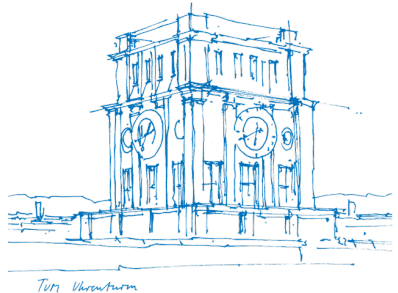


# Polar Coding for Wire-Tap Channels

Peihong Yuan

Chair for Communications Engineering  
Technical University of Munich

October 29, 2019, COCO 2019



# Overview

Introduction

Polar Coding for Wire-Tap Channels

Simulation Results

Strong Secrecy with Polar Codes

Conclusion and Future Work

## Introduction

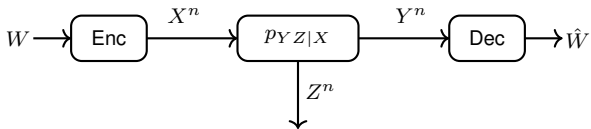
Polar Coding for Wire-Tap Channels

Simulation Results

Strong Secrecy with Polar Codes

Conclusion and Future Work

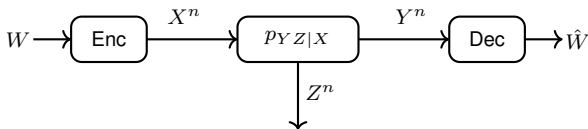
# Wire-Tap Channel<sup>1</sup>



---

<sup>1</sup>A. D. Wyner, "The Wire-Tap Channel." Bell system technical journal 54.8 (1975): 1355-1387.

# Wire-Tap Channel<sup>1</sup>



- $p_{Z|X}$  is degraded w.r.t.  $p_{Y|X}$
- Reliability:  $\Pr \left\{ \hat{W} \neq W \right\}$
- Weak secrecy:  $\lim_{n \rightarrow \infty} \frac{1}{n} I(W, Z^n) = 0$
- Strong secrecy:  $\lim_{n \rightarrow \infty} I(W, Z^n) = 0$
- Secrecy capacity:  $\max_{p_{U|X}} I(U, Y) - I(U, Z)$

<sup>1</sup>A. D. Wyner, "The Wire-Tap Channel." Bell system technical journal 54.8 (1975): 1355-1387.

# Polar Coding<sup>2</sup>

- Code length  $n = 2^m$
- Polar transform:  $b^n \mapsto x^n$  and BMS channel  $P: p_{Y|X}$
- $P_i$  denotes the sub-channel  $p_{B_i|Y^n B^{i-1}}$
- $\mathcal{G}(P) = \{i : \mathbf{Z}(P_i) \leq 2^{-n\beta}\}$ ,  $\mathcal{B}(P) = \{i : \mathbf{I}(P_i) \leq 2^{-n\beta}\}$

---

<sup>2</sup>E. Arkan, "Channel polarization: A method for constructing capacity-achieving codes for symmetric binary-input memoryless channels." IEEE Transactions on information Theory 55.7 (2009): 3051-3073.

## Polar Coding<sup>2</sup>

- Code length  $n = 2^m$
- Polar transform:  $b^n \mapsto x^n$  and BMS channel  $P: p_{Y|X}$
- $P_i$  denotes the sub-channel  $p_{B_i|Y^n B^{i-1}}$
- $\mathcal{G}(P) = \{i : \mathbf{Z}(P_i) \leq 2^{-n\beta}\}$ ,  $\mathcal{B}(P) = \{i : \mathbf{I}(P_i) \leq 2^{-n\beta}\}$

For all  $0 < \beta < 1/2$ :

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P)| = \mathbf{C}(P)$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{B}(P)| = 1 - \mathbf{C}(P)$$

---

<sup>2</sup>E. Arkan, "Channel polarization: A method for constructing capacity-achieving codes for symmetric binary-input memoryless channels." IEEE Transactions on Information Theory 55.7 (2009): 3051-3073.

