



Technische Universität München  
Fakultät für Medizin  
Institut für Virologie

Investigation of Environmental and Epigenetic Etiologies Driving  
Cholangiolar and Hepatocellular Neoplasias

**DETIAN YUAN**

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Vorsitzenderr: Prof. Dr. Roland M. Schmid

Prüfer der Dissertation:

1. Prof. Dr. Mathias Heikenwälder
2. Prof. Dr. Dirk Haller
3. Prof. Dr. Martin Zenke (RWTH Aachen)

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### SUMMARY

Adult tissue renewal and homeostasis are governed by the balance between elimination of damaged cells and supplement of new cells, dysregulation of which is a critical hallmark of many human diseases including cancer. Proper cell fate decisions and cellular identity maintenance are essential for this balance, whereas deregulated cell fate decision and loss of cellular identity are critical steps at the origin of tumorigenesis. Nowadays, while much remains to be learned about fundamental cellular and molecular controls of these processes, it is generally accepted that the cell fate decision and cellular identity maintenance are regulated by the combination of extrinsic signals (e.g. environmental clues and signaling pathways) and intrinsic signals (e.g. transcription factors and epigenetic modifications).

Liver cells are inherently susceptible to a wide variety of damage imposed by toxins or chemicals due to their central role of metabolism and detoxification. Thus, normal turnover and regeneration of liver parenchyma cells are pivotal for liver homeostasis and function. Due to the unique cell plasticity of hepatocytes and cholangiocytes, mechanisms underlying liver homeostasis have been a long-standing debate. Liver cell turnover and regeneration could be accomplished by (a) self-duplication of terminal differentiated hepatocytes or cholangiocytes, (b) de-differentiation and/or trans-differentiation of hepatocytes or cholangiocytes, and (c) activation and lineage specification of liver progenitor cells. These processes are tightly coordinated by both extrinsic and intrinsic clues. Elucidating the underlying regulatory mechanism not only provides insight into the physiological maintenance of the liver, but also has clinical significance for liver pathogenesis, including alcoholic liver disease, nonalcoholic fatty liver disease, fibrosis, cirrhosis and cancer.

Therefore my PhD thesis investigated these issues in several model systems and focus on: (a) role of oxidative microenvironment in lineage commitment of liver progenitor cells, (b) role of epigenetic status of hepatocytes in hepatocyte homeostasis, and (c) role of inflammatory microenvironment in hepatocellular carcinoma (HCC) development.

In the first study, termed “Mitochondrial defects in hepatocytes cause cholangiolar hyperproliferation via Kupffer cell-mediated paracrine TNF $\alpha$  signaling”, I established a mouse model of chronic mitochondrial defect by genetically deleting the main mitochondria chaperone, HSP60, specifically from hepatocytes. I found that hepatocytic mitochondrial

dysfunction and oxidative stress trigger a carcinogenic niche for LPCs in favor of cholangiolar differentiation and neoplastic growth. Mitochondrial dysfunction stimulates Kupffer cells, which produce tumor necrosis factor  $\alpha$  and activate JNK signaling to establish cholangiocellular fate. Overwhelming cholangiolar differentiation leads to regeneration defects and neoplastic lesions. Pharmacological depletion of Kupffer cell or genetic ablation of TNFR1 reverses the mis-differentiation of LPCs and rescues the regeneration defects, implying a role of typical inflammatory signaling in lineage commitment of adult stem cells. Taken together, our findings identify a novel non-cell-autonomous network, established by mitochondrial dysfunction and oxidative stress triggered TNF $\alpha$  signaling, as a pivotal regulator of LPC commitment to the cholangiolar lineage. Targeting this network provides novel opportunities for liver injury and therapy of intrahepatic cholangiocellular carcinoma.

In the second study termed “HDAC2 deficiency in hepatocytes leads to HCC development”, we investigated the effects of the knockout of an individual HDAC, HDAC2, on hepatocyte homeostasis. The HDAC2 hepatocyte-specific knockout mice showed no abnormalities compared to control littermates, suggesting the functional redundancy of HDAC family members. We further aged a large cohort of knockout mice and surprisingly found a low HCC incidence. HCC development in HDAC2 deficient mice were not caused by cell death or compensatory proliferation because no obvious cell death or hepatocyte proliferation was observed in livers from unaffected mice. Histological characterization of the HDAC2 deficient tumors confirmed their malignancy features. Most strikingly, the majority of the tumors were steatohepatic HCC, a special morphologic variant of HCC associated with metabolic risk factors. Further studies of the link between alterations of lipogenesis and HDAC2 deficiency are urgently needed due to the currently ongoing clinical trials of HDAC inhibitors in cancer patients.

The last study included in my thesis termed “Inflammatory micro-niche promotes HCC development with crucial survival and growth factors” focused on the oncogenic role of liver inflammatory microenvironment. Here, my colleagues, Dr. Finkin and Prof. Pikarsky, generated a new mouse model of HCC with ectopic lymphoid-like structures prior to HCC development. The lymphoid-like structures formed inflammatory micro-niche wherein progenitor malignant hepatocytes first appear and thrive. My colleagues and I showed that these progenitors eventually egress their micro-niches and form HCCs. We further proved that the inflammatory micro-niche promoted malignant transformation of

## Summary

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hepatocytes through  $LT\beta R$  signaling and its downstream cytokines like CCL20 and CCL17. Our findings indicate that aberrant immune activation in the liver can promote early stages of carcinogenesis by creating a micro-niche within which cancer progenitors can evolve. Hence, compromising micro-niche formation by specific immune cell ablation or targeting its nursing function by cytokine blockade may provide new means for HCC prevention and early treatment.

**Abbreviations**

8-OHdG	8-hydroxy-2-deoxyguanosine
AP-1	Activator protein 1
aCGH	array-based copy number analysis
AFP	$\alpha$ -fetoprotein
ALT	Alanine aminotransferase
AP	Alkaline phosphatase
AST	Aspartate aminotransferase
ATP	Adenosine triphosphate
BDL	Bile duct ligation
BEC	Bile duct epithelial cell
BHA	Butylated hydroxyanisole
CCl <sub>4</sub>	Carbon tetrachloride
ChIP	Chromatin immunoprecipitation
CK19	Cytokeratin 19
Col-IV	Collagen IV
CoxIV	Complex IV
CytC	Cytochrome c
DDC	3,5-diethoxycarbonyl-1,4-dihydro-collidine
DEN	Diethylnitrosamine
DNMT	DNA methyltransferase

## Abbreviations

DSS	Dextran sodium sulfate
erUPR	Endoplasmic reticulum unfolded protein response
ER	Estrogen receptor
Erk1/2	Extracellular signal-regulated kinase 1/2
FADD	Fas-Associated protein with Death Domain
Gpx	Glutathione peroxidase
GS	Glutamine synthetase
HAT	Histone acetyltransferase
HBV	Hepatitis B virus
HCC	Hepatocellular carcinoma
HCC-CC	Hepatocellular carcinoma and cholangiocarcinoma
HCV	Hepatitis C virus
HDAC	Histone deacetylase
HE	Hematoxylin eosin
HGF	Hepatocyte growth factor
Hnf4 $\alpha$	Hepatocyte nuclear factor 4 alpha
HSP	Heat shock protein
ICC	Intrahepatic cholangiocarcinoma
Ig	Immunoglobulin
IKK	I $\kappa$ B kinase
IL	Interleukin
JAK1	Janus kinase 1

### Abbreviations

JNK	c-Jun N-terminal kinase
LPC	Liver progenitor cell
LT $\alpha$	lymphotoxin $\alpha$
LT $\beta$	Lymphotoxin $\beta$
LT $\beta$ R	Lymphotoxin $\beta$ receptor
MAPK	Mitogen activated protein kinase
mt	Mitochondrial
NASH	Non-alcoholic steatohepatitis
NF- $\kappa$ B	Nuclear factor- $\kappa$ B
NIK	NF- $\kappa$ B-inducing kinase
Nqo1	NAD(P)H dehydrogenase 1
NuRD	Nucleosome-remodeling HDAC
OSM	Oncostatin M
p-	Phosphorylated
PCR	Polymerase chain reaction
PDAC	Pancreatic ductal adenocarcinoma
PERK	PKR-like endoplasmic reticulum kinase
PKR	Protein kinase RNA
SIRT	Sirtuin
SOD	Superoxide dismutase
Srxn1	Sulfiredoxin 1
STAT	Signal transducer and activator of transcription

### Abbreviations

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TdT	Terminal deoxynucleotidyl transferase
TFAM	Mitochondrial transcription factor A
TGF $\beta$	Transforming Growth Factor $\beta$
TLR2	Toll-like receptor-2
TNF	Tumor necrosis factor
TUNEL	TdT-mediated dUTP nick end labelling
UPR	Unfolded protein response
WT	Wild-type

## 1. INTRODUCTION

### 1.1 The Liver

#### 1.1.1 Liver functions and architecture

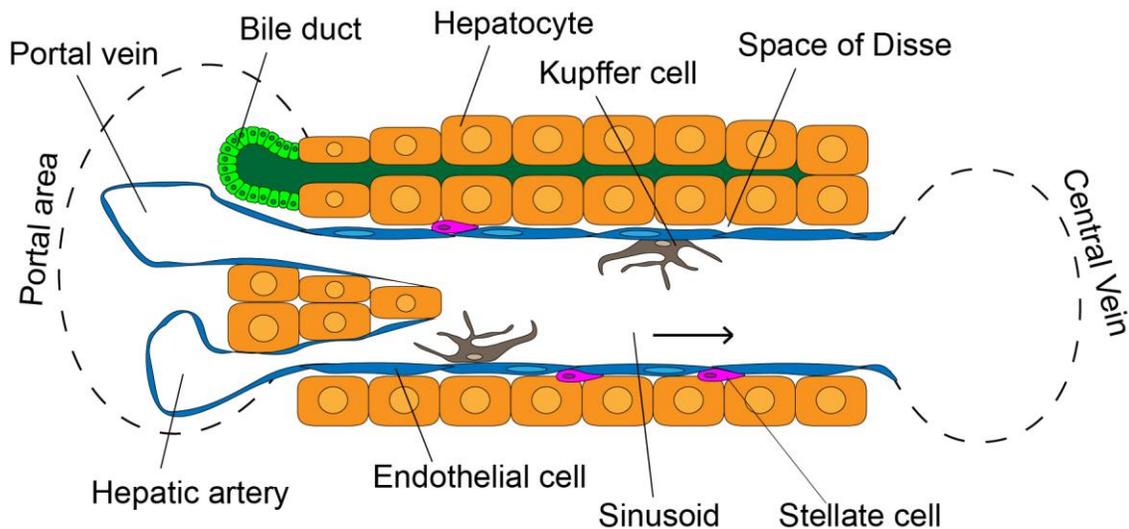
The liver is the largest glandular organ in the body and plays indispensable roles in many processes, including metabolism (e.g. protein synthesis, storage metabolites and detoxification), digestion (e.g. bile secretion), and immune-regulatory function [1, 2]. Accounting for 2 to 5% of body weight, it receives ~1.5 liters of blood every minute via the hepatic artery and portal vein. Nutrients absorbed in the digestive tract are processed in the liver and stored for use by all somatic cells. The liver is also characterized by a unique capacity for regeneration, recovering complete mass and function even under the circumstance that less than one-third of the cells remain uninjured [2-4]. Common liver diseases include hepatitis infection, fatty liver disease, cholestasis, cirrhosis, cancer as well as acute or chronic liver damage from alcohol, aflatoxins, and some drugs [5, 6]. Loss of normal liver architecture is considered to be an important index of certain liver diseases like cirrhosis [7]. Structurally and histologically, the liver architecture is composed of five tissue systems: vascular system, hepatocytes and hepatic lobule, hepatic sinusoidal cells, biliary system, and stroma [7, 8]. Liver cells can be divided into parenchymal cells (hepatocytes), which account for approximately 78% of the liver tissue volume, and non-parenchymal cells, which constitute around 6.3% [9, 10]. Among the non-parenchymal cells, 2.8% are endothelial cells, 2.1% Kupffer cells, and 1.4% hepatic stellate cells [11, 12]. The extracellular space represents approximately 16% of the liver tissue volume [12, 13].

#### 1.1.2 The hepatocyte

Hepatocytes fulfill numerous central metabolic functions of the liver [14]. Hepatocytes are usually considered to be homogeneous. The hepatic lobule, structural and functional unit of the liver, consists of 15–25 hepatocytes forming liver cell plates (Figure 1). Hepatocytes radiate outward from central vein in the center [8, 15]. Portal triads, composed of bile ducts and terminal branch of the hepatic artery and portal vein, distribute regularly at the vertices of the lobules [16]. Between the two cell plates, blood flows from the portal tract to the terminal hepatic venule, forming sinusoid [17]. Sinusoid facilitates the exchange of substances between blood and hepatocytes, which plays essential roles for liver's metabolism functions [17, 18].

### 1.1.3 The biliary system and cholangiocytes

The biliary system of the liver functions to deliver hepatocyte-secreted bile to into the gall bladder and small intestine [19]. Biliary epithelial cells, also known as cholangiocytes, accounting for 3.5% of the liver nuclear population, form a continuous surface from the smallest biliary units to the large intrahepatic and extrahepatic bile ducts [20]. Cholangiocytes respond to exposure to microbes through several immunological pathways, leading to cholangiocyte proliferation or loss [21]. In animal models, cholangiocyte proliferation has been observed following bile duct ligation (BDL) and chronic feeding of bile acids [21, 22]. Malignant transformation of the hyperproliferative cholangiocytes leads to a specialized subtype of liver cancer - cholangiocarcinoma [23].



**Figure 1. The structure of a hepatic lobule.** The hepatic lobule is considered to be the structural and functional unit of the liver. The hepatic lobule is comprised of row of hepatocytes radiating out from central vein. Hepatic artery, portal vein and bile duct are situated around the perimeter of the hepatic lobule, composing the portal area. Blood from hepatic artery and portal vein enters the central vein through sinusoids.

### 1.1.4 Kupffer cells and TNF $\alpha$

As the largest population of innate immune cells in the liver, Kupffer cells, or liver-resident macrophages, are crucial cellular components of the intrahepatic innate immune system [24]. Besides the well-studied scavenger and phagocytic functions, macrophages

are activated and secrete pro-inflammatory cytokines and growth factors in the setting of tissue damage, virus infection and exposure to toxic agents [25-27]. In adult livers, the majority of inflammatory cytokines such as tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), IL-1 and IL-6 are secreted by Kupffer cells [27].

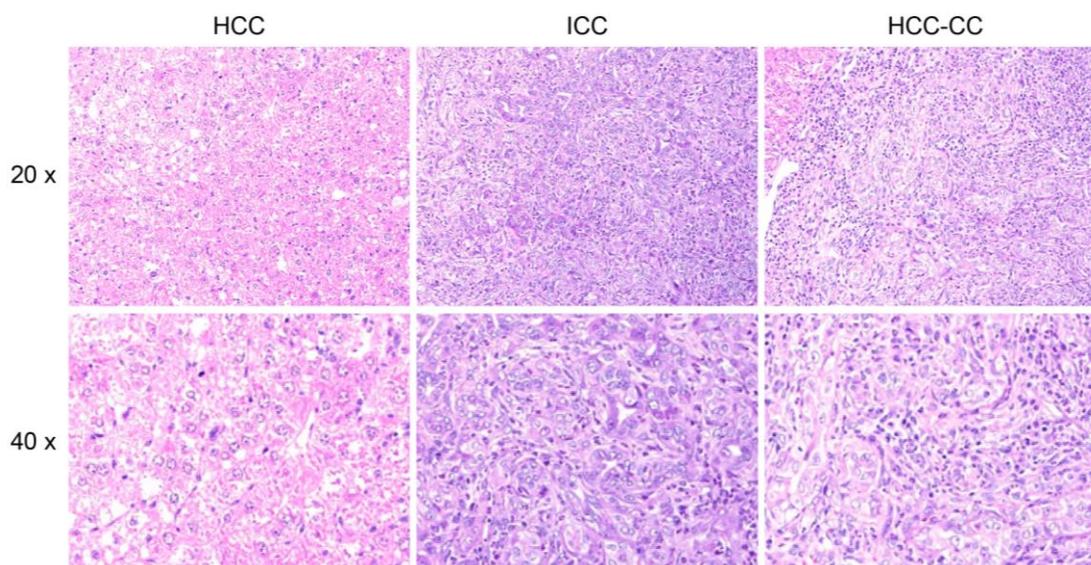
TNF $\alpha$  is a powerful pro-inflammatory cytokine which influences host defense and lymphoid tissue organogenesis [28]. TNF $\alpha$  functions through two specific cell surface receptors, a 55 kDa receptor (TNFR1), which is expressed in most cell types, and a 75 kDa receptor (TNFR2), which is restricted to immune and endothelial cells [29]. Engagement of one of the receptors further activates various downstream effectors including Nuclear factor- $\kappa$ B (NF- $\kappa$ B), c-Jun N-terminal kinase (JNK), p38 mitogen activated protein kinase (MAPK) and signal transducer and activator of transcription 3 (STAT3) in a context-dependent manner [30-32]. Most recently, the developmental and homeostatic functions of TNF $\alpha$  in various tissues were identified, which include altering adipocyte differentiation and adipocyte lipid metabolism, establishing hematopoietic stem cell fate in embryonic hematopoiesis and programming postnatal hippocampal development and memory [29, 33, 34]. TNF $\alpha$  and its receptors are also highly expressed in the murine fetal liver, suggesting a possible role of TNF $\alpha$  signaling in fetal liver development [35]. Production of TNF $\alpha$  by Kupffer cells increases upon various pathological conditions including liver injury, virus infection and exposure to toxic agents such as alcohol and aflatoxin [25, 27, 36, 37]. TNF $\alpha$  has also been implicated in the progression of liver cancer by sensitizing hepatocytes to TNF-related cell death [36-38] and promoting clonal expansion of neoplastic cells [27, 39, 40]. Attenuation of Kupffer cell activation or its downstream TNF $\alpha$  signaling leads to reduced hepatocyte cell death and less compensatory proliferation, thereby limiting liver cancer progression [26, 40-42]. As an important aspect of tissue homeostasis, liver progenitor cell (LPC) proliferation and differentiation are also accompanied by Kupffer cell activation and inflammatory reaction [43-45]. Thereby, how Kupffer cells and TNF $\alpha$  are involved in these processes is worth investigating.

## 1.2 Liver Cancer

### 1.2.1 Classification and epidemiology

Liver cancer is the sixth most common cancer worldwide, with more than 782,000 new cases diagnosed in 2012 (6% of the total) (<http://www.cancerresearchuk.org>). With

around 700,000 deaths per year, liver cancer is the second leading cancer-related death in the world, following lung cancer (18.2%) [46]. According to morphological and phenotypical criteria, liver cancer may be epithelial, mesenchymal, or mixed. The three types of liver cancer are hepatocellular carcinoma (HCC), intrahepatic cholangiocarcinoma (ICC), and combined hepatocellular carcinoma and cholangiocarcinoma (HCC-CC) [47]. HCC originates from the hepatocytes while ICC originates from the cholangiocytes. HCC-CC possesses the histopathological features of both HCC and ICC coexisting within the same tumor (Figure 2). These three types of liver cancers show distinct clinical features, survival outcome and prognostic factors [48]. Comprehensive genomic profiling of human HCC and ICC samples by next generation sequencing also reveals distinct genomic traits of these two different pathological entities and implies different therapeutic targets [49, 50].



**Figure 2. Classification of liver cancers.** Liver cancers are highly heterogeneous diseases. Histologically, primary liver cancers are divided into three classes: HCC, ICC and the intermediate type HCC-CC. HCC is featured by a trabecular pattern, while ICC is characterized by a tubular pattern and usually exhibits abundant fibrous stroma. The combined HCC-CC shows both elements, in which the tubular pattern and fibrous stroma is contiguous with a trabecular or solid pattern.

The incidence rate of liver cancer keeps increasing worldwide. A statistical analysis of patients diagnosed with liver cancer in England revealed that the incidence of ICC during

1999~2001 increased by 16-fold compared to that during 1971~1973, while HCC incidence rates increased by 3-fold over the same time period (<http://www.ncin.org.uk/>). Similar increases in the incidence of HCC and ICC have also been observed in the American and Oceanian populations [51, 52]. Interestingly, the international trends between 1973 and 2007 showed that liver cancer incidence was declining in several Asian populations [52]. The differences of trends of liver cancer worldwide are probably due to changes in exposure to risk factors (e.g., HCV transmission in European) and the implementation of preventive measures. Additionally, other possible risk factors such as alcohol consumption, obesity, and nonalcoholic fatty liver disease may also contribute to the burden of liver cancer [53].

### 1.2.2 Hepatocellular carcinoma

Hepatocellular carcinoma (HCC) is a major health problem, being the second leading cause of cancer-related deaths worldwide. In most cases, human HCC development is driven by chronic liver inflammation. The most prominent inflammatory etiologies linked to HCC include chronic viral hepatitis due to infection with hepatitis B virus (HBV) or hepatitis C virus (HCV) and non-alcoholic steatohepatitis (NASH) [54]. Both hepatitis viruses cause liver injury, inflammation, and cirrhosis and are currently responsible for approximately 80% of HCC cases [55]. Additionally, the epidemic of overweight and metabolic syndrome has emerged as a relevant risk factor, and body-mass index is also significantly associated with higher rates of death due to liver cancer [53]. Still, more than 50% of all HCC cases worldwide arise on the background of a chronic HBV infection.

The link between pro-inflammatory signaling pathways (e.g. NF- $\kappa$ B) in driving chronic inflammation induced HCC development has already be corroborated in several mouse models [26, 56]. Chronic inflammation is one of the most prevalent underlying conditions for tumour development, accounting for approximately 20% of human cancer [57]. Formation of hepatic lymphatic follicles is a prominent pathological hallmark of chronic viral infection, in particular HCV, yet a role for these immune follicles in HCC pathogenesis has not been suggested or explored. Human liver parenchyma infected with HBV or HCV also displays signs of NF- $\kappa$ B activation and it was shown that the HCV RNA polymerase can generate small cellular RNAs that lead to I $\kappa$ B kinase (IKK) activation [58], directly linking viral hepatitis with persistent IKK-NF- $\kappa$ B signaling.

### 1.2.3 Intrahepatic cholangiocarcinoma

ICC is a highly malignant liver cancer typically diagnosed at therapy-resistant advanced stages, with poor prognosis and increasing incidence worldwide [59-61]. Advanced ICC has limited therapeutic options available at present. The cellular origins and the molecular mechanisms underlying the ICC progression are still poorly delineated [62, 63]. Besides a biliary origin of ICC [64], clinicopathological study has suggested that LPCs are also one of the origin of ICC [65]. Komuta and colleagues examined the clinicopathological features of human ICC specimens: over 90% of the ICC showed ductular reaction-like structures, featured by small monotonous and/or anastomosing glands, and were strongly positive for cytokeratin 19 (CK19), a marker for LPCs [66].

Clinical observations also show that ICC can be caused not only by disease affecting bile duct epithelial cells (BECs), such as primary sclerosing cholangitis, bile duct cysts, and hepatolithiasis, but also by diseases that cause hepatocyte injury, such as hepatitis C or B infection, alcohol abuse, and non-alcoholic steatohepatitis (NASH) [67-69]. Since one of the common features of hepatitis C or B infection, alcohol abuse and NASH is the induction of mitochondrial dysfunction, hepatocytic mitochondrial defect might play a role in liver injury and ICC progression.

## 1.3 Liver Regeneration, Inflammation and Cancer

### 1.3.1 Liver regeneration and mouse models

As mentioned above, liver has unique capacity to regenerate even with only one third of the hepatocytes uninjured. The most studied liver regeneration model in rodents is the two-thirds partial hepatectomy model, which was first described by Higgins and Anderson in 1931 [4]. This model remains one of the most widely used models for the study of liver regeneration. In this model, the two largest lobes of the liver, which account for approximately 70% liver mass, are surgically removed. The remaining lobes enlarge and restore the original liver mass over 1~2 weeks after surgery. Notably, the LPCs are not involved in the regeneration process in partial hepatectomy model [70, 71]. Thus it is not suitable to study LPC-mediated liver repair.

Chemical injury models represent another experimental system to study liver injury and regeneration. One commonly used agent is carbon tetrachloride (CCl<sub>4</sub>), which generates hepatocyte-toxic free radicals followed by centrilobular necrosis and hepatic fibrosis [72].

Compared with partial hepatectomy model, CCl<sub>4</sub> injection model induces severe necrosis and acute cholestasis, similar to a subset of clinical liver injury cases [73]. Another agent 3,5-diethoxycarbonyl-1,4-dihydro-collidine (DDC) is also an effective inducer of liver regeneration by causing extensive and prolonged liver damage [74]. In contrast to the other two models mentioned before, liver regeneration in DDC model is considered to be accomplished by both hepatocytes and LPCs [74], thus this model are also used to investigate the roles of LPCs in HCC development, for example by feeding HBx transgenic mice with DDC diet [75].

### 1.3.2 Molecular pathways underlying liver regeneration

During the last decades, molecular studies of gene expression in regenerating livers have gained insights into various signaling pathways which are activated in different phase of liver regeneration post-surgery. Comparing the gene expression profiles of the liver in priming phase with those in later phase revealed that a series of transcription factors are elevated transiently, while many of others keep highly expressed throughout the regeneration process. Transcription factors that are activated rapidly after operation include NF- $\kappa$ B, STAT3, JNK, extracellular signal-regulated kinase 1/2 (Erk1/2) and receptor tyrosine kinases [76].

#### *IL6/STAT3 pathway during liver regeneration*

STAT3 are rapidly activated in the remaining liver within minutes to hours after hepatectomy [77]. IL6 is one of the most important cytokines capable of stimulating STAT3 activation [78]. Once bound to IL6, IL6R activates Janus kinase 1 (JAK1), which then phosphorylates STAT3 [79, 80]. Phosphorylated STAT3 translocates into the nucleus and initiates the transcription program. Impaired liver regeneration was observed in IL6<sup>-/-</sup> mice, featured by liver necrosis and liver failure, which could be rescued by a single preoperative dose of IL6 administration [77, 81, 82]. Mechanistically, blunted DNA synthesis with depressed activator protein 1 (AP-1), Myc, and cyclin D1 was found only in hepatocytes but not in other non-parenchymal cells, indicating that IL6 is critical for liver regeneration through initiating DNA synthesis response [82].

#### *TNF $\alpha$ signaling during liver regeneration*

Studies using type I tumor necrosis factor receptor (TNFR1) knockout mice revealed the role of TNF $\alpha$  signaling in regulating IL6/STAT3 pathway in regenerating hepatocytes [83, 84]. TNFR1 knockout mice displayed severe defects of DNA synthesis and hepatocyte replication during the first 4 days after hepatectomy, leading to >50% lethality. The

remaining animals showed delayed restoration of the liver mass. STAT3 and AP-1 activity were depressed by the lack of TNFR1, which could be reversed by injection of IL6 before operation. Similar phenotype was observed in rats that were given TNF $\alpha$  neutralizing antibody [85]. Pretreatment with anti-TNF $\alpha$  antibodies inhibited c-Jun upregulation and decreased AP-1 DNA binding activity, leading to a delayed regeneration [85]. These studies suggest that TNF $\alpha$  acts in a paracrine way to promote initiation of regeneration by activating cytokine pathways like IL6/STAT3 axis, or by inducing growth-related genes, such as c-Jun.

### *HGF/Met pathway during liver regeneration*

As a well-characterized mitogen for hepatocytes, hepatocyte growth factor (HGF) can induce DNA synthesis and cell replication in cultured hepatocytes. The HGF precursor is rapidly activated by proteases after partial hepatectomy, reaching the peak at 1 hour post-surgery [86]. Mature HGF binds to and activates the receptor tyrosine kinase Met. Liver-specific knockout of Met or pharmacological blockade of HGF by antibodies impaired recovery after liver injury [87].

### *Conclusion*

In addition to the above mentioned pathways, a broad array of developmental signals has also been added into the whole picture, including transforming growth factor  $\beta$  (TGF $\beta$ ) signal, Wnt/ $\beta$ -catenin pathway, Hedgehog signal and so on. Many of these pathways function redundantly, making the signaling network highly complex. Studying the mechanism underlying liver regeneration not only helps to inform clinical knowledge for patients with severe liver injury, but also provides insight into liver cancer resulted from dysregulation of regeneration pathways.

### 1.3.3 Pro-inflammatory signaling and LPCs

Inflammatory signaling in the liver is closely linked to tissue repair and regeneration [88, 89]. In livers, Kupffer cells, are the major contributor of pro-inflammatory cytokines and growth factors in the setting of tissue damage, virus infection and exposure to toxic agents [25-27, 90]. Recent studies indicate that various pro-inflammatory pathways can exert their function via directly regulating the adult stem cell proliferation and/or their subsequent lineage commitment [91]. For example, Ferenc and colleagues described a cell-intrinsic role of toll-like receptor-2 (TLR2) signaling in normal intestinal and mammary

epithelial cells and oncogenesis [89]. In a dextran sodium sulfate (DSS) induced mouse colitis model that mimics human inflammatory bowel diseases, TLR2 knockout in the intestinal epithelium significantly impairs regeneration. Consistently, deletion of TLR2 in breast epithelial cells markedly reduces mammary repopulation. Similar phenotype is obtained by ablation of TLR2 downstream targets like MYD88. Notably, inhibition of TLR2 signaling decreases the growth of intestinal tract tumors and breast cancer. These observations suggest that dysregulated inflammation may lead to excessive expansion of stem cell pool for tissue healing, resulting into uncontrolled regeneration and tumorigenesis, and inhibition of inflammatory signaling might have therapeutic value for cancer treatment. In the context of liver homeostasis, previous studies have observed close links between inflammatory response and LPC accumulation during chronic liver injury [44, 45]. However, the underlying signaling mechanisms that link pro-inflammatory signaling and LPC lineage commitment have not been elucidated.

### **1.4 Mitochondrial Function and Oxidative Stress**

#### 1.4.1 Mitochondria and human disease

With the primary function of generating adenosine triphosphate (ATP) through oxidative phosphorylation, mitochondria are essential cellular organelles of eukaryotic cells [92]. Mitochondria are double-membrane organelles which are highly specialized: the outer mitochondrial membrane fully surrounds the inner membrane, separated by the intermembrane in between [93-95]. The outer membrane contains many pore proteins which allow the passage of ions and small molecules, while the inner membrane is impermeable and loaded with proteins involved in electron transport and ATP synthesis. The inner membrane forms cristae to increase the surface area for the transport of electrons, which is considered to be significant for mitochondrial functionality. It also contains various carrier proteins, mediating the transport of metabolites in and out of the matrix space. Mitochondrial matrix is the site of the citric acid cycle, or the Krebs cycle [96]. The citric acid cycle produces the electrons, which enter the electron transport chain by the oxidative phosphorylation system in the inner membrane and produce ATP by ATP synthesis [97, 98]. Additionally, the mitochondrial matrix also contains enzymes involved in synthesis or metabolism of amino acids, ketones, urea, pyrimidines and nucleotides, playing pivotal roles in many key aspects of cellular metabolism, such as iron metabolism and the urea cycle [99].

In particular, mitochondria retain their own genome, named as mtDNA. mtDNA mainly encodes rRNA and tRNA, RNA species required for mitochondrial protein biosynthesis, and a small number of oxidative phosphorylation proteins [100, 101]. The replication of mitochondria is independent of cell cycle but stimulated by energy demand. However, the majority of mitochondrial proteins are encoded by nuclear genes and synthesized in cytoplasm [102, 103]. These newly synthesized proteins are transported into mitochondria with their unfolded conformation. The proper folding of these nuclear encoded mitochondrial proteins takes place in the matrix by mitochondrial chaperones, such as heat shock protein 60 kD (HSP60), HSP70, HSP100 [104].

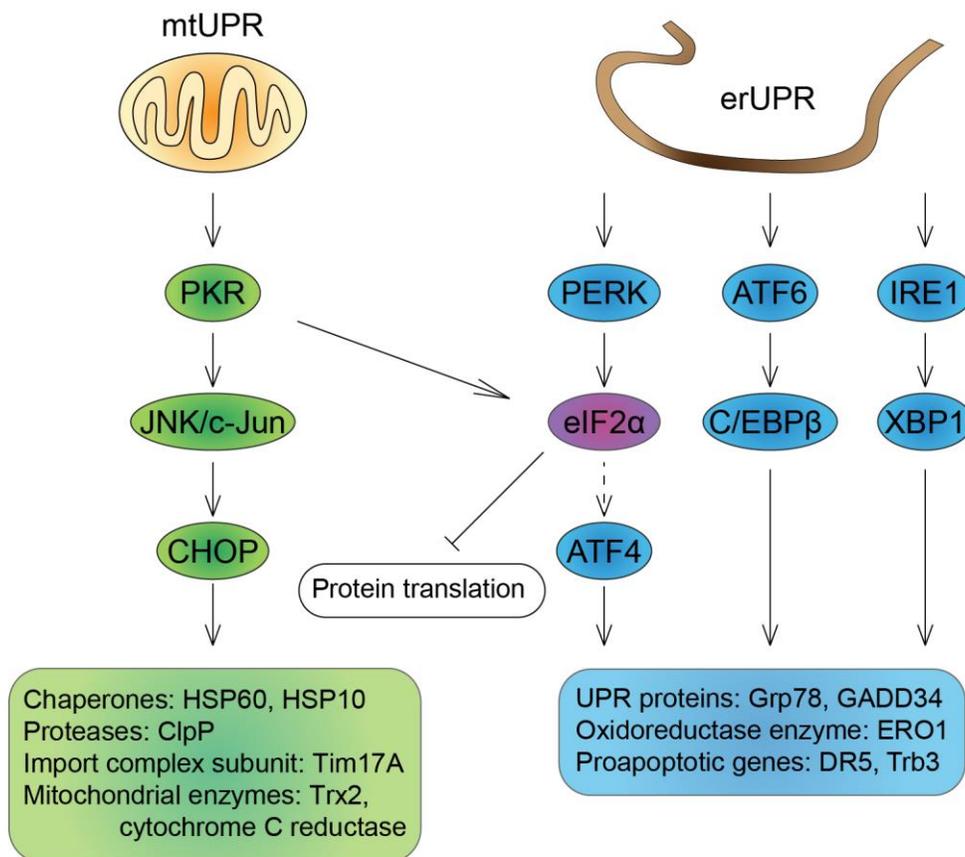
Genetic defects of mitochondrial functions, especially the oxidative phosphorylation system, affect the efficient production of cellular energy [105]. Mitochondrial disorders not only damage organs with high-energy requirement like brain, muscle, heart and the renal system, but also participate in a variety of age-associated degenerative diseases. In 1959, Ernster described a patient whose skeletal muscle biopsy contained a large excess of mitochondria with abnormal morphology, which is considered to be one of the earliest hints that mitochondrial defects are linked to human disease. The next milestone in understanding the role of mitochondrial disorders was the identification of the first pathogenic mutations in mtDNA associated with human neurological disorders [106]. Notable, the concept of mitochondrial disorders extended into the field of cancer by Otto Warburg who reported that cancer cells exhibited "aerobic-glycolysis" in 1930. Growing evidences indicate that mutations in genes encoding mitochondrial protein contribute to the development of cancer. For example, specific mutations in fumarate hydratase (FH) are associated with hereditary leiomyomatosis and renal cell carcinoma [107, 108].

