

Article

Chances and Limitations of Mixed Oak Regeneration under Continuous Canopy Cover—Evidence from Long-Term Observations

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Abstract: Traditionally, due to its light ecology, oak is regenerated on clear cuts or areas where the crown coverage is heavily reduced. Thus, the regeneration phase is relatively short. Recently, selective long-term regeneration phases avoiding large gaps in the canopy but fostering mixed-species stands have been advocated as being more in keeping with close-to-nature forestry in Central European forests. However, examples of the successful regeneration of oak in mixtures following this type of regeneration are largely missing. Here, we report the results of long-term experiments located in three different forest types, where oak was long-term regenerated under different mixing and canopy cover situations. The observation periods reached from 26 to 36 years. We focused on the dynamics of stem number reduction, as well as the height and biomass development of oaks and their interaction with interspecific competition and canopy density. The probability of oaks occurring in the regeneration basically decreased over the duration of the regeneration period. Despite this, considerable regeneration biomass growth could be observed, especially in the case of the lower standing volume of the mature stand. The development of beech as the main competitor is scarcely slowed down by the canopy cover compared to oak. Increasing canopy cover noticeably impeded oak regeneration in the considered mixed stands. The model results suggest that a reduction in competition within the regeneration by lowering the proportion of beech below 30% enhanced the success of oak regeneration in the long run even in small patches. The productivity of the remaining stand was primarily driven by standing volume. However, a negative trend of its productivity emerged with high regeneration biomasses. The study results show that small-scale oak regeneration with prolonged regeneration duration is possible in principle. However, oak regeneration requires active and continuous silvicultural assistance, which has to be adjusted to the specific site conditions.



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1. Introduction

Sessile (*Quercus petraea* (Matt.) Liebl) and pedunculate (*Quercus robur* L.) oaks are two of the most widespread native broadleaved tree species in Central Europe [1] and are expected to be suitable for coping with the predicted climatic changes in the future [2–4]. Based on their broad ecological amplitude and high drought tolerance, they can be an important component of climate-resilient mixed species forests [5,6], which are considered as an option to meet the challenges of climate change [7–10].

Furthermore, the genus oak, with its large species abundance [11,12], enhances forest biodiversity, which has recently become an increasingly important management goal [13–15]. More generally, both oak species seem to be suitable for multifunctional forest management [14,16], including valuable wood production as one major management goal of oak silviculture [17–19]. Frequently, oak is either simultaneously or at a later stage underplanted with more shade-tolerant tree species, such as European beech (*Fagus sylvatica* L.) or hornbeam (*Carpinus*

betulus L.), which are commonly used as serving trees to ensure high-quality oak wood production [17,18].

However, in addition to the multitude of positive characteristics that oak species provide as a component of multifunctional forest management [14], they require silvicultural assistance on most sites due to more competitive admixed tree species [20,21]. Thus, their current occurrence in temperate forests in Central Europe is strongly dependent on the past human land use systems and forest management practices [18,22–24]. Furthermore, both oak species occur naturally as dominant tree species primarily in their own ecological niches, in stands with extreme or distinctive site conditions [20].

One decisive component of an appropriate future oak participation in mature mixed stands is determined by the type of regeneration. So far, due to the comparatively high light requirements of oaks compared to those of admixed tree species, stand establishment has usually been carried out with large crown openings combined with short- to mid-term regeneration periods [18,25]. Consequently, in recent years, these large-scale shelterwood and clearcutting systems have also been increasingly criticized in the course of oak management [26,27].

In particular, in the course of close-to-nature silviculture clear cuts should be largely avoided [28,29]. In addition, other core principles of close-to-nature silviculture are the promotion of site-adapted tree species, the establishment of structured mixed stands, and the promotion of natural regeneration [30]. These principles can be implemented in practice, especially by using single-tree selection, group selection, or shelterwood systems [28]. However, the utilization of natural processes, as a core element of close-to-nature silviculture in particular, puts native oaks at an additional disadvantage compared to their mostly more shade-tolerant admixed tree species [18,23,31,32]. This appears to further weaken oaks in their relative competitive strength on many sites, often resulting in a decline in vitality or loss of oaks in young and mature stands.

In this context, previous studies particularly addressed light availability and its effect on the success of oak regeneration [18,33–35]. Lüpke [18] suggested the need for at least 15% of full light for survival and 40% for the optimal height growth of oak. Furthermore, the light requirements of oaks were higher in the later development stages [33,36], which indicates continuous silvicultural interferences in the canopy cover. However, Ligot et al. [34] demonstrated that beech outperformed oak throughout the light gradient and concluded that silvicultural control of the canopy cover is not sufficient in mixed oak and beech regenerations. Consequently, to keep survival rates high the management of mixed oak regenerations has to consider competing woody species [37,38] and ground vegetation [39–41].

Most studies cover short- to mid-term regeneration periods. Long-term studies for oak that cover regeneration periods of up to 20 years and longer are scarcely available [33,38]. However, prolonged regeneration after the first years of successful stand initiation is often decisive for future tree species composition and wood quality in the mature stand. This is especially true for close-to-nature silviculture and long regeneration periods of 30 years or more. In addition, the results of the studies are often limited to specific site conditions and cannot be readily applied to other stand situations or site conditions [38].

The objective of this study is to assess the success and constraints of the regeneration of oak established in mixtures under continuous canopy cover. In detail, we first analyze the survival probability of oaks over time and hypothesize that survival is dependent on the forest site. Secondly, we evaluate the course of species-specific regenerated tree density and biomass. Here, we test the hypothesis that the development of density and biomass is species-specific and modified by the degree of canopy cover. In the next step, we quantify the height growth rates of oaks to answer the hypothesis that the height growth of oaks is negatively influenced by canopy cover and interspecific competition. Lastly, we analyze the effect of advanced regeneration on the productivity of the remaining stand following the hypothesis that high rates of regeneration biomass reduce the productivity of the remaining

stand. From the result, we deduce the silvicultural recommendations for the successful regeneration of oaks within continuous cover forestry.

We make use of experimental plots where regeneration has been monitored and measured for up to 36 years. The experimental plots have been established in monospecific pine and mixed oak stands. The regeneration was initiated by planting in the case of the pine stands. In the mixed oak stands, the crown cover was reduced selectively over the existing natural regeneration. Following the aforementioned objectives, the study focused on four main questions:

- i. What are the survival probabilities of oak in small-scale and long-term regenerated stands and do they differ between different forest types?
- ii. What is the long-term development of the regenerated tree species' density and the effect of canopy cover on regeneration biomass?
- iii. How does canopy cover and interspecific competition modify the heights of regenerated oaks?
- iv. Is there a feedback effect of advanced regeneration on the productivity of the mature stand?

2. Materials and Methods

2.1. Long-Term Experiments

We used the data of 12 regenerated experimental plots, each located in a different stand; they are a part of four long-term experiments in southern and central Germany (Figure 1). The size of the individual experimental plots varies between 0.1 and 1.0 ha. The investigated stands are located in three different woodland regions and sites, namely Spessart, Steigerwald, and Nuremberg, which are further referred to using their experiment codes BUS, EBR, and NUE, respectively. The stands represent mixed oak and monospecific Scots pine stands. The mixed mature stands are composed of sessile oak and European beech in the case of BUS and sessile oak and European beech and Scots pine (*Pinus sylvestris* L.) in the case of EBR (Table 1); these are denoted as oak, beech, and pine in the following. The mixed stands in BUS and EBR were mainly regenerated naturally; the planting of oaks occurred only marginally. In contrast, the monospecific pine stands in the Nuremberg region were regenerated by sowing and underplanting oak and beech, respectively. The experimental plots were established to test the different overstorey stand densities and their effect on regeneration by applying single-tree and group selection systems. The considered stands cover a broad range of small-scale canopy gaps, from approximately 0.01 to 0.25 ha, and different light situations.

