mucin-rich active fibrosis. Morphometric analysis of histochemistry and immunohistochemistry on consecutive sections confirmed that  $\alpha$ -SMA-positive areas showed significantly higher percentages of yellow-stained areas in Movat's stain (median 27 %) compared to NT-3-positive areas (median 1 %) ( $p = 0.012$ , two-tailed, Mann-Whitney  $U = 101$ ,  $n_1 = 21$ ,  $n_2 = 18$ ), whereas NT-3-positive areas showed significantly higher percentages of blue-stained areas in Movat's stain (median 41 %) compared to  $\alpha$ -SMA-positive areas (median 24 %) ( $p = 0.008$ , two-tailed, Mann-Whitney  $U = 96.5$ ,  $n_1 = 21$ ,  $n_2 = 18$ ) (figures 18-20).

## Results



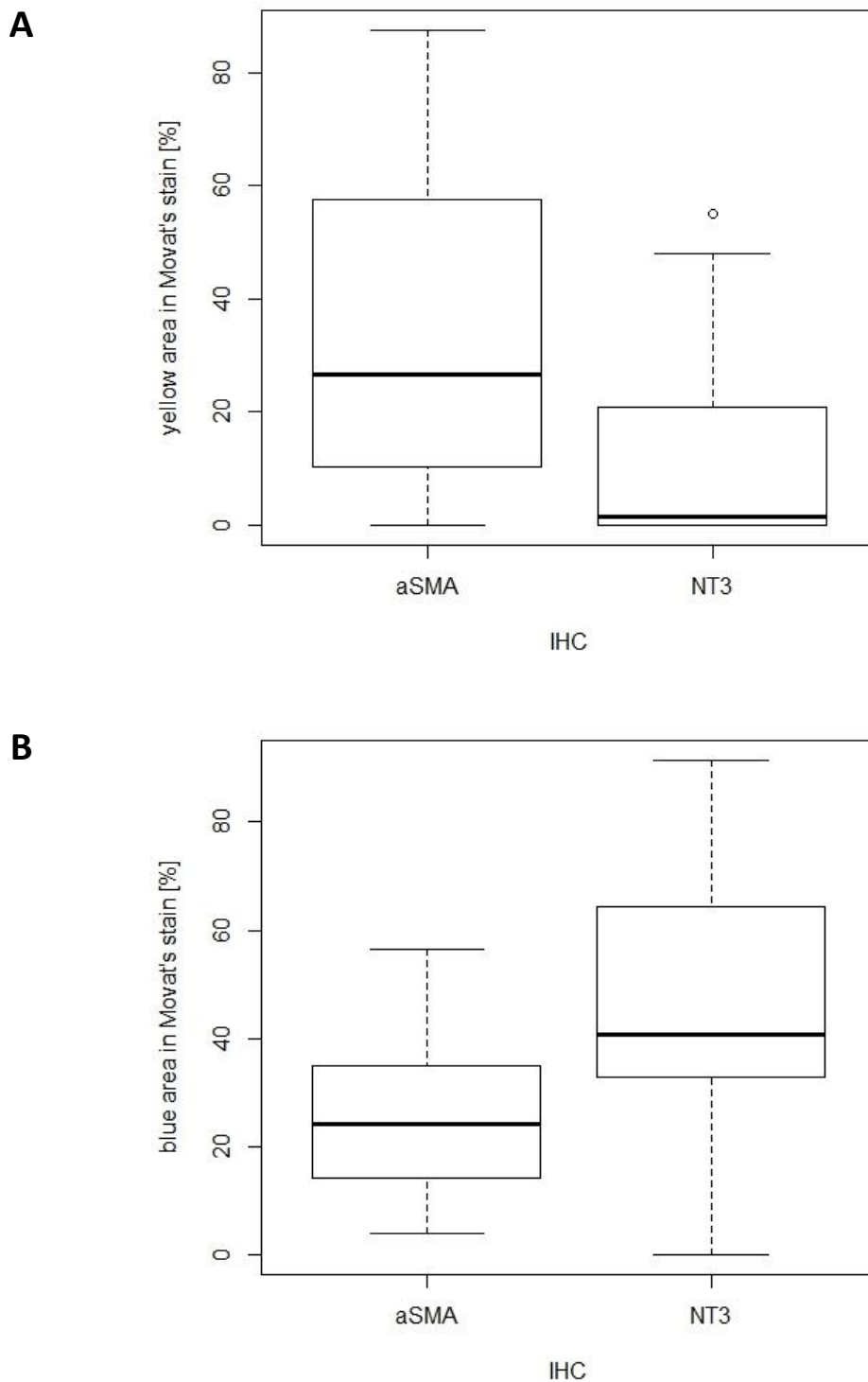
**Figure 18.** Exemplary case of PDAC. **A.** IHC for NT-3 shows focal perilesional positivity. **B.** Movat's staining shows blue staining (indicating mucin-rich active stroma) in NT-3-positive areas. **C.** Analysis with Definiens Tissue Studio software confirms NT-3-positive areas as blue in Movat's stain.

## Results



**Figure 19.** Different exemplary case of PDAC. **A.** IHC for  $\alpha$ -SMA shows positivity in the peripheral region of the tumor. **B.** Movat's staining shows mostly yellow staining (collagen-rich stroma) as well as some blue staining (mucin-rich stroma) in  $\alpha$ -SMA-positive areas. **C.** Analysis with Definiens Tissue Studio software confirms  $\alpha$ -SMA-positive areas as mostly yellow with some blue parts. Areas depicted green in the software-assisted analysis could not be assigned to either blue or yellow with certainty („candid“).

## Results



**Figure 20.** Boxplots comparing areas stained yellow, respectively blue, in Movat's pentachrome stain within  $\alpha$ -SMA-positive vs. NT-3-positive areas. **A.** Yellow areas are significantly larger in areas that stained positive for  $\alpha$ -SMA in IHC (median 26.58 %) than in areas that stained positive for NT-3 in IHC (median 1.40 %) ( $p = 0.012$ ). **B.** Blue areas are significantly larger in areas that stained positive for NT-3 in IHC (median 40.745 %) than in areas that stained positive for  $\alpha$ -SMA in IHC (median 24.23 %) ( $p = 0.008$ ).

## 5.2 Cells in culture

### 5.2.1 Immunofluorescence

An immunofluorescence staining for  $\alpha$ -crystallin B, NGF, NT-3 and TrkC was performed with HSCs to confirm them as HSC markers. In order to prove that the tested cells are indeed activated myofibroblasts, we also performed a double-staining for  $\alpha$ -SMA and each respective marker. HSCs tested positive for all tested markers, as expected (figure 21).

