

# Metalation of Porphyrins by Lanthanide Atoms at Interfaces: Direct Observation and Stimulation of Cerium Coordination to 2H-TTP/Ag(111)

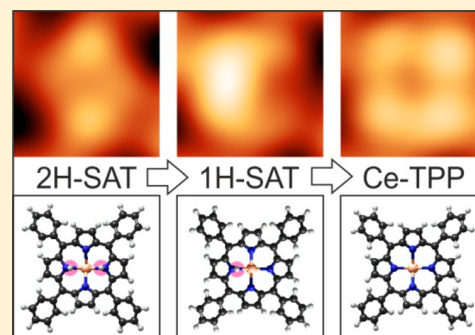
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**S** Supporting Information

**ABSTRACT:** Although on-surface metalation protocols of tetrapyrroles with 3d metals are well established, reports on the formation of lanthanide tetrapyrrole complexes are scarce. Here, we address the synthesis of lanthanide-tetrapyrrole units in detail, refining earlier findings. Specifically, the formation of cerium tetraphenylporphyrin (Ce-TTP) was induced on Ag(111) either by thermal annealing or by a manipulation procedure using a scanning tunneling microscope (STM) tip. While the self-assembled TPP arrays are not altered upon Ce metalation, our STM observations show distinct modifications of submolecular features reflecting a multistep reaction pathway. The metalation proceeds from an initial configuration with a 2H-TTP molecule sitting atop a Ce atom via an intermediate state, where the macrocycle is partially deprotonated, to metalated Ce-TTP. The hitherto elusive 1H species state—hypothesized in several 3d metalation studies—is visualized directly. Our study provides novel insights into the on-surface synthesis of tetrapyrroles and lanthanide-based nanosystems.



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## INTRODUCTION

On-surface tetrapyrrole metalation protocols under ultrahigh vacuum (UHV) have been established as versatile approaches for the engineering of metal-organic complexes and nanostructures that cannot be easily achieved on surfaces by standard preparation techniques.<sup>1–4</sup> The considerable research efforts are motivated by the potential for applications of metallo-tetrapyrroles in photovoltaics,<sup>5,6</sup> catalysis,<sup>7</sup> sensing<sup>8</sup> and quantum technology, among many others.<sup>9</sup> Since the metal center in the macrocycle has great influence on molecular functionalities, the selection and control over this central ion is essential. While the formation of 3d metal tetrapyrrole complexes was intensely studied,<sup>1,2,10,11</sup> reports on the *in situ* incorporation of lanthanide metals are scarce and emphasize sandwich structures where the lanthanide is bound not to one but to two tetrapyrroles<sup>12</sup> and thin films thereof.<sup>13</sup> Double-deckers or multideckers where 8-fold coordination of lanthanide centers couples two tetrapyrrole macrocycles are of great interest and have been explored for many years.<sup>14,15</sup> They can notably act as field-effect transistors and single-molecule magnets,<sup>16–21</sup> in favorable situations preserving their properties even on metallic supports.<sup>22,23</sup> However, to gain a fundamental understanding of the relevant chemical processes during on-surface metalation and to tackle surface-anchored coordinatively unsaturated lanthanide tetrapyrrole complexes,

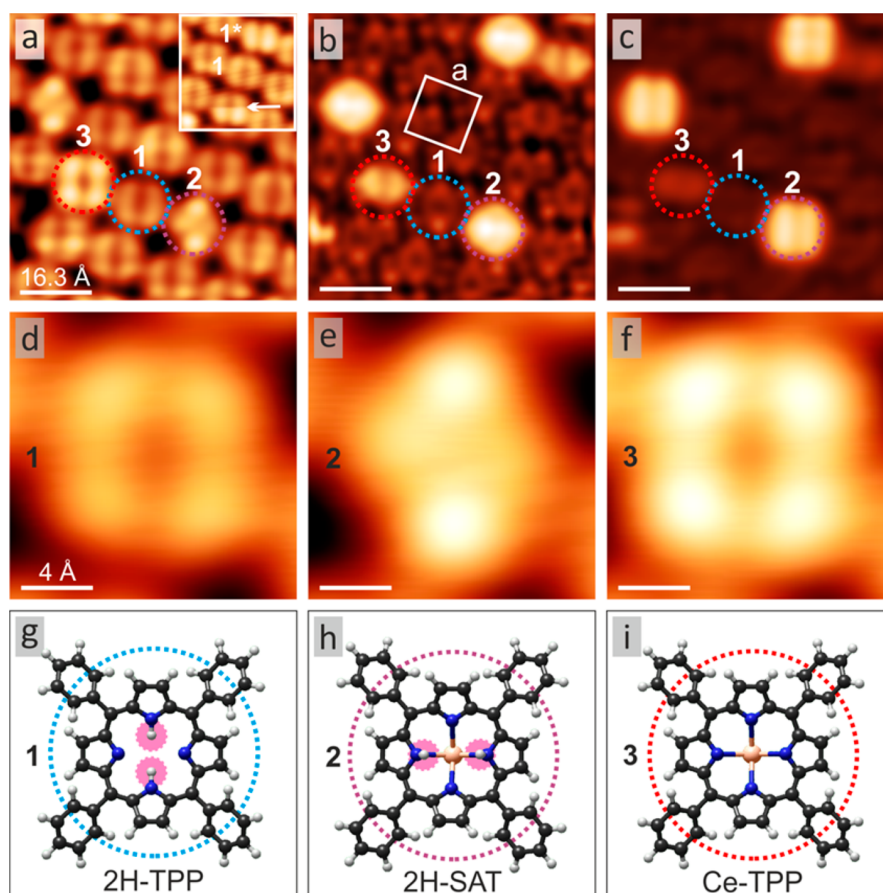
the investigation of species comprising only one molecular ligand is desirable. Solution-based approaches and theoretical studies describe the redox reaction from free-base to metalated molecule as a multistep sequence for transition metals.<sup>24–26</sup> First, the intact macrocycle binds to a metal ion. This precursor state is often referred to as sitting atop (SAT) complex. Subsequently, one hydrogen is removed from the macrocycle to form an intermediate SAT and finally, the metallotetrapyrrole evolves under release of H<sub>2</sub>. This theoretically suggested reaction pathway has been experimentally corroborated by observing the precursor in solution<sup>27</sup> as well as at the solid–vacuum interface.<sup>28–31</sup> To date a clear experimental proof is still lacking for the intermediate SAT.