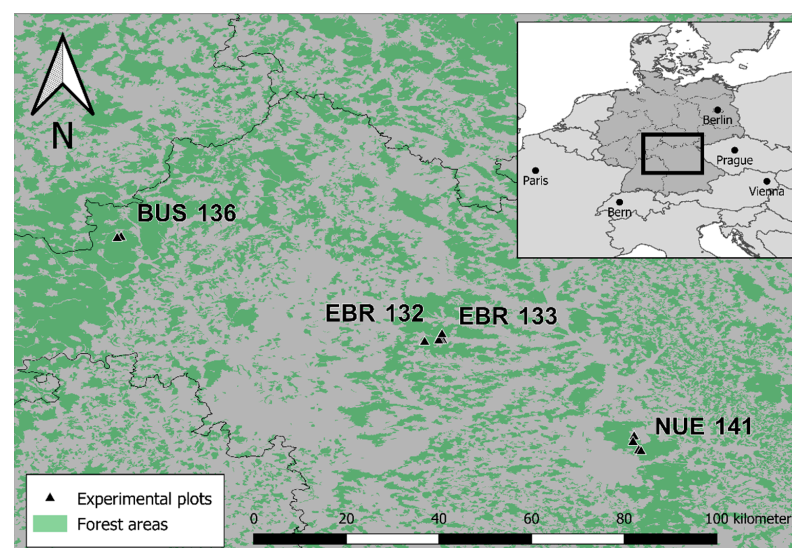


Figure 1. Geographic location of the experimental plots in southern and central Germany.

Table 1. General description of the long-term experiments; Exp—experiment (code); Nr—experiment number; n—number of plots; Size—plot sizes [ha]; Comp—species composition; RT—type of regeneration; Surveys—measurement years; Per—regeneration period [yrs]; Lat—latitude; Lon—longitude; P—annual precipitation [mm yr⁻¹]; T—annual mean temperature [°C]; Alt—altitude [m.a.s.l.].

Exp	Nr	n	Size	Comp ¹	RT ²	Surveys	Per	Lat	Lon	Soil	P	T	Alt
BUS	136	2	0.5	Oa-Be	nat	1986, 1995, 2012	26	50.129	9.593	Cambisol	796	7.8	445
EBR	132	1	0.5	Oa-Be-Pi	nat	1982, 1993, 2019	36	49.836	10.547	Cambisol	683	8.1	338
EBR	133	5	1.0	Oa-Be-(Pi)	nat/art	1983, 1999, 2019	36	49.853	10.547	Cambisol—(Pseudogley)	675	7.9	385
NUE	141	4	0.1	Pi	nat/art	1991, 1998, 2019	28	49.499	11.144	Cambisol/Pseudogley	759	8.7	333

¹ Oa—sessile oak; Be—European beech; Pi—Scots pine; ² nat—natural regeneration; art—artificially regenerated.

The first measurements of the experimental plots were carried out between 1982 and 1991. In total, the mature stands and their respective regeneration have been measured three times since then. Thus, a unique database on the development of oak regeneration under different conditions covering a 36-year regeneration period was established.

2.2. Yield Data of the Mature Stand

To quantify wood volume V (m³ ha⁻¹), stand basal area BA (m² ha⁻¹), dominant tree diameter D₁₀₀ (cm), dominant height H₁₀₀ (m), and the periodic annual basal area and wood volume increment PAIBA (m² ha⁻¹ yr⁻¹), as well as PAIV (m³ ha⁻¹ yr⁻¹), respectively, the DESER-standards [42] were applied. The stand basal area BA and standing wood volume V at the beginning of the measurements ranged from 5.1 to 28.7 m² ha⁻¹ and from 83.5 to 428.5 m³ ha⁻¹. The respective periodic annual basal area and volume increment varied from 0.1 to 0.2 m² ha⁻¹ yr⁻¹ and 1.0 to 5.2 m³ ha⁻¹ yr⁻¹ (Table 2).

Table 2. Yield data of the main stand for the first and latest survey; S—tree species; Age—stand age [yrs]; N—number of trees [n ha⁻¹]; H₁₀₀—dominant height [m]; D₁₀₀—dominant diameter [cm]; BA—basal area [m² ha⁻¹]; V—volume [m³ ha⁻¹]; CC—canopy cover [%]; PAIBA—periodic annual basal area increment [m² ha⁻¹ yr⁻¹]; PAIV—periodic annual volume increment [m³ ha⁻¹ yr⁻¹]; Per—observation period [yrs].

Exp (Nr)	Plot	First Survey							Last Survey							Per			
		S	Age	N	H ₁₀₀	D ₁₀₀	BA	V	CC	Age	N	H ₁₀₀	D ₁₀₀	BA	V		PAIBA	PAIV	CC
EBR (132)	1	Pi	147	21	30.9	53.3	4.65	66.14	37	184	12	33.27	65.01	4.15	63.15	0.05	0.76	30	36
		Be	147	42	31.34	45.12	6.66	110.2		184	21	33.05	69.6	7.93	138.81	0.1	1.76		
		Oa	147	4	31.59	46.9	0.72	12.26		184	4	32.78	67.4	1.49	26.99	0.02	0.38		
		total	67				12.03	188.61			37			13.56	228.94	0.17	2.9		
	2	Pi	143	8	27.03	51.64	1.66	20.98	60	178	2	32.07	52.2	0.39	5.79	0.01	0.11	43	36
		Be	143	77	32.44	47.15	12.24	209.08		178	53	34.78	64.37	15.44	282.88	0.19	4.09		
		Oa	143	14	29.02	46	2.33	36.71		178	13	32.65	62.1	3.94	71.18	0.05	1.05		
		total	99				16.23	266.78			68			19.77	359.84	0.24	5.24		
	4	Be	164	44	34.88	49.35	8.42	159.51	22	199	33	35.1	70.11	12.74	237.99	0.16	3.35	43	36
		Oa	164	12	33.55	46.74	2.06	37.18		199	12	33.33	61.5	3.56	65.38	0.04	0.87		
		Hb	164	1	24.38	30.7	0.07	0.92		199	1	28.44	42.5	0.14	2.11	0	0.04		
		total	57				10.55	197.61			46			16.44	305.48	0.2	4.27		
EBR (133)	6	Pi	162	11	27.09	53.14	2.4	30.21	62	197	9	28.68	60.38	2.44	32.49	0.02	0.18	54	36
		Be	162	44	28.72	46.56	4.92	71.82		197	34	31.29	60.43	5.64	107.13	0.07	1.51		
		Oa	162	67	28.67	43.7	9.46	145.15		197	62	30.91	54.69	14.58	241.67	0.15	3.33		
		Hb	162	10	23.53	22.54	0.24	1.81		197	10	20.37	28.98	0.49	4.73	0	0.07		
	total	132				17.02	249		115			23.98	386.03	0.24	5.08				
	7	Sp	146	1	27.55	47	0.17	2.18	22	181	16	28.5	50.85	3.25	42.9	0.03	0.55	30	36
		Pi	146	24	27.02	41.36	3.22	40.31		181	0	-	-	-	-	-	-		
		Be	146	25	30.12	44.64	3.91	62.53		181	19	27.76	58.43	5.09	74.79	0.06	0.72		
		Oa	146	18	25.97	40.38	2.3	32.22		181	17	26.44	57.23	4.37	64.48	0.06	1.04		
	total	68				9.61	137.25		52			12.72	182.17	0.15	2.31				
	8	Be	153	13	32.81	48.84	2.44	42.33	25	188	4	26.21	64.18	1.29	18.11	0.01	0.11	9	36
		Oa	153	19	29.34	41.73	2.6	40.89		188	15	27.67	55.3	3.6	55.22	0.05	0.85		
Hb		153	1	21.72	18	0.03	0.26	188		0	-	-	-	-	-	-			
total		33				5.06	83.48			19			4.9	73.33	0.06	0.96			
BUS (136)	1	Be	192	40	24.97	43.52	2.52	30.58	67	218	4	27.01	39.6	0.42	5.52	0.02	0.3	38	26
		Oa	192	66	29.31	60.12	18.74	305.77		218	52	31.34	70.58	20.34	356.57	0.19	3.82		
		total	106				21.26	336.35			56			20.76	362.09	0.21	4.11		
	2	Be	202	106	28.08	44.76	7.03	88.29	67	228	26	27.78	49.97	3.78	53.8	0.08	0.94	41	26
Oa	202	74	28.18	61	21.63	340.19	228	48		30.92	68.54	17.71	305.72	0.16	3.24				
total	180				28.66	428.48		74			21.48	359.52	0.24	4.17					
NUE (141)	1	Pi	88	400	24.1	35.76	27.07	287.45	66	116	144	26.77	39.99	16.69	203.99	0.23	3.52	35	28
	2	Pi	97	400	25.52	35.95	29.53	332.25	77	125	233	30	43.83	29.25	389.24	0.45	7.44	57	28
	3	Pi	125	189	27.57	47.56	27.62	346.41	63	153	111	30.19	52.67	23.59	327.32	0.29	4.95	42	28
	4	Pi	130	133	31.65	48.8	22.46	324.85	57	158	44	29.79	54.33	10.3	141.56	0.1	1.03	23	28

