

Office Building Energy Benchmarking Comparison: Sydney and New York

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ABSTRACT: In recent years several national and municipal governments have begun requiring building owners to disclose the actual annual operational energy and water performance of their properties. These mandates promise not only to be effective in encouraging building operators to be more energy efficient, but also instrumental in improving energy benchmarking practices through the creation of large databases that building owners, occupants, and researchers can access to evaluate the energy performance of certain properties in relation to the performance of a vast inventory of similar buildings using consistent metrics. In 2012 pilot results from energy performance disclosure programs in Australia and New York began trickling in to their supervising entities, and subsequently some Energy Use Intensity (EUI) and Green House Gas (GHG) data have become accessible to the public. This paper presents the preliminary results of a study that examined how effectively this data can be used to compare representative top, middle, and bottom commercial office building energy performers in central business districts of Sydney, Australia and New York, United States of America.

Keywords: building energy performance, energy metrics, energy performance disclosure, commercial office energy performance, architecture and energy consumption, energy benchmarking

INTRODUCTION

Building energy consumption depends on a myriad of variables that simplistically can be reduced down to four factors: (1) building type, (2) site characteristics, (3) building design and (4) operations. As primarily developers dictate the building type through their brief, owners determine many site characteristics through zoning regulations, architects and engineers define building design through their drawings and specifications, and occupants and facility managers manage operations through their daily habits, and as all too often happens with little or no collaboration, it is no wonder that some buildings squander energy. Historically the movement to counter this wastage has sought to advance design and construction practices by adopting more stringent building energy codes, which encouraged the development of both better building systems and better tools to design buildings. However, more recently, in order to meet green house gas (GHG) emissions targets, city officials have recognized that they must contend with the challenge of retrofitting sizeable stocks of existing buildings, and the focus has shifted from improving methods in predicting building energy performance of new construction to improving methods in reporting the real building energy performance of existing buildings.

Within the span of the last five years several national and municipal governments have enacted regulations

encourage building owners to improve the energy performance of their building portfolios. For some programs, an additional aim is that researchers may be able to use these registers with large inventories of annual operational energy data to reveal new insights about operational energy management. These databases are not an entirely new creation. The U.S. Energy Information Administration has been collecting data on commercial building operational energy through the Commercial Building Energy Consumption Survey (CBECS) since 1979. These data have been used to create several successful benchmarking tools such as the Energy Portfolio Manager and Target Find. Nevertheless the voluntary nature of these energy disclosure surveys for energy did not assist in garnering an extensive response rate. Therefore these non-programmed with mandated requirements will expand the numbers of buildings included in these existing databases exponentially as well as create new database

Studies using these data are just beginning, and the results already are unmasking interesting patterns in urban building energy consumption. Undoubtedly the existing databases will continue to prove extremely useful in illustrating trends in energy performance specific to each locality. This paper in attempting to perform a simple preliminary comparative analysis of commercial office energy performance for two well known metropolises on opposite sides of the globe, New York City and Sydney

imately prove useful in suggesting techniques for proving efficiency.

NEW YORK AND SYDNEY ENERGY DISCLOSURE

In May of 2013, ten municipalities in the United States have instituted programs requiring building owners to submit energy and water consumption data. In 2007 the New York City government set the goal of reducing greenhouse gas emission by more than 30% by the year 2030, and then two years later with the passing of Local Law 24 established the country's most ambitious energy disclosure program in terms of building area covering more square footage than all the other US programs combined. New York City's five boroughs contain more than one million structures, but city officials somewhat surprisingly determined that a disclosure program encompassing only the biggest 2%, or those properties over 100,000 square feet (4,645 square meters), would cover 45% of the city's built area. They identified approximately 15,000 properties as being responsible for 45% of New York City's greenhouse gas emissions [2].

On the opposite side of the globe, the government of Australia followed a similar trajectory by enacting the Building Energy Efficiency Disclosure Act 2010 and launching its compulsory commercial building energy disclosure program in 2010, using as a basis its voluntary National Australian Built Environment Rating system (NABERS), first administered by the state of New South Wales (NSW), and an outgrowth of the Australian Green Building Rating (AGBR) first developed in 1999 [2].

