Lehrstuhl für Baumechanik der Technischen Universität München

# Modelization of Dynamic Soil-Structure Interaction Using Integral Transform-Finite Element Coupling

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To my wife Stella and our little daughter, Jessica

## Zusammenfassung

Das Ziel dieser Arbeit ist eine zuverlässige Modellierung der Wellenausbreitung bei der Bauwerk-Bodenwechselwirkung in der Strukturdynamik. Dazu gehört sowohl die ausreichende Erfassung der Verhältnisse in unmittelbarer Bauwerksumgebung (Nahbereich), als auch die zutreffende Beschreibung der Ausbreitungsvorgänge in die weitere Bauwerksumgebung (Fernbereich). Für den Fernbereich (Halbraum) werden Integraltransformations-methoden benutzt. Eine flexible Beschreibung der Verhältnisse in unmittelbarer Bauwerks-umgebung wird am besten durch die Behandlung mit der Finite-Element-Methode erzielt. So sind fast keine Einschränkungen hinsichtlich der Geometrie und der Lastannahmen hinzunehmen.

## Abstract

The aim of this work is a reliable modelling of the wave propagation in dynamic soil-structure interaction. A small FEM domain will be introduced to model the structure and its surrounding area, while The Integral Transform Method (ITM) is used to model the Half-space. With this Coupling Method (ITM-FEM) there is no more limitation in case of local irregularities.

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# **List of Symbols**

The following list defines the principal symbols used in this work. Other symbols are defined in context. Rectangular matrices are indicated by brackets [ ], and column vectors by braces { }. Overdots indicate differentiation with respect to the time, and primes usually denote differentiation with respect to the space variable. An overbar indicates complex number.

$a_x, a_y$	Opening widths of the excavated half-space
$b_x, b_y$	Bottom widths of the excavated half-space
С	Viscous damping of SDOF system
C <sub>p</sub>	Velocity of P-wave
$c_s$	Velocity of S-wave
h	Depth of the excavated half-space
k	Stiffness of SDOF system
$k_x, k_y$	Wave numbers
$k_{p}$	Wave number of P-wave
k <sub>s</sub>	Wave number of S-wave
k <sub>r</sub>	Radial wave number
т	Mass of SDOF system
$p_o$	Amplitude of harmonic excitation
$q_x, q_y, q_z$	Volume forces
r	Ratio of force and natural frequency
и	Displacement of SDOF system
x, y, z	Cartesian's coordinate system

 $C_{lmn}$  Fourier coefficient

E	Young's modulus of elasticity
G	Shear modulus
$\overline{H}$	Complex frequency response
ε	Normal strain
γ	Shear strain, structural damping factor
К	Lamé's constants ratio
λ	Lamé's constant
μ	Lamé's constant
υ	Poisson ratio
ω	Angular frequency
$\omega_n$	Natural frequency of SDOF system
ρ	Mass density
σ	Normal stress
τ	Shear stress
ξ	Damping ratio of FE structures
ζ	Damping ratio of SDOF system
Г	A surface in the half-space where volume forces act on
$\Gamma_s$	An arbitrary second surface in the half-space in a reasonable distance below surface $\Gamma$
Φ	Scalar-valued-function of Helmholtz resolution
رم) ا	Strain vactor
رع) (ح)	
$\{C\}$	Fourier coefficient vector
$\{n\}$	Normal direction of a surface at a certain point.
$\{q\}$	Body forces vector
$\{t_n\}$	Resultant stress vector

Stress vector
Displacements vector
Vector-valued-function of Helmholtz resolution
Dynamic matrix of the excavated half-space
Dynamic matrix of FE structure
Identity matrix
Stiffness matrix of FE structure
Mass matrix of FE structure
Transformation matrix

# Mathematical symbols

⊶	Fourier transform
<b>⊷</b> 0	Inverse Fourier transform.
$\nabla^2$	Laplacian
$\Delta$	Dilatation
i	Imaginary number unit
Η	Heaviside distribution
δ	Dirac distribution, variational operator

# **Chapter 1**

## Introduction

### 1.1 General Remarks

The effect of soil-structure interaction is recognized to be important and cannot, in general, be neglected. Especially when we deal with critical facilities like nuclear power plants. The soil is a semiinfinite medium, an unbounded domain. For static loading, a fictitious boundary at a sufficient distance from the structure, where the response is expected to have died out from a practical point of view, can be introduced. This leads to a finite domain for the soil that can be modelled similarly to the structure. The total discretized system, consisting of the structure and the soil, can be analysed straightforwardly. However, for dynamic loading, this procedure cannot be used. The fictitious boundary would reflect waves originating from the vibrating structure back into the discretized soil region instead of letting them pass through and propagate toward infinity. This need to model the unbounded foundation medium properly distinguishes soil dynamics from structural dynamics.

### **1.2 Overview**

In 1904, Lamb studied the problem of vibrating force acting at a point on the surface of an elastic half-space. This study included cases in which the oscillating forces R acts in the vertical direction and in the horizontal direction.

In 1936 Reissner analysed the problem of vibration of a uniformly loaded flexible circular area resting on an elastic half-space. The solution was obtained by intergration of Lamb's solution for a point load. Based on Reissner's work, the vertical displacement at the centre of flexible loaded area can be calculated.

The classical work of Reissner was further extended by Quinland (1953) and Sung (1953). As mentioned before, Reissner's work related only to the case of flexible circular foundation where the soil reaction is uniform over entire area. Quinland derived the equations for the rigid circular foundation and Sung presented the solutions for the contact pressure, flexible foundation and types of foundations for which the contact pressure distribution is parabolic.

In soil structure interaction the structure usually is calculated by means of FEM approach. Often, particularly in cases of nonlinearity, a part of the soil is considered as belonging to the structure.

Numerical methods were also developed to solve this soil-structure interaction problem, Holzlöhner (1969), Luco (1972), Dasgupta (1976), Gaul (1976), Gazetas (1983), and Triatafyllidis (1984) are

the pioneers in this area. The most two successful numerical methods are Finite Element Method and Boundary Element Method.

With the 'consistent boundary' or 'thin layer' description, Waas (1972), Kausel et al. (1975), for plane or axial symmetric layers on a rigid ground, an approach was developed in the frequency domain which works with exact expressions in the horizontal directions, and the accuracy of which corresponds to FEM in regards of the vertical direction. The concept of 'infinity elements', Bettes (1992), too is conceived for an application in the frequency domain. Decaying functions are used as shape functions in order to approximate the wave propagation to infinity.

For application in the time domain several approaches were developed by Wolf (1988), Lysmer & Kuhlemeyer (1969), Underwood & Geers (1981), Häggblad & Nordgreen (1987) and Schäpertons (1996).

The BEM can be applied in the frequency or in the time domain. In the first case – except the case of a simple periodic excitation – the results are to be subjected to a Fourier (or Laplace) inverse transformation, in the second case additionally to the discretization of the boundaries also a discretization in time necessary. The frequency domain approach is described for instance in Banerjee & Kabayashi (1992). Comparisons between time and frequency domain approaches are described by Wolf (1988). In the last thirty years a lot of research was done in this field which is documented up to 1996 in two review articles by Beskos (1987 and 1997). The theory and application is shown in different books, e.g. Manolis & Beskos (1988), Dominguez (1993), Antes (1988).

The BEM was applied to the half-spaces including cavities or obstacles, trenches and inclusions etc., e.g. Kobayashi & Nishimura (1982), Tan (1976), Wong et al. (1977), Sanchez-Sema et al. (1982), Zhang & Chopra (1991). The soil foundation interaction was treated e.g. in Dominguez (1978), Huh & Schmid (1984), Ottenstreuer (1982), Karabalis (1989), Karabalis & Huang (1994). The BEM has also proved its efficiency for the nonlinear problem of unilateral contact, Antes et al. (1991).

Another method, FEM -BEM COUPLING, is typical for soil structure interaction problems as mentioned earlier. The building described by FEM and the soil represented by FEM have to be coupled at their common interface by observing the compatibility of stresses and deformations. An overview over the large number of different possible approaches (2D, 3D, rigid or deformable foundations, structure on the surface or embedded structures, time domain, frequency domain etc.) is given in the review articles Beskos (1987, 1997), Gaul & Plenge (1992), Antes & Spyrakos (1997), von Estorff (1991), Auersch & Schmid (1990).

Another coupling method in this soil structure interaction is ITM-FEM COUPLING. In its basic form the ITM approach is applicable only for completely regular situations. In order to overcome this limitation for the case of local irregularities the ITM-approach can be combined with FEM (A part of the soil can be considered once again as part of the "structure"). Zirwas in 1996 developed this coupling method for 2-D Problems.

The response of a (layered) half space, regular except an excavated region, can be derived from a calculation of the regular (layered) half space without this excavation. To do this, the continuum is loaded by an unknown force distribution built up by shape functions along a properly selected internal surface.

By an application of the ITM one can evaluate the respective response at an additional fictitious surface chosen exterior to the excavation-soil-interface in a certain small distance to the already

mentioned internal surface. The relations between stresses and displacements at the fictitious surface can be used to derive elements of a matrix, which represents the response of the exterior space in regard to this surface. Between this surface and the top surface, a small FEM domain shall be introduced (figure 1.1). Taking into account the filter characteristics mentioned above, the size of this FEM domain and of the corresponding elements could be chosen in accordance with the necessary error limitations.



Figure 1.1 FEM Mesh

Finally the "structure" and the additional small FEM domain taking account of the derived matrix acting at its exterior surface have to be analyzed. In this approach the soil behavior is included by the additional FEM domain between the soil- "structure"-interface and the fictitious exterior surface where relations are introduced which describe the half-space. A transition to the time domain can be realized by means of an additional FT, which leads to a description by means of a convolution.

In the present works, based on Zirwas' works, will be developed a coupling method, ITM-FEM for 3-D structure

### **1.3 Subjects Covered**

The second chapter of this work will cover the background theory of modelling soil as a half-space including layered half-space and solution for volume forces in the half-space in frequency domain.

In the third chapter a dynamic matrix for excavated half-space is developed using Integral Transform Method. Here will be introduced a substitute model for soil, substructure and upper structure.

The coupling process between ITM and FEM will be described in chapter four, and some test will be done to prove this Coupling Method.

In chapter five, a simple practical example will be taken to show the advantage of this method and the results will be shown graphically to easier the interpretation.

The summary of this work is written in the last chapter with some conclusion and suggestion.

## **Chapter 2**

## **Modelling of Soil**

In this chapter the soil will be considered as a semi-infinite medium in *z*-direction with unbounded domain in *x*- and *y*-directions. The material properties are assumed to be isotropic, homogeneous and linear elastic, and the material damping will be independent of frequency. Although the soil is assumed as unbounded homogeneous half-space, the properties are allowed to vary with depth but remain constant within the individual layers. This configuration is called a layered half-space. In the following, the fundamental equations of elastodynamics are summarized.

#### 2.1 Propagation of Waves in Continuum

The state of stress in an elemental volume of a loaded body is defined in terms of six components of stress, expressed in a vector form as

$$\{\sigma\}^T = \begin{bmatrix} \sigma_{xx} & \sigma_{yy} & \sigma_{zz} & \tau_{xy} & \tau_{yz} & \tau_{zx} \end{bmatrix}$$
(2.1)

where  $\sigma_{xx}, \sigma_{yy}$ , and  $\sigma_{zz}$  are the normal components of stress, and  $\tau_{xy}, \tau_{yz}$ , and  $\tau_{zx}$  are the components of shear stress. Stresses acting on a positive face of the elemental volume in a positive coordinate direction are positive; those acting on a negative face in a negative direction are positive; all others are negative. A positive face is the one on which normal vector is directed outward from the element points in a positive direction.

Corresponding to the six stress components in equation (2.1), the state of strain at a point can be divided into six strain components given by the following strain vector:

$$\{\boldsymbol{\varepsilon}\}^{T} = \begin{bmatrix} \boldsymbol{\varepsilon}_{xx} & \boldsymbol{\varepsilon}_{yy} & \boldsymbol{\varepsilon}_{zz} & \boldsymbol{\gamma}_{xy} & \boldsymbol{\gamma}_{yz} & \boldsymbol{\gamma}_{zx} \end{bmatrix}$$
(2.2)

The stress-strain relationship for elastic, isotropic and homogeneous material is given by

$$\sigma_{xx} = \lambda \Delta + 2\mu \varepsilon_{xx} \qquad \tau_{xy} = 2\mu \varepsilon_{xy}$$
  

$$\sigma_{yy} = \lambda \Delta + 2\mu \varepsilon_{yy} \qquad \tau_{yz} = 2\mu \varepsilon_{yz}$$
  

$$\sigma_{zz} = \lambda \Delta + 2\mu \varepsilon_{zz} \qquad \tau_{zx} = 2\mu \varepsilon_{zx}$$
(2.3)

$$\Delta = \varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz} \tag{2.4}$$

and

$$\varepsilon_{xx} = \frac{\partial u}{\partial x} \qquad \varepsilon_{yy} = \frac{\partial v}{\partial y} \qquad \varepsilon_{zz} = \frac{\partial w}{\partial z}$$

$$\gamma_{xy} = \frac{1}{2} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \qquad \gamma_{yz} = \frac{1}{2} \left( \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y} \right) \qquad \gamma_{zx} = \frac{1}{2} \left( \frac{\partial w}{\partial x} + \frac{\partial u}{\partial z} \right)$$
(2.5)

#### $\mu$ and $\lambda$ are Lame constants and expressed by

$$\mu = G = \frac{E}{2(1+\nu)}$$
(2.6)

$$\lambda = \frac{E\upsilon}{(1+\upsilon)(1-2\upsilon)} \tag{2.7}$$

with v as Poisson ratio and E as Young's modulus.