Oa—sessile oak; Be—European beech; Pi—Scots pine; Hb—hornbeam; Sp—Norway spruce.

In addition, the canopy cover CC (%) was calculated for all the surveys. Based on 8 measurements per tree crown, the maps were plotted with crown shape approximations by cubic splines. From this, the corresponding area covered by tree crowns was calculated for the total stand and the regeneration squares (see Section 2.3), respectively. The canopy cover varied from 22 to 77% in the first survey and from 9 to 57% in the last survey (Table 2).

2.3. Regeneration Data

The regeneration on each experimental plot was fully inventoried three times using a grid of 5 × 5 m squares. Thus, the data of 1 916 squares were obtained per survey, making 5748 in total. Of these, 4112, 1200, and 432 were located in EBR, BUS, and NUE, respectively. A total of 482,012 saplings (trees) or 275,300 oaks were recorded during the regeneration surveys. For the analyses, the regeneration data, tree height, and biomass were aggregated and summarized by each square, survey, and tree species. In addition to the most abundant tree species, oak, beech, hornbeam, and pine, all the other occurring tree species were summarized under the term “Others” (Table S1). All occurring regeneration plants were recorded. Saplings smaller than 2 m were assigned to 4 height classes (0–50 cm, 51–100 cm, 101–150 cm, 151–200 cm). Additionally, for plants taller than 2 m, the diameter at breast height (dbh) was measured. For saplings taller than 1.3 m and smaller than 2 m, the dbh were estimated as a function of the height using a logarithmic model.

The regeneration biomass was calculated for each tree using the formula of Forrester et al. [43].

$$\ln(bm) = \ln(\beta_0) + \beta_1 \ln(D) + \varepsilon \quad (1)$$

where the calculated biomass (bm) was the aboveground biomass of the individual tree in kg. D was the diameter in cm of the corresponding tree. β_0 and β_1 were the species-specific function parameters.

A descriptive summary of the plot and species-specific regeneration data for the first and last surveys is provided in Table 3.

Table 3. Regeneration data for the first and latest survey; S—tree species; A—age of regeneration [yrs]; d—plant density [ha^{-1}]; bm—regeneration biomass [kg ha^{-1}]; h—mean height [m].

Exp (Nr)	Plot	First Survey					Last Survey			
		S	A	d	bm	h	A	d	bm	h
EBR (132)	1	Pi		3504	182	0.67		4	202	16.07
		Be		18,308	2033	1.05		3492	55,745	7.41
		Oa	4	22,104	562	1.07	40	271	11,015	13.45
		Hb		3383	605	1.21		354	9235	7.99
		Others		350	33	1.55		4	26	8.19
EBR (133)	2	Pi		366	22	0.89		0	-	-
		Be		15,464	1767	1.11		3709	27,696	7.1
		Oa	6	8892	165	0.87	42	5	68	11.48
		Hb		130	16	1.74		6	716	15.48
		Others		697	118	2.85		59	3305	12.06
EBR (133)	4	Pi		6	0	0.62		0	-	-
		Be		30,554	6100	3.19		2394	91,381	11.4
		Oa	22	1 140	15	1.44	58	6	279	13.97
		Hb		197	28	1.64		6	1038	18
		Others		284	60	4.71		98	32,299	19.89
EBR (133)	6	Pi		100	4	0.36		5	125	9.27
		Be		15,791	1056	0.65		5280	49,307	5.72
		Oa	6	119,009	833	0.32	42	98	921	7.12
		Hb		69	9	0.87		95	2033	9.87
		Others		153	15	1.07		77	8714	10.21

Table 3. Cont.

Exp (Nr)	Plot	First Survey					Last Survey			
		S	A	d	bm	h	A	d	bm	h
BUS (136)	7	Pi		2198	161	1.44		59	4672	13.24
		Be		19,284	2863	1.71		3972	49,136	7.13
		Oa	11	17,402	479	1.84	47	613	43,182	14.41
		Hb		2925	594	1.67		453	7973	9.51
		Others		3609	477	2.03		184	9655	9.54
	8	Pi		70	5	1.36		16	2340	16.55
		Be		7634	891	1.53		3203	29,103	5.72
		Oa	13	41,570	1483	1.89	49	2500	102,447	10.07
		Hb		3642	897	2.14		1553	33,505	7.81
		Others		219	27	2.37		22	6699	14.86
1	Be		15,878	1052	0.67		11,628	12,644	2.8	
	Oa	5	54,286	1686	0.3	31	6678	10,142	3.52	
	Be		12,245	812	0.64		8175	23,505	3.9	
	Oa	3	13,302	411	0.25	29	5	26	7.13	
NUE (141)	1	Pi		31,911	849	0.3		2133	2753	2.77
		Be		1278	130	0.32		1478	8653	6.35
		Oa	3	18,889	785	0.45	31	2444	6696	3.1
		Others		544	162	0.47		356	1714	4.67
	2	Pi		86,967	2429	0.32		122	92	2.81
		Be		1122	129	0.43		3567	46,948	8.17
		Oa	5	42,911	1742	0.53	33	822	3120	5.09
		Others		156	47	0.38		222	950	6.14
	3	Pi		2300	157	0.88		0	-	-
		Be		5011	1095	1.75		2622	76,353	10.96
		Oa	9	40,211	4230	1.56	37	89	3900	14.34
		Others		7089	3060	1.22		322	13,313	10.41
	4	Pi		1800	128	0.89		0	-	-
		Be		322	54	0.94		500	3888	3.58
		Oa	9	23,911	2517	1.54	37	1733	100,861	11.58
		Others		1633	670	1.22		256	6497	6.86

Oa—sessile oak; Be—European beech; Pi—Scots pine; Hb—hornbeam.

