# Globally Optimal Consensus Maximization for Relative Pose Estimation With Known Gravity Direction

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Abstract-Relative pose estimation is a core task in robotic vision, and it is the basis of many high-level applications (e.g., visual odometry). In this letter, we focus on a quite common case in which the gravity direction is known in advance with the help of IMUs. Commonly, incorrect feature matches (a.k.a. outliers) are unavoidable, and they will impair the accuracy significantly. RANSAC is the de facto standard to suppress the outliers and obtain a robust solution. However, RANSAC is a non-deterministic algorithm, which means it produces a reasonable result only with a certain probability, and it cannot guarantee the global optimality to meet the safety demand in many life-critical applications. Therefore, we propose a globally optimal algorithm for relative pose estimation with known gravity direction. Specifically, the proposed method employs the branch-and-bound algorithm to solve a consensus maximization problem, and thus it is able to obtain the global solution with a provable guarantee. To verify the feasibility of our proposed method, both synthetic and real-data experiments are conducted. The experimental results support the global optimality of the proposed method and show that the method performs more robustly than existing methods.

*Index Terms*—SLAM, autonomous vehicle navigation, computer vision for automation.

## I. INTRODUCTION

THE task of relative pose estimation is estimating the relative camera pose from matching correspondences of two frames, also known as essential matrix estimation [1]. It is one of core tasks in computer vision [2], thereby it is the basis of

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many high-level applications (e.g., visual odometry, structure from motion and 3D reconstruction) [3]–[6].

In addition to cameras, modern applications, such as autonomous driving and robot navigation and localization, are usually equipped with many other sensors (e.g., GPS, inertial measurement units (IMUs)) [7], [8]. Therefore, we can obtain prior knowledge to help estimate the relative camera pose [9], [10].

In this letter, we focus on estimating relative pose with the prior known gravity direction [11]. The gravity direction can be usually provided by IMUs, and the accuracy of this direction is usually reliable even by low cost IMUs (typically error  $< 0.5^{\circ}$ ) [12], [13]. Taking advantages of knowing gravity direction, the degrees-of-freedom of relative pose estimation problem reduce to three, which means three point correspondences instead of five [1] are sufficient to minimally estimate relative pose [11].

Unfortunately, the mismatches (a.k.a. outliers), which will impair the accuracy significantly [14], are unavoidable in real applications [9], [11]. To suppress the outliers, the defacto mechanism is *RANdom SAmple Consensus* (RANSAC) [15]. However, RANSAC is a non-deterministic algorithm, which means it cannot provide a correct solution with a provable guarantee [16]. More specifically, RANSAC produces a reasonable result only with a certain probability [14]. On the other hand, there are many safety-critical applications, which highly demand such algorithms that can return a extremely reliable solution in the presence of noise and outliers [17]. Obviously, RANSAC cannot meet this strict demand.

To provide a provably optimal solution for some safetycritical applications, we propose a globally-optimal solution, which applies a novel nested branch-and-bound (BnB) algorithm. The main contributions of this letter are as follows:

- In contrast to RANSAC, the proposed relative pose estimation method can obtain the globally-optimal solution with a provable guarantee, which means it can meet the strict demand in many safety-critical applications.
- A nested BnB algorithm with novel geometric bounds is proposed. More specifically, a new geometric formulation and its essential geometric relationship are explored.

## II. RELATED WORK

We first review the outlier-free solutions for relative pose estimation with known gravity direction. In fact, the outlier-free

2377-3766 © 2021 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission. See https://www.ieee.org/publications/rights/index.html for more information. solutions are well studied [9], [11], [18], [19]. They focus on finding solutions to algebraic systems. Specifically, closed-form solutions for sightly different formulations are explored in [9] and [18], respectively. Furthermore, Sweeney *et al.* point out that solving for relative pose with known direction is a quadratic eigenvalue problem [11], and therefore, they propose a simple and extremely efficient algorithm. More recently, given prior knowledge of gravity direction, Ding *et al.* go deeper to explore minimal solutions to relative pose estimation problem with unknown focal length in [20]. Moreover, a non-minimal  $(N \ge 4)$  solution for relative pose estimation with gravity prior is explored in [21].

If the inputs are contaminated by outliers, outlier-free algorithms should be nested into RANSAC scheme, which is the de facto mechanism [9]. However, their solutions are sub-optimal due to the obvious heuristic nature of RANSAC [14]. To assure the global optimality of the optimal solution, Yang et al. [22] propose a globally optimal solution to essential matrix estimation. Specifically, they adopt the consensus set maximization as the objective and the branch-and-bound algorithm to systematically search for the global optimum. However, due to rather high dimensionality of the solution space (i.e., five degrees of freedom), Yang's BnB algorithm tends to be very slow [23]. Furthermore, Fredriksson et at. propose a globally optimal method for a more difficult case in which the correspondences are unknown [24]. In detail, they apply an efficient branch and bound technique in combination with bipartite matching to solve the correspondence problem. However, their method becomes intractable in the cases where outlier rate is considerably high and the number of input is considerably large. Besides, with the prior knowlege of full camera orientation, Fredriksson et at. explore globally-optimal methods for two-view translation estimation in [25] and [26]. Recently, under the plane-based Ackermann steering motion assumption, a globally optimal and correspondence-less solution is explored in [27].