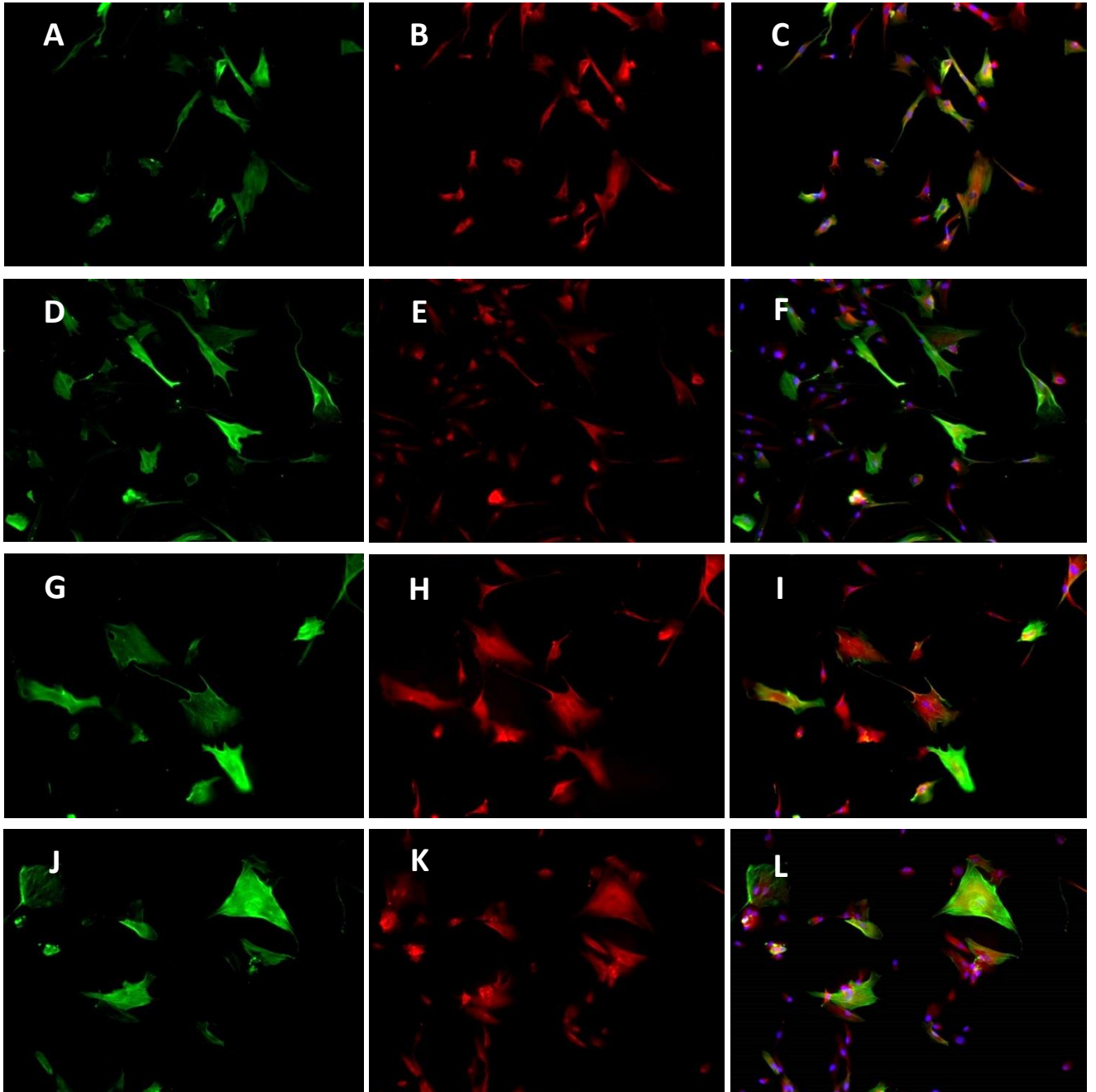
PSCs freshly isolated from three patients suffering from chronic pancreatitis as well as from two patients with PDAC were then tested for  $\alpha$ -crystallin B, NGF, NGFR, NT-3 and TrkC by means of immunofluorescence. As for HSCs, a double-staining with  $\alpha$ -SMA and each respective marker was also performed with PSCs.

PSCs from patients with chronic pancreatitis as well as PSCs from patients with PDAC tested positive for  $\alpha$ -SMA and all tested markers, confirming their expression in PSCs and underlining their similarities with HSCs, which also express all these markers (figures 22-25).

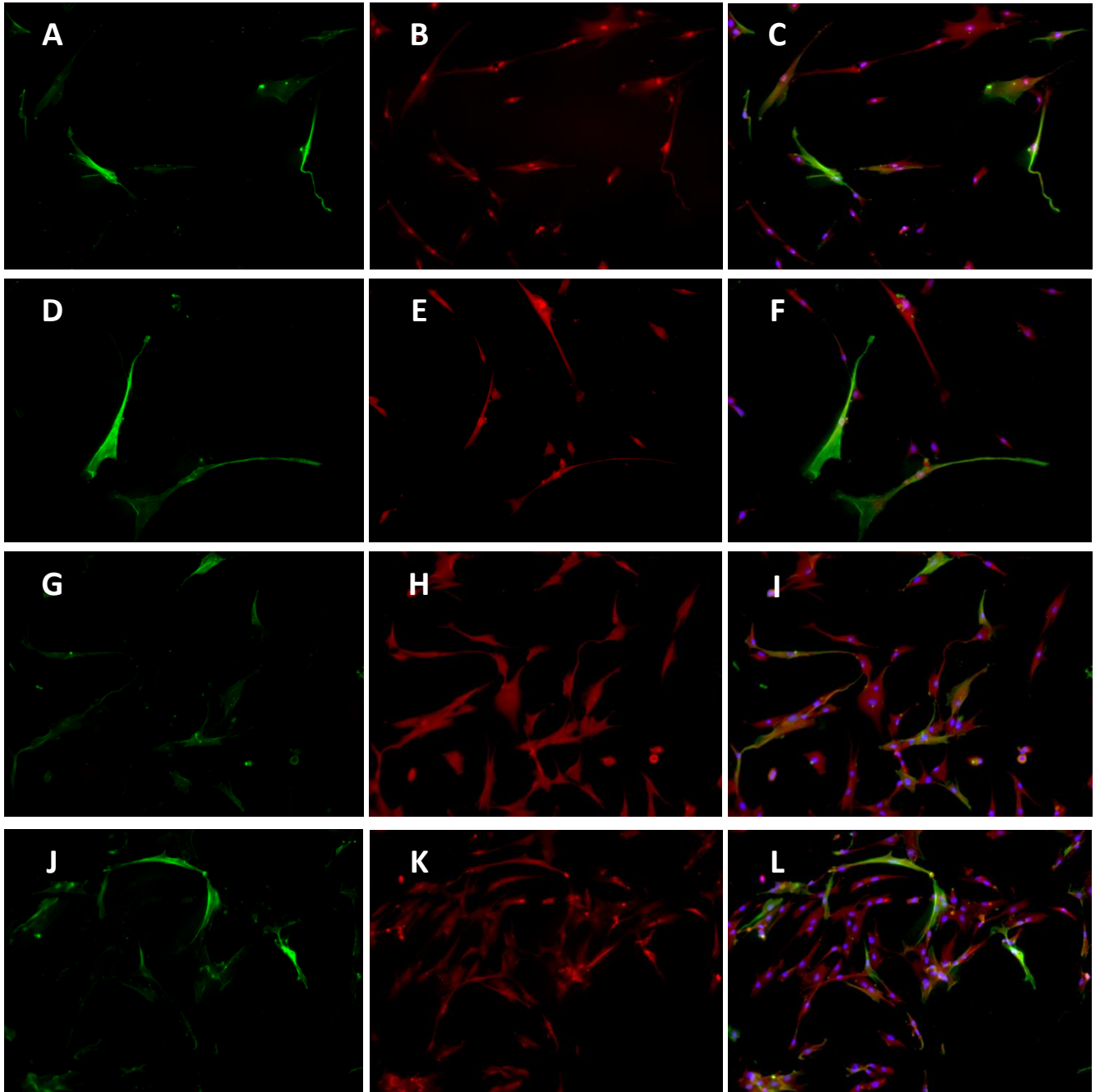
PSCs isolated from patients with PDAC (figures 24 & 25) generally showed a stronger positivity for  $\alpha$ -SMA than PSCs isolated from chronic pancreatitis patients (figures 22 & 23), suggesting a higher level of activation in PSCs of PDAC.

In all double immunofluorescence stainings for both HSCs and PSCs, single-positive cells were found among double-positive cells (figures 21-25).