While both programs encourage the owners and operators of larger commercial properties to track, to assess using certified assessors and to disclose annual overall energy consumption, energy use intensity (EUI) and water use intensity data as well as provide a calculation of their greenhouse gas (GHG) emissions to assist in their city's or nation's GHG inventories, they differ in some important respects. Firstly, Australia's Commercial Building Disclosure (CBD) program does not require all commercial building owners to submit an annual report directly. Rather, it stipulates each seller or lessor of an office space of 2000 square meters or more to provide their prospective buyers or tenants with a Building Energy Efficiency Certificate (BEEC). Only LEED accredited assessors on behalf of the seller or lessor can apply for a BEEC, achievement of which is based on fulfillment of criteria set out by the NABERS program. One of the NABERS criteria requires annual reporting of energy and water data to be maintained. It is the NABERS organisation that issues the BEEC and

accredited assessors to submit annual energy and water data using the Energy Star's Portfolio Manager on-line tool. This tool initially was developed in the year 2000 as part of United States government's Energy Star program, run by Environmental Protection Agency (EPA).

Australia's NABERS program provides star ratings, with the highest rating of 6 stars signifying market leading performance. The lowest rating of 1 star indicates poor performance. The Energy Star rating system ranks buildings on a scale of 1-99, with a ranking of 99 indicating that the building has energy performance in the top 1% of its category. An Energy Star ranking of 1 indicating that the building falls in the lowest 1% of all reported buildings of its category. Only buildings that perform in the top 25%, or having an Energy Star rating of 75, earn an Energy Star certificate. However, New York's Local Law 84 does not require that property owners achieve this Energy Star certificate. It only requires that the assessor obtain an Energy Star rating using the EPA's on-line tool [4].

Administrators of Local Law 84, as part of New York's Green Greater Buildings Plan, compiled and submitted data into an on-line publically accessible database on-line. In addition the City of New York has sponsored a number of studies to analyse the data collected and have published these findings in an informative report [3]. At this point the register maintained by the administrators of Australia's CBD program contains the names and addresses of 100 buildings that have obtained NABERS rating, but it does not list any performance data such as annual energy consumption or energy use intensity. In order to obtain this information, the public can search and register a download from the website copies of individual BEEC

Australia's CBD and NYC's Local Law 84 also stipulate different metrics. In the case of energy data provided in Australia's CBD, the BEEC provides the NABERS star rating, the rating period, the rating score (Base or Full Building), the rated area (m²), annual emissions (kg CO₂-e per year), annual emission intensity (kg CO₂-e/ m² per year) and annual energy consumption in MJ per year. Meanwhile the NYC downloadable database identifies properties not by name, but by the cities tax office's Borough Block ID (BBL) numbering system, and it holds addresses often different from those by which the building is commonly known. In terms of energy data, it provides the metrics used by EnergyStar: Site EUI, Weather Normalized EUI in the imperial units of kBtu per square foot, calculated EnergyStar Score, and GHG emissions (MCO₂e)

Lastly a most significant difference between disclosure programs that must be noted is the disparity building stock impacted. During the first year of full disclosure for Australia’s program, nearly the performance of 900 buildings was assessed, but this included buildings all across the country. By contrast, UIC’s Green Greater Building Plan released data on more than 4000 buildings at the end of its first year.

METHODOLOGY







In order to make the most solid comparison between the 12-month year of results of disclosure data, it was decided to focus on commercial office building performance would yield the best results as it represents the largest sector. New York’s full database covers 28 different building sectors, but over one quarter of the listings (145) were for office buildings. An even greater percentage of Australia’s CBD entries pertained to office buildings with reportedly 65% of national office market space (17.4 million square meters) rated as of the 2012 [e-mail correspondence, Dale Harkess, NSW Government].

The sample sizes were further reduced to concentrate on the primary commercial business districts of the international cities of Sydney and New York where the highest density of office space occurs. While some may argue that New York City’s primary business district is finer by a square kilometre or two in Manhattan’s downtown with the lower island financial district being its secondary center, all of the borough of Manhattan was included in this study. Sydney’s business district included all properties that shared the postcode 2000. Incomplete entries and suspect office building listings, which included either extreme data such as 0 or 100 Energy Star scores, were eliminated from the sample, the number of Manhattan commercial office buildings assessed was 744. There were 151 buildings included in the study from the Sydney CBD.

