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Isospin-breaking in ε'/ε : impact of η_0 at the dawn of the 2020s

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Abstract For direct CP-violation in $K \rightarrow \pi \pi$ decays, the usual isospin-breaking effects at the percent level are amplified by the dynamics behind the $\Delta I = 1/2$ rule and conventionally encoded in Ω_{IB} parameters. The updated prediction $\Omega_{IB}^{(8)} = (15.9 \pm 8.2) \times 10^{-2}$ of the Chiral Perturbation Theory for the strong isospin-breaking due to $\pi_3 - \eta_8$ mixing confirms such a tendency but is quite sensitive to the theoretical input value of the low-energy constant corresponding to the flavour-singlet η_0 exchange contribution in this truncated octet scheme. We rather exploit the phenomenological $\eta_8 - \eta_0$ mixing as a probe for the non-negligible flavour-singlet component of the physical η pole to find $\Omega_{IB}^{(9)} = (35 \pm 7) \times 10^{-2}$ in a complete nonet scheme. A large central value in the nonet scheme is thus substituted for a large uncertainty in the octet one. Including the experimental $\pi^+ - \pi^0$ mass difference as the dominant electromagnetic isospin-breaking, we obtain for the effective parameter entering the ratio ε'/ε an improved result $\hat{\Omega}_{eff}^{(9)} = (29 \pm 7) \times 10^{-2}$ to be compared with $\hat{\Omega}_{eff}^{(8)} =$ $(17\pm9) \times 10^{-2}$ used in recent analyses of ε'/ε . Accordingly, we get a reduction from $(\varepsilon'/\varepsilon)_{\text{SM}}^{(8)} = (17.4 \pm 6.1) \times 10^{-4}$ to $(\varepsilon'/\varepsilon)_{\text{SM}}^{(9)} = (13.9 \pm 5.2) \times 10^{-4}$ and thereby an effective suppression of $(\varepsilon'/\varepsilon)_{SM}$ by isospin-breaking corrections as large as 40% relative to the recent RBC-UKQCD value.

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1 Introduction

During the last five years there has been a renewed interest in the calculation of the ratio ε'/ε [1–4] in the Standard Model (SM), after first results on the hadronic matrix elements from RBC-UKQCD lattice collaboration (LQCD) [5,6] that hinted a possible anomaly. While this possibility was soon supported by the Dual QCD (DQCD) approach [7,8]¹, a different view has been presented by the authors of [10–12] who using Chiral Perturbation Theory (ChPT) methods concluded that the Standard Model (SM) value of ε'/ε agrees well with the world average from NA48 [13] and KTeV [14,15] collaborations

$$(\varepsilon'/\varepsilon)_{\exp} = (16.6 \pm 2.3) \times 10^{-4}$$
. (1)

But the 2015-2016 results of the RBC-UKQCD collaboration and of the DQCD team suffered from large uncertainties in hadronic matrix elements of the dominant QCD penguin (QCDP) operator Q_6 and still unsatisfactory treatment of final state interactions. On the other hand, ChPT approach encounters difficulties in matching long distance and short distance contributions. Consequently, it was not possible to decide whether the hinted anomaly is really present or not.

The most recent and very much improved RBC-UKQCD calculation resulted in [16]

$$(\varepsilon'/\varepsilon)_{\rm SM} = (21.7 \pm 8.4) \times 10^{-4}$$
, (LQCD - 2020) (2)

in agreement now with the experimental value in (1). Unfortunately, an error of 39% does not allow yet for clear cut



¹ For a most recent detailed description of this approach, see [9].

conclusions whether some amount of new physics contributions is present in ε'/ε or not. The same applies to the earlier updated ChPT analysis [11] which resulted in

$$(\varepsilon'/\varepsilon)_{\rm SM} = (14 \pm 5) \times 10^{-4}$$
, (ChPT – 2019), (3)

with an error of 36% that is very close to the LQCD one. But it should be remarked that with the present best values of the CKM parameters the central value in (3) would be raised to 15.0×10^{-4} .

If the experimental value (1) is eventually confirmed by further numerical LQCD results, the analytical bound

$$(\varepsilon'/\varepsilon)_{\rm SM} < (6.0 \pm 2.4) \times 10^{-4}, \quad (\rm DQCD - 2016) \quad (4)$$

derived in [7,8] would then imply sizeable subleading contributions to the Q_6 hadronic matrix elements below 1 GeV in the DQCD approach. However, the new RBC-UKCD result has been obtained by raising the matching scale from 1.5 GeV to 4 GeV in order to reduce the systematic error. As a consequence, this makes it difficult if not impossible for us to confront the numerical result (2) with the analytical ones displayed in (3) and (4). Indeed, the LQCD approach is purely based on the quark and gluon dynamics with no reference to the meson degrees of freedom at work in both the ChPT and DQCD ones. Comments on both hadronic matrix elements and final state interactions will be given later on. Meanwhile we note that the excited-state contamination seen at 1.5 GeV in LOCD data might be residual effects of the scalar resonance at $\mathcal{O}(p^2, 0)$ and meson evolution at $\mathcal{O}(p^0, 1/N)$, those being essential for the estimate of the Q_6 hadronic matrix elements around 1 GeV in DQCD.

Now isospin-breaking effects as well as NNLO QCD corrections to Wilson coefficients of penguin operators, all absent in the RBC-UKQCD result quoted above, lower the SM predition for ε'/ε [17]. Extracting the hadronic matrix elements from [16], using the estimate of the isospin-breaking effects from [11] and including the missing NNLO QCD corrections to electroweak penguin contributions, the authors in [18] find

$$(\varepsilon'/\varepsilon)_{\rm SM} = (17.4 \pm 6.1) \times 10^{-4},$$
 (5)

in a very good agreement with experiment and the expectations in (3). Still uncertainties are not in full theoretical control: discrepancies of $\mathcal{O}(10^{-3})$ between the experimental value and the SM prediction could be either positive, as expected from DQCD, or negative as now allowed by the new RBC-UKQCD result.

As analyzed in detail in [17, 18], the main left-over uncertainties in the evaluation of ε'/ε reside in the hadronic matrix element of the QCDP operator Q_6 and the isospin-breaking (IB) effects. It is expected that future lattice calculations will reduce the errors on the corresponding $B_6^{(1/2)}$ and $\hat{\Omega}_{\rm eff}$ parameters, but this could still take several years. The goal of our paper is to demonstrate that a better estimate for the strong and electromagnetic components of $\hat{\Omega}_{\rm eff}$ can already be done at the dawn of the 2020s.