Introduction

**Polar Coding for Wire-Tap Channels**

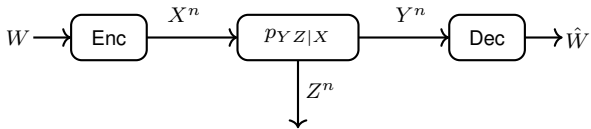
Simulation Results

Strong Secrecy with Polar Codes

Conclusion and Future Work



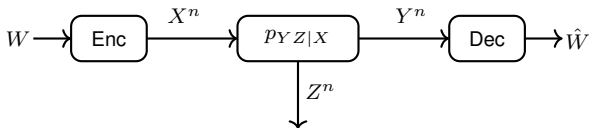
# Weak Secrecy<sup>3</sup>



---

<sup>3</sup>H. Mahdavifar and A. Vardy. "Achieving the secrecy capacity of wiretap channels using polar codes." IEEE Transactions on Information Theory 57.10 (2011): 6428-6443.

## Weak Secrecy<sup>3</sup>



- Main channel  $P: p_{Y|X}$
- Wire-Tap channel  $Q: p_{Z|X}$
- $Q \preceq P$

<sup>3</sup>H. Mahdaviar and A. Vardy. "Achieving the secrecy capacity of wiretap channels using polar codes." IEEE Transactions on Information Theory 57.10 (2011): 6428-6443.

## Weak Secrecy (Cont'd)

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P) \cap \mathcal{B}(Q)| = \mathbf{C}(P) - \mathbf{C}(Q)$$
$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P)^c \cap \mathcal{B}(Q)^c| = 0$$

## Weak Secrecy (Cont'd)

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P) \cap \mathcal{B}(Q)| = \mathbf{C}(P) - \mathbf{C}(Q)$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P)^c \cap \mathcal{B}(Q)^c| = 0$$

$$\mathcal{M} = \mathcal{G}(P) \cap \mathcal{B}(Q)$$

$$\mathcal{R} = \mathcal{G}(P) \cap \mathcal{B}(Q)^c$$

$$\mathcal{F} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)$$

$$\mathcal{D} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)^c$$

## Weak Secrecy (Cont'd)

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P) \cap \mathcal{B}(Q)| = \mathbf{C}(P) - \mathbf{C}(Q)$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{G}(P)^c \cap \mathcal{B}(Q)^c| = 0$$

$$\mathcal{M} = \mathcal{G}(P) \cap \mathcal{B}(Q)$$

$$\mathcal{R} = \mathcal{G}(P) \cap \mathcal{B}(Q)^c$$

$$\mathcal{F} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)$$

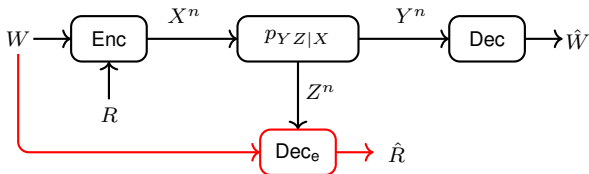
$$\mathcal{D} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)^c$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} I(W, Z^n) = 0$$

# Information Leakage

$$\begin{aligned} I(W, Z^n) &= H(W) - H(W|Z^n) \\ &= H(W) - H(X^n|Z^n) + H(X^n|Z^nW) \\ &= H(W) - H(X^n) + I(X^n; Z^n) + H(X^n|Z^nW) \end{aligned}$$

## Information Leakage (Cont'd)



$$H(X^n | Z^n W) = H(R | Z^n W) \leq H_2(P_e) + P_e \log_2(|R| - 1),$$

$$P_e = \Pr \{ \hat{R} \neq R \}$$

## Information Leakage (Cont'd)

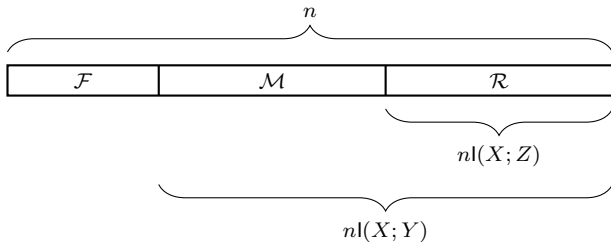
$$I(W, Z^n) < k_M - (k_M + k_R) + nI(X; Z) + H_2(P_e) + P_e k_R$$
$$\frac{1}{n} I(W, Z^n) < I(X; Z) - \frac{k_R}{n} + H_2(P_e) + P_e \frac{k_R}{n}$$



## Information Leakage (Cont'd)

$$I(W, Z^n) < k_M - (k_M + k_R) + nI(X; Z) + H_2(P_e) + P_e k_R$$

$$\frac{1}{n} I(W, Z^n) < I(X; Z) - \frac{k_R}{n} + H_2(P_e) + P_e \frac{k_R}{n}$$