In order to establish whether there were any obvious trending relations, data for each city was first sorted in a number of ways including building size versus EUI, star rating versus EUI, GHG emission versus EUI and location versus EUI. Smaller samples representational top third performance, middle third performance, and bottom third performance were then selected on basis of EUI, NABERS or Energystar Ratings, and GHG emissions. A preliminary review of enclosure design and construction systems was then conducted for all buildings included in these samples. The performance data for six of these representational buildings is included in the table below.

REPRESENTATIONAL PERFORMANCE

Table 1: Representational performance for Sydney’s and New York’s top, middle, and bottom third in 2012 commercial office energy performance public disclosure samples.

	Name	Floors	Year	NABERS or Energystar® Rating	AREA (sqm)	EUI (MJ / sqm)
SYDNEY	Top ATO Centre (Latitude East)	12	2007	 5.5	22,684	293
	Mid 59 Goulburn Street	25	1975	 3.5	15,705	523
	Bottom NRMA House	15	1965	 1.5	14,846	902
NEW YORK	Top National Association Building	20	1920	 95*	33,470	702
	Mid Canada House	27	1957	 73*	21,386	956
	Bottom Seagram Building	38	1958	 3*	73,875	2518

As one expects energy consumption as measured by EUI (megajoule/square metre) runs inversely to NABERS and Energy Star Ratings. In Australia’s CBD program a Sydney commercial office building that rated in the top third averaged 5.5 NABERS stars. A mid-range building in the sample was assessed with 3.5 NABERS stars, and an average building in the bottom third would have 1.5 NABERS stars. Likewise buildings from the NYC sample are included in table reflect high, medium and low Energy Star ratings. The average Energy Star rating for all New York City buildings is in the 60s, a rating that is even higher for Manhattan office buildings.

The authors of PlanNYC: New York Local Law Benchmarking Report highlight that the bottom 5% of office buildings in New York have on average an EUI about 450% greater than the average EUI of the top 5% [3]. Consistent with these results, the table above shows that a bottom tier performer out consumes a top tier performer by about 307% and 359% in Sydney and New York City. However, the alignment between EUI numbers between the top, middle, and bottom cities do not correlate so nicely suggesting that differences in each disclosure program’s EUI computation methodologies makes a comparative analysis of EUI impossible.

FACTOR 2: SITE & CLIMATIC DIFFERENCES

As discussed in introduction, differences in site conditions also have significant implications. For any comparative analysis of building energy performances, disparities in both regional climate conditions and local microclimate conditions should be assessed. According to the Köppen classification system, Sydney has the characteristics of a Mediterranean climate with typically a hot summer and mild winter and a dry spring. Meanwhile New York

7 season, and a much cooler winter. While typical winter days in New York City often hover around the freezing point, cold snaps often occur for at least a week or two each winter where temperatures fall into the negative double digits centigrade. By contrast in Sydney, a typical winter day has a temperature of nearly 10°C, and it rarely drops below 8°C. In terms of heating degree days (HDD 10 C) New York has 1101 degree days, and Sydney has only 3 reflecting the fact that New York commercial buildings require heating systems, while their importance in Sydney is reduced. Although the cities' summertime maximum design temperatures are comparable, Sydney requires significantly more cooling year round with typically 2936 cooling degree days (CDD 10C) typically. New York requires significant air conditioning during summer months and typically has 1779 CDD each year. Sunny days and solar radiation also differ significantly in Sydney and New York. In Sydney the radiation is not only more intense, but the sunny days are more numerous. Sydney records an average 107 sunny days and 121 partly sunny days a year. New York has sun 58% of the time. The two charts below illustrate the differences in monthly energy balances for Sydney and New York typical office spaces. Internal gains and solar gains are not radically different, but New York's energy losses due to conduction and ventilation during the colder months often offset those gains.