Herein, we report a low-temperature (LT) STM and scanning tunneling spectroscopy (STS) study on intermediate complexes formed during the metalation of tetrapyrroles with lanthanide atoms, complemented by extensive density functional theory (DFT) calculations. Specifically, we use free-base 5,10,15,20-tetraphenylporphyrin (2H-TTP) molecules and Ce deposited sequentially on Ag(111). Both annealing and STM tip manipulations trigger the stepwise metalation reaction from

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**Figure 1.** STM characterization of Ce-induced conversions within TPP arrays (measured with (a)  $U = 1.25$  V, (b)  $U = -0.05$  V, and (c)  $U = -1.25$  V; all images of the same area, the white square in part b) highlights the TPP/Ag(111) unit cell with side length  $a = 1.4$  nm). At 1.25 V the three prevalent species 1–3 exhibit a similar apparent height and are easy to distinguish. 1 is 2H-TTP (blue), 2 a precursor sitting atop complex with a cerium atom underneath the tetrapyrrole macrocycle (purple) and 3 the metalated Ce-TTP following hydrogen abstraction (red). The inset in part a depicts the two tautomeric forms 1 and 1\* of 2H-TTP. The white arrow serves as guide to the eye for a scanning induced tautomerization reaction. (d–f) Detailed images of each species at 1.25 V. (g–i) Corresponding illustrative models. In the models, the central hydrogens are highlighted for clarity. The orientation of the models corresponds directly to the STM data shown above and was determined by simulated STM images based on DFT modeling (cf. Figure S5). Scan parameters: (a, d–f)  $I = 0.20$  nA,  $U = 1.25$  V; (b)  $I = 0.20$  nA,  $U = -0.05$  V; (c)  $I = 0.20$  nA,  $U = -1.25$  V.

71 the precursor 2H-SAT, via the reaction intermediate 1H-SAT  
 72 to the metalloporphyrin unit. This study covers the complete  
 73 on-surface synthesis scheme toward formation of Ce-TTP. A  
 74 theoretical examination of the energetics of the observed  
 75 species based on DFT modeling including the surface  
 76 rationalizes the experimental findings and a comparison of  
 77 simulated STM images helps to identify all involved species.  
 78 DFT also allows to follow subtle geometric adaptations during  
 79 the metalation process. Furthermore, we reassess previously  
 80 published data on the interaction of Ce with porphyrin arrays.<sup>32</sup>  
 81 Whereas these earlier findings suggested Ce-TTP formation  
 82 within mixed cobalt (II) tetraphenylporphyrin (Co-TTP) and  
 83 2H-TTP precursor layers, they are now associated with a more  
 84 complex Ce–Co-TTP structure involving 3d–4f metal  
 85 interactions (see also the related finding of site-specific Fe  
 86 atoms bonding at adsorbed Co-TTP layers<sup>33</sup>).

## 87 ■ METHODS

88 **Scanning Tunneling Microscopy.** All STM experiments  
 89 were performed in a custom-designed UHV system providing a  
 90 base pressure below  $5 \times 10^{-10}$  mbar.<sup>34</sup> All data were acquired  
 91 employing a low-temperature CreaTec-STM<sup>35</sup> with the sample  
 92 held at 6 K using electrochemically etched W tips. For imaging

the constant-current mode was employed. In the figure 93  
 captions,  $U$  refers to the bias voltage applied to the sample. 94  
 Models were generated in the framework of the HyperChem 95  
 7.5 Software Package. The Gwyddion software was used for the 96  
 analysis of STM images.<sup>36</sup> 97

**Sample Preparation.** The monocrystalline Ag(111) 98  
 substrate was cleaned by repeated Ar<sup>+</sup> sputtering cycles at 99  
 an energy of 800 eV, followed by annealing at 730 K for 10 min. 100  
 The synthesis of mixed 2H-TTP/2H-SAT/Ce-TTP arrays was 101  
 realized by depositing 2H-TTP (Sigma-Aldrich, purity  $\geq 99\%$ ) 102  
 by organic molecular beam epitaxy from a thoroughly degassed 103  
 quartz crucible held at 600 K and evaporation of Ce atoms from 104  
 a homemade water-cooled cell by resistively heating a W 105  
 filament enclosing a Ce ball of high purity (99.9999%, MaTeck 106  
 GmbH, D-52428 Jülich, Germany). During deposition, the 107  
 Ag(111) surface was kept at 373 K. For the control experiment 108  
 with Co-TTP (Sigma-Aldrich, purity  $\sim 95\%$ ), Co-TTP was 109  
 deposited from a thoroughly degassed quartz crucible held at 110  
 630 K. During the growth experiments the pressure remained 111  
 $< 5 \times 10^{-10}$  mbar. Regarding coverage, we define one 112  
 monolayer as a surface fully covered by 2H-TTP species. 113

**Density Functional Theory.** We performed total energy 114  
 calculations using DFT<sup>37</sup> within the Kohn–Sham formalism<sup>38</sup> 115

116 using the Quantum ESPRESSO code (<http://www.Quantum->  
 117 [ESPRESSO.org/](http://www.Quantum-ESPRESSO.org/)).<sup>39</sup> The rB86-vdW-DF2 approximation<sup>40</sup> to  
 118 the exchange correlation functional was applied. We used the  
 119 cutoff energy of 60 and 350 Ry to expand the Kohn–Sham  
 120 orbitals and the augmented electron density, respectively. The  
 121 projector-augmented wave data sets were obtained from  
 122 pslibrary.<sup>41</sup>  $\Gamma$  point was used in the calculations due to the  
 123 relatively large dimensions, together with the Fermi–Dirac  
 124 broadening of the occupation numbers with a width of 50 meV.  
 125 Two molecules were inserted in a ( $7 \times 4\sqrt{3}$ ) unit cell that is  
 126 close to the experimentally derived periodicity.<sup>42</sup> Five layers of  
 127 substrate were employed. The computed lattice constant of  
 128 4.1075 Å was used in the calculations. The initial structure was  
 129 constructed following the literature,<sup>42</sup> with the centers of  
 130 molecules at two hollow sites (one hcp, one fcc site), and  
 131 subsequently relaxing the geometry. The centers moved upon  
 132 the relaxation somewhat, but remained at about the same  
 133 distance from their corresponding 3-fold site. The adsorption  
 134 energy was defined as the difference in the total energies of the  
 135 adsorbed complex minus the relaxed clean surface and two  
 136 times an individual molecule in the gas phase, with the  
 137 convention of positive adsorption energy indicating attraction.  
 138 The simulated STM images were obtained using the Tersoff–  
 139 Hamann method<sup>43</sup> by including the orbital densities of the  
 140 orbitals from the Fermi energy to the given bias voltage, and  
 141 using an iso-value ( $10^{-7} e/a_0^3$ ) of the partial electron density at  
 142 each lateral point on the density mesh to construct the two-  
 143 dimensional maps.