### 1.4.2 Mitochondrial unfolded protein response

Protein-folding homeostasis is essential for normal cell functions. Maintenance of protein-folding homeostasis in eukaryotic cells is governed by different signaling pathways related to different cellular compartments [109]. Due to the structural and functional features of mitochondria mentioned above, protein-folding homeostasis in mitochondria is challenged by: 1) complex architecture, 2) reactive oxygen species (ROS) generated from oxidative phosphorylation process and 3) high susceptibility of mtDNA due to the lack of higher-order structure [110-112]. HSP60 and HSP70 are two main components of mitochondrial protein-folding machinery [104, 113]. HSP60, by forming a barrel-shaped complex with HSP10, primarily facilitates the folding of small, soluble

monomeric proteins [114], while HSP70 promotes translocation of the importing polypeptides and prevents their aggregation [115].

Perturbations of mitochondrial protein-folding homeostasis, such as elevated mitochondrial biogenesis and accumulation of oxidized protein by ROS, threaten the mitochondrial environment and function [116-118]. Unfolded or mis-folded proteins are monitored and sensed by a specialized signaling pathway – mitochondrial unfolded protein responses (mtUPR) [119, 120]. mtUPR transduces stress response from mitochondria into nucleus and initiated transcriptional program to balance the protein load and protein-folding machinery [111, 121, 122].



**Figure 3. A simplified diagram of the mtUPR and erUPR signaling network.** Perturbations of mitochondrial proteostasis activate PKR, which further phosphorylate JNK, leading to increased AP-1 transcriptional activity. CHOP and C/EBP $\beta$  are directly upregulated by AP-1. Dimers of CHOP and C/EBP $\beta$  induce nucleus events of mtUPR by upregulating mitochondrial chaperones and enzymes. Likewise, accumulation of unfolded proteins in ER triggers three pathways, which sensor the proteostasis state and finally transduce the signal into the nucleus, upregulating ER

chaperones and enzymes. Both mtUPR and erUPR can phosphorylate eIF2 $\alpha$  by PKR and PERK irrespectively, resulting into translational attenuation to reduce the protein load of organelles.

In the mammals, upregulation of CHOP and C/EBP $\beta$  is suggested to be an early event in the mtUPR, which is transcriptional regulated by c-Jun binding to AP-1 elements in their promoter regions in a JNK2 dependent way [123]. Heterodimer of CHOP and C/EBP $\beta$  transcription factors binds to specific mtUPR promoter element and activates the target genes, including HSP60, HSP10, proteases ClpP, the import complex subunit Tim17A, and mitochondrial enzymes Trx2 and cytochrome C reductase [124]. Additionally, accumulation of unfolded proteins in mitochondria activates PKR, which induces global translational attenuation by phosphorylating eIF2 $\alpha$ . Tim17A is selectively decreased in response to eIF2 $\alpha$  phosphorylation, thereby adapting mitochondrial protein import [125].

mtUPR and endoplasmic reticulum unfolded protein response (erUPR) are closely linked due to the physical interactions between ER and mitochondria [126-128]. It is widely accepted that the protein kinase RNA (PKR)-like endoplasmic reticulum kinase (PERK) signaling pathway within the erUPR can also regulate mitochondria protein-folding homeostasis under the circumstance of ER stress via eIF2 $\alpha$  [129]. Particularly, erUPR processes another two signaling pathways - Bip/IRE1/XBP1 pathway and ATF6 pathway - which are rather unique in response to ER stress [130, 131]. These pathways eventually upregulate genes encoding ER chaperones and UPR proteins, such as Grp78, GADD34, ERO1 and Trb3 [128, 131]. A graphic depiction of mtUPR, erUPR and their crosstalk is shown in Figure 3.

## 1.5 Epigenetic Regulation and Cancer

### 1.5.1 Epigenetic regulation

The last decades have witnessed a tremendous advance in our knowledge concerning roles of epigenetic regulation in both physiological situations (e.g. development and organogenesis) and pathological situations (e.g. inflammation and cancer). Besides genetic alterations, a broad array of abnormalities in cancer cells (e.g. oncogene activation, silencing of tumor suppressor genes, impairment of DNA repair system and genomic instability) are caused by epigenetic dysregulation [132-135]. Compared to DNA mutations and chromosomal aberrations, epigenetic alterations are reversible, which enable them to be attractive drug targets [136-138].

Epigenetics is defined as “heritable changes in gene activity and expression that occur without alteration in DNA sequence” [139]. Two major aspects of epigenetic modification are: chemical modifications to DNA (e.g. DNA methylation phosphorylation, ubiquitination and sumoylation) and modifications to DNA-associated histone proteins (e.g. histone acetylation and deacetylation).

### *DNA methylation*

DNA methylation, predominantly found in cytosines within CpG dinucleotides, is one of the best characterized epigenetic modifications [140]. CpG sites are not equally distributed in the genome, tending to cluster at the 5' ends of genes or in the regions of highly repetitive sequences [141]. Methylated CpGs are linked to transcriptional silencing, which is essential for normal development [142, 143]. DNA methylation in heterochromatic regions, in cooperation with histone modification, contributes to condensation of chromatin into heterochromatin [144]. This structure is important for the maintenance of genomic stability [145]. Aberrant patterns of DNA methylation are seen in various human diseases, including developmental abnormality and cancer [146-149]. Particularly, the genome of cancer cells is featured by global hypomethylation [150]. Hypomethylation of CpGs on the promoter of oncogenes and transposons leads to elevated transcription, contributing to genomic instability and tumorigenesis [151, 152]. Conversely, hypermethylation of CpGs of tumor suppressor genes leads to the transcriptional silencing of these genes, which is also considered as a hallmark of many human cancers [153].

DNA methylation in mammals is catalyzed by DNA methyltransferase (DNMT) family, including DNMT1, DNMT3a, and DNMT3b [154, 155]. DNMT1 is responsible for copying DNA methylation patterns to the daughter strands of DNA during DNA replication by recognizing hemimethylated CpGs [156], while DNMT3a and 3b preferentially target unmethylated CpGs, thereby classified as de novo methyltransferases [157]. Genetic deletion of DNMTs in mouse models results in embryonic lethality, emphasizing the importance of DNA methylation for normal embryonic development [157, 158].

### *Histone modifications*

Eukaryotic DNA is organized into nucleosome arrays for proper genome compaction [159]. As the fundamental unit of chromatin, nucleosomes comprise approximately 147 bp of DNA wrapped about 1.7 times around the core histone octamer, H2A, H2B, H3 and H4 [159]. Posttranslational modification of these histones regulates the structure of

chromatin as well as its accessibility to other remodeling machinery, fine-tuning many nucleus processes, including DNA replication, transcription, recombination and DNA repair [160, 161].

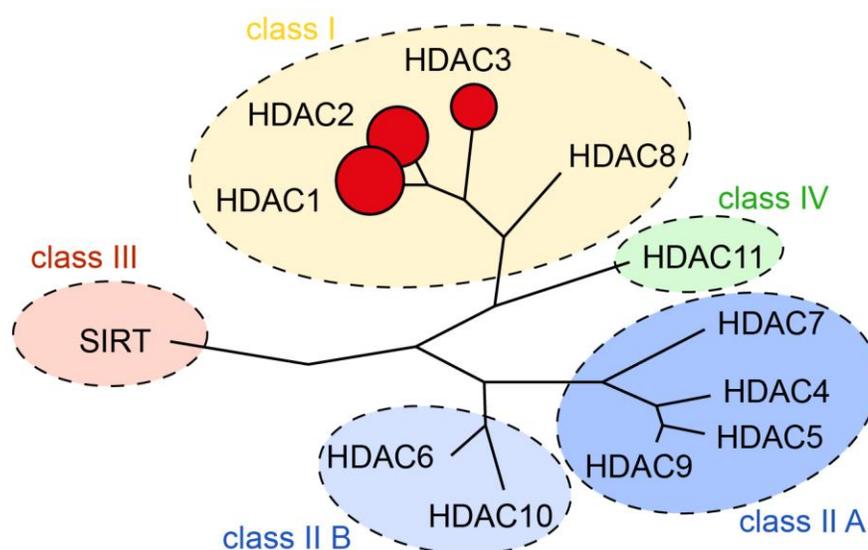
Compared to DNA methylation, histone modifications are more dynamic. There are several types of modifications on the amino terminals of the core histones, including acetylation, methylation, phosphorylation, ubiquitination, etc [161]. The chromatin immunoprecipitation (ChIP) assay is widely used to study the distribution of specific histone modification on DNA [162]. Combined with the next-generation sequencing technique, ChIP assay can be utilized to investigate the occupancy of the interested histone modification throughout the genome, contributing to a vastly growing knowledge about how histone modification(s) regulate gene expression in a more comprehensive and less-biased way [163].

### 1.5.2 Histone acetylation and deacetylation

Reversible changes in chromatin structure are essential for transcription, replication and repair in eukaryotes. One of the best-characterized chromatin modifications is histone acetylation and deacetylation, which influence the accessibility of DNA for transcription factors and the general DNA replication machinery [164, 165]. This highly dynamic process, controlled by two types of enzymes - histone acetyltransferases (HATs) and histone deacetylases (HDACs) - is a pivotal part of normal physiology during embryonic development and tissue homeostasis [166]. Deregulation of HATs and HDACs has been extensively investigated in many disorders, including cancer, inflammation and degenerative diseases during the last decades [167, 168]. Several broad-spectrum HDAC inhibitors are currently in various stages of clinical trials for tumor therapy [169-172]. However, considering the pleiotropic cellular effects of different HDACs, it is essential to understand the specific function of individual HDACs in order to design targeted therapeutics with increased potency and minimal side effects [173].

In mammalian, there are 18 members of HDAC family. Based on their sequence similarity to yeast counterparts, these 18 members can be divided into four groups (class I, II, III and IV) [166]. HDACs of class I, II and IV are dependent on  $Zn^{2+}$  for deacetylase activity, which are also called classical HDACs [174, 175]; whereas the other 7  $NAD^+$ -dependent HDACs, belonging to class III HDACs, are more often referred to as sirtuins (SIRT6) [176]. As shown in Figure 4, class I HDACs, most closely related to yeast

(*Saccharomyces cerevisiae*) RPD3, comprise HDAC1, 2, 3 and 8; class II HDACs, divided into subclass IIa (HDAC4, 5, 7 and 9) and subclass IIb (HDAC6 and 10), share protein domains with similarity to HDA1. Due to a low sequence similarity to either RPD3 or HDA1, HDAC11 is grouped into class IV [177]. Although closely linked, these 11 classical HDACs differ in structure, subcellular localization and expression patterns. Class I HDACs are expressed in most cell types and localized predominantly to the nucleus [178]. Especially, HDAC1 and HDAC2 show the most degree of homology (approximately 85% similarity) [179]. HDAC1 and HDAC2 generally form homo- and heterodimers between each other in corepressor complexes Sin3, nucleosome-remodeling HDAC (NuRD), CoREST, and PRC2 complexes [180, 181]. Class II HDACs shuttle between nucleus and cytoplasm and exhibit more tissue restricted expression patterns, suggesting roles in the establishment of cell identity [182].



**Figure 4. Unrooted phylogenetic tree of mammalian HDACs.** Mammalian genome encodes 18 HDACs, which can be divided into 4 classes based on the sequence similarity to their yeast counterparts. HDACs in different classes display different expression patterns, enzymatic functions, and cellular localizations. Class I HDACs are expressed ubiquitously and localized in the nucleus predominantly, while class II HDACs shuttle between nucleus and cytoplasm and show tissue-specific expression. Differing from class I, II, and IV HDACs, whose activity depends on  $Zn^{2+}$ , class III HDACs, also referred to as SIRT, require  $NAD^+$  for their enzymatic function.

### 1.5.3 HDACs and cancer

Histone hypoacetylation is found in many human cancers [183-185]. In a mouse model of multistage skin carcinogenesis, Fraga M.F. and colleagues showed that loss of monoacetylation of histone H4 is an early event of tumor development, and the extent of hypoacetylation accumulates throughout the genome with the cancer progression [186]. In addition, histone hypoacetylation is also linked to tumor invasion and metastasis. For example, a pathological study with human gastrointestinal tumors revealed that reduced histone acetylation correlates with depth of tumor invasion and nodal metastasis [187]. Another study of prostate cancer indicated that histone H3 acetylation is associated with lower recurrence risk [188]. These observations provide a basis for the clinical value of monitoring histone acetylation levels in cancer patients.

Elevated expression of HDACs is one of the explanations for histone hypoacetylation in cancers. Tremendous efforts have been geared toward figuring out the pattern of HDACs expression in human cancers. The levels of individual HDACs vary in different cancer types. Overexpression of HDAC1 has been found in gastric, lung, esophageal, colon and breast cancers [189-191]. HDAC2 is overexpressed in colorectal, cervical, gastric and liver cancers [192-196]. Increased HDAC3 is reported in colon cancer [190]. In addition, HDAC3 expression, coupled with HDAC1, correlates with estrogen and progesterone receptor expression in breast cancer [191]. Other studies have reported high level of HDAC6 in breast cancers and HDAC8 in neuroblastoma [197, 198]. These findings support the idea that HDACs are promising anti-cancer targets.

Overexpression of HDACs contributes to transcriptional repression of tumor suppressor genes by histone hypoacetylation on their promoters, such as cyclin-dependent kinase inhibitor p21, whose expression is lost in many human cancers [199-202]. Another example is E-cadherin, whose expression is repressed by the corepressor complex Sin3A composed of HDAC1, 2 and the transcription factor Snail [203]. HDACs can also exert their function by deacetylating non-histone proteins like p53 [204]. Deacetylation of p53 on lysine 373/382 decreases its stability, thus leading to checkpoint failure and uncontrolled cell proliferation [205, 206].

### 1.5.4 HDAC2 and HCC

HDAC2, a class I HDAC, represses gene expression by associating with nuclear receptor and ligand-dependent co-repressors, such as NuRD and mSin3 [207-209]. Expression of HDAC2 was reported to be elevated and linked to clinicopathological indicators and patient survival in several cancer types [210-213]. A number of clinical

observations also indicated that the roles of HDAC2 do not completely overlap with the other HDACs. For example, high expression of HDAC1 was associated with improved overall survival in estrogen receptor (ER) -positive breast cancers, while high expression of HDAC2 was correlated with improved overall survival in ER-negative tumors [214]; in pancreatic ductal adenocarcinoma (PDAC), HDAC2, but not HDAC1, confers resistance towards the topoisomerase II inhibitor etoposide [215].

Altered expression of HDAC2 has been frequently observed in HCC and predicts poor prognosis [195, 196]. Particularly, in a study of HDAC1/2/3 and 7 in HCC, HDAC2 is the only independent predictor of patient survival, although the expression of individual HDAC1, 2, and 3 correlates with the clinicopathological factors, such as differentiation status and proliferation index [216]. Moreover, several HCC risk factors like HBV and HCV strongly induce expression of HDAC1/2 [217, 218]. Nowadays, several HDAC inhibitors in clinical trials show highly encouraging and promising benefits in advanced HCC patients [219, 220], thus more studies are required to clarify the specific role of HDAC2 in HCC development to ascertain drug specificity and minimize side effects.

## 1.6 NF- $\kappa$ B signaling and HCC

### 1.6.1 NF- $\kappa$ B signaling

NF- $\kappa$ B transcription factors are central regulators and coordinators of cell survival, immunity and inflammation [221, 222]. Generally, in unstimulated cells NF- $\kappa$ B dimers are retained in the cytoplasm in an inactive state through interaction with the I $\kappa$ B inhibitory proteins [223]. A plethora of pro-inflammatory stimuli triggers IKK dependent phosphorylation, ubiquitilation and proteasomal degradation of I $\kappa$ B proteins [224]. Free NF- $\kappa$ B dimers translocate to the nucleus, where they activate target gene transcription [225]. IKK consists of two catalytic subunits, IKK $\alpha$  and IKK $\beta$ , and a regulatory subunit, IKK $\gamma$ /NEMO [226, 227]. While IKK $\alpha$  is not required for activation of NF- $\kappa$ B by most pro-inflammatory stimuli, IKK $\beta$  is instrumental for NF- $\kappa$ B activation in most cell types [221, 228]. An alternative, non-canonical NF- $\kappa$ B pathway is known to play an important role in lymphocyte development [229]. In this IKK $\beta$  independent pathway, signals from TNF receptor family members, such as Lymphotoxin  $\beta$  receptor (LT $\beta$ R) and CD40, are integrated by NF- $\kappa$ B-inducing kinase (NIK) [230, 231], which activates IKK $\alpha$  leading to processing of NF- $\kappa$ B2/p100 to the active p52 protein and to nuclear accumulation of p52:RelB dimers [232-234].

### 1.6.2 NF- $\kappa$ B signaling and HCC

In recent years it has become clear that NF- $\kappa$ B provides a major link between inflammation and carcinogenesis [57, 235, 236]. Activated NF- $\kappa$ B is frequently observed in human HCC in malignant hepatocytes [237-240]. Many NF- $\kappa$ B target genes are implicated in HCC pathogenesis [241], and diminished activity of the NF- $\kappa$ B pathway or its downstream effectors is associated with accelerated apoptosis of malignant cells and improved HCC prognosis [242, 243]. Moreover, activation of NF- $\kappa$ B in HCC adjacent non-tumor tissues correlates with poor prognosis and a high recurrence rate, suggesting that NF- $\kappa$ B activation may play a role in early disease progression [244]. While most of the data points to the role of the canonical NF- $\kappa$ B pathway in HCC, in which p50:RelA (p65) is the predominant heterodimer involved in gene regulation in hepatocytes, some evidence suggests involvement of the non-canonical pathway as well [245].

#### *Oncogenic role of NF- $\kappa$ B signaling in mouse HCC model*

Studies aimed at assessing the functional role of the NF- $\kappa$ B pathway in mouse models of HCC, yielded conflicting results, in some cases showing a pro-tumorigenic role, in others an anti-tumorigenic role and still others showing no effect of NF- $\kappa$ B manipulation on HCC formation [236, 246]. A pro-tumorigenic role, linking chronic inflammation and hepatocarcinogenesis, was shown in Mdr2<sup>-/-</sup> mice, which spontaneously developed hepatitis followed by HCC at a later age, through activation of NF- $\kappa$ B by TNF $\alpha$  secreted from adjacent inflammatory cells [56, 247]. In a different mouse model, transgenic mice over-expressing the cytokines lymphotoxin  $\alpha$  (LT $\alpha$ ) and lymphotoxin  $\beta$  (LT $\beta$ ) in a hepatocyte-specific manner developed chronic progressive hepatitis which culminated in HCC [90]. Development of chronic hepatitis as well as HCC in these mice was dramatically dependent on hepatocyte NF- $\kappa$ B, as inhibition of NF- $\kappa$ B by hepatocyte-specific IKK $\beta$  ablation completely abolished hepatocarcinogenesis [248, 249]. IKK $\beta$  ablation also prevented hepatocarcinogenesis in transgenic mice that express the HCV genome [250]. Notably, the HCV RNA polymerase can generate small cellular RNAs that lead to IKK activation and LT $\beta$  induction [58].

#### *Tumor-suppressor role of NF- $\kappa$ B signaling in mouse HCC model*

In contrast, in some other models of HCC, in particular those that depend on liver damage and death-driven compensatory proliferation, NF- $\kappa$ B was found to suppress tumor development [246]. Hepatocyte-specific IKK $\beta$  ablation markedly enhances HCC

induction in the chemical carcinogenesis model induced by diethylnitrosamine (DEN) which depends on liver injury [26]. The anti-tumorigenic activity of hepatocyte IKK $\beta$  was attributed to its protective effect in non-neoplastic hepatocytes via induction of NF- $\kappa$ B-dependent pro-survival and antioxidant genes, thereby suppressing compensatory proliferation of pre-malignant hepatocytes [26]. Similar findings were obtained upon hepatocyte-specific deletion of NEMO/IKK $\gamma$ . In this case, mice spontaneously developed steatohepatitis followed by HCC, due to the hypersensitivity of NEMO-deficient hepatocytes to Fas-Associated protein with Death Domain (FADD)-mediated and oxidative-stress-dependent death [251]. Finally and of note, expressing a constitutively active IKK $\beta$  transgene in hepatocytes had no measurable effect on HCC formation in mice [252]. Thus, the ability of hepatitis viruses to activate NF- $\kappa$ B and the common association of chronic hepatitis with hepatocyte NF- $\kappa$ B activation in human livers, stands in contrast to the current findings in many mouse models of HCC. Furthermore, the contradictory results described above underscore the complex role of NF- $\kappa$ B in hepatocarcinogenesis and the difficulties associated with targeting this pathway in the clinical setting.

## 2. MATERIALS AND METHODS

### 2.1 Materials

#### 2.1.1 Reagents

Product	Supplier
Agarose	Peqlab
Alexa Fluor® 594	Life Technologies
Bovine Serum Albumin, BSA	Sigma-Aldrich
DMSO	Sigma-Aldrich
EDTA	Roch
Ethanol	Roth
Fetal Calf Serum	Life Technologies
Fluoromount-G® Mounting Media (+/-Dapi)	Southernbiotech
Glucose	Roth
Glutamin 200mm	Gibco
Goat Serum	Life Technologies
Insulin	Sanofi Aventis
Isopropanol	Roth
Methanol	Roth
Non-Essential Amino Acids 100x	Gibco
PBS	Life Technologies
Penicillin/Streptomycin	Biochrom AG
HRP-Substrate	Thermo Scientific
Protein ladder	Thermo Scientific
Sucrose	Roth
Tissue-Tek O.C.T.	Sakura
Triton X-100	Roth

Trizol Reagent	Life Technologies
$\beta$ -Mercaptoethanol 50mM	Gibco

### 2.1.2 Cell culture mediums

#### DMEM complete medium

DMEM	500 ml
FCS	50 ml
Pen/Strep (5000 I.U. / ml)	5 ml
L-Glutamine (200 mM)	5 ml
NEAA (100x)	5 ml

#### RPMI complete medium

RPMI 1640 medium	500 ml
FCS	50 ml
Pen/Strep (5000 I.U. / ml)	5 ml
NEAA (100x)	5 ml

#### Hepatoblasts culture medium/Basal medium

William's E Medium	500 ml
FCS	50 ml
Pen/Strep (5000 I.U. / ml)	5 ml
Insulin (40 IU / ml)	320 $\mu$ l
EGF (20 ng/ $\mu$ l)	500 $\mu$ l
NEAA (100x)	5 ml

#### Hepatocytic differentiation medium

William's E Medium	500 ml
FCS	50 ml

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Pen/Strep (5000 I.U. / ml)	5 ml
Insulin (40 IU / ml)	320 $\mu$ l
EGF (20 ng/ $\mu$ l)	500 $\mu$ l
NEAA (100x)	5 ml
DMSO	10 ml

### Cholangiocytic differentiation medium

William's E Medium	500 ml
FCS	50 ml
Pen/Strep (5000 I.U. / ml)	5 ml
Insulin (40 IU / ml)	320 $\mu$ l
EGF (20 ng/ $\mu$ l)	500 $\mu$ l
NEAA (100x)	5 ml
TNF $\alpha$ (15 ng/ $\mu$ l)	500 $\mu$ l

### Trypsin/EDTA

10 x solution was diluted 1:10 in bidistilled water	Cat. No. L2153, BiochromeAG, Berlin, Germany
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### 2.1.3 Buffers

Buffer	Composition
RIPA buffer	50 mM TRIS-HCL (pH 7,4), 150 mM NaCl, 0.25% DOC, 1 mM EDTA, 1% NP-40 (Igepal)
RLT buffer	Cat. No. 74106, Qiagen, Germany, Hilden
Lysis buffer for protein extraction	RIPA buffer plus Roche complete protease inhibitor cocktail
Lysis buffer for RNA extraction	RLT buffer plus 1% $\beta$ -mercaptoethanol
SDS-PAGE running gel	8.1% acrylamide mix, 0.375 M Tris (pH 8.8), 1% SDS, 0.1% ammonium persulfate, 0.04% TEMED
Stacking gel	5% acrylamide mix, 0.125 M Tris (pH 8.8), 0.1% SDS, 1% ammonium persulfate, 0.1% TEMED
3x SDS Loading buffer	0.24 M TRIS-CL (pH 6.8), 6% SDS, 30% glycerol, 16% $\beta$ -mercaptoethanol, 0.6 mg/ml bromphenol blue

## Materials and methods

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10x Electrophoresis buffer	0.25 M TRIS, 1.92 M glycine, 1% SDS, in distilled water
PONCEAU-S	Cat. No. P-3504, Sigma, Steinheim, Germany
TBST	0.01 M TRIS-HCl (pH 8.0), 0.15 M NaCl, 0.05% TWEEN 20
Blocking buffer	5% Skim Milk in TBST
Antibody dilution buffer	5% BSA in TBST
Transfer buffer	0.3% TRIS base, 1.4% glycin, 20% methanol

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### 2.1.4 Cell lines

Cell line	Description
HepG2	human hepatocellular carcinoma cell line
Huh7	human hepatocellular carcinoma cell line
HepaRG	human hepatocellular carcinoma cell line
STK-1	human intrahepatic cholangiocarcinoma cell line
HuCCT1	human intrahepatic cholangiocarcinoma cell line
&&&	Mouse intrahepatic cholangiocarcinoma cell line

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### 2.1.5 Commercial kits

Cell line	Description
CellTiter-Blue® Cell Viability Assay	Promega, Mannheim, Germany
RNeasy Mini Kit	Qiagen, Hilden, Germany
BCA Protein Assay kit	Thermo Scientific, Ulm, Germany
QuantiTect Reverse Transcription Kit	Qiagen, Hilden, Germany
DNA FFPE Tissue Kit	Qiagen, Hilden, Germany
aCGH Kit	Agilent, California, USA

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2.1.6 Devices

Device	Manufacturer
Infinite F200 PRO microplate reader	Tecan, Männedorf, Switzerland
Molecular Imager® ChemiDoc™ XRS	Bio Rad, München, Germany
-80°C fridge Herafreeze	Heraeus, Hanau, Germany
-20°C fridge	Liebherr, Switzerland
4°C fridge	Bauknecht, Stuttgart, Germany
Biofuge Fresco Microcentrifuge	Heraeus, Hanau, Germany
Megafuge 1.0	Heraeus, Hanau, Germany
Micro centrifuge MiniStar Silverline	VWR, Darmstadt, Germany
Heracell CO2 Incubator	Thermo Scientific, Ulm, Germany
Herasafe Cell Culture Bench	Thermo Scientific, Ulm, Germany
Vortexer Reax 200	Heidolph, Kelheim, Germany
7900HT Fast Real Time PCR System	Applied Biosystems, Darmstadt, Germany
Nanodrop 2000 Spectrophotometer	Peqlab, Erlangen, Germany
Thermomixer comfort	Eppendorf, Hamburg, Germany
Microscope Axiovert 25	Zeiss, Jena, Germany
Microscope BX53	Olympus, Hamburg, Germany
SCN400 slide scanner	Leica Microsystems, Wetzlar, Germany
Automatic Ice Machine AF10	Scotsman, USA
PCR-cycler	Biometra, Göttingen, Germany
Water bath	Memmert, Schwabach, Germany

2.1.7 Antibodies

*Antibodies for Western blot:*

Target	Species	Dilution	Clone	Company
AFP	goat	1:1,000	polyclonal	R&D, Minneapolis, USA
CHOP	rabbit	1:1,000	D46F1	Cell Signaling, Danvers, MA, USA
c-Myc	mouse	1:500	9E10	Santa Cruz Biotechnology, Heidelberg, Germany

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Cyclin D1	mouse	1:200	72-13G	Santa Cruz Biotechnology, Heidelberg, Germany
eIF2a	rabbit	1:1,000	polyclonal	Cell Signaling, Danvers, MA, USA
GAPDH	rabbit	1:1,000	14C10	Cell Signaling, Danvers, MA, USA
HDAC2	rabbit	1:200	polyclonal	Cell Signaling, Danvers, MA, USA
HRP-anti-goat Ig	donkey	1:10,000	polyclonal	Dako, Hamburg, Gemany
HRP-anti-mouse Ig	goat	1:4,000	polyclonal	Cell Signaling, Danvers, MA, USA
HRP-anti-rabbit Ig	goat	1:10,000	polyclonal	Cell Signaling, Danvers, MA, USA
HSP60	goat	1:5,000	N-20	Santa Cruz Biotechnology, Heidelberg, Germany
JNK	rabbit	1:1,000	polyclonal	Cell Signaling, Danvers, MA, USA
LC3	rabbit	1:1,000	polyclonal	Mbl, Woburn, MA
p38 MAPK	rabbit	1:1,000	polyclonal	Cell Signaling, Danvers, MA, USA
p-Akt	rabbit	1:1,000	D9E	Cell Signaling, Danvers, MA, USA
PCNA	rabbit	1:1,000	polyclonal	Santa Cruz Biotechnology, Heidelberg, Germany
p-eIF2a	rabbit	1:1,000	119A11	Cell Signaling, Danvers, MA, USA
p-JNK	rabbit	1:1,000	56G8	Cell Signaling, Danvers, MA, USA
p-p38 MAPK	rabbit	1:1,000	D3F9	Cell Signaling, Danvers, MA, USA
p-RelA	rabbit	1:1,000	93H1	Cell Signaling, Danvers, MA, USA
RelA	rabbit	1:1,000	D14E12	Cell Signaling, Danvers, MA, USA

### *Antibodies for staining:*

Target	Species	Dilution	Clone	Company
8OHdG	mouse	1:100	N45.1	Abcam, MA, USA
B220	mouse	1:3,000	RA36B2	BD Biosciences, San Jose, CA, USA
CD3	rabbit	1:300	SP7	Lab Vision / NeoMarkers, Fremont, CA, USA

## Materials and methods

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CD44v6	rabbit	1:100	polyclonal	Millipore, CA, USA
CD68	rat	1:100	FA-11	Serotec, Puchheim, Germany
CHOP	rabbit	1:1,000	D46F1	Cell Signaling, Danvers, MA, USA
c-Jun	rabbit	1:400	polyclonal	Abcam, Cambridge, UK
cleaved-caspase 3	rabbit	1:300	polyclonal	Cell Signaling, Danvers, MA
c-Myc	rabbit	1:100	Y69	Abcam, MA, USA
Collagen IV	rabbit	1:50	polyclonal	Cedarlane, Burlington, Ontario, Canada
F4/80	rat	1:120	BM8	BMA Biomedicals, Augst, Switzerland
Glutamine Synthetase	rabbit	1:500	polyclonal	Abcam, MA, USA
GP73	goat	1:100	polyclonal	Santa Cruz Biotechnology, Heidelberg, Germany
HSP60	goat	1:4,000	N-20	Santa Cruz Biotechnology, Heidelberg, Germany
Ki67	rabbit	1:500	SP6	Lab Vision / NeoMarkers, Fremont, CA, USA
pan-Cytokeratin	rabbit	1:300	polyclonal	Dako, Hamburg, Germany
p-JNK	mouse	1:250	56G8	Cell Signaling, Danvers, MA
RelA	rabbit	1:500	polyclonal	Lab Vision / NeoMarkers, Fremont, CA, USA
TNFR1	rabbit	1:1,000	C25C1	Cell Signaling, Danvers, MA
TNF $\alpha$	rabbit	1:100	polyclonal	Abcam, MA, USA

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### 2.1.8 Primers

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#### Primers for mouse genes

Gene symbol	Sequence
HSP60_F	TCTTCAGGTTGTGGCAGTCA
HSP60_R	CCCCTCTTCTCCAAACACTG
CK19_F	GTCCGCGGTGGAAGTTTTAG

CK19_R	CAGCAGCCCATCAGACACAG
Hnf4a_F	GGTTTAGCCGACAATGTGTGG
Hnf4a_R	TCCCGCTCATTTTGGACAGC
CoxIV_F	ATTGGCAAGAGAGCCATTTCTAC
CoxIV-R	CACGCCGATCAGCGTAAGT
Tfam_F	ATTCCGAAGTGTTTTCCAGCA
Tfam-R	TCTGAAAGTTTTGCATCTGGGT
Trail_F	ATGGTGATTTGCATAGTGCTCC
Trail_R	GCAAGCAGGGTCTGTTCAAGA
CoxI_F	AGCCTGAGCGGGAATAGTG
CoxI_R	ATGGGCAGTTACGATAACATTGT
Pgc1a-F	TATGGAGTGACATAGAGTGTGCT
Pgc1a-R	CCACTTCAATCCACCCAGAAAG
HSP10-F	AGTTTCTTCCGCTCTTTGACAG
HSP10-R	TGCCACCTTTGGTTACAGTTTC
Grp75-F	ATGGCTGGAATGGCCTTAGC
Grp75-R	ACCCAAATCAATACCAACCACTG
Tid1_F	GCTCGGGCATGGAAACTATCA
Tid1_R	TCTGCACCCTGAATGTGACAA
ClpP_F	GCCTTGCCGTGCATTTCTC
ClpP_R	CTCCACCACTATGGGGATGA
Gadd34-F	GAGGGACGCCCAACTTC
Gadd34-R	TTACCAGAGACAGGGGTAGGT
p58IPK-F	GGCGCTGAGTGTGGAGTAAAT
p58IPK-R	GCGTGAAACTGTGATAAGGCG
Atf3-F	GAGGATTTTGCTAACCTGACACC
Atf3-R	TTGACGGTAACTGACTCCAGC
Trb3-F	GCAAAGCGGCTGATGTCTG
Trb3-R	AGAGTCGTGGAATGGGTATCTG

Cpt1a-F	CTCCGCCTGAGCCATGAAG
Cpt1a-R	CACCAGTGATGATGCCATTCT
Fasl_F	TCCGTGAGTTCACCAACCAAA
Fasl_R	GGGGTTCCCTGTAAATGGG
TNFA_F	CGATGGGTTGTACCTTGTC
TNFA_R	CGGACTCCGCAAAGTCTAAG
Sod1_F	AACCAGTTGTGTTGTCAGGAC
Sod1_R	CCACCATGTTTCTTAGAGTGAGG
Sod2_F	CAGACCTGCCTTACGACTATGG
Sod2_R	CTCGGTGGCGTTGAGATTGTT
Sod3_F	CCTTCTTGTTCTACGGCTTGC
Sod3_R	TCGCCTATCTTCTCAACCAGG
Gpx1_F	AGTCCACCGTGTATGCCTTCT
Gpx1_R	GAGACGCGACATTCTCAATGA
Gpx2_F	GCCTCAAGTATGTCCGACCTG
Gpx2_R	GGAGAACGGGTCATCATAAGGG
Gpx3_F	CCTTTTAAGCAGTATGCAGGCA
Gpx3_R	CAAGCCAAATGGCCCAAGTT
Gpx7_F	TCCGAGCAGGACTTCTACGAC
Gpx7_R	TCTCCCTGTTGGTGTCTGGTT
Ucp3_F	CTGCACCGCCAGATGAGTTT
Ucp3_R	ATCATGGCTTGAAATCGGACC
Nqo1_F	AGGATGGGAGGTACTIONCGAATC
Nqo1_R	AGGCGTCCTTCCTTATATGCTA
Srxn1_F	CCCAGGGTGGCGACTACTA
Srxn1_R	GTGGACCTCACGAGCTTGG
Tmod1_F	TGAGCTAGATGAACTAGACCCTG
Tmod1_R	CGGTCCTTAAATTCCTTCGCTTG
Slc41a3_F	CTCAGCCTTGAGTTCCGCTTT