2.4. Statistical Analyses

To describe the occurrence probability of oak in long-term and small-scale regenerated stands in general (i), a logistic model was set up across all the investigated stands. Oak abundance was set as a function of the duration of the regeneration period (dur) and the corresponding experimental site ($site$). The predicted probability was based on the occurrence of oaks in the respective regeneration square. Each square was categorized by the binary variable as either 1 (oak occurs) or 0 (oak does not occur).

$$\text{logit}[E(Y_{ijk} | dur_{ik}, site_i)] = \frac{p_{ijk}}{1 - p_{ijk}} = a_0 + a_1 dur_{ik} + a_2 site_i + b_i + b_{ij} + \varepsilon_{ijk} \quad (2)$$

Indices i , j , and k denoted the plot, the regeneration square, and the survey, respectively. a_0 , a_1 , and a_2 represented the estimated fixed effects parameters. With the regeneration squares lying next to each other and repeatedly recorded, the corresponding random effects were b_i and b_{ij} , to account for the spatial and temporal autocorrelation. ε_{ijk} are i.i.d. errors ($\varepsilon_{ijk} \sim N(0; \sigma_3^2)$).

To answer the further research questions (ii–iv), linear mixed effects models were set up to account for the potential autocorrelation due to the assumed spatial and temporal dependencies, as described above [44]. In each case, the models were adjusted for the

individual experimental site. The respective models with the considered interactions between the covariates were determined by the research questions and applied equally for each experimental site.

First, the regeneration density (d) was estimated for each species as a function of regeneration age, as described by the following model function.

$$\ln(d_{ijk}) = a_0 + a_1 \times \ln(A) + a_2 \times S + a_3 \times (\ln(A) \times S) + b_i + b_{ij} + \varepsilon_{ijk} \quad (3)$$

where A is the respective regeneration age and S is the regenerated tree species. The corresponding random effects were b_i and b_{ij} , to account for the spatial and temporal autocorrelation. ε_{ijk} are i.i.d. errors ($\varepsilon_{ijk} \sim N(0; \sigma_3^2)$).

Second, the regeneration biomass (bm) was set as a function of regeneration age and canopy cover (CC), as described by the following adjusted model function.

$$\ln(bm_{ijk}) = a_0 + a_1 \times \ln(A) + a_2 \times S + a_3 \times CC + a_4 \times (S \times CC) + b_i + b_{ij} + \varepsilon_{ijk} \quad (4)$$

Third, the maximum heights (h) were estimated using the extended model, which additionally accounted for the interspecific competition within the regeneration. Therefore, the proportion of the admixed tree species, which was in all cases primarily constituted by beech, was additionally included in the model (BE_perc). The following model was set up:

$$\ln(h_{ijk}) = a_0 + a_1 \times \ln(A) + a_2 \times S + a_3 \times CC + a_4 \times BE_perc + a_5 \times (S \times CC) + a_6 \times (S \times BE_perc) + b_i + b_{ij} + \varepsilon_{ijk} \quad (5)$$

To describe the effect of the regeneration biomass on the productivity of the overstorey ($PAIV$), the periodic annual increment was estimated as a function of the standing volume and the total regeneration biomass on a plot level.

$$\ln(PAIV_{ik}) = a_0 + a_1 \times \ln(V_{ik}) + a_2 \times bm_reg_{ik} + a_3 \times (\ln(V_{ik}) \times bm_reg_{ik}) + b_i + \varepsilon_{ik} \quad (6)$$

Here, the independent variables were the standing volume of the overstorey (V) and the aggregated biomass of regeneration on a plot level (bm_reg). The corresponding random effect was b_i , to account for temporal autocorrelation. ε_{ik} are i.i.d. errors ($\varepsilon_{ik} \sim N(0; \sigma_2^2)$).

All statistical analyses were performed using the statistical program R and the package lme4 [45,46].

3. Results

3.1. Oak Occurrence Probabilities

At the end of the observation periods, oak was present on 34%, 44%, and 71% of the regeneration squares in EBR, BUS, and NUE, respectively, which represents a decline in oak in 55%, 52%, and 29% of the squares after 36, 26, and 28 years. Correspondingly, the regeneration period had a most significant effect on oak occurrence in the considered small-scale regenerated stands. With an odds ratio (OR) of 0.89 ($p < 0.001$), the probability of oak presence in the regeneration decreased with the increasing regeneration period. Similarly, there were significant differences between the three forest types. The highest oak occurrence was found in the monospecific pine stands in NUE (OR = 35.44) (Figure 2). Thus, survival was much lower in the beech–oak–pine stands in EBR (OR = 0.33) (Figure 2). The reference was the oak–beech stands in BUS. Overall, in NUE, the oak presence was still high after 30 years, even in the long-term and small-scale regenerated stands. For the mixed oak stands in EBR and BUS, the estimated decrease was much earlier and more pronounced (Figure 2).

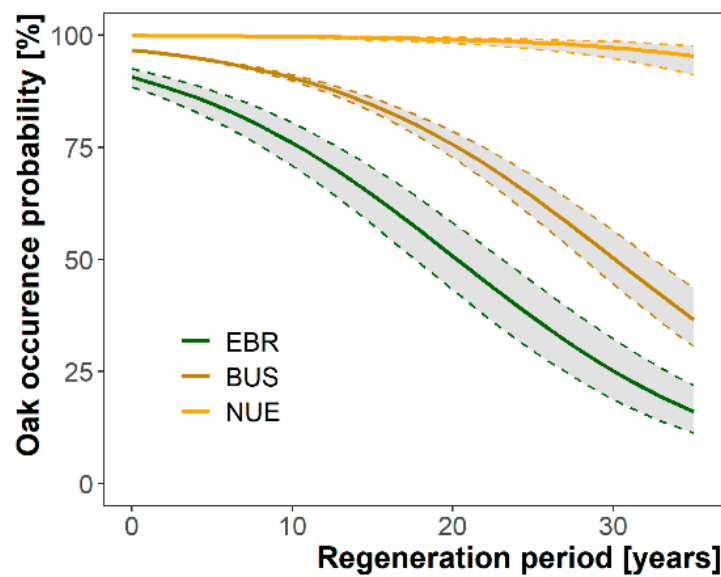


Figure 2. Estimated occurrence probability of oak for the studied sites depending on the regeneration period (Equation (2)); for model statistics see Table S2).

3.2. Regeneration Density and the Effect of Canopy Cover on Regeneration Biomass

The regeneration tree density decreased significantly with the duration of the regeneration period or the respective regeneration age. The estimated trajectories showed species-specific differences (Figure 3). With the exception of pine in NUE, the oak tree numbers decreased the most with age on all sites relative to all admixed tree species. The estimated coefficients were -1.26 , -0.35 , and -1.27 in EBR, BUS, and NUE, respectively. In contrast, the density reduction was the lowest for beech in all the investigated stands, although a slight increase was observed for beech in BUS. The reduced estimates appeared to be due to masting during the observation period (Table 4).