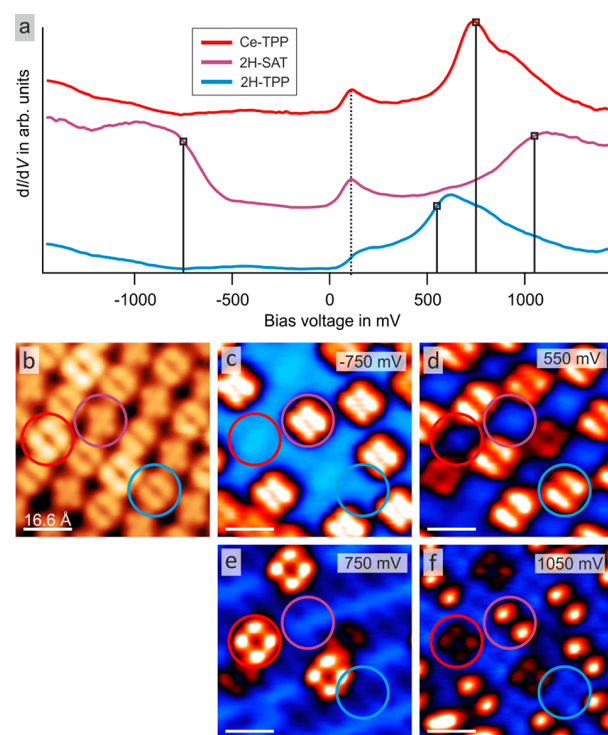
## 144 ■ EXPERIMENTAL AND THEORETICAL RESULTS

145 **STM and STS Observations.** *meso*-Substituted tetraphe-  
 146 nylporphyrins (TPP) are known to assemble into extended,  
 147 well ordered islands on the (111) surface of a silver single  
 148 crystal.<sup>42,44</sup> Irrespective of the exposure to small amounts of Ce  
 149 atoms, the molecules retain the pertaining noncommensurate  
 150 lattice with a square unit cell (1.4 nm x 1.4 nm), marked in  
 151 white in Figure 1b and Figure S1c. Each molecule is oriented  
 152 with its main axis along a  $\langle 11\bar{2} \rangle$  high symmetry direction of the  
 153 Ag(111) surface (for details see Supporting Information). At  
 154 submonolayer coverages, the island formation is mainly driven  
 155 by attractive T-type interactions between the phenyl *meso*-  
 156 substituents.<sup>45</sup> 2H-TTPs appear as described in previous STM  
 157 studies:<sup>46–48</sup> a donut-shaped macrocycle appears at negative  
 158 sample bias and at small bias voltages around the Fermi level,  
 159 while a window-like 4-fold symmetric contrast dominates when  
 160 probing the unoccupied states (Figure 1 and Figure S1). A 2H-  
 161 TPP unit is marked by blue dashed circles and is referred to as  
 162 species 1 in Figure 1. Scanning at elevated bias voltages ( $|U| >$   
 163 0.8 V) induces reversible switching of contrast as indicated by  
 164 the white arrow in the inset in Figure 1a. This reversible  
 165 transition is assigned to a tautomerization reaction, i.e., the  
 166 correlated proton transfer inside the macrocycle between the  
 167 nitrogen atoms.<sup>48–51</sup> Consequently, 2H-TTP can appear as two  
 168 tautomers 1 and 1\*.

169 After exposing submonolayer 2H-TTP arrays on Ag(111) to  
 170 an atomic beam of Ce at 330 K, intramolecular changes are  
 171 discernible in the STM images (Figure 1), whereby the order in  
 172 the molecular layer is unperturbed. Three different species can  
 173 be identified: free-base TPP (1: 2H-TTP, blue), intact 2H-TTP  
 174 sitting atop of a single cerium atom (2: 2H-SAT, purple) and  
 175 the metalated Ce-TPP (3, red). The detailed reasoning of these  
 176 assignments is elaborated below, notably in the computational  
 177 modeling (link to section computational modeling) and

178 discussion sections (*vide infra*). Structure models of the species 178  
 179 are depicted in Figure 1g–i. The initial SAT complex can be 179  
 180 discriminated by two prominent protrusions along a central axis 180  
 181 of the molecule for  $U > 1$  V (see Figure 1a,e) and by its 181  
 182 increased apparent height for  $U < -0.65$  V featuring two 182  
 183 elongated lobes (purple circle in Figure 1c). Around the Fermi 183  
 184 level, 2H-SAT exhibits the largest apparent height and 184  
 185 dominates the image contrast (Figure 1b). Ce-TPP strongly 185  
 186 resembles 2H-TTP but shows a larger apparent height ( $\Delta z \approx$  186  
 187 0.3 Å) for all voltages (cf. Figure 1d–f). An increased 187  
 188 adsorption height is confirmed by DFT simulations for all 188  
 189 cerium induced species giving values of 0.28 Å (Ce-TPP), 0.42 189  
 190 Å (2H-SAT) and 0.36 Å for the 1H-SAT identified and 190  
 191 discussed below (see also Table 3).

192 To further characterize the species and elucidate the origin of 192  
 193 the different submolecular contrast, STS and  $dI/dV$  mapping of 193  
 194 prominent electronic contributions were carried out. The 194  
 195 results are depicted in Figure 2. A common feature in all spectra 195  
 196 is an increased density of states at  $\approx 110$  mV (dashed line in 196  
 197 Figure 2a) that was observed consistently with different tips 197  
 198 and in different preparations (see also Figure S4a). Since it 198  
 199 appears at a comparable energy for all species within the same 199  
 200 array, it presumably does not reflect a molecular contribution, 200  
 201 but rather stems from an up-shifted surface state known for 201



**Figure 2.** Electronic structure of 2H-TTP and Ce-modified TPP. (a) STS of 2H-TTP, 2H-SAT and Ce-TPP (set point:  $I = 0.20$  nA,  $U = 1.20$  V; color coding of marked species as in Figure 1). The spectra are offset for better comparison and represent averages of multiple measurements acquired above different molecules and positions within an array. Vertical lines mark biases selected for  $dI/dV$  mapping in the array whose STM topography is depicted in part b ( $I = 0.20$  nA,  $U = 1.05$  V). (c–f)  $dI/dV$  maps of the spatial electron density distribution associated with molecular orbitals of different species: (c) 2H-SAT HOMO, (d) 2H-TTP LUMO, (e) Ce-TPP LUMO, and (f) 2H-SAT LUMO. The bias is indicated in the top right of each map; current set point:  $I = 0.20$  nA.