Our paper is organized as follows. In Sect. 2, after recalling how the parameter $\hat{\Omega}_{eff}$ enters the basic formula for ε'/ε , we summarize the results for its strong IB component $\Omega_{IB}^{(8)}$ recently obtained using ChPT in the octet scheme for light pseudoscalars and $\Omega_{IB}^{(9)}$ obtained by us in 1987 rather employing a simple $\eta - \eta'$ phenomenological model (PhM) [19] in a nonet scheme. In the latter scheme the impact of the flavoursinglet meson η_0 on ε'/ε is explicitly included while in the octet scheme, necessarily used in ChPT, it is buried in a poorly known low-energy constant.

In Sect. 3 we update the calculation of $\Omega_{IB}^{(9)}$ in PhM finding that, with better known input parameters, its value increases by 25% relative to our 1987 result and is thereby significantly larger than the central value of $\Omega_{IB}^{(8)}$ from ChPT.

In Sect. 4 we make use of the minimal chiral theory at $\mathcal{O}(p^0, 1/N) + \mathcal{O}(p^2, 0)$, N being the number of colours, to validate the update of $\Omega_{IB}^{(9)}$ and highlight the impact of the flavour-singlet η_0 on the evaluation of ε'/ε thereby questioning the octet scheme in this context.

In Sect. 5 we derive the formula for $\Omega_{IB}^{(9)}$ as a function of the $\eta_8 - \eta_0$ mixing angle which allows us to compare transparently strong isospin-breaking in the nonet scheme with the one in the octet scheme. This comparison is complemented in Sect. 6 with the help of a Single Resonance Approximation to ChPT [20].

In Sect. 7 we summarize our results for $\Omega_{IB}^{(9)}$, add to it the dominant electromagnetic IB component to complete $\hat{\Omega}_{eff}^{(9)}$, comment on the controversial scale dependence of $B_6^{(1/2)}$ and eventually present our estimate for ε'/ε that updates the one based on $\hat{\Omega}_{eff}^{(8)}$ in [18]. Brief conclusions about our main findings are given in Sect. 8.

2 Isospin-breaking impact on ε'/ε

In order to understand the way $\hat{\Omega}_{eff}$ enters the evaluation of ε'/ε , we simply recall that the basic formula

$$\varepsilon'/\varepsilon = \frac{1}{\sqrt{2}|\varepsilon_K|} \operatorname{Im}\left(\frac{A_2}{A_0}\right) = -\frac{\omega}{\sqrt{2}|\varepsilon_K|} \frac{\operatorname{Im}A_0}{\operatorname{Re}A_0} \left(1 - \frac{1}{\omega} \frac{\operatorname{Im}A_2}{\operatorname{Im}A_0}\right),\tag{6}$$

implies an $\omega^{-1} \approx 22$ amplification by the $\Delta I = 1/2$ rule of any CP-violating contribution to the isospin I = 2 amplitude A_2 in $K^0 \rightarrow \pi\pi$ decays [21]. This $\Delta I = 1/2$ rule is dominated by the QCD dynamics acting on current-current operators, as already found many years ago within DQCD [9,22] and also seen recently in LQCD data [6,16,23]. Now within the CKM paradigm the dominant contributions to ImA_2 originate from the electroweak penguin (EWP) contributions and from isospin-breaking (IB) effects. Neglecting subleading effects which will be discussed in Section 7, we thus decompose ImA_2 without any ambiguity

$$\mathrm{Im}A_2 \approx (\mathrm{Im}A_2)^{\mathrm{EWP}} + (\mathrm{Im}A_2)^{\mathrm{IB}}$$
(7)

to rewrite (6) as follows

$$\varepsilon'/\varepsilon = -\frac{\omega}{\sqrt{2}|\varepsilon_{\mathcal{K}}|} \left[\frac{\mathrm{Im}A_0}{\mathrm{Re}A_0} \left(1 - \hat{\Omega}_{\mathrm{eff}}\right) - \frac{(\mathrm{Im}A_2)^{\mathrm{EWP}}}{\mathrm{Re}A_2} \right],$$
$$\hat{\Omega}_{\mathrm{eff}} \approx \Omega_{\mathrm{IB}} = \frac{1}{\omega} \frac{(\mathrm{Im}A_2)^{\mathrm{IB}}}{\mathrm{Im}A_0}.$$
(8)

The amplitudes $\operatorname{Re}A_0$ and $\operatorname{Re}A_2$ in this formula are extracted from the data in the isospin limit. Our $\hat{\Omega}_{eff}$ differs from Ω_{eff} in [11,24,25] as it does not include EWP contributions to $\operatorname{Im}A_0$ summarized in these papers by Δ_0 . We find it more natural indeed to calculate $\operatorname{Im}A_0$ including both QCDP and EWP contributions as this allows to keep track of any NP contributions to $\operatorname{Im}A_0$. In fact the RBC-UKQCD collaboration includes EWP contributions to $\operatorname{Im}A_0$ as well.

The impact of strong isospin-breaking induced by the $(m_u - m_d)$ quark mass difference on the direct CP-violating parameter ε'/ε has been recently revisited in [11] in the context of ChPT. Within the *octet scheme* of this approach, the estimate at the next-to-leading $\mathcal{O}(p^4)$ level

$$\Omega_{\rm IB}^{(8)} = (15.9 \pm 8.2) \times 10^{-2} \text{ at } \mathcal{O}(p^4) \quad ({\rm ChPT} - 2019) \,, \tag{9}$$

amounts to a small increase compared to the leading $O(p^2)$ one:

$$\Omega_{\rm IB}^{(8)} = 13.8 \times 10^{-2} \text{ at } \mathcal{O}(p^2).$$
 (10)

In the absence of electromagnetic isospin-breaking induced for its part by the $(q_u - q_d)$ quark charge difference, this trend confirms an earlier estimate made within the same framework [25], i.e., $(15.9\pm4.5)\times10^{-2}$. Yet, with its larger uncertainties to be explained later, the result (9) is also not inconsistent (at the 1.4 σ level) with the value obtained already in 1987 in a phenomenological model (PhM) including the η' in a *nonet scheme* [19]

$$\Omega_{\rm IB}^{(9)} \approx 27 \times 10^{-2}, \qquad ({\rm PhM} - 1987).$$
 (11)

We will next provide an update of the latter approach. Leading to a 25% increase for the numerical value quoted in (11) above, it implies that the difference between the octet and nonet estimates is significantly larger than the comparison of the 2019 result in (9) and 1987 result in (11) would indicate.