Slc41a3_R	GCAGGATAGGTATGGCGACC
Ncf2_F	GCTGCGTGAACACTATCCTGG
Ncf2_R	AGGTCGTA CTCTCCATTCTGTA
TNFR1_F	ACCAAGTGCCACAAAGGAAC
TNFR1_r	CACGCACTGGAAGTGTGTC
PKR_F	ACGCCAGGTTTAACAGCGAT
PKR_R	TTCTGCCAGCGCTTGTACTT
CHOP_F	GCGACAGAGCCAGAATAACA
CHOP_R	GATGCACTTCCTTCTGGAACA
GRP78_F	CTGAGGCGTATTTGGGAAAG
GRP78_R	TCATGACATTCAGTCCAGCAA
s-XBP-1_F	TGACGAGGTTCCAGAGGTG
s-XBP-1_R	TGCACCTGCTGCGGACTCAG
u-XBP-1_F	GCAGCACTCAGACTATGT
u-XBP-1_R	GGTCCA ACTTGTCCAGAATGCC
ERO-1_F	GCGTCCAGATTTTCAGCTCT
ERO-1_R	TCGAAGTGCAAAGGAAATGA
CyclinD1_F	GGGCACCTGGATTGTTCT
CyclinD1_R	CACCGGAGACTCAGAGCA
Sox9_F	AGTACCCGCATCTGCACAAC
Sox9_R	ACGAAGGGTCTCTTCTCGCT
HNF1 $\beta$ _F	CAAGCTCCTCTCCACCCAAC
HNF1 $\beta$ _R	GTGATCTGCATTTTACTGTCAGG
ALDH2_F	AGGGAGCTGGGCGAGTATG
ALDH2_R	TGTGTGGCGGTTTTTCTCAGT
AFP_F	TTTAAACGCCCAAAGCATCAC
AFP_R	GCCTGAACTGACAGAGGAGGA
Aktip_F	AACCC TTTGTGGAGCATGTCT
Aktip_R	CGCTATGGGTAGAGCATTTTTGG

APC_F	CTTGTGGCCCAGTTAAAATCTGA
APC_R	CGCTTTTGAGGGTTGATTCTT
bcl2_F	ATGCCTTTGTGGAAGTATATGGC
bcl2_R	GGTATGCACCCAGAGTGATGC
bcl3_F	CCGGAGGCCCTTTACTACCA
bcl3_R	GGAGTAGGGGTGAGTAGGCAG
bcl6_F	CCGGCACGCTAGTGATGTT
bcl6_R	TGTCTTATGGGCTCTAAACTGCT
catenin 1b_F	ATGGAGCCGGACAGAAAAGC
catenin 1b_R	CTTGCCACTCAGGGAAGGA
c-myc_F	TAGAAGGCACAGTCGAGG
c-myc_R	CACCGCCTACATCCTGTCCATTCAAGC
ets1_F	ACAGACTACTTTCGGATCAAGCA
ets1_R	ACGCTCTCAAAGAGTCCTGG
Fgf10_F	TTTGGTGTCTTCGTTCCCTGT
Fgf10_R	TAGCTCCGCACATGCCTTC
Fgfr_F	GCAGAGCATCAACTGGCTG
Fgfr_R	GGTCACGCAAGCGTAGAGG
Fgfr4_F	TTGGCCCTGTTGAGCATCTTT
Fgfr4_R	GCCCTCTTTGTACCAGTGACG
Fgr_F	CGGCTGAAGAACGCTATTACC
Fgr_R	GGGCGACGAATATGGTCACTC
fos_F	CGGGTTTCAACGCCGACTA
fos_R	TTGGCACTAGAGACGGACAGA
Fyn_F	GAAACCACCAAAGGTGCCTA
Fyn_R	AACTACTAGGCGGCAGCAGA
Hras_F	ATGTGACCCAGCGGCCCTCA
Hras_R	CCGGGACGGGCACAAAGGAC
Ikbbk_F	ACAGCCAGGAGATGGTACG

lkbkb_R	CGGACTTTGCTACAGGCGAT
Insr_F	ATGGGCTTCGGGAGAGGAT
Insr_R	GGATGTCCATACCAGGGCAC
Jun_F	CCTTCTACGACGATGCCCTC
Jun_R	GGTTCAAGGTCATGCTCTGTTT
JunB_F	TCACGACGACTCTTACGCAG
JunB_R	CCTTGAGACCCCGATAGGGA
Jund_F	GAAACGCCCTTCTATGGCGA
Jund_R	CAGCGCGTCTTTCTTCAGC
kras_F	CAAGAGCGCCTTGACGATACA
kras_R	CCAAGAGACAGGTTTCTCCATC
Lyn_F	GTGACATTGTGGTGGCCTTAT
Lyn_R	ACCATTCCCCATGCTCTTCTA
MAPK_F	TTCAATCATGCAAGATTTGGCTG
MAPK_R	AGTGTAGATCCATAGACTGCCC
mdm2_F	ATCTGCCAGGGGCGGCCTAA
mdm2_R	GCACACTGGGCAGGGCTTGT
myb_F	AGACCCCGACACAGCATCTA
myb_R	CAGCAGCCCATCGTAGTCAT
Mycl1_F	TTCTACGACTATGACTGCGGA
Mycl1_R	TGATGGAAGCATAATTCCTGCC
mycn_F	ACCATGCCGGGGATGATCT
mycn_R	ATCTCCGTAGCCCAATTGAG
Net1_F	CGGCGAACGAGAGATGCTC
Net1_R	CTCCTTCAAATCAAGGCTGCTA
nras_F	ACTGAGTACAAACTGGTGGTGG
nras_R	TCGGTAAGAATCCTCTATGGTGG
Ntrk1_F	GCACCGTCTCTGCGCTGGTT
Ntrk1_R	GGCTGATTGAGGCGCAGGCA

Ntrk2_F	CTGGGGCTTATGCCTGCTG
Ntrk2_R	AGGCTCAGTACACCAAATCCTA
p53_F	GCTCACTCCAGCCTCCAGCC
p53_R	GGCAGCAGAAGGGACCGGGA
Rab20_F	GGGAGCAGTTTCATGGTCTGG
Rab20_R	GCAGTCATTGTTGGCTGTTTC
Rab24_F	GTGGACGTTAAGGTGGTTATGC
Rab24_R	CCCGATGGTGTTCATAGAGG
Rab2a_F	GCGACACAGGTGTTGGTAAAT
Rab2a_R	CATCAATCGTTATCATCCGAGCA
Rab3a_F	GTGGGCAAAACCTCGTTCCT
Rab3a_R	TCCTCTTGTCGTTGCGGTAGA
Rab3b_F	CCTCCTTCCTTTTCCGCTATG
Rab3b_R	TCACACGCTTCTCATGGCG
raf1_F	TGGACTIONAAGATGCGGTGTT
raf1_R	AAAACCCGGATAGTATTGCTTGT
Rala_F	ATGGCTGCAAACAAGCCCA
Rala_R	TCCTCTACAACTCGTCGTACAT
rsu1_F	CCAGCTTGGCGTCTCCCACG
rsu1_R	GGCTGCTAGGGGTTTCCGGC
Ski_F	CAAACAGACGACACTTCCTCA
Ski_R	CAGCCGAGGCTCTTATTGGAG
src_F	GAACCCGAGAGGGACCTTC
src_R	GAGGCAGTAGGCACCTTTTGT
Thrb_F	CCATTCTGATGTGTGCAAGG
Thrb_R	AAGGCCATGGAAAGGAAAGT
Tfdp1_F	TTGAAGCCAACGGAGAACTAAAG
Tfdp1_R	TGGACTIONGTCGAAGGTTTTTG
Tom1_F	CAACGGATCGAGAAAGCTACAG

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Tom1_R	CATGTTTCCAAGACCGTAAGGG
tsc2_F	GAGCTGATTA ACTCGGTGGTC
tsc2_R	GGCCAGGTCCCTTTCTTCC
Usp4_F	CCTGGGGCCTGCAATGGCTC
Usp4_R	GCCTCTTGTGCCTCGGCTGG
Vav3_F	CGCCCGCTCCAGAAAGGCAA
Vav3_R	TGAGCGCACTGCTTCCACGG

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Primers for human genes

Gene symbol	Sequence
CD133_F	AGTCGGAAACTGGCAGATAGC
CD133_R	GGTAGTGTTGTA CTGGGCCAAT
CK19_F	CAGCCACTACTACACGACCA(20)
CK19_R	GCATTGTGCGATCTGCAGGACA(21)
HNF1b_F	AAGGGCACCCCTATGAAGAC
HNF1b_R	AGCTGATCCTGACTGCTTTTGT
HNF4a_F	CGAAGGTCAAGCTATGAGGACA
HNF4a_R	ATCTGCGATGCTGGCAATCT
EpCAM_F	TGATCCTGACTGCGATGAGAG
EpCAM_R	CTTGTCTGTTCTTCTGACCCC

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## 2.2 Methods

### 2.2.1 Animal housing conditions

Mice were maintained under specific pathogen-free conditions, and experiments were conducted in accordance with the guidelines of the Germany Animal Protection Law and were approved by government of Bavaria (licenses 55.2-1-54-2532-134-14). C57BL/6J mice were purchased from Harlan or obtained from own breedings. R26R-Confetti mice were purchased from Jackson. Tnfr1KO mice (??) and Alb-Cre mice (Haybaeck et al., 2009) were bred in house. We analyzed between 3 and 6 mice per condition in each

experiment. All procedures and protocols were approved by the MGH Subcommittee on Research Animal Care in accordance with NIH guidelines. Injury models...?

### 2.2.2 Histology and immunohistochemistry

Liver tissue samples were fixed overnight in 4% paraformaldehyde, embedded in paraffin. Paraffin sections were subjected to hematoxylin-eosin or immunohistochemistry staining as previously described (Wolf et al., 2014). Briefly, paraffin sections (2 µm) and frozen sections (5 µm) of livers were used for automated staining performed in the ?? system (bornmax) using the DAB detection kit (bornmax). The following primary antibodies were used: mouse anti-8-OHdG (1:1000 dilution, Abcam); goat anti-AFP (1:1000 dilution, R&D); rabbit anti-CHOP (1:1000 dilution, Cell Signaling); rabbit anti-c-Jun (1:1000 dilution, Cell Signaling); rabbit anti-cleaved Caspase-3 (1:1000 dilution, Cell Signaling); rabbit anti-Collagen Type IV (1:1000 dilution, Cedarlane); rabbit anti- Cytokeratin (1:1000 dilution, Dako); rat anti-F4/80 (1:1000 dilution, BioLegend); rabbit anti- Glutamine Synthetase (1:1000 dilution, Abcam); goat anti-HSP60 (1:4000 dilution, Santa Cruz); rabbit anti-Ki67 (1:200 dilution, NeoMarkers); rat anti-Ly6G (1:1000 dilution, BD); rabbit anti-RelA (1:200 dilution NeoMarkers); rabbit anti-TNFα (1:1000 dilution, Abcam); rabbit anti-c-Myc (1:1000 dilution, Abcam); rat anti-CK19 (1:100 dilution, Developmental Studies Hybridoma Bank). Paraffin-embedded tissue sections were used for staining with 0.1% Sirius red dissolved in saturated picric acid. Oval cell proliferation was detected by A6 staining (1:50), kindly provided by Dr. Valentina Factor. TUNEL assays were performed using the Fluorescein cell death detection kit (Clontech).

### 2.2.3 RNA isolation from liver tissue

Total RNA from mouse liver samples was isolated using RNeasy Mini kit (Qiagen). The quantity and quality of the RNA was determined spectroscopically using a nanodrop (Thermo Scientific). Purified RNA was reversely transcribed into cDNA using Quantitect Reverse Transcription Kit (Qiagen) according to the manufacturer's instructions.

### 2.2.4 Real-time PCR

For mRNA expression analysis quantitative real-time PCR was performed in triplicates in 384-well plates using Fast Start SYBR Green Master Rox (Roche) on a 7900 HT qRT-PCR system (Applied Biosystems, Life Technologies Darmstadt, Germany). Relative mRNA levels were calculated according to the  $\Delta\Delta C_t$  relative quantification method and were normalized to at least two house-keeping genes (HPRT; RHOT2; GAPDH) levels.

### 2.2.5 Immunofluorescence microscopy

Deparaffinized and rehydrated slides were subjected to microwave antigen retrieval in 25mM citrate buffer (pH 6.0) and allowed to cool to room temperature. Slides were blocked in TBS containing 0.1% Triton X-100 and 2% serum from the species that the secondary antibody was raised in at room temperature for two hours. After blocking, primary antibodies diluted in TBS with 0.1% Triton X-100 and 2% blocking serum were added overnight at 4°C. After extensive washing, slides were incubated with the secondary antibody in TBS with 0.1% Triton X-100 and 2% blocking serum for 2 hours at room temperature in dark and counterstaining with DAPI. Slides were mounted with Fluoromount-G (SouthernBiotech, Birmingham, Alabama, USA) and images were captured with Olympus FV10i confocal Microscope (Olympus, Germany).

Cells grown on 4-well-glass slide (Lab-Tek II, Fisher Scientific - Germany, Schwerte, Germany) were fixed with 4% paraformaldehyde (PFA) in PBS pH 7.4 for 10 min at room temperature and permeabilized with 0.5% Triton X-100. The staining procedure is as described above for paraffin sections.

### 2.2.6 RNA in-situ hybridization

Probes for TNFR1, the housekeeping gene ubiquitin C (positive control), the bacterial gene *dapB* (negative control), and the hybridization kit (RNAscope 2.0 FFPE Assay) were purchased from Advanced Cell Diagnostics. RNA in-situ hybridization was performed using the RNAscope 2.0 technology according to the manufacturer instructions. Briefly, 2  $\mu$ m paraffin sections were pretreated with heat at 75°C in EZprep buffer for 20 minutes and protease at 37°C for 30 minutes. TNFR1 specific probe pairs (Advanced Cell Diagnostics) were then hybridized at 48°C for 2 hours, followed by a

series of signal amplification and washing steps. Hybridization signals were detected by DAB staining, followed by counterstaining with hematoxylin. Stained slides were scanned into digital images using a Leica SCN400 scanner (Leica) at  $\times 40$  magnification.

### 2.2.7 Measurement of serum parameters

The analysis for AST, ALT, AP, bilirubin, cholesterol, triglycerides and bile acid was performed with mouse serum on a Roche Modular System (Roche Diagnostics) with a commercially available automated colorimetric system at the Klinikum Isar (?) using a Hitachi P-Modul (Roche).

### 2.2.8 ELISA

TNF $\alpha$  protein levels from liver homogenates were measured using a Quantikine-Elisa-Kit from R&D Systems (Oxon, UK). The procedures closely followed the manufacturer's instructions. The detection limit was 16 pg/ml. The homogenization buffer was tested as a negative control.

### 2.2.9 Immunoblot analysis

Liver homogenates were prepared by mechanical grinding in RIPA buffer (50 mM Tris; 1% NP40; 0.25% Deoxycholic acid sodium salt; 150 mM NaCl; 1 mM EGTA) containing Halt Protease and Phosphatase Inhibitor Cocktail (Thermo Scientific), and quantified with a BCA protein assay kit (Thermo Scientific) according to the manufacturer's manual. 50  $\mu$ g protein were denatured in Laemmli buffer containing 5%  $\beta$ -mercaptoethanol and separated by gel electrophoresis and blotted by wet blotting onto nitrocellulose membranes (Bio Rad). Membranes were blocked in 5% milk/TBS-T for at least 1 hr at RT. Primary antibodies against HSP60 (Santa Cruz), gp73 (Santa Cruz), cJun (Abcam), p-JNK, JNK, p-Erk1/2, Erk1/2, CHOP, p-p38MAPK, p38MAPK, p-Akt, Akt, GAPDH and PCNA (all Cell Signaling) were incubated at 4°C overnight under shaking conditions. Incubation with the secondary antibody (HRP-anti rabbit IgG, 1:10000, HRP-anti mouse IgG, 1:10000, Jackson; HRP-anti Goat IgG, 1:10000, Dako) was performed under shaking conditions for 1 hr. Detection was achieved with Clarity Western ECL Substrate (Bio Rad) using Stella 3200 imaging system (Bio Rad). To assure equal loading,

membranes reprobbed with anti-GAPDH antibody (Cell Signaling) and detected as described above.

### 2.2.10 Counting proliferating hepatocytes

For quantification of Ki67 staining, slides were scanned using a SCN400 slide scanner (Leica). The total number of Ki67+ hepatocytes was counted at the screen (20x). For each mouse 5 fields were counted.

### 2.2.11 Isolation, culture and differentiation of hepatoblasts

Isolation of fetal livers (C57BL/6J) at gestation stage E14.5 was done by a modified MACS based immune-isolation protocol [253]. Briefly, fetal livers were dissected, freed of adherent tissue, shredded and subsequently incubated with dispase II (1.6 U/ml) (Sigma) for 1 hour at 37°C. Liver specimen were further dispersed by pipetting and filtered three times through a 100 µm Nylon cell strainer (Falcon). The cell suspension was further centrifuges for 10 min at 800 rpm, washed twice using Hepes-buffered (20 mM) (Sigma) DMEM (Dulbecco's Modified Eagle Medium; Gibco) with 0.01% DNase (Roche), and subsequently re-suspended in Hepes-buffered (20mM) (Sigma) DMEM (Dulbecco's Modified Eagle Medium; Gibco) supplemented with 10% FBS (Biochrom) and 1x PenStrep (Life Technologies). Purification of E-Cadherin positive hepatoblasts were performed as described previously [30, 254] using the rat anti-mouse E-Cadherin antibody (clone Decma-1, Merck Millipore). Briefly, anti-rat IgG microbeads were incubated with Decma-1 antibody for 45 min at room temperature on a Intelli-mixer (LTF Labortechnik) and the complexes of primary and secondary antibody were subsequently washed twice times with DMEM (Dulbecco's Modified Eagle Medium; Gibco) supplemented with 10% FBS (Biochrom) and 1x PenStrep (Life Technologies) using a table centrifuge for 10min at 13 rpm. Following the incubation of the cell suspension with the complexed microbeads, the cells were purified using the MACS columns (MS, Milteny Biotec). Cells were washed and afterwards eluded in Hepes-buffered (20mM) (Sigma) DMEM (Dulbecco's Modified Eagle Medium; Gibco).

Isolated hepatocytes were plated onto rat tail type I collagen (Sigma) coated plates. Cells were kept in an undifferentiated state in basal medium composed of Williams' Medium E supplemented with 10% FCS, 10mM nicotinamide, 20 ng/ml mouse EGF

(Roche Diagnostics), and 40 IU / ml insulin (Sigma Chemical Company). After 3 days, 0.5% DMSO or 10 ng/ml of recombinant murine TNF $\alpha$  was added into the basal medium to induce differentiation. For JNK inhibition in presence of TNF $\alpha$ , 10  $\mu$ M of SP600125 (abcam) was added. Cells were kept in basal medium or differentiation medium for another 7 days until harvest, changing the culture medium daily.

### 2.2.12 Statistical Analysis

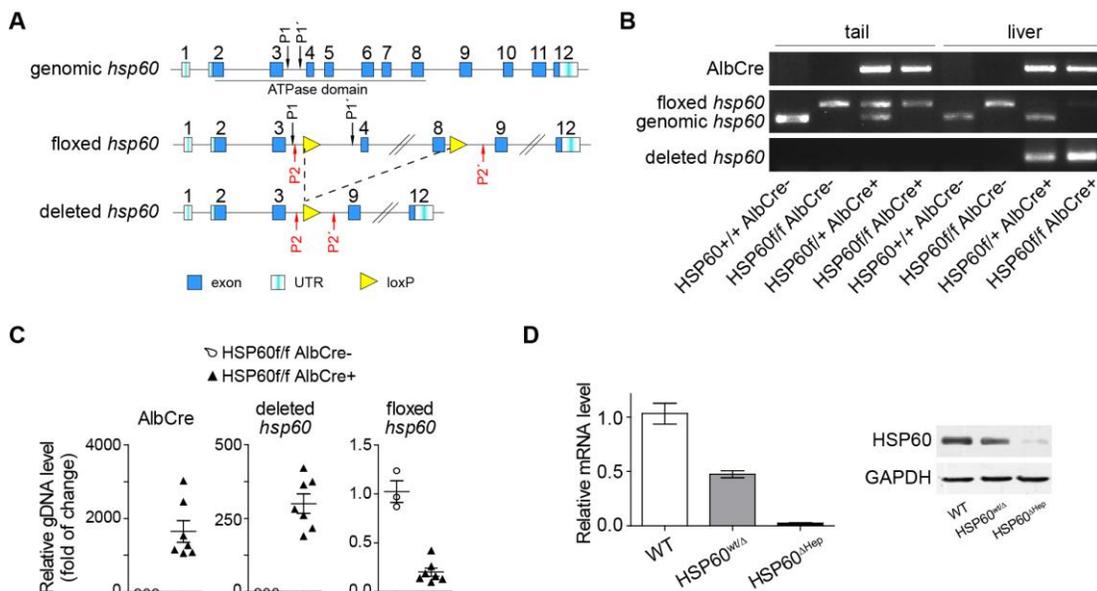
Statistical analyses were performed with Prism software (Graphpad Prism version 5.0a). The standard error of the mean was calculated from the average of at least 3 independent samples per condition. To evaluate statistical significance, data was subjected to Student's t-test (unpaired, two-tailed test). Human specimens were compared using the chi-square test. A p-value of less than 0.05 was considered significant.

### 3. RESULTS

#### 3.1 Mitochondrial defects in hepatocytes cause cholangiolar hyperproliferation via Kupffer cell-mediated paracrine TNF $\alpha$ signaling

##### 3.1.1 HSP60 deficiency leads to acute liver damage, hepatic proliferation and cholangiolar hyperplasia

To study the role of mitochondria defect in the liver, we generated mice carrying hepatocyte-specific deletion of *HSP60* (designated HSP60 <sup>$\Delta$ Hep</sup>) by crossing Alb-Cre transgenic mice [255] with mice bearing *HSP60* with floxed exon 4 to 8 (Figure 5A). Polymerase chain reaction (PCR) analysis of livers and tails from newborn HSP60 <sup>$\Delta$ Hep</sup> mice confirmed that excision of *HSP60* exons was complete and specific (Figure 5B, C). Quantitative PCR (qPCR) and Western blot analysis revealed loss of *HSP60* transcript and protein in 6-week old livers (Figure 5D).



**Figure 5. Efficient deletion of HSP60 in hepatocytes of HSP60 <sup>$\Delta$ Hep</sup> mice.**

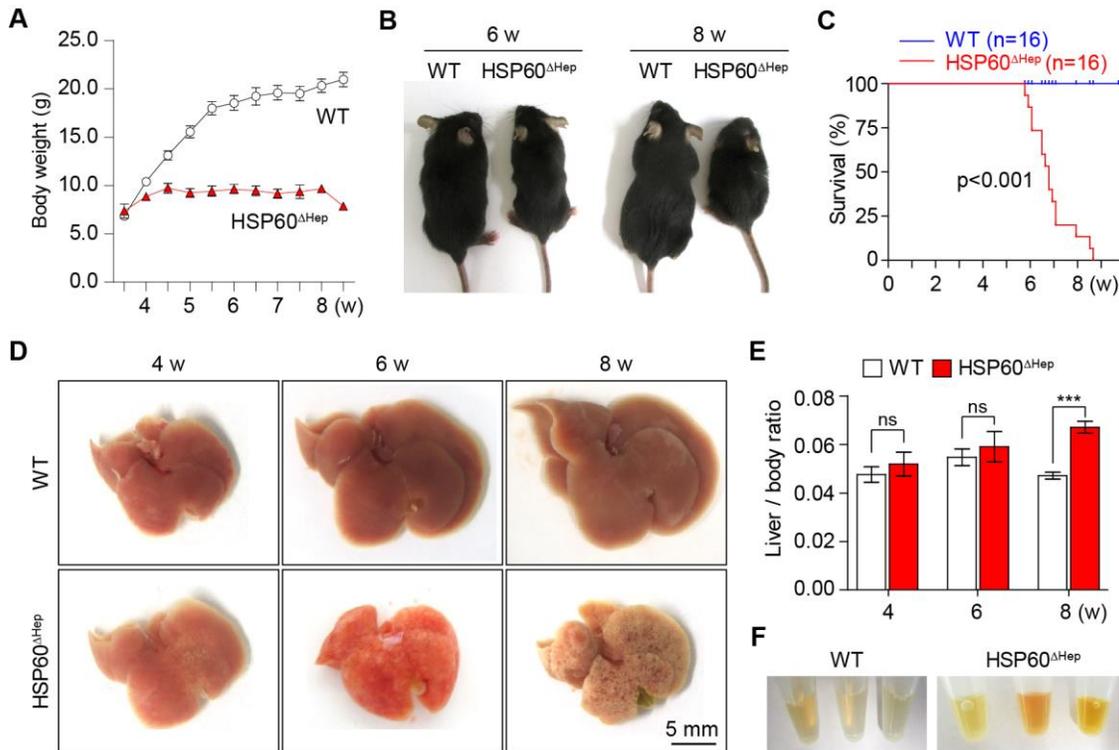
(A) Schematic representation of genomic *hsp60* (top), floxed *hsp60* and deleted *hsp60* (bottom) alleles. Exons are represented by blue boxes. The primer pairs detecting the floxed alleles (P1 and P1') and the deleted alleles (P2 and P2') are shown.

(B) Genotypes were determined by PCR using tail genomic DNA and liver genomic DNA from the mice as indicated.

## Results

(C) Relative DNA levels of *cre*, floxed *hsp60* alleles and deleted *hsp60* alleles were determined by qPCR with liver genomic DNA from HSP60<sup>f/f</sup> AlbCre<sup>-</sup> mice and HSP60<sup>f/f</sup> AlbCre<sup>+</sup> mice.

(D) qPCR and Western blot of whole liver lysates from 6-week-old HSP60<sup>ΔHep</sup> mice, HSP60<sup>ΔHep/+</sup> mice and control littermates (WT).



**Figure 6. Chronic liver damage and cholestasis in HSP60<sup>ΔHep</sup> mice.**

(A) Body weights of HSP60<sup>ΔHep</sup> mice and control littermates were measured.

(B) Representative photograph of 6- and 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(C) Kaplan-Meier survival curve of HSP60<sup>ΔHep</sup> mice and WT mice.

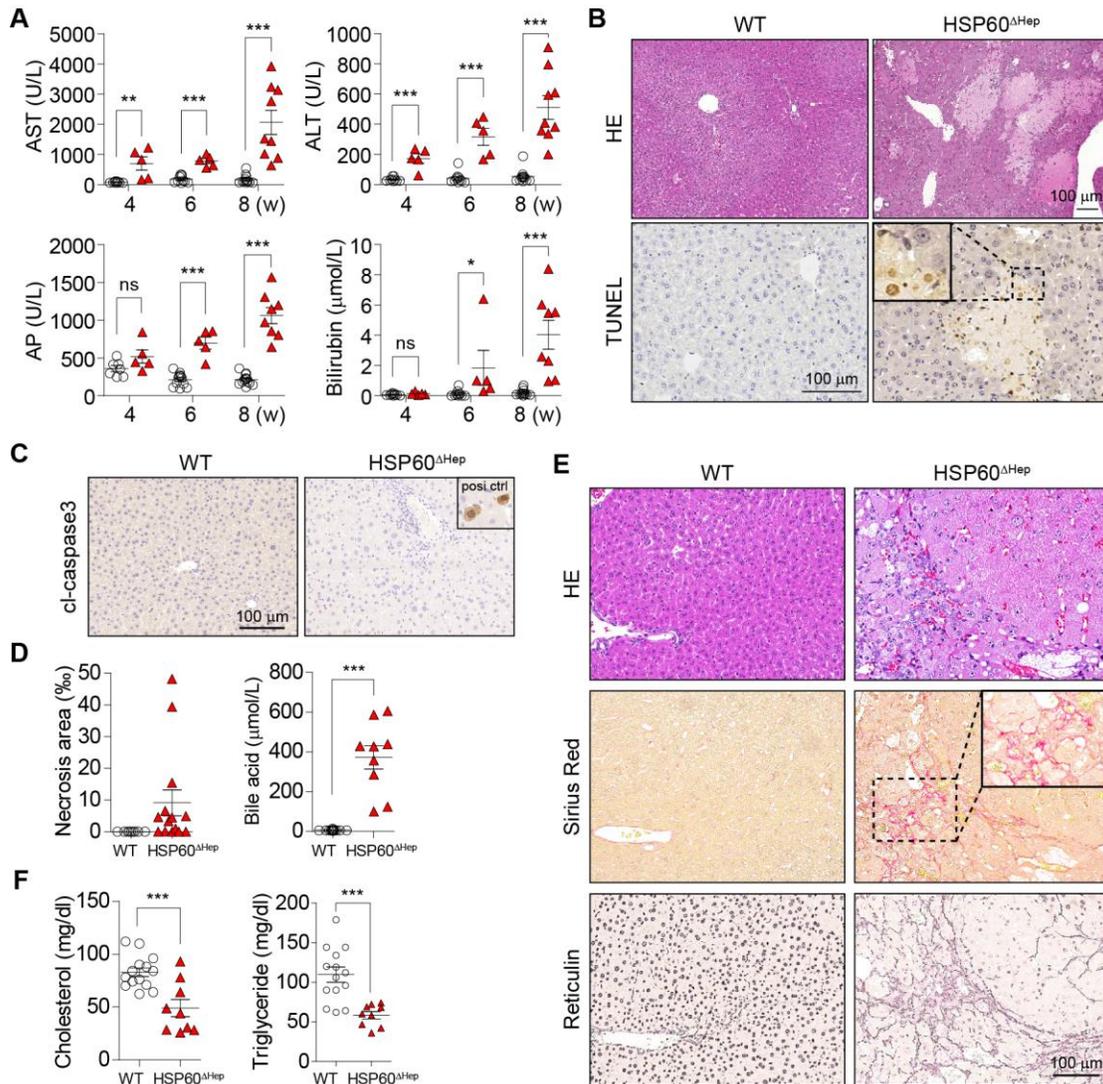
(D) Macroscopic appearance of livers from postnatal 4-week-old (4 w), 6-week-old (6 w) and 8-week-old (8 w) HSP60<sup>ΔHep</sup> mice and control littermates (WT).

(E) Liver weight to body weight ratios of 4-, 6- and 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(F) Representative images of serum from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

At later stages of postnatal development (6 to 8 w), the overall behavior of HSP60<sup>ΔHep</sup> mice changed tremendously, as indicated by weakness and loss of body weight from the age of 6 weeks (Figure 6A, B). 8-week old HSP60<sup>ΔHep</sup> mice had to be euthanized due to the severe body weight loss (Figure 6C). We then characterize in more detail why animals die on HSP60 disruption. On dissection, signs of focal macroscopic liver

necrosis were evident as early as at postnatal week 4 (4 w) in HSP60<sup>ΔHep</sup> mice (Figure 6D). At 8 weeks of age, pale livers were evident, with significantly higher liver to body ratio and yellow colored serum indicative of cholestasis (Figure 6D~F).



**Figure 7. Hepatocyte cell death, fibrosis and disruption of liver functions in HSP60<sup>ΔHep</sup> mice.**

(A) Serum levels of AST, ALT, AP and Bilirubin in 4-, 6- and 8-week-old HSP60<sup>ΔHep</sup> mice and control littermates.

(B) Hematoxylin-eosin and TUNEL staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

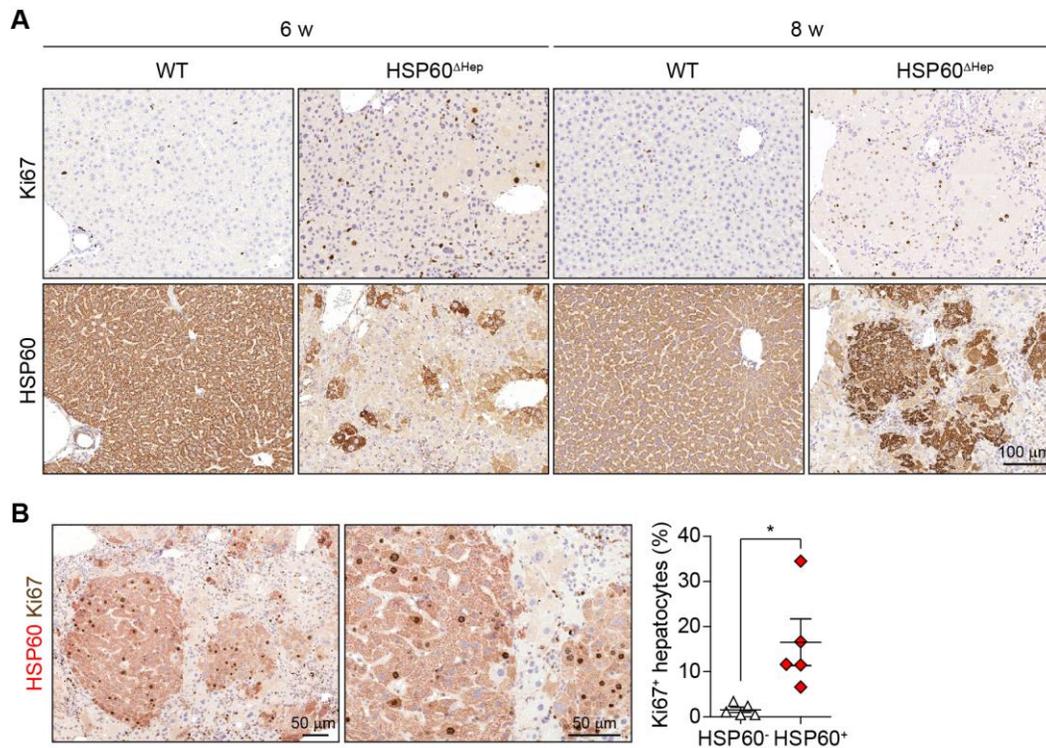
(C) Cleaved-caspase3 (cl-caspase3) staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(D) Left: Quantification of necrotic areas in HSP60<sup>ΔHep</sup> mice and WT littermates. Right: Serum levels of cholesterol in HSP60<sup>ΔHep</sup> mice and WT littermates.

(E) Sirius red and reticulin staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(F) Serum levels of cholesterol and triglyceride in 8-week-old HSP60<sup>ΔHep</sup> mice and control littermates.

Analysis of biochemical parameters showed progressive increase of aspartate and alanine aminotransferase (AST and ALT), alkaline phosphatase (AP) and bilirubin levels in the serum (Figure 7A), indicating hepatocyte cell death and obstruction of the biliary ducts in livers of HSP60<sup>ΔHep</sup> mice. Hematoxylin eosin (HE) staining and terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick end labelling (TUNEL) revealed widespread hepatocyte cell death (Figure 7B). Immunohistochemistry staining against cleaved caspase-3 showed no obvious staining, indicating that the HSP60 defective hepatocytes mainly underwent necrosis but not apoptosis (Figure 7C, D). In addition, fibrillary collagen deposition was detected by Sirius red staining and reticulin silver staining at week 8 postnatal, highly resembling fibrotic livers (Figure 7E). Hepatocyte death and bile duct defect correlated with the disruption of liver functions, as indicated by reduced levels of cholesterol and triglyceride, and elevated bile acid level (Figure 7D, F).