Sydney Monthly Energy Balance

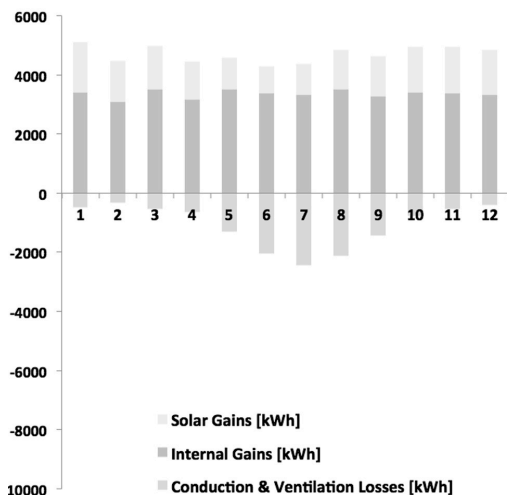


Figure 1: For a typical Sydney commercial office building solar gains and internal gains exceed conduction and ventilation losses necessitating cooling year round.

New York Monthly Energy Balance

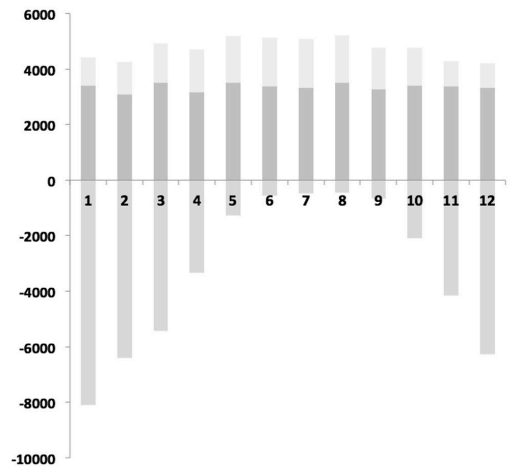


Figure 2: For a typical New York commercial office building conduction and ventilation losses can exceed solar and internal gains necessitating heating in winter months.

Solar radiation gains in the two cities also vary due to differences in their latitudes. Sydney has a latitude of 33.5°S has summer days almost an hour shorter than New York does at its 40.5° N latitude. Therefore in summer sun is already a bit higher in the sky when the summer workday begins in New York. In wintertime the opposite occurs, and the days in NYC are almost an hour shorter requiring that all office turn on lights in the late afternoon even if they have good day-lighting schemes. In Sydney dusk conditions impact much less of a normal winter workday.

As both New York and Sydney are harbour cities their microclimates bear some similarities with their bodies of water moderating temperatures and providing frequent breezes. Wind speeds are marginally higher in New York, but the built density of its downtown area can create more severe microclimate conditions. The orientation of Manhattan's urban grid favours better solar control than Sydney's, but its historic allowance for greater building heights counters the shading benefits provided by street layout. While Sydney has 9 buildings over 35m and 154 buildings over 100m, New York has 5,845 buildings over 35m and 700 buildings over 100m. For New York City, a plot of EUI versus zip codes did indicate some differences in neighbourhood performance. While it is likely that social, economic, and zoning factors are accountable, geometric factors may play a role. The actual relative impacts of climate and microclimate on each of the representational buildings require additional study to better assess their potential impacts.

FACTOR 3: BUILDING DESIGN

One of the most striking differences between Sydney's and New York's commercial building stocks that was revealed by this study was the divergent trends when comparing building age and EUI performance. As Figure 3 below indicates, Sydney's building boom took place in early 1960s when height restrictions were lifted and as result a large percentage of energy intensive buildings, representative of that era, were constructed during the 1960s and 1970s.

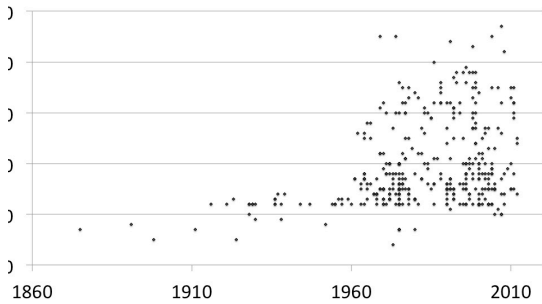


Figure 3: Sydney Building Stock: number of floors versus year constructed

In contrast New York's building boom began 70 years earlier, and a significant percentage of the Manhattan buildings included in the survey were constructed before 1930 before lighter weight curtain wall systems had made an appearance. Their enclosures are comprised of heavy masonry and punched windows rather than vast expanses of conductive glass. It is surprisingly these older buildings of New York often demonstrate good energy performance. In fact, all 10 of the buildings that figured as typical top performers in terms of EUI the New York selective sample happened to be constructed between 1891 and 1932. In comparison, Sydney, the best performing buildings in Sydney are those most recently constructed in accordance with increasingly stringent building code regulations. When Sydney's building boom began in the 1960s, there were no energy codes with which designers and builders had to abide.