In addition, the epipolar constraint can be linearized to enable the two-view relative pose to be estimated linearly [2]. Accordingly, many globally optimal algorithms for linear consensus maximization are proposed to solve the relative estimation problem. Li is one of pioneers to explore the globally-optimal solution for linearized relative pose estimation [28]. Furthermore, tree search method is proposed to find the global optimum in [29], and more recently tree search method is accelerated significantly in [30]. Nevertheless, because linearization will drop some constraints, the solution of linearized objective is not necessarily the same as the original solution.

It is worth mentioning that many recent studies focus on solving special pose estimation problems with the help of gravity direction. For example, homography-based minimal-case relative pose estimation with known gravity direction is thoroughly explored in [31]. Furthermore, minimal solutions for relative pose with a single affine correspondence and known vertical direction is studied in [32]. Besides, the prior knowledge of gravity direction is helpful for solving absolute pose estimation problem [8], [33] and PnL (*Perspective-n-Line*) problem [34], [35]. These gravity-known works also inspire our work.



Fig. 1. The geometric of two-view relative pose estimation with the known gravity direction.

# **III. PROBLEM FORMULATION**

Given N point correspondences  $\{p_i, q_i\}_{i=1}^N$ , which may be contaminated by outliers, the target is estimating the relative pose (i.e., rotation R and translation t). We start with the epipolar geometry [2] (see Fig. 1).

$$R\lambda_i^1 \boldsymbol{p}_i + \boldsymbol{t} = \lambda_i^2 \boldsymbol{q}_i, i = 1 \dots N \tag{1}$$

where  $\lambda_i^1$  and  $\lambda_i^2$  are two different projective scales. Furthermore, Eq. (1) can be reformulated [11] as

$$\boldsymbol{t}^{T}\left(\boldsymbol{q}_{i}\times \boldsymbol{R}\boldsymbol{p}_{i}\right)=0, i=1\cdots N$$
(2)

where  $\times$  is cross product. It should mention that the scaling of t cannot be determined by Eq. (2) and we set ||t|| = 1.

In addition, given the known unit gravity direction  $g_1$  in left camera coordinate and  $g_2$  in right camera coordinate, we have

$$\boldsymbol{g}_2 = R\boldsymbol{g}_1 \tag{3}$$

The solution of Eq. (3) is

$$R = R(\theta, \boldsymbol{g}_2) \cdot R_{\boldsymbol{g}_1}^{\boldsymbol{g}_2} \tag{4}$$

where  $R_{g_1}^{g_2}$  is the rotation that maps  $g_1$  to  $g_2$  with the minimum geodesic motion.  $R(\theta, g_2)$  is the rotation that rotates  $\theta$  about the axis  $g_2$  and  $\theta$  is the unknown-but-sought variable. Please refer to [36] for more details about the solution. Furthermore, according to Rodrigues' rotation formula [2],

$$R(\theta, \boldsymbol{g}_2) = \exp\left(\theta[\boldsymbol{g}_2]_{\times}\right) \tag{5}$$

$$= \mathbf{I} + \sin\left(\theta\right) \left[\boldsymbol{g}_{2}\right]_{\times} + \left(1 - \cos\left(\theta\right)\right) \left[\boldsymbol{g}_{2}\right]_{\times}^{2} \quad (6)$$

where  $[\boldsymbol{g}_2]_{\times}$  is the cross-product matrix for  $\boldsymbol{g}_2$ .

For the *i*-th correspondence, with the help of gravity direction,  $a_i \times B p_i =$ 

$$[\boldsymbol{q}_{i} \times \mathbf{I} \boldsymbol{p}_{i} = [\boldsymbol{q}_{i}]_{\times} \left( \mathbf{I} + \sin\left(\theta\right) [\boldsymbol{g}_{2}]_{\times} + (1 - \cos\left(\theta\right)) [\boldsymbol{g}_{2}]_{\times}^{2} \right) R_{\boldsymbol{g}_{1}}^{\boldsymbol{g}_{2}} \boldsymbol{p}_{i}$$
(7)

$$= \boldsymbol{a}_{i} + \sin\left(\theta\right) \boldsymbol{b}_{i} + \cos\left(\theta\right) \boldsymbol{c}_{i}$$
(8)

where  $c_i = -[q_i]_{\times}[g_2]_{\times}^2 R_{g_1}^{g_2} p_i$ ,  $b_i = [q_i]_{\times}[g_2]_{\times} R_{g_1}^{g_2} p_i$  and  $a_i = [q_i]_{\times}(\mathbf{I} + [g_2]_{\times}^2) R_{g_1}^{g_2} p_i$ . Therefore, the epipolar constraint

becomes

$$\boldsymbol{t}^{T}\left(\boldsymbol{p}_{i}\times R\boldsymbol{q}_{i}\right)=0\tag{9}$$

$$\Rightarrow \boldsymbol{t}^{T} \left( \boldsymbol{a}_{i} + \sin\left(\theta\right) \boldsymbol{b}_{i} + \cos\left(\theta\right) \boldsymbol{c}_{i} \right) = 0$$
(10)