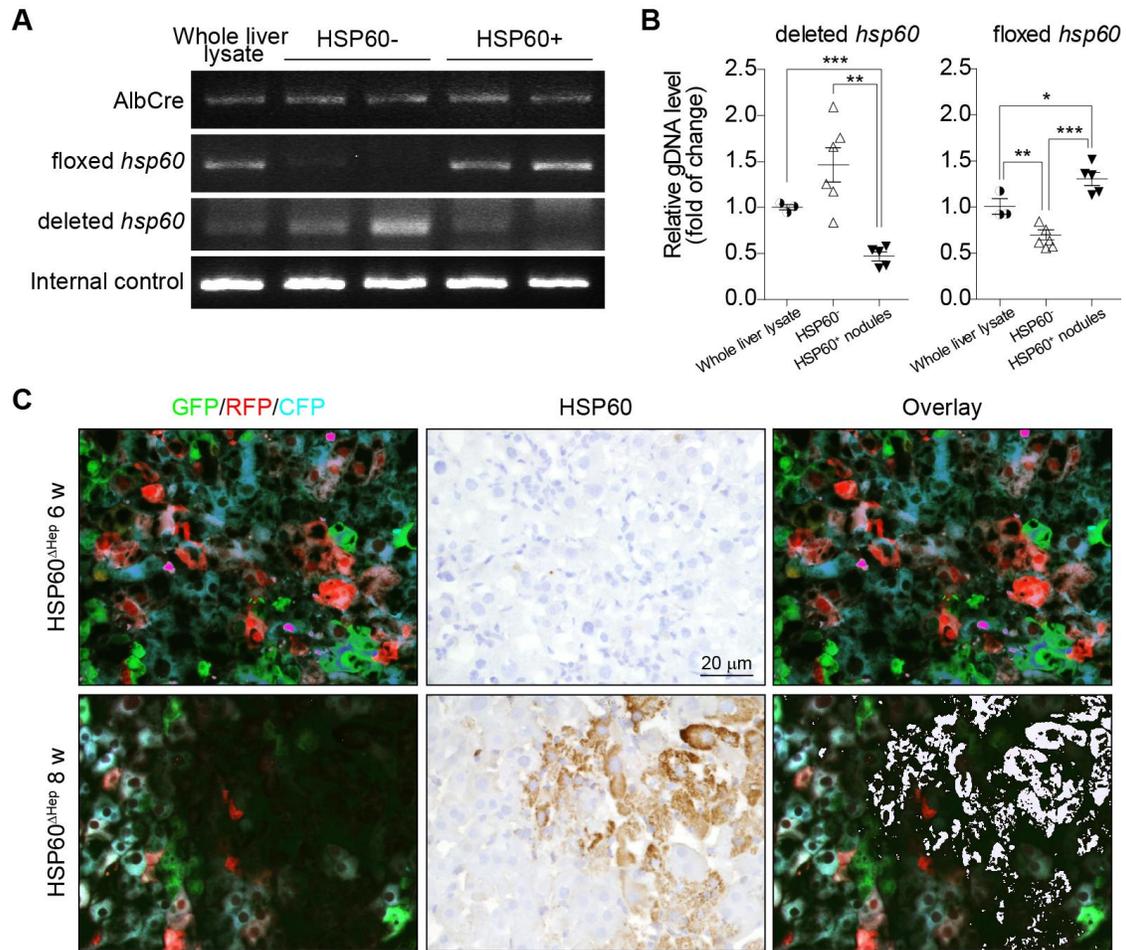


**Figure 8. Focal areas of liver regeneration in HSP60<sup>ΔHep</sup> mice.**

(A) Representative immunohistochemistry staining of consecutive liver sections from 6- and 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates with Ki67 and HSP60 antibodies.

(B) Double staining of HSP60 (red) and Ki67 (brown) of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates. Quantification of Ki67<sup>+</sup> hepatocytes is shown in the right panel.

Notably, focal areas of liver regeneration were observed (Figure 8A). Immunohistochemistry demonstrated that the Ki67<sup>+</sup>, proliferating hepatocytes, which further formed regenerative nodules in livers of 8-week-old HSP60<sup>ΔHep</sup> mice (Figure 8B), were positive for HSP60 protein expression, while the other HSP60 negative hepatocytes were seldomly positive for Ki67 (Figure 8C).

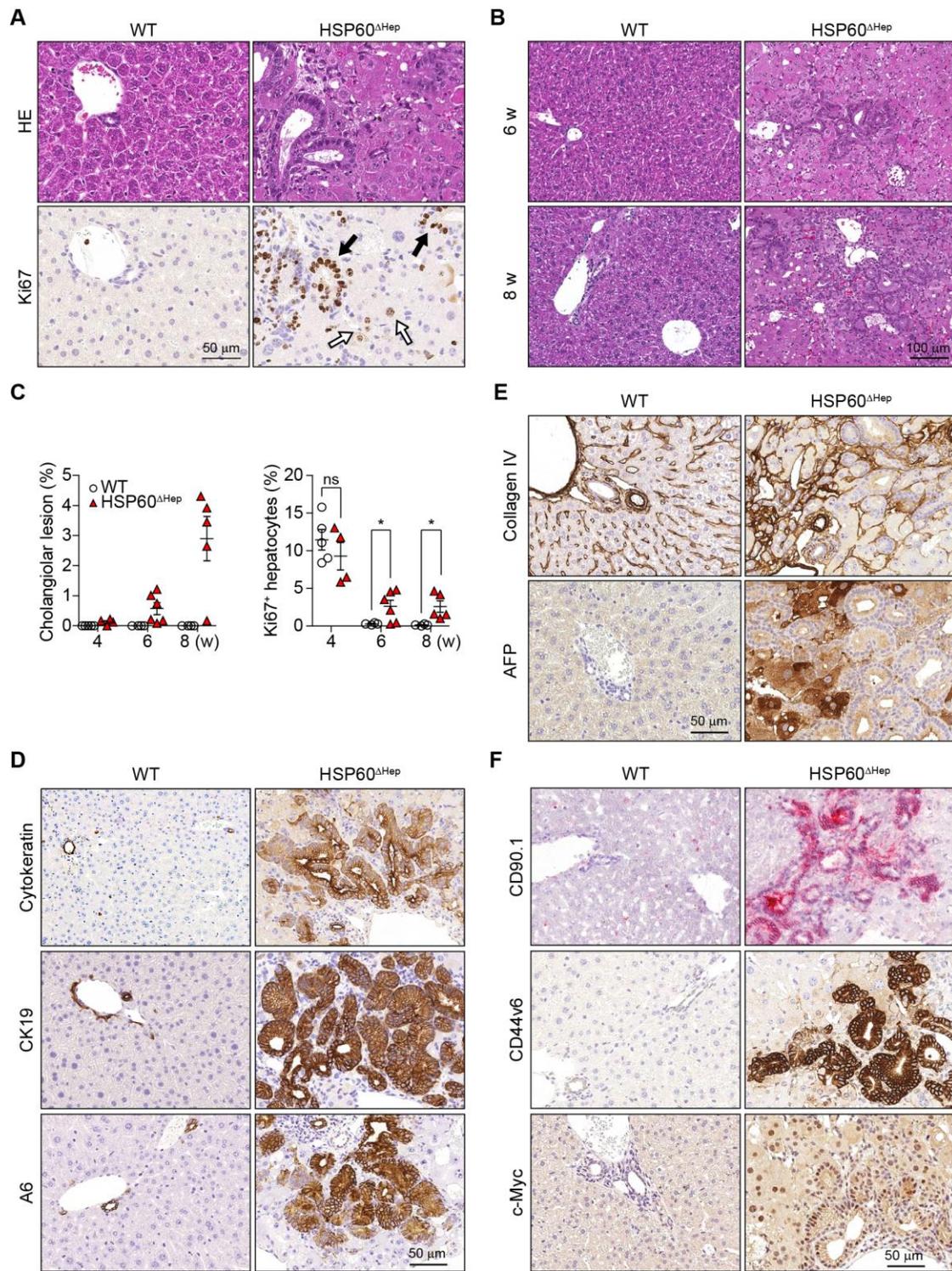


**Figure 9. Lack of Cre-mediated recombination event in HSP60<sup>+</sup> hepatocytes.**

(A) Genotypes were determined by PCR using genomic DNA from HSP60<sup>+</sup> areas and HSP60<sup>-</sup> areas of the 8-week-old HSP60<sup>ΔHep</sup> livers.

(B) Relative DNA levels of floxed *hsp60* alleles and deleted *hsp60* alleles were determined by qPCR with genomic DNA indicated as in (K).

(C) Representative microscopy of liver sections from 6- and 8-week-old HSP60<sup>ΔHep</sup>-confetti mice. Unlabelled hepatocytes in 8-week-old HSP60<sup>ΔHep</sup>-confetti liver stained positive with HSP60.



**Figure 10. Cholangiolar hyperproliferation in HSP60<sup>ΔHep</sup> mice.**

(A) Hematoxylin-eosin and corresponding Ki67 staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates. Ki67<sup>+</sup> cholangiocytes are indicated with black arrows, while Ki67<sup>+</sup> hepatocytes are indicated with white arrows.

(B) Hematoxylin-eosin staining of liver sections from 6- and 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(C) Quantification of cholangiolar overgrowth areas and Ki67<sup>+</sup> hepatocytes over time in HSP60<sup>ΔHep</sup> mice and WT littermates.

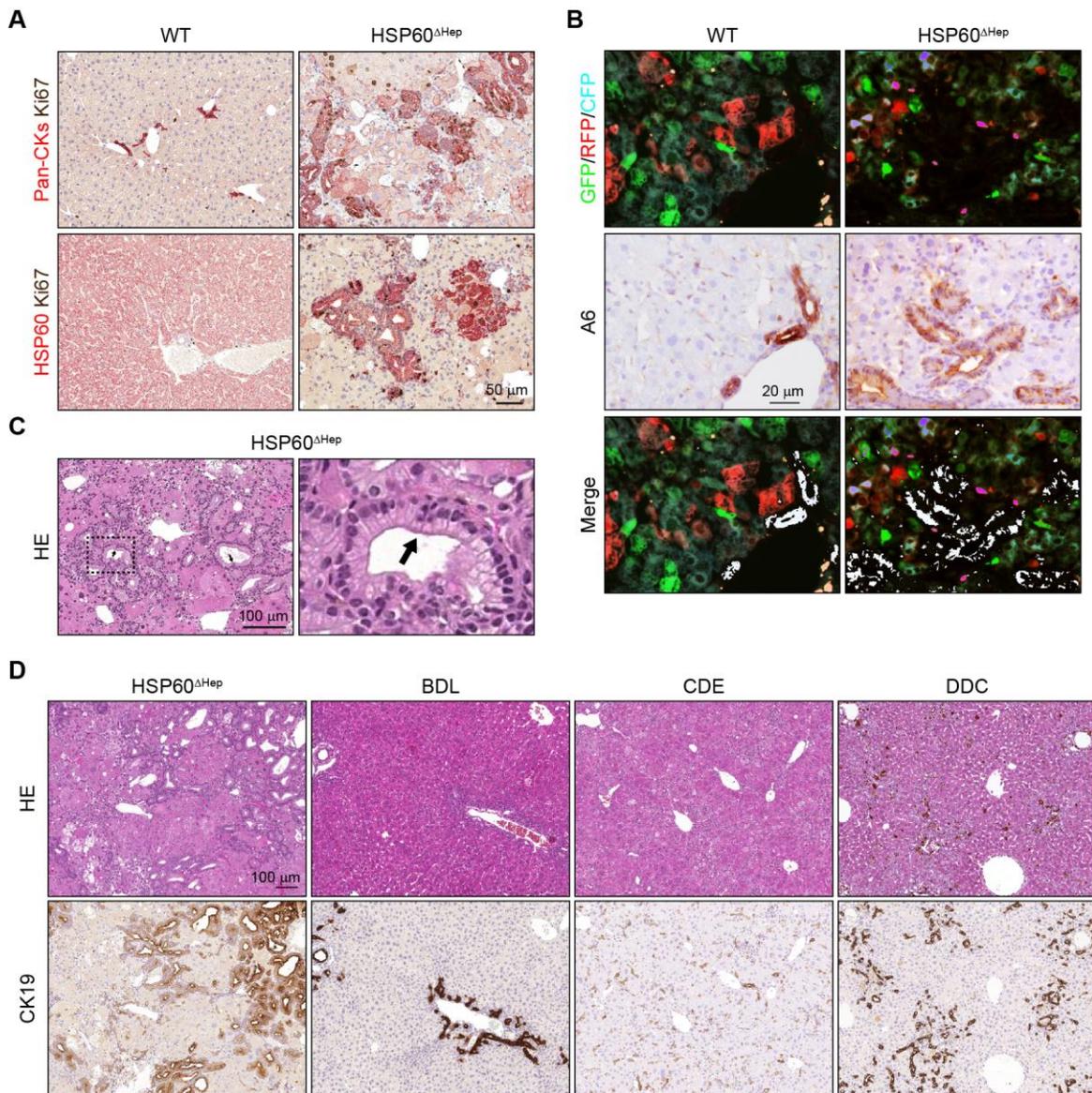
(D) Immunohistochemistry analysis of biliary lineage markers cytokeratin, CK19 and A6 in liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

(E) Collagen IV and AFP stainings of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.

(F) Immunohistochemistry analysis of cancer initiating cell markers CD90.1, CD44, and oncogene c-Myc in liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

We dissected tissues containing regenerative nodules as well as the surrounding HSP60 negative hepatocytes. Genotyping by PCR analysis and qPCR analysis revealed that the *HSP60* alleles were almost intact in the regenerative hepatocytes, while the excision of *HSP60* exons was complete in HSP60 negative regions (Figure 9A, B). To further determine that the Ki67 positive hepatocytes originated from Cre- negative escaper cells, we crossed the HSP60<sup>ΔHep</sup> mice with Confetti mice, a stochastic multicolor Cre recombinase reporter of multiple fluorescent proteins [256]. The HSP60<sup>-</sup> hepatocytes were labelled with multi-colored fluorescent proteins, whereas the HSP60<sup>+</sup> hepatocytes in 8-week-old HSP60<sup>ΔHep</sup> mice were unlabeled, further supporting the lack of Cre-mediated recombination event in these cells (Figure S1M). The re-emergence of HSP60 positive hepatocytes was in line with the previous reports that Cre- recombinase mediated excision was inefficient in LPC-derived regenerative livers resulting in the above described phenotype [257-259].

In parallel with the Ki67<sup>+</sup>, proliferating hepatocytes, marked expansion of cholangiolar cells was observed, with strands or trabeculae of cells with scant cytoplasm and hyperchromatic nuclei by 7~8 weeks of age (Figure 10A~C). The glandular areas showed immune-reactivity for A6 and CK19, and were negative for α-fetoprotein (AFP), reflecting biliary differentiation of these cells (Figure 10D, E). Furthermore, these glandular areas co-stained for the validated cancer progenitor markers CD44 and CD90.1, and oncogene c-Myc, and had a collagen IV (Col-IV) positive stromal reaction like the human intrahepatic cholangiocarcinoma (Figure 10E, F).



**Figure 11. HSP60<sup>+</sup> Cholangiocytes originate from LPCs in HSP60<sup>ΔHep</sup> mice.**

(A) Upper: Double staining of pan-cytokeratin (red) and Ki67 (brown) of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates; Lower: Double staining of HSP60 (red) and Ki67 (brown) of liver sections from mice as indicated above.

(B) Fluorescence microscopy and A6 staining of liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> - confetti mice. Unlabelled cholangiocytes stained positive with A6 antibody.

(C) Representative hematoxylin-eosin staining of liver sections from HSP60<sup>ΔHep</sup> mice. Black arrow indicates cholangiocytes with nuclei mis-location.

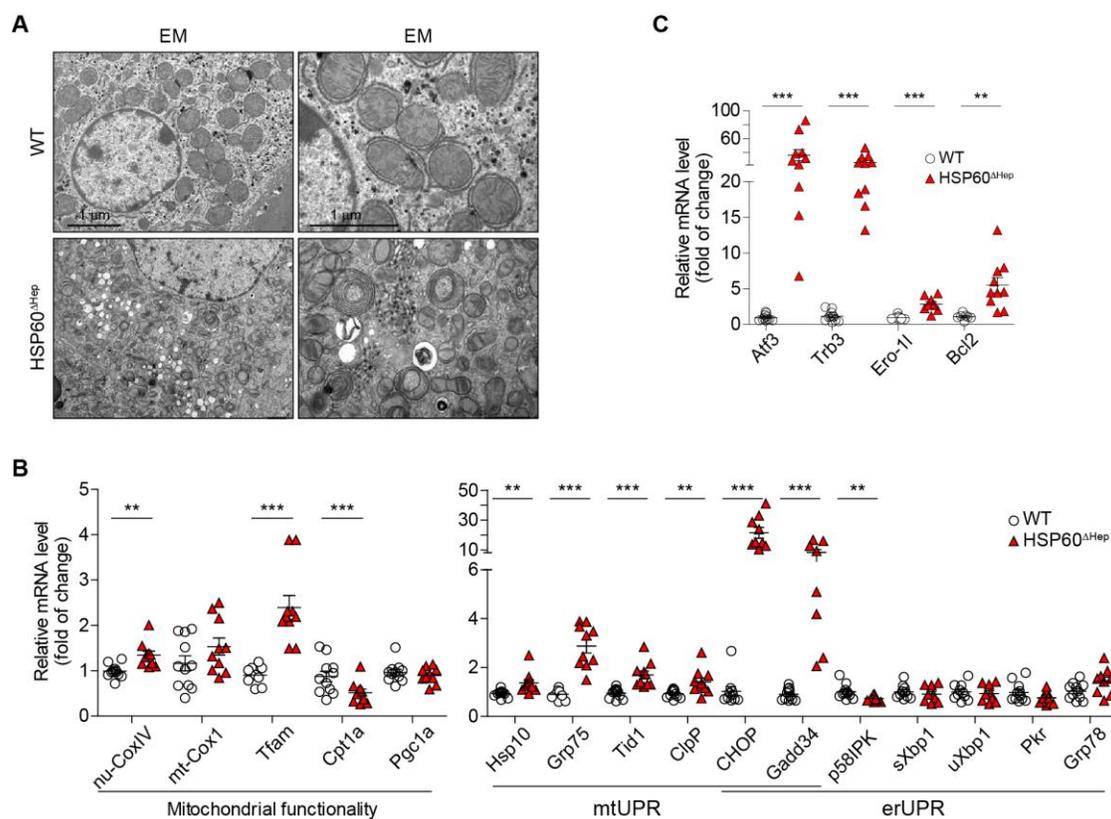
(D) Hematoxylin-eosin and CK19 staining of liver sections from different mouse liver injury models as indicated.

These cholangiolar cells were highly proliferative and positive for HSP60 (Figure 11A). Tracing with Confetti-HSP60<sup>ΔHep</sup> mice further proved the lack of Cre- recombinase activity in these cells, as well as in the progenitor cells around the ductal plates, indicative of their true LPC origin (Figure 11B). Notably, premalignant cholangiolar lesions, featured by irregular glands, loss of polarity, nuclear atypia and frequent mitosis, were evident in 9-week-old HSP60<sup>ΔHep</sup> livers (Figure 2E). These foci resembled human biliary intraepithelial neoplasia (BillIN), the premalignant condition which ICC arises from, suggesting an initiation of the multistep carcinogenesis progression of ICC in this model [260-262]. Particularly, such cholangiolar lesions was absent in other liver injury models, including DDC or CDE diet model, bile duct ligation (BDL) model (Figure 11D), or genetically engineered mouse models like CYLD knockout mice or Survivin knockout mice [42, 257].

Altogether, these results indicate that livers of HSP60<sup>ΔHep</sup> mice undergo severe hepatocyte death, compensatory hepatic proliferation and cholangiolar hyperproliferation, which was not seen in other liver injury models.

### 3.1.2 Oxidative stress induces a pro-carcinogenic environment in HSP60<sup>ΔHep</sup> livers

Given the essential function of HSP60 in the transportation and refolding of mitochondrial proteins [263, 264], we then sought to address the role of mitochondrial dysfunction and oxidative stress in the progression of liver damage and cholangiolar hyperplasia. Indeed, ultrastructural analysis revealed severely disrupted mitochondria in HSP60 deficient livers, as evidenced by either fragmented or enlarged, swollen appearance (Figure 12A). Mitochondria in double-membrane autophagosomes or autolysosomes, known as mitophagy, were detected (Figure 12A). Several genes implicated in mitochondria homeostasis or mitochondrial respiratory chain, such as mitochondrial transcription factor A (TFAM) and cytochrome c (CytC) oxidase or complex IV (CoxIV), were upregulated (Figure 12B). Consistently, all the mitochondrial stress markers we examined were elevated in HSP60 knockout livers compared to WT, including Grp75, Hsp10, Tid1, CHOP, Gadd34 and ClpP (Figure 12C). In comparison, most of the ER stress associated genes remained unchanged, indicating mtUPR is primarily responsible for HSP60 deficiency (Figure 12C).



**Figure 12. HSP60 deficiency leads to mitochondrial dysfunction and mitochondrial UPR.**

(A) Electron microscopy of hepatocytes from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

(B, C) qRT-PCR of whole liver lysates from 8-week-old HSP60<sup>ΔHep</sup> mice and control littermates for the indicated genes.

Impaired mitochondrial function induces oxidative stress through production of ROS, such as  $O_2^-$  and  $H_2O_2$ , which consequently upregulate the expression of antioxidant enzymes [265]. As expected, a large panel of antioxidant enzymes, such as superoxide dismutase 3 (SOD3), glutathione peroxidase 2, 3 and 7 (Gpx2/3/7), NAD(P)H dehydrogenase 1 (Nqo1), Sulfiredoxin 1 (Srxn1), was strongly upregulated in HSP60 deficient livers (Figure 13A, B). Oxidized protein level was also much higher upon HSP60 knockout, as determined by oxyblot (Figure 13C). In addition, staining with 8-hydroxy-2-deoxyguanosine (8-OHdG), an indicator of secondary metabolite subsequent to oxidative DNA damage, extensively labelled HSP60 negative hepatocytes (Figure 3D). By Western blot analysis, we further confirmed the early upregulation of phosphorylated eIF2a (p-eIF2a) and CHOP in HSP60<sup>ΔHep</sup> livers from 4 weeks on (Figure 13E).

## Results

Increased abundance of LC3 conjugated to phosphatidylethanolamine (LC3-II) was also observed, supporting the ultrastructural evidence of mitophagy in HSP60 deficient hepatocytes (Figure 13E).

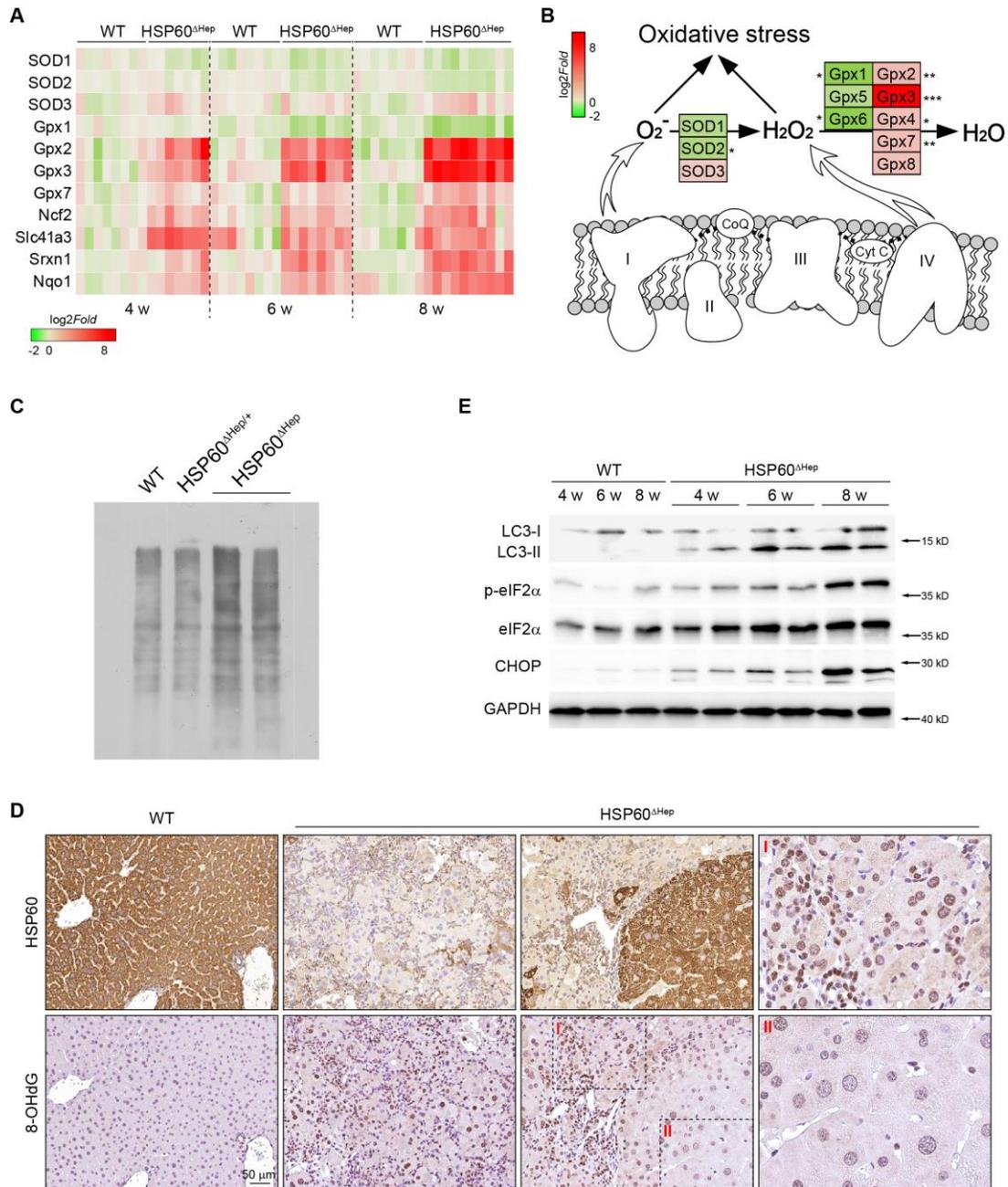
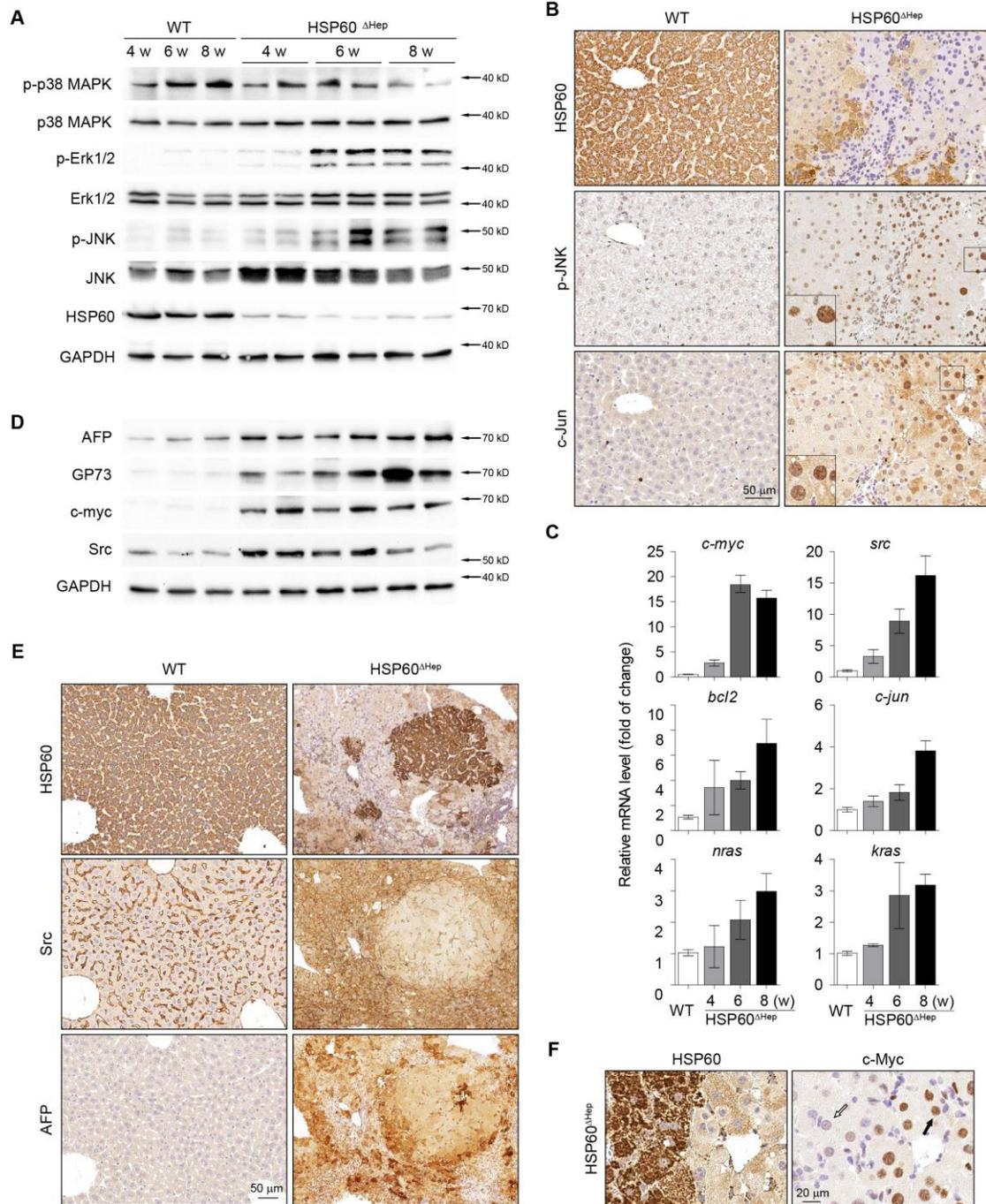


Figure 13. HSP60 deficiency leads to oxidative stress.

- (A) Heat map of antioxidant genes in HSP60<sup>ΔHep</sup> livers in comparison with WT livers over time. Gene expression levels by qRT-PCR were present in a color code: red:upregulated, green:downregulated.
- (B) Schematic representation of the expression of genes encoding antioxidant enzymes (SOD and Gpx families) in HSP60 KO livers relative to WT livers. Gene expression levels by qRT-PCR were present in a color code: red=upregulated, green=downregulated.
- (C) OxyBlot of whole liver extracts from mice indicated.
- (D) 8-OHdG staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice and WT littermates.
- (E) Whole liver lysates were prepared from HSP60<sup>ΔHep</sup> and WT livers at different ages. Western blot was performed with indicated antibodies.

Prolonged increase of ROS is often associated with activation a variety of stress and oncogenic pathways, including JNK, Erk1/2 and p38 MAPK [266-268]. We next investigated which signaling pathway(s) and oncogene(s) are activated downstream of ROS accumulation in HSP60<sup>ΔHep</sup> livers. Phosphorylation of JNK and Erk1/2, along with the liver cancer markers AFP and GP73, was elevated in livers upon HSP60 knockout from week 4 and onward (Figure 14A). Similar to a previously reported mouse liver injury model induced by CYLD knockout [42], activation of p38 MAPK was absent in HSP60<sup>ΔHep</sup> livers (Figure 14A). Staining of the consecutive sections with HSP60 antibody and p-JNK antibody revealed that most of p-JNK positive cells were HSP60 negative (Figure 14B). Activation of JNK was confirmed by a similar, time dependent upregulation of c-Jun. Besides c-Jun, screening of oncogenes that usually deregulated in liver cancer identified c-Myc, Ras and Src mRNA as most upregulated oncogenes in HSP60<sup>ΔHep</sup> livers (Figure 14C). Staining of c-Jun, c-Myc, Src and AFP by immunohistochemistry further confirmed that the activation of these oncogenic pathways was restricted within HSP60 negative areas and could also be corroborated on protein level (Figure 14D-F). Interestingly, it was recently reported that JNK activation triggered by ROS acts as an environmental factor to promote tumor progression in a cell non-autonomous manner [268]. Therefore, we hypothesized that ROS driven by HSP60 loss induces a regenerative/carcinogenic microenvironment to stimulate the growth of the surrounding wild-type cells.



**Figure 14. Oncogenic signals in HSP60<sup>ΔHep</sup> livers.**

(A) Whole liver lysates were prepared from HSP60<sup>ΔHep</sup> and WT livers at different ages. Western blot was performed with indicated antibodies.

(B) Immunohistochemistry analysis of HSP60, p-JNK and c-Jun in consecutive liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

(C) qRT-PCR of whole liver lysates from HSP60<sup>ΔHep</sup> mice and control littermates (WT).

(D) Whole liver lysates were prepared from HSP60<sup>ΔHep</sup> and WT livers at different ages. Western blot was performed with indicated antibodies.

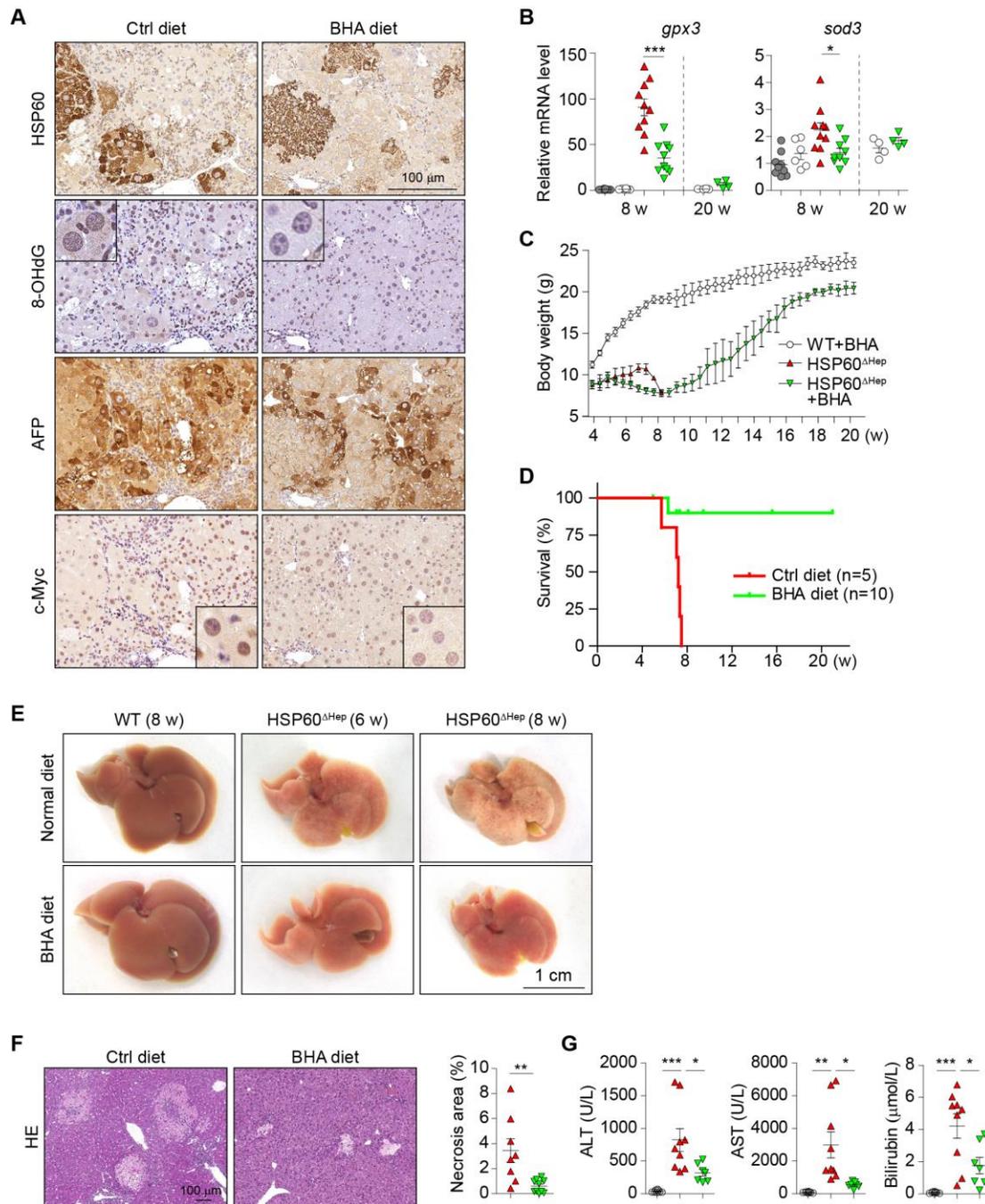
(E) Immunohistochemistry analysis of HSP60, p-JNK and c-Jun in consecutive liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

(F) Immunohistochemistry analysis of HSP60 and c-Myc in consecutive liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

### 3.1.3 Anti-oxidant BHA diet attenuates ROS accumulation and cholangiolar hyperplasia

Having implicated the ROS-driven carcinogenic microenvironment in the HSP60<sup>ΔHep</sup> livers, we next examined whether it is functionally significant for the progression of liver injury and cholangiolar overgrowth by feeding HSP60<sup>ΔHep</sup> mice with the antioxidant butylated hydroxyanisole (BHA) containing chow from 4 weeks old on. BHA administration reduced 8-OHdG staining (Figure 15A) and ROS-scavenging enzymes such as GPX3 and SOD3 in HSP60<sup>ΔHep</sup> livers (Figure 15B), indicating an efficient blockade of ROS production and accumulation of secondary ROS metabolites. Remarkably, treatment of HSP60<sup>ΔHep</sup> mice with BHA strongly attenuated liver injury and prevented the early lethality (Figure 15C~E). At 8 weeks of age, hepatocyte cell death was significantly reduced upon BHA treatment as revealed by histological analysis (Figure 15F). Serum levels of ALT, AST and Bilirubin and AP were also reduced in mice fed with BHA-containing diet compared to those with normal diet (Figure 15G).