The equations of motion in terms of stresses in the absence of body forces are given by

$$\frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \tau_{xy}}{\partial y} + \frac{\partial \tau_{xz}}{\partial z} = \rho \frac{\partial^2 u}{\partial t^2}$$
(2.8a)

$$\frac{\partial \tau_{yx}}{\partial x} + \frac{\partial \sigma_{yy}}{\partial y} + \frac{\partial \tau_{yz}}{\partial z} = \rho \frac{\partial^2 v}{\partial t^2}$$
(2.8b)

$$\frac{\partial \tau_{zx}}{\partial x} + \frac{\partial \tau_{zy}}{\partial y} + \frac{\partial \sigma_{zz}}{\partial z} = \rho \frac{\partial^2 w}{\partial t^2}$$
(2.8c)

Substitution of equations (2.3), (2.4) and (2.5) into the preceding equations yields

$$\rho \frac{\partial^2 u}{\partial t^2} = (\lambda + \mu) \frac{\partial \Delta}{\partial x} + \mu \nabla^2 u$$
(2.9a)

$$\rho \frac{\partial^2 v}{\partial t^2} = (\lambda + \mu) \frac{\partial \Delta}{\partial y} + \mu \nabla^2 v$$
(2.9b)

$$\rho \frac{\partial^2 w}{\partial t^2} = (\lambda + \mu) \frac{\partial \Delta}{\partial z} + \mu \nabla^2 w$$
(2.9c)

with

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$
(2.10)

Differentiating equations (2.9a), (2.9b), and (2.9c) with respect to x, y, and z, respectively, and add-ing,

$$\rho \frac{\partial^2}{\partial t^2} \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right) = \left( \lambda + \mu \right) \left( \frac{\partial^2 \Delta}{\partial x^2} + \frac{\partial^2 \Delta}{\partial y^2} + \frac{\partial^2 \Delta}{\partial z^2} \right) + \mu \nabla^2 \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right)$$
(2.11)

or

$$\frac{\partial^2 \Delta}{\partial t^2} = \frac{(\lambda + 2\mu)}{\rho} \nabla^2 \Delta$$
(2.12)

This second order partial differential equation is known as *longitudinal* or *dilatational wave* or *P-wave* equation in an unbounded medium and implies that the dilatation is propagated through the medium with velocity:

$$c_{p} = \sqrt{\frac{\lambda + 2\mu}{\rho}}$$
(2.13)

To obtain the shear wave velocity, we express the rotations as

$$\omega_x = \frac{1}{2} \left( \frac{\partial w}{\partial y} - \frac{\partial v}{\partial z} \right) \quad \omega_y = \frac{1}{2} \left( \frac{\partial u}{\partial z} - \frac{\partial w}{\partial x} \right) \quad \omega_z = \frac{1}{2} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right)$$
(2.14)

and then we take equation (2.9b) and differentiate it with respect to z. After that we take again equation (2.9c) and differentiate it with respect to y, subtracting one from another, we get :

$$\frac{\partial^2 \omega_x}{\partial t^2} = \frac{\mu}{\rho} \nabla^2 \omega_x \tag{2.15a}$$

Using the process of similar manipulation, one can also obtain two more equations similar to equation (2.15) :

$$\frac{\partial^2 \omega_y}{\partial t^2} = \frac{\mu}{\rho} \nabla^2 \omega_y$$
(2.15b)

$$\frac{\partial^2 \omega_z}{\partial t^2} = \frac{\mu}{\rho} \nabla^2 \omega_z$$
 (2.15c)

These are the *distortional wave* or *shear wave* or *S-wave equations* where rotations  $\omega_x$ ,  $\omega_y$  and  $\omega_z$  propagate with a velocity

$$c_s = \sqrt{\frac{\mu}{\rho}} \tag{2.16}$$

### 2.2 Damping

Consider a classical analytical model of a linear SDOF system consist of spring-mass-dashpot model. When this system is subjected to harmonic excitation,  $p_o e^{i\omega t}$ , its equation of motion is

$$m\ddot{\overline{u}} + c\dot{\overline{u}} + k\overline{u} = p_o e^{i\omega t}$$
(2.17)

The bar in the equation above shows that u is a complex number. In this text, the bar designates complex number.

The complex frequency response  $\overline{H}(\omega)$  is evaluated as

$$\overline{H}(\omega) = \frac{1}{(1-r^2) + i(2\zeta r)}$$
(2.18)

with

$$\omega_n = \sqrt{\frac{k}{m}} \tag{2.19}$$

$$\zeta = \frac{c}{c_{cr}} = \frac{cm}{2k} \tag{2.20}$$

$$r = \frac{\omega}{\omega_n} \tag{2.21}$$

Another way to introduce a damping mechanism is by using complex stiffness

$$m\overline{u} + k(1+i\gamma)\overline{u} = p_o e^{i\omega t}$$
(2.22)

where  $\gamma$  is the structural damping factor. The complex term  $k(1+i\gamma)\overline{u}$  represent both the elastic and damping forces at the same time. This complex stiffness  $k(1+i\gamma)$  has no physical meaning, however, in the same engineering sense as the elastic stiffness.

The complex frequency response  $\overline{H}(\omega)$  for equation (2.22) is

$$\overline{H}(\omega) = \frac{1}{(1-r^2)+i\gamma}$$
(2.23)

By comparing the denominators of equations (2.23) and (2.18) we see that the factor  $\gamma$  in the former corresponds to the factor (2 $\zeta$ r) in the latter. Since, when damping factors are small (as is generally the case in a structure), damping is primary effective at frequency in the vicinity of resonance, it can be seen that, under harmonic excitation condition, structural damping is essentially equivalent to viscous damping with



$$\zeta = \frac{\gamma}{2r} \cong \frac{\gamma}{2} \tag{2.24}$$

Figure 2.1 Response of system with structural damping factor and viscous damping

From figure 2.1 we can see that the differences between forced vibration with structural damping factor  $\gamma$  and forced vibration with viscous damping ratio  $\zeta$  are not significant. Therefore it is reasonable to use complex stiffness for damping mechanism. Another way to get the complex stiffness is by simply replacing the real modulus of elasticity *E* with the complex value of  $\overline{E}$ :

$$\overline{E} = E(1 + i2\zeta) \tag{2.25}$$

where  $\zeta$  is damping ratio. This method will be used here.

### 2.3 Equation of Motion and Wave Equation in Elastic Half-space

Equation (2.9a), (2.9b), and (2.9c) represent the equations of motion of an isotropic, homogeneous elastic body in the absence of body forces, in matrix form we can write these equations as

$$\left[\mu\nabla^{2}[I] + (\lambda + \mu)\langle\nabla\rangle^{T}\langle\nabla\rangle - \rho\frac{\partial^{2}}{\partial t^{2}}[I]\right]\{U\} = \{0\}$$
(2.26)

with

$$[U] = \begin{bmatrix} u_x & u_y & u_z \end{bmatrix}^T$$
(2.27)

$$\langle \nabla \rangle = \begin{bmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \end{bmatrix}$$
 (2.28)

$$\nabla^{2} = \langle \nabla \rangle \cdot \langle \nabla \rangle^{T} = \frac{\partial^{2}}{\partial x^{2}} + \frac{\partial^{2}}{\partial y^{2}} + \frac{\partial^{2}}{\partial z^{2}}$$
(2.29)

$$\begin{bmatrix} I \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
(2.30)

These Lamé's equations consist of three coupled partial differential equations, and these equations can be uncoupled using Helmholtz's potentials

$$\{U\} = \langle \partial \rangle^T \Phi + [X] \{\Psi\}$$
(2.31)

with

$$\{\Psi\} = \begin{bmatrix} \Psi_x & \Psi_y & \Psi_z \end{bmatrix}^T$$
(2.32)

and

$$\begin{bmatrix} X \end{bmatrix} = \begin{bmatrix} 0 & -\frac{\partial}{\partial z} & \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z} & 0 & -\frac{\partial}{\partial x} \\ -\frac{\partial}{\partial y} & \frac{\partial}{\partial x} & 0 \end{bmatrix}$$
(2.33)

where  $\Phi$  and  $\Psi$  are potential functions. Substituting Eq.(2.31) into Eq.(2.26) gives

$$\langle \nabla \rangle^{T} \left( (\lambda + 2\mu) \nabla^{2} \Phi - \rho \ddot{\Phi} \right) + [X] \left\{ \mu \nabla^{2} \{\Psi\} - \rho \{ \ddot{\Psi} \} \right\} = \{0\}$$
(2.34)

This equation will be satisfied if each vector vanishes, thus giving

$$\nabla^2 \Phi - \frac{1}{c_p^2} \ddot{\Phi} = 0$$
 (2.35)

$$\nabla^{2} \{\Psi\} - \frac{1}{c_{*}^{2}} \{\ddot{\Psi}\} = 0$$
(2.36)

These two equations are analogue with the wave equations from (2.12) and (2.15)- (2.17), i.e. P-wave and S-wave equation with velocities  $c_p$ , equation (2.13) and  $c_s$ , equation (2.18).

If we look at equation (2.31), the four potential fields  $\Phi$ ,  $\Psi_x$ ,  $\Psi_y$  and  $\Psi_z$  are not uniquely determined by the three displacement  $u_x$ ,  $u_y$  and  $u_z$ . As a special gauge  $\Psi_z$  is set to zero, then equation (2.31) can be written as

$$u_{x} = \Phi_{,x} - \Psi_{y,z}$$

$$u_{y} = \Phi_{,y} - \Psi_{x,z}$$

$$u_{z} = \Phi_{,z} - \Psi_{x,y} + \Psi_{y,x}$$
(2.37)

To solve these equations the Integral Transform Method (ITM) using Fourier Transform will be used here and schematically described in figure 2.2.



Figure 2.2 Characteristic of the applied ITM procedure

The Fourier Transform  $\hat{f}(k_x)$  of a function f(x) is defined by the integral :

$$\hat{f}(k_x) = \int_{-\infty}^{\infty} f(x) e^{-ik_x x} dx$$
(2.38)

This formula can be interpreted as linear operator transforming f(x) to  $\hat{f}(k_x)$ . In the case of a function with several independent variables, multiple integrals are used, concerning the transformation of each variable. By performing an integral transform (the symbol  $\sim$  will be used here for Fourier Transform) on the governing equations and boundary conditions of the problem, we obtain differential equations instead of partial differential ordinary equations;  $(x, y, z, t) \rightarrow (k_{x}, k_{y}, z, \omega)$ . Thus it is easier to find solutions satisfying the boundary conditions in the transform domain. Afterwards we have to invert the solutions, by inversion formula, in the initial domain, symbolized by  $\bullet - \circ$ .

The Inverse Fourier Transform is defined by :

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \hat{f}(k_x) e^{ik_x x} dk_x$$
 (2.39)

By a threefold Fourier transform  $x \rightarrow k_x$ ,  $y \rightarrow k_y$  and  $t \rightarrow \omega$  equation (2.35) and equation (2.36) are transformed and one arrives at the transformed domain and now we have ordinary differential equations regarding the z-direction

$$\left(\frac{\omega^2}{c_p^2} - k_x^2 - k_y^2\right)\hat{\Phi} + \frac{\partial^2 \hat{\Phi}}{\partial z^2} = 0$$
(2.40)

$$\left(\frac{\omega^2}{c_s^2} - k_x^2 - k_y^2\right)\hat{\Psi}_i + \frac{\partial^2 \hat{\Psi}_i}{\partial z^2} = 0$$
(2.41)

For the above differential equations, the solutions can be given as

$$\hat{\Phi} = A_1 e^{\lambda_1 z} + A_2 e^{-\lambda_1 z}$$
(2.42)

$$\hat{\Psi}_{i} = B_{1i}e^{\lambda_{2}z} + B_{2i}e^{-\lambda_{2}z}$$
(2.43)

with

$$\lambda_1^2 = k_x^2 + k_y^2 - k_p^2 \qquad ; \qquad \lambda_2^2 = k_x^2 + k_y^2 - k_s^2 \qquad (2.44)$$

$$k_p = \frac{\omega}{c_p}$$
;  $k_s = \frac{\omega}{c_s}$  (2.45)

Transforming equation (2.37) gives the displacement equations in transformed domain

$$\hat{u}_{x} = ik_{x}\hat{\Phi} - \hat{\Psi}_{y,z}$$

$$\hat{u}_{y} = ik_{y}\hat{\Phi} - \hat{\Psi}_{x,z}$$

$$\hat{u}_{z} = \hat{\Phi}_{,z} - ik_{y}\hat{\Psi}_{x} + ik_{x}\hat{\Psi}_{y}$$
(2.46)