In real application, it is almost impossible to estimate relative pose with clean and perfect point correspondences. The optimal solution should be obtained from the inlier observations, which should satisfy

$$\left| \boldsymbol{t}^{*T} \left( \boldsymbol{a}_{i} + \sin(\theta^{*}) \boldsymbol{b}_{i} + \cos(\theta^{*}) \boldsymbol{c}_{i} \right) \right| \leq \epsilon$$
 (11)

where  $t^*$  and  $\theta^*$  are the optimal solution;  $\epsilon$  is the inlier threshold. Therefore, a robust objective, which can suppress the outliers, should be formulated by utilizing the idea of inlier consensus maximization [14].

$$\max_{\boldsymbol{t},\boldsymbol{\theta}} \sum_{i=1}^{N} \mathbb{I}\left(\left|\boldsymbol{t}^{T}\left(\boldsymbol{a}_{i}+\sin(\boldsymbol{\theta})\boldsymbol{b}_{i}+\cos(\boldsymbol{\theta})\boldsymbol{c}_{i}\right)\right| \leq \epsilon\right)$$
(12)

where  $\mathbb{I}(\cdot)$  is the indicator function which returns 1 if the condition  $\cdot$  is true and returns 0 if condition  $\cdot$  is not true.

To suppress the outliers, the robust objective is formulated. However, the objective is obviously non-smooth and nonconcave which means some traditional optimizer (e.g., gradient descent) is infeasible and some (e.g., [37]) might be trapped in local optimum. Furthermore, many safety-critical applications need to obtain the globally optimal solution. To meet this demand, we apply the brand-and-bound algorithm, which is the most commonly used mechanism for solving NP-hard optimization problems [38], to seek the maximum value of the objective with provable guarantee.

#### IV. BRANCH-AND-BOUND

The BnB algorithm systematically explores the whole domain of the candidate solutions to find the optimal solution, thereby its solution is globally-optimal. More specifically, the BnB algorithm recursively branches the solution domain, and the sub-branches are checked against upper and lower estimated bounds on the optimal solution. If the branch cannot produce a better solution than the best one found so far by the algorithm, then it is discarded, and consequently, the solution domain is reduced. The iterative process of branching, bounding and cutting is terminated when the optimal solution is found.

# A. Bounds Estimation

Obviously, the key of the BnB algorithm is how to estimate the upper and lower bounds in a given sub-branch. Accordingly, the solution domain should be parameterized properly. We note that the solution domain is  $t \in \mathbb{S}^2$  and  $\theta \in [-\pi, \pi]$ . In addition, if t is the optimal solution then -t is also the optimal solution, therefore, we set the domain of t a hemisphere  $\mathbb{S}^{2+}$  instead of a full sphere.  $\mathbb{S}^{2+}$  is defined as

$$S^{2+} = \{ \boldsymbol{t} | t_3 \ge 0 \}$$
(13)

where  $\mathbf{t} = [t_1, t_2, t_3]^T$  is a unit vector in  $\mathbb{R}^3$ . To minimally parameterize the translation domain, we employ the exponential mapping method to map the hemisphere to a two dimensional

disk unit sphere Fig. 3. The geometric of the upper bound. Geometrically, the solution domain of t is a hemisphere, which can be mapped into a solid disk. Generally,  $d(\theta)$ is a 3D ellipse when  $\theta \in [-\pi, \pi]$ . Given the subdomain  $\mathbb{B}_t$  and  $\mathbb{B}_0$ , t should

of t is a hemisphere, which can be mapped into a solid disk. Generally,  $d(\theta)$  is a 3D ellipse when  $\theta \in [-\pi, \pi]$ . Given the subdomain  $\mathbb{B}_t$  and  $\mathbb{B}_{\theta}$ , t should be in a relaxed umbrella-shaped area centered at  $t_c$  and  $d(\theta)$  should be a curve which is in a relaxed ball centered at  $d(\theta_c)$ .

disk [39]. More Specifically, given  $t \in \mathbb{S}^{2+}$ , we can represent it by  $e \in \mathbb{R}^2$  (see Fig. 2).

$$\boldsymbol{t} = [\sin(\alpha)\cos(\beta), \sin(\alpha)\sin(\beta), \cos(\alpha)]^T$$
(14)

$$\boldsymbol{e} = \alpha [\cos(\beta), \sin(\beta)]^T \tag{15}$$

where  $\alpha \in [0, \pi/2]$  and  $\beta \in [-\pi, \pi]$ . Consequently, we can apply the two dimensional disk to represent the solution domain of translation. Furthermore, for ease of manipulation, a circumscribed square of the solid disk is initialized as the translation solution domain in the BnB algorithm. Therefore, to find the optimal translation, the square-shaped branch will be subdivided into four sub-branches and we need to estimate the upper and lower bounds in these square-shaped sub-branches.