3 Update of a simple $\eta - \eta'$ pole model

Let us assume a single-pole dominance of the two lowestlying pseudoscalar isosinglets

$$\eta \equiv \eta_8 \cos \theta - \eta_0 \sin \theta, \quad \eta' \equiv \eta_8 \sin \theta + \eta_0 \cos \theta, \quad (12)$$

that mix with the π_3 component of the pion isotriplet in $K^0 \rightarrow \pi^0 \pi^0$ decay. Under this assumption, we easily recover the first order strong IB correction to ε'/ε [19]:

$$\Omega_{\rm IB}^{(9)} = \left(\frac{4}{9\sqrt{2}\omega R}\right) (m_K^2 - m_\pi^2) \\ \left[\frac{(\cos\theta - \sqrt{2}\sin\theta)^2}{(m_\eta^2 - m_\pi^2)} + \frac{(\sin\theta + \sqrt{2}\cos\theta)^2}{(m_{\eta'}^2 - m_\pi^2)}\right].$$
(13)

In particular, the $\sqrt{2}$ factors in the numerators arise from the flavour-singlet η_0 component of η and η' , as seen in (12). They result from the relative off-shell matrix elements at $\mathcal{O}(p^2)$

$$\frac{\langle \pi \eta_0 | Q_6 | K \rangle}{\langle \pi \eta_8 | Q_6 | K \rangle} = \sqrt{2},\tag{14}$$

and subsequent IB mixings

$$\frac{\langle \pi^0 | \eta_0 \rangle}{\langle \pi^0 | \eta_8 \rangle} = \sqrt{2}.$$
(15)

In (13), the famous $\Delta I = 1/2$ rule enhancement factor in the $K \to \pi \pi$ decay amplitudes [26,27]

$$\frac{1}{\omega} = \frac{\text{Re}A_0}{\text{Re}A_2} = 22.36 \pm 0.05\,,\tag{16}$$

as determined in [11], (almost) balances the smallness of the SU(2) breaking compared to the SU(3) one [28]:

$$R \equiv \frac{[m_s - (m_u + m_d)/2]}{(m_d - m_u)} = 38.1 \pm 1.5.$$
(17)

For the physical pseudoscalar masses in (13), we take the central values [29]

$$m_{\pi} = 0.135 \,\text{GeV}, \qquad m_{\eta} = 0.5479 \,\text{GeV}, \qquad m_{\eta'} = 0.9578 \,\text{GeV},$$
(18)

as well as the convention

$$m_K^2 \equiv \frac{(m_{K^0}^2 + m_{K^+}^2)}{2} = (0.4957 \,\text{GeV})^2 \,.$$
 (19)

In this phenomenological framework, the $\eta_8 - \eta_0$ mixing angle θ is a free parameter independent of the $\eta - \eta'$ mass

spectrum. So, we assume (for the time being) the following phenomenological value for this angle

$$\theta_{\rm ph} = -19.47^\circ, \quad \tan \theta_{\rm ph} \equiv -\frac{1}{2\sqrt{2}}$$
(20)

which turns out to be quite compatible with the complete set of $J/\psi \rightarrow \gamma(\pi, \eta, \eta')$ branching ratios [30]. Corresponding to $\cos \theta_{\rm ph} = 2\sqrt{2}/3$ and $\sin \theta_{\rm ph} = -1/3$, this mixing angle implies the mnemonic wave-functions

$$\eta \equiv \frac{1}{\sqrt{3}} (\bar{u}\gamma_5 u + \bar{d}\gamma_5 d - \bar{s}\gamma_5 s), \qquad \eta' \equiv \frac{1}{\sqrt{6}} (\bar{u}\gamma_5 u + \bar{d}\gamma_5 d + 2\bar{s}\gamma_5 s)$$
(21)

in the framework of a naive quark model.

As seen from (21), the peculiar rotation angle (20) simply amounts to identify η to η_0 and η' to η_8 up to an $s\bar{s}$ flip of sign in their hadronic matrix elements and so easily explains the huge suppression of $B^0 \to K^0 \eta$ relative to $B^0 \to K^0 \eta'$ on-shell transitions through the dominant penguin operator $(\bar{b}_L d_R)(\bar{d}_R s_L) + (\bar{b}_L s_R)(\bar{s}_R s_L)$ [31]. Similarly, the resulting interchange of renormalization factors when going from the octet-singlet flavour basis (η_8, η_0) to the nonet one in (21) implies a switch in (13) of the $(\sqrt{2})^2$ enhancement factor from η_0 (for $\theta = 0$) to η (for $\theta = \theta_{\rm ph}$) for $K^0 \to \pi^0 \pi^0$ transitions via *off-shell Q*₆ matrix elements. From (13)-(20), we indeed get

$$\Omega_{\rm IB}^{(9)} = 0.138 \left(\frac{4(m_K^2 - m_\pi^2)}{3(m_\eta^2 - m_\pi^2)} \right) \left(2 + \frac{(m_\eta^2 - m_\pi^2)}{(m_{\eta'}^2 - m_\pi^2)} \right)$$
$$= 34 \times 10^{-2} \quad (\rm PhM - 2020) \tag{22}$$

which is now more than 2σ above the central value (9) of ChPT. We emphasize that the lower numerical result obtained in [19] and recalled in (11) mostly originates from the input values available at that time for the parameters in (17) and (20), namely R = 45.5 and $\theta = -22^{\circ}$, respectively.

In this phenomenological approach, uncertainties on (22) are basically due to our assumption expressed at the begining of this section and seem difficult to assess. The pseudoscalar pole dominance below one GeV will be discussed in more details in Sect. 6.

So, let us now turn to a framework where the $\eta_8 - \eta_0$ mixing angle θ is not free anymore but fixed by the $\eta - \eta'$ mass spectrum to assign theoretical uncertainties on (22).