Substituting equations (2.42) and (2.43) into equation (2.46) give

$$\begin{bmatrix} \hat{u}_{x} \\ \hat{u}_{y} \\ \hat{u}_{z} \end{bmatrix} = \begin{bmatrix} ik_{x} & ik_{x} & 0 & 0 & -\lambda_{2} & \lambda_{2} \\ ik_{y} & ik_{y} & \lambda_{2} & -\lambda_{2} & 0 & 0 \\ \lambda_{1} & -\lambda_{1} & -ik_{y} & -ik_{y} & ik_{x} & ik_{x} \end{bmatrix} \cdot \{C\}$$
(2.47)

with

$$\{C\}^{T} = \begin{bmatrix} A_{1}e^{z\lambda_{1}} & A_{2}e^{-z\lambda_{1}} & B_{x1}e^{z\lambda_{2}} & B_{x2}e^{-z\lambda_{2}} & B_{y1}e^{z\lambda_{2}} & B_{y2}e^{-z\lambda_{2}} \end{bmatrix}$$
(2.48)

and the stresses in transformed domain can be written as

$$\begin{bmatrix} \hat{\sigma}_{x} \\ \hat{\sigma}_{y} \\ \hat{\sigma}_{z} \\ \hat{\sigma}_{xy} \\ \hat{\sigma}_{yz} \\ \hat{\sigma}_{zx} \end{bmatrix} = \mu \begin{bmatrix} -2k_{x}^{2} - \frac{\lambda}{\mu}k_{p}^{2} & -2k_{x}^{2} - \frac{\lambda}{\mu}k_{p}^{2} & 0 & 0 & -2ik_{x}\lambda_{2} & 2ik_{x}\lambda_{2} \\ -2k_{y}^{2} - \frac{\lambda}{\mu}k_{p}^{2} & -2k_{y}^{2} - \frac{\lambda}{\mu}k_{p}^{2} & 2ik_{y}\lambda_{2} & -2ik_{y}\lambda_{2} & 0 & 0 \\ 2k_{r}^{2} - k_{s}^{2} & 2k_{r}^{2} - k_{s}^{2} & -2ik_{y}\lambda_{2} & 2ik_{y}\lambda_{2} & 2ik_{x}\lambda_{2} & -2ik_{x}\lambda_{2} \\ -2k_{x}k_{y} & -2k_{x}k_{y} & ik_{x}\lambda_{2} & ik_{x}\lambda_{2} & -ik_{y}\lambda_{2} & ik_{y}\lambda_{2} \\ 2ik_{y}\lambda_{1} & -2ik_{y}\lambda_{1} & \lambda_{2}^{2} + k_{y}^{2} & \lambda_{2}^{2} + k_{y}^{2} & -k_{x}k_{y} & -k_{x}k_{y} \\ 2ik_{x}\lambda_{1} & -2ik_{x}\lambda_{1} & k_{x}k_{y} & k_{x}k_{y} & -\lambda_{2}^{2} - k_{x}^{2} - \lambda_{2}^{2} - k_{x}^{2} \end{bmatrix} \{ C \}$$

$$(2.49)$$

with

$$k_r = \sqrt{k_x^2 + k_y^2}$$
(2.50)

The unknown coefficients  $A_{I}$ ,  $A_{2}$ ,  $B_{Ix}$ ,  $B_{Iy}$ ,  $B_{2x}$  and  $B_{2y}$  in equation(2.48) can be determined from the boundary conditions in the original domain.

#### 2.4 Layered Half-space

This half-space configuration is allowed to have layers, so it is possible to model soil configuration which consist of horizontal layers resting on a half space. The properties vary with depth but remain constant within the individual layers. In a layered half-space, it is better to use constants  $\overline{A}_1, \overline{B}_{1i}$  instead of  $A_1, B_{1i}$  according to

$$A_{1}e^{\lambda_{1}z} = A_{1}e^{\lambda_{1}h}e^{-\lambda_{1}h}e^{\lambda_{1}z} = \overline{A}_{1}e^{\lambda_{1}(z-h)}$$

$$B_{1i}e^{\lambda_{1}z} = B_{1i}e^{\lambda_{2}h}e^{-\lambda_{2}h}e^{\lambda_{2}z} = \overline{B}_{1i}e^{\lambda_{2}(z-h)}$$

$$h > z$$

$$(2.51)$$

with h is the depth of the layer. The displacement in the transformed domain in Eq.(2.47) can be rewritten as :

$$\begin{bmatrix} \hat{u}_{x} \\ \hat{u}_{y} \\ \hat{u}_{z} \end{bmatrix} = \begin{bmatrix} ik_{x}e^{\lambda_{1}(z-h)} & ik_{x}e^{-\lambda_{1}z} & 0 & 0 & -\lambda_{2}e^{\lambda_{2}(z-h)} & \lambda_{2}e^{-\lambda_{2}z} \\ ik_{y}e^{\lambda_{1}(z-h)} & ik_{y}e^{-\lambda_{1}z} & \lambda_{2}e^{\lambda_{2}(z-h)} & -\lambda_{2}e^{-\lambda_{2}z} & 0 & 0 \\ \lambda_{1}e^{\lambda_{1}(z-h)} & -\lambda_{1}e^{-\lambda_{1}z} & -ik_{y}e^{\lambda_{2}(z-h)} & -ik_{y}e^{-\lambda_{2}z} & ik_{x}e^{\lambda_{2}(z-h)} & ik_{x}e^{-\lambda_{2}z} \end{bmatrix} \cdot \{\overline{C}\} (2.52)$$

with

$$\left\{\overline{C}\right\}^{T} = \begin{bmatrix}\overline{A}_{1} & A_{2} & \overline{B}_{x1} & B_{x2} & \overline{B}_{y1} & B_{y2}\end{bmatrix}$$
(2.53)

With the help from Finite Element Method, embedded structures can be modelled and analysed. Figure 2.3 shows the possibility of structure configuration that can be analysed by this coupling method (ITM-FEM).



Figure 2.3 Soil-structure interaction system with layered half-space

#### 2.5 Forced Vibration of The Layered Half-space

We shall now consider a problem of forced vibration of the half-space caused by volume forces. The equation of motion of an isotropic, homogeneous elastic body by the presence of body forces  $\{q\}$ , can be written as

$$\left[\mu\nabla^{2}[I] + (\lambda + \mu)\langle\nabla\rangle^{T}\langle\nabla\rangle - \rho\frac{\partial^{2}}{\partial t^{2}}[I]\right]\{U\} = -\{q\}$$
(2.54)

with

$$\{q\} = \begin{bmatrix} q_x & q_y & q_z \end{bmatrix}^T$$
(2.55)

If we divide the above equation with  $\mu$ , we get

$$\left[\nabla^{2}[I] + (\kappa + 1)\langle \nabla \rangle^{T} \langle \nabla \rangle - \frac{1}{c_{s}^{2}} \frac{\partial^{2}}{\partial t^{2}}[I]\right] \{U\} = \{p\}$$
(2.56)

with

$$\kappa = \frac{\lambda}{\mu} \tag{2.57}$$

$$\{p\} = \begin{bmatrix} p_x & p_y & p_z \end{bmatrix}^T = -\frac{1}{\mu} \{q\}$$
(2.58)

and  $c_i$  is the velocity of shear wave from equation (2.16). Equation (2.54) above is an inhomogeneous partial differential equation with inhomogeneous part  $\{p\}$ . Thus, from this equation we have two parts of the solutions; the homogeneous solution, if  $\{p\} = \{0\}$  and the particular solution if  $\{p\} \neq \{0\}$ .

Figure 2.4 shows a volume force  $\{q\}$  that has 5 force contributions;  $\{q_1\}, \{q_2\}, \{q_3\}, \{q_4\}$  and  $\{q_5\}$  which act on surface  $\Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4$  and  $\Gamma_5$  respectively;

$$\{q\} = \{q_1\} + \{q_2\} + \{q_3\} + \{q_4\} + \{q_5\}$$
(2.59)

$$\Gamma \equiv \Gamma_1 \oplus \Gamma_2 \oplus \Gamma_3 \oplus \Gamma_4 \oplus \Gamma_5 \tag{2.60}$$

The forces  $\{q\}$  that act on surface  $\Gamma$  are intended to approximate the stresses on the half-space that are produced by the structures above. The form of  $\Gamma$  as given in figure (2.4) is chosen in order to represent an excavation, but we can also choose another form like open box or other reasonable forms. Fictitious loads are introduced as Fourier series with unknown coefficients ( $C_{lmn}$ )



Figure 2.4 Forces in the layered half-space

Regarding equation (2.59), equation (2.58) can be rewritten as

$$\{p\} = \{p_1\} + \{p_2\} + \{p_3\} + \{p_4\} + \{p_5\}$$
(2.61)

with

$$\{p_{1}\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \delta(z+x-a_{x}) \cdot \left[H\left(x+y+(b_{y}-b_{x})\right) - H\left(-x+y-(b_{y}-b_{x})\right)\right] \cdot \left[H(z) - H(z-h)\right] \cdot e^{i\left(\frac{m}{a_{x}}x+\frac{m}{a_{y}}y\right)} \{t_{mn}\}$$
(2.62)

$$\{p_{2}\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \delta(z - x - a_{x}) \cdot \left[H\left(-x + y + (b_{y} - b_{x})\right) - H\left(x + y - (b_{y} - b_{x})\right)\right] \cdot \left[H(z) - H(z - h)\right] \cdot e^{i\left(\frac{\pi n}{a_{x}} x + \frac{\pi n}{a_{y}}y\right)} \{t_{mn}\}$$
(2.63)

$$\{p_{3}\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \delta(z+y-a_{y}) \cdot \left[H(x+y-(b_{y}-b_{x})) - H(x-y+(b_{y}-b_{x}))\right] \cdot \left[H(z) - H(z-h)\right] \cdot e^{i\left(\frac{\pi n}{a_{x}} + \frac{\pi n}{a_{y}}\right)} \{t_{mn}\}$$
(2.64)

$$\{p_{4}\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \delta(z-y-a_{y}) \cdot \left[H(x-y-(b_{y}-b_{x})) - H(x+y+(b_{y}-b_{x}))\right] \cdot \left[H(z) - H(z-h)\right] \cdot e^{i\left(\frac{\pi n}{a_{x}} + \frac{\pi n}{a_{y}}y\right)} \{t_{mn}\}$$

(2.65)

$$\{p_{5}\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \delta(z-h) \cdot \left[H(x+b_{x}) - H(x-b_{x})\right] \cdot \left[H(y+b_{y}) - H(y-b_{y})\right] \cdot e^{i\left(\frac{m}{a_{x}} + \frac{m}{a_{y}}\right)} \{t_{mn}\}$$
(2.66)

$$\{t_{mn}\} = \begin{bmatrix} t_{xmn} & t_{ymn} & t_{zmn} \end{bmatrix}^T$$
(2.67)

and *H* is Heaviside distribution.

#### 2.5.1 Particular Solution for Upper Layer

Transforming the forces in equation (2.61) and the equation of motion in (2.56) regarding the two coordinates  $x, y \rightarrow k_x$ ,  $k_y$  and time  $t \rightarrow \omega$ , and extending the load  $\{p_1\}, \{p_2\}, \{p_3\}, \{p_4\}$  and  $\{p_5\}$  over the whole domain  $-\infty \le z \le +\infty$ , gives

$${p(x, y, z)} \rightarrow {\hat{p}(k_x, k_y, z)}$$

Using Maple<sup>®</sup> Package Program, one can obtain the load in transfomed domain, and can be written as :

$$\left\{ \hat{p}_{j} \right\} = \sum_{n=-N}^{N} \sum_{m=-M}^{M} \left[ A_{j} e^{(\kappa_{1j}z + \kappa_{2j})i} - A_{j} e^{(\kappa_{3j}z + \kappa_{4j})i} \right] t_{mn} \} \qquad j = 1, 2, 3, 4$$

$$= \sum_{n=-N}^{N} \sum_{m=-M}^{M} \frac{\delta(z-h)}{\alpha_{1}\alpha_{2}} \left[ e^{-i\alpha_{1}b_{x}} - e^{i\alpha_{1}b_{x}} \right] \left[ e^{-i\alpha_{2}b_{y}} - e^{i\alpha_{2}b_{y}} \right] t_{mn} \} \qquad j = 5$$

$$(2.68)$$

with

$$\langle A \rangle = [A_1 \quad A_2 \quad A_3 \quad A_4] = [i\alpha_2^{-1} \quad -i\alpha_2^{-1} \quad i\alpha_1^{-1} \quad -i\alpha_1^{-1}]$$
 (2.69)

$$[\kappa] = \begin{bmatrix} +(\alpha_{1} + \alpha_{2}) & -\alpha_{2}\Delta b - (\alpha_{1} + \alpha_{2})a_{x} & +(\alpha_{1} - \alpha_{2}) & +\alpha_{2}\Delta b - (\alpha_{1} - \alpha_{2})a_{x} \\ -(\alpha_{1} + \alpha_{2}) & +\alpha_{2}\Delta b + (\alpha_{1} + \alpha_{2})a_{x} & -(\alpha_{1} - \alpha_{2}) & -\alpha_{2}\Delta b + (\alpha_{1} - \alpha_{2})a_{x} \\ +(\alpha_{2} + \alpha_{1}) & +\alpha_{1}\Delta b - (\alpha_{2} + \alpha_{1})a_{y} & +(\alpha_{2} - \alpha_{1}) & -\alpha_{1}\Delta b - (\alpha_{2} - \alpha_{1})a_{y} \\ -(\alpha_{2} + \alpha_{1}) & -\alpha_{1}\Delta b + (\alpha_{2} + \alpha_{1})a_{y} & -(\alpha_{2} - \alpha_{1}) & +\alpha_{1}\Delta b + (\alpha_{2} - \alpha_{1})a_{y} \end{bmatrix}$$
(2.70)

$$\alpha_1 = k_x - \frac{\pi m}{a_x} \quad \alpha_2 = k_y - \frac{\pi n}{a_y} \tag{2.71}$$

$$\Delta b = b_y - b_x \tag{2.72}$$

The transformed equation of motions one has :

$$\begin{bmatrix} [d_1] + \frac{\partial}{\partial z} [d_2] + \frac{\partial^2}{\partial z^2} [d_3] \end{bmatrix} \begin{cases} \hat{u}_x \\ \hat{u}_y \\ \hat{u}_z \end{cases} = \begin{cases} \hat{p}_x \\ \hat{p}_y \\ \hat{p}_z \end{cases}$$
(2.73)

$$\begin{bmatrix} d_1 \end{bmatrix} = \begin{bmatrix} -2k_x^2 - \kappa k_x^2 - k_y^2 + k_s^2 & -(1+\kappa)k_x k_y & 0\\ -(1+\kappa)k_x k_y & -2k_y^2 - \kappa k_y^2 - k_x^2 + k_s^2 & 0\\ 0 & 0 & -(k_x^2 + k_y^2 - k_s^2) \end{bmatrix}$$
(2.74)

$$[d_{2}] = \begin{bmatrix} 0 & 0 & ik_{x}(1+\kappa) \\ 0 & 0 & ik_{y}(1+\kappa) \\ ik_{x}(1+\kappa) & ik_{y}(1+\kappa) & 0 \end{bmatrix}$$
(2.75)

$$\begin{bmatrix} d_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 + \kappa \end{bmatrix}$$
(2.76)