The biomass of the regenerated plants was affected by canopy cover. Closed canopy stand situations mostly resulted in lower regeneration biomasses. For the experimental site EBR and the oak, beech, pine, and hornbeam species, respectively, the effect was significant. In NUE, only oak biomass was significantly and negatively affected by canopy cover (Table 5). However, in BUS, the effect was not significant for either the oak or the beech species.

Accordingly, oak biomass increased by 12.1 t ha^{-1} , 1.3 t ha^{-1} , and 7.3 t ha^{-1} in EBR, BUS, and NUE, respectively, at the age of 30 from non- to fully canopied stand situations.

When there was no canopy cover, the oaks in EBR reached approximately the biomass of the beech regeneration. In BUS, however, the values of the beech biomass were not reached by oak in any canopy cover situation. In contrast, in NUE, the oak biomass exceeded the beech biomass in almost every canopy cover situation (Figure 4).

Table 4. Model statistics for tree density as a function of regeneration age (A) (Equation (3)); the reference tree species is oak; Est—estimated value; SE—standard error; p — p -value; significant values are written in bold.

	EBR		BUS		NUE	
Fixed Effects	Est (SE)	p	Est (SE)	p	Est (SE)	p
(Intercept)	12.09 (0.16)	<0.001	10.28 (0.28)	<0.001	12.43 (0.25)	<0.001
ln (A)	−1.26 (0.03)	<0.001	−0.35 (0.05)	<0.001	−1.27 (0.07)	<0.001
Be	−1.24 (0.12)	<0.001	−1.00 (0.17)	<0.001	−4.94 (0.26)	<0.001
Be × ln (A)	0.61 (0.04)	<0.001	0.39 (0.10)	<0.001	1.26 (0.10)	<0.001
Pi	−3.63 (0.17)	<0.001	-	-	−0.28 (0.2)	0.316
Pi × ln (A)	0.65 (0.06)	<0.001	-	-	−0.26 (0.11)	0.020
Hb	−3.61 (0.15)	<0.001	-	-	-	-
Hb × ln (A)	0.81 (0.05)	<0.001	-	-	-	-
Others	−4.45 (0.14)	<0.001	-	-	−4.04 (0.30)	<0.001
Others × ln (A)	0.92 (0.05)	<0.001	-	-	0.70 (0.11)	<0.001
Random Effects						
σ^2	1.16		1.55		1.00	
τ_{00} Squ:P	0.03		0.33		0.04	
τ_{00} P	0.11		0.12		0.11	
N_{Squ}	256		200		36	
N_P	6		2		4	
N measurements	10,599		2101		1405	
AIC	31,971.7		7215.1		4084.5	

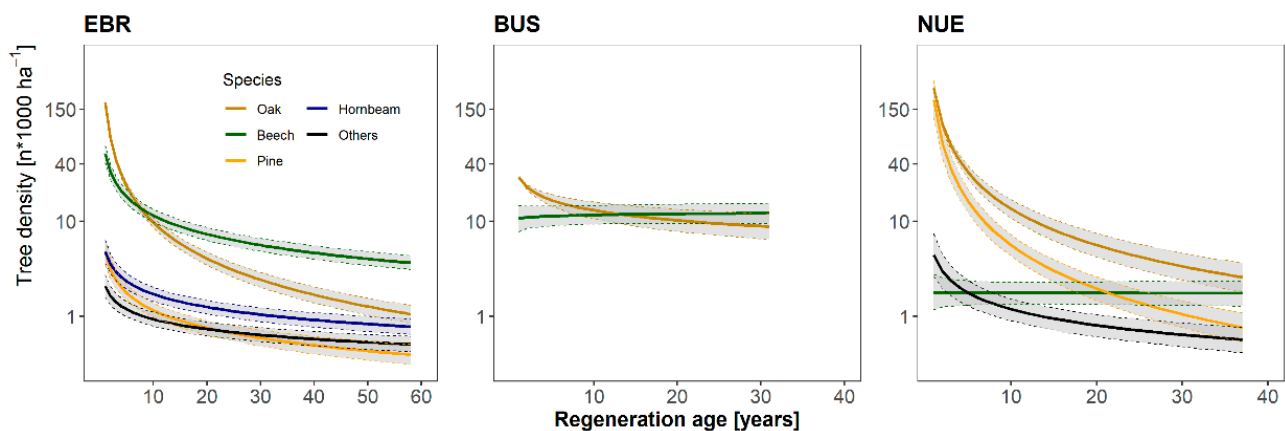


Figure 3. Estimated tree densities of the regenerated tree species (Equation (3)); note the logarithmic scaling of the y -axis and the different scaling of the x -axes.

3.3. Influence of Canopy Cover and Interspecific Competition on Oaks' Maximum Heights

In addition to the canopy cover of the old stand, the observed maximum heights of the regeneration were additionally influenced by the interspecific competition. The interspecific competition with the oak regeneration in the studied stands was almost exclusively by the admixed beech (see Figure 4); thus, the beech admixture was considered to be the competition factor (Table 6).

For the mixed stands in BUS and EBR, respectively, the effect of canopy cover was significant (Table 6). In particular, the effects were different between the considered tree species, as shown below for the oak and admixed beech (Table 6, Figure 5).

Table 5. Model statistics for biomass development as a function of regeneration age (A) and canopy cover (CC) (Equation (4)); the reference tree species is oak; Est—estimated value; SE—standard error; p — p -value; significant values are written in bold.

Fixed Effects	EBR		BUS		NUE	
	Est (SE)	p	Est (SE)	p	Est (SE)	p
(Intercept)	−3.71 (0.29)	<0.001	−3.99 (0.34)	<0.001	−3.04 (0.47)	<0.001
ln (A)	2.11 (0.08)	<0.001	1.93 (0.10)	<0.001	1.90 (0.12)	<0.001
CC	−2.90 (0.13)	<0.001	−0.26 (0.19)	0.168	−0.95 (0.38)	0.013
Be	0.24 (0.08)	0.002	0.76 (0.17)	<0.001	−0.93 (0.38)	0.015
Be × CC	2.69 (0.15)	<0.001	0.01 (0.24)	0.955	0.99 (0.54)	0.065
Pi	−1.32 (0.17)	<0.001	-	-	−2.51 (0.55)	<0.001
Pi × CC	2.46 (0.32)	<0.001	-	-	1.53 (0.75)	0.041
Hb	−1.13 (0.09)	<0.001	-	-	-	-
Hb × CC	2.64 (0.21)	<0.001	-	-	-	-
Others	0.22 (0.17)	0.194	-	-	−1.85 (0.40)	<0.001
Others × CC	0.81 (0.42)	0.056	-	-	0.84 (0.58)	0.144
Random Effects						
σ^2	2.61		2.15		2.50	
τ_{00} Squ:P	0.10		0.18		0.00	
τ_{00} P	0.07		0.01		0.09	
N_{Squ}	256		200		36	
N_P	6		2		4	
N measurements	5195		1307		772	
AIC	19,983.3		4829.5		2931.8	