4 Correlation between $\eta^{(\prime)}$ masses and mixing

Any theoretical framework allowing us to connect the octet scheme to the nonet one in a simple way is more than welcome in order to directly confront the ChPT result (9) with the updated PhM value (22). For that purpose, we consider the complete effective Lagrangian at $\mathcal{O}(p^2, 0) + \mathcal{O}(p^0, 1/N)$:

$$L = \frac{F^2}{8} \left[\langle \partial_{\mu} U \partial^{\mu} U^+ \rangle + r \langle mU + Um^+ \rangle + \frac{m_0^2}{12} \langle \ln U - \ln U^+ \rangle^2 \right]$$
(23)

with

$$U = \exp(i\sqrt{2\pi}/F), \quad \pi = \lambda^a \pi_a \ (a = 0, \dots, 8)$$
 (24)

the unitary chiral matrix for the nonet of light pseudoscalars. In our notations, the F and r scale parameters in (23) are related to the pion weak decay constant and mass via the relations

$$F \approx F_{\pi} = 130 \,\mathrm{MeV}, \qquad r = \frac{2m_{\pi}^2}{m_u + m_d}, \tag{25}$$

while the m_0 one provides the η_0 with a large anomalous mass to solve the so-called U(1)_A problem, namely to insure $m_{n'}^2 \gg m_n^2$.

On the basis of (23), the $\eta_8 - \eta_0$ mixing angle is not free anymore. The theoretical isosinglet square masses, consistently considered in the isospin limit for the first order correction (13), are indeed given by the relations

$$m_{\eta}^{2} = \frac{1}{3} [(4m_{K}^{2} - m_{\pi}^{2}) + 2\sqrt{2}(m_{K}^{2} - m_{\pi}^{2})\tan\theta], \qquad (26)$$

$$m_{\eta'}^2 = \frac{1}{3} [(4m_K^2 - m_\pi^2) - 2\sqrt{2}(m_K^2 - m_\pi^2)\cot\theta], \qquad (27)$$

with $\theta \in [-\pi/4, +\pi/4]$. The "ideal" $\theta = \tan^{-1}(1/\sqrt{2}) \approx +35.26^{\circ}$ angle corresponds to $m_0 = 0$ with $m_{\eta'}^2 = m_{\pi}^2$, as it should. Yet, two distinct values of the mixing angle

$$\theta_{\eta} = -5.68^{\circ}, \text{ and } \theta_{\eta'} = -19.80^{\circ}$$
 (28)

are extracted from (26) and (27) respectively, if the physical masses given in (18) and (19) are again used as inputs. At the source of this seeming clash, one finds the ratio

$$\Delta \equiv \frac{(m_{\eta}^2 - m_{\pi}^2)}{(m_{\eta'}^2 - m_{\pi}^2)}$$
(29)

that cannot be reproduced whatever the value of a single θ angle [32,33]. From (26) and (27) we indeed get:

$$\Delta = \Delta(\theta) = \tan \theta \times \left(\frac{\tan \theta + \sqrt{2}}{\sqrt{2}\tan \theta - 1}\right)$$
$$\equiv \tan \theta \times \tan(2\delta - \theta), \quad \tan 2\delta = -\sqrt{2} \quad (30)$$

with a theoretical upper bound for $\theta = \delta \approx -27.4^{\circ}$, namely $\Delta(\theta) < \tan^2(\delta) = 2 - \sqrt{3} = 0.268$, at variance by about

20% with the experimental value derived from the physical masses in (18), i.e., $\Delta = 0.314$. So, the minimal effective Lagrangian (23) is over-constraining compared to the phenomenological approach used in Sect. 3. Higher-order terms are in principle required to reconcile the two mixing angles displayed in (28) and, simultaneously, to reproduce the observed mass ratio Δ defined in (29). But such a tedious approach involving further hadronic mass scale parameters at subleading $O(p^4, 0) + O(p^2, 1/N) + O(p^0, 1/N^2)$ in our scheme can be avoided if, inspired by (28), one first scrutinizes two limits for the over-constrained mass relations (26) and (27). They will eventually help us connecting the octet scheme of ChPT with the nonet one of PhM in a simple way, our main purpose after all.

On the one hand, in the limit of vanishing $\eta_8 - \eta_0$ mixing (as suggested by θ_η), we consistently recover the pure octet scheme with its surprisingly successful Gell–Mann–Okubo (GMO) mass relation for the η (548) and a full decoupling of the η' :

$$m_{\eta}^{2} = m_{8}^{2} \equiv \frac{1}{3} (4m_{K}^{2} - m_{\pi}^{2}) = (0.567 \,\text{GeV})^{2}, \qquad (\theta = 0),$$
$$m_{\eta'}^{2} = m_{0}^{2} = \infty.$$
(31)

On the other hand, in the limit of phenomenological $\eta_8 - \eta_0$ mixing (20) (as suggested by $\theta_{\eta'}$), we obtain a (by far) more realistic spectrum with a quite successful mass relation for the η' (958) this time and a still reasonable value for the η mass:

$$m_{\eta}^2 = m_K^2 = (0.496 \,\text{GeV})^2, \quad (\theta_{\text{ph}} = -19.5^\circ),$$

 $m_{\eta'}^2 = 4m_K^2 - 3m_{\pi}^2 = (0.963 \,\text{GeV})^2.$ (32)

Contrary to the theoretical η' mass in (27), the η one in (26) displays a very weak dependence on the mixing angle (see Fig. 1 in [34]). As a matter of fact, m_{η} fluctuates around its physical value from 4% up in (31) to -10% down in (32) for θ in the range $[0, \theta_{\rm ph}]$. From this perspective, we conclude that the success of the GMO mass relation at the $\mathcal{O}(p^2, 0)$ level looks somewhat accidental. Just for comparison, the observed splitting of the K and π weak decay constants is, as for the ratio Δ in (29), of the order of 20% above the theoretical degeneracy predicted by the effective Lagrangian (23):

$$\frac{F_K}{F_{\pi}} = 1.19.$$
 (33)

Deviations at the level of 20% are in fact expected from generic SU(3)-breaking corrections of order $(m_K^2 - m_\pi^2)/\Lambda^2$, Λ being a typical hadronic mass scale around one GeV. This is indeed the case through the next-to-leading $\mathcal{O}(p^4, 0)$ term

beyond our effective Lagrangian (23):

$$\delta L = -\left(\frac{F^2}{8\Lambda^2}\right) \langle rm\partial^2 U^+ + h.c.\rangle \tag{34}$$

or, equivalently (in the octet limit),

$$\delta L = \left(\frac{F^2}{8\Lambda^2}\right) \langle rmU^+ \partial_\mu U \partial^\mu U^+ + h.c. \rangle.$$
(35)