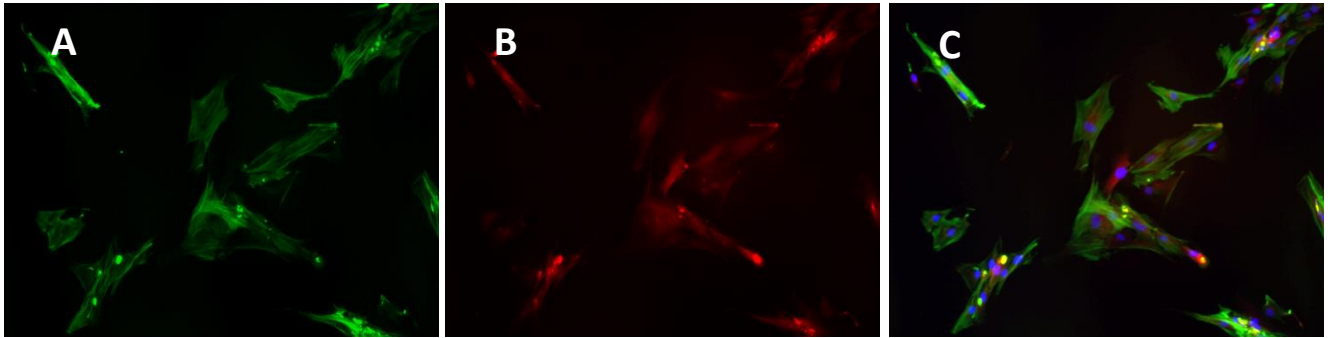
## Results



**Figure 21. Double immunofluorescence staining of HSCs (M1248) for  $\alpha$ -SMA,  $\alpha$ -crystallin B, NGF, NT-3 and TrkC.** A, D, G and J. HSCs show cytoplasmic positivity for  $\alpha$ -SMA (green). B. HSCs show strong cytoplasmic positivity for  $\alpha$ -crystallin B (red). C. Merged picture of A, B and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and  $\alpha$ -crystallin B staining. E. HSCs show cytoplasmic positivity for NGF (red). F. Merged picture of D, E and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NGF staining. H. HSCs show strong cytoplasmic positivity for NT-3 (red). I. Merged picture of G, H and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NT-3 staining. K. HSCs show strong cytoplasmic positivity for TrkC (red). L. Merged picture of J, K and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and TrkC staining.



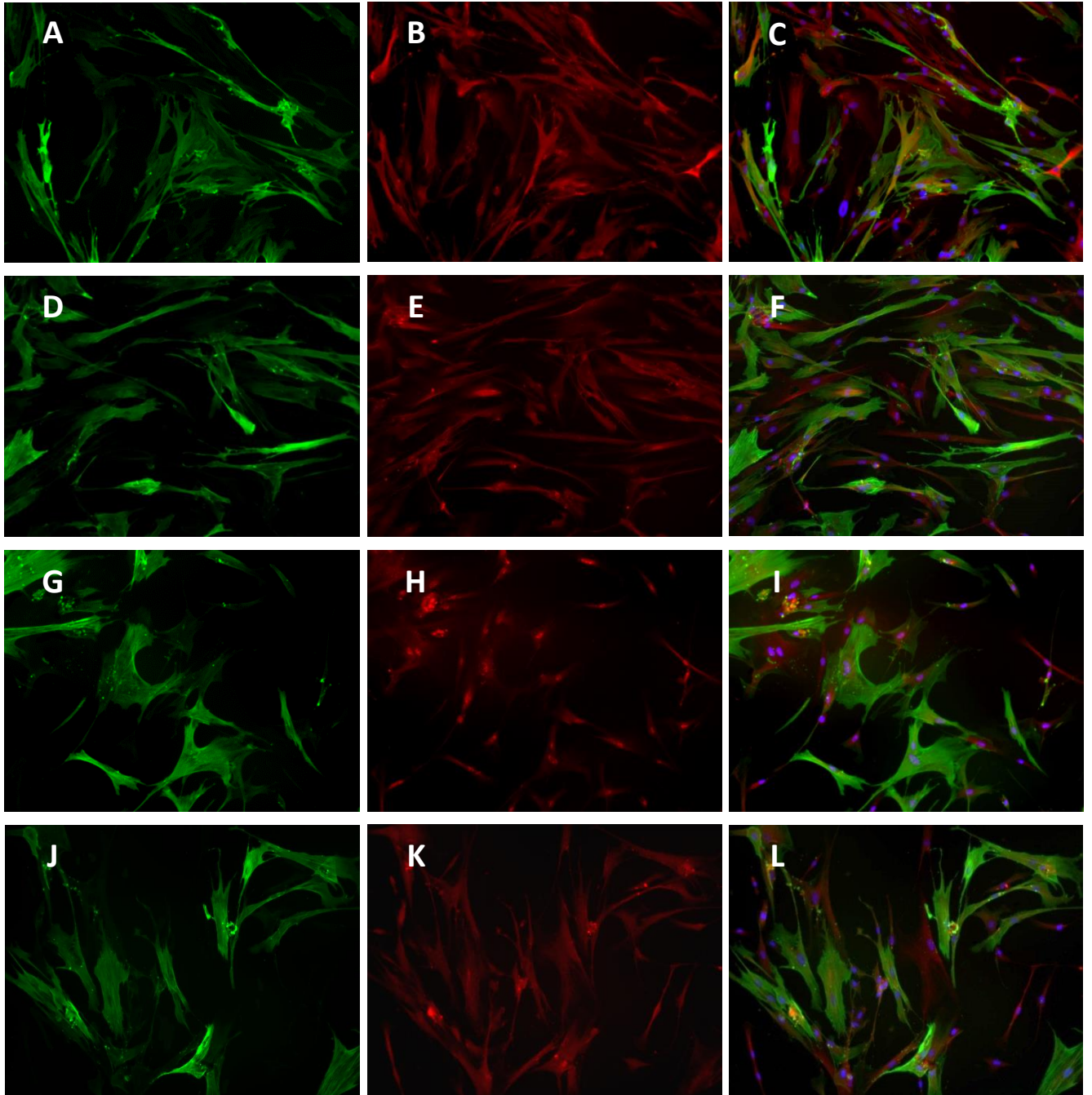
**Figure 22. Double immunofluorescence staining of PSCs from a patient with chronic pancreatitis (M1223) for  $\alpha$ -SMA and  $\alpha$ -crystallin, NGFR, NT-3 and TrkC. A, D, G and J.** PSCs show cytoplasmic positivity for  $\alpha$ -SMA (green). **B.** PSCs show strong cytoplasmic and nuclear positivity for  $\alpha$ -crystallin B (red). **C.** Merged picture of A, B and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and  $\alpha$ -crystallin B staining. **E.** PSCs show cytoplasmic and nuclear positivity for NGFR (red). **F.** Merged picture of D, E and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NGFR staining. **H.** PSCs show strong cytoplasmic positivity for NT-3 (red). **I.** Merged picture of G, H and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NT-3 staining. **K.** PSCs show strong cytoplasmic positivity for TrkC (red). **L.** Merged picture of J, K and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and TrkC staining.



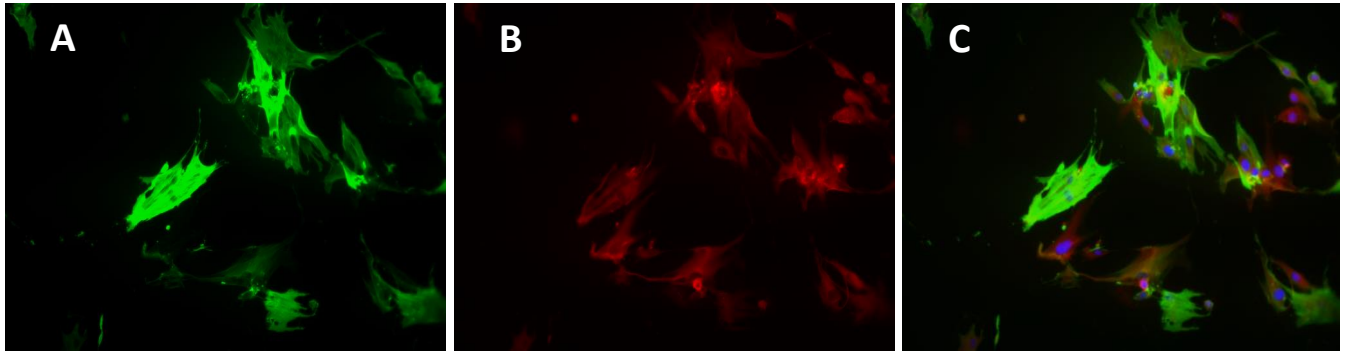
**Figure 23. Double immunofluorescence staining of PSCs from a patient with chronic pancreatitis (M151) for  $\alpha$ -SMA and NGF. A.** PSCs show cytoplasmic positivity for  $\alpha$ -SMA (green). **B.** PSCs show weak cytoplasmic positivity for NGF (red). **C.** Merged picture of A, B and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NGF staining.