To derive the upper bound, we first introduce the following lemma (see [39]).

Lemma 1: Given  $t_a, t_b \in \mathbb{S}^{2+}$ ,  $e_a$  and  $e_b$  are their corresponding points in the 2D disk. Then

$$\angle \left( \boldsymbol{t}_{a}, \boldsymbol{t}_{b} \right) \leq \left\| \boldsymbol{e}_{a} - \boldsymbol{e}_{b} \right\| \tag{16}$$

In the BnB algorithm, let  $e \in \mathbb{B}_t$ , where  $\mathbb{B}_t$  is a translation branch.  $e_c$  is the center of the square-shaped branch and  $\delta$  is the half-side length. Then we have

$$\angle (\boldsymbol{t}, \boldsymbol{t}_c) \le \|\boldsymbol{e} - \boldsymbol{e}_c\| \le \sqrt{2\delta} \tag{17}$$

where t and  $t_c$  correspond to e and  $e_c$ , respectively.

Furthermore, we denote  $d_i(\theta) \triangleq a_i + \sin(\theta)b_i + \cos(\theta)c_i$ , and geometrically,  $d_i(\theta)$  is an ellipse (see Fig. 3). Let  $\theta \in \mathbb{B}_{\theta}$ where  $\mathbb{B}_{\theta}$  is an angle branch.  $\theta_c$  is the center of the branch, and  $r_{\theta}$  is the half length (radius) of the branch. Geometrically, when

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Fig. 2. The exponential mapping. Geometrically, the hemisphere is flattened into a disk in a plane, and we have  $\angle(t_a, t_b) \le ||e_a - e_b||$ .

 $d(\theta)$ 3D ellipse

Algorithm 1: Inner BnB: Finding the Optimal  $\theta$ , Given

 $\theta \in \mathbb{B}_{\theta}$ ,  $d_i(\theta)$  is a curve of the full ellipse in 3D space. Inspired by *Lipschitz optimization* [40], [41] and *mean value inequality* for vector-valued functions [42], we have

$$\|\boldsymbol{d}_{i}\left(\boldsymbol{\theta}\right) - \boldsymbol{d}_{i}\left(\boldsymbol{\theta}_{c}\right)\| \leq r_{\boldsymbol{\theta}} \cdot \max_{\boldsymbol{\theta} \in \mathbb{B}_{\boldsymbol{\theta}}} \|\boldsymbol{d}_{i}'\left(\boldsymbol{\theta}\right)\|$$
(18)

where  $d'_i$  is the first derivative of  $d_i$ . More specifically,

$$\mathbf{d}'_{i}(\theta) = \cos(\theta)\mathbf{b}_{i} - \sin(\theta)\mathbf{c}_{i}$$
(19)

Then we define

$$\tau_{i} = r_{\theta} \cdot \overline{\|\boldsymbol{d}_{i}'(\theta)\|} \ge r_{\theta} \cdot \max_{\theta \in \mathbb{B}_{\theta}} \|\boldsymbol{d}_{i}'(\theta)\|$$
(20)

where  $\overline{\|d'_i(\theta)\|}$  is the upper bound of  $\|d'_i(\theta)\|$  and it can be calculated by *interval analysis* [43], [44]. Therefore,

$$\|\boldsymbol{d}_{i}\left(\boldsymbol{\theta}\right) - \boldsymbol{d}_{i}\left(\boldsymbol{\theta}_{c}\right)\| \leq \tau_{i} \tag{21}$$

Geometrically, given  $\theta \in \mathbb{B}_{\theta}$ ,  $d_i(\theta)$  is a curve that is contained by a relaxed ball, whose center is at  $d_i(\theta_c)$  and radius is  $\tau_i$ . (see Fig. 3)

$$\boldsymbol{d}_i(\boldsymbol{\theta}) = \boldsymbol{d}_i(\boldsymbol{\theta}_c) + \eta_i \boldsymbol{n} \tag{22}$$

where  $0 \le \eta_i \le \tau_i$ ; *n* is a unit direction. As a result,

$$\boldsymbol{t}^{T}\boldsymbol{d}_{i}(\theta_{c}) - \tau_{i} \leq \boldsymbol{t}^{T}\boldsymbol{d}_{i}(\theta) \leq \boldsymbol{t}^{T}\boldsymbol{d}_{i}(\theta_{c}) + \tau_{i}$$
(23)

We define  $\xi_i = \angle(t, d_i(\theta_c))$ . Since  $t \in \mathbb{S}^{2+}$ , then

$$\|\boldsymbol{d}_{i}(\theta_{c})\|\cos(\xi_{i}) - \tau_{i} \leq \boldsymbol{t}^{T}\boldsymbol{d}_{i}(\theta) \leq \|\boldsymbol{d}_{i}(\theta_{c})\|\cos(\xi_{i}) + \tau_{i}$$
(24)