Moreover, although consumption of BHA-containing diet showed no effect on hepatocyte proliferation or *cyclin d1* mRNA level (Figure 16A), it almost completely blocked the overt proliferation of cholangiolar cells, as revealed by immunohistochemistry staining of A6, and CSC markers CD90.1 and CD44 (Figure 16B, C). Accordingly, *ck19* level was suppressed and hepatocyte nuclear factor 4 alpha (*hnf4α*) and *aldh2* levels were partially rescued at 8 weeks of age (Figure 16D, E). Compensatory proliferation of wild-type hepatocytes kept repopulating the whole liver and the knockout animals finally recovered from the body weight loss at around 18 to 20 weeks (Figure 16F). The rescued mice were kept as long as half a year old and showed no abnormalities compared to wild-type mice, without any proliferation of either hepatocytes or cholangiocytes (Figure 16G). Thus, mitochondrial deficiency induced by HSP60 loss enhances hepatocyte cell death and cholangiolar hyperplasia through a mechanism dependent of ROS accumulation.



**Figure 15. BHA-containing diet rescued HSP60<sup>ΔHep</sup> mice.**

(A) Immunohistochemistry staining of HSP60, 8-OHdG, AFP and c-Myc in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet.

(B) qRT-PCR of whole liver lysates from 8- and 20-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet for *gpx3* and *sod3*.

## Results

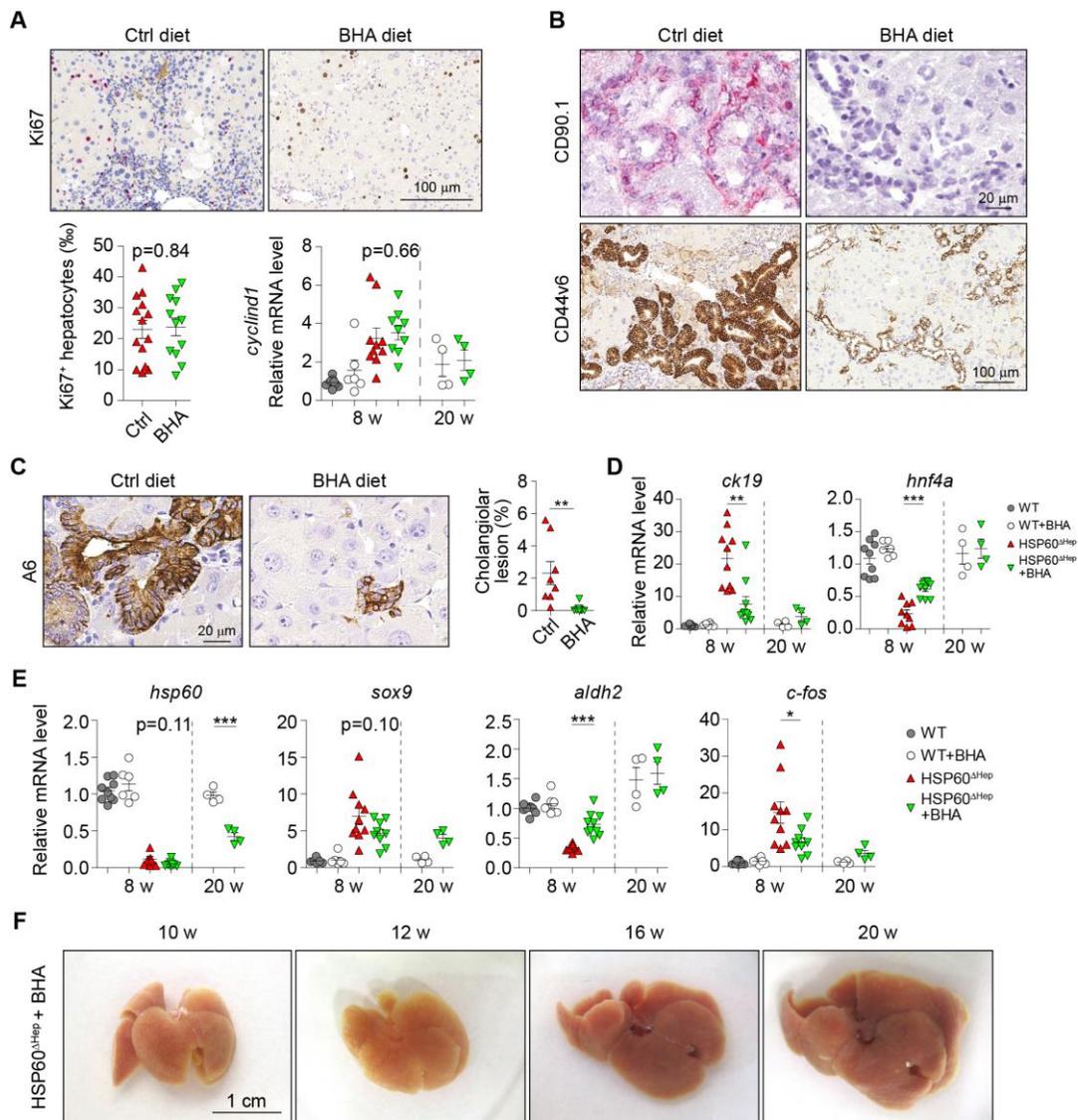
(C) Body weight curve of HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. WT mice fed with BHA-containing diet were used as reference.

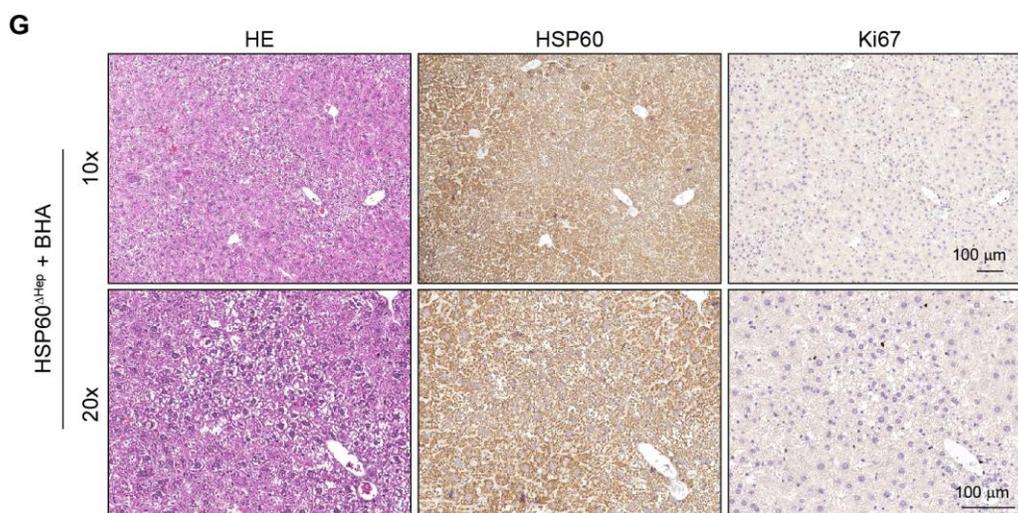
(D) Survival plot for HSP60<sup>ΔHep</sup> mice fed with normal diet (red line) or BHA-containing diet (green line).

(E) Macroscopic appearance of livers from 6- and 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. Livers from 8-week-old WT mice were shown as control.

(F) Hematoxylin-eosin staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. Quantification of necrotic areas was shown in the right panel.

(G) Serum levels of AST, ALT and Bilirubin in 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet.





**Figure 16. BHA-containing diet attenuated cholangiolar overgrowth in HSP60<sup>ΔHep</sup> mice.**

(A) Ki67 staining of liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. Quantification of Ki67<sup>+</sup> hepatocytes and qRT-PCR for *cyclin d1* were shown in lower panels.

(B) Immunohistochemistry staining of CD90.1 and CD44v6 in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet.

(C) Immunohistochemistry staining of A6 in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. Quantification of cholangiolar overgrowth areas were shown in the right panel.

(D) qRT-PCR of whole liver lysates from 8- and 20-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet for *ck19* and *hnf4α*.

(E) qRT-PCR of whole liver lysates from 8- and 20-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet for indicated genes.

(F) Macroscopic appearance of livers from HSP60<sup>ΔHep</sup> mice fed with BHA-containing diet at indicated ages.

(G) Hematoxylin-eosin staining and Immunohistochemistry staining of HSP60 and Ki67 in liver sections from half a year old HSP60<sup>ΔHep</sup> mice fed with BHA-containing diet.

We further assessed the effects of the anti-oxidant treatment on the downstream oncogenic signaling. First, phosphorylation of JNK was attenuated upon BHA administration (Figure 17A~C), while the other kinases we analyzed such as Erk1/2, p38 MAPK and eIF2a were not affected (Figure 17A), consistent with the previous results that sustained JNK activation is an important contributor to acute liver failure downstream of ROS production [41]. Second, BHA containing diet strongly reduced levels of oncogenes

including AFP, c-Myc and c-fos (Figure 17D, 16E). However, CHOP expression was even more extensive upon BHA treatment (Figure 17A, C). This was possibly due to the toxicity of the compound itself because wild-type mice fed by BHA-containing diet also showed elevated levels of CHOP expression (Figure 17A, E). Nonetheless, BHA administration did not attenuate *grp75* upregulation and eIF2a phosphorylation (Figure 17A, D), indicating the presence of mtUPR under this circumstance. These results indicated that anti-oxidant treatment protected HSP60<sup>ΔHep</sup> mice via inhibiting ROS and its downstream oncogenic signaling pathways, but not via mtUPR signaling (Figure 17F), which is reasonable because BHA is not likely to compensate the roles of folding proteins.

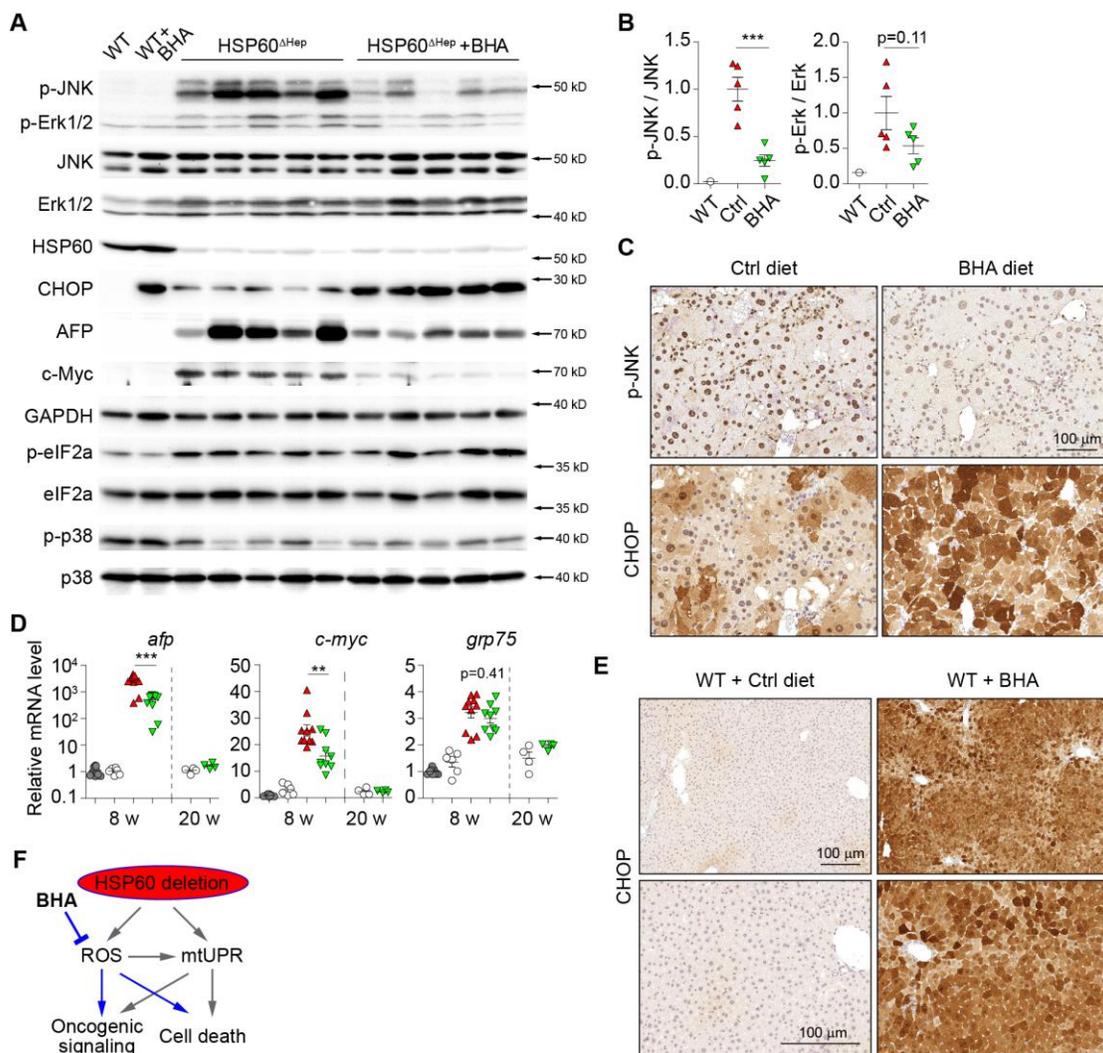


Figure 17. BHA-containing diet reduced oncogenic signals by abolishing ROS accumulation in HSP60<sup>ΔHep</sup> mice.

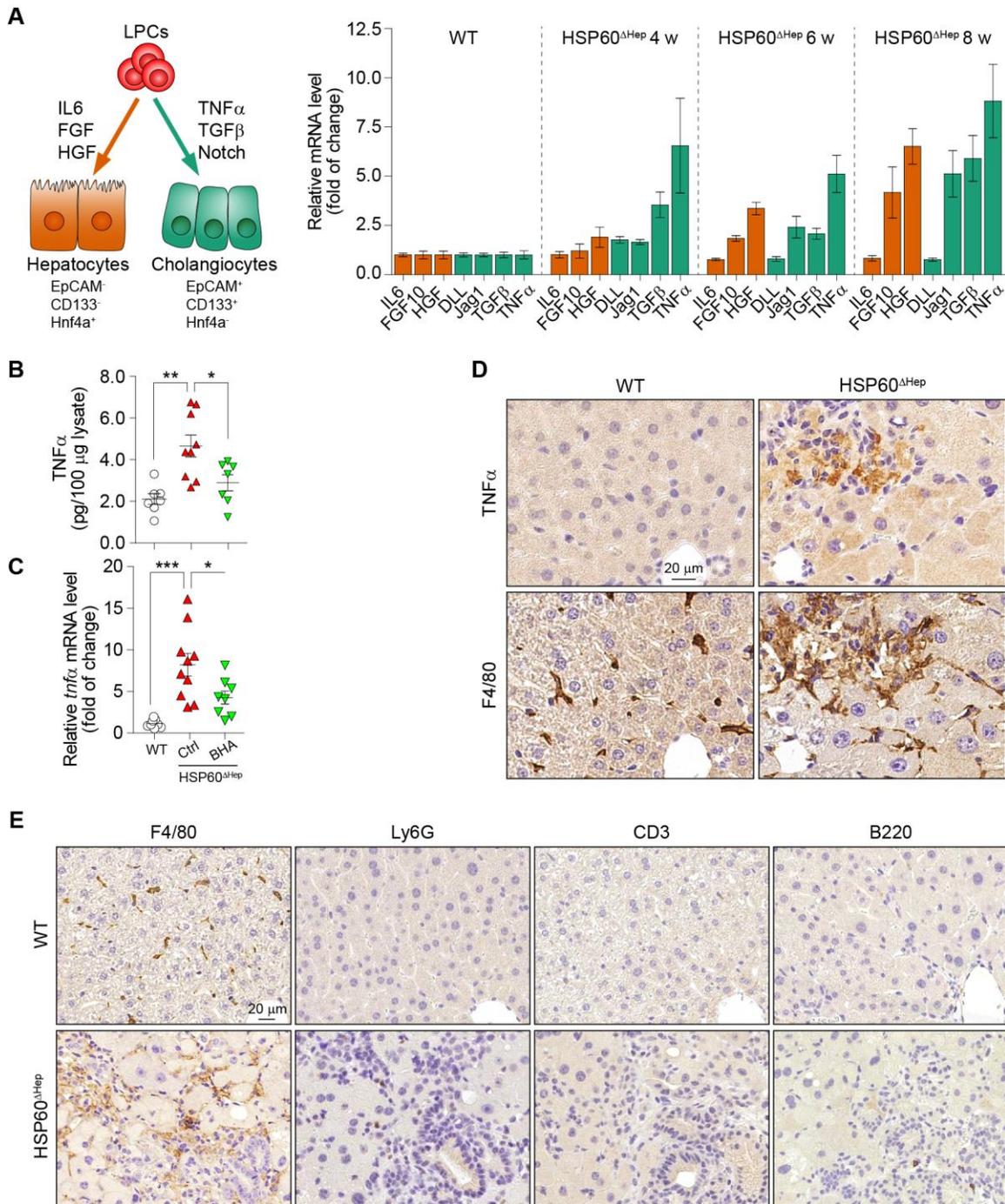
- (A) Whole liver lysates were prepared from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet. Western blot was performed with indicated antibodies.
- (B) Quantifications of the ratio of p-JNK to total JNK and the ratio of p-Erk1/2 to total Erk1/2 from (A).
- (C) Immunohistochemistry staining of p-JNK and CHOP in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet.
- (D) qRT-PCR of whole liver lysates from 8- and 20-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet for *afp*, *c-myc* and *grp75*.
- (E) Immunohistochemistry staining of CHOP in liver sections from 8-week-old WT mice fed with normal diet or BHA-containing diet.
- (F) A schematic diagram depicting the action of BHA in HSP60<sup>ΔHep</sup> mice. BHA reduces oncogenic signaling and cell death by attenuating ROS accumulation in HSP60 deficient livers.

### 3.1.4 TNF $\alpha$ from Kupffer cells promotes cholangiolar hyperplasia

Next, we sought to identify the molecular and cellular pathways which link within the carcinogenic niche and the neoplastic phenotype. As various cytokines/ligands are known to mediate the lineage commitment and/or the malignant transformation of the LPCs (Figure 18A, ref [269, 270]), we measured the expression of their mRNA levels in HSP60<sup>ΔHep</sup> livers. Widespread changes in cytokine expression were apparent in the livers of HSP60<sup>ΔHep</sup> mice (Figure 18A). Strikingly, we observed rapid and robust induction of mRNA encoding TNF $\alpha$ , whose levels far exceeded the other cytokines/ligands such as TGF $\beta$ , Jag1, HGF and FGF10 (Figure 18A). We confirmed the upregulation of TNF $\alpha$  protein by ELISA (Figure 18B). Consistent with the rescuing effect of BHA on HSP60<sup>ΔHep</sup> mice, TNF $\alpha$  production was strongly attenuated compared to the mice with normal diet (Figure 18B, C). Immunohistochemistry analysis further localized TNF $\alpha$  to F4/80 positive Kupffer cells (Figure 18D), whose number was more extensively increased in HSP60<sup>ΔHep</sup> livers compared to T, B cells and neutrophils (Figure 18E).

To determine whether TNF $\alpha$  can directly act on biliary lineage cells, we addressed whether LPCs and cholangiolar cells expressed TNFR1. Immunofluorescence analysis and *in situ* hybridization confirmed enrichment of TNFR1 in bile duct cells and cholangiolar hyperplasia compared to the surrounding hepatocytes (Figure 19 A~C), demonstrating the capability of these cells to react to TNF $\alpha$ . Therefore, we postulated that Kupffer cell produced TNF $\alpha$  linked ROS production with cholangiolar hyperplasia in HSP60<sup>ΔHep</sup> mice.

## Results



**Figure 18. Activated Kupffer cells produced TNF $\alpha$  in HSP60 $\Delta$ Hep mice.**

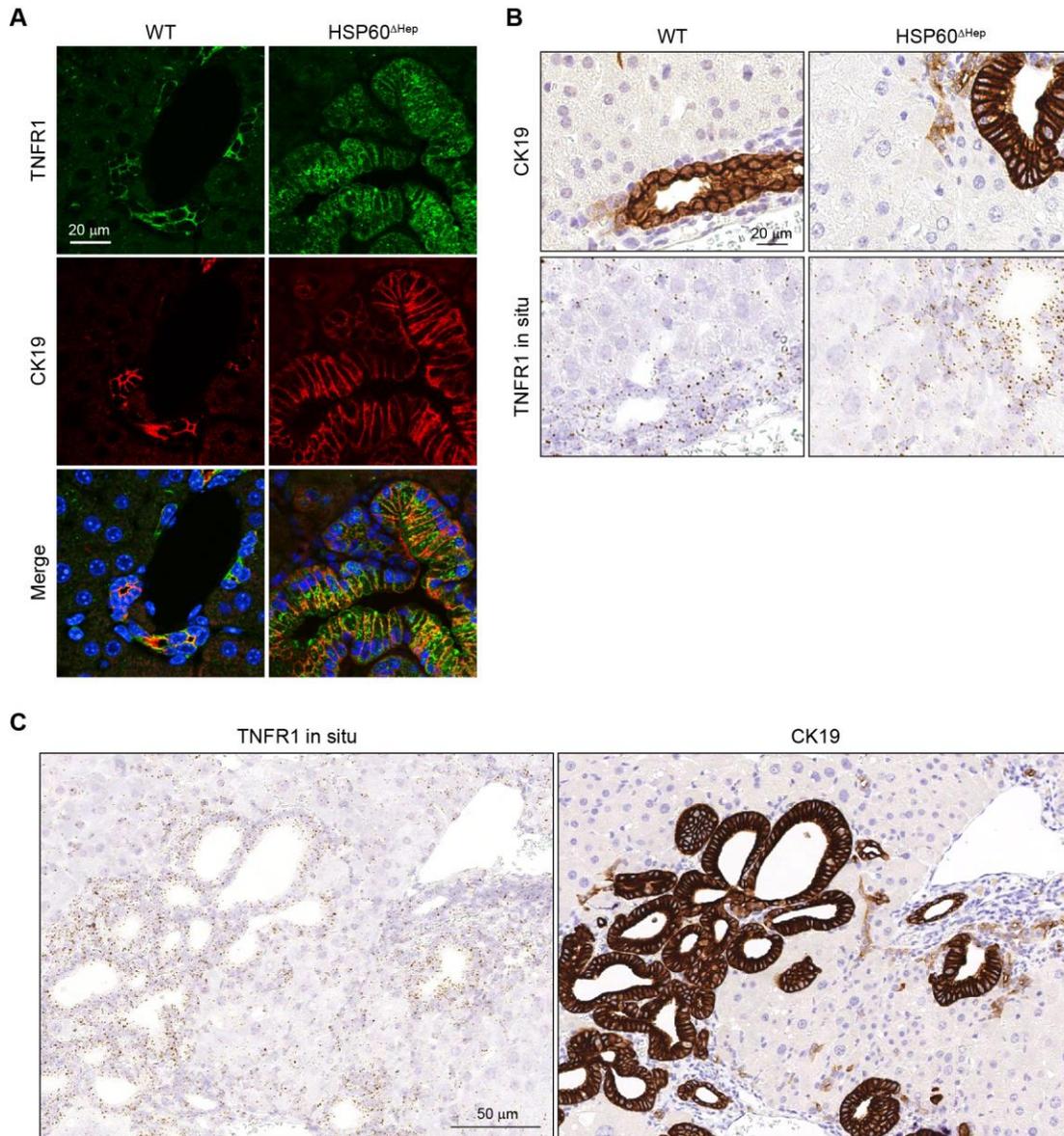
(A) Left: schematic diagram of possible key signals in regulation of LPC differentiation. Right: mRNA levels of the key ligands were measured by qRT-PCR in whole liver lysates from HSP60 $\Delta$ Hep mice at different ages.

(B) Concentration of TNF $\alpha$  in whole liver lysates from WT mice and 8-week-old HSP60 $\Delta$ Hep mice fed with normal diet or BHA-containing diet by ELISA.

(C) qRT-PCR for *tnfa* in whole liver lysates from WT mice and 8-week-old HSP60<sup>ΔHep</sup> mice fed with normal diet or BHA-containing diet by ELISA.

(D) Immunohistochemistry staining of TNFα and F4/80 in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> and WT mice.

(E) Immunohistochemistry staining of F4/80, Ly6G, CD3 and B220 in liver sections from 8-week-old HSP60<sup>ΔHep</sup> and WT mice.

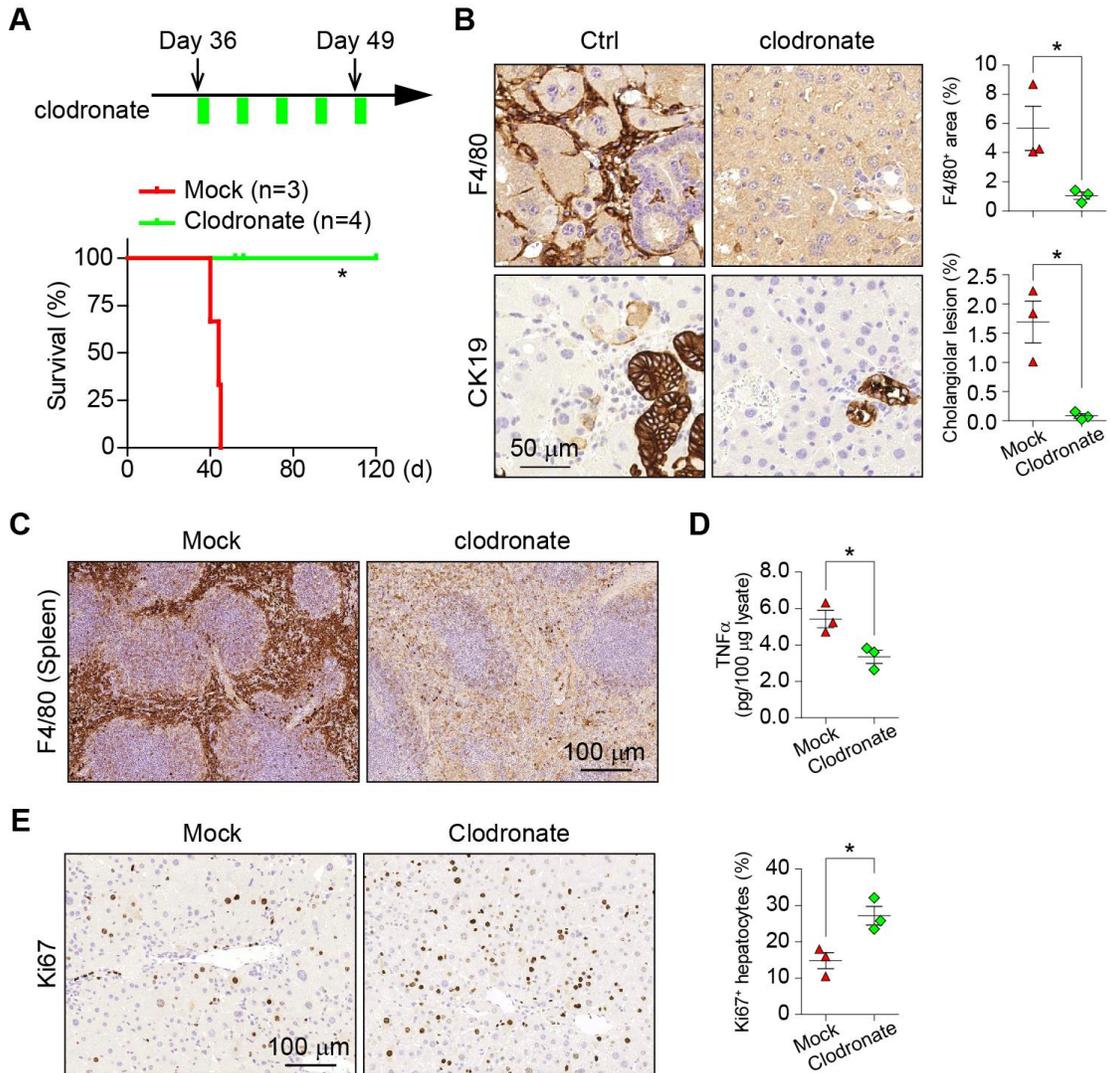


**Figure 19. Cholangiocytes express high level of TNFR1.**

(A) Double staining of TNFR1 (green) and CK19 (red) in liver sections from 8-week-old HSP60<sup>ΔHep</sup> and WT mice.

(B) Immunohistochemistry staining of CK19 and in situ hybridization of TNFR1 in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> and WT mice.

(C) Lower magnification of (B). Immunohistochemistry staining of CK19 and in situ hybridization of TNFR1 in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice.



**Figure 20. Clodronate treatment reduced cholangiolar overgrowth.**

(A) Upper: time line of clodronate administration on HSP60<sup>ΔHep</sup> mice. Lower: survival plot for HSP60<sup>ΔHep</sup> mice treated with mock (red line) or liposomal clodronate (green line).

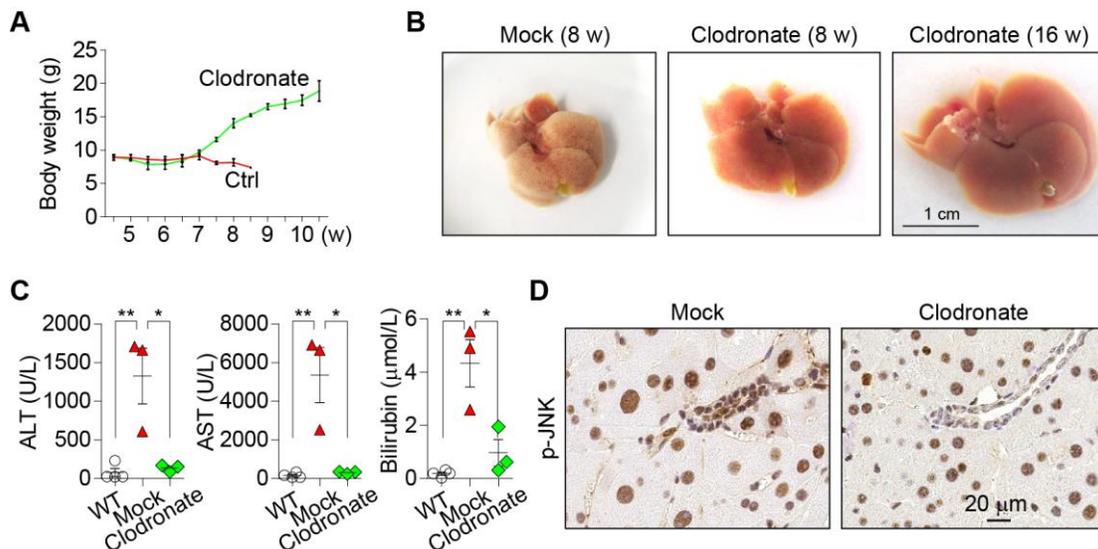
(B) Immunohistochemistry staining of F4/80 and CK19 in consecutive liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate. Quantification of each staining was shown in the right panels.

(C) Immunohistochemistry staining of F4/80 in spleen sections from 8-week-old HSP60<sup>ΔHep</sup> treated with mock or liposomal clodronate.

(D) Concentration of TNF $\alpha$  in whole liver lysates from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate by ELISA.

(E) Ki67 staining in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate. Quantification of Ki67<sup>+</sup> hepatocytes was shown in the right panel.

If increase of TNF $\alpha$ -producing Kupffer cells is crucial for triggering cholangiolar hyperplasia, depletion of Kupffer cells around the times of cholangiolar proliferation should protect HSP60<sup>ΔHep</sup> mice similar to BHA treatment. To test this hypothesis, we performed liposomal clodronate injection in HSP60<sup>ΔHep</sup> mice once every 3 days from week 6 to 8 (Figure 20A) [271, 272]. One day after the liposomal clodronate injection, efficient and significant Kupffer cell depletion in liver as well as in spleen was confirmed by immunohistochemistry of F4/80 (Figure 20B, C). Administration of liposomal encapsulated clodronate significantly reduced TNF $\alpha$  levels in HSP60<sup>ΔHep</sup> livers (Figure 20D). Correspondingly, we found that the cholangiolar hyperproliferation was almost entirely abolished, while Ki67<sup>+</sup> hepatocytes increased up to 1.84 fold (from 14.7% to 27.1%) when Kupffer cells were depleted (Figure 20B, E).



**Figure 21. Clodronate treatment rescued HSP60<sup>ΔHep</sup> mice.**

(A) Body weight curve of HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate.

(B) Macroscopic appearance of livers from 8-week-old HSP60<sup>ΔHep</sup> mice treated with liposomal clodronate.

(C) Serum levels of AST, ALT, AP and Bilirubin in 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate.

(D) Immunohistochemistry staining of p-JNK in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or liposomal clodronate.