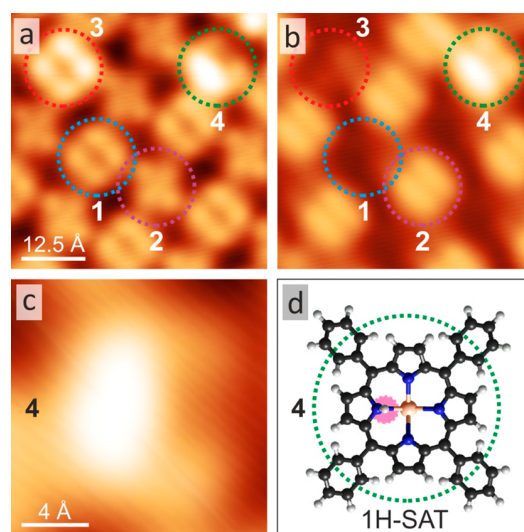
202 weakly adsorbed overlayers on transition metal surfaces.<sup>52</sup> The  
 203 unoccupied region ( $U > 0$  V), however, clearly shows distinct  
 204 molecular features. As expected for 2H-TTP<sup>48,53</sup> (blue curve in  
 205 Figure 2a), a broad peak from the lowest unoccupied molecular  
 206 orbital (LUMO) is located slightly above 600 mV.

207 For the Ce-modified species, the LUMO shifts to higher bias  
 208 voltages, specifically to  $\approx 1050$  mV for 2H-SAT (purple) and  
 209  $\approx 750$  mV for Ce-TTP (red). In the occupied region ( $U < 0$  V),  
 210 2H-TTP and Ce-TTP do not exhibit molecular resonances for  
 211 the probed voltages down to  $U = -2000$  mV. By contrast, 2H-  
 212 SAT features a distinct resonance from the highest occupied  
 213 molecular orbital (HOMO) at  $\approx -750$  mV. The  $dI/dV$  maps in  
 214 Figure 2c–f furthermore present the spatial extent of the  
 215 observed molecular resonances. For comparison, Figure 2b  
 216 shows an STM image of the same region with highlighted  
 217 molecules (blue, 2H-TTP; purple, 2H-SAT; red, Ce-TTP). The  
 218 2H-TTP LUMO extends as two elongated protrusions, 2-fold  
 219 symmetrically across the iminic pyrroles, i.e. parallel to the main  
 220 molecular axis (Figure 2d). In addition, 2H-SAT and Ce-TTP  
 221 LUMO appear fundamentally different: 2H-SAT shows two  
 222 prominent protrusions (Figure 2f) located on two opposite  
 223 macrocyclic pyrroles, while the Ce-TTP appears 4-fold  
 224 symmetric (Figure 2e). Moreover, it is interesting to note  
 225 that the 2H-SAT HOMO (Figure 2c) resembles the 2H-TTP  
 226 LUMO in shape and spatial extent.

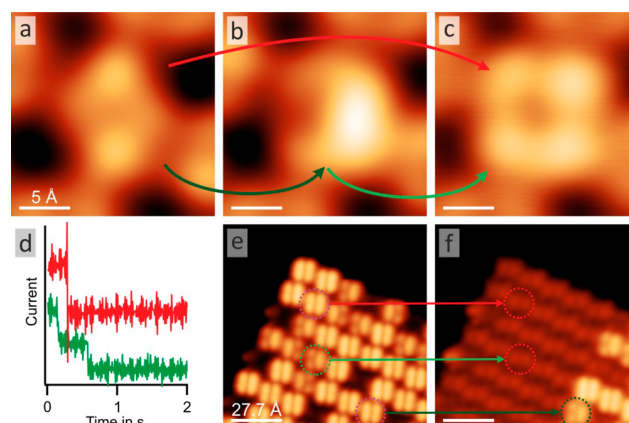
227 In the data set, 2H-SAT and Ce-TTP coexist, which indicates  
 228 that the employed preparation conditions did either not afford  
 229 complete conversion before cooling the sample or that 2H-SAT  
 230 and Ce-TTP are equally favorable in the reaction pathway. The  
 231 latter option can be disregarded as the yield of fully metalated  
 232 porphyrins increases substantially following further sample  
 233 anneal to 390 K for 5 min with a conversion of approximately  
 234 90% 2H-SAT into Ce-TTP.

235 The metalation reaction can also be triggered by scanning at  
 236 elevated bias and through voltage pulses. Interestingly, such  
 237 experiments give rise to a new species with only one bright lobe  
 238 (Figure 3). This species is tentatively assigned to an  
 239 intermediate SAT complex with a partially deprotonated  
 240 macrocycle. Accordingly, it is referred to as 1H-SAT, and can  
 241 be seen as intermediate species between the initial 2H-SAT and  
 242 the final Ce-TTP product. Indeed, it can finally be transformed  
 243 to Ce-TTP (cf. Figure 4). Figure 3 depicts the 1H-SAT STM  
 244 appearance (green circle). It can clearly be discriminated from  
 245 the other species by scanning at positive and negative sample  
 246 bias voltages as depicted in Figure 3a,b. For both voltage  
 247 regimes, 1H-SAT features the distinct off-centered lobe with  
 248 similar shape. In contrast, the other species change  
 249 fundamentally when reversing the bias polarity (cf. Figure 1).

250 A conversion of 2H-SAT via 1H-SAT to Ce-TTP can be  
 251 induced by applying bias voltages exceeding a threshold of  $U \geq$   
 252  $1.4$  V, or  $U \leq -1.9$  V. Parts a–c of Figure 4 demonstrate the  
 253 stepwise, tip-induced metalation by applying a bias of  $1.5$  V.  
 254 Below the given voltage the reaction intermediate is stable and  
 255 can be characterized by STM. Furthermore, the reaction can be  
 256 directly monitored in the current vs. time trace  $I(t)$  of a voltage  
 257 pulse (Figure 4d). Along the lower trace (green) two steps in  
 258 the current signal are discernible, while the upper curve (red)  
 259 only shows one. A comparison of the STM images before and  
 260 after the pulses thus clearly testifies the formation of Ce-TTP.  
 261 Therefore, the single step does not correspond to a single  
 262 deprotonation, but to the full metalation reaction. Note that the  
 263 deprotonation does not necessarily correspond to a current  
 264 drop, but can likewise manifest as increase in the magnitude of