According to the triangle inequality in spherical geometry [45], we have

$$\angle (\boldsymbol{t}_c, \boldsymbol{d}_i(\theta_c)) - \angle (\boldsymbol{t}, \boldsymbol{t}_c) \leq \xi_i \leq \angle (\boldsymbol{t}_c, \boldsymbol{d}_i(\theta_c)) + \angle (\boldsymbol{t}, \boldsymbol{t}_c)$$
(25)

In addition, according to Eq. (17) we have

$$\angle (t_c, d_i(\theta_c)) - \sqrt{2}\delta \le \xi_i \le \angle (t_c, d_i(\theta_c)) + \sqrt{2}\delta$$
 (26)

Consequently, we can sequentially derive the following bounds by *interval analysis* 

$$[\xi_i] \stackrel{(26)}{\Longrightarrow} [\cos(\xi_i)] \stackrel{(24)}{\Longrightarrow} [\boldsymbol{t}^T \boldsymbol{d}_i(\theta)] \Rightarrow [|\boldsymbol{t}^T \boldsymbol{d}_i(\theta)|]$$
 (27)

where  $\lfloor \cdot \rceil$  means calculating the upper and lower bound, and  $\lfloor \cdot \rceil \Rightarrow \lfloor \cdot \rceil$  indicates that the bounds of the left-hand side leads to the bounds of the right-hand side. For simplicity, we define the lower bound of  $|\mathbf{t}^T \mathbf{d}_i(\theta)|$  is  $\Delta_i(\mathbb{B}_t, \mathbb{B}_\theta)$ . Then given  $\mathbf{e} \in \mathbb{B}_t$  and  $\theta \in \mathbb{B}_{\theta}$ , the upper bound of the objective can be

$$U = \sum_{i=1}^{N} \mathbb{I} \left( \Delta_i \left( \mathbb{B}_t, \mathbb{B}_\theta \right) \le \epsilon \right)$$
(28)

*Proof:* Since  $\Delta_i(\mathbb{B}_t, \mathbb{B}_\theta) \leq |\mathbf{t}^T \mathbf{d}_i(\theta)|$ , then

$$\mathbb{I}\left(\Delta_{i}\left(\mathbb{B}_{t},\mathbb{B}_{\theta}\right)\leq\epsilon\right)\geq\mathbb{I}\left(\left|\boldsymbol{t}^{T}\boldsymbol{d}_{i}(\theta)\right|\leq\epsilon\right)$$
(29)

$$\Rightarrow \sum_{i=1}^{N} \mathbb{I}\left(\Delta_{i}\left(\mathbb{B}_{t}, \mathbb{B}_{\theta}\right) \leq \epsilon\right) \geq \max_{\mathbb{B}_{t}, \mathbb{B}_{\theta}} \sum_{i=1}^{N} \mathbb{I}\left(\left|\boldsymbol{t}^{T} \boldsymbol{d}_{i}(\theta)\right| \leq \epsilon\right)$$
(30)

Therefore, U is the upper bound.

For the lower bound, since the maximum value in the branch should not be less than the value at a specific point, it can be

a Translation Branch $\mathbb{B}_t$ .
<b>Input:</b> Point Matching $\{p_i, q_i\}_{i=1}^N$ , inlier threshold $\epsilon$ ,
gravity direction $g_1$ and $g_2$ and a transalation
branch $\mathbb{B}_t$ .
<b>Output:</b> optimal $\theta^*$
1 Initialize $\{a_i, b_i, c_i\}_{i=1}^N$ ;
2 Initialize a queue $\mathbb{Q}_{\theta}$ with a branch $[-\pi,\pi]$ and its
initial upper and lower bounds are $N$ and 0;
<b>3 while</b> $\mathbb{Q}_{\theta}$ <i>is non empty</i> <b>do</b>
4 Take out the best branch $\mathbb{B}_{\theta}$ which has the highest
upper bound $U_{in}^*$ ;
5 Branch $\mathbb{B}_{\theta}$ into sub-branches and estimate the
upper and lower bounds;
6 Update the optimal $\theta^*$ with the center of the
branch which has the highest lower bound $L_{in}^*$ ;
7 Remove the branches whose upper bound is less
than the highest lower bound;
8 <b>if</b> $L_{in}^* = U_{in}^*$ then
9   Terminate
10 end
11 end

set as

$$L = \sum_{i=1}^{N} \mathbb{I} \left( \Delta_i \left( \boldsymbol{t}_c, \boldsymbol{d}_i \left( \boldsymbol{\theta}_c \right) \right) \le \epsilon \right)$$
(31)

where  $\Delta_i(t_c, d_i(\theta_c))$  is the object value at a specific point, which means  $\tau_i = 0$  and  $\delta = 0$ .

$$\xi_i \stackrel{\delta=0}{\Longrightarrow} \cos(\xi_i) \stackrel{\tau_i=0}{\Longrightarrow} \boldsymbol{t}_c^T \boldsymbol{d}_i(\theta_c) \Rightarrow |\boldsymbol{t}_c^T \boldsymbol{d}_i(\theta_c)| = \Delta_i \left(\boldsymbol{t}_c, \boldsymbol{d}_i(\theta_c)\right)$$
(32)

In addition, when the branch collapses to a specific point  $\{t_s, \theta_s\}$ , then  $\delta = 0, \xi_i = \angle(t_s, d_i(\theta_s))$  and  $\tau_i = 0$ . As a result,  $\lfloor |t^T d_i(\theta)| \rceil = |t_s, d_i(\theta_s)|$ , then

$$L = U = \sum_{i=1}^{N} \mathbb{I}\left(\left|\boldsymbol{t}_{s}, \boldsymbol{d}_{i}\left(\boldsymbol{\theta}_{s}\right)\right| \leq \epsilon\right)$$
(33)

In other words, the gap between upper and lower bound tends to be zero when the branch tends to a specific point. Thus the proposed upper and lower bounds are sufficient to be applied in BnB algorithm.