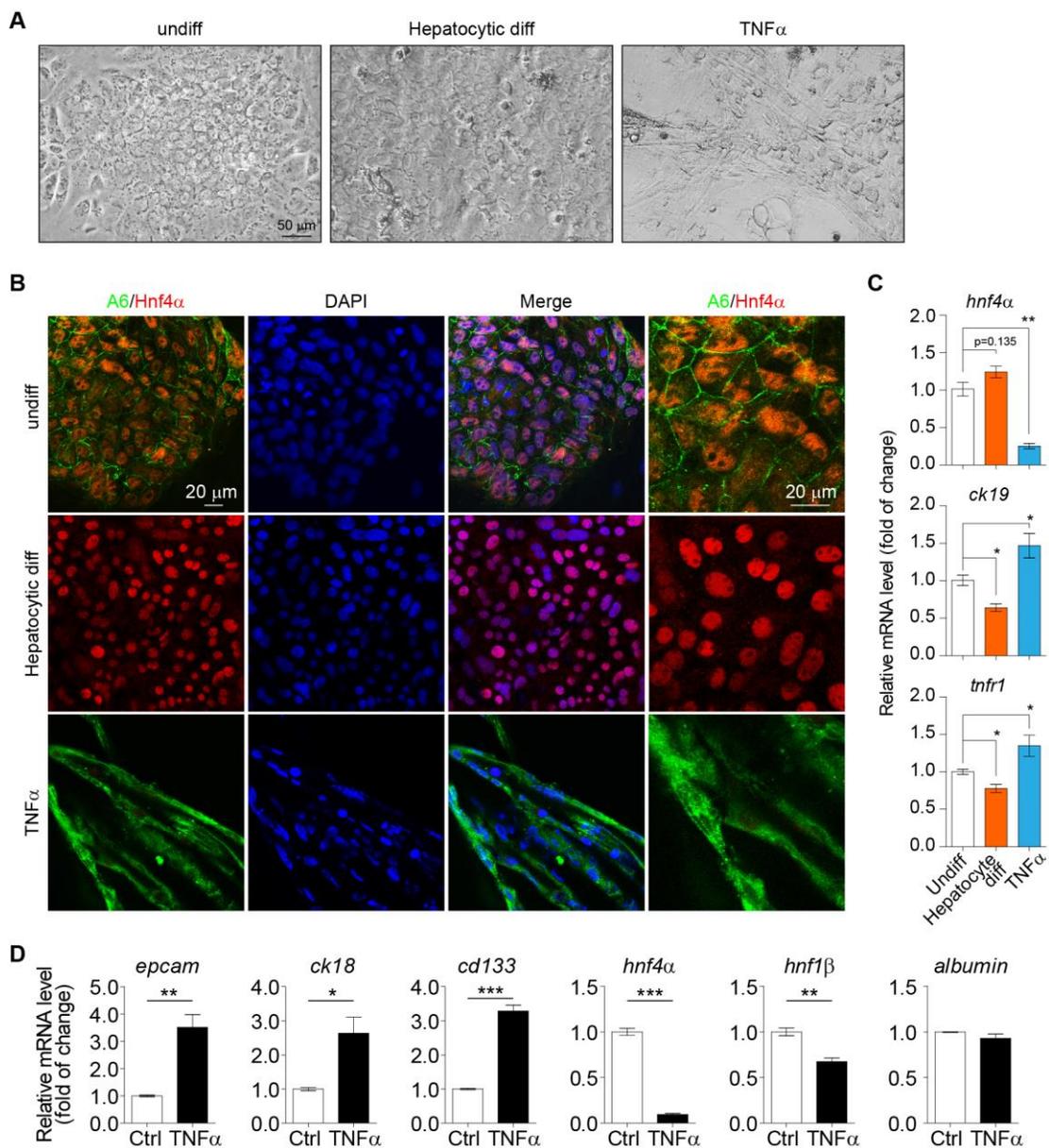
(E) Macroscopic appearance of livers from 16-week-old HSP60<sup>ΔHep</sup> mice treated with clodronate for two weeks.

Clodronate-treated HSP60<sup>ΔHep</sup> mice began to restore body weight from day 42 (Figure 21A), and 8-week-old mice displayed significantly rescued liver architecture and decreased levels of liver enzymes (Figure 21B, C), indicative of an accelerated liver regeneration. Contrary to the previously reported models, depletion of Kupffer cells resulted in no significant reduction of p-JNK in HSP60<sup>ΔHep</sup> mice (Figure 21D), suggesting that JNK activation in HSP60 deficient hepatocytes was independent of the paracrine pro-inflammatory signal. Clodronate-treated HSP60<sup>ΔHep</sup> mice were kept under surveillance until they were 4 months old, without displaying any abnormalities (Figure 21B). These findings indicate that the enhanced cholangiolar growth in HSP60<sup>ΔHep</sup> mice is associated with TNF $\alpha$  signaling, and that Kupffer cells are the major source of TNF $\alpha$  in response to hepatocytic mitochondrial dysfunction and oxidative stress.

### 3.1.5 TNFR1 signaling on LPCs promotes biliary differentiation

TNF $\alpha$  was recently reported in two independent work to be a main contributor during retro-differentiation of tumor-derived hepatocyte-like cells [270] and trans-differentiation of rat hepatocytes into ductal cells [273]. To invest the effect of TNF $\alpha$  signaling on lineage commitment of LPCs in vitro, we isolated hepatoblasts from E14.5 liver using immunomagnetic bead extraction with antibodies recognizing the extracellular epitopes of E-Cadherin [254, 274]. Undifferentiated hepatoblasts clonally proliferated on collagen I coated plates (Figure 22A). Immunofluorescence staining confirmed their double positivity for A6 and Hnf4 $\alpha$ , indicative of the bipotential feature (Figure 22B). To induce hepatocytic differentiation, hepatoblasts were maintained in the basal medium supplemented with 0.5% DMSO for 7 days. Under this condition, the cells downregulated cholangiolar lineage marks A6, CK19 and Sox9, while Hnf4 $\alpha$  and Hnf1 $\beta$  were highly

expressed (Figure 22A~C). Strikingly, when hepatoblasts were cultured in the basal medium supplemented with 10 ng/ml recombinant murine TNF $\alpha$  for the same period, the cells adopted a ductal morphology (Figure 22A~C). Molecular characterization indicated strong expression of A6, CK19 and Sox9 and repressed or even entirely abolished expression of Hnf4 $\alpha$  and Hnf1 $\beta$ , suggesting that TNF $\alpha$  can indeed act on hepatoblasts to promote their biliary differentiation (Figure 22B, C). We again treated HepRG, a human bipotential liver cancer cell line, with TNF $\alpha$ . This resulted in significant upregulation of biliary lineage markers Epcam, CK19 and CD133 and downregulation of hepatocytic markers hnf4 $\alpha$  and hnf1 $\beta$  (Figure 22D). All of these data suggest that TNF $\alpha$  directly affects LPC lineage commitment *in vitro*.



### Figure 22. TNF $\alpha$ promoted biliary differentiation of LPCs in vitro.

(A) Phase contrast images of hepatoblasts kept in undifferentiated medium (basal medium), hepatocytic differentiation medium (basal medium + DMSO) or cholangiocytic differentiation medium (basal medium + TNF $\alpha$ ) for 7 days.

(B) Hepatoblasts were kept in undifferentiated medium (basal medium), hepatocytic differentiation medium (basal medium + DMSO) or cholangiocytic differentiation medium (basal medium + TNF $\alpha$ ). Double staining of A6 (green) and Hnf4 $\alpha$  (red) was performed.

(C) qRT-PCR of the cell extracts from (B) for indicated genes.

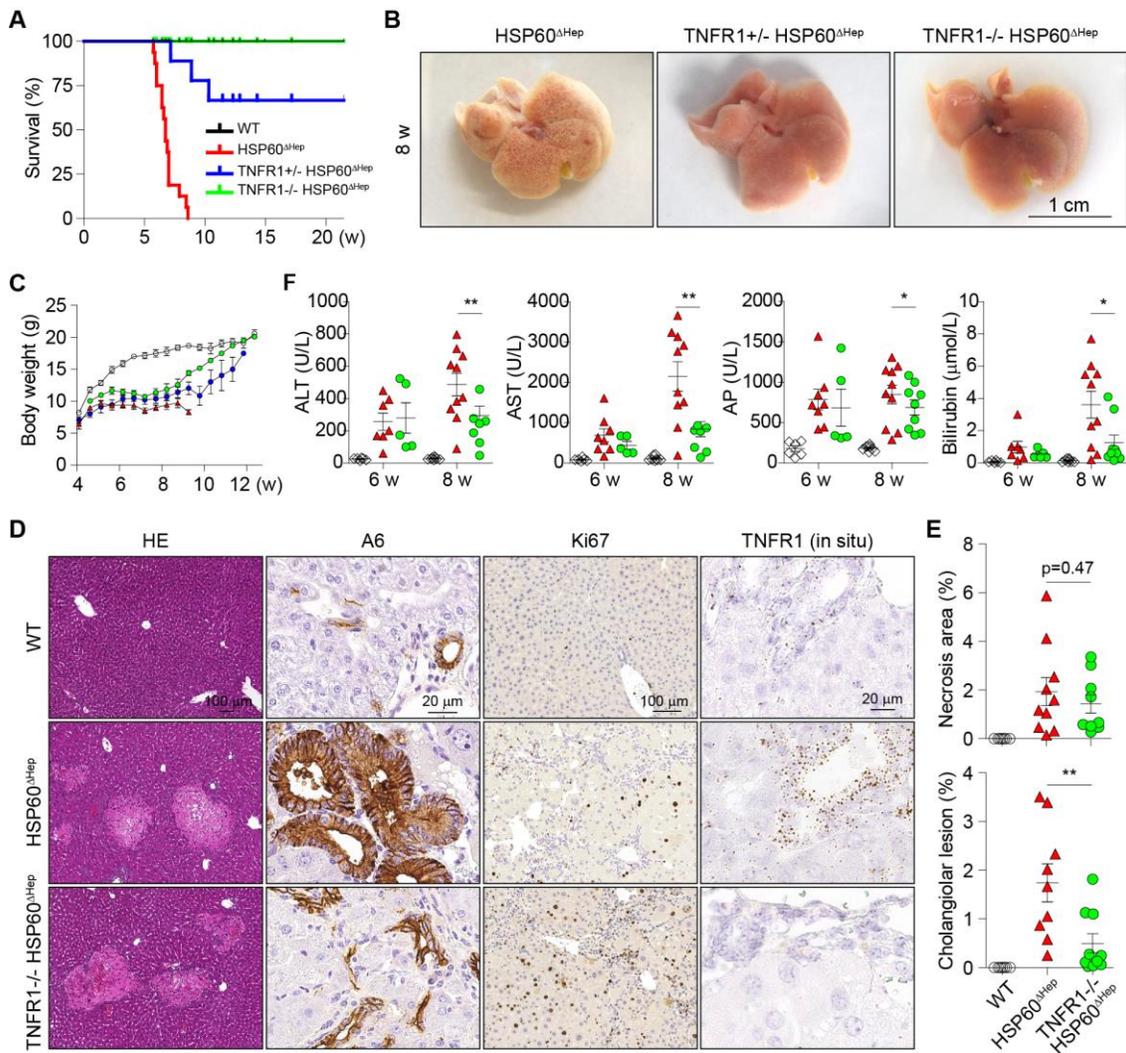
(D) qRT-PCR of the HepRG cells cultured with or without TNF $\alpha$  for 3 days.

To investigate the role of TNF $\alpha$  signaling in cholangiolar hyperplasia in HSP60 <sup>$\Delta$ Hep</sup> animals, we generated HSP60 <sup>$\Delta$ Hep</sup> TNFR1<sup>-/-</sup> double knockout mice. Genetic ablation of TNFR1 in HSP60 <sup>$\Delta$ Hep</sup> mice prevented the early lethality, coincident with reduced liver damage at 8 weeks old (Figure 23A~C). Interestingly, while most HSP60 <sup>$\Delta$ Hep</sup> TNFR1<sup>+/-</sup> mice were also rescued, 4 out of 18 mice showed dramatic body weight loss between week 8 to 10 and were sacrificed, indicating a dose-dependent effect of TNF $\alpha$  signaling (Figure 23A, C). The rescued phenotype was not dependent on the pro-survival or pro-apoptotic role of TNFR1 because equal levels of cell necrosis were observed in HSP60 <sup>$\Delta$ Hep</sup> mice as well as in the double knockout mice at 6 weeks old (Figure 23D, E). Consistently, there was no obvious difference in serum amounts of ALT, AST, AP and bilirubin between HSP60 <sup>$\Delta$ Hep</sup> TNFR1<sup>-/-</sup> mice and HSP60 <sup>$\Delta$ Hep</sup> mice by week 6 (Figure 23F). However, we observed significant reduction of their levels in the double knockout mice at week 8 (Figure 23F), the time point when HSP60 <sup>$\Delta$ Hep</sup> mice displayed robust cholangiolar hyperplasia.

Consistent with the idea that TNF $\alpha$  signaling contributes to the lineage commitment of LPCs, the emergence of cholangiolar dysplasia was blocked, as revealed by A6, CK19, CD90.1 and CD44 staining (Figure 23D, 24A). Importantly, Ki67 positive hepatocytes increased significantly in 8 weeks old livers by 2 fold in double knockout mice (Figure 23D, 24B). The preference of hepatocytic proliferation but not cholangiocytic proliferation in double knockout mice was also evident by elevated *hnf4 $\alpha$*  expression and reduced *ck19* expression by qRT-PCR (Figure 24C). This phenotype indicated that the differentiation of LPCs was shifted towards hepatocytic lineage under the circumstance of TNFR1 deletion. The increased hepatocyte differentiation and proliferation prevented the worsening of the liver damage and fully restored liver functions at around 16 weeks old. The double knockout mice were kept as long as 1 year old and we did not see any

## Results

abnormalities in their livers, as indicated by immunohistochemistry of Ki67 and CK19 (Figure 24D). Together with the *in vitro* data, these results demonstrated that TNF $\alpha$  signaling is an important mediator of cholangiolar lineage commitment of LPCs.



**Figure 23. TNFR1 deletion rescued HSP60 $\Delta$ Hep mice.**

(A) Survival analysis for HSP60 $\Delta$ Hep, HSP60 $\Delta$ Hep TNFR1 $^{+/-}$  and HSP60 $\Delta$ Hep TNFR1 $^{-/-}$  mice.

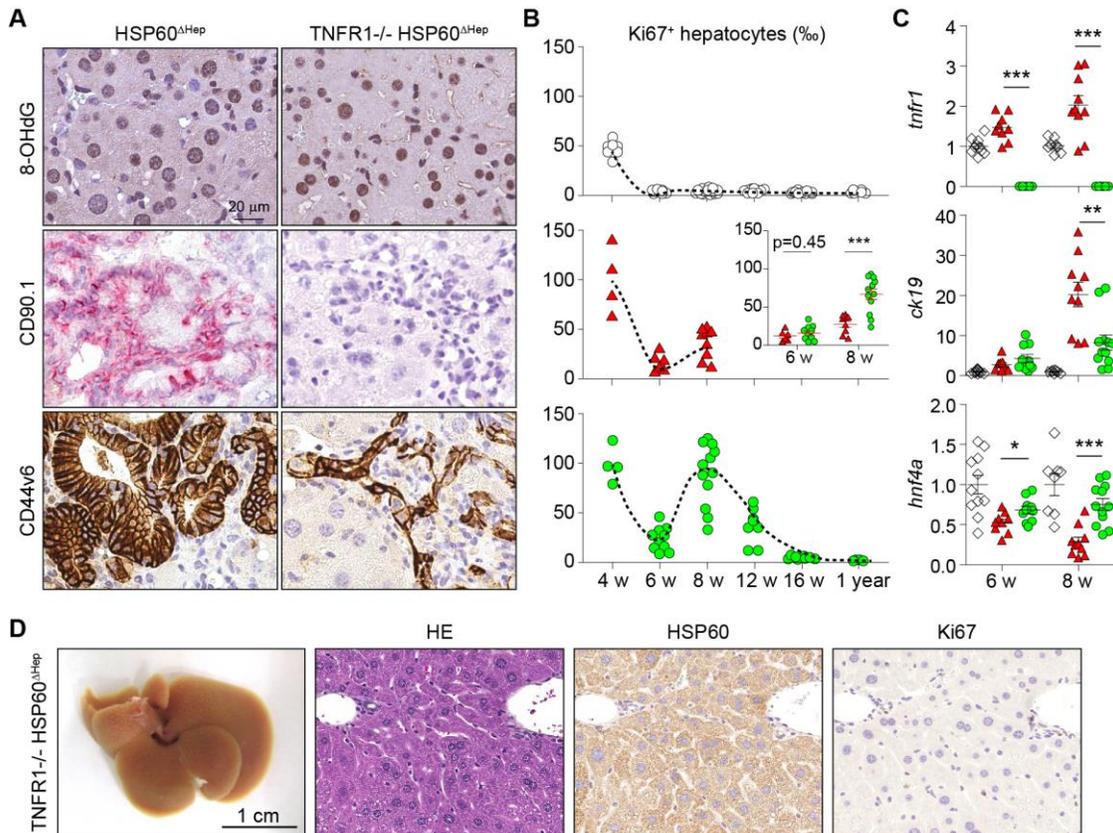
(B) Macroscopic appearance of livers from 8-week-old HSP60 $\Delta$ Hep, HSP60 $\Delta$ Hep TNFR1 $^{+/-}$  and HSP60 $\Delta$ Hep TNFR1 $^{-/-}$  mice.

(C) Body weight curves for WT, HSP60 $\Delta$ Hep, HSP60 $\Delta$ Hep TNFR1 $^{+/-}$  and HSP60 $\Delta$ Hep TNFR1 $^{-/-}$  mice.

(D) Hematoxylin-eosin staining, immunohistochemistry staining for A6 and Ki67, and in situ hybridization for TNFR1 of liver sections from 8-week-old WT, HSP60 $\Delta$ Hep and HSP60 $\Delta$ Hep TNFR1 $^{-/-}$  mice.

(E) Quantification of necrosis areas (upper) and cholangiolar overgrowth areas (lower) in WT, HSP60<sup>ΔHep</sup> and HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> mice.

(E) Serum levels of AST, ALT, AP and Bilirubin in 6- and 8-week-old WT, HSP60<sup>ΔHep</sup> and HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> mice.



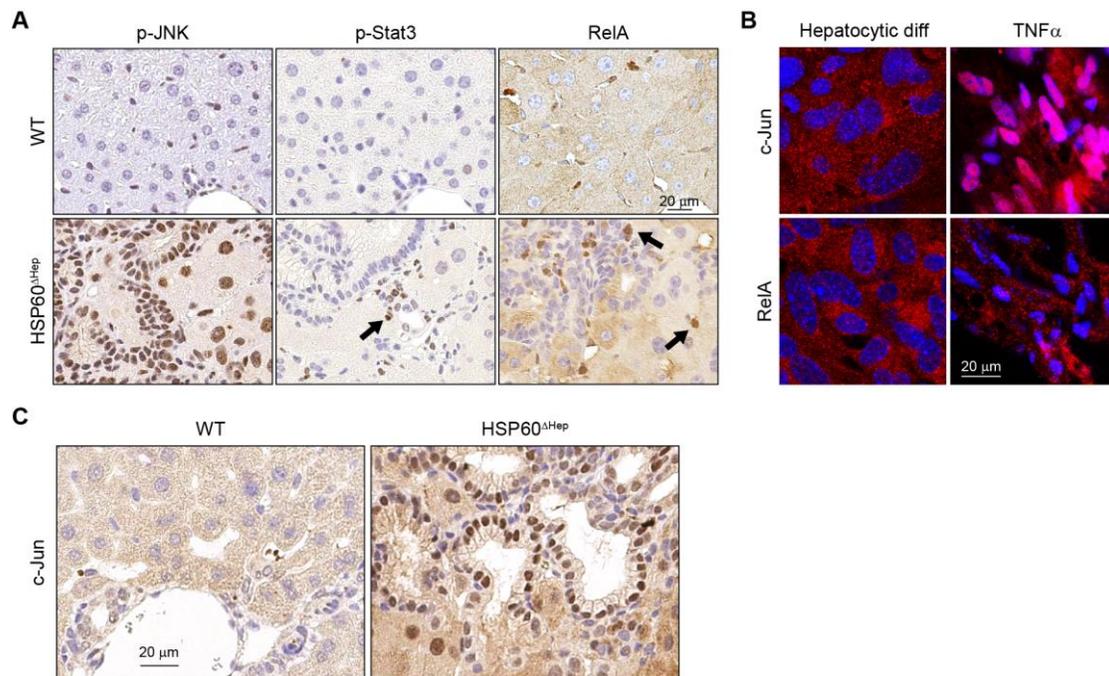
**Figure 24. TNFR1 deletion promoted hepatocytic proliferation but not cholangiocytic proliferation.**

(A) Immunohistochemistry staining for 8-OHdG, CD90.1 and CD44 of liver sections from 8-week-old HSP60<sup>ΔHep</sup> and HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> mice.

(B) Quantification of Ki67<sup>+</sup> hepatocytes in WT, HSP60<sup>ΔHep</sup> and HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> livers.

(C) qRT-PCR of whole liver extracts from 6- and 8-week-old WT, HSP60<sup>ΔHep</sup> and HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> mice for indicated genes.

(D) Macroscopic appearance of liver from 1-year-old HSP60<sup>ΔHep</sup> TNFR1<sup>-/-</sup> mouse. Hematoxylin-eosin staining and immunohistochemistry staining for HSP60 and Ki67 were shown.



**Figure 25. JNK/c-Jun activation in cholangiocytes.**

- (A) Immunohistochemistry staining of p-JNK, p-Stat3 and RelA in liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice. Black arrows indicate the positive staining of the indicated protein in the non-parenchyma cells.
- (B) Immunofluorescent staining of RelA and c-Jun in hepatoblasts cultured in hepatocytic differentiation medium with or without TNF $\alpha$ .
- (C) Immunohistochemistry staining for c-Jun of liver sections from 8-week-old WT and HSP60<sup>ΔHep</sup> mice.

### 3.1.6 JNK/c-Jun activation is required for biliary differentiation

Next we focused on the molecular mechanisms underlying the TNFR1-mediated lineage specification. Depending on cellular context, TNF $\alpha$ /TNFR1 signaling has been shown to involve a diverse set of signaling molecules, including RelA, Stat3, and JNK [275]. To determine on which downstream signaling TNF $\alpha$  mediated biliary differentiation depends, nuclear translocation of several signaling factors (including the above mentioned) was investigated by immunohistochemistry. We observed nuclear p-JNK staining in cholangiolar cells, whereas RelA and Stat3 positivity was localized to the non-parenchyma cells (Figure 25A). In parallel, c-Jun activation was also evidenced by its nuclear localization in cholangiolar cells (Figure 25B). Similarly, primary hepatoblasts cultured in basal medium supplemented with TNF $\alpha$  for 3 days showed nuclear staining of

c-Jun but not RelA (Figure 25C). The involvement of JNK signaling, but not RelA or Stat3, was consistent with a most recent report that JNK is the major contributor during TNF $\alpha$  induced ductular trans-differentiation of rat hepatocytes [273].

To test the effects of JNK signaling inhibition on cholangiolar hyperplasia in HSP60 <sup>$\Delta$ Hep</sup> mice, SP600125 (50 mg/kg) or the same volume of vehicle was administered intraperitoneally daily for a total period of 2 weeks beginning at day 36 (Figure 26A). JNK inhibition reduced cholangiolar hyperplasia, resulting into benign regeneration and eventually functional livers with wild-type hepatocytes at week 16 (Figure 26A~C). Additionally, the cholangiolar cells in the glandular structure of SP600125 treated HSP60 <sup>$\Delta$ Hep</sup> mice were not proliferating as determined by Ki67 staining (Figure 26D), whereas the hepatocytic proliferation was not influenced (Figure 26E). However, since JNK phosphorylation was also present in the surrounding HSP60<sup>-</sup> hepatocytes, SP600125 might also exert its role by attenuating the hepatocyte injury and/or the carcinogenic niche. Indeed, we observed less necrosis and marked reduction of ALT and AST levels in SP600125 treated HSP60 <sup>$\Delta$ Hep</sup> mice compared to mock treated mice (Figure 26F, G).

To directly assess the role of JNK signaling on LPC lineage commitment, we treated hepatoblasts with SP600125 during the in vitro differentiation process. Culture of hepatoblasts in hepatocytic differentiation medium supplemented with 10 ng/ml of TNF $\alpha$  as well as 10  $\mu$ M of SP600125 abolished c-Jun activation, as revealed by the absence of its nuclear localization (Figure 27A). Meanwhile, biliary differentiation of hepatoblasts was also suppressed, with reduced ductular morphogenesis and decreased expression of biliary lineage marker A6 (Figure 27B, C).

In summary, the in vivo and in vitro results strongly suggest that JNK/c-Jun signaling is activated by TNF $\alpha$  and is required for biliary differentiation.

## Results

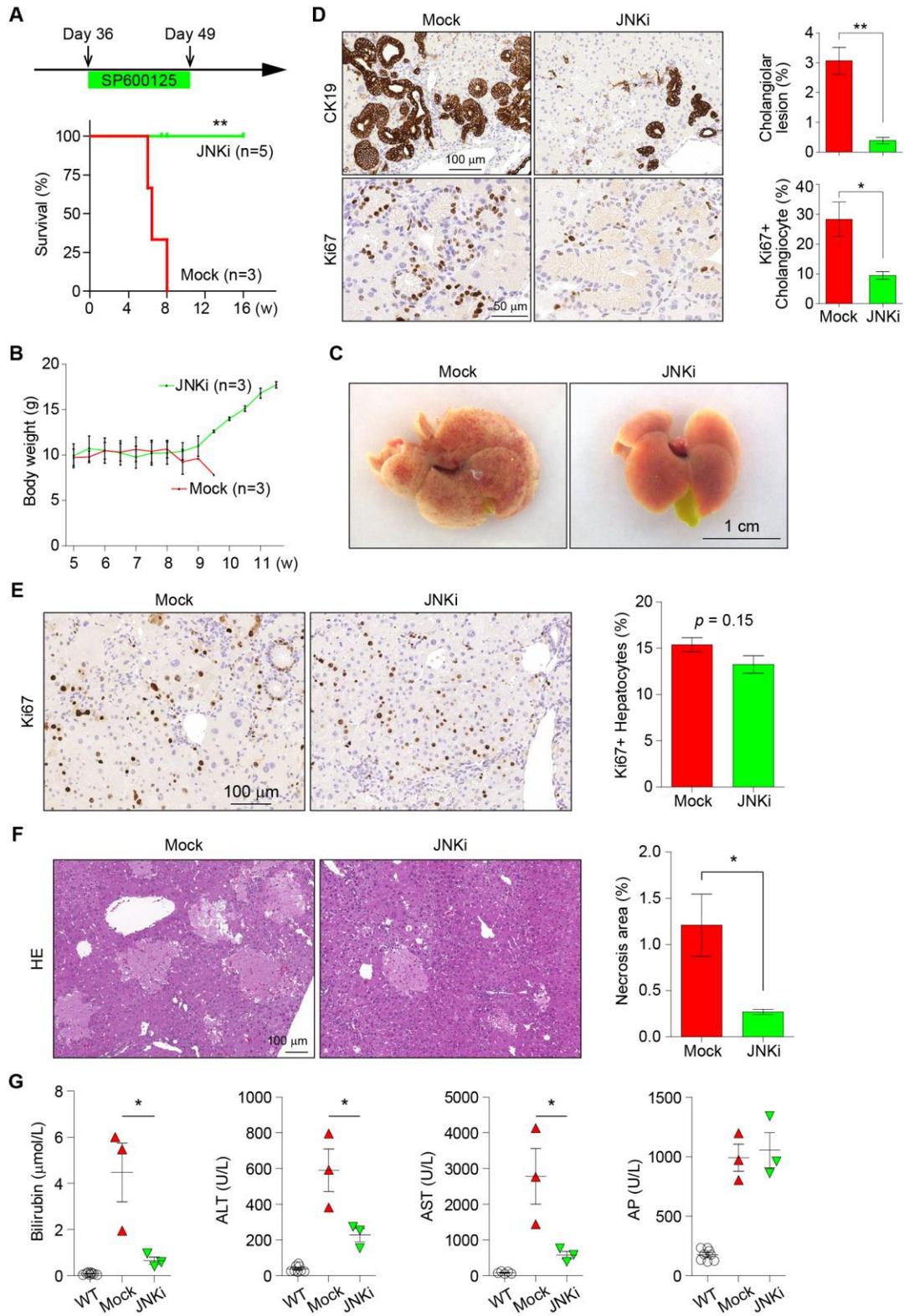
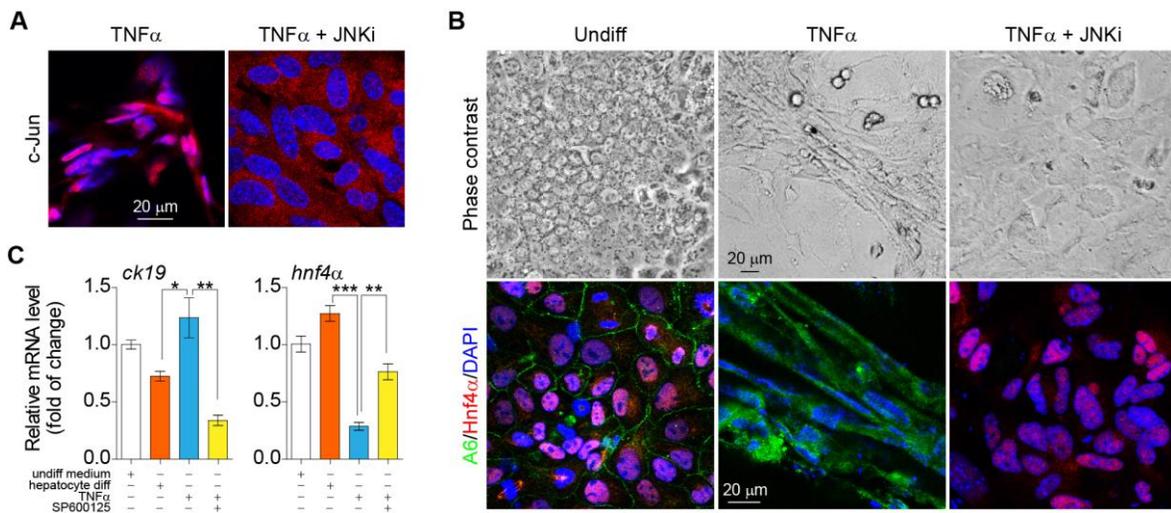


Figure 26. JNK inhibition rescued HSP60<sup>AHep</sup> mice.

- (A) Upper: time line of SP600125 administration on HSP60<sup>ΔHep</sup> mice. Lower: survival plot for HSP60<sup>ΔHep</sup> mice treated with mock (red line) or SP600125 (green line).
- (B) Body weight curves for HSP60<sup>ΔHep</sup> mice treated with mock (red line) or SP600125 (green line).
- (C) Macroscopic appearance of liver from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or SP600125.
- (D) Immunohistochemistry staining of CK19 and Ki67 in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or SP600125. Quantification of cholangiolar lesion areas and Ki67<sup>+</sup> cholangiocytes in relative to total cholangiocytes were shown in the right panels.
- (E) Ki67 staining in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or SP600125. Quantification of Ki67<sup>+</sup> hepatocytes was shown in the right panel.
- (F) Hematoxylin-eosin staining in liver sections from 8-week-old HSP60<sup>ΔHep</sup> mice treated with mock or SP600125. Quantification of necrotic areas was shown in the right panel.
- (G) Serum levels of AST, ALT, AP and Bilirubin in 8-week-old WT mice and HSP60<sup>ΔHep</sup> mice treated with mock or SP600125.



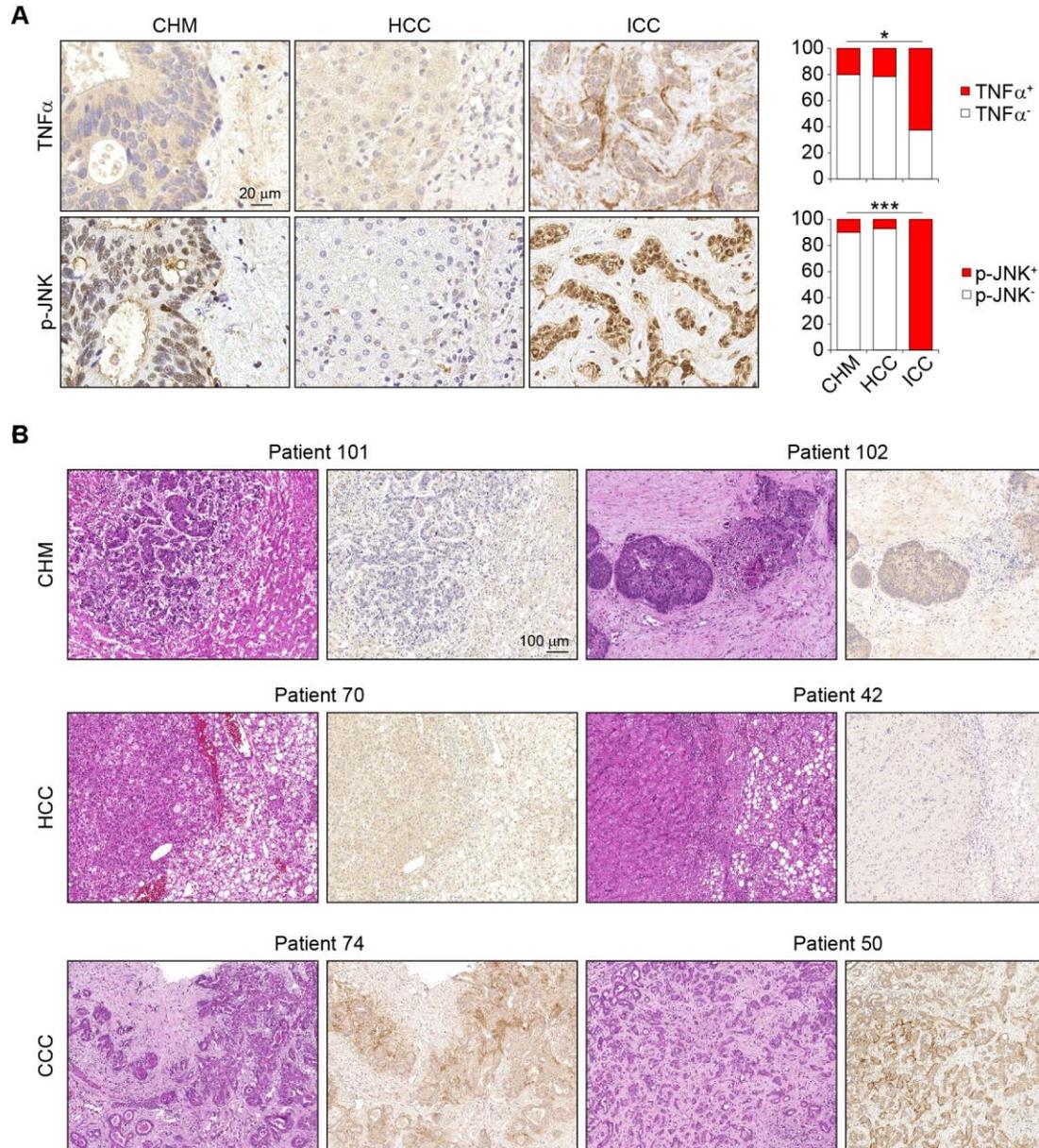
**Figure 27. JNK inhibition impaired biliary differentiation of LPCs in vitro.**

- (A) Immunofluorescent staining of c-Jun in hepatoblasts cultured in TNF $\alpha$ -containing differentiation medium with or without SP600125.
- (B) Phase contrast images and double staining of A6 and Hnf4 $\alpha$  of hepatoblasts kept in undifferentiated medium or TNF $\alpha$ -containing differentiation medium with or without SP600125 for 7 days.
- (C) qRT-PCR of the cell extracts from (B) for indicated genes.