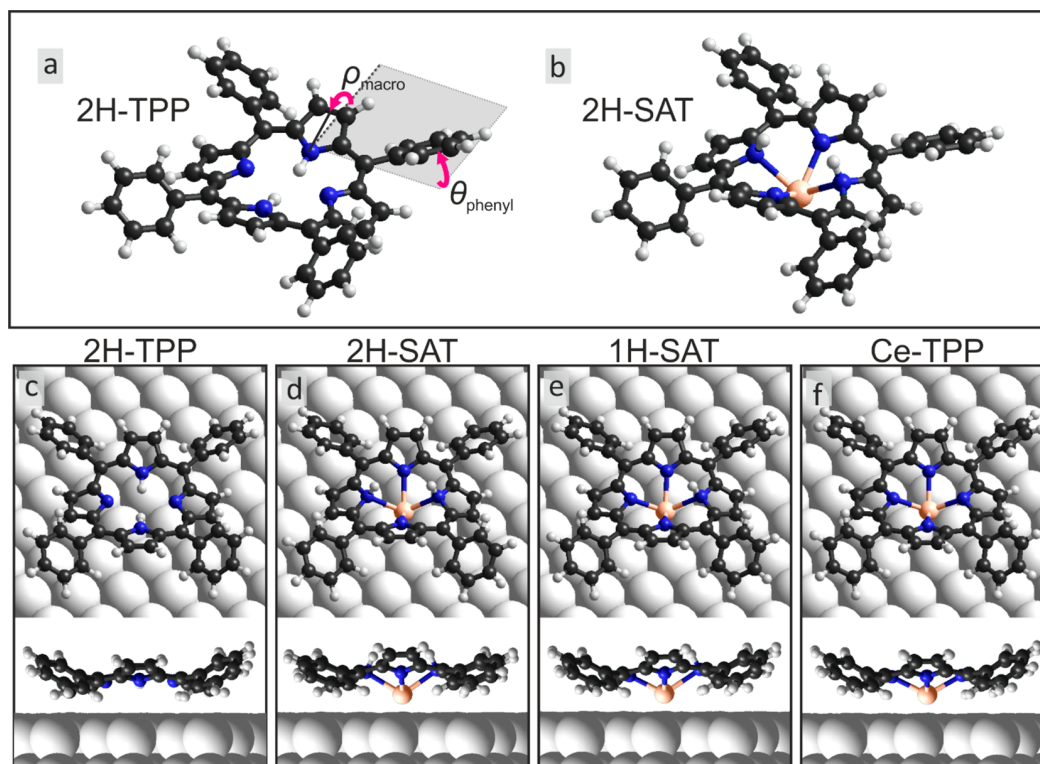
**Figure 3.** Intermediate Ce–tetrapyrrole complex 1H-SAT. 1H-SAT (4, green) appearing markedly different from species 1–3, both at positive (a;  $U = 1.00$  V) and negative bias (b;  $U = -0.65$  V). It exhibits one bright, off-centered lobe. Upon polarity change, 1–3 differ completely in STM data; 4 however remains alike in both voltage regimes. (c) Zoom onto 1H-SAT and (d) corresponding structure model. The orientation of the model corresponds directly to the STM data next to it and was determined by simulated STM images based on DFT modeling (cf. following section). Scan parameters: (a, c)  $I = 0.20$  nA,  $U = 1.00$  V; (b)  $I = 0.20$  nA,  $U = -0.65$  V.



**Figure 4.** Tip-induced metalation reaction of 2H-TTP with a single Ce atom. (a–c) The precursor complex 2H-SAT (a) is singly deprotonated to form the reaction intermediate 1H-SAT (b) and finally Ce-TTP (c) by applying a voltage of  $1.5$  V. The red and green arrows depict the reaction pathways observable after tip-interactions. (d) The deprotonation/metalation can be monitored in the current vs time traces of voltage pulses as single (red) and double steps (green, traces vertically offset for clarity). (e, f) Applying a voltage of  $2$  V at the upper left corner of the array induces transformations of Ce-derived species proceeding simultaneously within the probed area. All possible reaction steps are observable: 2H-SAT to Ce-TTP (top, red), 1H-SAT to Ce-TTP (middle, light green), and 2H-SAT to 1H-SAT (bottom, dark green). Scan parameters: (a–c)  $I = 0.20$  nA,  $U = 1.20$  V; (e, f)  $I = 0.21$  nA,  $U = -1.02$  V.

the tunneling current, depending on the position of the tip  
 above the molecule.

The metalation reaction can be triggered locally with  
 molecular precision when applying pulses close to the threshold



**Figure 5.** DFT-optimized structure and bonding of free-base, SAT and metalated TPP species on Ag(111). In part a, the macrocycle distortions and phenyl substituent degree of freedom are indicated. The perspective view of the 2H-SAT complex in part b visualizes intramolecular distortions and placement of protons. Parts c–f display top and side views of educt, product, and SAT intermediate species.

269 value. Moreover, it can be induced over a large area with  
 270 increased bias voltage. The specific reaction trigger however  
 271 remains elusive and was not investigated systematically. Figure  
 272 4e,f demonstrate the simultaneous metalation of more than 25  
 273 molecules within a molecular SAT array by applying 2 V at the  
 274 top left corner of the island. The observed reactions include all  
 275 possible reaction steps indicated by arrows in Figure 3e,f: 2H-  
 276 SAT to Ce-TTP (red, top), 1H-SAT to Ce-TTP (bright green,  
 277 middle), and 2H-SAT to 1H-SAT (dark green, bottom).

278 **Computational Modeling.** To rationalize the experimen-  
 279 tal findings, we performed total energy calculations using DFT  
 280 within the Kohn–Sham formalism. The calculations were  
 281 carried out on a  $(7 \times 4\sqrt{3})$  unit cell with two molecules per  
 282 unit cell (cf. Figure S2). A visual overview of the results and  
 283 terminology is provided by the models depicted in Figure 5.

284 Tables 1 and 2 summarize the relevant energetics. Table 1  
 285 gives the adsorption energies of the two 2H-TTP tautomers as

**Table 1. Adsorption Energies of 2H-TTP and Ce-TTP Species from DFT Calculations<sup>a</sup>**

species	adsorption energy $E_{\text{ads}}$ (eV/molecule)
2H-TTP, tautomer 1	3.22
2H-TTP, tautomer 1* (hydrogens rotated 90°)	3.14
Ce-TTP, Ce down	<b>5.09</b>
Ce-TTP, Ce up	3.46

<sup>a</sup>For 2H-TTP both tautomers were calculated, for Ce-TTP the two possible configurations for the cerium position above (Ce up) and below (Ce down) the macrocycle plane. Bold values are energetically favored.