## Results



**Figure 24. Double immunofluorescence staining of PSCs from a patient with PDAC (M1198) for  $\alpha$ -SMA and  $\alpha$ -crystallin, NGF, NGFR and TrkC. A, D, G and J. PSCs show cytoplasmic positivity for  $\alpha$ -SMA (green). B. PSCs show strong cytoplasmic positivity for  $\alpha$ -crystallin B (red). C. Merged picture of A, B and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and  $\alpha$ -crystallin B staining. E. PSCs show weak cytoplasmic positivity for NGF (red). F. Merged picture of D, E and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NGF staining. H. PSCs show weak cytoplasmic as well as nuclear positivity for NGFR (red). I. Merged picture of G, H and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NGFR staining. K. PSCs show strong cytoplasmic positivity for TrkC (red). L. Merged picture of J, K and counterstaining of nuclei with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and TrkC staining.**

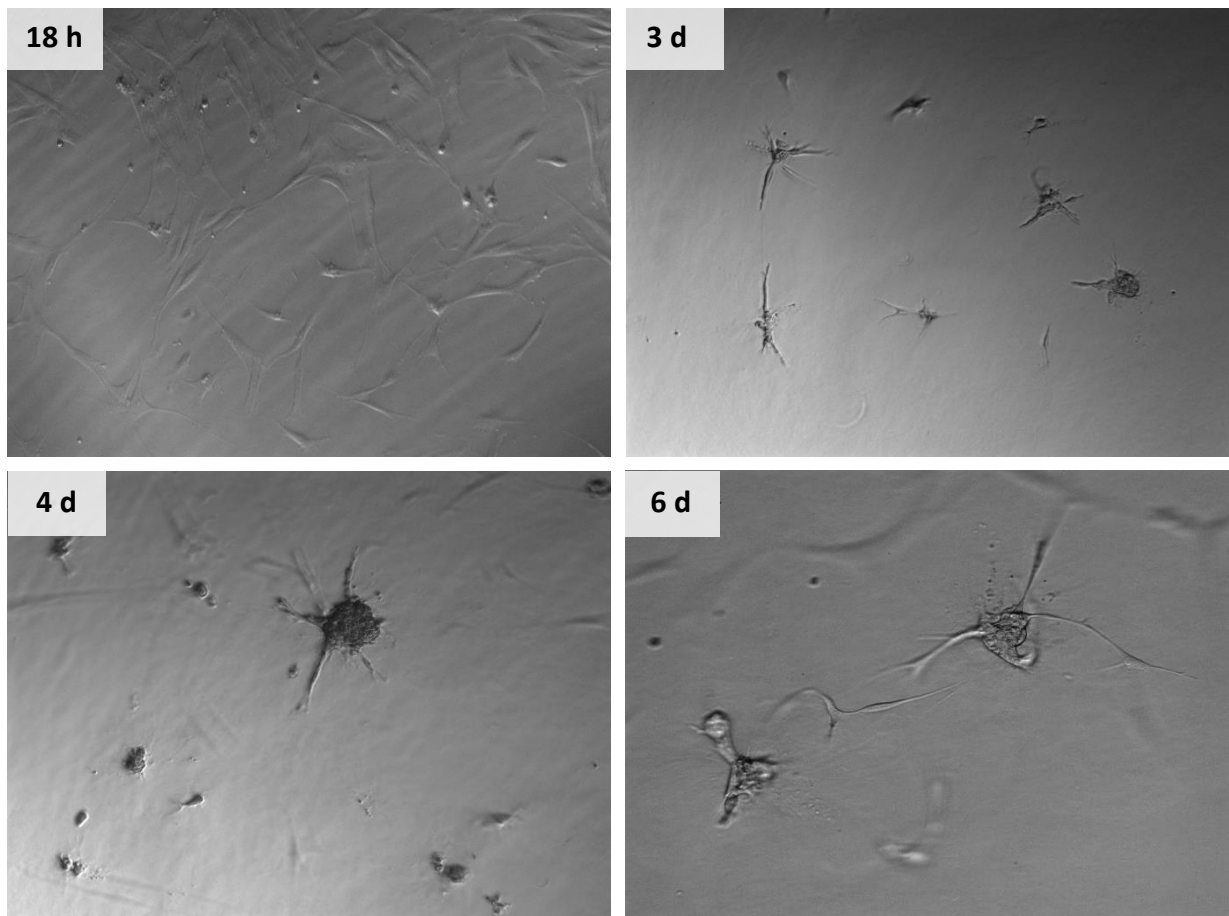


**Figure 25. Double immunofluorescence staining of PSCs from a patient with PDAC (M1245) for  $\alpha$ -SMA and NT-3. A.** PSCs show cytoplasmic positivity for  $\alpha$ -SMA (green). **B.** PSCs show strong cytoplasmic positivity for NT-3 (red). **C.** Merged picture of A, B and counterstaining of cells with Hoechst33342 (blue) shows co-localization of  $\alpha$ -SMA and NT-3 staining.

## 5.2.2 Inactivation of PSCs

Primary human PSCs acquire an activated status when cultured on plastic. A quiescent status can be achieved by culturing the cells on Matrigel™-coated cell culture plates for 5-7 days (Jesnowski et al., 2005). We used this method in order to test whether the tested markers are also expressed in deactivated PSCs.

### 5.2.2.1 Morphological changes of PSCs cultured on Matrigel™

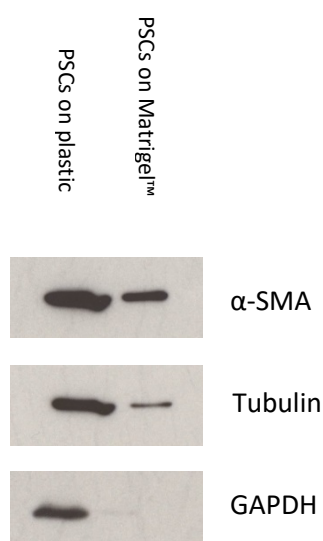


**Figure 26.** PSCs seeded on a layer of Matrigel™ after 18 hrs, 3 days, 4 days and 6 days.

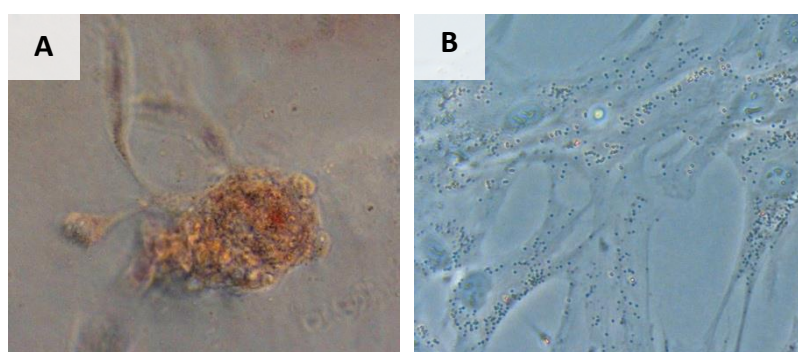
PSCs were cultured on Matrigel™ for approximately 6 days. They gradually lost their flat “spread-out” appearance and formed three-dimensional cell clusters, some with large cytoplasmic projections (figure 26).