The study of the representative sample of New York City top performers also suggests that some other factors may be at play. The majority of these early century buildings have between seven and twenty-five floor levels with the median being about twelve floor levels. Such buildings are nestled between other buildings on Manhattan's narrower streets opposed to wider avenues. With party walls on two sides, a much smaller building envelope is exposed to the elements. Moreover the areas between these buildings are usually much smaller than those

not to say that all of New York's older buildings have exemplary performance. Indeed in the review of the 75 buildings, several older buildings fell in the bottom third as well as the middle tier. Nevertheless there was a positive correlation between age and performance in the New York sample with only a few recent buildings breaking into the top tier and none at the very top despite the advancement in building codes and energy efficient technologies. Even models of modern environmental design such as Four Times Square received only a moderately decent Energy Star score of 73 despite its state-of-the-art mechanical systems.

FACTOR 4: OCCUPANT BEHAVIOR

Occupant behaviour is often considered the great wildcard in energy performance assessment [8], and it is beyond the scope of this preliminary study to offer any suggestions on how energy performance disclosure programs might be modified to provide more accurate data in this field. Already studies have shown that occupant density in buildings can fluctuate over 10 years and often is not consistent with what is modeled or assumed in energy calculations [9]. As the published data currently does not include these indicators, a comparative analysis can yet be conducted. Likewise factors that vary regionally such as air conditioning systems do affect energy use, and requirements to include information on them by the certified assessors can quickly become too cumbersome making the disclosure process ineffective.

CONCLUSION

This paper has sought not only to provide a brief overview of two important energy disclosure programs but also to show the potential that comparative analysis of their results may bring as programs develop. Currently the methods for calculating energy consumption in Australia's CPD and NYC's Local Law are not aligned and thus a proper comparative study between EUI values cannot be conducted. At this point in time GHG intensity figures seem to be more appropriate for comparison between regions as international protocols for universal GHG emissions reporting are more developed and more consistently applied. Globalizing the metrics used for commercial building performance programs can only increase the positive influence of benchmarking.

As it stands, a review of GHG emissions intensity data supplied by the Australia's and New York City benchmarking programs demonstrate similar wide variations in energy performance in both Sydney and New York City. In New York City the older buildings

Analyses of more disclosure databases would help decipher the intricacies of how the four factors (building type, site, design, and operations) impact energy consumption. For decades now energy-modelling programs have been able to predict the influence of climate on building energy consumption. However, it will be the analysis of extensive data on actual building energy consumption that will be able to verify the algorithms these programs use, and extend their capabilities by highlighting trends on a neighbourhood and microclimatic scale. Already each city can use GIS methods in combination with their disclosure databases to surmise how site geometry and solar access are impacting energy consumption. The designs of Australia's and New York's commercial building disclosure programs as of yet offer little additional information on occupant or operator behaviour, but ultimately a systematic examination of how a building's performance change annually allow occupants and facility managers to improve their understanding of how their behaviour affects energy consumption.

The simple analyses done in this preliminary study confirmed some of the basics that architects and engineers are taught in university. Better energy codes have led to better performing buildings, and the average energy performance of tall office towers has gotten better each decade. Nevertheless, glassy buildings with lightweight walls typically tend consume more energy in those with smaller windows and more massive construction systems, although exceptions can exist. Views of energy disclosure data highlight these exceptions. In summary, even a basic comparative analysis between Sydney's and New York City's disclosed commercial office building energy performance data helps reveal and verify patterns and trends of urban energy use. This bodes well for the future. As disclosure programs become more widespread and more developed in the coming, the potential to analyse massive amounts of performance data from around the world will exist thereby advancing both our global and local knowledge of building and architecture.

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