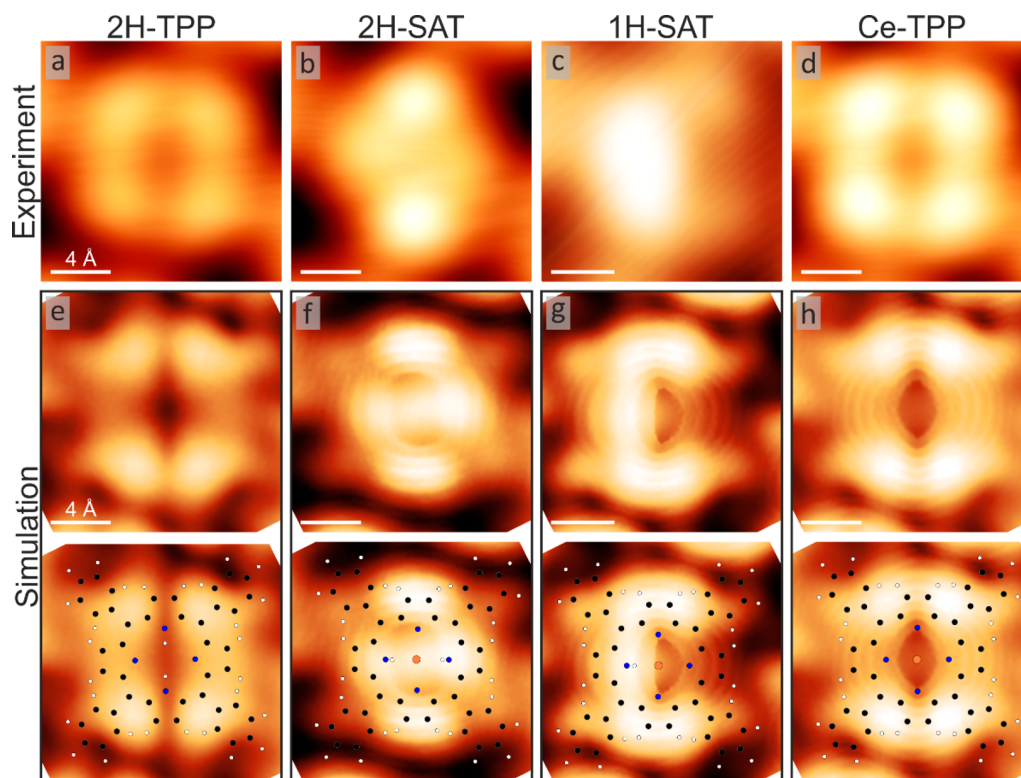
**Table 2. Binding Energy of Hydrogen Atoms in 2H-SAT and 1H-SAT<sup>a</sup>**

species	binding energy $E_{\text{bind}}$ (eV/H)
2H-SAT	−0.46
2H-SAT, hydrogens rotated	<b>−0.07</b>
1H-SAT	−0.51
1H-SAT, hydrogen rotated	<b>−0.13</b>

<sup>a</sup>The energy is always calculated with respect to Ce-TTP/Ag(111) and the hydrogen atoms in H<sub>2</sub> in the gas phase. The positions of the hydrogen atoms correspond to 2H-TTP tautomer 1 for 2H-SAT and to tautomer 1\* for 2H-SAT with rotated hydrogens. Bold values are energetically favored.

well as the two possible Ce-TTP adsorption configurations. As  
 286 expected from tautomerization experiments,<sup>48,51,54</sup> the tautomer  
 287 are close in energy ( $\Delta E \sim 80$  meV). In Ce-TTP, the  
 288 cerium atom cannot be simply incorporated into the macro-  
 289 cycle plane due to the relatively large size of the metal ion,  
 290 substantially exceeding atomic diameters of transition metals.<sup>32</sup>  
 291 This results in two possible interfacial bonding configurations:  
 292 either with the cerium atom pointing toward the surface (Ce  
 293 down) or away from it (Ce up) relative to the molecular plane/  
 294 macrocycle. From STM and STS data, the orientation cannot  
 295 be unambiguously identified, although most findings support  
 296 the Ce down situation (see below). Also the adsorption  
 297 energies obtained from DFT optimized structures clearly favor  
 298 Ce down with 5.09 eV/molecule compared to 3.46 eV/  
 299 molecule for Ce up and therefore substantiate considerations  
 300 based on experimental data.  
 301

Table 2 contains binding energies of the precursor and  
 302 intermediate SAT complexes. The binding energies represent  
 303



**Figure 6.** Comparison of experimental with simulated STM data. The first row (a–d) shows the topography of each species as indicated above each column. In parts e–h, the corresponding STM image simulations are reproduced, whereby the lower panels include the atomic positions. Bias voltages for simulations were chosen according to the STM experiments, i.e., 1.25 (a, b, d) and 1.0 V (c).

**Table 3. Geometric Parameters Extracted from DFT Optimized Adsorption Geometries<sup>a</sup>**

	2H-TTP	2H-SAT	1H-SAT	Ce-TTP (Ce-down)	2H-TTP (gas phase)	Ce-TTP (gas phase)
$\theta_{\text{phenyl}}$ (deg)	29	33	33	32	61	60
$\rho_{\text{macro}}$ (deg)	−14, 21	−21, 28	−17, 26	−14, 24	−6, 6	−5, 15
$\Delta z_{\text{Ce-mc}}$ (Å)	−	−1.37	−1.30	−1.19	−	0.10
$\Delta z_{\text{mc}}$ (Å)	3.51	3.93	3.87	3.79	−	−
$\Delta z_{\text{Ce-s}}$ (Å)	−	2.56	2.57	2.60	−	−

<sup>a</sup> $\theta_{\text{phenyl}}$  is the dihedral angle of the phenyl groups, where 0 means a planar alignment, i.e. parallel to the macrocycle plane.  $\rho_{\text{macro}}$  is the tilt of the pyrrole groups from the macrocycle plane, where 0 means planar. The two values each give the average over the two pyrroles with alike orientation (bending up- or downward, i.e. the nitrogen pointing towards the surface or away from it). While the pyrrole tilt agrees reasonably well with experimental values extracted from NEXAFS,<sup>48</sup> the dihedral angle of the phenyl groups is lower than the reported one, which might be attributed to packing effects.  $\Delta z_{\text{Ce-mc}}$  is the height of Ce above (+) or below (−) the macrocycle.  $\Delta z_{\text{mc}}$  is the height of macrocycle above the first substrate layer.  $\Delta z_{\text{Ce-s}}$  is the height of Ce above the first substrate layer.

304 relative values compared to Ce-TTP/Ag(111) and hydrogen as  
 305 gas phase H<sub>2</sub>. All these energies are negative, meaning that the  
 306 ground state is indeed the desorbed H<sub>2</sub> and Ce-TTP/Ag(111),  
 307 or that 2H–Ce-TTP/Ag(111) (2H-SAT) and H–Ce-TTP/  
 308 Ag(111) (1H-SAT) are metastable states. Furthermore, the  
 309 binding energies for SAT tautomers were calculated. Surpris-  
 310 ingly, for both SAT species, the rotated tautomer configurations  
 311 1\*—not favored for 2H-TTP—are preferred by ~400 meV/H.  
 312 Consequently, according to our DFT results, the hydrogen  
 313 positions should change with the evolution of the Ce-  
 314 tetrapyrrole coordination sphere. A rotation of the whole  
 315 molecule “on top” of the cerium atom seems unlikely, because  
 316 of the good agreement of simulated STM images of DFT  
 317 geometries of tautomer 1\* configurations with experimental  
 318 data (see below). Furthermore, the incorporation of the  
 319 molecules into organic arrays results in interlocking of the  
 320 peripheral phenyls hindering rotational motion, which is

supported by STM manipulation experiments at Ce(TPP)<sub>2</sub> 321  
 double and Ce<sub>2</sub>(TPP)<sub>3</sub> triple deckers.<sup>12</sup> The rotation of TPP 322  
 moieties is blocked in densely packed Ce(TPP)<sub>2</sub> arrays and 323  
 only possible for the topmost TPP of Ce<sub>2</sub>(TPP)<sub>3</sub> without direct 324  
 neighbors protruding from lower layers. 325