The usual $\partial_{\mu} \rightarrow D_{\mu} = \partial_{\mu} - igW_{\mu}$ substitution in the presence of weak gauge interactions leads to

$$\frac{F_K}{F_\pi} = 1 + \frac{(m_K^2 - m_\pi^2)}{\Lambda^2}$$
(36)

in the large N limit (i.e., in the absence of the 1/N-suppressed chiral loop contributions). From (33) and (36), we easily infer a hadronic mass scale in the ballpark of observed scalar resonances:

$$1 \,\text{GeV} < \Lambda \approx 1.1 \,\text{GeV} < 1.5 \,\text{GeV}$$
 (37)

as suggested by a linear σ -model in the large N limit [35]. In fact, the same scalar resonance effect governs our estimate of the Q_6 hadronic matrix elements at $\mathcal{O}(p^2, 0)$ [36].

Let us now turn the argument the other way around. The mixing angle extracted from the mass relation (26) for η , namely

$$\tan \theta_{\eta} = \left(\frac{3}{2\sqrt{2}}\right) \frac{(m_{\eta}^2 - m_8^2)}{(m_K^2 - m_{\pi}^2)},$$
(38)

is clearly quite sensitive to any deviation from the GMO mass relation. As a crucial consequence, it strongly depends on the higher-order terms beyond the effective Lagrangian (23). For a simple illustration we consider again the next-to-leading $\mathcal{O}(p^4, 0)$ term (35) that would, alone, lead to the following shift for the GMO mass relation:

$$m_8^2 \to \frac{1}{3}(4m_K^2 - m_\pi^2) - \left(\frac{8}{9}\right)\frac{(m_K^2 - m_\pi^2)^2}{\Lambda^2}.$$
 (39)

Yet, inserting this 6% decrease of m_8 in (38), we would then obtain a totally unrealistic $\eta_8 - \eta_0$ mixing angle shifted from -6° to $+4^\circ$ for $m_\eta \approx m_\eta^{\text{phys}}$.

5 Strong isospin-breaking: nonet vs. octet

As already stated, the over-constraint on the $\eta - \eta'$ mass spectrum inferred from (23) is in fact welcome since the pole correction factor given in (22) can now be theoretically

expressed and dissected in terms of the mixing angle only, thanks to the relations (26), (27) and (30):

expect from (35) all three LECs to be around 10^{-3} . However, either improved data fits or further theoretical hypothe-

$$\Omega_{\rm IB}^{(9)}(\theta) = 0.138 \left(1 + \frac{\tan\theta}{\sqrt{2}}\right)^{-1} \left[(\cos\theta - \sqrt{2}\sin\theta)^2 + (\sin\theta + \sqrt{2}\cos\theta)^2 \Delta(\theta) \right].$$
(40)

• If the *θ* angle is equal to 0°, we consistently recover the leading result (10), namely

$$\Omega_{\rm IB}^{(9)}(0) = 13.8 \times 10^{-2} \tag{41}$$

with a full decoupling of η' (i.e., $\Delta = 0$).

If the θ angle is equal to -5.68°, as extracted from a very strong dependence on the η mass in (26) (see Fig. 1 of [34]), we obtain

$$\Omega_{\rm IB}^{(9)}(\theta_{\eta}) = 22.0 \times 10^{-2} \tag{42}$$

with a totally unrealistic η' mass (i.e., 1.574 GeV).

• If the θ angle is equal to -19.8° , as extracted from a rather weak dependence on the η' mass in (27) (see Fig. 1 of [34]), we can safely consider its phenomenological value (20) to display a quite simple anatomy of the strong IB parameter

$$\Omega_{\rm IB}^{(9)}(\theta_{\eta'}) \approx 0.138 \left(\frac{4}{3}\right) [2 + \Delta] = 41.4 \times 10^{-2} \quad (43)$$

namely a sizeable increase of (41) due to a correction to the GMO mass relation (factor 4/3), a huge enhancement of the η pole contribution by its flavour-singlet η_0 component (factor 2) and a very modest contribution from the heavier η' pole ($\Delta \approx 1/4$).

6 Strong isospin-breaking: octet vs. nonet

On the basis of a Single Resonance Approximation (SRA) to ChPT [20], the authors of [37] put forward a strong destructive interference from the $O(p^4)$ Lagrangian:

$$\delta L = L_7 \langle rmU^+ - h.c \rangle^2 + L_8 \langle rmU^+ rmU^+ + h.c \rangle + L_5 \langle rmU^+ \partial_\mu U \partial^\mu U^+ + h.c \rangle$$
(44)

to explain the rather modest increase observed when going from (10) to (9) in the octet scheme. In ChPT, the L_i coefficients of (44) are low-energy constants (LECs) that absorb the one-loop divergences at, say, the ρ meson mass scale. As such, they encode the non-perturbative QCD effects. Taking care of the usual ChPT notations $B_0 = r/2$ and $\chi = rm$ compared to ours and in accordance with our normalizations (25) replacing F by $F/\sqrt{2}$ in ChPT formulae, we ses have to be considered to fix them more precisely. In this SRA above the η meson mass, only the effective $\mathcal{O}(p^4, 1/N)$ L_7 term corresponding to the heavy pseudoscalar (η_0) tree-level exchange is renormalization scale independent, with the numerical value

$$L_7 = -\frac{F_\pi^2}{96m_0^2} \approx -0.3 \times 10^{-3} \quad \text{(ChPT} - 1989) \tag{45}$$

if $m_0 = O(0.8 \text{ GeV})$. Being fully contained in this L_7 LEC, the η' dominance effect taken alone in the *octet scheme* would then lead to a significant correction to the GMO mass relation

$$m_8^2 \to \left(\frac{1}{3}\right) (4m_K^2 - m_\pi^2) + \left(\frac{256}{3F_\pi^2}\right) (m_K^2 - m_\pi^2)^2 L_7 \approx m_K^2$$
(46)

that is quite consistent with our phenomenological limit (32) in *the nonet scheme* (23), as it should be. In fact, $m_8^2 \rightarrow m_K^2$ if $L_7 = -0.29 \times 10^{-3}$, i.e., $m_0 = 0.78$ GeV. As a consequence, the SRA of ChPT provides a second theoretical framework allowing us to connect the octet scheme with the nonet one.