For the particular solution of equation (2.56) we use the same exponential function as for the load-ing:

$$\left\{\hat{u}^{p}\right\} = \sum_{j=1}^{4} \sum_{n=-N}^{N} \sum_{m=-M}^{M} \left\{\left\{U_{1j}\right\} A_{j} \cdot e^{(\kappa_{1j}z + \kappa_{2j})i} - \left\{U_{2j}\right\} A_{j} \cdot e^{(\kappa_{3j}z + \kappa_{4j})i}\right\}$$
(2.77)

with

$$\{U_{1j}\}^{T} = \begin{bmatrix} U_{1xj} & U_{1yj} & U_{1zj} \end{bmatrix}$$
(2.78)

$$\{U_{2j}\}^{T} = \begin{bmatrix} U_{2xj} & U_{2yj} & U_{2zj} \end{bmatrix}$$
(2.79)

Substituting Eq.(2.77) into Eq.(2.73) gives

$$\begin{bmatrix} [D_{1j}] & [0] \\ [0] & [D_{2j}] \end{bmatrix} \begin{cases} \{U_{1j}\} \\ \{U_{2j}\} \end{cases} = \begin{cases} \{t_{mn}\} \\ \{t_{mn}\} \end{cases}$$
(2.80)

with

$$\begin{bmatrix} D_{1j} \end{bmatrix} = \begin{bmatrix} -\left(\lambda_{2}^{2} + \kappa_{1j}^{2}\right) - (1+\kappa)k_{x}^{2} & -(1+\kappa)k_{x}k_{y} & -(1+\kappa)k_{x}\kappa_{1j} \\ -(1+\kappa)k_{x}k_{y} & -\left(\lambda_{2}^{2} + \kappa_{1j}^{2}\right) - (1+\kappa)k_{y}^{2} & -(1+\kappa)k_{y}\kappa_{1j} \\ -(1+\kappa)k_{x}\kappa_{1j} & -(1+\kappa)k_{y}\kappa_{1j} & -\left(\lambda_{2}^{2} + \kappa_{1j}^{2}\right) - (1+\kappa)\kappa_{1j}^{2} \end{bmatrix}$$
(2.81)  
$$\begin{bmatrix} D_{2j} \end{bmatrix} = \begin{bmatrix} -\left(\lambda_{2}^{2} + \kappa_{3j}^{2}\right) - (1+\kappa)k_{x}^{2} & -(1+\kappa)k_{x}k_{y} & -(1+\kappa)k_{x}\kappa_{3j} \\ -(1+\kappa)k_{x}k_{y} & -\left(\lambda_{2}^{2} + \kappa_{3j}^{2}\right) - (1+\kappa)k_{y}\kappa_{3j} \\ -(1+\kappa)k_{x}\kappa_{3j} & -(1+\kappa)k_{y}\kappa_{3j} & -\left(\lambda_{2}^{2} + \kappa_{1j}^{2}\right) - (1+\kappa)k_{y}\kappa_{3j} \\ -(1+\kappa)k_{x}\kappa_{3j} & -(1+\kappa)k_{y}\kappa_{3j} & -\left(\lambda_{2}^{2} + \kappa_{1j}^{2}\right) - (1+\kappa)\kappa_{3}^{2} \end{bmatrix}$$
(2.82)

To get  $\{U_{ij}\}$  &  $\{U_{2j}\}$  we have to invert  $[D_{1j}]$  and  $[D_{2j}]$ 

$$\begin{bmatrix} D_{1j} \end{bmatrix}^{-1} = \frac{1}{\det_{1j}} \begin{bmatrix} (\lambda_2^2 + \kappa_{1j}^2) + (1+\kappa) (\kappa_{1j}^2 + k_y^2) & -(1+\kappa) k_x k_y & -(1+\kappa) k_x \kappa_{1j} \\ -(1+\kappa) k_x k_y & (\lambda_2^2 + \kappa_{1j}^2) + (1+\kappa) (\kappa_{1j}^2 + k_x^2) & -(1+\kappa) k_y \kappa_{1j} \\ -(1+\kappa) k_x K_{1j} & -(1+\kappa) k_y \kappa_{1j} & (\lambda_2^2 + \kappa_{1j}^2) + (1+\kappa) (k_x^2 + k_y^2) \end{bmatrix}$$

$$\begin{bmatrix} D_{2j} \end{bmatrix}^{-1} = \frac{1}{\det_{2j}} \begin{bmatrix} (\lambda_2^2 + \kappa_{3j}^2) + (1+\kappa)(\kappa_{3j}^2 + k_y^2) & -(1+\kappa)k_xk_y & -(1+\kappa)k_x\kappa_{3j} \\ -(1+\kappa)k_xk_y & (\lambda_2^2 + \kappa_{3j}^2) + (1+\kappa)(\kappa_{3j}^2 + k_x^2) & -(1+\kappa)k_y\kappa_{3j} \\ -(1+\kappa)k_xK_{3j} & -(1+\kappa)k_y\kappa_{3j} & (\lambda_2^2 + \kappa_{3j}^2) + (1+\kappa)(k_x^2 + k_y^2) \end{bmatrix}$$

$$(2.84)$$

$$\det_{1j} = \frac{-(1+\kappa)k_s^2 \left(\lambda_2^2 + \kappa_{1j}^2\right)^2 - (2+\kappa)\left(\lambda_2^2 + \kappa_{1j}^2\right)^3}{\left(\lambda_2^2 + \kappa_{1j}^2\right)}$$
(2.85)

$$\det_{2j} = \frac{-(1+\kappa)k_s^2 \left(\lambda_2^2 + \kappa_{3j}^2\right)^2 - (2+\kappa)\left(\lambda_2^2 + \kappa_{3j}^2\right)^3}{\left(\lambda_2^2 + \kappa_{3j}^2\right)}$$
(2.86)

$$\{U_{1j}\} = [D_{1j}]^{-1}\{t_{mn}\}$$
(2.87)

$$\{U_{2j}\} = [D_{2j}]^{-1}\{t_{mn}\}$$
(2.88)

Substituting equations (2.87) and (2.88) into equation (2.77) gives the particular solution of equation (2.73).

#### 2.5.2 Homogeneous Solution

The homogeneous solutions for a system shown in figure 2.3 consist of two parts ;  $\{\hat{u}^h\} \& \{\hat{u}_1^h\}$ , the first is for the upper layer and the second is for the half-space. We will base our homogeneous solution on equation (2.46) and must satisfy these 9 boundary conditions below

$$\begin{aligned} \hat{\tau}_{xz}^{p}(z=0) + \hat{\tau}_{xz}^{h}(z=0) &= 0\\ \hat{\tau}_{yz}^{p}(z=0) + \hat{\tau}_{yz}^{h}(z=0) &= 0\\ \hat{\sigma}_{zz}^{p}(z=0) + \hat{\sigma}_{zz}^{h}(z=0) &= 0\\ \hat{\tau}_{xz}^{p}(z=h) + \hat{\tau}_{xz}^{h}(z=h) - \hat{\tau}_{x_{1}z_{1}}^{h}(z_{1}=0) &= \hat{q}_{5x}\\ \hat{\tau}_{yz}^{p}(z=h) + \hat{\tau}_{yz}^{h}(z=h) - \hat{\tau}_{y_{1}z_{1}}^{h}(z_{1}=0) &= \hat{q}_{5y}\\ \hat{\sigma}_{zz}^{p}(z=h) + \hat{\sigma}_{zz}^{h}(z=h) - \hat{\sigma}_{z_{1}z_{1}}^{h}(z_{1}=0) &= \hat{q}_{5z}\\ \hat{\mu}_{x}^{p}(z=h) + \hat{\mu}_{x}^{h}(z=h) - \hat{\mu}_{x_{1}}^{h}(z_{1}=0) &= 0\\ \hat{\mu}_{y}^{p}(z=h) + \hat{\mu}_{z}^{h}(z=h) - \hat{\mu}_{y_{1}}^{h}(z_{1}=0) &= 0\\ \hat{\mu}_{z}^{p}(z=h) + \hat{\mu}_{z}^{h}(z=h) - \hat{\mu}_{z_{1}}^{h}(z_{1}=0) &= 0\\ \hat{\mu}_{z}^{p}(z=h) + \hat{\mu}_{z}^{h}(z=h) - \hat{\mu}_{z_{1}}^{h}(z_{1}=0) &= 0\end{aligned}$$

On the upper layer z=0, regarding equations (2.49) and (2.51), we can write the stress equations in transformed domain as

$$\{\hat{\sigma}^{h}\}_{z=0} = [A_{1}]\{\overline{C}_{1}\}$$
(2.90)

$$\{\hat{\sigma}^{h}\}_{z=0}^{T} = \begin{bmatrix} \hat{\tau}_{xz}^{h}(z=0) & \hat{\tau}_{yz}^{h}(z=0) & \hat{\sigma}_{zz}^{h}(z=0) \end{bmatrix}$$
(2.91)

$$\left\{\overline{C}_{1}\right\}^{T} = \begin{bmatrix}\overline{A}_{11} & A_{21} & \overline{B}_{x11} & B_{x21} & \overline{B}_{y11} & B_{y21}\end{bmatrix}$$
(2.92)

$$\begin{bmatrix} A_{1} \end{bmatrix} = \mu_{1} \begin{bmatrix} 2ik_{x}\lambda_{11}e^{-\lambda_{11}h} & -2ik_{x}\lambda_{11} & k_{x}k_{y}e^{-\lambda_{21}h} & k_{x}k_{y} & -(\lambda_{21}^{2}+k_{x}^{2})e^{-\lambda_{21}h} & -(\lambda_{21}^{2}+k_{x}^{2}) \\ 2ik_{y}\lambda_{11}e^{-\lambda_{11}h} & -2ik_{y}\lambda_{11} & (\lambda_{21}^{2}+k_{y}^{2})e^{-\lambda_{21}h} & \lambda_{21}^{2}+k_{y}^{2} & -k_{x}k_{y}e^{-\lambda_{21}h} & -k_{x}k_{y} \\ (2k_{r}^{2}-k_{s}^{2})e^{-\lambda_{11}h} & 2k_{r}^{2}-k_{s}^{2} & -2ik_{y}\lambda_{21}e^{-\lambda_{21}h} & 2ik_{y}\lambda_{21} & 2ik_{x}\lambda_{21}e^{-\lambda_{21}h} & -2ik_{x}\lambda_{21} \end{bmatrix}$$

(2.93)

$$\lambda_{1i}^2 = k_x^2 + k_y^2 - k_{pi}^2$$
(2.94)

$$\lambda_{2i}^2 = k_x^2 + k_y^2 - k_{si}^2$$
(2.95)

And for the particular solution

$$\{\hat{\sigma}^{p}\}_{z=0} = [G]\{S\}_{z=0}$$
(2.96)

with

$$\{\hat{\sigma}^{p}\}_{z=0}^{T} = \begin{bmatrix} \hat{\tau}_{xz}^{p}(z=0) & \hat{\tau}_{yz}^{p}(z=0) & \hat{\sigma}_{zz}^{p}(z=0) \end{bmatrix}$$
(2.97)

$$[G] = \begin{bmatrix} 0 & 0 & \mu i k_x & \mu & 0 & 0 \\ 0 & 0 & \mu i k_y & 0 & \mu & 0 \\ i \lambda k_x & i \lambda k_y & 0 & 0 & 0 & \lambda + 2\mu \end{bmatrix}$$
(2.98)

$$\{S\}_{z=o}^{T} = \begin{bmatrix} \hat{u}_{x}^{p}(z=0) & \hat{u}_{y}^{p}(z=0) & \hat{u}_{z}^{p}(z=0) & \hat{u}_{x,z}^{p}(z=0) & \hat{u}_{y,z}^{p}(z=0) & \hat{u}_{z,z}^{p}(z=0) \end{bmatrix}$$
(2.99)

The vector  $\{S\}_{z=o}$  has to be calculated from equations (2.77), (2.87), (2.88) and as we see from these three equations,  $\{S\}_{z=o}$  is dependent from  $\{t_{mn}\}$ 