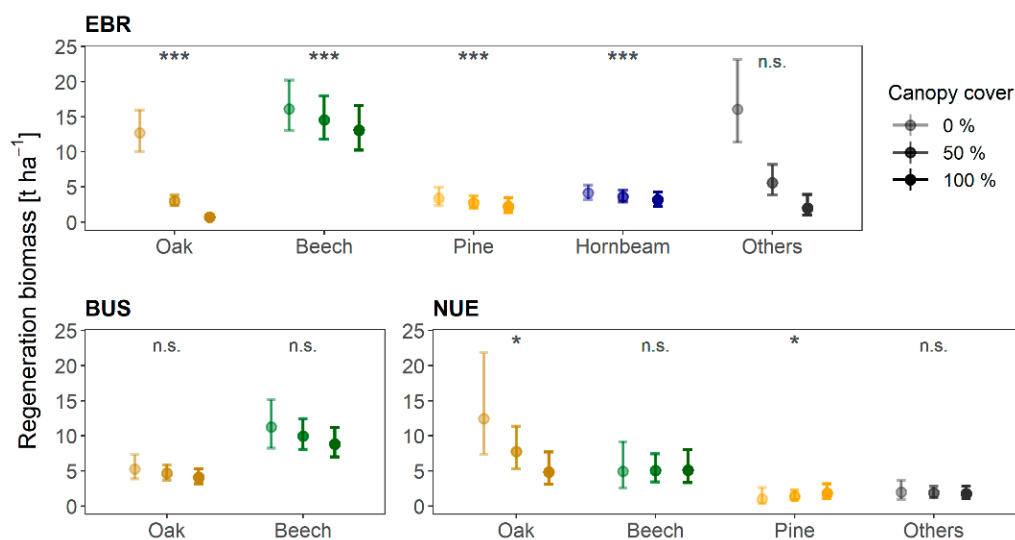


Figure 4. Regenerated biomass of oak, beech, pine, hornbeam, and other broadleaf tree species as a function of canopy cover at the age of 30 (Equation (4)); asterisks denote the significance levels of the canopy cover effect, *** $p < 0.001$, * $p < 0.05$, n.s. not significant.

The heights of the oaks were negatively affected by the canopy cover of all the investigated stands. The estimated coefficients were -0.80 , -0.50 , and -0.2 for EBR, BUS, and NUE, respectively. However, in the monospecific stands, this coefficient estimate was not significant. The strongest negative effect of the canopy cover could therefore be found for the mixed stands of EBR. There, the 50% canopy cover reduced the oak heights by 33% compared to the non-canopied stand situations, which corresponds to an average reduction of 1.7 m at the regeneration age of 15 years. For BUS and NUE, the oaks were 23% and 11% smaller, respectively (Figure 5).

Consequently, the canopy cover had a stronger effect on the oak than on the beech regeneration at all the sites (Table 6, Figure 5). Assuming a canopy cover of 50% indicates that beech trees outperform oak trees at a regeneration age of 15 years by 1.5 m and 1.4 m in the mixed oak stands in BUS and EBR, respectively. Even for the stand situations without a canopy cover, a certain advantage for beech is shown for both experimental sites. A negative height relation between oak and beech is always evident.

In the monospecific pine stands (NUE), both tree species reached comparable heights, with a visible, but not significant, height advantage for oak. There, the characteristics of oak as a light-demanding tree species became more evident. A canopy cover below 60% resulted in a positive height relation with oak as compared to beech.

The canopy cover of the mature stand and the interspecific competition in the regeneration showed strong effects on the heights of the oak regeneration. Increasing the beech admixture led to decreasing heights of the neighboring oaks (Figure 6). This effect was observed across all experimental sites. For the most vigorous sites in EBR, the height relation of oak compared to beech was still positive up to a beech proportion of 47% in the non-canopied stand situations. At a canopy cover of 50%, the positive height relation could only be observed at beech proportions of 20%. In BUS, these values were 30% and 14% and in NUE 19% and 16%, respectively.

Table 6. Results on the height model as a function of regeneration age (A), canopy cover (CC), and proportion of beech regeneration (BE_perc) (Equation (5)); the reference species is oak; Est—estimated value; SE—standard error; p — p -value. Significant values are written in bold.

Fixed Effects	EBR		BUS		NUE	
	Est (SE)	p	Est (SE)	p	Est (SE)	P
(Intercept)	−0.20 (0.10)	0.251	−2.60 (0.30)	<0.001	−1.70 (0.40)	<0.001
ln (A)	0.77 (0.00)	<0.001	1.24 (0.00)	<0.001	1.20 (0.10)	<0.001
CC	− 0.80 (0.10)	<0.001	− 0.50 (0.10)	<0.001	−0.20 (0.30)	0.387
Be_perc	− 0.70 (0.10)	<0.001	− 0.40 (0.10)	0.001	− 1.90 (0.70)	0.008
Be	− 0.50 (0.00)	<0.001	− 0.50 (0.10)	<0.001	−0.40 (0.30)	0.077
Be × Be_perc	1.13 (0.10)	<0.001	1.52 (0.10)	<0.001	2.25 (0.70)	0.001
Be × CC	0.58 (0.10)	<0.001	0.48 (0.10)	<0.001	0.12 (0.30)	0.703
Pi	0.01 (0.10)	0.932	-	-	−0.80 (0.40)	0.052
Pi × Be_perc	−0.20 (0.20)	0.546	-	-	0.15 (1.00)	0.880
Pi × CC	0.69 (0.20)	<0.001	-	-	−0.20 (0.50)	0.631
Hb	− 0.50 (0.10)	<0.001	-	-	-	-
Hb × Be_perc	0.66 (0.10)	<0.001	-	-	-	-
Hb × CC	0.66 (0.10)	<0.001	-	-	-	-
Others	−0.10 (0.10)	0.147	-	-	− 1.10 (0.30)	<0.001
Others × Be_perc	0.43 (0.20)	0.010	-	-	0.90 (0.80)	0.242
Others × CC	0.12 (0.20)	0.425	-	-	0.33 (0.40)	0.354
Random Effects						
σ^2	0.27		0.33		0.53	
τ_{00} Squ:P	0.03		0.07		0.06	
τ_{00} P	0.03		0.18		0.06	
N_{Squ}	256		200		36	
N_P	6		2		4	
N measurements	3519		1266		464	
AIC	5752.0		2423.0		1103.3	

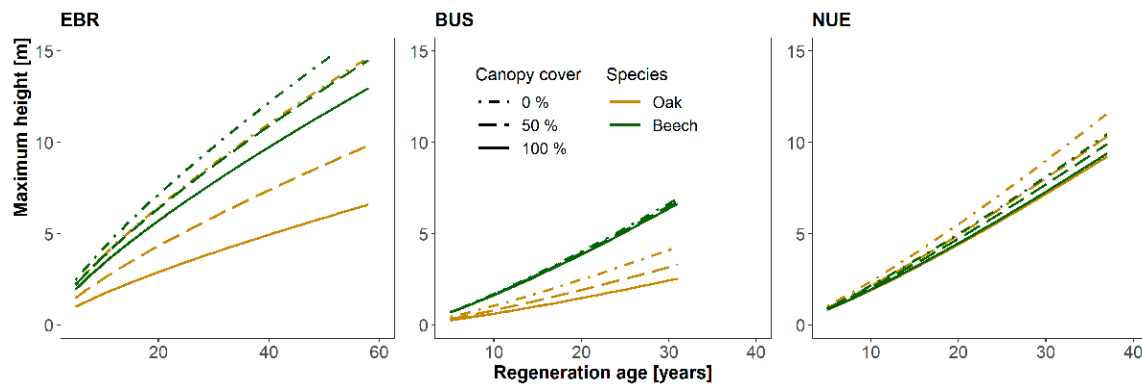


Figure 5. Estimated maximum heights of oak and beech regeneration as a function of regeneration age and canopy cover (Equation (5)); shown are the height trajectories of oak and the most competitive beech species. Note the different scaling of the x-axes.