In the SRA of [20] the early input values for L_8 and L_5 LECs in (44) were

$$L_8^r(m_\rho) \approx 0.9 \times 10^{-3}, \qquad L_5^r(m_\rho) \approx 1.4 \times 10^{-3},$$

(ChPT - 1989). (47)

The L_8 term of (44) involves (like the L_5 one) tree-level scalar exchange and its positive value in (47) turns out to cancel the L_7 correction in the linear combination $(3L_7 + L_8)$ that precisely enters the $\mathcal{O}(p^4)$ correction to the $\pi_3 - \eta_8$ mixing [38]. According to [37], this full destructive interference would explain the rather mild $\mathcal{O}(p^4)$ correction to $\Omega_{\text{IB}}^{(8)}$, as still displayed in (9). Interestingly such a strong destructive interference simultaneously occurs for another linear combination of LECs that enters the complete $\mathcal{O}(p^4)$ correction to the GMO mass relation (46) this time, namely $(L_7 + L_8/2 - L_5/12)$.

Is it then the final argument in favour of the relatively stable value displayed in (9) compared to (10)? Well, not really since this heuristic interpretation in terms of accidental destructive interferences among tree-level single resonance contributions have to be taken with a grain of salt. Indeed, the nature and mass spectrum of the low-lying scalar states are still rather controversial nowadays with, in particular, the broad $f_0(500)$ resonance [29]. Consequently, and contrary to L_7 , the L_8^r and L_5^r LECs cannot be considered (yet) as evidence for scalar meson dominance [39]. As a matter of fact, the early input values for L_8^r and L_5^r LECs given in (47) were based on the identification of the scalar mass with the light $a_0(980)$ one. However, their more precise values adopted in the numerical analysis of [11], namely

$$L_8^r(m_\rho) = (0.53 \pm 0.11) \times 10^{-3},$$

 $L_5^r(m_\rho) = (1.20 \pm 0.10) \times 10^{-3},$ (ChPT – 2019) (48)

mostly rely on new LQCD results and rather favour the identification of the scalar mass with the heavier $a_0(1450)$ one. This theoretical move of the relevant scalar mass scale from O(1 GeV) to O(1.5 GeV) is of course legitimate but has a sizeable suppression effect on the L_8 LEC. Doing so, it clearly invalidates the heuristic SRA argument put forward in [37] since only a partial destructive interference is actually at work in the linear combination $(3L_7 + L_8)$. As a consequence, the updated result (9) is now very sensitive to the input value of L_7 which (contrary to $L_{5,8}$) only relies on recent ChPT data fits

$$L_7 = -(0.32 \pm 0.10) \times 10^{-3}$$
, (ChPT - 2019). (49)

This eventually explains why the theoretical uncertainties quoted in the introduction for $\Omega_{IB}^{(8)}$ are larger in [11] than previously in [25]: with its η_0 -dominance, the SRA backfires on the octet scheme.

Therefore, the main message of this section is that one should try as much as possible to avoid the somewhat accidental GMO mass relation for the pseudoscalar η . In other words, it is our opinion that any octet scheme leading to a $\Omega_{IB}^{(8)}$ is not appropriate for the study of the strong isospin violation effect on ε'/ε . As a matter of fact, physical processes clearly favour $\theta_{\eta'}$ from (27) over θ_{η} from (26), as seen in (28).

7 Summary on $\Omega_{\rm IB}$ and its impact on ε'/ε

Within a nonet scheme, we have seen that $\Omega_{IB}^{(9)}$ can be expressed in terms of the $\eta^{(\prime)}$ masses and mixing through the equation (40). If we privilege the physical masses in (18) over the angle θ , we then face the following alternative as given in (28), that is either

$$m_{\eta} = m_{\eta}^{\text{phys}}; \quad m_{\eta'} = 1.64 \, m_{\eta'}^{\text{phys}}$$

 $\Rightarrow \Omega_{\text{IB}}^{(9)}(\theta = -5.68^{\circ}) = 22.0 \times 10^{-2} \,, \tag{50}$

or

$$m_{\eta'} = m_{\eta'}^{\text{phys}}; \quad m_{\eta} = 0.90 \, m_{\eta}^{\text{phys}}$$

 $\Rightarrow \Omega_{\text{IB}}^{(9)}(\theta = -19.80^{\circ}) = 41.9 \times 10^{-2}, \quad (51)$

while the $\eta - \eta'$ square mass ratio Δ defined in (29) is optimized for $\Omega_{\rm IB}^{(9)}(\theta = -27.4^{\circ}) = 0.55$. However, our confrontation of the GMO mass relation with the SRA of ChPT in the previous section prompts us to rather privilege more realistic values for the $\eta_8 - \eta_0$ mixing angle, say

$$-20^{\circ} < \theta < -10^{\circ},\tag{52}$$

over specific η and η' masses. Doing so in the nonet scheme (23), we obtain respectively

$$\Omega_{\rm IB}^{(9)}(\theta = -10^{\circ}) = 28 \times 10^{-2} \Rightarrow m_{\eta} = 0.97 \, m_{\eta}^{\rm phys};$$

$$m_{\eta'} = 1.30 \, m_{\eta'}^{\rm phys}$$
(53)

$$\Omega_{\rm IB}^{(9)}(\theta = -20^{\circ}) = 42 \times 10^{-2} \Rightarrow m_{\eta} = 0.90 \, m_{\eta}^{\rm phys}; m_{\eta'} = 1.00 \, m_{\eta'}^{\rm phys}$$
(54)

together with $\Omega_{\text{IB}}^{(9)}(\theta = -18.4^{\circ}) = 0.40$ for $(m_{\eta}^2 + m_{\eta'}^2) = (m_{\eta}^2 + m_{\eta'}^2)^{\text{phys}}$. The range allowed for the mixing angle in (52) thus implies the theoretical estimate

$$\Omega_{\rm IB}^{(9)} = (35 \pm 7) \times 10^{-2} \tag{55}$$

that essentially amounts to assign a 20% uncertainty on the updated PhM result (22), as it was already the case for the square mass ratio $\Delta(\theta_{\rm ph})$ defined by (30). A large central value in the nonet scheme is thus substituted for a large uncertainty in the octet one.