On the boundary z = h and  $z_I = 0$ , the displacement and the stresses in transformed domain are:

Upper Layer, z = h

$$\left\{\hat{u}^{h}\right\}_{z=h} = \left[A_{2}\right]\left\{\overline{C}_{1}\right\}$$
(2.100)

with

$$\left\{\hat{u}^{h}\right\}_{z=h}^{T} = \begin{bmatrix}\hat{u}_{xz}^{h}(z=h) & \hat{u}_{yz}^{h}(z=h) & \hat{u}_{zz}^{h}(z=h)\end{bmatrix}$$
(2.101)

$$[A_{2}] = \mu_{1} \begin{bmatrix} ik_{x} & ik_{x}e^{-\lambda_{11}h} & 0 & 0 & -\lambda_{21} & \lambda_{21}e^{-\lambda_{21}h} \\ ik_{y} & ik_{y}e^{-\lambda_{11}h} & \lambda_{21} & -\lambda_{21}e^{-\lambda_{21}h} & 0 & 0 \\ \lambda_{11} & -\lambda_{11}e^{-\lambda_{11}h} & -ik_{y} & -ik_{y}e^{-\lambda_{21}h} & ik_{x} & ik_{x}e^{-\lambda_{21}h} \end{bmatrix}$$
(2.102)

and the stresses, regarding equations (2.49) and (2.51)

$$\left\{\hat{\sigma}^{h}\right\}_{z=h} = \left[A_{3}\right]\left\{\overline{C_{1}}\right\}$$
(2.103)

with

$$\{\hat{\sigma}^{h}\}_{z=h}^{T} = \begin{bmatrix} \hat{\tau}_{xz}^{h}(z=h) & \hat{\tau}_{yz}^{h}(z=h) & \hat{\sigma}_{zz}^{h}(z=h) \end{bmatrix}$$
(2.104)

$$\begin{bmatrix} A_{3} \end{bmatrix} = \mu_{1} \begin{bmatrix} 2ik_{x}\lambda_{11} & -2ik_{x}\lambda_{11}e^{-\lambda_{11}h} & k_{x}k_{y} & k_{x}k_{y}e^{-\lambda_{21}h} & -(\lambda_{21}^{2}+k_{x}^{2}) & -(\lambda_{21}^{2}+k_{x}^{2})e^{-\lambda_{21}h} \\ 2ik_{y}\lambda_{11} & -2ik_{y}\lambda_{11}e^{-\lambda_{11}h} & \lambda_{21}^{2}+k_{y}^{2} & (\lambda_{21}^{2}+k_{y}^{2})e^{-\lambda_{21}h} & -k_{x}k_{y} & -k_{x}k_{y}e^{-\lambda_{21}h} \\ 2k_{r}^{2}-k_{s}^{2} & (2k_{r}^{2}-k_{s}^{2})e^{-\lambda_{1}h} & -2ik_{y}\lambda_{21} & 2ik_{y}\lambda_{21}e^{-\lambda_{21}h} & 2ik_{x}\lambda_{21} & -2ik_{x}\lambda_{21}e^{-\lambda_{21}h} \end{bmatrix}$$

$$(2.105)$$

And for the particular solution,  $\{\hat{\sigma}^p\}_{z=h}$ ; the stresses at z=h, can be calculated analogue with equations (2.96)-(2.99).

*Lower Layer / Half-space, z<sub>.1</sub>=0* 

$$\left\{\hat{u}_{1}^{h}\right\}_{z_{1}=0} = \left[A_{4}\right]\left\{\overline{C}_{2}\right\}$$
 (2.106)

with

$$\left\{\hat{u}_{1}^{h}\right\}_{z_{1}=0}^{T} = \left[\hat{u}_{x_{1}z_{1}}^{h}(z_{1}=0) \quad \hat{u}_{y_{1}z_{1}}^{h}(z_{1}=0) \quad \hat{u}_{z_{1}z_{1}}^{h}(z_{1}=0)\right]$$
(2.107)

$$\left\{\overline{C}_{2}\right\}^{T} = \begin{bmatrix} A_{22} & B_{x22} & B_{y22} \end{bmatrix}$$
(2.108)

$$\begin{bmatrix} A_4 \end{bmatrix} = \begin{bmatrix} ik_x & 0 & \lambda_2 \\ ik_y & -\lambda_2 & 0 \\ -\lambda_1 & -ik_y & ik_x \end{bmatrix}$$
(2.109)

and the stresses

$$\{\hat{\sigma}_{1}^{h}\}_{z_{1}=o} = [A_{5}]\{\overline{C}_{2}\}$$
 (2.110)

$$\left\{\hat{\sigma}_{1}^{h}\right\}_{z_{1}=0}^{T} = \left[\hat{\tau}_{x_{1}z_{1}}^{h}(z_{1}=0) \quad \hat{\tau}_{y_{1}z_{1}}^{h}(z_{1}=0) \quad \hat{\sigma}_{z_{1}z_{1}}^{h}(z_{1}=0)\right]$$
(2.111)

$$[A_{5}] = \mu_{2} \begin{bmatrix} -2ik_{x}\lambda_{1} & k_{x}k_{y} & -(\lambda_{2}^{2} + k_{x}^{2}) \\ -2ik_{y}\lambda_{1} & \lambda_{2}^{2} + k_{y}^{2} & -k_{x}k_{y} \\ 2k_{r}^{2} - k_{s}^{2} & 2ik_{y}\lambda_{2} & -2ik_{x}\lambda_{2} \end{bmatrix}$$
(2.112)

Regarding equations Substituting equations (2.90) - (2.112), boundary conditions in equation (2.89) can be rewritten as

-

$$[A]\{\overline{C}\} = \{S_{BC}(\{t_{mn}\})\}$$
(2.113)

with

$$\begin{bmatrix} A \end{bmatrix} = \begin{bmatrix} \begin{bmatrix} A_1 & \\ A_3 & \\ \\ A_2 & \end{bmatrix} \begin{bmatrix} A_5 \end{bmatrix}$$
(2.114)

$$\left\{\overline{C}\right\}^{T} = \left[\left\{\overline{C}_{1}\right\}^{T} \quad \left\{\overline{C}_{2}\right\}^{T}\right]$$
(2.115)

$$\left\{ S_{BC}(\left\{t_{mn}\right\}) \right\} = \begin{cases} -\left\{ \hat{\sigma}^{p}(\left\{t_{mn}\right\}) \right\}_{z=0} \\ \left\{ \hat{q}_{5}(\left\{t_{mn}\right\}) \right\} - \left\{ \hat{\sigma}^{p}(\left\{t_{mn}\right\}) \right\}_{z=h} \\ \left\{ \hat{u}^{p}(\left\{t_{mn}\right\}) \right\}_{z=h} \end{cases}$$
(2.116)

By inverting [A] , we can get  $\left\{\overline{C}\right\}$  from

$$\{\overline{C}\} = [A]^{-1} \{S_{BC}(\{t_{mn}\})\}$$
(2.117)

### 2.6 Examples for Forced Vibration of The Layered Halfspace

#### 2.6.1 Special Cases, h = 0

If the depth where the forces act, h in figure 2.4 is equal to zero, h = 0, means that the forces act on the surface of half-space. The particular solutions disappear and we have only homogeneous solutions for this problem. The boundary conditions from equation (2.89) become:

$$\hat{\sigma}_{x_{l}z_{1}}^{h}(z_{1}=0) = -\hat{q}_{5x}$$

$$\hat{\sigma}_{y_{l}z_{1}}^{h}(z_{1}=0) = -\hat{q}_{5y}$$

$$\hat{\sigma}_{z_{l}z_{1}}^{h}(z_{1}=0) = -\hat{q}_{5z}$$
(2.118)

Substitution of these equations into equation (2.110) gives :

$$-\{\hat{q}_{5}\} = -\begin{bmatrix} \hat{q}_{5x} \\ \hat{q}_{5y} \\ \hat{q}_{5z} \end{bmatrix} = \begin{bmatrix} A_{5} \end{bmatrix} \{\overline{C}_{2}\} = \mu_{2} \begin{bmatrix} -2ik_{x}\lambda_{1} & k_{x}k_{y} & -(\lambda_{2}^{2}+k_{x}^{2}) \\ -2ik_{y}\lambda_{1} & \lambda_{2}^{2}+k_{y}^{2} & -k_{x}k_{y} \\ 2k_{r}^{2}-k_{s}^{2} & 2ik_{y}\lambda_{2} & -2ik_{x}\lambda_{2} \end{bmatrix} \begin{bmatrix} A_{22} \\ B_{x22} \\ B_{y22} \end{bmatrix}$$
(2.119)

and

$$\{\overline{C}_{2}\} = \begin{bmatrix} A_{22} \\ B_{x22} \\ B_{y22} \end{bmatrix} = -[A_{5}]^{-1}\{\hat{q}_{5}\}$$
(2.120)

Substitution of  $\{\overline{C}_2\}$  into equation (2.47) gives :

$$\begin{bmatrix} \hat{u}_x \\ \hat{u}_y \\ \hat{u}_z \end{bmatrix} = \begin{bmatrix} \hat{F} \end{bmatrix} \cdot \{ \hat{q}_5 \}$$
(2.121)

$$\left[ \hat{F} \right] = - \begin{bmatrix} ik_x e^{-\lambda_1 z_1} & 0 & \lambda_2 e^{-\lambda_2 z_1} \\ ik_y e^{-\lambda_1 z_1} & -\lambda_2 e^{-\lambda_2 z_1} & 0 \\ -\lambda_1 e^{-\lambda_1 z_1} & -ik_y e^{-\lambda_2 z_1} & ik_x e^{-\lambda_2 z_1} \end{bmatrix} \cdot \left[ A_5 \right]^{-1}$$

$$(2.122)$$

$$[A_{5}]^{-1} = -\frac{1}{\mu_{2}\Delta_{A5}} \begin{bmatrix} -2ik_{x}\lambda_{2} & -2ik_{y}\lambda_{2} & 2k_{r}^{2} - k_{s}^{2} \\ \frac{k_{x}k_{y}(4\lambda_{1}\lambda_{2} - 2k_{r}^{2} - k_{s}^{2})}{\lambda_{2}^{2}} & -\frac{4k_{x}^{2}\lambda_{1}\lambda_{2} - (2k_{r}^{2} - k_{s}^{2})(\lambda_{2}^{2} + k_{x}^{2})}{\lambda_{2}^{2}} & -2ik_{y}\lambda_{1} \\ \frac{4k_{y}^{2}\lambda_{1}\lambda_{2} - (2k_{r}^{2} - k_{s}^{2})(\lambda_{2}^{2} + k_{y}^{2})}{\lambda_{2}^{2}} & -\frac{k_{x}k_{y}(4\lambda_{1}\lambda_{2} - 2k_{r}^{2} - k_{s}^{2})}{\lambda_{2}^{2}} & -2ik_{x}\lambda_{1} \end{bmatrix}$$

$$(2.123)$$

$$\Delta_{A5} = -(2k_r^2 - k_s^2) + 4k_r^2 \lambda_1 \lambda_2$$
(2.124)

It can be seen from equations (2.123)-(2.124) that for given  $k_{x,}k_{y}$ , and z, the displacements in the transformed domain  $\hat{u}_{x}, \hat{u}_{y}$ , and  $\hat{u}_{z}$  are in functions of forces in transformed domain  $\hat{p}_{5x}, \hat{p}_{5y}$ , and  $\hat{p}_{5z}$  and the matrix [ $\hat{F}$ ] is constant and behaves as "*flexibility matrix*" in transformed domain.

It means that for given  $k_{x,}k_{y} \& z$ , we only have to calculate  $[\hat{F}]$  once, and then we can obtain any response  $\hat{u}_{x}, \hat{u}_{y} \& \hat{u}_{z}$  due to the loading  $\hat{p}_{5x}, \hat{p}_{5y} \& \hat{p}_{5z}$  by simply multiplying  $\{\hat{p}_{5}\}$  by  $[\hat{F}]$ .