# B. Nested BnB

To avoid heavily computational burden, we leverage on the nested BnB idea [46], which has better memory and computational efficiency [45]. Specifically, two BnB algorithms (one is for  $\theta$  and the other is for t) are executed in a nested manner to obtain the optimal solution.

Concretely, given  $t \in \mathbb{B}_t$  and  $\theta \in [-\pi, \pi]$ , we can use the BnB algorithm to find the optimal  $\theta$ , which is named inner BnB. Accordingly, in the inner BnB, given a branch  $\mathbb{B}_{\theta}$ , the upper bound is still

$$U_{in} = \sum_{i=1}^{N} \mathbb{I} \left( \Delta_i \left( \mathbb{B}_t, \mathbb{B}_\theta \right) \le \epsilon \right)$$
(34)

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# Algorithm 2: Nested BnB: Finding the Optimal R, t.

**Input:** Point Matching  $\{p_i, q_i\}_{i=1}^N$ , inlier threshold  $\epsilon$ , gravity direction  $g_1$  and  $g_2$ . **Output:** optimal relative pose  $R^*, t^*$ 

1 Initialize  $\{a_i, b_i, c_i\}_{i=1}^N$ ;

- 2 Initialize a queue  $\mathbb{Q}_t$  with the solid square and its upper and lower bound are N and 0;
- **3 while**  $\mathbb{Q}_t$  *is not empty* **do**
- 4 Take out the best branch  $\mathbb{B}_t$  which has the highest upper bound  $U_{out}^*$ ;
- 5 Branch  $\mathbb{B}_t$  into sub-branches;
- 6 Call Algorithm 1 to obtain the optimal  $\theta^*$  in each sub-branch; /\*  $\leftarrow$ Nested way \*/
- 7 Estimate the upper and lower bounds;
- 8 Update the optimal  $t^*$  with the center of the branch which has the highest lower bound  $L^*_{out}$ ;
- 9 Remove the branches whose upper bound is less than the highest lower bound;

10 if  $L_{out}^* = U_{out}^*$  then

- 11 Terminate
- 12 end

13 end

But the lower bound is slightly changed

$$L_{in} = \sum_{i=1}^{N} \mathbb{I} \left( \Delta_i \left( \mathbb{B}_t, \boldsymbol{d}_i \left( \boldsymbol{\theta}_c \right) \right) \le \epsilon \right)$$
(35)

where  $\Delta_i(\mathbb{B}_t, d_i(\theta_c))$  is the lower bound of  $|t^T d_i(\theta_c)|$  at a specific angle  $\theta_c$ , which means  $\tau_i = 0$ .

$$\lfloor \xi_i \rceil \Rightarrow \lfloor \cos(\xi_i) \rceil \stackrel{\tau_i=0}{\Longrightarrow} \lfloor t^T d_i(\theta_c) \rceil \Rightarrow \lfloor |t^T d_i(\theta_c)| \rceil$$
(36)

Furthermore, we can only consider branching the translation domain, which is called outer BnB. Accordingly, we denote the optimal  $\theta^*$  which is obtained by the inner BnB in each branch. The upper and lower bound in the outer BnB are modified as

$$U_{out} = \sum_{i=1}^{N} \mathbb{I} \left( \Delta_i \left( \mathbb{B}_t, \boldsymbol{d}_i \left( \boldsymbol{\theta}^* \right) \right) \le \epsilon \right)$$
(37)

$$L_{out} = \sum_{i=1}^{N} \mathbb{I}\left(\Delta_{i}\left(\boldsymbol{t}_{c}, \boldsymbol{d}_{i}\left(\boldsymbol{\theta}^{*}\right)\right) \leq \epsilon\right)$$
(38)

Note that  $L_{out}$  is the object value at a specific point  $(t_c, \theta^*)$ .

The outline of the inner BnB and the whole nested BnB algorithms are summarized in Algorithm 1 and Algorithm 2.

#### V. EXPERIMENTS

To verify the feasibility and the global optimality of our proposed method, we conduct experiments using both synthetic and real-world data. Besides, to demonstrate the performance, our proposed method and several state-of-the-art methods are compared.