As far as the strong isospin-breaking effect on the A_2 amplitude is concerned, only the upper side of (9) is clearly favoured by our nonet scheme, leading in principle to a sizeable decrease of the predicted value for ε'/ε within the octet one. However, electromagnetic corrections should also be considered before drawing any firm conclusion since

$$\hat{\Omega}_{\text{eff}} = \Omega_{\text{IB}}^{(\text{strong})} + \Omega_{\text{IB}}^{(\text{em})}.$$
(56)

The $\Omega_{IB}^{(\text{strong})}$ component in (56) corresponds to the strong isospin-breaking effects ($m_u \neq m_d$) on the dominant $Q_{4,6}$ off-shell matrix elements via the ($\Delta I = 1$) $\pi_3 - \eta_{8,0}$ mixing and $K^+ - K^0$ mass difference, the latter being not amplified by $\omega^{-1} \approx 22$ and thus negligible relative to the former one in (55).

At leading $\mathcal{O}(p^0, e^2)$, the $\Omega_{\text{IB}}^{(\text{em})}$ component in (56) stands for the electromagnetic isospin-breaking effects $(q_u \neq q_d)$ on the dominant $Q_{4,6}$ on-shell matrix elements this time via the $(\Delta I = 2) \pi^+ - \pi^0$ mass difference:

$$\Omega_{\rm IB}^{\rm (em)}(\pi^+ - \pi^0) = \left(\frac{\sqrt{2}}{3\omega}\right) \frac{(m_{\pi^0}^2 - m_{\pi^+}^2)}{(m_K^2 - m_{\pi}^2)} \approx -(5.8) \times 10^{-2}.$$
(57)

The subleading $\mathcal{O}(p^2, e^2)$ corrections including (among others) a genuine $\Delta I = 5/2$ contribution denoted by $f_{5/2}$ in [11] tend to cancel each other at the percent level, but with huge uncertainties due to renormalization scheme dependence inherent to ChPT. In our approach we safely and consistently neglect them.

So, combining our main result (55) for $\Omega_{IB}^{(strong)}$ with (57) for $\Omega_{IB}^{(em)}$, we eventually obtain

$$\hat{\Omega}_{\rm eff}^{(9)} = (29 \pm 7) \times 10^{-2} \tag{58}$$

to be compared with the octet result advocated in [11]

$$\hat{\Omega}_{\rm eff}^{(8)} = (17 \pm 9) \times 10^{-2}.$$
 (59)

It is amusing to note that the central value of our 1987 result in (11), obtained also in the nonet scheme, practically did not change: the increase of $\hat{\Omega}_{eff}^{(9)}$ through the update of input parameters as given in (55) has been cancelled by the electromagnetic correction in (57) to give the final result in (58). But the present calculation, performed in the framework of Section 4, has a stronger basis than the PhM model and allows the error estimate to be superior to what was possible at that time.

In order to appreciate the real impact of the modified value of $\hat{\Omega}_{\text{eff}}$ on ε'/ε , we first provide a convenient formula for ε'/ε within the SM that has been recently presented in [18]. Consistently with (8), it reads

$$\frac{\varepsilon'}{\varepsilon} = \operatorname{Im}\lambda_t \left[a_{\text{QCDP}} \left(1 - \hat{\Omega}_{\text{eff}} \right) - a_{\text{EWP}} \right],$$
$$\operatorname{Im}\lambda_t = (1.45 \pm 0.08) \times 10^{-4}$$
(60)

with $\hat{\Omega}_{eff}$ denoting either $\hat{\Omega}_{eff}^{(8)}$ as used in [18] or $\hat{\Omega}_{eff}^{(9)}$ as used by us here below. As evident from [18]

$$a_{\text{QCDP}} \approx -5.7 + 23.2 B_6^{(1/2)}(\mu_0) = 20.0,$$

 $a_{\text{EWP}} \approx -2.3 + 9.9 B_8^{(3/2)}(\mu_2) = 4.6$ (61)

the first term in (60) is dominated by the Q_6 operator and the second one by the Q_8 operator involving the diagonal quark electric charge matrix \hat{e} .

In order to lower the uncertainties, the numerical coefficients in these expressions have been obtained by using the hadronic matrix elements of RBC-UKQCD collaboration at the scales

$$\mu_0 = 4 \,\text{GeV}, \qquad \mu_2 = 3 \,\text{GeV}, \tag{62}$$

for the isospin amplitudes A_0 and A_2 , respectively. Detailed numerical analysis for other scales is presented in [18]. Here we confine our discussion to the values of $B_6^{(1/2)}(\mu)$ and $B_8^{(3/2)}(\mu)$, exhibiting their values also for $\mu = 1$ GeV to compare with the expectations from ChPT and DQCD that work at lower scales than LQCD.

The values for $B_6^{(1/2)}$ and $B_8^{(3/2)}$, extracted from RBC-UKQCD results in [16] and [6] respectively, are [18]

$$B_6^{(1/2)}(\mu_0) = 1.11 \pm 0.20, \qquad B_6^{(1/2)}(1 \,\text{GeV}) = 1.49 \pm 0.25,$$
(63)

$$B_8^{(5/2)}(\mu_2) = 0.70 \pm 0.04, \qquad B_8^{(5/2)}(1 \text{ GeV}) = 0.85 \pm 0.05.$$

(64)

The formula (60) includes NLO QCD corrections to the QCD penguin (QCDP) contributions and NNLO contributions to electroweak penguins (EWP). We emphasize again that the IB suppression factor $(1 - \hat{\Omega}_{eff})$ multiplies only the contributions of QCDP operators while all EWP contributions, Q_8 included, do not involve this *scale independent* factor as already assumed in (7). Such is not necessarily the case in ChPT where, on the basis of SU(3)_L × SU(3)_R symmetries, the operator Q_8 is ambiguously buried in the effective IB operator $(U\hat{e}U^{\dagger})_{ds}$ like Q_4 and Q_6 in $(\partial U \partial U^{\dagger})_{ds}$. It is evident from (60) that the increased value of $\hat{\Omega}_{eff}$ in (58) implies a suppression of ε'/ε relative to the value presented in [18] on the basis of the octet scheme.

The $B_6^{(1/2)}$ value at $\mu = 1$ GeV is compatible with the estimates from ChPT [12] while the one for $B_8^{(3/2)}$, with the DQCD estimate in [7]. As a matter of fact, the value of $B_8^{(3/2)} \approx 0.55$ obtained in [12] by adding final state interactions (FSI) to the strict large N limit is significantly below the rather precise LQCD one in (64) and casts some doubt on the huge impact of FSI in a partial NLO estimate within ChPT.