Analogue for stresses, matrix  $[\hat{F}_{\sigma}]$  can be obtained by substituting  $\{\hat{C}_2\}$  into equation (2.49), gives :

$$\left\{\hat{\sigma}^{h}\right\} = \left[\hat{F}_{\sigma}\right] \cdot \left\{\hat{q}_{5}\right\}$$
(2.125)

with

$$\{\sigma^{h}\} = \begin{bmatrix} \hat{\sigma}_{x_{1}}^{h} & \hat{\sigma}_{y_{1}}^{h} & \hat{\sigma}_{z_{1}}^{h} & \hat{\sigma}_{x_{1}y_{1}}^{h} & \hat{\sigma}_{y_{1}z_{1}}^{h} & \hat{\sigma}_{z_{1}x_{1}}^{h} \end{bmatrix}^{T}$$
(2.126)

$$\left[\hat{F}_{\sigma}\right] = -\mu_{2} \begin{bmatrix} \left(-2k_{x}^{2} - \frac{\lambda}{\mu}k_{p}^{2}\right)e^{-\lambda_{1}z_{1}} & 0 & 2ik_{x}\lambda_{2}e^{-\lambda_{2}z_{1}} \\ \left(-2k_{y}^{2} - \frac{\lambda}{\mu}k_{p}^{2}\right)e^{-\lambda_{1}z_{1}} & -2ik_{y}\lambda_{2}e^{-\lambda_{2}z_{1}} & 0 \\ \left(2k_{r}^{2} - k_{s}^{2}\right)e^{-\lambda_{1}z_{1}} & 2ik_{y}\lambda_{2}e^{-\lambda_{2}z_{1}} & -2ik_{x}\lambda_{2}e^{-\lambda_{2}z_{1}} \\ -2k_{x}k_{y}e^{-\lambda_{1}z_{1}} & ik_{x}\lambda_{2}e^{-\lambda_{2}z_{1}} & ik_{y}\lambda_{2}e^{-\lambda_{2}z_{1}} \\ -2ik_{y}\lambda_{1}e^{-\lambda_{1}z_{1}} & \left(\lambda_{2}^{2} + k_{y}^{2}\right)e^{-\lambda_{2}z_{1}} & -k_{x}k_{y}e^{-\lambda_{2}z_{1}} \\ -2ik_{x}\lambda_{1}e^{-\lambda_{1}z_{1}} & k_{x}k_{y}e^{-\lambda_{2}z_{1}} & -\left(\lambda_{2}^{2} + k_{x}^{2}\right)e^{-\lambda_{2}z_{1}} \end{bmatrix} \cdot \left[A_{5}\right]^{-1}$$

$$(2.127)$$

These  $[\hat{F}]$  and  $[\hat{F}_{\sigma}]$  matrices are helpful to calculate the stiffness matrix if the load  $\{q_5\}$  acts on the surface. By using these matrices we can avoid inverting matrix  $[A]_{9x9}$  in equation 2.114 for every loading  $\{q_5\}$  and every depth,  $z_{I}$ . Instead, we just have to invert matrix  $[A_5]_{3x3}$  once, and then using this  $[A_5]^{-1}$  to get  $[\hat{F}]$  and  $[\hat{F}_{\sigma}]$  for every different  $z_{I}$ .

This is also the reason why computing half-space problem with layer takes much more computingtime rather than half-space without layer. For n additional layers we will have 6n additional interface conditions.
To illustrate the mechanism of this "flexibility matrix" some examples with single load and block load will be taken and shown in figure 2.5 - 2.11.

Figure 2.6 shows the imaginary and real parts of vertical displacement of a single vertical unit load, P = 1 with different frequencies. What we see here actually is an element of the "*flexibility matrix*" i.e.  $\hat{F}_{33}$ . It is clear from figure 2.6, that if we scale the frequency with factor *c*, it will also scale the wave number  $k_s$  with factor *c* because as we see from equation (2.45),  $k_s$  has a linear function of  $\omega$ :

$$k_s = \frac{\omega}{c_s} \tag{2.45}$$

Figure 2.7 shows vertical unit load spectrum in transformed domain. The total load is the same  $(10000 \ kg)$  but the width (b) of the block force is varied.

The equation of a block load in transformed domain  $(k_x, k_y)$  from equation 2.68 can be written as

$$\hat{q} = \frac{4\sin(bk_x)\sin(bk_y)}{k_x k_y}$$
 (2.125)

It can be seen from equation above that the change of b has influence in the wave number of the load spectrum.



Figure 2.5 Unit block load

Figure 2.8 shows vertical displacements of a single load (with total load = 10000 N) in transformed domain;  $\hat{u}_z(k_x, k_y)$ . As we notice this spectrum for z = 0, actually it is a multiplication : (vertical displacement spectrum of a single unit load in figure 2.6 for  $\omega = 50 \text{ rad/s}$ ) x (load spectrum in figure 2.7 for b = 0) x 10000 N.

For another loading configuration, b=10, as shown in figure 2.10, the displacements for z = 0 can be obtained by multiplyng (figure 2.6 for  $\omega = 50 \text{ rad/s}$ ) x (figure 2.7 for b=10m) x 10000 N.

As we notice the unit vertical displacement spectrums,  $\hat{u}_z$  in figure 2.8, for z=0 in the area between the peaks (the peak of the spectrum is near to  $k_x \approx -k_s$  and  $+k_s$ , with  $k_s=0.49$ ), the real part the values is almost zero and outside the peaks area are non zero postive. But for imaginary part, the value between the peaks are non zero negative and the rest is almost zero. Because the most influence areas in this two spectrums (real and imaginary parts) have different sign, it can be understood, why the back transform of these spectrum have also an opposite sign shape. In figure 2.9, we can see, that the peak of the real part of  $\hat{u}_z$  has positive sign, but the peak of imaginary part has negative sign.

And based on this matter, it can also be understand, that if the changes of the displacement spectrum's shapes happen at the non zero zone, they can strongly influence the back transform of these spectrum.

From figure 2.7, we compare the load spectrum for b = 0 and b = 10, at the peak area  $-k_s < k_x < +k_s$ , they still have the same sign, and they begin to have different sign outside the peak area.

Now, if we analize the displacement spectrums for b=10 and z=0 in figure 2.10, for the real part, the most influence area of the spectrum is outside the peak, and a significant change of this area, from positive (figure 2.8, real part, b=0, z=0) to mostly negative (figure 2.10, real part, b=10, z=0) do change the result of the back transform, from positif sign (figure 2.9, real part, b=0, z=0) to negative sign (figure 2.11, real part, b=10, z=0).

The imaginary part (figure 2.10, b=10, z=0) has no sign changes at its influence area (compare to figure 2.8, imaginary part, b=0, z=0), that is why the shape of the back transform does not have sign changes (figure 2.9, imaginary part, , b=0, z=0 compare to figure 2.11). The peak still has negative sign.



Figure 2.6 Vertical displacements in transformed domain from a single unit load



Figure 2.7 Load spectrums in transformed domain



Figure 2.8 Vertical displacement of a single load in transformed domain





Figure 2.9 Vertical displacement of a single load in original domain



Figure 2.10 Vertical displacement of a block load in transformed domain





Figure 2.11 Vertical displacement of a block load in original domain

### 2.6.2 Examples for Volume Forces in The Half-space

Figure 2.13 shows the vertical displacement in transformed domain of real and imaginary parts caused by internal load as indicated in the figures, and figure 2.14 shows the vertical displacement in original domain also from real and imaginary parts. These displacements are the results of a loading condition with  $b_x=0$ , h = 5m (see figure 2.4) with total load 10000 kg Density  $\rho$  is taken 2000 kg/m<sup>3</sup>, Poisson ratio, v = 0.2, modulus elasticity, E = 5.  $10^7 N/m^2$  and damping ratio  $\xi = 2\%$ .

As comparison, another loading condition with the same total load and parameters but different  $b_x=5m$  is shown in figure (2.15) and (2.16). It can be seen that the maximum displacement in the second loading condition is smaller than the first one, because in the second condition, the loading area is 4 times larger, so the unit load is 4 times smaller than the first.

It is interesting to compare the spectrums in figure 2.13 with spectrums in figure 2.15. If we see the peak of the spectrums they have different sign. The peaks in figure 2.12 have negative signs but the peaks in figure 2.14 have positive sign.

This phenomenon can be explained if we take a look at figure 2.12. This figure shows load spectrums in transformed domain for  $b = 14 \sim 20$ . For b = 20 at  $k_x = k_s = 0,49$ , we have a negative value, but from figure 2.7 for b = 10 at  $k_x = k_s = 0,49$  we have a positive value.



Figure 2.12 Load spectrums in transformed domain

As can we see from equations (2.116) and (2.117), the displacement responses of loads in the half-space are also as a function of load spectrum. Although the relationship is not so simple as load on the surface of half-space (equation (2.122)), but it is clear that they depend to the load spectrums too.

The loads in figure 2.13 and 2.14 have a total width of *10 m*, and the loads in figure 2.15 and 2.16 have a total width of *20 m*. If we want to compare the displacement, intuitively we should consider a load spectrum with b=10 m (figure 2.7) for the load with a total width *10 m*, and a load spectrum with b=20 m (figure 2.12) for the load with a total width *20 m*.





Figure 2.13 Vertical displacement in transformed domain





Figure 2.14 Vertical displacement in original domain





Figure 2.15 Vertical displacement in transformed domain





Figure 2.16 Vertical displacement in original domain

# **Chapter 3**

# **Dynamic Matrix of Excavated Half-space**

## 3.1 Model and Substitute Model



Figure 3.1 Structure-soil system and the displacement of soil on the contact area

The dynamic soil-structure system in figure 3.1a above consists of two substructures, the actual structure  $\Omega_B$  (part of soil and building structure), and the soil with excavation  $\Omega$ .  $\Gamma$  is the contact area between  $\Omega_B$  and  $\Omega$ . The gravity forces and other forces from structure  $\Omega_B$  that act on  $\Gamma$  and cause displacements  $u_{\Gamma}$ , with  $\Gamma'$  as the deformed contact area as shown in figure 3.1b.

Now it will be introduced a substitute model for  $\Omega$  (soil with excavation) and  $\Omega_B$  (part of soil and building structure) with the condition that the substitute model has the same displacement  $u_{\Gamma}$ .

In this substitute model,  $\Omega_B$  will be modelled by finite element meshes and  $\Omega$  will be replaced by a dynamic matrix that has to be coupled with the dynamic matrix from FE. This substitute model is shown in figure 3.2.





Figure 3.3 Half-space with force  $q_{\Gamma}$  on surface  $\Gamma$  and displacement  $U_{\Gamma}$  as in structure-soil system

In order to derive the dynamic matrix from soil with excavation, a model shown in figure 3.3 is introduced. This model is a half-space without structures and without excavation, which has arbitrary forces  $\{q_{\Gamma}\}$  on surface  $\Gamma$ . This  $\{q_{\Gamma}\}$  is considered to cause displacement  $\{U_{\Gamma}\}$ .

The differential equations of this model can be written as :

$$\left[ \mu \nabla^{2} [I] + (\lambda + \mu) \langle \nabla \rangle^{T} \langle \nabla \rangle - \rho \frac{\partial^{2}}{\partial t^{2}} [I] \right] \{ U_{\Gamma} \} = -\{q_{\Gamma} \}$$
(3.1)

with

$$\{q_{\Gamma}\}^{T} = \begin{bmatrix} q_{\Gamma}^{x} \\ q_{\Gamma}^{y} \end{bmatrix} \quad \{q_{\Gamma}^{z}\}$$
(3.1a)

$$\{U_{\Gamma}\}^{T} = \left[\{U_{\Gamma}^{x}\} \quad \{U_{\Gamma}^{y}\} \quad \{U_{\Gamma}^{z}\}\right]$$
(3.1b)

Equation (3.1) is identical with equation (2.54), i.e. the differential equation of forced vibration of layered half-space. But, because we have made a discretisation on surface  $\Gamma$ , in order to develop a dynamic matrix, the components of  $\{q_{\Gamma}\}$  and  $\{U_{\Gamma}\}$  are now written as matrices in equations (3.1a) and (3.1b). The superscripts denote the direction in *x*, *y* and *z*. Based on this model will be developed a dynamic matrix of half-space system with excavation. The idea will be described below.

## **3.2 Substructure Matrix** $[D^{\infty}]$

Figure 3.4a shows a volume forces  $q_{\Gamma}$  that acts on a surface  $\Gamma$  in the half-space as described in section 2.5.  $\Gamma_s$  is an arbitrary second surface in the half-space in a reasonable distance below the surface  $\Gamma$ , chosen with the aim to be outside the region of "singularity effects" which may be caused by the fictitious load  $q_{\Gamma}$ .

From equations (2.47) and (2.49) regarding the boundary conditions as described in section 2.5, we can determine the displacements and stresses in transformed domain on surface  $\Gamma_s$ ,  $\{\hat{\sigma}_{\Gamma_s}(k_x, k_y, \omega)\}$  and  $\{\hat{u}_{\Gamma_s}(k_x, k_y, \omega)\}$ . With two fold Fourier back transform;  $k_x \bullet -\infty x$  and  $k_y \bullet -\infty y$ , we can get stresses and displacement in initial domain x and y, i.e.  $\{\sigma_{\Gamma_s}(x, y, \omega)\}$  and  $\{u_{\Gamma_s}(x, y, \omega)\}$ .

If we take off a part of the half-space between the top surface and surface  $\Gamma_s$ , we will get a system in equilibrium as shown in figure 3.4b, with volume load  $\{q_{\Gamma}\}$  on surface  $\Gamma$  and stresses  $\{\sigma_{\Gamma_s}\}$  on surface  $\Gamma_s$ .

Figure 3.4c shows a half-space with excavation with stresses  $\{\sigma_{\Gamma_s}\}\$  on surface  $\Gamma_s$  caused by the load  $\{q_{\Gamma}\}\$  on surface  $\Gamma$ . It is clear from figure 3.4 that  $a = b \oplus c$ , and it is shown that the stresses  $\{\sigma_{\Gamma_s}\}\$  and the displacement  $\{u_{\Gamma_s}\}\$  are controlled by load  $\{q_{\Gamma}\}\$ . From this relation, we will develop a dynamic matrix for surface  $\Gamma_s$ .



Figure 3.4. Volume Forces in the half-space

The total load  $\{q_{\Gamma}\}\$  can be written as a two dimensions Fourier series with  $C_{lmn}$  as Fourier coefficients:

$$\{q_{\Gamma}\} = \sum_{l=x,y,z} \sum_{m=-M}^{+M} \sum_{n=-N}^{+N} C_{lmn} \{q_{lmn}(\Gamma)\}$$
(3.2)

To form the dynamic matrix of surface  $\Gamma_s$  we make a discretisation with  $u_{\Gamma_s}$  as nodal displacements of surface  $\Gamma_s$ . If we have  $N_{\Gamma_s}$ -nodes on this  $\Gamma_s$ , the total DOF from this surface is  $N_1 = 3 \times N_{\Gamma_s}$  and if we write  $C_{lmn}$  in equation 3.2 as matrix  $\{C\}$ , it will have dimension of  $N_2 = 3x(2M+1)x(2N+1)$ .