### 5.2.2.2 Western blot, Oil Red O staining and senescence staining of PSCs on Matrigel™

To verify that cells on Matrigel™ were indeed deactivated, we performed Western blot analyses as well as an Oil Red O staining. As  $\alpha$ -SMA is an established marker of PSC activation, Western blot was used to determine whether PSCs cultured on Matrigel™ express less  $\alpha$ -SMA than PSCs cultured on plastic, where they automatically become activated (see 2.4.1). In addition to this approach on protein level, an Oil Red O staining was performed on PSCs cultured on Matrigel™ in order to visualize the characteristic lipid droplets that PSCs regain after deactivation (see 2.4.1).



**Figure 27.** Western blot of protein extracts from PSCs cultured on plastic and PSCs cultured on Matrigel™. Expression of  $\alpha$ -SMA, Tubulin and GAPDH is decreased in Matrigel™-cultured PSCs.



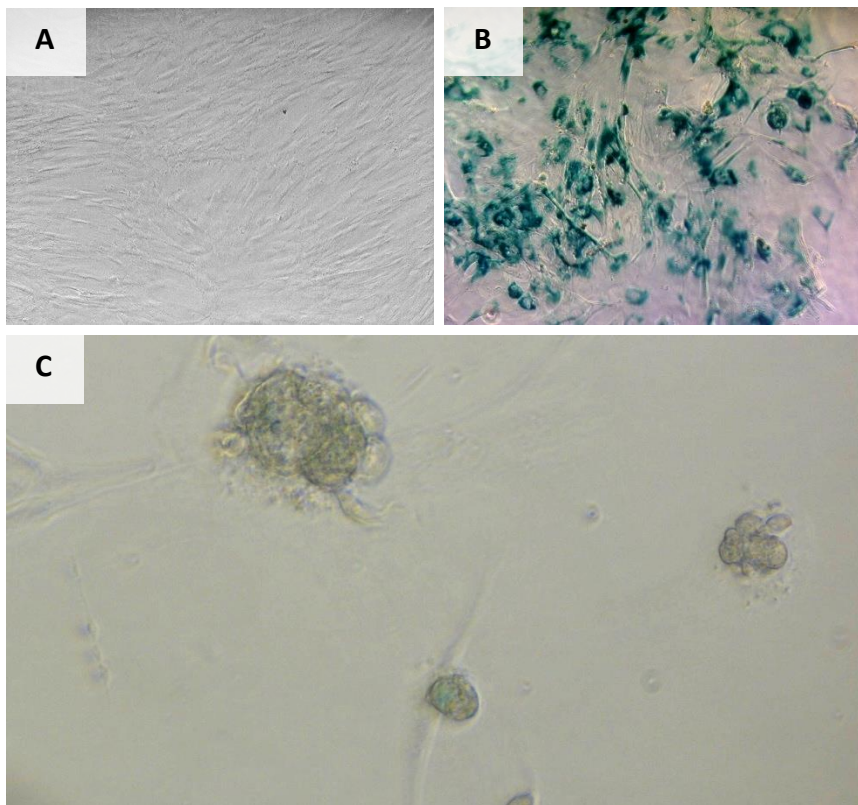
**Figure 28.** Results of Oil Red O staining. **A.** Oil Red O staining of PSCs seeded on Matrigel™. Cell clusters formed by PSCs cultured on Matrigel™ display a distinct orange staining, indicating the presence of lipid droplets. **B.** Oil Red O staining of PSCs seeded on plastic as negative control. PSCs show no morphological change and considerably less orange staining (lipid droplets).

A decreased expression of the activation marker  $\alpha$ -SMA in Western blot strongly indicated deactivation of the cells (figure 27). The also observed decrease in expression of the structural protein tubulin might be explained by the morphological changes of the cells during inactivation, as they lose their typical myofibroblast-like cell structure. It is not entirely clear why cells showed a decreased expression of the housekeeping protein GAPDH, as this protein is not involved in structural changes. However, a reduced metabolic activity might be a possible explanation. While no housekeeping gene with equal expression in PSCs with and without Matrigel™ was found to be used as a control, equal loading in SDS-PAGE was ensured by measuring the protein concentration using a BCA protein assay, as described in the

## Results

Material & Methods section. Oil Red O staining displayed the presence of abundant lipid droplets in PSCs cultured on Matrigel™, suggesting successful deactivation (figure 28).

To rule out the possibility that the morphological changes in PSCs cultured on Matrigel™ are a result of cellular senescence, a  $\beta$ -galactosidase-based senescence staining kit was used to stain Matrigel™-cultured cells according to the manufacturer's instructions. PSCs cultured on Matrigel™ did not show  $\beta$ -galactosidase activity at any point, proving that their morphological changes as well as changes in lipid droplet storage are not a result of cellular senescence (figure 29).



**Figure 29.** Results of senescence staining. **A.** Senescence staining of freshly thawed PSCs seeded on plastic. **B.** Senescence staining of PSCs cultured for 8 passages. **C.** Senescence staining of freshly thawed PSCs seeded on a layer of Matrigel™.

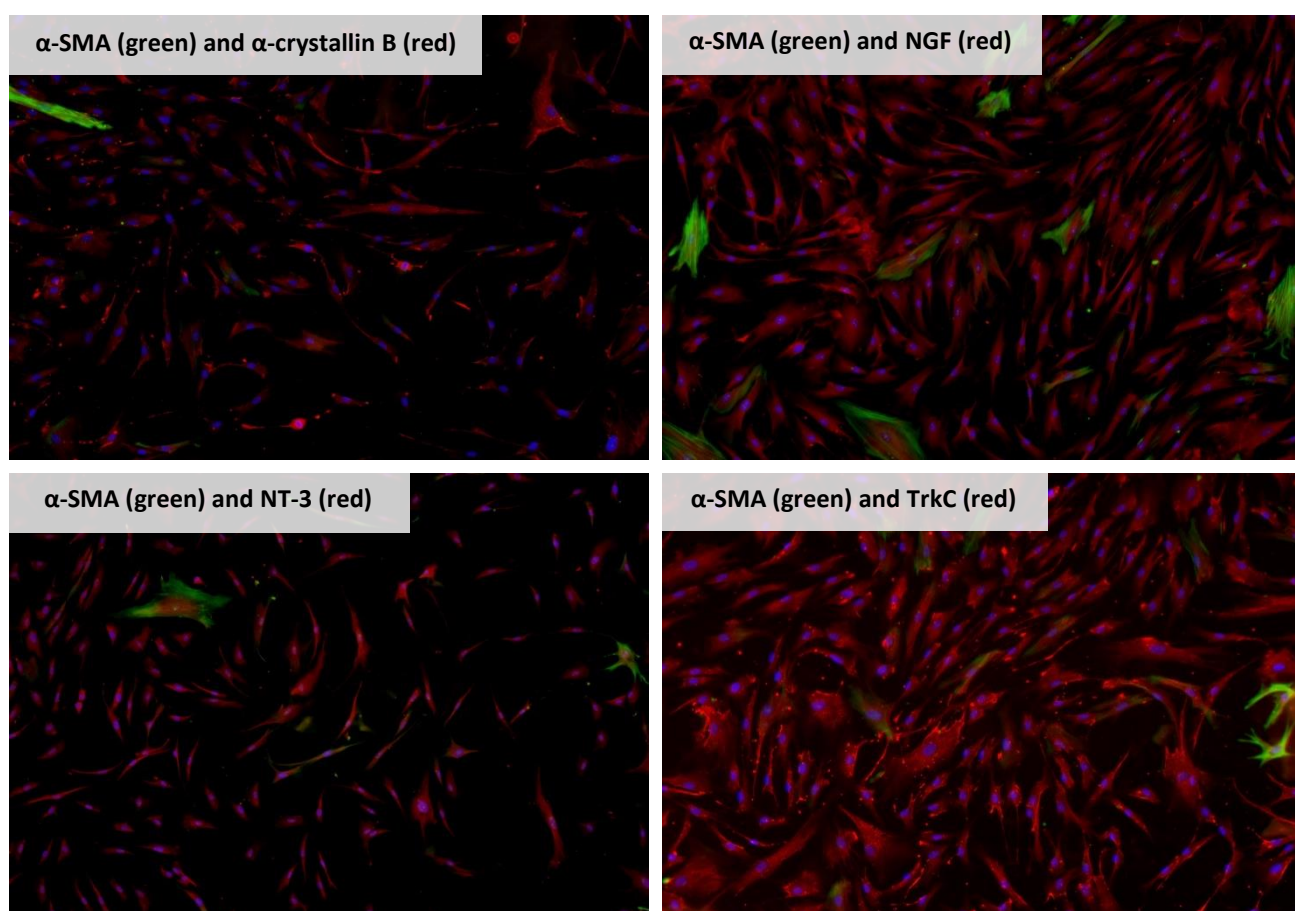
PSCs that had been in culture for 8 passages showed the characteristic blue staining indicating  $\beta$ -galactosidase activity and therefore cellular senescence (B), while neither freshly thawed PSCs (A) nor morphologically altered PSCs on Matrigel™ (C) showed any positivity in the senescence staining.