### 3.1.7 Pharmacological inhibition of JNK reduced ICC cell grow in vivo and in vitro

Comprehensive genomic profiling of human HCC and ICC samples by next generation sequencing reveals distinct genomic traits of these two different pathological entities and implies different therapeutic targets [49, 50]. To examine the clinical relevance of our findings, we analyzed human liver tumor specimens from patients with HCC (n=14), from patients with ICC (n=16), and from patients with colon cancer hepatic metastasis (CHM) (n=10), for TNF $\alpha$  and p-JNK expression by immunohistochemistry (Figure 28). TNF $\alpha$  immunohistochemistry exhibited positive staining only in 20% of CHM and 21.4% in HCC, whereas 62.5% of ICC tissues showed positive TNF $\alpha$  expression (p=0.0285, Figure 28A, B). Consistently, p-JNK was exclusively expressed in ICC cells (p<0.001) (Figure 28A). Our results are also in line with the most recent report that malignant hepatocytes of human HCC specimen are mostly negative for p-JNK [276], together demonstrating that TNF $\alpha$  is preferentially secreted in human ICC's niches and ICC cells possess higher levels of p-JNK.

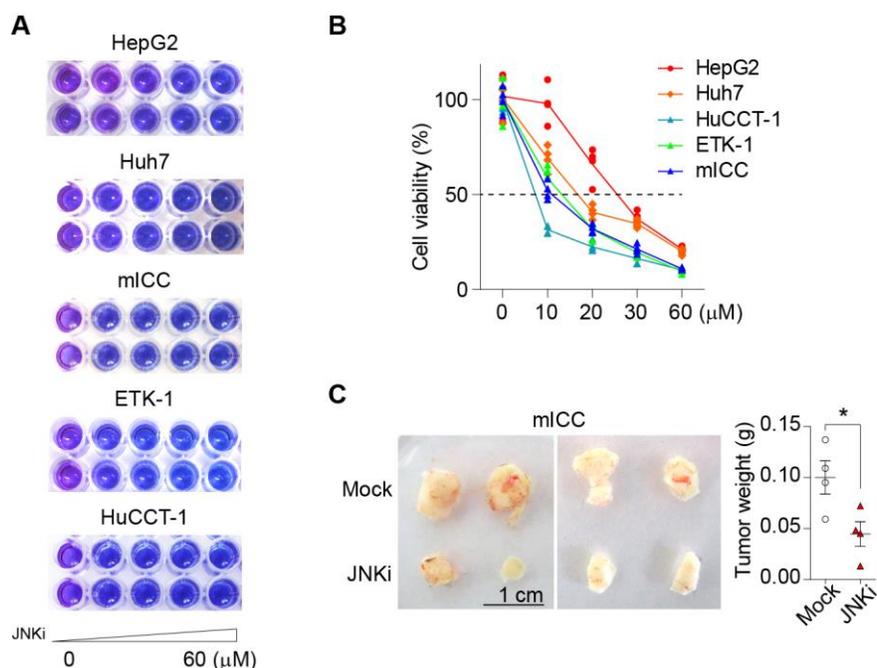
The above findings prompted an analysis of pharmacological sensitivities of well-characterized ICC and HCC cell lines to JNK inhibition. Human HCC cell lines Huh-7 and HepG2, human ICC cell lines ETK-1 and HuCCT-1 as well as an ICC cell line derived from murine ICC model were used (Ref). HCC cell lines and ICC cell lines were exposed with JNK inhibitor SP600125 at a range of concentration for 3 days. Inhibition of JNK activity by administration of SP600125 impaired cell proliferation in a dose-dependent manner (Figure 29A, B). All the three ICC cell lines showed more sensitivity to SP600125 than HCC cell lines (Figure 29A, B). ICC cell lines also showed higher degree to which maximal growth inhibition was achieved by JNK inhibition (Figure 29B). The effect of JNK inhibition on tumor formation was further investigated in vivo. mICC cells were subcutaneously injected into C57BL/6 mice. 50 mg/kg of SP600125 or mock control was administrated by i.p. injection once per day starting from day 1 post-operation. In the absence of SP600125 administration, the ICC cells resulted in tumor formation and aggressive growth (Figure 29C). Treatment with 50 mg/kg SP600125 inhibited tumor formation, as revealed by the decreased tumor mass weight (Figure 29C). The subcutaneous tumors formed by murine ICC cells expressed biliary lineage markers CK19 and A6, and displayed similar histological features to primary tumors in human ICC patients (Figure 29D). Collectively, these data suggested that targeted inhibition of JNK signaling in ICC may have therapeutic value.



**Figure 28. TNF $\alpha$  and p-JNK are specifically upregulated in ICC patient specimens.**

(A) Immunohistochemistry staining of TNF $\alpha$  and p-JNK in consecutive liver sections from human patient samples. Quantifications of TNF $\alpha$  status and p-JNK status were shown in the right panels.

(B) Representative hematoxylin-eosin staining and immunohistochemistry staining of TNF $\alpha$  in consecutive liver sections from human patient samples.



**Figure 29. Pharmacological inhibition of JNK attenuates ICC cell growth in vitro and in vivo.**

(A~B) Cell viability was measured using CellTiter-Blue assay in presence or absence of SP600125 for 3 days with gradients of concentrations as indicated in the x axis in human HCC cell lines HepG2, Huh7, human ICC cell lines HuCCT-1, ETK-1 and mouse ICC cell line. Data represent percentage of viable cells relative to untreated controls. Representative images of cell plates after CellTiter-Blue incubation were shown in (A).

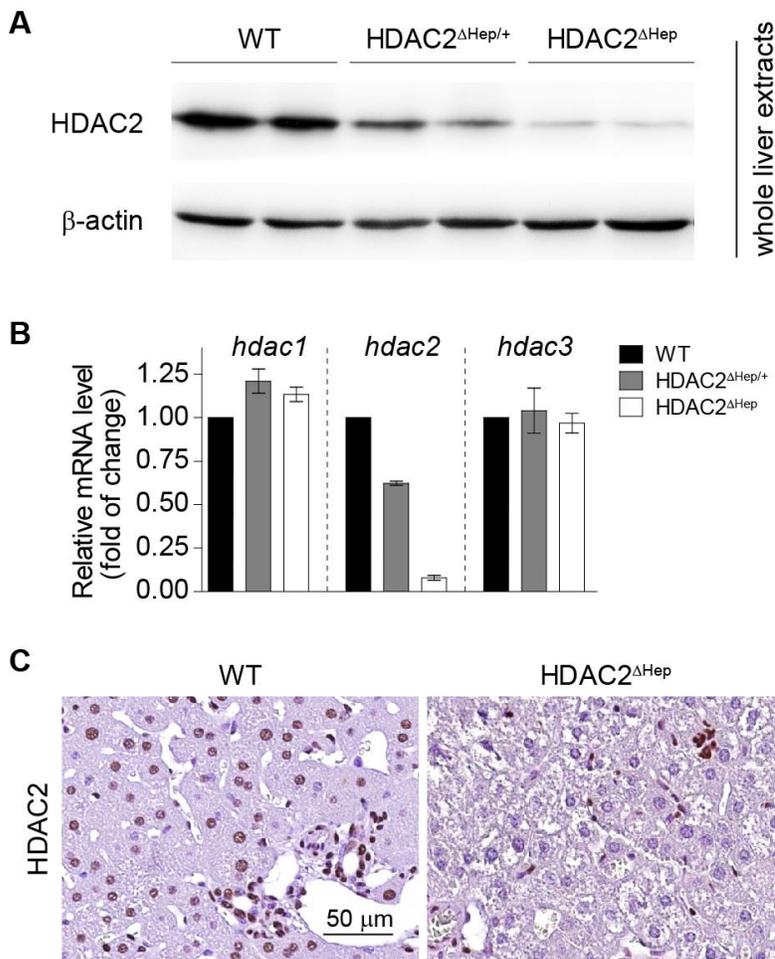
(C) Mouse ICC cells were subcutaneously injected to C57BL/6 mice. Mice were treated with vehicle or SP600125 (50 mg/kg). Mice were sacrificed 2 weeks after the implantation and tumor weights were measured (n=5).

(D) Hematoxylin-eosin staining and immunohistochemistry staining of Ki67, CK19 and A6 in subcutaneous tumors formed by mouse ICC cell line.

### 3.2 HDAC2 deficiency in hepatocytes leads to HCC development

#### 3.2.1 Specific and efficient deletion of HDAC2 in hepatocytes

To study the functions of HDAC2 in hepatocytes, we crossed mice with floxed *hdac2* alleles to the Alb-Cre mouse line [255]. The Alb-Cre HDAC2<sup>ff</sup> mice were referred to as HDAC2<sup>ΔHep</sup> mice. Western blot analysis of whole liver extracts from adult mice revealed strongly reduced HDAC2 expression (Figure 30A). In agreement with recently published study, the mRNA levels of HDAC1 and 3, the HDACs sharing the highest similarity with HDAC2, were unchanged as determined by qRT-PCR (Figure 30B). Immunohistochemistry staining further confirmed the deletion of HDAC2 in hepatocytes, but not in other non-parenchyma cells, suggesting that Cre- driven excision of floxed exons was hepatocyte-specific.



**Figure 30. Specific deletion of HDAC2 in hepatocytes of HDAC2<sup>ΔHep</sup> mice.**

(A) Western blot of whole liver lysates from 20-week-old HDAC2<sup>ΔHep</sup> mice, HDAC2<sup>ΔHep/+</sup> mice and control littermates (WT).

(B) qPCR of whole liver lysates from 20-week-old HDAC2<sup>ΔHep</sup> mice, HDAC2<sup>ΔHep/+</sup> mice and WT littermates.

(C) Immunohistochemistry staining of HDAC2 in liver sections from 20-week-old WT and HDAC2<sup>ΔHep</sup> mice.

### 3.2.2 No obvious liver damage was observed in HDAC2<sup>ΔHep</sup> mice

We analyzed the effects of hepatocyte specific HDAC2 deletion for liver homeostasis. No behavioral abnormalities were observed between HDAC2<sup>ΔHep</sup> mice and WT mice from 0–12 months of age. There were no statistically significant differences in terms of either the body weight or the ratio of liver weight to body weight between two groups (Figure 31A). Serum levels of liver enzymes were also monitored. Aspartate and alanine aminotransferase (AST and ALT) values, indicators of hepatocyte injury, were not changed in HDAC2<sup>ΔHep</sup> mice compared to control littermates (Figure 31C). There were no obvious differences in AP levels or bilirubin levels between two groups, demonstrating that liver function was not impaired (Figure 31C). Liver metabolisms such as cholesterol and triglyceride were not changed either (Figure 31C).

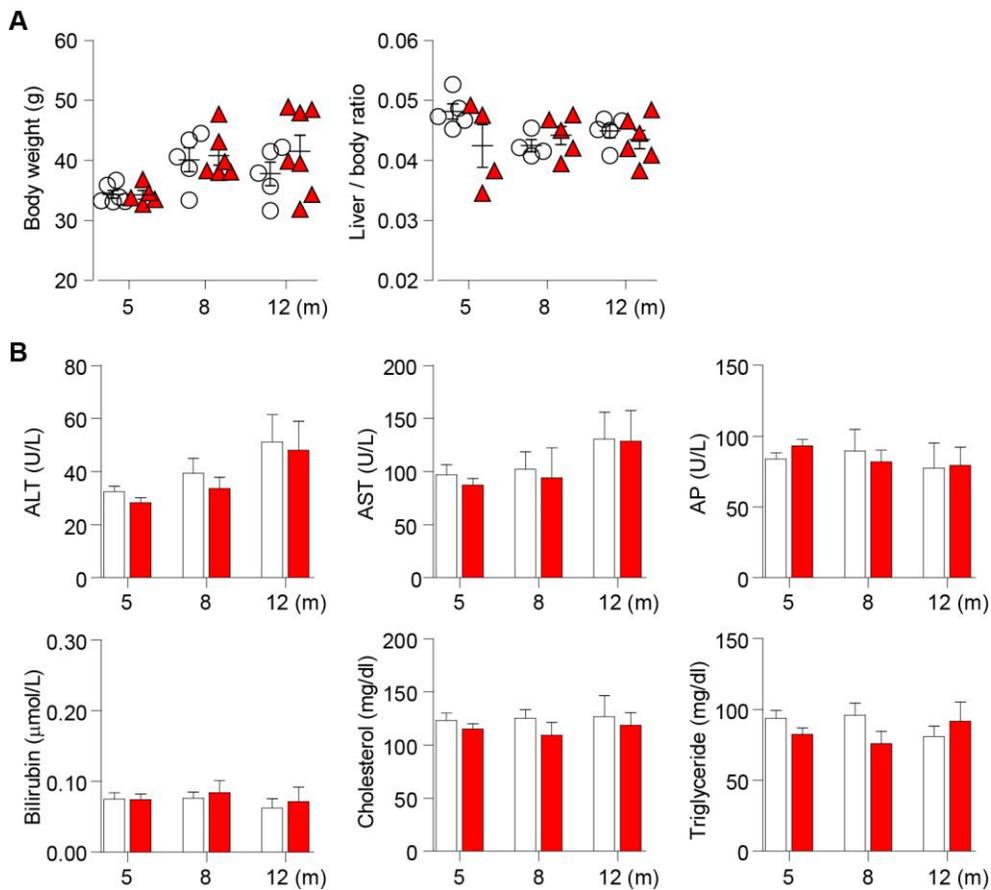


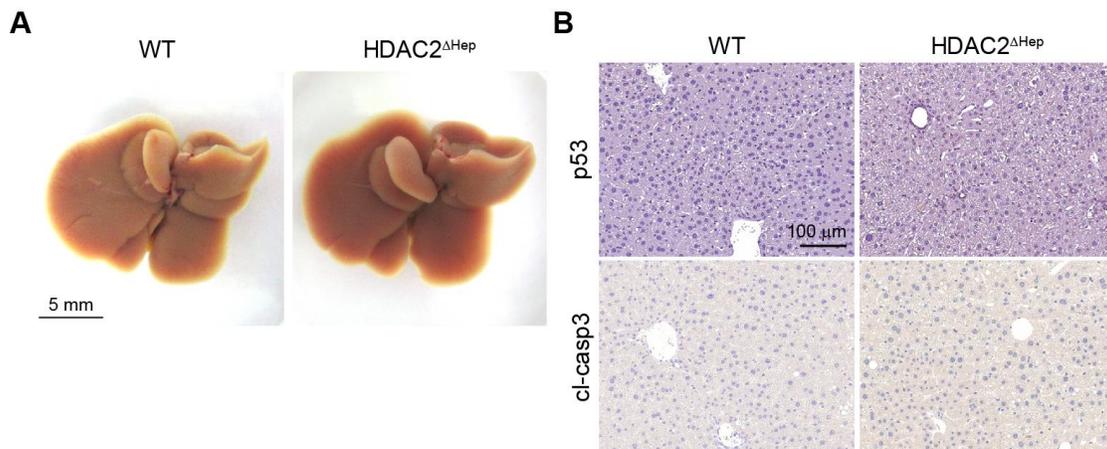
Figure 31. No obvious liver injury was detected in HDAC2<sup>ΔHep</sup> mice.

## Results

(A) Left: body weight of WT mice and HDAC2<sup>ΔHep</sup> mice. Right: Liver / body weight ratio of WT and HDAC2<sup>ΔHep</sup> mice was determined.

(B) Serum levels of AST, ALT, AP, bilirubin, cholesterol and triglyceride in WT mice and HDAC2<sup>ΔHep</sup> mice.

Most of the livers from 1-year-old HDAC2<sup>ΔHep</sup> mice exhibited normal macroscopic appearance as the WT livers (Figure 32A). Immunohistochemistry analysis of p53 and cleaved-caspase 3, which are often expressed in liver damage models, were stained negatively in HDAC2<sup>ΔHep</sup> mice (Figure 32B).



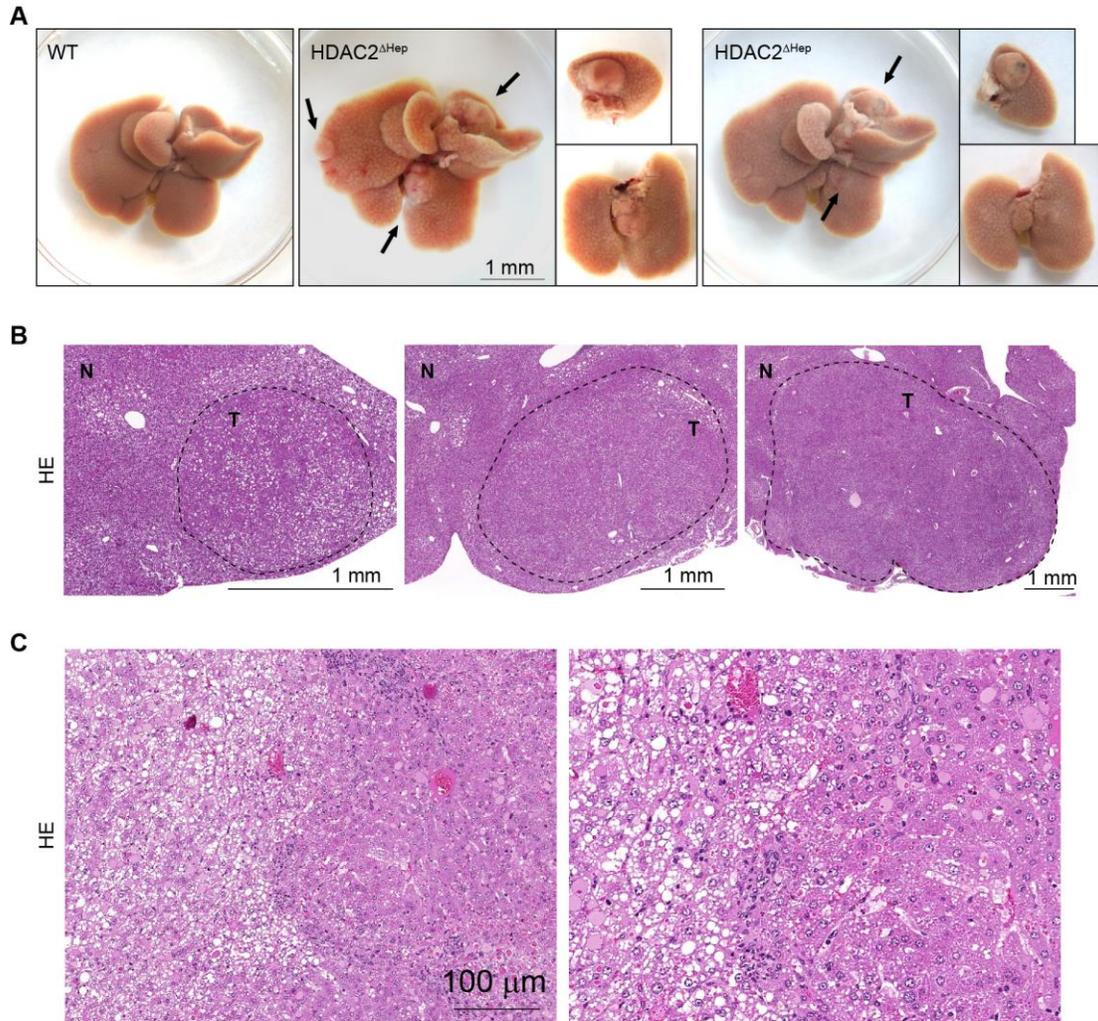
**Figure 32. Livers of 1-year-old HDAC2<sup>ΔHep</sup> mice show normal architecture.**

(A) Macroscopic appearance of liver from 1-year-old WT and HDAC2<sup>ΔHep</sup> mice.

(B) Immunohistochemistry staining of p53 and cleaved-caspase 3 in liver sections from 1-year-old WT and HDAC2<sup>ΔHep</sup> mice.

### 3.2.3 Low incidence of HCC formation in HDAC2<sup>ΔHep</sup> mice

Although most of 1-year-old HDAC2<sup>ΔHep</sup> mice didn't show sign of disease, 17.1% (6/35 mice) developed tumors on the liver surface. Liver tumors ranged from ~1 mm to 5 mm in diameter (Figure 33A, B). Histologically, most of tumors were similar to human steatohepatic HCC characterized by with ballooning cancer cells and inflammatory cell infiltration (Figure 33C), a special morphologic variant of HCC associated with metabolic risk factors [277].



**Figure 33. Development of liver tumors in HDAC2<sup>ΔHep</sup> mice.**

(A) Macroscopic appearance of liver from 1-year-old WT and HDAC2<sup>ΔHep</sup> mice.

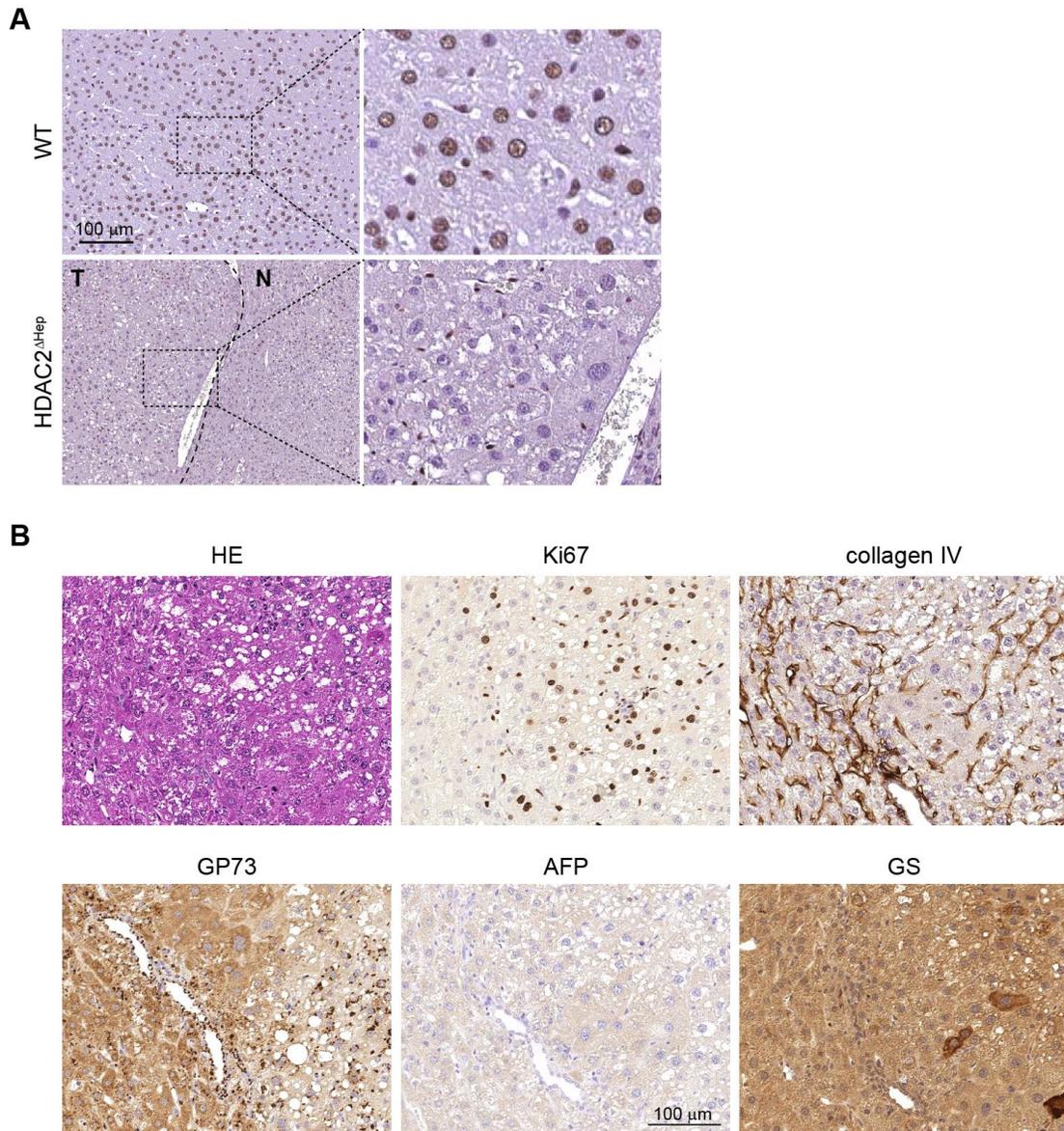
(B) Representative hematoxylin-eosin staining of liver sections with HCC ranging from small nodules to large tumors.

(C) Representative hematoxylin-eosin staining of steatohepatic HCC in 1-year-old HDAC2<sup>ΔHep</sup> mice.

### 3.2.4 Histological characterization of HCC in HDAC2<sup>ΔHep</sup> mice

To determine whether the tumors in HDAC2<sup>ΔHep</sup> mice originated from HDAC2 deficient hepatocytes or a minute subset of HDAC2 positive hepatocytes due to an inefficient Cre-recombinase mediated excision, immunohistochemistry analysis of tumor sections was performed with HDAC2 antibody. The results showed that the tumor cells were

negatively stained, suggesting that tumors in HDAC2<sup>ΔHep</sup> mice originated from HDAC2 deficient hepatocytes (Figure 34A).



**Figure 34. Histological characterization of HCC in HDAC2<sup>ΔHep</sup> mice.**

(A) Immunohistochemistry staining of HDAC2 in liver sections from 1-year-old WT and HDAC2<sup>ΔHep</sup> mice.

(B) Representative hematoxylin-eosin staining and immunohistochemistry staining of Ki67, collagen IV, GP73, AFP and glutamine synthetase (GS) of liver sections with tumors in HDAC2<sup>ΔHep</sup> mice.

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HDAC2 deficient tumors showed higher rate of proliferation than the surrounding non-tumor areas, as revealed by Ki67 staining, and disordered ECM network by collagen IV staining (Figure 34B). In addition, tumors in HDAC2<sup>ΔHep</sup> mice displayed strong immunoreactivity for GP73 (Figure 34B). However, most HDAC2 deficient tumors were negatively stained for AFP and glutamine synthetase (GS) (Figure 34B). These patterns might provide clues for exploring mechanism of how HDAC2 deficiency leads to cancer development.

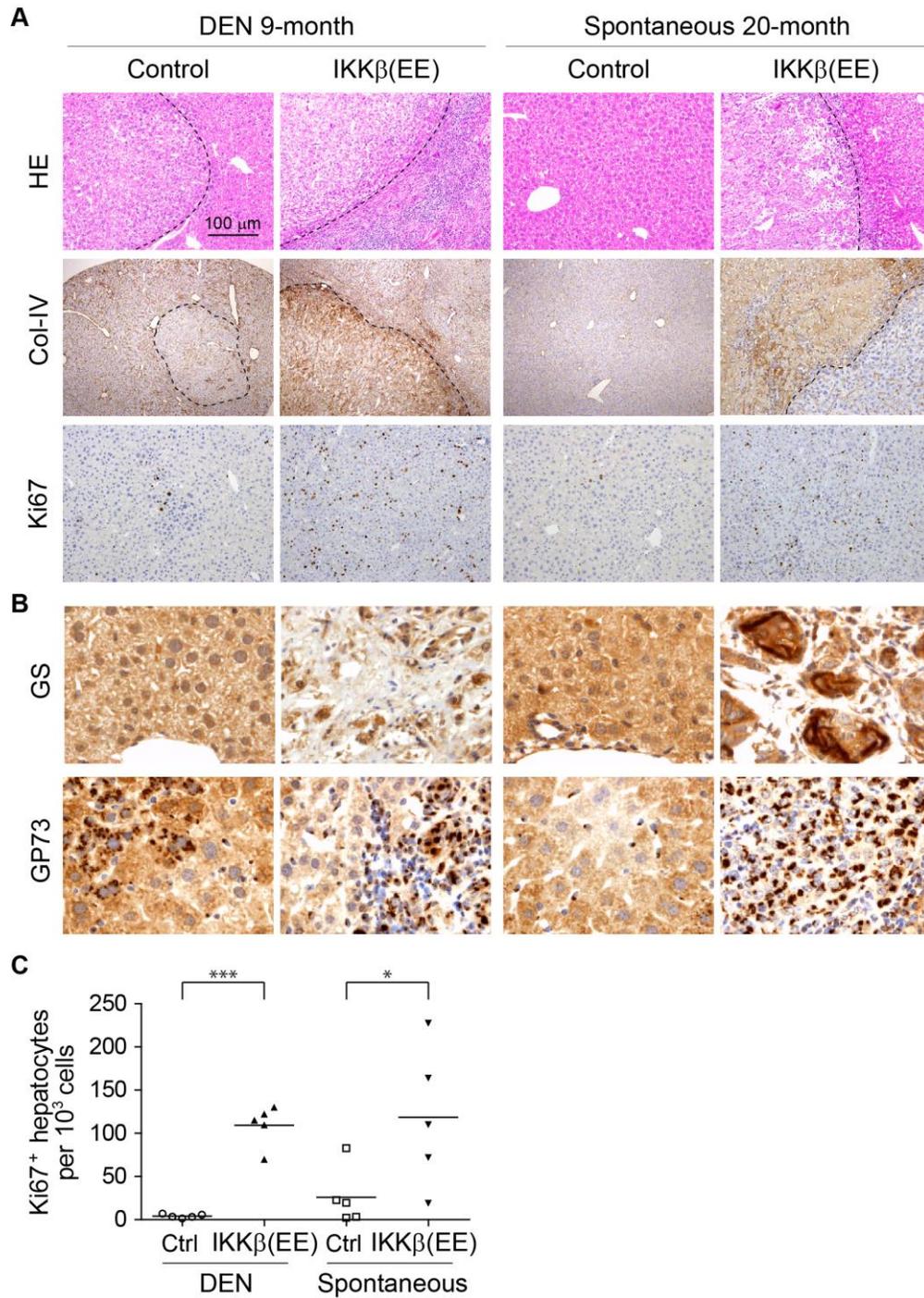
### 3.3 Inflammatory micro-niche promotes HCC development with crucial survival and growth factors.

#### 3.3.1 Persistent activation of IKK in hepatocytes induces HCC

ROSA26-LSL-IKK $\beta$ (EE) mice [278] was bred with Albumin-Cre mice by our collaborator, Dr. Finkin and Prof. Pikarsky to assess the outcome of aberrant IKK-NF- $\kappa$ B activation in hepatocytes. Their preliminary work has shown that 100% of IKK $\beta$ (EE) mice spontaneously developed HCC at 20 months of age compared to 8% of control Albumin-Cre mice. Treating IKK $\beta$ (EE) mice with the hepatic carcinogen diethylnitrosamine (DEN) accelerated appearance of HCCs as early as 9 months of age (data from Shlomi). We performed histological analysis to further characterize the liver tumors in IKK $\beta$ (EE) mice. Immunostaining for the proliferation marker Ki67, the matrix associated collagen IV, and the HCC markers GP73 and GS confirmed that these were aggressive HCCs (Figure 35A, B). Quantification of Ki67<sup>+</sup> hepatocytes showed that hepatocytes in IKK $\beta$ (EE) mice are more proliferative than those in WT mice (Figure 35C).

#### 3.3.2 Molecular characterization of HCCs in IKK $\beta$ (EE) mice

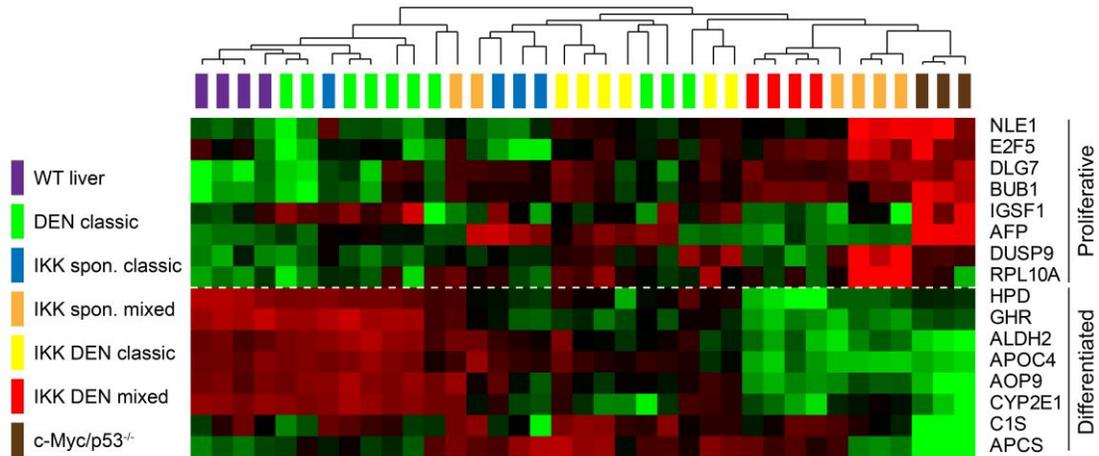
Cairo and colleagues defined a set of 16 genes that characterizes HCC aggressiveness [279], composed of a proliferation signature (associated with an aggressive phenotype) and a differentiation signature (associated with a mild phenotype). I measured expression of these 16 genes, using real time PCR, in spontaneously-developed IKK $\beta$ (EE) HCCs (n=10), DEN-treated IKK $\beta$ (EE) HCCs (n=10) and DEN-treated WT mice HCCs (n=10) that served as controls. Both classical HCC and CCC/HCC morphologies from IKK $\beta$ (EE) were included in this analysis. Also included in the analysis were RNA samples prepared from normal WT liver parenchyma (n=4) and from highly aggressive c-myc/p53<sup>-/-</sup> HCCs (n=3) (REF). I then performed unsupervised clustering of the tumors (Figure 36). HCCs from DEN treated WT mice tended to cluster with the WT livers while HCCs from IKK $\beta$ (EE) mice clustered with the aggressive c-myc/p53<sup>-/-</sup> HCCs (7 out of 10 compared with 2 out of 20, p=0.002, Fisher's exact test). Tumors displaying the CCC/HCC morphology, which were only detected in the IKK $\beta$ (EE) mice and not found in control DEN treated ones, showed a worse expression pattern than those with classical HCC morphology (Figure 36). Of note, I did not detect a difference in the gene expression pattern between spontaneous and DEN induced HCCs in IKK $\beta$ (EE) mice.



**Figure 35. Histological characterization of HCC in IKK $\beta$ (EE) mice.**

(A) Hematoxylin-eosin staining and immunohistochemistry staining of col-IV, Ki67 in liver sections from mice as indicated.

- (B) Immunohistochemistry staining of GS and GP73 of liver sections from (A).  
 (C) Quantification of Ki67<sup>+</sup> hepatocytes from (A).



**Figure 36. Molecular characterization of HCCs in IKK $\beta$ (EE) mice by 16-gene HCC signature.** Heat map representation of relative mRNA expression of a 16-gene HCC proliferation/differentiation signature in WT liver parenchyma or HCCs derived from the indicated mice. Clusters were determined by an unsupervised clustering.