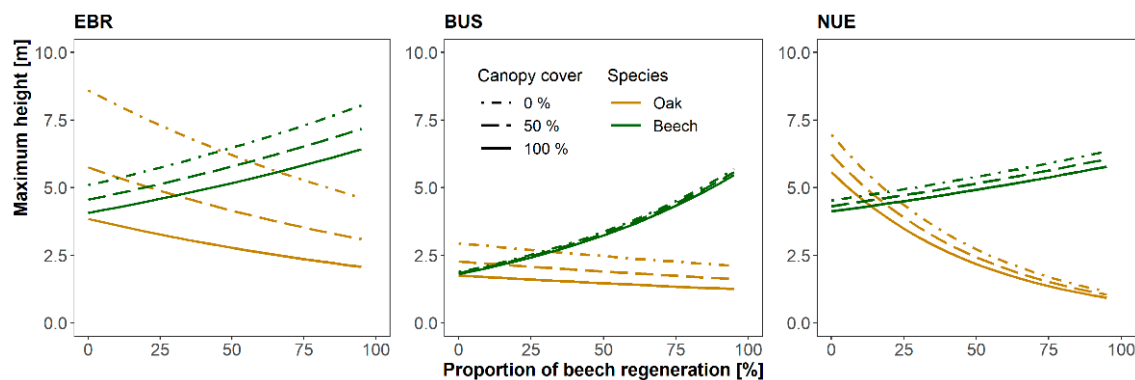


Figure 6. Estimated maximum heights of oak and beech regeneration as a function of the proportion of beech regeneration and canopy cover at the age of 20 (Equation (5)); shown are the height trajectories of oak and the most competitive beech species. Note the different scaling of the x-axes.

3.4. Feedback of Regeneration on Main Stand Productivity

The periodic annual increment was mainly dependent on the stand volume, which was statistically significant (Table 7). An increase in standing volume by $100 \text{ m}^3 \text{ ha}^{-1}$ in the mature stand resulted in higher stand increments by an average of 1.8 to $2.7 \text{ m}^3 \text{ ha}^{-1} \text{ year}^{-1}$ (Figure 7).

Table 7. Results of the model on periodic annual increment of the main stand as a function of the standing volume (V) and the regeneration biomass (bm_reg) (Equation (6)); Est—estimated value; SE—standard error; p — p -value; significant values are written in bold.

Fixed Effects	Est (SE)	p
(Intercept)	−7.23 (1.79)	<0.001
bm_reg	0.03 (0.03)	0.358
$\ln(V)$	1.58 (0.33)	<0.001
$\ln(V) \times bm_reg$	−0.01 (0.01)	0.279
Random Effects		
σ^2	0.23	
τ_{00P}	0	
N_P	7	
N measurements	24	
AIC	62.5	

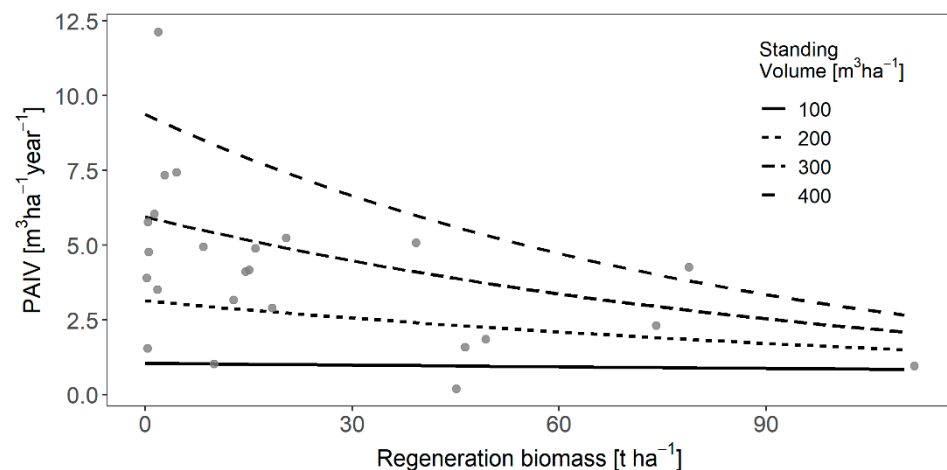


Figure 7. Periodic annual increment of the main stand as a function of regeneration biomass (not significant) and volume (most significant) (Equation (6)).

The effect of the regeneration biomass was not significant. However, a negative trend was visible, which was amplified with the increasing stand volumes in the main stand (Figure 7). The periodic annual increment of the main stand seemed to decrease by an average of 23% and 40% at a regeneration biomass of 30 and 60 $t\ ha^{-1}$, respectively. In absolute values, this corresponded to reductions in the old-growth stand increments of 1.0 and 1.8 $m^3\ ha^{-1}\ year^{-1}$, respectively, as compared to the non-regenerated stands. This trend was more pronounced in the percentage, as well as in the absolute terms, of the stands with higher standing volumes compared to the stands with low standing volume.

4. Discussion

4.1. Valuable Insights from Long-Term Observations as Research Basis

A recent literature review [38] concluded that oak regeneration is in principle possible even in small areas, but at the same time, it noted that the underlying data for this conclusion are still very limited, especially when the long-term developments of oak regeneration are considered. With the present study, the regeneration data covering a period beyond 15 years and reaching up to 36 years could be used. To our knowledge, the present study is the only study of oak regeneration that covers an observation period of more than 25 years.

Furthermore, full surveys of stand regeneration in experimental stands of up to 1.0 ha in size are very rare. Here, a unique dataset encompassing half a million single data of regenerated trees could be used. In connection with the information available for the mature trees, the data cover a wide range of regeneration situations, i.e., gap sizes, species mixture, and canopy cover characteristics, as well as site conditions (see Section 2.1).

4.2. Long-Term Development and Survival of the Regeneration

The results showed that close-to-nature silviculture with long-term (>25 years) and small-scale (0.01–0.25 ha) regeneration methods can be one option for the regeneration of oak. However, the range of regeneration development within the stands and between sites was wide, ranging from the total loss of oak to an increase in oak proportions over the entire regeneration period (see Table 2). High percentages of oak in the regeneration at the beginning of the regeneration period favor the success of oak regeneration, but do not necessarily lead to a corresponding percentage of oak at the beginning of the stem exclusion stage (see Tables 2 and 3). The conclusions drawn from previous studies, namely that oak regeneration can succeed even with small-scale regeneration methods [33,38,39], could be corroborated for the long run by the present results. However, it was also revealed that at certain sites, beech is able to become dominant or even outcompete oak sooner or later [18,34,47,48].

This insight was also evident when considering the survival rates of oak. In particular, the decreasing survival probabilities indicated the need for silvicultural assistance in the given stand situations (see Figure 2). Accordingly, the participation of oak in a mixture with beech was not stable throughout the regeneration period and confirmed the results of some studies [18,19,39,49], which can also be found in the early silvicultural principles for oak management [18,25,50]. Differences between experimental sites and forest stand types were clearly recognizable and should be considered in pre-commercial thinnings. Small-scale and long-term regeneration methods are especially promising in low-growth sites and/or monospecific pine stands. The competitive ability of oaks seemed to benefit from the higher light availability under more light-transmitting pine canopies. Lower site quality additionally reduced beech growth. The observed survival probabilities of 30% and more after 20 years of regeneration initialization (see Figure 2) also showed the remarkable potential of young oaks when small-scale regeneration methods were applied. However, when interpreting the results, it should also be noted that the survival rates represent the occurrence and not the dominance of oak in the regeneration.