### 5.2.2.3 Immunofluorescence of PSCs on Matrigel™

To determine whether there are any differences between activated and deactivated PSCs regarding the expression of the tested markers, we also performed an immunofluorescence double staining for  $\alpha$ -SMA and each respective marker on PSCs that were seeded and cultured on Matrigel™.

While immunofluorescence staining did not deliver satisfying results for cells that had formed clusters and were drastically morphologically altered, immunofluorescence was successfully performed on PSCs cultured on Matrigel™ that had not undergone such drastic changes in morphology.

While Matrigel™-cultured PSCs still stained positive for all tested markers, the number of cells staining positive for  $\alpha$ -SMA was visibly reduced, suggesting a decreased activation level (figure 30).



**Figure 30.** Double immunofluorescence staining of PSCs (M1223) cultured on Matrigel™ for 4 days. PSCs show cytoplasmic positivity for all tested markers. Few PSCs show cytoplasmic positivity for  $\alpha$ -SMA.

## 6 Discussion

An excessive stromal reaction is a histopathological hallmark of both chronic pancreatitis and PDAC. After being considered as merely a side effect of chronic inflammation and carcinogenesis for decades, this stromal reaction has become an area of interest in pancreas research, especially regarding PDAC. While there are findings suggesting that the stroma can have a protective effect in PDAC (Ozdemir et al., 2014; Rhim et al., 2014), a lot of data point to the stroma as a crucial supporter of PDAC growth and therapy resistance: Aside from physically creating a barrier that encloses the tumor, leaving it hypoxic and hard to reach for chemotherapeutic agents (Harris, 2002; Oberstein & Olive, 2013), ECM proteins of the stroma seem to have growth- and survival-supporting effects on PCCs (Edderkaoui et al., 2005; Erkan et al., 2007; Vaquero et al., 2003; Vaquero et al., 2004). The established main source of the stromal reaction in the pancreas are specialized pancreatic stromal cells called pancreatic stellate cells (PSCs) (Apte et al., 2004; Apte & Wilson, 2003; Esposito et al., 2006).

The aim of this study was therefore the exact morphological and immunophenotypical characterization of the stromal cells in inflammatory diseases of the pancreas and in PDAC, in order to identify markers (or sets of markers) labelling subtypes of stromal cells involved in different disease processes. A similar approach has previously been used for the characterization of hepatic stromal cells (Cassiman et al., 2002), which are known to share similarities with their pancreatic counterparts. A further aim of the study was therefore to use these sets of markers to compare PSCs with HSCs and ascertain similarities and differences between the two cell types.

### 6.1 Characterization of the stromal reaction in chronic pancreatitis and PDAC

Cases of various subtypes of chronic pancreatitis (alcoholic chronic pancreatitis, AIP type 1, AIP type 2 and hereditary pancreatitis) and cases of PDAC were selected to be investigated in this study. While an abundant stroma is a typical characteristic of all the aforementioned diseases, morphological differences between the respective stromal reactions can already be observed in H&E-stained sections: First, each subtype of chronic pancreatitis shows a distinctive fibrosis pattern (predominantly inter-/perilobular in alcoholic CP, predominantly periductal and interlobular in AIP and predominantly periductal in hereditary pancreatitis) (Klöppel et al., 2004). Second, the stroma in PDAC can be categorized in subgroups, for example in dense, moderate and loose stroma. Data exist, which suggest that highly-dense PDAC stroma with mature collagen fibres correlates with a better outcome compared to a loose myxoid PDAC stroma (Erkan et al., 2008; Wang et al., 2015).

In the presented project, the stromal composition was further characterized by staining sections of all cases with Movat's pentachrome stain, which dyes collagens yellow and mucin and ground substance

## Discussion

blue and has previously been used to assess PDAC desmoplasia (Whatcott et al., 2015). Although a certain degree of heterogeneity among chronic pancreatitis cases and PDAC cases was observed, altogether the PDAC stroma was significantly more mucin-rich, while the stroma in cases of chronic pancreatitis was significantly more collagen-rich. This suggests that the stroma in PDAC is undergoing dynamic remodeling, while the stroma in chronic pancreatitis is more mature. This could be due to the fact that the stroma in PDAC interacts frequently with PCCs and therefore harbors a large number of activated PSCs. These results are in line with the above-mentioned findings that, in PDAC, stroma with a lower collagen density and a more myxoid composition correlates with a higher aggressiveness of the tumor (Erkan et al., 2008; Wang et al., 2015). Pointing into a similar direction, data from another study demonstrate that PDAC contains increased amounts of mucins compared to normal pancreas (4-fold increase in total glycosaminoglycans). Moreover, the composition of glycosaminoglycans changes, showing a 12-fold increase in hyaluronan and 22-fold increase in chondroitin sulfate, while the main glycosaminoglycan of normal pancreas, heparan sulfate, becomes a minor glycosaminoglycan in PDAC. And lastly, the chondroitin sulfate in PDAC is also altered in its disaccharide composition (Theocharis et al., 2000). The mucin component hyaluronan is thought to promote malignancy and chemoresistance in cancer cells (Toole & Slomiany, 2008). Hyaluronan depletion using PEGylated human recombinant hyaluronidase (PEGPH20) has been demonstrated to achieve permanent stroma remodeling and therefore a prolonged survival in mice with PDAC (Provenzano et al., 2012). Recently, PEGPH20 has also been tested in a phase Ib study, delivering promising results especially for patients with PDAC high in hyaluronan (Hingorani et al., 2016). All these findings strongly suggest that especially the mucinous components of the stroma play a role in the aggressiveness of PDAC.

PSCs are established as the main source of the stromal reaction in both chronic pancreatitis and PDAC (Apte et al., 2004; Apte & Wilson, 2003; Esposito et al., 2006). After evaluating chronic pancreatitis stroma and PDAC stroma via H&E and Movat's stain, our next step was consequently the characterization of these effector cells. As PSCs share many morphological and functional similarities with the better-characterized HSCs of the liver (see 2.4.2), we chose to test the stroma of chronic pancreatitis cases and PDAC cases not only for previously suggested PSC markers ( $\alpha$ -SMA, CD34, desmin, NGFR, SPARC and tenascin C), but also for established HSC markers ( $\alpha$ -crystallin B, CD56, NGF, NT-3, synaptophysin and TrkC) by means of immunohistochemistry.

The IHC staining of chronic pancreatitis cases with the different markers, which were tested in this study, did not show any correlation between localizations and the above mentioned typical fibrosis patterns that are distinctive for individual subtypes of chronic pancreatitis (Klöppel et al., 2004). Staining patterns for tested markers were heterogeneous within and between different subtypes of chronic pancreatitis.



## Discussion

In IHC of PDAC cases, most tested markers showed a perilesional staining (i.e. staining around the tumor glands), highlighting the location of PSCs in close proximity to PCCs. This observation corresponds well with data demonstrating that PSCs interact bidirectionally with PCCs (Bachem et al., 2005; Esposito et al., 2006; Vonlaufen et al., 2008).