In DQCD FSI have no impact on $B_6^{(1/2)}$ in a complete LO estimate ² and the value of this parameter around 1 GeV is expected, due to meson evolution, to be below unity as required for a smooth matching between hadronic matrix elements and Wilson coefficients. Such a monotonic behaviour with respect to the renormalization scale is indeed observed for the B_i -parameters of $\Delta S = 2$ operators, as nicely displayed in [40]. However, the hadronic matrix elements of the left-right $Q_{5,6}$ penguin operators extracted from the new RBC-UKQCD data taken at 4 GeV correspond to

$$B_6^{(1/2)}(4 \text{ GeV}) = 1.11 \pm 0.20, \qquad \frac{\langle Q_5 \rangle_0}{\langle Q_6 \rangle_0} = 0.31 \pm 0.05,$$

(LQCD - 2020). (65)

In contrast, at the factorization scale well below 1 GeV we have

$$B_6^{(1/2)}(0) = 1, \qquad \frac{\langle Q_5 \rangle_0}{\langle Q_6 \rangle_0} = 0, \qquad \text{(large-N limit)}. \tag{66}$$

² Contrary to what is claimed in a footnote of [12], the absence of FSI in the CP-odd penguin operator at $\mathcal{O}(p^2, 0) + \mathcal{O}(p^0, 1/N)$ is based on a correct calculation which respects both chiral symmetry and unitarity.

Consequently, if confirmed by future LQCD calculations, $B_6^{(1/2)}(1 \text{ GeV}) > 1$ as favoured at the 2σ level by (63) would then imply a rather weird up-down behaviour for $B_6^{(1/2)}(\mu)$ (i.e., $1 \rightarrow 1.49 \rightarrow 1.1$) instead of the expected monotonic (decreasing) function with increasing μ seen for $B_8^{(3/2)}(\mu)$ with the help of (64) and $B_8^{(3/2)}(0) = 1$. Such was not the case with the previous LQCD result [6] extracted in [1], namely

$$B_6^{(1/2)}(1.5 \text{ GeV}) = 0.57 \pm 0.19, \quad (\text{LQCD} - 2015).$$
(67)

On the other hand, the decrease of $B_6^{(1/2)}$ from 1 GeV to 4 GeV amounts in a perturbative regime to a factor of 1.3. The rate of this decrease in a non-perturbative regime from $\mu \approx 0$ to $\mu = 1$ GeV should be even stronger. Consequently a monotonic decrease of $B_6^{(1/2)}$ from very low scales to 4 GeV would imply, in view of the LQCD result, a value for $B_6^{(1/2)}(0)$ by at least a factor of 2 larger than its large *N* limit $B_6^{(1/2)}(0) = 1$.

On the basis of (65), we also find intriguing the fact that the naive vacuum-insertion-approximation (VIA) predictions

$$B_6^{(1/2)} = 1, \qquad \frac{\langle Q_5 \rangle_0}{\langle Q_6 \rangle_0} = \frac{1}{3}, \qquad (\text{VIA})$$
 (68)

are almost fulfilled at a scale as high as 4 GeV.

Being back to ε'/ε in (60) after this digression on the rather controversial low scale dependence of $B_6^{(1/2)}$, the value for $\hat{\Omega}_{\text{eff}}^{(9)}$ in (58) implies³

$$(\varepsilon'/\varepsilon)_{\rm SM}^{(9)} = (13.9 \pm 5.2) \times 10^{-4}$$
(69)

if we trust the new LQCD data displayed in (61-64). It is significantly lower than the value obtained in [18]

$$(\varepsilon'/\varepsilon)_{\rm SM}^{(8)} = (17.4 \pm 6.1) \times 10^{-4},$$
 (70)

which used $\hat{\Omega}_{\text{eff}}^{(8)}$ given in (59). Its central value is also lower by a factor of 1.6 than the central LQCD value in (2) demonstrating that the inclusion of strong isospin-breaking effects in ε'/ε is very important for the identification of possible NP effects one day.

As far as the ChPT-2019 and DQCD-2016 predictions for ε'/ε in the SM are concerned, we note

• a total coincidence of (3) with our numerical result in (69) since the former was obtained with $\hat{\Omega}_{\rm eff}^{(8)}$ in place of $\hat{\Omega}_{\rm eff}^{(9)}$ and the values of $B_8^{(3/2)} \approx 0.55$ and ${\rm Im}\lambda_t \approx (1.35) \times 10^{-4}$ instead of ours;

• a still consistent upper bound (4) if we only assume a monotonic $B_6^{(1/2)}(\mu)$, namely $B_6^{(1/2)}(1 \text{ GeV}) < 1$, instead of $B_6^{(1/2)} < B_8^{(3/2)}$.

In the *optimal strategy* for the ε'/ε within the SM proposed recently in [17,41], $\hat{\Omega}_{eff}^{(8)}$ in (59) should be replaced by $\hat{\Omega}_{eff}^{(9)}$ in (58), the main result of our paper. In doing so, the approximate central values in (3) and (4) read 12×10^{-4} and 6×10^{-4} , respectively. With the LQCD data for a_{EWP} , that disprove ChPT estimate, the central value in (3) would even go down to 9×10^{-4} .

8 Conclusions

An effective $\eta_8 - \eta_0$ mixing angle has been exploited to estimate the major impact of the strong isospin-breaking $(m_u \neq m_d)$ on ε'/ε via the $\pi_3 - \eta_{8,0}$ mixing at work in a full nonet scheme for the pseudoscalars. As a matter of fact, the lowest-lying η pole at 0.5 GeV with its non-negligible flavour-singlet η_0 component largely dominates over the η' pole sitting at 1 GeV as well as over the relevant scalar resonances moved around 1.5 GeV. Such a long-distance effect is yet another challenge for LQCD final prediction for ε'/ε within the SM.

Taking into account the impact of the electromagnetic isospin-breaking $(q_u \neq q_d)$ dominated by the $\pi^+ - \pi^0$ mass difference, we obtain an effective suppression effect of about 40% on the central value of ε'/ε reported by RBC-UKQCD collaboration in [16]. The studies of different models until the dusk of the 2010s are listed in Table 3 of [17]. New activities in this direction, including correlations with other observables, are expected at the dawn of the 2020s.

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