Introduction

Polar Coding for Wire-Tap Channels

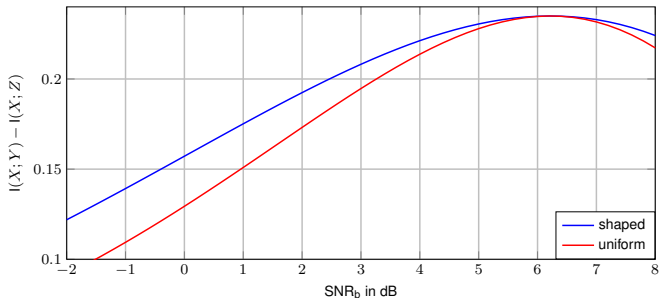
**Simulation Results**

Strong Secrecy with Polar Codes

Conclusion and Future Work

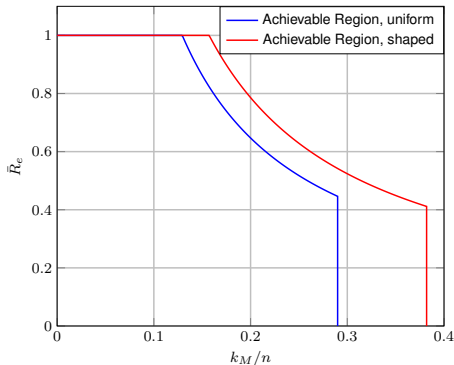
# Secrecy Capacity of AWGN with On-Off Keying

- 3 dB degradation:  $\text{SNR}_b - \text{SNR}_e = 3 \text{ dB}$
- $p_X = \arg \max I(X; Y) - I(X; Z)$



# Secrecy Capacity Region

- $\text{SNR}_b = 0$  dB,  $\text{SNR}_e = -3$  dB
- Normalized equivocation rate:  $\bar{R}_e = H(W|Z^n)/k_M$



## Code Design<sup>4</sup>

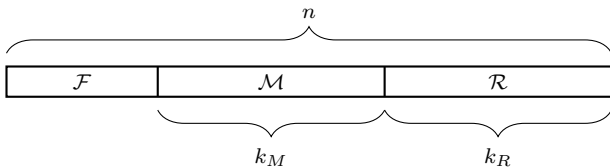
- Nested polar codes:  $(n, k_R), (n, k_R + k_M)$

---

<sup>4</sup>T. Wiegart *et al.* "Shaped On-Off Keying Using Polar Codes." IEEE Communications Letters (2019).

# Code Design<sup>4</sup>

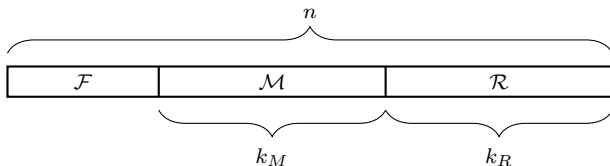
- Nested polar codes:  $(n, k_R)$ ,  $(n, k_R + k_M)$



<sup>4</sup>T. Wiegart *et al.* "Shaped On-Off Keying Using Polar Codes." IEEE Communications Letters (2019).

## Code Design<sup>4</sup>

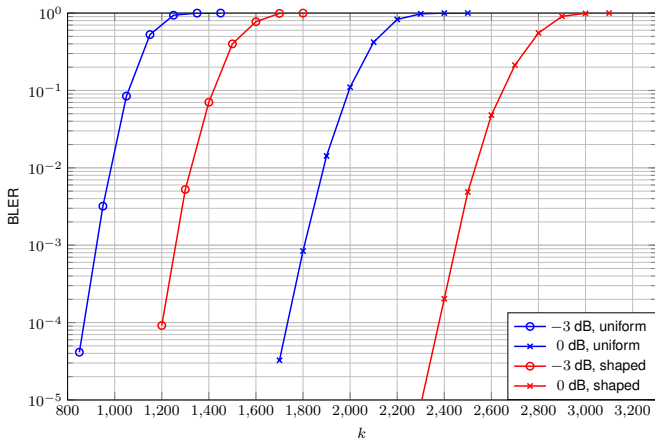
- Nested polar codes:  $(n, k_R), (n, k_R + k_M)$



- $n = 8192, \ell_{\text{CRC}} = 16, L = 32$
- $n = 65536, \ell_{\text{CRC}} = 32, L = 64$