As matrices the relationship between  $\{C\}$  and  $\{U_{\Gamma_s}\}$  can be described as follow:

$$\{U_{\Gamma_s}\}_{N_1x1} = [TR]_{N_1xN_2}\{C\}_{N_2x1}$$
(3.3)

[TR] is the transformation matrix from basis  $\{C\}$  to displacements  $\{U_{\Gamma_s}\}$  .

Similar with  $\{q_{\Gamma}\}\$  in equation 3.2, total displacement  $\{U_{\Gamma_s}\}\$  and total stresses  $\{T_{\Gamma_s}\}\$  on surface  $\Gamma_s$ , that are caused by  $\{q_{\Gamma}\}$ , have also a linear combination, and can be written as :

$$\{U_{\Gamma_{s}}\} = \sum_{l=x,y,z} \sum_{m=-M}^{+M} \sum_{n=-N}^{+N} C_{lmn} \{u_{lmn}(\Gamma_{s})\} = [U_{lmn}]\{C\}$$
(3.4)

with

$$[U_{lmn}] = [\{u_{x-M-N}(\Gamma_S)\}\cdots\{u_{zMN}(\Gamma_S)\}]$$
(3.4a)

$$\{C\}^T = \begin{bmatrix} C_{x-M-N} \cdots C_{zMN} \end{bmatrix}$$
(3.4b)

and

$$\{T_{\Gamma_{S}}\} = \sum_{l=x,y,z} \sum_{m=-M}^{+M} \sum_{n=-N}^{+N} C_{lmn} \{t_{lmn}(\Gamma_{S})\} = [T_{lmn}] \{C\}$$
(3.5)

with

$$[T_{lmn}] = [\{t_{x-M-N}(\Gamma_S)\}\cdots\{t_{zMN}(\Gamma_S)\}]$$
(3.5a)

 $\{t_{lmn}(\Gamma_s)\}$  is resultant stress acting on surface  $\Gamma_s$  and has three components in *x*, *y*, and *z* directions:

$$\{t_{lmn}(\Gamma_S)\}^T = \left[\{t_{xmn}(\Gamma_S)\}^T \quad \{t_{ymn}(\Gamma_S)\}^T \quad \{t_{zmn}(\Gamma_S)\}^T \quad \{t_{zmn}(\Gamma_S)\}^T\right]$$
(3.6)

The resultant stresses  $\{t_n\}$  at point *P* on surface *A* can be calculated by from :

$$\{t_n\} = [\sigma] \cdot \{n\} \tag{3.7}$$

$$\{t_n\}^T = \begin{bmatrix} t_{nx} & t_{ny} & t_{nz} \end{bmatrix}$$
(3.8)

with

$$[\sigma] = \begin{bmatrix} \sigma_{xx} & \tau_{yx} & \tau_{zx} \\ \tau_{yx} & \sigma_{yy} & \tau_{zy} \\ \tau_{zx} & \tau_{zy} & \sigma_{zz} \end{bmatrix}$$
(3.9)

$$\{n\}^{T} = [\cos(n, x) \quad \cos(n, y) \quad \cos(n, z)]$$
(3.10)

 $\{n\}$  is the normal direction of surface *A* at point *P*.

Now, using virtual work of the stresses on surface  $\Gamma_s$  (given in symbolic notation), we want to derive the dynamic matrix of this surface :

$$\delta U_{\infty} = \int_{\Gamma_{s}} \underbrace{\delta \{ U_{\Gamma_{s}} \}^{T}}_{\frac{\partial \{ U_{\Gamma_{s}} \}^{T}}{\partial \{ C \}} \delta \{ C \}} \underbrace{\{ T_{\Gamma_{s}} \}}_{\frac{\partial \{ T_{\Gamma_{s}} \}}{\partial \{ C \}} \{ C \}} d\Gamma_{s}$$
(3.11)

From equations 3.4 and 3.5, we can see that :

$$\frac{\partial \{T_{\Gamma_s}\}}{\partial \{C\}} = \frac{\partial ([T_{lmn}]\{C\})}{\partial \{C\}} = [T_{lmn}]$$
(3.11)

$$\frac{\partial \{U_{\Gamma_s}\}}{\partial \{C\}} = \frac{\partial ([U_{lmn}]\{C\})}{\partial \{C\}} = [U_{lmn}]$$
(3.12)

$$\delta U_{\infty} = \delta \{C\}^{T} \left( \int_{S} \left[ U_{lmn} \right]^{T} \left[ T_{lmn} \right] d\Gamma_{S} \right) \{C\} = \delta \{C\}^{T} \left[ D^{\infty} \right] \{C\}$$
(3.13)

with

$$\left[D^{\infty}\right] = \int_{S} \left[U_{lmn}\right]^{T} \left[T_{lmn}\right] d\Gamma_{S}$$
(3.14)

 $[D^{\infty}]$  is the dynamic matrix of the excavated half-space.

### 3.3 Special Case, h = 0

#### 3.3.1 Point Unit Load

As has been discussed before in section 2.6.1, now in figures 3.5 - 3.7 will be shown again some parts of  $[\hat{F}]$  from equation (2.122) but with different damping ratio using the program that is developed for calculating dynamic matrix for soil with excavation, but with special condition that h=0 for  $z_1 = 0m$ , 1m and 5m, with density of soil,  $\rho = 2000 \text{ kg/m}^2$ , modulus elasticity,  $E = 50.10^6 \text{ N/m}^2$ , Poisson's ratio,  $\mu = 0.4$ , damping ratio,  $\xi = 5\%$ , and  $\omega = 50 \text{ rad/sec}$ .



Figure 3.5a Real part of  $\hat{F}_{xz}$  for  $z_1=0$ 



Figure 3.5b Real part of  $\hat{F}_{yz}$  for  $z_1=0$ 



Figure 3.5c Real part of  $\hat{F}_{zz}$  for  $z_1=0$ 



Figure 3.5d Imaginary part of  $\hat{F}_{xz}$  for  $z_I=0$ 



Figure 3.5e Imaginary part of  $\hat{F}_{yz}$  for  $z_I=0$ 



Figure 3.5f Imaginary part of  $\hat{F}_{zz}$  for  $z_I=0$ 



Figure 3.6a Real part of  $\hat{F}_{xz}$  for  $z_1=1m$ 



Figure 3.6b Real part of  $\hat{F}_{yz}$  for  $z_1=1m$ 



Figure 3.6c Real part of  $\hat{F}_{zz}$  for  $z_I=1m$ 



Figure 3.6d Imaginary part of  $\hat{F}_{xz}$  for  $z_l=1m$ 



Figure 3.6e Imaginary part of  $\hat{F}_{yz}$  for  $z_1=1m$ 



Figure 3.6f Imaginary part of  $\hat{F}_{zz}$  for  $z_1=1m$ 



Figure 3.7a Real part of  $\hat{F}_{xz}$  for  $z_1=5m$ 



Figure 3.7b Real part of  $\hat{F}_{yz}$  for  $z_1=5m$ 



Figure 3.7c Real part of  $\hat{F}_{zz}$  for  $z_1=5m$ 



Figure 3.7d Imaginary part of  $\hat{F}_{xz}$  for  $z_1=5m$ 



Figure 3.7e Imaginary part of  $\hat{F}_{yz}$  for  $z_1=5m$ 



Figure 3.7f Imaginary part of  $\hat{F}_{zz}$  for  $z_I=5m$ 

### 3.3.2 Uniform Block Load

An example as shown in fig. 3.8 is used to illustrate the advantage of '*flexibility matrix*' [ $\hat{F}$ ] to obtain the displacement with  $p = 100 \text{ kg/m}^2$ ,  $\rho = 2000 \text{ kg/m}^3$ ,  $E = 50.10^6 \text{ N/m}^2$ , v = 0.4,  $\xi = 5\%$ , and  $\omega = 50 \text{ rad/sec}$ .



Figure 3.8a Vertical uniform load on half-space

The spectrum of load in transformed domain  $\hat{p}_z(k_x, k_y)$  is shown in figure 3.8



Figure 3.8b Load spectrum  $\hat{p}_z(k_x, k_y)$ 

To get the displacement response spectrum in transformed domain  $\hat{u}_x(k_x, k_y)$  at z = 0, we only have to multiply  $\hat{p}_z(k_x, k_y)$  in figure 3.8b by  $\hat{F}_{xz}$  in figures 3.5a and 3.5d, because  $\hat{p}_x(k_x, k_y) = 0$ and  $\hat{p}_y(k_x, k_y) = 0$ . The same procedures are also applied to  $\hat{u}_y(k_x, k_y)$  and  $\hat{u}_z(k_x, k_y)$  as shown below

$$\hat{u}_{x}(k_{x},k_{y}) = \hat{F}_{xz}(k_{x},k_{y}) \cdot \hat{p}_{z}(k_{x},k_{y})$$

$$\hat{u}_{y}(k_{x},k_{y}) = \hat{F}_{yz}(k_{x},k_{y}) \cdot \hat{p}_{z}(k_{x},k_{y})$$

$$\hat{u}_{z}(k_{x},k_{y}) = \hat{F}_{zz}(k_{x},k_{y}) \cdot \hat{p}_{z}(k_{x},k_{y})$$
(3.16)

To get the response in original domain (x, y) we do the two folds inverse Fourier transform:

$$\hat{u}_{x}(k_{x},k_{y}) \longleftrightarrow u_{x}(x,y)$$

$$\hat{u}_{y}(k_{x},k_{y}) \longleftrightarrow u_{y}(x,y)$$

$$\hat{u}_{z}(k_{x},k_{y}) \longleftrightarrow u_{z}(x,y)$$
(3.17)

The displacement spectrums in transformed domain  $(k_x, k_y)$  are shown in figure 3.9 and figure 3.10 show the displacements in original domain (x, y).







Figure 3.9b Real part of  $\hat{u}_{y}(k_{x},k_{y})$ 



Figure 3.9c Real part of  $\hat{u}_z(k_x, k_y)$ 



Figure 3.9d Imaginary part of  $\hat{u}_x(k_x,k_y)$ 



Figure 3.9e Imaginary part of  $\hat{u}_{y}(k_{x},k_{y})$ 



Figure 3.9f Real part of  $\hat{u}_z(k_x,k_y)$ 



Figure 3.10a Real part of horizontal displacement  $u_x(x,y)$ 



Figure 3.10b Real part of horizontal displacement  $u_y(x,y)$ 



Figure 3.10c Real part of vertical displacement  $u_z(x,y)$ 



Figure 3.10d Imaginary part of horizontal displacement  $u_x(x,y)$ 



*Figure 3.10e Imaginary part of horizontal displacement*  $u_y(x,y)$ 



Figure 3.10f Imaginary part of vertical displacement  $u_z(x,y)$ 

### 3.4 Excavated Half-space

To show the advantages of this *Integral Transform Method (ITM)*, a Half-space with excavation will be taken as an example. At the bottom of the excavation will be loaded by different loading. The chosen parameters for the half-space are :

Density,	$\rho = 2000 \text{ kg/m}^3$
Modulus elasticity soil,	$E = 50.10^{\circ}  N/m^2$
Poisson's ratio,	$\mu = 0.4$
Damping ratio,	$\xi = 5~\%$
Frequency,	$\omega = 50 \text{ rad/s}$

The excavation has 5 *m* depth with bottom area of  $10m \times 10m$  and loaded with a uniform load  $p = 100 \text{ kg/m}^2$  as shown in figure 3.11 below:



Figure 3.11 Loaded half-space with excavation

To develop the dynamic matrix of this half-space with excavation, here is used 3 x 3 Fourier series for  $P_{\Gamma}$  with 1024 x 1024 points used for the Inverse Fast Fourier Transform (IFFT).

One of the advantages of ITM is that we got the response not only locally, but globally. That means that principally we can get the whole response of the half-space, depends on how many points we used when we do the back transform (IFFT).

Figure 3.11 – 3.14 shows the response of uniform loading above. Figure 3.11 shows the real part response of  $U_x$ ,  $U_y$  and  $U_z$  and figure 3.12 shows the imaginary part. Here we can see that both parts of the response  $U_x$  and  $U_y$  are actually the same, because we have a symmetric loading toward axis X and Y.



Figure 3.12a Real part of horizontal displacement  $U_x$ 



Figure 3.12b Real part of horizontal displacement  $U_y$ 



Figure 3.12c Real part of vertical displacement  $U_z$ 



Figure 3.13a Imaginary part of horizontal displacement  $U_x$ 



Figure 3.13b Imaginary part of horizontal displacement  $U_y$ 



Figure 3.13c Imaginary part of vertical displacement  $\boldsymbol{U}_{\boldsymbol{z}}$ 



Figure 3.14 Real part of deformed structure


Figure 3.15 Imaginary part of deformed structure

## **Chapter 4**

## **Dynamic Soil-Structure Interaction with ITM-FEM Approach**

The dynamic soil-structure interaction is discretized schematically as shown below. Subscripts are used to denote the nodes of the discretized system. The nodes located on the soil-structure interface are denoted by *h*, and the remaining nodes of the structure by *s*.