To further confirm the neoplastic nature of the tumors we performed array comparative genomic hybridization (aCGH) of genomic DNA isolated from HCCs derived from either DEN-treated Alb-Cre control (n=12), DEN-treated IKK $\beta$ (EE) mice (n=11) and 20-months old IKK $\beta$ (EE) mice (n=13). aCGH revealed chromosomal aberrations in all HCC samples, confirming their neoplastic nature (Figure 37). Amplifications and deletions of chromosomal regions ranged from <1 megabase (MB) to 193 MB. Of note, the complexity of the genomic aberrations differed between the 3 groups of mice: DEN-induced HCCs from control Alb-Cre mice showed the lowest amount of aberrations (Figure 37B), followed by DEN-induced HCCs derived from IKK $\beta$ (EE) mice (Figure 37B), while spontaneous IKK $\beta$ (EE) HCCs acquired the highest number of copy number variations (Figure 37A). Taken together, the data confirmed that IKK $\beta$ (EE) mice develop aggressive HCCs with a 100% penetrance.

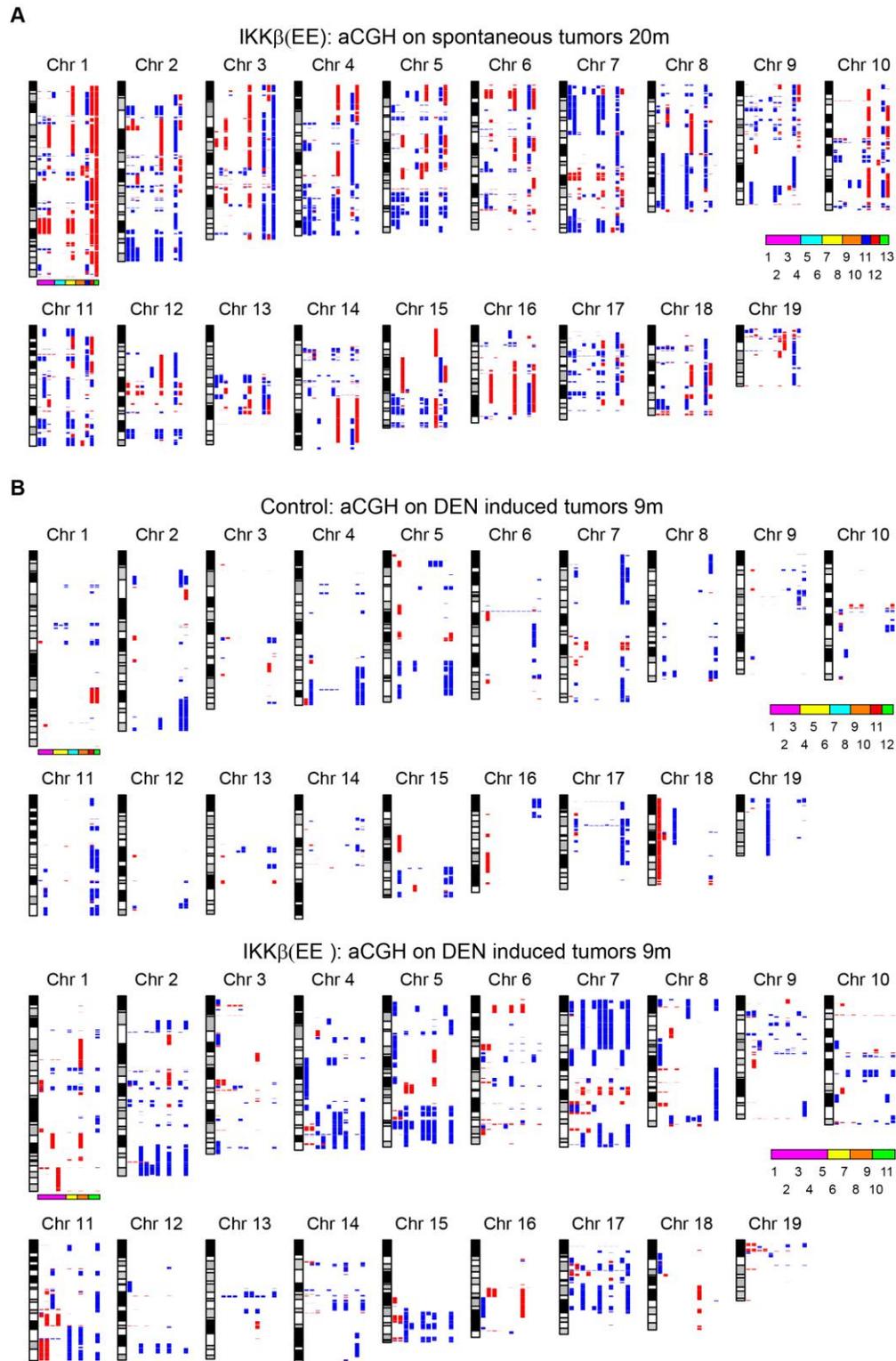
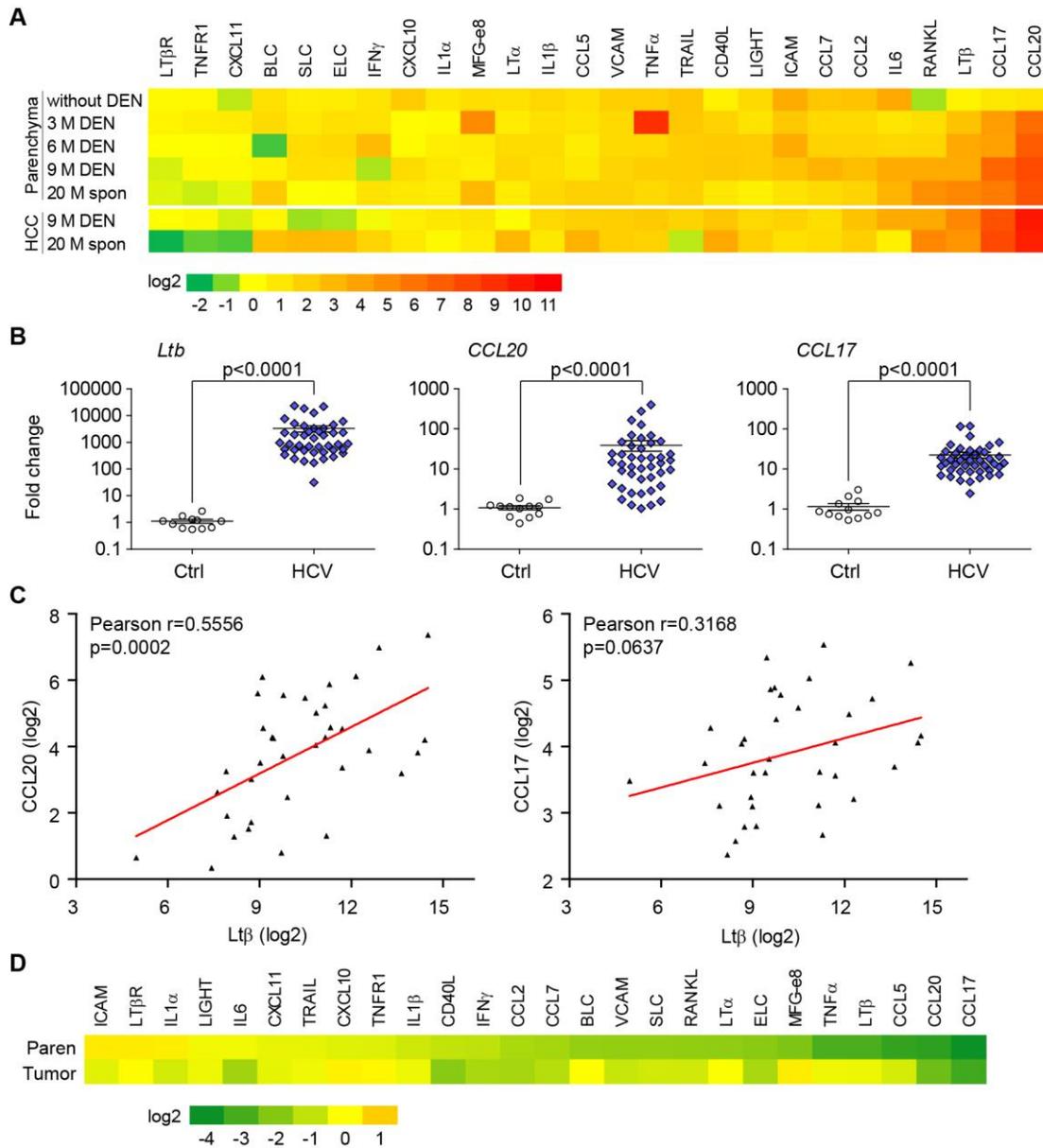


Figure 37. aCGH analysis of spontaneous IKK $\beta$ (EE) HCCs (A), DEN-induced HCCs from control mice and IKK $\beta$ (EE) mice. Bioinformatic analysis was done by PD Dr. Kristian Unger.

## Results



**Figure 38. Activation of LT pathway in IKK $\beta$ (EE) mice and HCV infected patients.**

(A) mRNA qPCR analysis of liver parenchyma and HCCs from IKK $\beta$ (EE) or DEN-treated IKK $\beta$ (EE) mice at the indicated ages, as well as in liver parenchyma of 3 months old IKK $\beta$ (EE) mice without DEN treatment. Each data point reflects the median expression, normalized to the mean expression of the same gene in control livers derived from the equivalent Alb-Cre mice ( $n \geq 5$ , besides  $n=1$  for a single HCC that developed spontaneously in 20-months old Alb-Cre mice and  $n=4$  for 3 months old Alb-Cre mice).

(B) Scatter plot representations of mRNA qPCR analyses of liver tissue from HCV-infected patients (n=43) relative to healthy controls (n=12, \*\*\*p<0.0001). Scatter plots depict mRNA amounts of the LT pathway chemokines LT $\beta$ , CCL17 and CCL20.

(C) Spearman correlation plots of mRNA expression levels of *lt $\beta$*  vs. *ccl17* and *lt $\beta$*  vs. *ccl20*, respectively in livers from HCV infected patients.

(D) Heat map representation of mRNA qPCR analysis of liver parenchyma and HCCs from 33-weeks-old IKK $\beta$ (EE) mice treated with LT $\beta$ R-Ig for 10 consecutive weeks. Each data point reflects the median expression, normalized to the mean expression of the same gene in equivalent MOPC21 (control murine-IgG1)-injected IKK $\beta$ (EE) mice (n $\geq$ 7, Log2 scale).

### 3.3.3 Growth promoting cytokines in IKK $\beta$ (EE) livers promote HCC development

Previous work by Shlomi Finkin identified inflammatory structures prior to the appearance of HCCs in IKK $\beta$ (EE) livers (data not shown). I hypothesized that cytokines possibly present at high concentrations in these inflammatory structures could mediate the tumor promoting effects. To identify pro-tumorigenic signals, we measured expression of multiple cytokines in liver parenchyma, livers and HCCs from IKK $\beta$ (EE) mice. Among others, lymphotoxin (LT) family members, in particular LT $\beta$  and LIGHT, and their downstream effectors, CCL17 and CCL20, were prominently overexpressed in IKK $\beta$ (EE) livers (Figure 38A). LT $\beta$  is also expressed in livers of patients with chronic HCV infection (Figure 38B). Moreover, I noted a significant correlation between CCL17 and CCL20 expression and that of LT $\beta$  in human samples (Figure 38C). This suggests that LT $\beta$ R activation by LT $\alpha$ / $\beta$  and/or LIGHT could play a key role in pro-tumorigenic processes.

To test this hypothesis, blockade of LT cytokines in IKK $\beta$ (EE) mice using a soluble LT $\beta$ R fused to a murine immunoglobulin Fc portion (LT $\beta$ R-Ig) was performed by Shlomi Finkin. Measurements of expression of multiple cytokines in liver parenchyma showed significant reduction in many of the pro-inflammatory and of the LT-mediated cytokines (Figure 38D). LT $\beta$ R inhibition dramatically reduced HCC burden in IKK $\beta$ (EE) mice (data from Shlomi), indicating that LT signaling may be a promising drug target for HCC.

## 4. DISCUSSION

### 4.1 Role of pro-inflammatory signaling in adult stem cell homeostasis

Adult stem cells are tightly controlled in and embedded by their niches, which are highly dynamic and interactive with the involvement of many stromal cell types and soluble factors. Here, we present a series of genetic and pharmacologic experiments to investigate the role of TNF $\alpha$ /JNK signaling axis in inducing cholangiolar lineage commitment (Figure 39). First, cholangiolar differentiation was inhibited in HSP60 $\Delta$ Hep mice with JNK inhibition or pharmacological Kupffer cell depletion, the latter of which can be reversed by additional TNF $\alpha$  treatment. Second, TNF $\alpha$  stimulation promoted cholangiolar lineage commitment of bipotential hepatoblasts and HepRG cell line, whereas JNK inhibition impaired this process. Third, knockout of TNFR1 shifted the lineage commitment of LPCs from cholangiocyte differentiation into hepatocyte differentiation. Last, by using the established mouse ICC cell line and human CCC cell lines, we demonstrate that murine and human ICC cells intrinsically possessed higher TNFR1 expression and JNK activation, and were thereby sensitive to JNK inhibition.

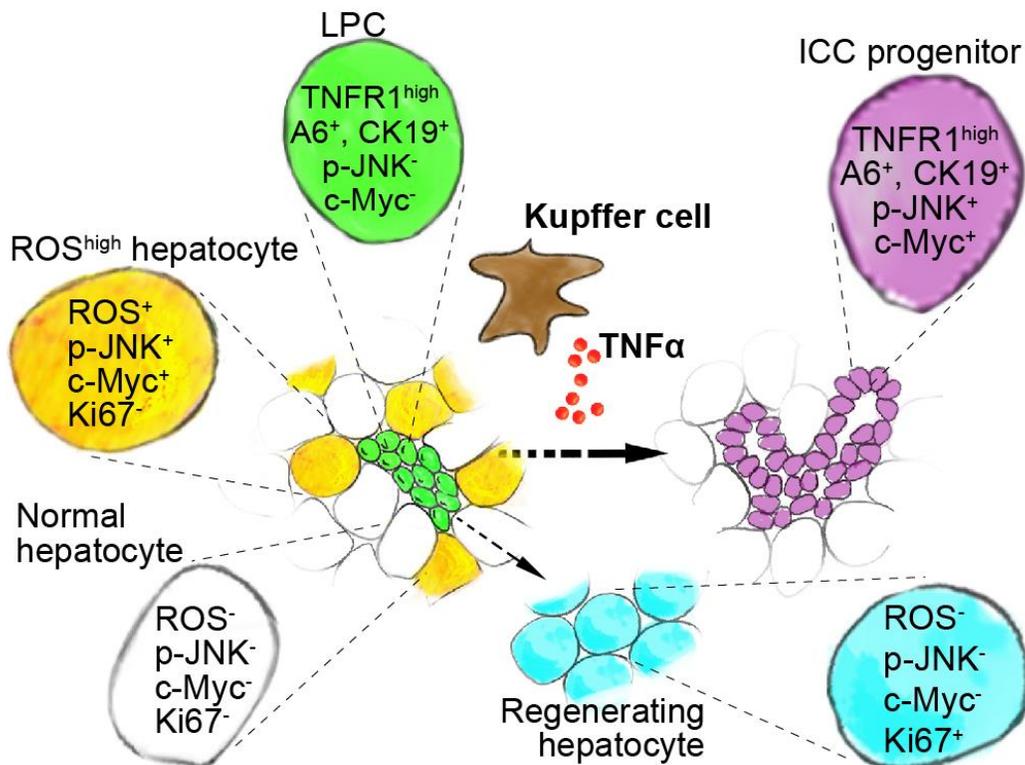


Figure 39. A model for non-autonomous ICC initiation by oxidative stress in hepatocytes.

It may help to gain more insight into TNF $\alpha$ 's role in liver homeostasis by comparing our mechanism with the role of TNF $\alpha$  in other physiological or pathological conditions, such as liver development and liver regeneration. A representative study of TNF $\alpha$  in liver development was done by Akihide Kamiya and Frank J. Gonzalez [35]: TNF $\alpha$  mRNA was detected from midfetal livers and continued to be expressed in 14-day-old mouse livers, but not in 21-day-old livers and the adult livers, whereas TNFR1 is expressed in both fetal and adult livers. Using cultured fetal hepatocytes, TNF $\alpha$  was characterized as a suppressive factor of hepatic maturation through inhibiting the expression of HNF4a and several mature liver-specific genes. However, TNF $\alpha$  promotes cell division by inducing the expression of cyclin A2. In contrast, hepatic maturation factor oncostatin M (OSM), an IL-6 family cytokine expressed in the late-fetal liver, induces hepatic maturation but at the same time reduces the relative proliferation of fetal hepatocytes. The authors interpreted the temporal and spatial co-existence of these factors as a balance of liver's hematopoiesis function and hepatic function around the perinatal stage. Another possible reason for this complexity we would like to add is that TNF $\alpha$  may prevent premature differentiation of fetal hepatocytes before the liver reaches its normal mass. TNF $\alpha$  and OSM balance the growth and the function of fetal liver by subtle temporal regulation that TNF $\alpha$  expresses mainly during the perinatal and postnatal stages while OSM expresses in the late-fetal liver. Their work demonstrated the role of TNF $\alpha$  in the progress of fetal liver development. Although the function of TNF $\alpha$  in biliary cell differentiation was investigated in their work, the suppressive role of TNF $\alpha$  in hepatocyte maturation is in line with our findings.

The role of TNF $\alpha$  signaling during liver regeneration after partial hepatectomy has been studied since 1990s. The results seem to be controversial due to: First, the dual effects of TNF $\alpha$  signaling in cell survival or cell death; Second, the different models researchers used; last, the different surgery procedure, for example, the extent of hepatic resection [83, 280-283]. A currently accepted paradigm is that TNF $\alpha$  is one of the immediate-early cytokines upregulated during the first step of liver regeneration and act as a primer to sensitize hepatocytes to the proliferative effects of growth factors like IL-6 [284-286]. Administration of anti-TNF antibodies or genetically knockout TNFR1 harms DNA replication, resulting into delayed liver regeneration and significant mortality [287]. It is worthy to note that activation of JNK and c-Jun has been demonstrated shortly after partial hepatectomy [288] and c-Jun is essential in hepatogenesis during embryonic development and in vitro differentiation [83, 84, 289]. The work with fetal liver

development and partial hepatectomy demonstrates that TNF $\alpha$ /TNFR1-JNK axis enhances hepatocytes plasticity by keeping them in the less differentiated and more proliferative state. Recently, TNF $\alpha$  was shown to contribute to trans-differentiation of rat hepatocytes into cholangiocytes [273]. Our data further extended these results by showing that TNF $\alpha$  exerts an important role in lineage specification of LPCs under the context of mitochondrial defects induced liver damage. Collectively, these results indicate that TNF $\alpha$ /TNFR1 signaling regulates liver homeostasis in both physiological and pathological situations by inducing fate decision. The effect of TNF $\alpha$  in keeping cells away from hepatic lineage seems to be similar in both fetal and terminally differentiated hepatocytes, as well as in LPCs, suggesting a developmental conservatism of these cells. In addition, it remains to determine how the cross-talk of TNF $\alpha$  signaling with the other signaling pathways (for example, IL-6 signaling, TGF $\beta$  signaling, Notch signaling, et al) coordinates liver cell plasticity in different models.

Our observations together with the data provided by Nishikawa suggest that TNF $\alpha$ /TNFR1-JNK signaling can induce a biliary differentiation program in hepatocytes or hepatic progenitor cells, implicating this signaling pathway in the pathogenesis of human ICC. Indeed, we pathological examination of human HCC and ICC samples showed that TNF $\alpha$  and p-JNK were found in ICC cells, whereas they were mostly absent from HCC samples. In line with our findings, Hefetz-Sela and colleagues did pathological examination of human HCC samples and demonstrated that malignant hepatocytes are mostly negative for p-JNK [276]. To date, ICC currently lacks effective chemotherapeutic options due to the lack of identification of critical ICC relevant factors. Our results with human HCC and ICC samples reveal distinct molecular traits of these two different pathological entities. This finding was further substantiated by the fact that cancer cells isolated from human ICC are more sensitive to JNK inhibition compared to cells from HCC. JNK inhibition also led to the regression of established xenotransplant tumors derived from ICC cell lines in vivo. In our study, very low levels of p-JNK<sup>+</sup>CK19<sup>+</sup> cells are also present in “unaffected” liver tissues from ICC patients. Due to the small number of cases, detailed statistical analyses could not be performed to evaluate the correlation between these cells and ICC relapse. Further investigation of the link between TNF $\alpha$  positivity and clinic-pathological features of ICC patients may provide important clues for disease surveillance. In addition, targeting TNF $\alpha$  or JNK might provide a new therapeutic approach for the treatment of aggressive ICC.

Our data highlight the role of mitochondrial dysfunction and oxidative stress in liver pathogenesis. Mitochondrial dysfunction and oxidative stress have been reported to play widespread roles in aging, cancer and other human pathologies [290-293]. The evidence of oxidative stress is also one of the common features of acute and chronic liver diseases, contributing to disease progression [26, 41, 291]. Mitochondrial dysfunction and oxidative stress exert their functions via diverse mechanisms, including DNA damage accumulation, maintaining mitogenic signals, promoting angiogenesis, metabolism reprogramming, and modulation of immune response [294-298]. Most recently, new data from a genetic screen in *Drosophila* have indicated that mitochondrial defect and ROS production can activate oncogenic signaling in the neighboring cells by forming a carcinogenic environment [268]. In this study, we demonstrated that mitochondrial defect in hepatocytes triggers ROS accumulation and recruits TNF $\alpha$ -producing Kupffer cells, which constitute a favorable niche for cholangiolar lineage specification by activating TNF $\alpha$ /JNK signaling axis in LPCs. Attenuating the accumulation of ROS abolishes cholangiolar overgrowth in the mice. To our knowledge, this is the first indication that ROS produced in hepatocytes play a role in the pathogenesis of ICC in a cell-non-autonomous way, which also suggests that the liver microenvironment could be pharmacologically manipulated to improve liver function in advanced liver disease like acute hepatitis. A better insight into the intrinsic and/or extrinsic role of oxidative stress in liver cancer progress will give implications for the prevention and therapy.

In conclusion, we show that TNF $\alpha$ , a cytokine that has become the paradigm for induction of inflammatory responses, is also key in the establishment of the cholangiolar lineage in mitochondrial defect induced liver damage. In addition to the known signaling inputs required to establish cholangiolar fate, inflammatory signals should now be added to this list. As a reciprocal supportive interplay between cancer cells and their niche may be critical to promote cancer initiation and progression, blocking ROS accumulation, targeting TNF $\alpha$  signaling and/or JNK activation are likely to be attractive therapeutic approaches for the prevention and treatment of human ICC. Our finding not only provides another example to a mechanism in which pro-inflammatory microenvironment may function as niche to coordinate adult stem cell differentiation, but also suggests TNF $\alpha$  and JNK as an attractive ICC therapeutic target.

## 4.2 Specific role of HDAC2 in liver homeostasis

The role of individual HDAC in liver homeostasis and the underlying molecular mechanisms are being extensively investigated these days. Loss of HDAC2 alone has shown to be compensated by HDAC1 in many tissues, including epidermis and T cells [299, 300]. Zimmermann and colleagues even showed that mice bearing mutant HDAC2 are compatible to survival, even though the mutant mice show reduced body size and decreased intestinal tumor rates [301]. Notably, Xia and colleagues have most recently shown that inactivation of either HDAC1 or HDAC2 severely reduced liver regeneration after hepatectomy [302], indicating that the functions of HDAC1 and 2 are not completely redundancy in livers. Our finding that HDAC2 deficiency in hepatocytes leads to tumor development at 1-year-old of age is surprising. However, further studies are urgently necessary for the proper interpretation of these results.

First, the low incidence of tumor formation in HDAC2<sup>ΔHep</sup> mice needs to be confirmed by larger cohort of mice. Since we didn't see even a single case of tumor development in livers of WT mice, the cancer phenotype of HDAC2<sup>ΔHep</sup> mice is not likely due to housing conditions like occasional virus infection or food contamination. The low tumor incidence could be resulted from compensation of HDAC1. However, even in hepatocytic HDAC1/2 double knock-out mice, the livers didn't show any abnormalities expect in pathological conditions such as hepatectomy mentioned above. Nevertheless, our results didn't conflict with this report because the authors didn't age their knock-out mice. Increasing the cohort of aged mice will not only help to confirm the phenotype but also provide clues for mechanism study.

Second, the differences between aged mice without HCC and mice with HCC need to be clarified. The reason for the low tumor incidence might be that HDAC2 deficiency itself is not sufficient to induce cancer development. Loss of HDAC2 could facilitate tumorigenesis triggered by other stimulus. Comparing the aged livers with or without tumors is important for understanding the specific role HDAC2 in liver homeostasis and liver cancer progression.

Finally, our histological subtyping of liver tumors in HDAC2<sup>ΔHep</sup> mice already pointed to a specific group of HCC - steatohepatic HCC. The ballooning cancer cells as well as the surrounding ballooning hepatocytes indicate that the lipogenesis of hepatocytes was dysregulated. Whether HDAC2 deficiency leads to increased lipogenesis or HDAC2 deficiency facilitates tumor initiation triggered by increased lipogenesis needs further

investigations. The preference of steatohepatic HCC in HDAC2<sup>ΔHep</sup> mice also suggests that monitoring metabolism changes, in our case lipogenesis of hepatocytes, is important to predict the drug reactivity for clinical trials of HDAC inhibitors, and supply of HDAC inhibitors to patients with hepatosteatosis or nonalcoholic steatohepatitis should be very cautious.

### 4.3 Inflammatory micro-niche for tumorigenesis

The study of HCC development in IKKβ(EE) mice shows that constitutive activation of the IKKβ-NF-κB pathway can result in spontaneous hepatocarcinogenesis and accelerate carcinogen induced HCC. This is driven at least partly via expression of cytokines that are presented to transforming hepatocytes within defined inflammatory micro-niche. Remarkably, similar micro-niche is commonly found in human livers in which the NF-κB pathway is constitutively activated due to infection with hepatitis viruses (e.g. HBV, HCV). Blockade of LTβR signaling sufficed to reverse some of the pro-tumorigenic effect of constitutive IKKβ activation in the liver. Of note, this marked tumor inhibition took place without any effect of the LTβR inhibitor on activation of the canonical NF-κB pathway in hepatocytes. Although the link between inflammation and cancer is well established and the role of NF-κB in orchestrating this link has been extensively studied, such intimate relationship between the inflammatory microenvironment and evolving cancer cells has not been described in solid malignancies.

It is noteworthy that the IKKβ(EE) driven mouse HCCs were histologically more aggressive than the HCCs that developed in control mice as assessed by histologic type. Also, two molecular markers of bad prognosis HCC were prevalent in IKKβ(EE) driven tumors: expression pattern of the 16 gene signature and higher numbers of genomic aberrations.

Of note, our study suggests that apart from the well-known cell-autonomous anti-apoptotic function of hepatocyte NF-κB, the non-cell autonomous effect of NF-κB signaling (promoting formation of inflammatory micro-niches) may be a critical pro-oncogenic mechanism. Our findings highlight the role of a specific inflammatory topology in a solid malignancy - formation of spatially-defined inflammatory micro-niches in tumor promotion in the liver. This is supported by both the compelling histological sequence and the functional studies in which we blocked signaling by specific cytokines that are

expressed in the micro-niche. Histological analyses revealed a continuous spectrum of lesions in livers with IKK $\beta$  activation: the smallest and earliest lesions composed solely of inflammatory cells, followed with appearance of atypical hepatocytes in these lesions, displaying markers of HCC progenitors. These atypical hepatocytes coalesced to form small clusters of cells, then sheets of malignant hepatocytes within the micro-niche eventually growing out of the boundaries of the inflammatory micro-niche to form bulky tumors. This entire spectrum was repeatedly observed in aged mice solely affected by chronic activation of IKK $\beta$  in hepatocytes, where multiple lesions representing different progression phases were concurrently seen in every mouse that was examined. DEN treatment dramatically accelerates inflammatory foci appearance suggests the possibility that tissue damage could be of relevance, either by sporadic enhancement of hepatocyte NF- $\kappa$ B activation, or by triggering another cooperating pro-inflammatory pathway. Interestingly, the early premalignant cells did not express LT $\beta$  on their own, but larger tumors that cannot be contained in the supportive micro-niche showed LT $\beta$  expression in malignant epithelial cells. Moreover, we consistently observed expression of LT $\beta$  in the periphery of inflammatory foci, often marking malignant hepatocytes that were migrating out. This raises the possibility that in order to exit the micro-niche, the expanding cancer cells must find an alternative source of supportive cytokines. We speculate that the acquisition of independence of a supportive niche could be a hallmark prerequisite of solid tumors, which first develop in a defined niche: either an inflammatory micro-niche as in the current case, or any other relevant micro-niche which can occur either physiologically or pathologically in a given tissue and provide the earliest tumor cells with a supportive environment. Specifically, it will be of interest to determine what other factors besides NF- $\kappa$ B, contribute to autocrine LT $\beta$  expression within these cells.

The presence of inflammatory follicles in human hepatitis is well known and is even considered a histologic hallmark of HCV infection. However, the role of these follicles in HCC development was never studied. Here we characterized the cellular and cytokine composition of such follicles and found them to be remarkably similar to the micro-niches we detected in mice with constitutive activation of IKK $\beta$ . We therefore propose that as in mice, in HCV infected livers, chronic activation of IKK and NF- $\kappa$ B signaling by viral proteins supports the formation of discrete inflammatory follicles that are rich in pro-tumorigenic cytokines, of which LT $\beta$ R ligands are probably only one example. In support of this hypothesis, we find high levels of LT $\beta$  and its downstream effectors in inflammatory aggregates in human livers infected with HCV. The connection between

## Discussion

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chronic inflammation and cancer is now well established. Our new findings suggest that defined microscopic foci of chronic inflammation can form discrete niches nesting the seeds of cancer, which germinate upon acquiring sufficient hallmark capabilities that allow them to spread out of their nursing niche to form full blown malignant tumors.

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## LIST OF PUBLICATIONS

1. "Hepatic mitochondrial dysfunction causes cholangiolar hyperproliferation and neoplasia via Kupffer cell-mediated TNF."

Detian Yuan, Emanuel Berger, Nicole Simonavicius, Lei Liu, Daniel Hartmann, Nina Gross, Julia Weber, Florian Reisinger, Marc Ringelhan, Ruediger Meyer, Maria Reich, Valentina Leone, Dirk Wohlleber, Simone Jörs, Fabian Geisler, Marco Prinz, Percy Knolle, Verena Keitel, Kristian Unger, Thomas Ried, Norbert Hüser, Roland Rad, Achim Weber, Dirk Haller, Mathias Heikenwälder

(Manuscript preparation)

2. "Mitochondrial dysfunction in the epithelium activates paracrine WNT-signaling and causes hyperproliferation of the intestinal stem cell compartment."

Emanuel Berger, **Detian Yuan**, Waldschmitt N, Rath E, Allgäuer M, Staszewski O, Kober O, Lobner E, Schöttl T, Prinz M, Weber A, Gerhard M, Klingenspor M, Janssen KP, Mathias Heikenwälder, Dirk Haller.

(Manuscript preparation)

3. "Ectopic lymphoid structures as microniches for tumor progenitor cells."

Shlomi Finkin, **Detian Yuan**, Ilan Stein, Koji Taniguchi, Achim Weber, Kristian Unger, Jeffrey L. Browning, Nicolas Goossens, Yujin Hoshida, Michael Berger, Orit Pappo, Klaus Rajewsky, Michael Karin, Mathias Heikenwalder, Yinon Ben-Neriah and Eli Pikarsky. *Nature Immunology*. 2015 Dec;16(12):1235-44. doi: 10.1038/ni.3290.

4. "CRISPR/Cas9 somatic multiplex-mutagenesis for high-throughput functional cancer genomics in mice."

Julia Weber, Rupert Öllinger, Mathias Friedrich, Ursula Ehmer, Maxim Barenboim, Katja Steiger, Irina Heide, Sebastian Mueller, Roman Maresch, Thomas Engleitner, Nina Gross, Ulf Geumann, Beiyuan Fu, Angela Segler, **Detian Yuan**, Sebastian Lange, Alexander Strong, Jorge de la Rosa, Irene Esposito, Pentao Liu, Juan Cadiñanos, George S. Vassiliou, Roland M. Schmid, Günter Schneider, Kristian Unger, Fengtang Yang, Rickmer Braren, Mathias Heikenwälder, Ignacio Varela, Dieter Saur, Allan Bradley,

and Roland Rad. Proc Natl Acad Sci U S A. 2015 Nov 10;112(45):13982-7. doi: 10.1073/pnas.1512392112.

5. "Hepatocellular carcinoma originates from hepatocytes and not from progenitor cells."

Xueru Mu, Regina Espanol-Suner, Christine Sempoux, Frederic P. Lemaigre, Arlind Adili, **Detian Yuan**, Achim Weber, Kristian Unger, Mathias Heikenwalder, Isabelle A. Leclercq, Robert Schwabe, Silvia Affo, Ingmar Mederacke, Rita Manco. J Clin Invest. 2015 Oct 1;125(10):3891-903. doi: 10.1172/JCI77995.

6. "Modeling human liver cancer heterogeneity: virally induced transgenic models and mouse genetic models of chronic liver inflammation." *Review*

Marc Ringelhan, Florian Reisinger, **Detian Yuan**, Achim Weber, Mathias Heikenwalder. Curr Protoc Pharmacol 2014 1;67:14.31.1-14.31.17.

7. "Lymphotoxin, NF-κB, and cancer: the dark side of cytokines." *Review*

Judith Bauer, Sukumar Namineni, Florian Reisinger, Jessica Zoller, **Detian Yuan**, Mathias Heikenwalder. Dig Dis 2012 24;30(5):453-68.

## CURRICULUM VITAE

<b>Personal details</b>	
First names / Surname	Detian Yuan
Address	South Cangshan Road 17, 276700, Linshu, Shandong, China
Nationality	Chinese
Date of birth	02.03.1986
Gender	Male
<b>Educational qualifications</b>	
Dates	August, 2011 ~ present
Occupation or position held	PhD student
Name and type of organization	Institute of Virology, Technische Universität München / HelmholtzZentrum München
Main activities and responsibilities	<ul style="list-style-type: none"> <li>• Investigating the casual link between mitochondrial defects and cancer initiation</li> <li>• Functional analysis of HDAC2 in liver homeostasis</li> <li>• Characterization of histological and molecular changes during HCC development driven by inflammatory micro-niche</li> </ul>
Publications	Refer to "List of publications"
Dates	August, 2007 ~ January, 2011
Title of qualification awarded	Master of Cell biology
Name and type of organization providing education and training	Institute of Biochemistry and Cell Biology, Chinese Academy of Sciences, Shanghai, China
Main activities and responsibilities	<ul style="list-style-type: none"> <li>• Role of E-cadherin-mediated cell-cell contact in the generation of induced pluripotent stem cells</li> <li>• Screening of chemicals that facilitate iPS cell generation.</li> </ul>
Publication	E-Cadherin-Mediated Cell–Cell Contact Is Critical for Induced Pluripotent Stem Cell Generation. STEM CELLS, (2010) 28, 1315–1325.

## Curriculum vitae

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Dates	September, 2003 ~ June, 2007
Title of qualification awarded	Bachelor in Biology
Name and type of organisation providing education and training	School of Life Science, Shandong University, Jinan, China <ul style="list-style-type: none"><li>Intrathymic injection of heterogenic antigen impacts on T-cell development and induces lymphocytic infiltration in the thymus</li></ul>

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