The observed regeneration biomass showed an enormous growth potential. Even the apparently low-growing sites in NUE showed a considerable growth potential of oak and reached maximum biomass values that were similar to those of the more vigorous sites in EBR (see Figure 4). However, without appropriate silvicultural interferences in the main stand and pre-commercial thinnings in the advanced regeneration in favor of oak, this potential remained unused. Uniformly high or increasing stand volume in the main stand counteracted this (see Tables 2 and 3). This was also true for the development of the appreciable oak proportions after early regeneration until the stem exclusion stage.

Equally apparent was the potential of natural oak regeneration, which was the basis of the observed oak regeneration in the majority of stands. Together with the findings by Löff et al. [41], who evaluated the costs for oak natural regeneration and found them to be the lowest, this results in an additional potential for operational savings or at least compensation.

4.3. Influence of Canopy Cover and Interspecific Competition

Sessile oak, as a light-demanding tree species, reacted more strongly to the canopy cover reduction than beech at all the sites. Thus, the results match those of several studies that observed a similar trend [18,35,51]. Interestingly, beech was still superior to oak irrespective of the canopy cover in the considered mixed stands in EBR and BUS (see Figure 5). This observation suggested that successful oak regeneration in the mixed regenerations was apparently not possible by controlling the canopy cover alone. A similar conclusion was drawn by Modrow et al. [35], who recommended controlling mixed tree species regardless of the regeneration gap size. At the same time, this resulted in the greatest scope for promoting oak in EBR, which may indicate that competition for light rather than soil-based resources was occurring at this site, whereas in BUS the nutrients seemed limiting. In NUE, the effect of canopy cover reduction was the lowest, which suggests that water and nutrients may be the limiting factors. Furthermore, NUE was the only experimental site where oak appeared to be superior to beech in height growth. These height relations indicated that oak's superiority compared to beech was strongly dependent on monospecific pine stands with sparse site conditions. However, it is precisely these stands that should be urgently adapted to the rapidly changing climate [52]. These observations were also made for even younger oak regenerations [34,53]. Accordingly, oak requires the support of the silvicultural regulation of woody competitors for successful establishment in many sites [18,49,54].

The revealed competition of beech with the height development of oak regeneration deepens the conclusions drawn from studies considering shorter regeneration periods, which suggest a reduction in competition in favor of oak [35,38]. For example, Hauskeller-Bullerjahn [55] found that height growth in oak was reduced by 24% on average by competition and 30% of full light. The competition exerted by the admixture of beech was, in addition to the control of canopy cover, the most important factor for the successful

establishment of oak in the considered stands. The observed competition effect by beech seemed to be influenced, on the one hand, by higher light availability and thus less by the influence of canopy cover [56] and, on the other hand, by increased root competition [57] due to lower nutrient and water availability.

Accordingly, high oak percentages at the beginning of the regeneration period and correspondingly lower beech competition showed positive effects on the development of oak regeneration (see Table 3). The increase in beech proportions in the regeneration resulted in a decrease in the positive competition relation of oak towards beech. This appeared to be due to the interspecific competitive pressure of beech on oak [36]. Therefore, the relations between oak and the mixed (competitor) species should be given special attention when creating the mixture. This is important for the success of the specific species mixture and the appropriate maintenance efforts, taking into account the natural development. For example, Meesenburg et al. [58] recommend a group mixture of tree species, which should have a minimum size of 0.3 ha. As a conclusion of the present study, oak regeneration can be successfully practiced even in smaller areas, assuming that the silvicultural goal is oak and that the thinnings are focused on assisting oak.

4.4. Influence of Regeneration on Old-Growth Productivity

Due to the long-term regeneration periods, with regeneration ages reaching 58 years, regeneration biomasses up to more than 100 t ha^{-1} could be observed in the investigated stands (see Table 3). At the same time, the remaining main stand continued to produce wood increments throughout the entire regeneration period. This is particularly important for deciding on the silvicultural approach.

Productivity was thus primarily determined by the standing volume (see Table 7). The effect of regeneration biomass on the productivity of the main stand was not significant. However, a negative trend was visible (see Figure 7). Accordingly, as biomass increased old-growth productivity decreased. In particular, this appeared to be due to increased belowground competition for resources between old growth and regeneration [59,60]. This conclusion is further supported by the observation that the effect was more pronounced with higher standing volumes. Conversely, this also meant that high regeneration biomasses could partially compensate for the resulting increment losses, due to the volume reduction by the harvesting of single mature trees.

However, so that the influence of regeneration on the overstorey can be conclusively assessed, further studies on the observed trend should be carried out. Particularly for the management of multi-layered stands, the consideration of the feedback of advanced regeneration on the remaining stand seems to be highly relevant.

4.5. Silvicultural Consequences

How oak stands or forests in general are managed is basically very much determined by the production objective in the respective stand. This also applies to the proportion of oak in the tree species portfolio of future forest stands. If oak is to be maintained or established in appreciable proportions for timber production [14,19] or as an ecological admixture [24], appropriate pre-commercial thinnings are necessary. The chosen silvicultural approach is therefore not a static system but should change with the site and stand conditions as well as with the corresponding operational objective. In principle, sessile oaks can make a valuable contribution in establishing climate-stable and structured mixed stands [4,5]. In this regard, it is important to emphasize the potential of oak for converting monospecific pine stands into mixed pine–oak stands.

The single tree and group selection systems considered in this study are one option for the establishment of oak while maintaining a balanced forest interior climate at the same time. Current climatic trends indicate that clear-cut climates should be avoided in any case. The outlined results therefore show a way to maintain or establish oak in the tree species portfolio as well as the small-scale regeneration methods in the long term.

For this to succeed, it is recommended that the standing volume of mature stands, including the serving tree layers in the area to be regenerated, should be consistently reduced. In this case, gap size or the area to be regenerated may be 0.1 ha. Depending on site conditions, the remaining stand volume should optimally be less than 250 m³ ha⁻¹ in mixed beech–oak stands and 300 m³ ha⁻¹ in monospecific pine stands, respectively (see Table 2). During the regeneration period, a renewed volume build-up must be avoided. The priority goal in the respective patches has to be the regeneration of oak. Ideally, there is no advanced regeneration of admixed tree species. If mixed tree species are present, increased management in favor of oak must be calculated since regulation of the old stand alone is not sufficient. Mixed tree species proportions, especially those of beech, that exceed 30% significantly impair oak in early regeneration until the stem exclusion stage.

Against the backdrop of rapidly advancing climate change, preparing European forests by creating mixed and structured stands is the order of the day. In particular, mixed stands with oak participation can make an important contribution to more resilient stands in the future.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/f13122052/s1>, Table S1: list of tree species, summarized under the general term “Others”, Table S2: model statistics of oak survival rates.

Author Contributions: Conceptualization, K.S., E.U. and H.P.; methodology, K.S.; formal analysis, K.S.; investigation, K.S.; resources, H.P.; data curation, K.S.; writing—original draft preparation, K.S.; writing—review and editing, K.S., E.U. and H.P.; visualization, K.S.; supervision, H.P.; funding acquisition, E.U. and H.P. All authors have read and agreed to the published version of the manuscript.

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Data Availability Statement: The data used in this study are available on request from the corresponding author.

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