The dynamic system consists of two substructures, the finite element structure and the soil with excavation. To differentiate between the various subsystems, superscripts are used when necessary. The structure is indicated by FE and the soil with excavation by  $\infty$ .



Figure 4.1 Soil-structure interaction system

#### 4.1 Substructure Matrix [D<sup>FE</sup>]

The dynamic matrix of the FE structure is calculated as

$$[D^{FE}] = [K](1+2\xi i) - \omega^2[M]$$
(4.1)

where [K] and [M] are the static stiffness and mass matrices respectively. The damping ratio  $\xi$ , which is independent of frequency, is assumed to be constant throughout the structure. The correspondence principal as described in Sec.2.2 is used here.

 $[D^{FE}]$  can also be decomposed in to submatrices  $[D_{ss}^{FE}]$ ,  $[D_{sh}^{FE}]$ ,  $[D_{hs}^{FE}]$  and  $[D_{hh}^{FE}]$ . The equations of motion of the FE structure are formulated as :

$$\begin{bmatrix} \left\{ p_{s}^{FE} \right\} \\ \left\{ p_{h}^{FE} \right\} \end{bmatrix} = \begin{bmatrix} \begin{bmatrix} D_{ss}^{FE} \\ D_{hs}^{FE} \end{bmatrix} & \begin{bmatrix} D_{sh}^{FE} \\ D_{hs}^{FE} \end{bmatrix} & \begin{bmatrix} u_{s}^{FE} \\ u_{h}^{FE} \end{bmatrix} \end{bmatrix} \begin{bmatrix} \left\{ u_{s}^{FE} \\ u_{h}^{FE} \end{bmatrix} \end{bmatrix}$$
(4.2)

#### 4.2 Coupling Between FEM and ITM

Assumed that on the interface area there is no external loading, the structure in figure 4.1 can be separated into two substructures  $\Omega_{FE}$  and  $\Omega_{\infty}$  as shown in figure 4.2 with condition that on the interface :

$$\sigma_h^{FE} - \sigma_h^{\infty} = 0 \tag{4.3a}$$

or in discretized system

$${p_{h}^{FE}} - {p_{h}^{\infty}} = 0$$
 (4.3b)

and

$$u_h^{FE} = u_h^{\infty} \tag{4.4}$$

From equation 3.3 the relation between  $\{C_{mn}\}$  and  $\{u_h^{\infty}\}$  can be written as :

$$\left\{u_{h}^{\infty}\right\} = \left[TR\right]\left\{C\right\}$$

$$(4.5)$$

Using variational method for the internal potential we can write :

$$\delta U_{FE} = \begin{bmatrix} \delta \{ u_s^{FE} \} \\ \delta \{ u_h^{FE} \} \end{bmatrix}^T \begin{bmatrix} \begin{bmatrix} D_{ss} \\ B_{hs} \end{bmatrix} & \begin{bmatrix} D_{sh}^{FE} \\ D_{hs} \end{bmatrix} \begin{bmatrix} u_s^{FE} \\ D_{hh} \end{bmatrix} \begin{bmatrix} u_s^{FE} \\ u_h^{FE} \end{bmatrix}$$
(4.6)

Substitution of equations (4.4) and (4.5) into (4.6) gives

$$\delta U_{FE} = \begin{bmatrix} \delta \{ u_s^{FE} \}^T & \delta \{ C \}^T \end{bmatrix} \begin{bmatrix} \begin{bmatrix} D_{ss}^{FE} \end{bmatrix} & \begin{bmatrix} D_{sh}^{FE} \end{bmatrix} \begin{bmatrix} TR \end{bmatrix} \\ \begin{bmatrix} TR \end{bmatrix}^T \begin{bmatrix} D_{hs}^{FE} \end{bmatrix} & \begin{bmatrix} TR \end{bmatrix}^T \begin{bmatrix} D_{hh}^{FE} \end{bmatrix} \begin{bmatrix} TR \end{bmatrix} \begin{bmatrix} \{ u_s^{FE} \} \\ \{ C \} \end{bmatrix}$$
(4.7)



Figure 4.2 Two Substructures system in equilibrium

Now we have a new dynamic matrix of FE-meshes  $\left[\overline{D}^{FE}\right]$  with new DOF

$$\left\{\overline{u}^{FE}\right\}^{T} = \left[\left\{u_{s}^{FE}\right\}^{T} \quad \left\{C\right\}^{T}\right]$$
(4.8)

From section 3.2 we already had the dynamic matrix from the half-space :

$$\left[D^{\infty}\right] = \int_{\Gamma_{s}} \left[T_{lmn}\right]^{T} \left[U_{lmn}\right] d\Gamma_{s}$$
(4.9)

If there is no external load on the interface area, we can combine the two substructures as

$$\begin{cases} \left\{ p_{s}^{FE} \right\} \\ \left\{ 0 \right\} \end{cases} = \begin{bmatrix} \left[ D_{ss}^{FE} \right] & \left[ D_{sh}^{FE} \right] \left[ TR \right] \\ \left[ TR \right]^{T} \left[ D_{hs}^{FE} \right] & \left[ TR \right]^{T} \left[ D_{hh}^{FE} \right] \left[ TR \right] + \left[ D^{\infty} \right] \end{bmatrix} \begin{cases} \left\{ u_{s}^{FE} \right\} \\ \left\{ C \right\} \end{cases}$$

$$(4.10)$$

#### 4.3 Full Half-space as ITM-FEM Couple Structure

A half-space with excavation combined with FEM structure that fills this excavation is taken as an example for this ITM-FEM couple structure. Schematically the structure is shown in figure 4.3. The FEM mesh with the load is shown in figure 4.4.

The real and imaginary parts of vertical displacement on the surface are shown in figure 4.5, and in figure 4.6 show comparison between ITM and ITM-FEM.



Figure 4.3 Full half-space with ITM-FEM combine structure



Figure 4.4 FEM Mesh with load on the surface



Figure 4.5 Real and imaginary part of vertical displacement on the surface





Figure 4.6 Comparison between ITM and ITM-FEM

As another test for this couple structure, an eccentric load will be applied. The load configuration is as shown in figure 4.7. with  $b_l = 1 m$ ,  $b_x = 5 m$  and h = 5 m.



Figure 4.7 Load configuration

The results are shown in figure 4.8. From these figures we can see that if the load is still above "the bottom area of the excavation", the results is still reasonable (compared with the reference line  $b_o/b_x = 0$ , centric position of the load). If the load has reached above "the ramp area", to have good results, more members of the series in developing the dynamic matrix for the half-space would be needed.



Figure 4.8 vertical displacement of eccentric load

## **Chapter 5**

### **Application Example**

#### 5.1 Problem Description and Modelization

A steel radar antenna tower with 4 embedded rigid concrete foundations is shown in figure 5.1 (the tower is simplified with only elements). The tower has 30 m height experiences a horizontal load 10kN on its top in *x*-direction.

The material properties are assumed to be isotropic, homogeneous and linear elastic, and the material damping will be independent of frequency.

> Soil properties:  $E = 50e6 N/m^{2}$   $\mu = 0.4$   $\rho = 2000 \text{ kg/m}^{3}$ Concrete properties:  $E = 2.e10 N/m^{2}$   $\mu = 0.17$   $\rho = 2400 \text{ kg/m}^{3}$ Steel properties:  $E = 2.e11 N/m^{2}$   $\rho = 7850 \text{ kg/m}^{3}$

The tower and part of soil are modeled with FEM using a package program; GT-STRUDL. The steel tower is modeled by space-truss with 3 DOF per joint. The embedded foundation and part of soil are modeled using 3-D solid elements IPLS (Isoparametric Linear Solid) and TRIP (Triangular Prism).

The IPLS is a six-sided element with all faces being quadrilaterals. It has 8 nodes with 3 DOF in each node. The displacement expansion yields a cubic field within the element and linear along the edges. The IPLS is a compatible element.

The TRIP is a solid element with two triangular faces and three quadrilateral faces. It has 6 nodes with 3 DOF in each node.. The displacement expansion on the quadrilateral faces is quadratic while on the triangular faces the expansion is linear. The field is also linear on all edges yielding a compatible element.

For the part of soil and the steel structure that modeled by Finite Element, 5 % damping ratio is used. Correspondence principle as described in section 2.2 is used here. Assumed no viscous damping is present, so the dynamic matrix from FE is expressed by

$$\left[D^{FE}\right] = \left[K^{FE}\right]\left(1 + 2\xi i\right) - \omega^{2}\left[M^{FE}\right]$$
(5.1)

where  $[K^{FE}]$  is the stiffness matrix of FE mesh,  $[M^{FE}]$  is the mass matrix of FE mesh,  $\xi$  is the damping ration and  $\omega$  is the frequency (a value of 50 rad/sec is used here).



Figure 5.1 Steel tower with 4 embedded rigid foundations

The finite element mesh has 1607 joints and 1324 elements, consists of 4 space truss elements, 1020 IPLS elements, 300 TRIP elements, with 4821 total DOF. This FE mesh is shown in figure 5.1.



Figure 5.2 Finite element mesh

### 5.2 Results and Discussions

After having the dynamic matrix from FE analysis, this dynamic matrix is coupled with dynamic matrix from integral transform analysis with procedures that have been described in chapter 4. The results of this FE-IT analysis are shown in figure 5.3 - 5.6.



Figure 5.3a Real part of horizontal displacement  $u_x$  on the surface



Figure 5.3b Imaginary part of horizontal displacement  $u_x$  on the surface



Figure 5.4a Real part of horizontal displacement  $u_y$  on the surface



Figure 5.4b Imaginary part of horizontal displacement  $u_y$  on the surface



Figure 5.5a Real part of horizontal displacement  $u_z$  on the surface



Figure 5.5b Imaginary part of horizontal displacement  $u_z$  on the surface



Figure 5.6a Real part deformed soil with excavation



Figure 5.6b Imaginary part deformed soil with excavation

It can be seen from figures 5.3 - 5.5 that the physical behavior of the deformed structure are antisymmetric about *y*-axis and symmetric about *x*-axis although the mathematical description are not so.

Figure 5.3a and 5.3b show the horizontal displacements  $u_x$ . It can be seen that the signs of  $u_x$  in the four embedded foundations are equal one to another, and they are symmetric about *x*-axis. It can be understand, while we have a symmetric tower structure, the 4 steel structure elements are identical and the load is also symmetric about *x*-axis. So the load will be equally transferred to each of the abutments. These horizontal displacements  $u_x$  are schematically shown in figure 5.7, it can be understand that the physical behavior and the mathematical descriptions (the signs) of  $u_x$  are symmetric about *x*-axis. The physical behavior of  $u_x$  is anti-symmetric about *y*-axis though they have the same signs.



Figure 5.7 Horizontal displacements  $u_x$  in each quadrant

Because we apply the load only in x-direction, the sum of reaction in y-direction will be equal to zero. The mathematical descriptions (the signs) of  $u_y$  will be anti-symmetric about *y*-axis and *x*-axis, like shown in figure 5.4a and 5.4b, but the physical behaviour of deformed structure (consider only  $u_y$ ) will be symmetric about *x*-axis but anti-symmetric about *y*-axis, like shown in figure 5.8.



Figure 5.8 Horizontal displacements u<sub>y</sub> in each quadrant

In z-direction, the sum of reaction is also equal to zero, because there is no external load in this direction. While the direction of the load is perpendicular to y-axis, the reactions and displacements  $u_z$  will be symmetric about this *y*-axis. The real part and imaginary part of vertical displacements are shown in figure 5.5a and 5.5b respectively. The mathematical descriptions (the signs) of  $u_z$  and the physical behavior of deformed structure are symmetric about *x*-axis but anti-symmetric about *y*-axis and they are shown schematically in figure 5.9.



Figure 5.9 Vertical displacements u<sub>v</sub> in each quadrant

Figure 5.6a and 5.6b show the real and imaginary parts of deformed soil with excavation and the sections of them.

One thing that should be highlighted from these results is that this IT-FE Coupling Method gives a more complete result. Using this method, we get not only the results from structure and part of soil, but also the sound results of the influenced surrounding area.

## **Chapter 6**

## **Summary**

One of the most remarkable advantage of this IT-FE Coupling Method is that this approach will not only lead to a deeper understanding of the dynamics of the process under consideration and correspondingly to a higher reliability of the corresponding results, but that it can also lead to a new, efficient solution techniques for problems which are not so well suited for an application of Finite Element Method.

As has been discussed before, further advantage of this method is that the complete solution is given. This method does not only give the response of the structure and parts of soil that are modeled by Finite Element, but give also the surrounding area response. We only have to calculate the dynamic matrix (for a certain frequency) of the homogeneous soil with excavation once, and whatever the structure above it, that is modeled by FEM, does not change this dynamic matrix that is developed with the aid of ITM.

Just like logarithmic tables in the old time, one had to make this tables with much efforts, but after that one can easily use these tables. So it is with this dynamic matrix of the excavated half-space.

From the examples before, we can see that in soil structure interaction problems, this method is quite powerful and give a sound results.

If a transform technique is used, the original problem is transferred to a new domain, which often allows to arrive at a new understanding of the problems: effects become visible which remain hidden in the original description, the calculations in the transformed domain often are very simple. However, the inverse transform necessary to return to the original domain may demand a considerable computational effort, especially if we have layered half-space problems.

Regarding to computational time, this Integral Transformed Method still needs to be accelerated. Respective technique for instance an application of the Wavelet Transform in the context of IFT is available, but not yet integrated.

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