While a predominantly diffuse perilesional staining was found for  $\alpha$ -SMA, CD34, CD56, NGF, NGFR, SPARC and TrkC, a predominantly focal perilesional staining was obtained for desmin and NT-3. In tenascin-C-stained PDAC cases, a roughly equal amount of diffuse and focal perilesional staining was found. Interestingly, when comparing the different markers to each other, definite and consistent overlaps of the perilesional staining patterns in PDAC cases could only be observed between  $\alpha$ -SMA and SPARC. Altogether, cases of PDAC showed a similar degree of heterogeneity as cases of chronic pancreatitis. This heterogeneity might reflect a varying marker expression in PSCs with different levels of activation or differentiation, or even the coexistence of multiple unique PSC (or pancreatic myofibroblast) subpopulations. The idea that cancer-associated fibroblast populations are heterogeneous and variant has already been studied and substantiated in mouse models (Sugimoto et al., 2006). Correspondingly, Cassiman and colleagues were able to distinguish HSCs and different subtypes of hepatic myofibroblasts in the liver (Cassiman et al., 2002). Accordingly, an only focal perilesional staining in PDAC cases – as observed for desmin and NT-3 – might indicate that these markers are not expressed in all PSCs, but only in certain specialized subpopulations.

On comparing the Movat's-stained PDAC and CP cases with the IHC stainings of the respective cases, it was observed – as already mentioned above – that areas stained positive for  $\alpha$ -SMA often overlapped with SPARC-positive areas and both correlated with collagen-rich stromal areas. On the other hand, areas stained positive for NT-3 correlated with mucin-rich stromal areas. This suggests that PSCs in different activation or differentiation levels or different subpopulations of PSCs or pancreatic myofibroblasts ( $\alpha$ -SMA- and SPARC-positive PSCs or pancreatic myofibroblasts vs. NT-3-positive PSCs or pancreatic myofibroblasts) are located in differently composed stromal areas (collagen-rich mature stroma vs. mucin-rich immature stroma).

All this underscores the advantage of using a panel of multiple PSC markers for IHC, as opposed to using only one marker at a time. One marker might merely be expressed by a fraction of the stromal cells actually present, meaning that a number of stromal cells might be undetected when using one marker only. The heterogeneity of staining patterns between different PSC markers, as well as between and within subtypes of chronic pancreatitis and between cases of PDAC, also strongly suggests that the stroma is in a state of constant transition and plays an active role in chronic pancreatitis and PDAC.

Lastly, markers were also tested on freshly isolated HSCs and PSCs by means of immunofluorescence. Both HSCs and PSCs were positive for all tested markers. However, double stainings revealed the existence of single-positive as well as double-positive cells. This corroborates the suggested hypothesis that either PSCs in different states of activation and differentiation are present or that unique PSC or myofibroblast subpopulations are existent. In order to assess the expression of markers of deactivated PSCs, we cultured PSCs on Matrigel™, which has been demonstrated to induce deactivation of PSCs (Jesnowski et al., 2005). As a result, all tested markers were similarly expressed in Matrigel™-deactivated PSCs, indicating them as PSC markers independent from the activation status of the cells. This is in contrast to the IHC staining results showing that markers like  $\alpha$ -crystallin B and synaptophysin show no perilesional staining in PDAC and are generally mostly expressed in stromal cells located around well-preserved pancreas tissue. Here the data indicate that these are markers for quiescent PSCs rather than for active PSCs. However, there is also the possibility that only a partial inactivation was achieved by culturing PSCs on Matrigel™. Further methods of stroma remodeling towards a mature stroma content, for example using Vitamin D receptor (VDR) ligands (Sherman et al., 2014), and their influence on the expression of PSC markers should be investigated in the future.

### **6.2 Similarities between PSCs and HSCs**

Due to their relatively late discovery, isolation and cultivation (Apte et al., 1998; Bachem et al., 1998; Watari & Hotta, 1982) as well as the fact that research on cancer-supporting stromal cells had been sidelined by the focus on cancer cells themselves, PSCs lack the in-depth characterization of their hepatic counterparts. However, the important role of PSCs in chronic pancreatitis as well as PDAC (Apte et al., 2004; Apte & Wilson, 2003; Esposito et al., 2006) makes a detailed characterization of these cells an important goal for pancreas researchers. A number of striking similarities between PSCs and their hepatic counterparts, HSCs, have already been demonstrated: Morphologically, both cell types share an angular-shaped fat-storing phenotype in their quiescent state, and can transform into an activated myofibroblast-like phenotype, which is characterized by the expression of  $\alpha$ -SMA and the loss of lipid droplets (Apte et al., 1998; Friedman, 1993). In their activated form, HSCs and PSCs synthesize large amounts of ECM proteins, e.g. collagens I and III (Apte et al., 2004; Friedman, 1993). Accordingly, both cell types are key effectors in physiological ECM turnover as well as pathological fibrosis of the liver or pancreas, respectively (Gressner & Bachem, 1995). Other functions of HSCs, for example a role in immunoregulation, have been demonstrated (Maher, 2001), but it has yet to be elucidated whether PSCs might play a similar role as well. HSCs and PSCs moreover share many similarities on a genomic level, but there are also some differences: Using transcriptome analysis including 21,329 genes, Buchholz and colleagues were able to find 88 genes that are expressed

## Discussion

differently between HSCs and skin fibroblasts and 73 genes that are expressed differently between PSCs and skin fibroblast, while they found only 29 genes that are expressed differently between HSCs and PSCs. The genes that are differently expressed between HSCs and PSCs include genes associated with ECM production and turnover, cell adhesion, cell communication and also transcription factors (Buchholz et al., 2005).

The presented study did not only investigate established and proposed PSC markers, but also known HSC markers like  $\alpha$ -crystallin B, CD56, NGF, NT-3, synaptophysin and TrkC (Cassiman et al., 2002). As a result, all HSC markers were found to be expressed in PSCs as well. This implies that the tested HSC markers can be used as PSC markers and further highlights the similarities between HSCs and PSCs.

A common origin of HSCs and PSCs is one possible explanation for the many shared characteristics between the two cell types. A neuroectodermal origin of these cells would be in line with the expression of the above mentioned neural proteins ( $\alpha$ -crystallin B, CD56, NGF, NT-3, synaptophysin and TrkC) found in this study – however, a neuroectodermal origin has already been refuted in the case of HSCs by cell lineage tracking techniques (Cassiman et al., 2006; Mederacke et al., 2013). Lineage tracing for PSCs was not performed so far. While another common origin for HSCs and PSCs is still thinkable, multipotent precursor cells that can differentiate into PSCs have been found in the adult mouse pancreas (Seaberg et al., 2004). This suggests that PSCs could possibly derive from a local precursor specific to the pancreas and not share a common origin with HSCs after all. The close similarities of the two cell types in morphology and function might then be explained as a result of similar microenvironments (Buchholz et al., 2005).

The consistent expression of neural markers by PSCs could also be of relevance concerning another important feature of both chronic pancreatitis and PDAC, namely neural alterations. In this respect there are findings that point to PSCs as possible “mediators” between PCCs and neural cells. For example, it has been demonstrated that PSC are able to secrete the neurotransmitter acetylcholine, thus attracting PCCs to spread along nerves (Phillips et al., 2010). Interestingly, neural alterations, for example increased neural density and hypertrophy, are found in chronic pancreatitis and PDAC, but not in other pancreatic disorders (Ceyhan et al., 2009). In chronic pancreatitis, the neural changes mainly manifest themselves as pancreatic neuritis, resulting in severe neuropathic pain (Ceyhan et al., 2009). Neuropathic pain also occurs in PDAC; moreover, perineural invasion is found in nearly all PDAC cases and plays a pivotal role in the poor prognosis of PDAC in general, as it is an important reason for tumor progression and local recurrence (Demir et al., 2010). Notably, a high correlation between the extent of desmoplasia in PDAC and the severity of perineural invasion has been found (Ceyhan et al., 2009). It has yet to be elucidated if there is not only a correlation, but also causation, and if so, how exactly the stroma facilitates perineural invasion in PCCs.