For the energetically favored species, simulated STM images 326  
 were generated. Figure 6 presents a comparison of the 327  
 simulation to experimental data for each species, whereby key 328  
 characteristics nicely match. 2H-TTP and Ce-TTP appear alike 329  
 exhibiting four lobes. Furthermore, the larger apparent height 330  
 of Ce-TTP with respect to 2H-TTP is reproduced qualitatively 331  
 (cf. Figure 6a,e to Figure 6d,h). The good agreement allows in 332  
 particular the determination of molecular species and molecular 333  
 features for 2H-SAT and 1H-SAT. In STM, 2H-SAT features 334  
 two prominent lobes defining a main axis. Close to the middle 335  
 of the main axis two dimmer lobes are located at the left and 336  
 right (also compare species 2 in Figure 3a and Figure 4a) 337

forming a cross-like appearance with a longer side along the main axis. The simulated images express very similar features allowing the assumption that the inner hydrogens of 2H-SAT are situated at different nitrogen atoms compared to 2H-TPP. The prominent lobes in 2H-SAT are observed at the upward bent pyrroles of the TPP macrocycle (i.e., the outer C–C bond is highest and the nitrogens point toward the surface) and do not correspond to the hydrogen positions. This stands in contrast to TPP/Ag(111) where protrusions are a unique signature of the hydrogen positions in 2H-TPP/Ag(111) and 1H-TPP/Ag(111).<sup>48</sup> Nevertheless, the two dimmer lobes do coincide with the hydrogen binding sites and could be related to an electronic effect. 1H-SAT adopts a C-like shape in STM. This asymmetry is reproduced in the simulations as a uniformly appearing C-shape. Note that there is an additional bright protrusion on the C in STM data at the hydrogen position. It remains unclear whether this feature is an electronic effect caused by H-binding since it is not reproduced theoretically.

A geometric effect due to molecular tilting on top of the cerium atom in 1H-SAT cannot be ruled out. Although an evaluation of DFT data for macrocycle tilts only gave angles  $\leq 2.5^\circ$ , the absolute height difference of the N–H nitrogen and the opposing N amounts to  $\Delta z_{\text{N-H}} = 0.18 \text{ \AA}$ , thus enough to cause considerable changes in the tunneling current.

Table 3 shows geometric parameters for all species that allow following conformational changes induced by the interaction with cerium (angles defined as in Figure 5). Generally, when describing tilt angles of molecular moieties within TPP, a correlation of the pyrrole and phenyl tilts is established that is determined by steric constraints. In gas phase the 2H-TPP macrocycle is almost flat (small tilt  $\rho_{\text{macro}}$  of pyrrole groups) with upright phenyls (large dihedral angle  $\theta_{\text{phenyl}}$ ; see Table 3). Upon adsorbing on a surface, the phenyls are rotated to adopt a more flat orientation ( $\theta_{\text{phenyl}}$  is decreased) due to attractive interactions with the substrate. This rotation results in a deformation of the macrocycle through steric constraints of the phenyls with the pyrroles.<sup>55</sup> The pyrroles rotate pairwise out of the macrocycle plane; two opposing ones toward the surface (nitrogen points to the surface) and the other two away (nitrogen points toward vacuum). Note that for 2H-TPP/Ag(111) the aminic pyrroles (including the N–H group) rotate down (cf. Figure S1).

Metalation of TPP with 3d metals often triggers a flattening of the macrocycle, accompanied by more upright phenyl legs, i.e., a conformation that is more like in the gas phase. Examining the geometric parameters in Table 3, the metalation of 2H-TPP with cerium does not trigger conformational adaptations established for transition metals and moreover changes do not follow the usual phenyl/pyrrole correlation (larger  $\rho_{\text{macro}}$ , smaller  $\theta_{\text{phenyl}}$ ).<sup>56</sup> Instead, the macrocycle is deformed more strongly (second row, larger  $\rho_{\text{macro}}$ ) accompanied by more upright phenyl legs (row 1, larger  $\theta_{\text{phenyl}}$ ), not only for the precursor and intermediate, but also for the fully metalated Ce-TPP. This behavior is associated with the lanthanide atomic diameter preventing from a complete macrocycle incorporation. Interestingly, the height  $\Delta z_{\text{Ce-s}}$  of the cerium atom above the substrate correlates with the pyrrole tilt angle (cf. rows 2 and 5 in Table 3). The higher the Ce is and the closer it approaches the macrocycle (see  $\Delta z_{\text{Ce-m}}$  in row 3), the flatter becomes the latter. Furthermore,  $\Delta z_{\text{Ce-s}}$  increases and  $\Delta z_{\text{Ce-m}}$  decreases during metalation. This allows the following conclusions for geometric adaptations during the metalation process: The interaction of 2H-TPP with cerium

atoms in the precursor complex causes a strong macrocycle distortion that dominates the STM image contrast (see above). Upon coordination, the Ce center is pulled toward the macrocycle and allows for a flatter pyrrole orientation. However, due to its size, it cannot be incorporated fully and hence, the macrocycle can neither flatten out more, as observed for transition metals. Indeed, the final Ce–macrocycle configuration in Ce-TPP/Ag(111) still implies a slightly stronger deformation compared to 2H-TPP/Ag(111) for one of the pyrroles.

## DISCUSSION

As noted in the Introduction, for the vast majority of interfacial tetrapyrrole metalation studies 3d transition metals were employed. Metalation reactions with other classes of metals such as lanthanides are well-known from solution-based chemistry and were recently explored for ultrathin tetrapyrrole films.<sup>12,13</sup> Unfortunately, the experimental and theoretical results presented above are not fully consistent with a previous interpretation of STM observations.<sup>32</sup> Therein, a distinct species appearing after Ce exposure of a mixed 2H-TPP/Co-TPP matrix on Ag(111) evolved, that is now ascribed to Co-TPP interacting with Ce (cf. Supporting Information, Figure S4), which formation seems to be favorable. Since complexes with the previously reported characteristics were never observed in experiments employing pure 2H-TPP layers, the earlier assignment must be revised (for more details please refer to the Supporting Information). Thus, the present study clarifies the mechanism of on-surface lanthanide tetrapyrrole synthesis in the monolayer regime.