# A. Setup

All experiments are conducted in a computer with an AMD Ryzen 7 2700X CPU and 32 G RAM. In all the experiments, if not specified, the inlier threshold  $\epsilon$  in the objective (Eq. (12)) is set to 0.001. All compared state-of-the-art algorithms are listed:

- RANSAC+3 pt: RANSAC framework with the sate-ofthe-art gravity-known three points algorithm [11]. The confidence  $\rho = 0.999$  for the stopping criterion in all the experiments.
- RANSAC+5 pt: RANSAC framework with the famous five points algorithm [1]. The confidence ρ = 0.999 for the stopping criterion in all the experiments.
- 5d-BnB<sup>1</sup>: the BnB method to solve the optimal essential matrix estimation problem without the prior knowledge of the gravity direction [22].
- A\*<sup>2</sup>: A\* tree search with Non-Adjacent Path Avoidance and Dimension-Insensitive Branch Pruning, which is named A\*-NAPA-DIBP in original paper [30]. In addition, we set the maximum runtime to 60 seconds to avoid long time running.
- gBnB<sup>3</sup>: our proposed nested BnB method with the known gravity direction.

Note that 5d-BnB is written in C++, and other algorithms are run in MATLAB2020 A.

# B. Controlled Experiments on Synthetic Data

In this section, to verify the global optimality of our proposed method, we conducted controlled experiments using synthetic data. First, we randomly generate 100 points in 3D world. Specifically, the 3D points are inside a cube whose side is 1 meter and center is about 2 meters away from the camera. A simulated camera with 1000 pixel focal length is randomly moved but still faces the 3D points. We then have 100 matching point pairs in 2D image plane. The virtual gravity is recorded in the two different coordinates. To simulate the mismatches (i.e., outliers), we replace the correct point matches by incorrect random point matches. The outlier rate is  $\eta = N_{outlier}/N$  where  $N_{outlier}$  is the number of outliers and N is the total number of inputs. Besides, to simulate the noise of point localization, we add the Gaussian noise to the data, and standard deviation  $\sigma$  is taken as the noise level. Moreover, to calculate the accuracy, we define the error as

$$e_r = \arccos\left(0.5\left(Tr(R'_{gt}R_{opt}) - 1\right)\right) \tag{39}$$

$$e_t = \angle \left( \boldsymbol{t}_{gt}, \boldsymbol{t}_{opt} \right) \tag{40}$$

where  $R_{gt}$  and  $t_{gt}$  are motion ground truth;  $R_{opt}$  and  $t_{opt}$  are estimated solution;  $Tr(\cdot)$  is the trace function of a square matrix.

First, we test our proposed method in different outlier rates,  $\eta = \{0, \dots, 0.5\}$ . The noise level  $\sigma$  is set to 1. To observe the global optimality, the experiments are repeated 200 times for each experimental setting. We then calculate the success

<sup>&</sup>lt;sup>1</sup>http://jlyang.org

<sup>&</sup>lt;sup>2</sup>https://github.com/ZhipengCai/MaxConTreeSearch

<sup>&</sup>lt;sup>3</sup>https://github.com/Liu-Yinlong/Globally-Optimal-Consensus-

Maximization-for-Relative-Pose-Estimation-with-Known-Gravity-Direction



Fig. 4. Controlled experiments on synthetic data with different outlier rates.



Fig. 5. Controlled experiments on synthetic data with different noise levels.

rate where a case that satisfies  $err_{rot} \leq 2^{\circ}$  and  $err_{tran} \leq 2^{\circ}$  is considered as a success case. In addition, the average error and median runtime are recorded. The results are showed in Fig. 4.

Furthermore, we test our proposed method in different noise levels,  $\sigma = \{0, ..., 2\}$ . The outlier rate  $\eta$  is set to 0.2. 200 times are repeated in each experimental setting to observe the global optimality. The success rate, average error and median runtime are shown in Fig. 5.

In addition, we compare the efficiency between our proposed method and the 5d-BnB method, which does not rely on prior gravity [22]. In this experimental setting, no outliers and noise are added. We only test the methods in different number of inputs,  $N = \{20, \ldots, 140\}$ . Since they are globally optimal methods, we only show the time duration in Table I, which is median runtime on 50 trials.

TABLE I Comparison of Median Runtime (seconds) on Synthetic Data With Different Input Numbers

point number	20	50	80	110	140
gBnB	0.287	0.351	0.392	0.462	0.534
5d-BnB	21.666	29.963	32.884	37.405	58.828

From all the results, we can draw the following points:

- Due to the random nature, RANSAC-based methods may return incorrect solutions while they run very fast. In contrast, our proposed BnB method can obtain the globally-optimal solution from outlier-contaminated inputs in this experimental setting, while it need more time than RANSAC-based methods.
- When outlier rate increases, tree search method consumes more runtime significantly. In addition, the tree search method cannot obtain all satisfactory solutions in our experiments. There are two reasons: (1) the optimal solution for linearized objective is not necessarily the same as that of original objective. (2) in large outlier rate, the tree search method reaches the time limitation (60 seconds) and terminates early, which mean it is not able to fully search all the solution candidates and just return the best-so-far solution. In contrast, our proposed method can obtain extremely robust solution and has the highest success rate using much less runtime.
- With the increase of noise level, the accuracy of all methods will decrease. However, when the noise level is large, our proposed method can obtain better accuracy than other methods, which reveals the robustness of our proposed method.
- With the help of gravity direction, our proposed BnB method is much faster than 5d-BnB method, which is one of the state-of-the-art globally-optimal methods. The reason is that with the help of gravity direction, the dimensionality of solution domain reduces from five to three, which leads to high efficiency of the BnB framework.