## Discussion

In conclusion, we were able to improve the characterization of stromal cells of the pancreas by confirming six known PSC markers ( $\alpha$ -SMA, CD34, desmin, NGFR, SPARC and tenascin C) and identifying six new PSC markers ( $\alpha$ -crystallin B, CD56, NGF, NT-3, synaptophysin and TrkC). The markers show variant expression patterns in the stroma of chronic pancreatitis and most show a perilesional expression in the stroma of PDAC, which can be explained in the context of tumor-stroma interaction. The expression patterns of these markers in chronic pancreatitis as well as in PDAC cases are strikingly heterogeneous and seem to correlate with the ECM composition of the stroma (mucinous vs. collagen-rich). These *in vivo* findings, together with the existence of double- and single-stained cells *in vitro*, are consistent with the presence of PSCs in different states of activation or differentiation or even multiple subpopulations of PSCs and/or pancreatic myofibroblasts. Further studies are needed to investigate whether the expression of the tested markers is truly independent of the activation level of PSCs, possibly with the help of more reliable methods of PSC deactivation than cultivation on Matrigel™. In addition, the potential existence of PSC subpopulations or pancreatic myofibroblast subpopulations should be investigated further in the future, as pancreatic myofibroblasts might be another potential source of fibrosis in the pancreas, in addition to PSCs.

We were also able to underline the close similarities of PSCs with HSCs in this study by demonstrating that six markers that were already established for HSCs are also expressed in PSCs. However, it is still unclear whether the reason for these similarities is a common origin or whether the similarities are the result of a similar microenvironment, and whether PSCs might use neural markers to communicate with neural cells, e.g. in the context of perineural invasion in PDAC. In the future, additional studies using cell lineage tracing techniques similar to the ones used on HSCs, could determine the origin of PSCs and further advance the much-needed functional characterization of PSCs.

## 7 Abstract

**Background/aims:** An abundant stromal reaction is one of the hallmarks of pancreatic ductal adenocarcinoma (PDAC) and chronic pancreatitis. The cells mainly responsible for the stromal reaction are activated pancreatic stellate cells (PSCs). Despite their crucial role in two major pancreatic diseases, PSCs are not well characterized regarding their origin, function and immunophenotype. However, it is known that PSCs share numerous characteristics with hepatic stellate cells (HSCs), which have been described and established as key effectors in liver fibrosis long before their pancreatic counterparts. The aim of this study was a detailed immunophenotypical analysis of PSCs in PDAC and cases of chronic pancreatitis of various etiologies as well as the comparison of PSCs with HSCs in order to ascertain similarities and differences between the two cell types.

**Methods:** Resection specimens of PDAC (n=10) and chronic pancreatitis (n=12) were studied by means of histochemistry and immunohistochemistry. The composition of the stroma was characterized using Movat's pentachrome stain. Cases were then tested for a panel consisting of PSC markers ( $\alpha$ -SMA, CD34, desmin, NGFR, SPARC and tenascin C) as well as established HSC markers ( $\alpha$ -crystallin B, CD56, NGF, NT-3, synaptophysin and TrkC). PSCs freshly isolated from PDAC and chronic pancreatitis tissue samples were evaluated by immunofluorescence in an activated state and after being deactivated by cultivation on Matrigel™.

**Results:** While the stroma of chronic pancreatitis cases was characterized by a mature fibrosis, PDAC stroma displayed significantly more active remodeling. PSCs expressed all tested markers in analogy to HSCs. In cases of PDAC, a perilesional staining could be observed for most tested markers. Cases of chronic pancreatitis showed a more varying expression of the tested markers. Staining patterns were heterogeneous in cases of PDAC and in cases of chronic pancreatitis. The overlapping stainings for  $\alpha$ -SMA and SPARC correlated with a collagen-rich fibrosis, while NT-3 staining was associated with intense remodeling of the stroma.

**Conclusion:** The close similarities between PSCs and HSCs were confirmed. Heterogeneous expression patterns of the tested markers might reflect different levels of activation or differentiation of PSCs or even the presence of multiple subpopulations of PSCs or pancreatic myofibroblasts. Along with the heterogeneity of the stromal composition highlighted by Movat's stain, this strongly suggests an active role of the stroma in PDAC and chronic pancreatitis.

## 8 Zusammenfassung

**Hintergrund/Zielsetzung:** Eine ausgeprägte Stromareaktion ist eines der Hauptmerkmale des duktaalen Pankreaskarzinoms (*pancreatic ductal adenocarcinoma*, PDAC) und der chronischen Pankreatitis (CP). Aktivierte Stellatumzellen des Pankreas (*pancreatic stellate cells*, PSCs) sind hauptsächlich für diese Stromareaktion verantwortlich. Trotz ihrer zentralen Rolle in zwei bedeutenden Erkrankungen des Pankreas sind PSCs nicht ausreichend bezüglich ihres Ursprungs, ihrer Funktion und ihres Immunphänotyps charakterisiert. Es ist allerdings bekannt, dass PSCs viele Merkmale mit den Stellatumzellen der Leber (*hepatic stellate cells*, HSCs) teilen, welche als Haupteffektoren der Leberfibrose bereits ausführlich beschrieben worden sind. Das Ziel dieser Arbeit war eine detaillierte Analyse des Immunphänotyps der PSCs im PDAC und in der chronischen Pankreatitis sowie ein Vergleich der PSCs mit den HSCs.

**Methoden:** Gewebeproben aus PDACs (n=10) und chronischen Pankreatitiden (n=12) wurden histochemisch und immunhistochemisch analysiert. Die Zusammensetzung des Stromas der Proben wurde anhand der Movat-Pentachromfärbung untersucht. Die Proben wurden in der Immunhistochemie auf die Expression von etablierten PSC-Markern ( $\alpha$ -SMA, CD34, Desmin, NGFR, SPARC und Tenascin C) sowie HSC-Markern ( $\alpha$ -Crystallin B, CD56, NGF, NT-3, Synaptophysin und TrkC) getestet. PSCs, die aus frisch entnommenen Gewebeproben von Patienten mit PDAC oder chronischer Pankreatitis isoliert wurden, wurden mittels Immunfluoreszenz sowohl in ihrer aktivierten Form als auch nach Deaktivierung durch Kultivierung auf Matrigel™ untersucht.

**Ergebnisse:** Während sich das Stroma in chronischen Pankreatitiden durch eine reife Fibrose auszeichnete, zeigte das PDAC-Stroma signifikant mehr aktives Remodeling. PSCs exprimierten alle getesteten Marker in Analogie zu HSCs. In PDAC-Fällen zeigten die meisten untersuchten Marker periläsionale Expressionsmuster. In CP-Fällen variierten die Expressionsmuster stärker. Sowohl in PDAC-Fällen als auch in CP-Fällen waren die Expressionsmuster heterogen. Generell korrelierten die  $\alpha$ -SMA- und die SPARC-Färbung mit einer kollagenreichen reifen Fibrose, während die NT-3-Färbung mit intensivem Stromaumbau assoziiert war.

**Schlussfolgerung:** Die starke Ähnlichkeit von PSCs und HSCs konnte bestätigt werden. Die heterogenen Expressionsmuster der getesteten Marker können ein Hinweis auf das Vorliegen von PSCs in verschiedenen Aktivitäts- oder Differenzierungsleveln oder sogar auf verschiedene Subpopulationen von PSCs oder Myofibroblasten des Pankreas sein. In Zusammenschau mit der Heterogenität des Stromas, die sich in der Movatfärbung nachweisen ließ, spricht dies stark für eine aktive Rolle des Stromas im PDAC und in der chronischen Pankreatitis.

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