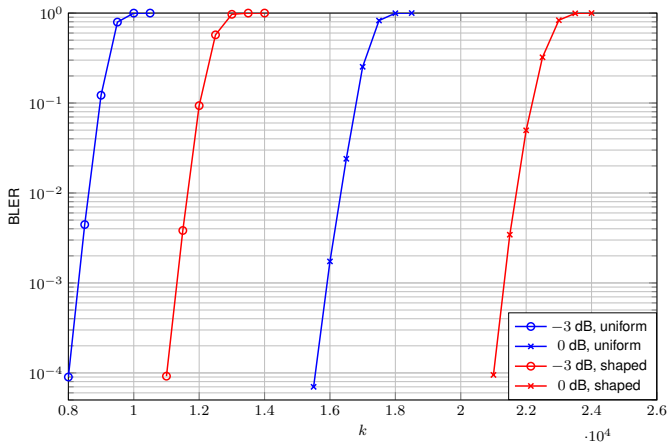
<sup>4</sup>T. Wiegart *et al.* "Shaped On-Off Keying Using Polar Codes." IEEE Communications Letters (2019).

# Finite Length Results, $n = 2^{13}$

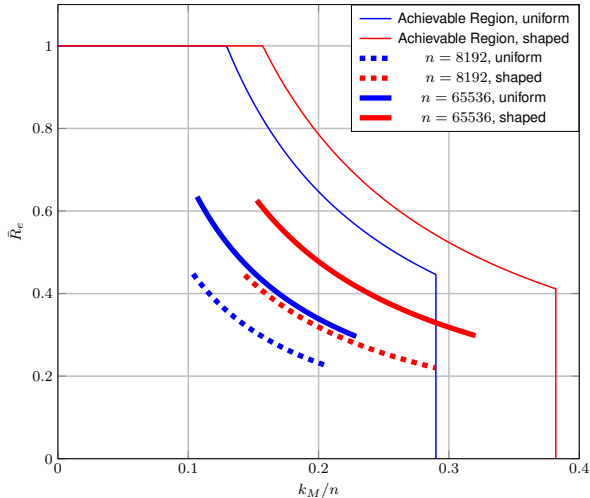




# Finite Length Results, $n = 2^{16}$



# Secrecy Capacity Region



Introduction

Polar Coding for Wire-Tap Channels

Simulation Results

**Strong Secrecy with Polar Codes**

Conclusion and Future Work

# Review the Proof of Weak Secrecy

The unreliable and insecure bits in set  $\mathcal{D}$ .

$$\mathcal{D} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)^c$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{D}| = 0$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} I(W, Z^n) = 0$$

## Review the Proof of Weak Secrecy

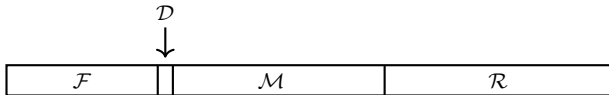
The unreliable and insecure bits in set  $\mathcal{D}$ .

$$\mathcal{D} = \mathcal{G}(P)^c \cap \mathcal{B}(Q)^c$$

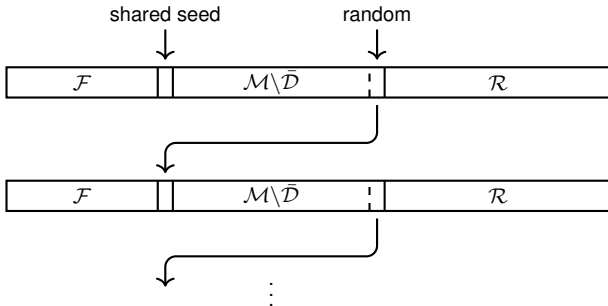
$$\lim_{n \rightarrow \infty} \frac{1}{n} |\mathcal{D}| = 0$$

$$\lim_{n \rightarrow \infty} \frac{1}{n} I(W, Z^n) = 0$$

$$|\mathcal{D}| = o(n) \neq 0.$$



# Strong Secrecy with Polar Codes<sup>5</sup>



<sup>5</sup>E. Şaşoğlu and A. Vardy. "A new polar coding scheme for strong security on wiretap channels." 2013 IEEE International Symposium on Information Theory. IEEE, 2013.

Introduction

Polar Coding for Wire-Tap Channels

Simulation Results

Strong Secrecy with Polar Codes

**Conclusion and Future Work**

## Conclusion and Future Work

- Higher order modulation
- Other metrics for secrecy
- MAC/BC