# C. Robustness to IMU Noise

In this part, given a biased gravity direction, which simulates the measurement bias of IMUs, we conduct experiments to verify the robustness of our proposed method. First, we set N = 100,  $\eta = 0.2$ ,  $\sigma = 1$  and the biased angle is set from  $0.1^{\circ}$  to  $0.5^{\circ}$ . It is repeated 500 times under each experimental setting. In this case, we only compare our proposed method with RANSAC+3 pt, since other methods are not sensitive to gravity direction. The median runtime, average error and success rate are recorded. Besides, we also record the inlier number, which is a common metric in consensus maximization solutions [16], [30]. In general, the maximum objective for consensus maximization always occurs at the optimal solution, therefore, we record the consensus set number. The results are showed in Fig. 6.

From the results, we can find that when the gravity bias level increases, the accuracy of our proposed method decreases. It is reasonable because the given gravity direction is biased



Fig. 6. Controlled experiments under different bias gravity levels (°).



Fig. 7. Input correspondence (Frame 104-108) from KITTI dataset. Red lines denote the incorrect correspondences and green lines denote the correct inliers. The result is obtained by our proposed gBnB algorithm.

gradually. Nonetheless, our proposed method can still obtain satisfactory solution even with a biased gravity direction ( $\leq 0.5^{\circ}$ ). It is worth noting that due to the biased gravity direction, RANSAC+3 pt method cannot obtain the maximum inlier number, and our proposed method is significantly more robust than the RANSAC+3 pt method.

#### D. Real-World Data Experiments

In this part, we verify the feasibility and practicality using real-world data. We select 5 image pairs (the first 5 crossroads) from the sequence 00 of the KITTI Odometry dataset [47], see Fig. 7. The image pairs are obtained under ground motion. This experimental setting is similar to the setting in [30]. We utilize MATLAB built-in functions *detectMSERFeatures*<sup>4</sup> and *matchFeatures*<sup>5</sup> to obtain the input correspondences. Note that to emphasize the outlier-robustness, we adjust the parameters

TABLE II MAXIMUM ERROR AND AVERAGE RUNTIME(SECONDS) USING SELECTED IMAGES FROM KITTI DATASET IN 50 TIMES

Methods		RANSAC+3pt	RANSC+5pt	A*	gBnB
Frame 104-108	$e_r$	1.438	1.248	3.592	0.685
	$e_t$	3.397	7.701	24.982	3.054
	T	1.749	21.162	60.028	23.706
Frame 198-201	$e_r$	0.389	0.672	2.295	0.346
	$e_t$	1.785	3.792	17.022	1.599
	T	1.703	20.448	60.076	20.078
Frame 417-420	$e_r$	0.218	0.268	0.806	0.176
	$e_t$	3.360	2.491	7.635	2.310
	T	1.761	20.333	18.106	5.566
Frame 579-582	$e_r$	0.276	0.375	1.452	0.242
	$e_t$	1.751	3.357	19.416	1.668
	T	1.757	20.392	60.166	50.020
Frame 738-742	$e_r$	0.653	0.817	0.997	0.597
	$e_t$	7.642	10.502	10.505	7.034
	T	1.745	20.215	54.302	12.632

to obtain more-than-usual mismatches. Besides, the iteration number of RANSAC-based methods is set to 10 000 since we have no prior knowledge of outlier rate in each scene.

To show the robustness, we repeat 50 times in each image pair and we record the maximum error. The results are listed in Table II. Note that 5d-BnB algorithm cannot terminate in 60 seconds in most of cases, we then do not list the results. From the results, we can find that RANSAC-based algorithms may return an unsatisfactory solution (error>  $10^{\circ}$ ). In addition, A\* method may not return a correct solution due to time limitation and dropping non-linear constraints. In contrast, our proposed method usually obtain a satisfactory solution. It is worth mentioning that the proposed method needs more time than RANSAC-based method. However, the proposed method is more efficient than 5d-BnB and A\* algorithm.

# VI. CONCLUSION

In this letter, we focus on a special case that given the gravity direction, solving the relative pose from outlier-contaminated inputs. Since traditional RANSAC-based methods fail to guarantee the global optimality of the solution, we propose a novel nested BnB algorithm, which is able to obtain the globallyoptimal solution. Even with a biased gravity direction, the proposed method can still obtain satisfactory solutions. We also verify the feasibility and practicality of our proposed method using both synthetic and real-world data. The experimental results reveal extreme robustness of our proposed method.

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<sup>&</sup>lt;sup>4</sup>https://www.mathworks.com/help/vision/ref/detectmserfeatures.html

<sup>&</sup>lt;sup>5</sup>https://www.mathworks.com/help/vision/ref/matchfeatures.html

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