The interaction of Ce atoms with 2H-TPP arrays results in changes within the organic layers that resemble well-documented metalation reactions with transition metals.<sup>3,4,11,24</sup>

Namely, the island order is not perturbed, but changes occur at the single-molecule level within the arrays, and the number of modified molecules correlates with the amount of deposited metal. A marked difference to transition metals manifests in the coordination geometry of the final metalloporphyrin and stems from the significantly larger size of the lanthanide. DFT calculations showed that the Ce ion cannot be centered in the inner cavity.<sup>32</sup> Instead it is placed approximately 1 Å out of the macrocycle plane ( $\sim 1 \text{ \AA}$  in gas phase calculation, 0.86 Å above the macrocycle for the Ce up configuration and 1.19 Å below the macrocycle for Ce down), i.e., the resulting on-surface complex can exhibit two different arrangements: the Ce atom can be located above or below the macrocycle of TPP, but the direct identification by STM is not straightforward. In the top configuration, the Ce might be detectable by STM if appropriate electronic states are available. Indeed, surface-confined, coordinated Ce atoms and other lanthanides can be imaged as prominent protrusions,<sup>57–60</sup> however there are also examples where lanthanide coordination centers remain hidden in STM images.<sup>57,61–63</sup> For comparison, considering the case of Sn-Pc, the metal center can be positioned above or below the molecular plane, and these two molecular conformations can be reversibly switched.<sup>64–66</sup> By contrast, such characteristics were not observed in our experiments.

Even if the position of the Ce center cannot be determined conclusively in the experiment, the combined STM-DFT analysis clearly signals that the Ce center points toward the Ag(111) substrate. Furthermore, the formation of TPP–Ce–TPP sandwich structures in the TPP (sub-)monolayer regime was only rarely observed and was not reliably reproducible even

at sample temperatures where complexation readily occurs.<sup>12</sup> In order to synthesize Ce(TPP)<sub>2</sub> sandwich complexes, cerium has to be evaporated onto TPP thin films.<sup>12</sup> This indicates that the Ce center is located below the porphyrin plane, thus preventing the coordination of an additional tetrapyrrole ligand. This interpretation is in agreement with a report from Katoh et al.,<sup>19</sup> where half-sandwich lanthanide-phthalocyanine (Ln-Pc) derivatives were identified as cracking products in the sublimation of a series of double-decker compounds. The corresponding STM/STS analysis suggests that the lanthanide centers in the observed Ln-Pc (Ln = Tb, Dy, Y) face the employed Au(111) substrate. In agreement, our DFT calculations suggest a definitive energetic preference for an adsorption configuration with the cerium sandwiched between surface and molecule and the simulated images of the Ce down complex exhibit significant similarity to the experiment.

Some metalation reactions do not proceed at room temperature but require elevated temperatures, either to supply metal adatoms (kinetically hindered reaction) or to overcome a formation activation barrier.<sup>4</sup> Since 2H-SAT complexes are well distributed within organic islands, activation energy apparently is needed for the metalation process itself. A notable spectral signature of successful metalation in TPPs and other tetrapyrroles is a LUMO shifted to higher energies.<sup>2,3,11</sup> Indeed, as shown in Figure 2a, the LUMO of the proposed Ce-TPP is upshifted by ≈100 mV corroborating a metalation reaction occurred. Only very few STS data for SAT complexes exist in literature. For comparison, 2H-TTP sitting above a gold atom on Au(111) experiences shifts of 500 mV for both HOMO and LUMO.<sup>29</sup> Following a similar trend for the LUMO, the present 2H-SAT species exhibits an upshift of ≈400 mV. Furthermore, it shows a pronounced peak at -750 mV that is, however, absent for both 2H-TTP and Ce-TTP. Therefore, this feature is tentatively assigned to a mainly metal-derived contribution of Ce states coupling to the silver surface. Indeed, a hybridization of the central metal ion with the Ag(111) can lead to electronic states with characteristic energy.<sup>42,67–69</sup>

The identical features of Ce-induced species following thermal treatment and complexes obtained by STM manipulation allows the following conclusions: (i) The metalation reaction can be triggered both by a voltage pulse from the STM tip or by thermal annealing, (ii) the species with two prominent protrusions (highlighted purple in Figure 1) is indeed the precursor state (2H-SAT) and (iii) the species with only one protrusion is a reaction intermediate, assigned to a 1H-SAT complex. This conclusion is supported by the theoretical evaluation of the energetics of possible species and the calculated STM images. For 2H-TTPs the removal of a hydrogen atom from its macrocycle can manifest as steps in  $dI/dV$  spectra and as single and double steps in  $I(t)$  traces recorded when applying a voltage pulse to a single 2H-TTP molecule. Hence, it is likely that the steps described in Figure 4d are related to deprotonation, respectively to the redox reaction in the case of metalation. Apparently the reaction pathway includes metastable configurations that might be related to the geometry of SAT complexes. DFT calculations suggest a strong macrocycle deformation causing the hydrogens to point away from the macrocyclic plane, i.e., away from the metal center.<sup>32</sup> The Ce metalation of 2H-TTP layers suggests that the process includes diffusion, intercalation and metalation, and thus exhibits similarities to complexation with transition metals. Moreover, the employment of lanthanides allows clearer identification of precursor and intermediate species. The

unambiguous elucidation of the lanthanide–TPP reaction necessitates a reinterpretation of previous results. When depositing Ce onto mixed 2H-/Co-TPP arrays, Ce atoms can intercalate under the array and metalate the free-base species but also bind to Co-TPP, forming Ce–Co-TPP complexes featuring a characteristic electronic signature.

## CONCLUSION

In summary, this work presented a detailed experimental and theoretical investigation of the interaction of Ce atoms with surface-confined TPPs. When applying standard protocols known from the metalation of tetrapyrroles with 3d transition metals, Ce engages in a metalation reaction to form Ce-TTP. Ce-TTP was comprehensively characterized with STM, (spatially resolved) STS and computational modeling. Interestingly, there is direct evidence for an initial 2H-SAT complex preceding Ce-TTP formation. Furthermore, a 1H-SAT reaction intermediate was identified and characterized directly with submolecular precision. By manipulation experiments the tip-induced on-surface synthesis of intermediate species succeeded, as well as the final Ce-TTP formation from the initial precursor. The real-space analysis, complemented by in-depth theoretical modeling provides insights into the intricate pathways of interfacial lanthanide metalation reactions.

Our study thus contributes to the fundamental understanding of on-surface lanthanide interactions with tetrapyrroles and demonstrates the formation of lanthanide-modified species on surfaces that might provide prospects for heterogeneous catalysis, sensing, quantum engineering, and molecular magnetism.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.7b10363.

Details on 2H-TTP adsorption on Ag(111), visualization of molecular arrangement of 2H-TTP/Ag(111) employed for DFT modeling, visual summary of energetic results from DFT, and STM and STS data covering the interaction of cerium atoms with mixed Co-TPP/2H-TTP arrays on Ag(111) (PDF)

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

### Notes

The authors declare no competing financial interest.

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